Board of Regents - Friday

September 2017

September 8, 2017

15 min following adjournment of GOV - 12:00 p.m.

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AGENDA ITEM:  Recognition of Regents Professor

☐ Review  ☐ Review + Action  ☐ Action  ☒ Discussion

This is a report required by Board policy.

PRESENTERS:  Regent David J. McMillan
President Eric W. Kaler

PURPOSE & KEY POINTS

The purpose of this item is to Regents Professor Fionnuala Ní Aoláin. The Board approved this appointment at its June 9, 2017 meeting.

BACKGROUND INFORMATION

Established in 1965 by the Board to recognize the national and international prominence of faculty members, the Regents Professorship is the highest honor the University bestows on its faculty. It recognizes faculty who have made unique contributions to the quality of the through exceptional accomplishments in teaching, research and scholarship or creative work, and contributions to the public good. There are currently 30 Regents Professors.

Current Regents Professors

- Frank S. Bates – Chemical Engineering and Materials Science, College of Science and Engineering, 2007
- Bruce R. Blazar – Pediatrics, Hemotology-Oncology, Medical School, 2009
- R. Lawrence Edwards – Earth Sciences, College of Science and Engineering, 2015
- Apostolos P. Georgopoulos – Neuroscience, Medical School, 2005
- Allen Goldman – Physics and Astronomy, College of Science and Engineering, 2008
- Richard J. Goldstein – Mechanical Engineering, College of Science and Engineering, 1990
- Ashley T. Haase – Microbiology, Medical School, 1999
- Patricia Hampl – English, College of Liberal Arts, 1997
- Robert P. Hebbel – Medicine, Medical School, 2004
- William G. Iacono – Psychology, College of Liberal Arts, 2010
- Allen F. Isaacman – History, College of Liberal Arts, 2001
- Vipin Kumar – Computer Science and Engineering, College of Science & Engineering, 2015
Richard Leppert – Cultural Studies and Comparative Literature, College of Liberal Arts, 2007
Timothy P. Lodge – Chemistry and Chemical Engineering and Materials Science, College of Science and Engineering, 2013
Horace H. Loh – Pharmacology, Medical School, 2010
Elaine Tyler May – American Studies, College of Liberal Arts, 2007
Matt McGue – Psychology, College of Liberal Arts, 2007
Fionnuala Ní Aoláin – Law School, 2017
Michael T. Osterholm – Environmental Health Sciences, School of Public Health, 2015
Stephen Polasky – Applied Economics, College of Food, Agricultural and Natural Resource Sciences and Ecology, Evolution, and Behavior, College of Biological Sciences, 2013
Lawrence Que, Jr. – Chemistry, College of Science and Engineering, 2009
Peter B. Reich – Forest Resources, College of Food, Agricultural and Natural Resource Sciences, 2007
Steven Ruggles – History, College of Liberal Arts, 2008
Karen R. Seashore – Organizational Leadership, Policy, and Development, College of Education and Human Development, 2010
Madelon M. Sprengnether – English, College of Liberal Arts, 2008
David G. Tilman – Ecology, Evolution, and Behavior, College of Biological Sciences, 2002
Donald G. Truhlar – Chemistry, College of Science and Engineering, 2006
Christopher Uggen – Sociology, College of Liberal Arts, 2016
David Weissbrodt – Law School, 2005
AGENDA ITEM: Recognition of University of Minnesota Libraries

☐ Review  ☐ Review + Action  ☐ Action  ☒ Discussion

☐ This is a report required by Board policy.

PRESENTERS: Regent David J. McMillan
President Eric W. Kaler

PURPOSE & KEY POINTS

The purpose of this item is to recognize the University of Minnesota Libraries for receiving the 2017 National Medal for Museum and Library Service. This medal is the nation's highest honor given to museums and libraries for service to the community. For more than two decades, the award has celebrated institutions that respond to societal needs in innovative ways, making a difference for individuals, families, and their communities. This is only the third time the award has been given to an academic library.
AGENDA ITEM:  Introductions

☐ Review  ☐ Review + Action  ☐ Action  ☒ Discussion

☐ This is a report required by Board policy.

PRESENTERS:  President Eric W. Kaler

PURPOSE & KEY POINTS

The purpose of this item is to introduce new members of the University's leadership community.

Carol Strohecker, Dean of the College of Design, began her tenure on August 31, 2017. She previously served as vice provost for academic affairs at the Rhode Island School of Design from 2013-2016. She was inaugural director of the Center for Design Innovation from 2006-2013, where she held concurrent roles as chief research officer at the University of North Carolina School of the Arts and as a tenured professor in Art and Visual Studies at Winston-Salem State University (a constituent institution of the University of North Carolina).

Strohecker holds a Ph.D. in Media Arts and Sciences and an M.S. in Visual Studies, both from the Massachusetts Institute of Technology. She has published and presented widely on topics related to learning theory and the development of environments in which people can learn through creative processes. Her portfolio includes creative works in various media, as well as collaborative work in interactive media tools and methods that has resulted in four U.S. patents.

Lynda Welage, Dean of the College of Pharmacy, began her tenure on July 31, 2017. Since 2011, she served as dean of the College of Pharmacy at the University of New Mexico, Albuquerque, where she was a professor of Pharmacy Practice and Administrative Sciences. Previously, she was a professor, clinical pharmacist, and associate dean for Academic Affairs at the University of Michigan, having joined that faculty in 1988.

Welage holds a Pharm.D from the State University of New York at Buffalo and a B.S. in pharmacy from the University of Michigan. A nationally known expert on critical care and drug absorption, she has researched, published, and presented extensively on issues related to alterations in intestinal transport processes during acute inflammatory states.
Joseph Konstan, Faculty Consultative Committee (FCC) Chair is a Distinguished McKnight Professor and a Distinguished University Teaching Professor in the Department of Computer Science and Engineering. In addition to his scholarship, Konstan has participated extensively in governance. He has served on the FCC since 2013 and on the University Senate, in various roles, since 2000.

Konstan has a Ph.D and an M.S. in Computer Science from the University of California, Berkeley, and an A.B. in Computer Science from Harvard University. His research addresses a variety of human-computer interaction issues, including personalization, eliciting online participation, designing computer systems to improve public health, and ethical issues in research online.
A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Friday, May 19, 2017 at 8:00 a.m. in the Board Office, 600 McNamara Alumni Center.

Regents present: Dean Johnson, presiding; and David McMillan. Patricia Simmons participated by phone.

Staff present: Executive Director Brian Steeves.

RESOLUTION TO CONDUCT NON-PUBLIC MEETING
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE

The meeting convened in public session at 8:00 a.m. A motion was made and seconded that the following resolution be approved:

RESOLVED, that as provided by Minnesota Statute 13D.05, Subd. 3(a), a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on Friday, May 19, 2017 at 8:00 a.m., in the Office of the Board of Regents, 600 McNamara Alumni Center, 200 Oak Street, SE, Minneapolis, Minnesota, for the purpose of evaluating the performance of the President of the University of Minnesota.

The committee voted unanimously to adopt the resolution and the public portion of the meeting adjourned. The committee discussed feedback on the president’s performance.

The meeting recessed at 9:05 a.m.

The Presidential Performance Review Committee reconvened on Wednesday, May 31, 2017 at 9:30 a.m. in the Board Office, 600 McNamara Alumni Center.

Regents present: Dean Johnson, presiding; Michael Hsu, Peggy Lucas, David McMillan, Abdul Omari, Darrin Rosha, and Patricia Simmons. Thomas Anderson, Linda Cohen, and Kendall Powell participated by phone.

Staff present: Executive Director Brian Steeves

The committee discussed feedback on the president’s performance with individual Regents. The meeting recessed at 3:01 p.m.

The Presidential Performance Review Committee reconvened on Wednesday, June 7, 2017 at 4:38 p.m. in the Board Office, 600 McNamara Alumni Center.

Regents present: Dean Johnson, presiding; David McMillan and Patricia Simmons.

Staff present: Executive Director Brian Steeves
The committee discussed feedback on the president’s performance. The meeting recessed at 5:27 p.m.

The Presidential Performance Review Committee reconvened on Thursday, June 8, 2017 at 11:53 a.m. in the Board Office, 600 McNamara Alumni Center.

Regents present: Dean Johnson, presiding; Thomas Anderson, Richard Beeson, Linda Cohen, Michael Hsu, Peggy Lucas, David McMillan, Abdul Omari, Kendall Powell, Darrin Rosha, Patricia Simmons, and Steven Sviggum.

Staff present: Executive Director Brian Steeves

The committee discussed feedback on the president’s performance. The meeting recessed at 1:37 p.m.

The Presidential Performance Review Committee reconvened on Wednesday, July 5, 2017 at 1:32 p.m. in the Board Office, 600 McNamara Alumni Center.

Regents present: Dean Johnson, presiding; and David McMillan. Patricia Simmons participated by phone.

Staff present: Executive Director Brian Steeves

The committee discussed feedback on the president’s performance. The meeting recessed at 2:30 p.m.

The Presidential Performance Review Committee reconvened on Thursday, July 13, 2017 at 3:00 p.m. at the Oak Ridge Hotel & Conference Center in Chaska.

Regents present: Dean Johnson, presiding; Thomas Anderson, Richard Beeson, Linda Cohen, Michael Hsu, Peggy Lucas, David McMillan, Abdul Omari, Kendall Powell, Darrin Rosha, Patricia Simmons, and Steven Sviggum.

Staff present: Executive Director Brian Steeves

The committee discussed feedback on the president’s performance. The meeting recessed at 4:15 p.m.

The Presidential Performance Review Committee reconvened on Friday, July 14, 2017 at 7:32 a.m. at The Beacon Public House in Minneapolis.

Regents present: Dean Johnson, presiding; David McMillan and Patricia Simmons.

Staff present: President Eric Kaler and Executive Director Brian Steeves

The committee discussed the president’s performance.
The meeting adjourned at 9:02 a.m.

BRIAN R. STEEVES  
Executive Director  
and Corporate Secretary
Board of Regents
June 20, 2017

A special meeting of the Board of Regents of the University of Minnesota was held on Tuesday, June 20, 2017 at 12:30 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Dean Johnson, presiding; Thomas Anderson, Richard Beeson, Linda Cohen, Michael Hsu, Peggy Lucas, David McMillian, Abdul Omari, Kendall Powell, Darrin Rosha, Patricia Simmons, and Steven Sviggum.

Staff present: President Eric Kaler; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice President Matt Kramer; Interim Vice Presidents Michael Berthelsen, Bernard Gulachek, and Alan Levine; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Associate Vice President Julie Tonneson.

PURCHASE OF COMMUNITY SOLAR GARDEN SUBSCRIPTIONS

Regent Johnson invited Interim Vice President Berthelsen and Shane Stennes, director of sustainability, to present for action the purchase of community solar garden subscriptions, as detailed in the docket.

Berthelsen and Stennes provided reviewed the objectives and structure of the solar garden subscriptions.

In response to a question from Regent Hsu, Berthelsen stated that the difference in rates between the previously approved community solar garden subscriptions and this purchase is the result of two different RFP processes. He emphasized that both purchases will provide a positive return on investment for the University even with the different rates.

A motion was made and seconded, and the Board voted unanimously to approve the purchase of community solar garden subscriptions.

RESOLUTION RELATED TO BOARD COMMITTEE STRUCTURE

Regent Johnson invited Executive Director Steeves to present for action a resolution related to Board committee structure, as detailed in the docket.

Steeves described the changing paradigms affecting the work of higher education boards and noted the Board’s growing interest in having the full Board consider a broader number of topics. He reviewed the current committee and meeting structure. He detailed a possible new structure and its potential benefits, including greater information symmetry, closer alignment with University administrative structure, support for reaching consensus, and fuller discussions driven by all 12 Regents meeting together more often.

Steeves explained that the core role of the student representatives to the Board would be unchanged. He indicated that the proposed structure would give student representatives the opportunity to rotate through the Board’s major committees, giving them greater exposure to the issues facing the University.
Steeves outlined the resolution and explained that if adopted, committee charges would come back to the Board for consideration in the fall.

Regent Cohen noted that the Governance & Policy Committee had two discussions on the topic and expressed the committee’s endorsement of the proposed resolution.

Regent Simmons expressed her support of the proposed structure. She asked that once the new structure is in place for a year, the Board review it and determine the need for adjustments.

Regent McMillan offered that as the Board’s incoming chair, he intends to continue to review how the structure is working and make adjustments as necessary. He added that benefits of the proposed structure include better alignment with the president’s administrative structure and limited committee overlap.

Regent Rosha stated his support for the structure and the opportunity for student representatives to rotate across the Board’s committees. He asked whether student representatives have provided feedback. Steeves responded that orientation for incoming student representatives would include walking through the committee structure and creating a rotation plan they are comfortable with and that aligns with the Board’s vision.

Regent Sviggum added his support, offering that he viewed the potential of having more public input and testimony that the longer committee meetings could provide as a strength.

A motion was made and seconded, and the Board voted unanimously to approve the resolution related to Board committee structure, as follows:

WHEREAS, higher education governing boards nationwide are addressing increasingly complex challenges that require them to become more engaged and strategic; and

WHEREAS, interdisciplinarity is leading higher education governing boards to consider adapting their oversight structures to address institutional issues more holistically; and

WHEREAS, Regents of the University of Minnesota are increasingly noting a desire to be more fully engaged with a broader spectrum of items that come before the Board; and

WHEREAS, the current committee structure splits the Board in half for concurrent committee meetings, preventing Regents from fully participating in discussion around many issues that come before the Board; and

WHEREAS, establishing committees composed of all 12 Regents should dramatically reduce information asymmetry and compartmentalized knowledge, while creating shared understanding and enabling holistic discussions;

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents directs the chair of the Board to name, identify the responsibilities of, and appoint Regents to, the following standing committees in place of those standing committees named in Board of Regents Policy: Board Operations and Agenda Guidelines Section II, Subd. 3, b, 1-5:

- Audit & Compliance Committee
- Finance & Operations Committee
- Governance & Policy Committee
- Mission Fulfillment Committee
BE IT FURTHER RESOLVED that those committees named in Board of Regents Policy: Board Operations and Agenda Guidelines, Section II, Subd. 3, b, 6-9, shall continue to function under current policy:

- Litigation Review Committee
- Nominating Committee
- Presidential Performance Review Committee
- Special Committees, as appointed by the chair of the Board.

BE IT FURTHER RESOLVED that until adoption of amendments to Board of Regents Policy: Board Operations and Agenda Guidelines, the Board adopts Attachment 1 – Interim Committee Charges, Committee Meetings, and Staff Responsibilities.

BE IT FURTHER RESOLVED that the executive director and corporate secretary is directed to draft amendments to Board of Regents Policy: Board Operations and Agenda Guidelines, for consideration by the Board, that:

1. Codify the new committee structure;
2. Affirm that the Board will hold eight regular meetings each year, two of which will not include regular committee meetings; and
3. Reflect feedback from the Governance & Policy Committee’s comprehensive review of the policy.

PRESIDENT’S RECOMMENDED FY 2018 ANNUAL OPERATING BUDGET

Regent Johnson invited President Kaler and Senior Vice President Burnett to present for action the President’s recommended FY 2018 annual operating budget (budget), as detailed in the docket.

Kaler stated that the budget being presented for action differs from what was reviewed. He summarized his budget priorities and what the University received from its biennial request to the Minnesota Legislature. Kaler identified specific revenue sources, highlighting the percentage from tuition and state support. He reported the incremental framework investments and the available resources to support those investments.

Kaler presented the tuition rates and related fees for the system that the Board previously reviewed and detailed recent resident undergraduate tuition rates. He reviewed potential tuition rate scenarios to reduce Twin Cities undergraduate tuition. He explained that after conversations with Regents, the resident undergraduate tuition increase for the Twin Cities campus would be reduced from 3 percent to 2 percent. He proposed that the non-resident, non-reciprocity (NRNR) tuition rate for Twin Cities undergraduates would be increased from 10 percent to 12.5 percent. In order to accommodate the new rates, Kaler indicated that the $2 million allocated for FY 2019 would be eliminated and the $1 million allocated for repairs and renovation would be reduced to $300,000.

In response to a question from Regent Rosha, Kaler clarified that the tuition increase for current NRNR undergraduate students would be 5.5 percent for this budget.

Regent Simmons stressed the need to ensure the University’s quality and protect access for students, noting the importance of investments in both people and facilities. She encouraged the President and administration to ask employees where they think savings can be found and expressed hope that the President would share what was learned with the Board.

Acknowledging the use of attrition, Simmons suggested evaluating positions to see what is needed and to ensure that positions being eliminated do not affect the ability of the University to succeed.

Board of Regents
June 20, 2017
Burnett commented that reallocations toward the $90 million goal of reducing administrative costs are ongoing. He described how the units that report to him are active in looking for new efficiencies and developing ways to implement them.

Regent Sviggum moved the following amendment to the budget:

1. Establish a program to reduce the University’s total state appropriation- and tuition-funded headcount by 1 percent through attrition over the next year (in addition to the 44 positions proposed for elimination by the President), which will reduce expenditures by $10.7 million.

2. Amend Section III, A, Salaries, and all other references and attachments, by reducing the general wage increase for all employee groups, except Faculty and Graduate and Undergraduate Assistants, from 2 percent to 1 percent, which will reduce expenditures by $6.2 million.

3. Amend Section III, B, Tuition, and all other references and attachments, to reflect a 1 percent decrease for resident undergraduate tuition system-wide, which will reduce revenues by $15.8 million.

4. Using savings obtained from numbers 1 and 2 above, allocate funds to units in place of revenue that would have been generated by the President’s proposed increases in resident undergraduate tuition. Any remaining funds shall be deposited to central reserves.

The motion was seconded.

Sviggum provided his rationale for the amendment, positioning it as a starting point to reduce resident undergraduate tuition and address the growth in total headcount. He contrasted salaries for University employees to comparable positions in state government, noting that University salaries often are significantly higher.

Regent Powell thanked Sviggum for the amendment and stated that he agrees philosophically with it. He emphasized his desire to find ongoing efficiencies, while ensuring that resources are available for the core mission of the University. He suggested that Burnett develop a plan to move the University toward addressing increasing costs and decreased revenue, including an examination of purchasing practices, salary bands, and how to operate smarter overall. He indicated that the budget offers the Board and administration time to develop a holistic plan to do so.

Regent Beeson added his appreciation for Sviggum’s work in crafting the amendment, but stated that he would oppose it. He cautioned against tying tuition rate increases to the consumer price index, given the unique cost pressures faced by higher education. He expressed concern that this budget would move the University into maintenance mode. Beeson noted that at a time when many higher education institutions are reducing staff and programs, the University has the opportunity to boldly increase total investment. He pointed out programs that need additional funding to increase their standing, such as the medical school. Beeson stated his view that the Minnesota Legislature should help the University make that investment. Beeson asked about the total cost of compliance and noted that he has requested that number for some time.

Regent McMillan stated his agreement with Powell and offered his interest in the first and second parts of the amendment, along with a desire to build a framework that addresses those areas. He explained that in his view, the current business model is unsustainable.
Rosha expressed his desire to have more information and conversations about the items raised by the amendment. While he noted that the timing of the amendment was atypical of the process, he indicated that he would support the amendment to advance the conversation.

Simmons thanked Sviggum for his amendment, calling it thoughtful and principled. She highlighted administrative cost savings and reallocations as an example of the work being done to control costs. She suggested that investments associated with human subject research are one example of necessary rising administrative costs. Simmons cautioned that the University is in a complex market and units need resources to retain the best employees.

Regent Anderson explained that while he supports the spirit of the amendment, he is not ready to vote for it. He noted he would like to focus on tuition reduction but expressed concern with an across-the-board cut to the proposed funding for salary increases without more information on the potential impact.

Regent Omari stated that much of the salary discussion had focused on highly paid employees, but argued that lower-paid staff would be adversely affected by the proposed reduction to the general wage increase. He noted the Board should be asking whether those staff earn a livable wage and if the University is competitive in that market.

Sviggum emphasized that he viewed the amendment as a modest proposal. He recounted a conversation with friends who indicated that they would not donate to the University until costs are better controlled. He responded to the comments made by other Regents, emphasizing that adoption of the amendment sends a great message to the people of the state and to the legislature.

The Board voted three to nine against the motion and the Sviggum amendment failed. Hsu, Rosha, and Sviggum voted in favor of the amendment.

Regent Cohen expressed her agreement with Beeson. She observed that recent increases in resident undergraduate tuition were reasonable and expressed her preference to stay with a three percent increase to drive investment. Cohen offered that she would also prefer to have the NRNR rate at 10 percent. She stated her belief that NRNR students bring diversity and often stay in Minnesota after graduation, benefiting the state as a whole.

Rosha expressed his appreciation for the new process used to consider the budget. He indicated disappointment in the lack of data to justify the proposed salary increases for this year, and emphasized the need for data to enable better decisions on compensation increases. He explained that the University often casts the legislature as a villain, yet has not done enough to partner with the legislature on its goal of serving more Minnesota students. Rosha noted the need for additional data on each campus within its specific higher education market as a way to analyze tuition increases and salary allocations.

Regent Lucas cautioned against using NRNR tuition to plug revenue holes. She stated her belief that the state needs more talent and the University can serve to attract that talent.

Omari stated that while NRNR tuition should increase, he questions how fast it should go up. He expressed concern that the proposed increase will limit the diversity of NRNR students who enroll, predicting that only those who can afford to pay will enroll. Omari added that while he is in support of much of the budget, he would vote no given the NRNR increase.

Powell noted that the 2 percent tuition increase was more in line with inflation. He described that the budget lacks clarity on creating new efficiencies that could help control tuition, ensure access, and fund new investments in the mission. Powell stated his belief that the Board is discussing the right things and making progress.
In response to questions from Regent Hsu, Kaler explained that Minnesota State Colleges and Universities increased resident undergraduate tuition by 3 percent this year and is required by the legislature to freeze tuition next year. He noted that the legislature requested that the University keep tuition flat. Burnett estimated that the cost to freeze resident undergraduate tuition across the system given the updated budget proposal was $8 million.

Hsu moved to amend the budget to reduce the proposed tuition increase for all undergraduate resident students system-wide to zero. The motion was seconded. Hsu requested a roll call vote.

In response to a comment from Omari, Hsu proposed that the budget be adjusted to account for the difference in revenue in the same manner outlined in attachment 13 of the budget, with slight adjustments based on the updated proposal.

Anderson asked for clarification on how the rest of the budget would be adjusted if the Hsu amendment were approved. Burnett explained that as outlined in attachment 13, the proposed compensation increase would be reduced to one percent, along with a reduction in the other investments noted.

Johnson called for the roll call vote to be taken on the Hsu amendment. The vote was as follows:

- Regent Anderson Nay
- Regent Beeson Nay
- Regent Cohen Nay
- Regent Hsu Yay
- Regent Lucas Nay
- Regent McMillan Nay
- Regent Omari Nay
- Regent Powell Nay
- Regent Rosha Yay
- Regent Simmons Nay
- Regent Sviggum Yay
- Chair Johnson Nay

Three votes were cast in favor of the motion and nine votes were cast against the motion. The Hsu amendment failed.

The Board voted 8-4 to approve the resolution related to the FY 2018 annual operating budget as follows. Hsu, Omari, Rosha, and Sviggum voted no.

WHEREAS, the University of Minnesota as the state’s public, land grant university is charged with the responsibility to pursue knowledge and help apply that knowledge through research and discovery, teaching and learning, and outreach & public service; and

WHEREAS, the State of Minnesota, through its legislative and executive branches, has appropriated $658,666,000 in state general fund monies for fiscal year 2017-18 to the University of Minnesota, which includes an increase over fiscal year 2016-17 of $32,337,000, for the pursuit of its mission and in support of our goals and objectives; and

WHEREAS, $10,050,000 of that increased appropriation is nonrecurring; and

WHEREAS, the University of Minnesota is committed to achieving standards of national and international excellence; and
WHEREAS, the future of the University is premised on partnerships within the University community of faculty, staff and students, with the State of Minnesota, other educational institutions, business and industry, alumni, local communities, and the citizens of Minnesota;

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents hereby approves the University of Minnesota Fiscal Year 2017-18 Annual Operating Budget as follows:

The Fiscal Year 2017-18 Annual Operating Budget approved by the Board of Regents includes the following attachments from the President’s Recommended FY18 Operating Budget:

Attachment 2  Resource and Expenditure Budget Plan (University Fiscal Page)
Attachment 5  University of Minnesota 2017-18 Tuition Plan: Tuition Rates
Attachment 7  University of Minnesota 2017-18 Tuition Plan: Course/Class Fees
Attachment 8  University of Minnesota 2017-18 Tuition Plan: Miscellaneous Fees
Attachment 9  University of Minnesota 2017-18 Tuition Plan: Academic Fees
Attachment 10 University of Minnesota 2017-18 Student Services Fees
Attachment 11 Fund Forecast - Centrally Distributed and Attributed Funds

Johnson expressed his gratitude to the Board for the honor of serving as chair.

The meeting adjourned at 2:05 p.m.

[Signature]
BRIAN R. STEEVES
Executive Director
and Corporate Secretary
A meeting of a Special Selection Committee of the Board of Regents was held on Wednesday, July 12, 2017 at 8:15 a.m. in the Hail! Minnesota Room, McNamara Alumni Center.

Regents present: Patricia Simmons, presiding; David McMillian and Kendall Powell.

Staff present: President Eric Kaler, Vice President Brooks Jackson; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Others present: Sarah Dirksen.

President Kaler reported that Dr. Levi Downs resigned his seat on the Fairview Health Services (Fairview) Board of Directors. Kaler nominated Senior Vice President for Finance and Operations Brian Burnett to fill the open seat. Brooks Jackson supported this nomination, noting the important connection this appointment would create between Fairview and the University.

A motion was made and seconded, and the committee voted unanimously to recommend approval of the appointment of Brian Burnett to the University representative seat for the remainder of a three-year term.

The meeting adjourned at 8:32 a.m.
A meeting of the Board of Regents of the University of Minnesota was held on Wednesday, July 12, 2017 at 9:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; Thomas Anderson, Richard Beeson, Linda Cohen, Michael Hsu, Dean Johnson, Peggy Lucas, Abdul Omari, Kendall Powell, Darrin Rosha, Patricia Simmons, and Steve Sviggum.

Staff present: President Eric Kaler; Chancellors Lendley Black, Mary Holz-Clause, and Stephen Lehmkuhle; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Kathy Brown, Bernard Gulachek, and Matt Kramer; Interim Vice Presidents Michael Berthelsen, and Alan Levine; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Associate Vice Presidents Stuart Mason, Julie Tenneson, and Michael Volna.

RECOGNITIONS

Chancellor, University of Minnesota Rochester

President Kaler recognized University of Minnesota Rochester Chancellor Stephen Lehmkuhle, who will retire at the end of the fiscal year.

NCAA Champion

President Kaler recognized University of Minnesota Duluth student-athlete Emi Trost for capturing a 2017 NCAA championship in Outdoor Track and Field. Recognition was also given to Head Coach Joanna Warmington.

INTRODUCTIONS

Chancellor, University of Minnesota Crookston

President Kaler introduced University of Minnesota Crookston Chancellor Mary Holz-Clause, who began her appointment on June 30, 2017. Holz-Clause received a Ph.D. in Agriculture Education and Extension, a master’s in Public Administration, and a B.S. in Agriculture Business, all from Iowa State University. She briefly addressed the Board.

Vice President for Information Technology and CIO

President Kaler introduced Vice President for Information Technology and CIO Bernard Gulachek. Gulachek is a 1985 graduate of the College of Liberal Arts. He briefly addressed the Board.
Dean, Humphrey School of Public Affairs

President Kaler and Provost Hanson introduced Dean of the Humphrey School of Public Affairs Laura Bloomberg. Bloomberg received a Ph.D. in Educational Policy and Administration from the University of Minnesota, master's degrees in psychometrics and educational psychology from Cornell University, and a bachelor's degree in special education from St. Cloud State University. She briefly addressed the Board.

Academic Professionals & Administrators Consultative Committee Chair

President Kaler introduced Academic Professionals & Administrators Consultative Committee Chair Catherine St. Hill. St. Hill is the Director of Research Advancement for the College of Pharmacy, an adjunct associate professor in the Experimental and Clinical Pharmacology department, and a graduate faculty member in the Pharmaceutical Care and Health Systems department.

Civil Service Consultative Committee Chair

President Kaler introduced Civil Service Consultative Committee Chair Ray Muno. Muno is the Information Technology Manager in the Department of Aerospace Engineering and Mechanics in the College of Science and Engineering.

APPROVAL OF MINUTES

A motion was made and seconded. In response to a comment from Regent Rosha, Executive Director Steeves agreed to a technical correction in the minutes. The Board of Regents voted unanimously to approve the minutes of the following meetings:

- Litigation Review Committee – June 8, 2017
- Board of Regents Meeting – June 8, 2017
- Academic & Student Affairs Committee – June 8, 2017
- Finance Committee – June 8, 2017
- Facilities, Planning & Operations Committee – June 8, 2017
- Faculty & Staff Affairs Committee – June 8, 2017
- Audit & Compliance Committee – June 8, 2017
- Governance & Policy Committee – June 8, 2017
- Board of Regents Work Session – June 9, 2017
- Board of Regents Meeting – June 9, 2017

REPORT OF THE PRESIDENT

President Kaler congratulated Chair McMillan on his new leadership position. Kaler reported on several recent University achievements, including the groundbreaking for the Chemistry and Advanced Materials Science Building at the Duluth campus and the University Libraries being awarded the National Medal for Museum and Library Sciences. He reported on efforts by University Relations to promote the University's positive impact on the community. Kaler reported that the incoming freshman class is the largest in many decades.

A copy of the Report of the President is on file in the Board Office.
REPORT OF THE CHAIR

Chair McMillan thanked former Chair Johnson for his dedication to the Board and presented him with a commemorative gift. McMillan expressed his gratitude to the Board for the opportunity to serve in his new leadership role.

A copy of the Report of the Chair is on file in the Board Office.

RECEIVE AND FILE REPORTS

Chair McMillan noted the receipt and filing of the Board of Regents Policy Report.

CONSENT REPORT

Chair McMillan presented for action the Consent Report as described in the docket materials, including:

- Gifts
- Finance & Operations Committee Consent Report
- North Dakota Reciprocity Agreement

Regent Powell recused himself from action on the Consent Report due to a potential conflict of interest related to a gift from General Mills. A motion was made and seconded, and the Board of Regents voted unanimously to approve the Consent Report.

ANNUAL UNIVERSITY PROGRESS CARD REVIEW

Chair McMillan invited President Kaler and Lincoln Kallsen, Director of Institutional Analysis, to review the University Progress Card, as detailed in the docket.

Kaler reviewed the purpose of the progress card in measuring specific metrics and goals, noting improvement on many metrics. Kallsen reported on progress toward goals in the areas of access and affordability; student success; research and academic excellence and operational excellence. He summarized the measures and discussed next steps.

Regent Simmons discouraged the Board from making too many edits to the card. She observed that improvement on measures such as graduation rates and research demonstrates improvement in the way the University manages its resources. Simmons suggested that the metrics should highlight ways the University serves the state.

Regent Beeson remarked that the card has been valuable to the administration in helping to drive work planning and goal setting. He suggested reviewing the measures given the addition of two Board members, but cautioned against adding too many more metrics.

Regent Omari observed that the University is already surpassing some of its goals, such as four-year graduation rates. He suggested setting loftier goals in areas of success. In response to a question from Omari, Kallsen noted there is no need to worry about the University’s credit rating, even with upcoming action on debt issuance.

In response to a question from Regent Johnson, Kallsen reported that degree requirements for student-athletes are no different than for other students. Kaler emphasized that student-athletes are carefully monitored to ensure they fulfill the appropriate requirements.
Regent Rosha suggested refining, rather than adding, measures. He observed that system campuses have different considerations than the Twin Cities campus, citing enrollment caps as an example. He expressed a preference for a separate enrollment measure solely for the Twin Cities campus. Kaler agreed that comingling enrollment data can be misleading, adding he believes one way to avoid confusion is to present the information in a different way.

In response to a question from Regent Hsu, Kallsen noted that the report is updated at the end of each spring and fall term. He clarified that the academic year runs through summer term, so there will be additional data in a few months.

Regent Powell suggested considering what will take the place of Operational Excellence once that measure is no longer relevant.

**UPDATE ON UMORE PARK**

Chair McMillan invited Senior Vice President Burnett, Interim Vice President Berthelsen, and Associate Vice President Volna to provide an update on UMore Park, as detailed in the docket.

Berthelsen reviewed the history and uses of the land. He summarized current land allocations and remarked on the potential for remediation in certain parts of the UMore Park East area.

Volna reviewed the development plan for the UMore East plot, detailing residential, commercial, and industrial uses. He emphasized that the development plan is vague since it will depend on many variables over a 30-year timeline. He outlined the potential net proceeds to the University based on revenue from land sales and gravel leasing, as well as infrastructure and remediation costs. He cautioned that these are very preliminary estimates.

In response to several questions from Regent Lucas, Berthelsen noted that University deans and college leaders are planning transitions to ensure that research taking place on site will continue smoothly. Volna reported that proceeds from gravel sales are generally on track, though there was a slight dip this year.

Regent Hsu asked if proceeds from UMore transactions are allocated to specific funds. Volna responded that proceeds are allocated to a UMore legacy fund. A separate fund also has been established to maintain debt; once the account is in the black, money automatically transfers to the legacy funds. In response to a question from Hsu, Volna explained that CFANS is the only University unit with space at UMore, but added that certain community organizations use the space as well.

Regent Powell noted the risk associated with remediation efforts, depending on the level of contamination. He suggested additional knowledge and resources may be needed before moving forward.

Regent Omari remarked on the wide range of potential net proceeds reported and asked for greater clarity about what is expected. Volna responded that proceeds from land sales are the easiest components to forecast, since few parties are involved. Other outcomes involve more parties and are more difficult to predict. The hope, Volna explained, is to provide the Board with best case and worst case scenarios, and to evaluate the opportunity and risk associated with each option.

Regent Rosha suggested keeping legislators informed and involved. He expressed hope that the process continues in an open and transparent manner.
Chair McMillan invited UMAA President and CEO Lisa Lewis, 2017 UMAA Board Chair Dan McDonald, and 2018 UMAA Board Chair Susan Ulsaker Wiese to provide an update on the status of alumni relations at the Twin Cities campus, as detailed in the docket.

Lewis discussed the profiles of alumni – geographically, academically, and by level of engagement. She reported on new tools and better data to more accurately evaluate alumni engagement and tailor communications to specific audiences. She discussed outreach efforts and reported on corporate alumni networks, the Drive with Pride license plate campaign, financial gifts, alumni service and mentorship, and global alumni participation.

Ulsaker Wiese shared UMAA’s goals for the upcoming year: a five-year strategic plan; greater engagement with students and recent alumni; and using engagement data to better serve alumni and expand relationships.

Regent Cohen inquired about the rationale for targeting younger alumni as part of the strategic planning process. Lewis responded that one goal is to cultivate alumni relationships while students are still in school or soon after graduation. She noted that this early engagement is key to establishing positive relationships and developing longer-term participation.

In response to a question from Regent Lucas, Lewis estimated good revenue potential for the license plate program. UMAA evaluated sales for other affinity plates within the state and participation is strong. Lewis added that other states have brought in over a million dollars in revenue in similar programs. She explained that proceeds from license plate sales will go to scholarship programs.

Regent Beeson praised involvement with corporate partners and encouraged growth into other public and private enterprise. He urged additional consideration of senior community living.

In response to questions from Regent Sviggum, Lewis responded that the UMAA coordinates with Government Relations and the president’s office on messaging and strategy. She explained that the most effective method of advocacy is for legislators to hear from their constituents, rather than from lobbyists.

Chair McMillan invited Intercollegiate Athletics Director Mark Coyle to present the annual report on intercollegiate athletics, as detailed in the docket.

Coyle reported that Intercollegiate Athletics has 25 programs with more than 700 student-athletes, and an FY18 budget of $113 million. He highlighted academic and athletic accomplishments, noting several national recognitions and awards. He detailed the organizational structure and certain staff changes, including the hire of several administrators and head coaches in football and women’s tennis. Coyle also reviewed changes in training and policy review for Athletics staff and student athletes. This includes mandatory sexual harassment trainings and reviews of compliance and best practices.

Coyle described facilities updates, provided an overview of projects underway, and outlined goals for future projects. He discussed the department’s financial status, detailing major expenses and sources of revenue, and offered a projection of spending and revenue for the coming year. He explained that the department’s guiding principles are action, honesty, humility, and innovation.
Regent Beeson observed that many athletic facilities require improvement. He urged better long-range financial planning to address these issues. He noted the lack of available land to take advantage of infrastructure development opportunities. Beeson encouraged the administration to continue acquiring property whenever possible. Coyle agreed with the importance of long-term planning.

Regent Rosha asked whether the University evaluates its programs to determine if they meet current needs. Coyle noted that the department continuously evaluates the success of its programs and compares those programs to the needs of the state and the nation. Rosha requested the results of that analysis.

Regent Hsu commented on increased personnel costs, especially in light of several coaching transitions. He asked how department reserves will be rebuilt. Coyle noted that the previous head football coach was one of the lowest paid in the conference, which helped keep personnel costs low. He replied that his department will monitor reserves carefully. In response to a comment from Hsu, Coyle acknowledged that transparency is no longer included in the department’s key values given limits on how transparent he and his staff can be under student privacy laws.

In response to a question from Regent Omari, Coyle remarked that the department works closely with OHR to ensure proper processes and procedures when hiring new staff, and to navigate any exceptions to policy.

Regent Johnson remarked on the difficulty accessing radio coverage of Gopher sports in greater Minnesota. Coyle responded that the University has a partnership with iHeartRadio to provide coverage locally, nationally, and globally. He reported ongoing efforts to educate Gopher fans on the new and different ways of accessing sports coverage.

**BOARD OF REGENTS POLICY: RESERVATION AND DELEGATION OF AUTHORITY**

Chair McMillan invited Executive Director Steeves to introduce for approval Board of Regents Policy: Reservation and Delegation of Authority (Appointment Authority), as detailed in the docket.

Steeves reviewed the timeline of proposed changes to the policy. He provided an overview of Big Ten institutional policies on delegation thresholds and presented three options: A) maintain the status quo, B) establish a dollar threshold, and C) name specific positions that require approval.

Regent Simmons moved approval of option C. She reiterated that there is no best practice for delegation of authority and stressed the importance of selecting an option that works well for the University.

Regent Cohen seconded the motion. She remarked that option C offers the most clarity for approval thresholds.

Regent Rosha moved to amend the Simmons motion as follows:

1. Remove letters g and h from Article I, Section IV, Subd. 1 and reorder the section accordingly.

2. Substitute the following language for Article I, Section IV, Subd. 1, paragraph 3:
For positions not listed above, the Board reserves to itself authority to appoint all individuals and approve any individually negotiated terms of employment, and any significant contract amendments thereto, when the appointment or employment agreement or amendment:

a. raises unusual questions of public interest or public policy;
b. has a significant impact on the University’s mission; or
c. has a total potential value greater than $1 million.

Regent Hsu seconded the motion.

Rasha remarked that this is an issue of accountability and that the proposed amendment demonstrates that the Board is protecting the public interest. He noted that the Board already reserves the right to review any contract with a value greater than $1 million and that the amendment simply clarifies this authority as related to employment agreements. He suggested that the dollar threshold demonstrates accountability without implying that certain sports are more important than others. Rasha argued this approach ensures that high-dollar contracts are prudently implemented.

Hsu observed that the discussion is about greater enforcement of an existing policy. He suggested that Rosha is calling for greater specificity regarding the employment agreements subject to the policy. Steeves clarified that another section of the policy specifies a $2 million dollar threshold for commercial transactions.

McMillan expressed concern that the construction of the policy is happening piecemeal. He suggested that the Board advance the policy today and return it to the Governance & Policy Committee (GOV) for a more detailed review sometime in the future. He stressed the importance of establishing correct policy language.

Regent Omari disagreed that the policy should go back to GOV. He observed that the policy already offers the necessary permissions, but greater clarity is required.

Regent Lucas cautioned that specifically naming the coaches of two men’s sports excludes women’s sports. She noted this is not a good message.

Regent Johnson suggested only adopting item C of the proposed amendment, noting that the issue seems to be about the $1 million threshold. Rosha responded that parts A and B are from another part of the policy and clarify contracts that meet a specific threshold or are of significant public interest. He suggested that when a contract is $1 million or more, there is an expectation that the Board has an opportunity for review. He added that it does not change the policy but does create greater clarity.

Cohen agreed that making policy changes in a piecemeal fashion is not ideal. She noted she will not support the amendment.

In response to a suggestion from Hsu, Rosha declined to modify his proposed amendment to increase the $1 million threshold to $2 million annually. He suggested that the Board is reluctant to exercise oversight and emphasized the importance of reviewing large employment contracts to ensure proper hiring decisions. He cautioned against including an annual provision, since the University is financially obligated regardless.

McMillan rejected the idea that the Board is not willing to provide oversight. He clarified his intent that the policy be drafted with careful consideration for all factors.

Hsu moved to amend the Rosha amendment to increase the threshold to $2 million annually. Regent Sviggum seconded the motion. The Board of Regents voted against the Hsu motion.

Regent Anderson suggested that the discussion is less about salaries than about safeguarding the University and protecting public interests.

Steeves clarified that the motion on the table is an amended version of the policy included in the docket. He clarified that the Rosha amendment removed the head coaches of football and men’s basketball from the list of personnel.

Regent Simmons observed that inclusion of the two men’s head coaches ultimately benefits women’s sports, since there is an additional level of scrutiny. She noted that the Board cannot be involved in making every decision and must trust the administration.

Regent Beeson cautioned against including high-profile coaches given strong Regent involvement in those sports. He observed that the contracts have not been poorly negotiated and salaries have been below those of other institutions.


McMillan committed to return the policy for additional discussion in GOV and stressed the importance of establishing the correct policy language.

RESOLUTION RELATED TO ISSUANCE OF DEBT

Chair McMillan invited Senior Vice President Burnett and Associate Vice President Volna to present for approval a Resolution Related to Issuance of Debt. Burnett reported that the resolution will approve the issuance of debt in the principal amount of up to $141 million. These funds will finance and/or reimburse the University for the purchase of land and buildings, construction and remodeling projects, the acquisition and installation of equipment, and costs of issuance. Burnett noted that these projects were approved by the Board as part of the University’s capital planning process.

A motion was made and seconded.

In response to a question from Regent Hsu, Kaler explained that any fundraising dollars for the Athletes Village and track will be used before relying on debt. Burnett confirmed that the goal is to rely on debt only when necessary.

Regent Beeson asked why the administration is requesting such a large issuance given the previous commitment to commercial paper. Burnett stressed the importance of capturing low interest rates and noted that the debt issuance does not preclude the use of commercial paper as part of the University’s overall strategy.

The Board of Regents voted unanimously to approve the Resolution Related to the Issuance of Debt, as follows:

WHEREAS, it has been proposed that the University proceed with a plan of financing which involves, among other things, the issuance and sale of indebtedness (such indebtedness, whether issued in the form of bonds, notes, commercial paper or such other form of indebtedness as may be designated by the University, the “Debt”), the proceeds of which are to be used to finance University capital projects which may

Board of Regents
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include purchases of land and buildings, construction and remodeling projects, and the acquisition and installation of equipment, as well as the costs of issuance of the Debt; and

WHEREAS, the Debt will be issued pursuant to one or more Order(s) of the University, which will contain the terms of such Debt and agreements and covenants of the University with respect to the payment of the principal of, premium or discount, if any, and interest on such Debt.

NOW, THEREFORE, BE IT RESOLVED by the Board of Regents (Board) as follows:

1. To provide funds to finance capital projects which may include purchases of land and buildings, construction and remodeling projects, and the acquisition and installation of equipment, as well as the costs of issuance of such financing(s) the Board hereby authorizes the sale and issuance of Debt in the principal amount of up to $141,000,000. The Debt may be issued in one or more forms and one or more series, each to mature (or if commercial paper, to have an amortization schedule terminating) not later than the date that is 25 years after the date of issuance. The Treasurer is authorized to determine the form(s) in which the Debt will be issued, whether or not the Debt will be issued as general obligations of the University and whether or not the Debt will be issued in a form that is tax-exempt under Section 103 of the Internal Revenue Code of 1986, as amended.

2. The purchases of land and buildings, construction and remodeling projects, or acquisition of equipment to be financed by the proceeds of the Debt shall be those the source of funding of which is so designated by the Board or by the Treasurer as part of the University's capital planning process.

3. If issued in the form of bonds, the Debt may be sold in either a negotiated sale or a competitive process, as determined by the Treasurer. The Treasurer is authorized to negotiate and approve the terms and conditions of the appropriate agreement or agreements with financial advisors, banks, investment banking firms, or other financial institutions, including the terms and conditions upon which their services will be rendered and the terms and conditions upon which the Debt will be sold and issued. The Treasurer is further authorized to negotiate and approve the terms and conditions of any credit support or liquidity facility for any series of Debt. Such agreements shall be in the form and contain such rights, obligations, covenants, agreements, representations and warranties of the University as are approved by the Treasurer and the General Counsel.

4. In connection with the issuance of any series of Debt, the President and Treasurer are authorized to execute and deliver on behalf of the University the Order or any supplement or amendment thereto under which the Debt is to be issued in the form and containing such covenants, agreements, representations and warranties as is approved by the Treasurer and the General Counsel, and the Secretary and Treasurer are authorized to execute and deliver the Debt in accordance with such Order or any supplement or amendment thereto. The signatures of the Secretary and/or Treasurer on the documents evidencing the Debt may be by facsimile.

5. The President and Treasurer are authorized to execute and deliver a purchase agreement with the initial purchaser or purchasers of any series of Debt in the form and containing such covenants, agreements, representations and warranties of the University as are approved by the Treasurer and General Counsel.

6. The Treasurer is authorized to approve the Preliminary Official Statement, final Official Statement, Offering Memorandum, Offering Circular, or other offering material
or any supplements or amendments thereto to be prepared and distributed to any purchaser or potential purchaser of a series of Debt, and the President is authorized to execute and deliver the final Official Statement or any supplements or amendments thereto. In the case of the sale of any series of Debt in a competitive process, the Treasurer is authorized to approve the Notice of Sale and any amendment thereto.

7. The appropriate University officers are authorized to execute and deliver all other documents, certificates and to take such action as may be necessary or appropriate in connection with the issuance and sale of the Debt.

8. The Secretary and other officials of the University are authorized and directed to prepare and furnish to any purchasers of the Debt certified copies of all proceedings and records of the University as may be required or appropriate to evidence the facts relating to the legality of the Debt as such facts appear from the books and records in the officers’ custody and control or as otherwise known to them; and all such certified copies, certificates and affidavits, including any heretofore furnished, shall constitute representations of the University as to the truth of all statements contained therein.

9. The execution of any document by the appropriate University officers herein authorized shall be conclusive evidence of the approval of such documents in accordance with the terms hereof. In the absence of the President or Treasurer, any Order, final Official Statement, purchase agreement or any other document to be executed by the President or Treasurer in connection with the Debt may be executed by the Chair or Vice Chair instead of the President and by the Secretary instead of the Treasurer.

REPORT OF THE SPECIAL SELECTION COMMITTEE

Regent Simmons, Chair of the committee, reported that the committee voted unanimously to recommend:

1. Approval of the appointment of Brian Burnett to the University representative seat on the Fairview Health Services Board of Directors, for the remainder of a three-year term.

The Board of Regents voted unanimously to approve the recommendation of the Special Selection Committee.

NEW BUSINESS

Chair McMillan invited President Kaler to report on the University’s participation in the state’s bid to host the 2023 World Expo. Kaler reported that the proposed resolution supports the bid while acknowledging that the University must still operate throughout the event.

A motion was made and seconded.

Regent Simmons disclosed that she has a formal role with the committee overseeing bid efforts. She emphasized that her primary consideration in this discussion is that the University’s mission is well served and its resources are protected.

Regent Rosha asked for clarification about the bidding process and the University’s approach. Kaler referenced several discussions about requests made by the bid committee and the proper level of University involvement. He added that the University wants to attract the Expo, but only if certain needs are met that allow the experience to be successful. Regent Simmons agreed that risk is involved but that it is a positive opportunity for the University.
Regent Johnson stressed the importance of sharing the resolution with legislators and community leaders. Kaler agreed.

Regent Beeson suggested meeting with representatives from the Expo to better understand the financial implications of the event.

In response to questions from Regent Hsu, Kaler responded that one option includes use of the transitway for Expo traffic, which would require a new route for University purposes. Kaler clarified that Granary Road is not in place for the level of traffic expected with the Expo.

The Board of Regents voted unanimously to approve the Resolution Related to the Minnesota Bid to Host the 2023 World Expo, as follows:

WHEREAS, the Board of Regents (Board) recognizes the unique opportunity a Minnesota World Expo could provide to showcase our state, our faculty, our research, and the University regardless of location; and

WHEREAS, the Board of Regents (Board) affirms its strong support for the World Expo to be located in Minneapolis and associated with the University of Minnesota; and

NOW, THEREFORE, BE IT RESOLVED, that the Board supports exploration of the Expo 2023 organizing committee’s Site Option 1 utilizing University property east of 23rd Avenue and north of the Transitway, but excluding the Thompson Center for Environmental Management and any University land south of the Transitway; and

BE IT FURTHER RESOLVED, that this support is conditioned on transportation improvements at the Huron Avenue exit from I-94, completion of Granary Road, and a new Transitway, all to be completed by 2023; and

BE IT FURTHER RESOLVED, that the Expo 2023 organizing committee is requested to work directly with the Administration on any University involvement in assisting in logistics, siting, hosting events, and planning for the Expo, recognizing that the University will expect to be provided fair market value compensation for any rental, services provided, or costs incurred; and

BE IT FURTHER RESOLVED, that the Administration is directed to support the work of the Expo 2023 organizing committee to develop the Expo on this site predicated on the ability to maintain campus operations during the development, operation, and decommissioning of the Expo; avoiding any financial exposure to the institution; and ensuring any disruption or displacement of University assets be made whole with an equivalent replacement.

The meeting adjourned at 12:44 p.m.

BRIAN R. STEEVES
Executive Director
and Corporate Secretary

Board of Regents
July 12, 2017
A meeting of the Litigation Review Committee of the Board of Regents was held on Thursday, July 20 at 11:00 a.m. in the East Committee Room, 600 McNamara Alumni Center.

Regents present: Richard Beeson, presiding; Thomas Anderson and Steven Sviggum. Dean Johnson, Darrin Rosha, and Patricia Simmons participated by phone.

Staff present: President Eric Kaler, Interim Vice President Alan Levine, General Counsel Douglas Peterson, Executive Director Brian Steeves, Associate Vice President Jay Schrankler.

Others present: Michael Albert, Ed Gates, Christopher Henry, Gerald Hrycyszyn, and Brian Slovut.

RESOLUTION TO CONDUCT NON-PUBLIC MEETING OF THE LITIGATION REVIEW COMMITTEE

A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents Litigation Review Committee has balanced the purposes served by the Open Meeting Law and by the attorney-client privilege, and determined that there is a need for absolute confidentiality to discuss litigation strategy in particular matters involving the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with Minn. Stat. § 13D.01, Subd. 3 and 13D.05 Subd. 3(b), a non-public meeting of Litigation Review Committee be held on Thursday, July 20, 2017 at 11:00 a.m. in the East Committee Room, 600 McNamara Alumni Center, for the purpose of discussing attorney-client privileged matters related to the following:

I. Regents of the University of Minnesota v. Gilead Sciences, Inc.

The committee voted unanimously to adopt the resolution and the public portion of the meeting ended at 11:02 a.m.

The meeting adjourned at 12:12 p.m.
A special meeting of the Special Oversight Committee of the Board of Regents was held on Wednesday, August 16, 2017 at 8:30 a.m. in the East Committee Room, 600 McNamara Alumni Center.

Regents present: Thomas Anderson, presiding; Michael Hsu, Peggy Lucas, David McMillan, Abdul Omari, and Steven Sviggum.

Staff present: General Counsel Douglas Peterson; Executive Director Brian Steeves; and Chief Auditor Gail Klatt.

Regent Anderson invited General Counsel Peterson and Chief Auditor Klatt to comment on the joint report and recommendations on Title IX and athletic discipline practices (report) prepared by Dorsey and Whitney, the Office of Internal Audit, and the Office of the General Counsel, as detailed in the docket.

Peterson discussed the importance of the University's ongoing work to address student safety and ensure a fair process. He indicated that individuals on both sides hold strong opinions on how incidents of sexual misconduct should be adjudicated and what the role of a higher education institution should be. He offered his appreciation to the committee and Dorsey and Whitney attorneys John Marti and Jillian Kornblatt for approaching this topic in a judicious and considerate manner.

Klatt stated that her role in the external review (review) was to ensure independence and that all areas of the charge were addressed. Klatt stated that Marti and Kornblatt had complete autonomy in conducting the review and were provided with all information they requested from the University. She commented that in her view, the work performed by Marti and Kornblatt is thorough and complete in addressing the charge.

Anderson invited Marti and Kornblatt to review the report.

Marti noted that the report is a joint report that includes their specific findings and recommendations, joint findings and recommendations, and a summary of the University's current efforts to address incidents of sexual misconduct. He added his agreement with Klatt that the review had full autonomy and access to information, and that the findings were not influenced by the Board or any University staff members.

Marti explained that the issues of student and employee privacy presented a challenge in preparing the report. He stressed that given federal law, significant constraints limit the information that can be shared in a public forum. Marti and Kornblatt outlined the findings and recommendations included in the report.

In response to a question from Regent Sviggum, Kornblatt explained that the report includes a list of individuals who declined to be interviewed. She stated that the refusals did not result in gaps in information.
Sviggum asked Marti and Kornblatt to confirm that the report did not find any violations by the University of law, policy, or procedures. Marti responded that the University's response was consistent with law and University policies.

Sviggum commented that he agrees with the recommendation that the Office of Equal Opportunity and Affirmative Action (EOAA) allow students accused of sexual misconduct to respond to preliminary EOAA findings and provide additional information. He noted that given the potential impact on an accused student, this recommendation should be instituted regardless of the additional time it might add to the EOAA investigation process.

In response to a question from Sviggum, Marti noted that identification of the third parties mentioned in the report is available to the Board in a separate attorney-client privileged communication.

Sviggum inquired about measures used by Marti and Kornblatt to determine that the former football coaching staff showed weak leadership. Marti offered that the finding is based on their judgment, informed by facts. He added that his judgment is informed by his substantial professional and leadership experience, including performing management and leadership reviews during his tenure at the United States Department of Justice.

Sviggum agreed that more coordination between the Board, administration, and the Athletics Department is important. He inquired if it is possible that the involvement of some Regents helped to end the boycott by the football team. Marti stated that it was.

Regent Lucas offered that the report's recommendations are important for refining and improving University processes. She stated her view that the University should not move away from zero-tolerance of sexual misconduct. She expressed confidence that the Board and administration will address the recommendations.

Lucas wondered why the University has a more robust system to deal with incidents of sexual misconduct than other Big Ten institutions. Kornblatt responded that the review did not include that issue. Lucas expressed her comfort that the University is a leader within the Big Ten in this area and her hope for future collaboration.

Anderson observed that no current University employees refused to be interviewed during the review. Kornblatt reiterated that all current University employees spoke at length with Marti and herself. In response to a question from Anderson, Kornblatt confirmed that accused students are informed multiple times and in multiple ways that they can bring a lawyer or advocate with them when meeting with EOAA staff.

Anderson observed that a number of federal and state laws and University policies govern the response to incidents of sexual misconduct. He asked if the differences between them created confusion and mistrust among students, coaches, administrators, and Regents. Marti confirmed that the differences and resulting confusion led to suspicion and mistrust. He indicated that the confusion led some to question why the University could take action after the Hennepin County Attorney declined to file charges. Marti suggested that if those involved had a better understanding of the processes, that understanding might have alleviated some of the strong reaction, criticism, and suspicion of the University's actions. He added that even with more understanding, the difference in roles and responsibilities between the University and law enforcement may continue to create criticism and suspicion.

Anderson invited Dean John Finnegan, chair of President Kaler’s initiative to prevent sexual misconduct (initiative), to discuss how the report will influence the work of the initiative.
Finnegan described the initiative and its objectives. He noted the need for engagement across the entire University community and a focus on changing culture through a long, sustained effort. He stated that the goal of the committee is to provide the president with a plan by October 2, 2017 to enhance student education and engagement, create a sustainable public health awareness campaign, develop required training for all faculty and staff, and develop metrics for evaluating the impact of the initiative's efforts. He conveyed that bringing the insight and experience of the many University offices that address sexual misconduct into the initiative will be critical to its success.

Lucas expressed hope for the work of the initiative and interest in reviewing the recommendations presented to the president.

Svigum asked if Finnegan agreed with the report’s findings regarding the University’s policies toward sexual misconduct. Finnegan offered that while he had not done the level of investigation of the University’s policies that Marti and Kornblatt had, he agreed with the previous comments that they go above and beyond what many Big Ten institutions have in place. He recounted meetings this past spring where he heard from survivors of sexual misconduct that they had access to excellent support services on the Twin Cities campus. He offered his belief and agreement that the University’s policies and resources should always be focused on continuous quality improvement.

Anderson commented that the University exists first and foremost for its students. He expressed his belief that the University should always be ahead of the curve and that the initiative will help the University do so.

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to the joint report and recommendations on Title IX and athletic discipline practices.

The meeting adjourned at 9:35 a.m.

BRIAN R. STEEVES  
Executive Director and Corporate Secretary
AGENDA ITEM: Report of the President

[ ] Review  [ ] Review + Action  [ ] Action  [X] Discussion

This is a report required by Board policy.

PRESENTERS: President Eric W. Kaler

PURPOSE & KEY POINTS

It is customary for the President to report on items of interest to the University community at each Board meeting.
AGENDA ITEM: Report of the Chair

☐ Review    ☐ Review + Action    ☐ Action    X Discussion

☐ This is a report required by Board policy.

PRESENTERS: Regent David J. McMillan

BACKGROUND INFORMATION

It is customary for the Chair to report on items of interest to the University community at each Board meeting.
Board of Regents

AGENDA ITEM: Receive & File Reports

☐ Review  ☐ Review + Action  ☐ Action  ☒ Discussion

This is a report required by Board policy.

PRESENTERS: Regent David J. McMillan

PURPOSE & KEY POINTS

The following items are included for receipt and filing:

A. Quarterly Report of Grant & Contract Activity
Meeting of the Board of Regents

Quarterly Report of Grant/Contract Activity

Fiscal Year 2017

Fourth Quarter Data: April - June, 2017
Quarter 4 Summary

Both award count and amount were up in Q4 FY2017 (115 and $7.0M, respectively). The average award amount in Q4 FY2017 was lower than Q4 FY2016 by $6K. The increase is primarily due to more $1M+ awards this quarter. Excluding the $1M+ awards for both years, the amount awarded in Q4 FY2017 is still more than Q4 FY2016 by $567.1K (0.4%); award counts were 1168 and 1281 for FY2016 and FY2017 respectively. Excluding $1M+ awards, the average award amount for Q4 FY2017 is $99.9K, $9.2K less than Q4 FY2016; this suggests that both more $1M+ awards and, to a lesser extent, more sub-$1M+ awards are driving the increase in Q4 FY2017. Quarter 4 FY2017 had two more $1M+ awards. There was also $6.4M more funding from $1M+ awards than Q4 FY2016. State & Local funders awarded three $1M+ awards for $9.7M that contributed significantly to the $6.9M increase in Q4 FY2017. One of these awards, an award delayed from Q3, was for $6.7M. NIH awarded $5.7M less in $1M+ awards than Q4 FY2016. NSF had two $1M+ awards totaling $4.7M in Q4 FY2016 and none in Q4 FY2017; this substantially contributed to NSF’s decline in funding, but fewer and smaller awards also played a large part.

Most of the decline in funding for the College of Science and Engineering is due to fewer and smaller awards. The Medical School had a $16.6M increase in funding; $11.4M of that increase comes from an additional six $1M+ awards received. The college received over a third of all Q4 FY2017 awards, and most of its funding came from B&I and NIH. MN Extension received one $1M+ award which contributed $6.7M of the $9.1M increase in the Other group. Some awards from Leidos are still delayed, contributing to the School of Public Health’s $5.0M decline. The drop in Other TC Provost funding is mostly due to one award in Q4 FY2016 to The Graduate School for $2.1M; it had no similar sized award in Q4 FY2017.

Comparison of FY17 Q4 to FY16 Q4

<table>
<thead>
<tr>
<th>by Source</th>
<th>FY16 Q4</th>
<th>FY17 Q4</th>
<th>$ Change (Amount)</th>
<th>$ Change (%)</th>
</tr>
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<tr>
<td>Sponsor Group</td>
<td>Number</td>
<td>Amount</td>
<td>Number</td>
<td>Amount</td>
</tr>
<tr>
<td>Federal NIH</td>
<td>175</td>
<td>68.7</td>
<td>236</td>
<td>64.0</td>
</tr>
<tr>
<td>Federal NSF</td>
<td>77</td>
<td>17.4</td>
<td>66</td>
<td>9.0</td>
</tr>
<tr>
<td>Federal Other Federal</td>
<td>106</td>
<td>15.4</td>
<td>87</td>
<td>13.2</td>
</tr>
<tr>
<td>Private Business &amp; Industry</td>
<td>323</td>
<td>15.0</td>
<td>451</td>
<td>27.2</td>
</tr>
<tr>
<td>Private Other Private</td>
<td>390</td>
<td>27.9</td>
<td>365</td>
<td>31.2</td>
</tr>
<tr>
<td>State &amp; Local State &amp; Local</td>
<td>110</td>
<td>10.1</td>
<td>91</td>
<td>17.0</td>
</tr>
<tr>
<td>Total</td>
<td>1181</td>
<td>154.5</td>
<td>1296</td>
<td>161.5</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>by College/Campus</th>
<th>Number</th>
<th>Amount</th>
<th>Number</th>
<th>Amount</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Medical School</td>
<td>366</td>
<td>39.7</td>
<td>486</td>
<td>56.3</td>
<td>16.6</td>
<td>41.9%</td>
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<tr>
<td>College of Science and Engineering</td>
<td>169</td>
<td>30.3</td>
<td>153</td>
<td>23.2</td>
<td>7.1</td>
<td>-23.4%</td>
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<tr>
<td>Other</td>
<td>48</td>
<td>6.7</td>
<td>57</td>
<td>15.8</td>
<td>9.1</td>
<td>-34.8%</td>
</tr>
<tr>
<td>Other Health Sciences</td>
<td>152</td>
<td>14.6</td>
<td>190</td>
<td>14.7</td>
<td>0.1</td>
<td>0.6%</td>
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<tr>
<td>Dentistry, Nursing, Pharmacy &amp; Vet Med</td>
<td>67</td>
<td>9.8</td>
<td>86</td>
<td>12.7</td>
<td>3.0</td>
<td>30.3%</td>
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<tr>
<td>Public Health</td>
<td>59</td>
<td>14.5</td>
<td>57</td>
<td>9.5</td>
<td>5.0</td>
<td>-34.4%</td>
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<tr>
<td>CFANS</td>
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<td>123</td>
<td>8.7</td>
<td>1.1</td>
<td>-10.9%</td>
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<tr>
<td>CBS</td>
<td>36</td>
<td>7.6</td>
<td>33</td>
<td>5.7</td>
<td>1.9</td>
<td>-24.7%</td>
</tr>
<tr>
<td>CLA</td>
<td>19</td>
<td>8.2</td>
<td>26</td>
<td>5.3</td>
<td>2.8</td>
<td>-34.8%</td>
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<tr>
<td>CEHD</td>
<td>31</td>
<td>4.9</td>
<td>32</td>
<td>4.5</td>
<td>0.3</td>
<td>-9.6%</td>
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<tr>
<td>UMC, UMD, UMM, UMR</td>
<td>50</td>
<td>3.6</td>
<td>34</td>
<td>3.8</td>
<td>0.2</td>
<td>6.5%</td>
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<tr>
<td>Other TC Provost</td>
<td>42</td>
<td>5.1</td>
<td>19</td>
<td>1.2</td>
<td>3.9</td>
<td>-76.3%</td>
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<tr>
<td>Total</td>
<td>1181</td>
<td>154.5</td>
<td>1296</td>
<td>161.5</td>
<td>7.0</td>
<td>4.5%</td>
</tr>
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NOTE: Amounts are represented in millions, therefore details may not sum to totals due to rounding.
NOTE: Amounts are represented in millions, therefore details may not sum to totals due to rounding.
Quarter 4 Award Dollars by College/Campus

Figure 3: Units with Greater than $10 Million Awarded Annually

Figure 4: Units with Less than $10 Million Awarded Annually

*Other Units includes: Carlson School of Management, Health Sciences Administration, The Graduate School, UM Rochester, University Health & Safety, University Libraries.
Fiscal Year-to-Date Summary

FY2017 had 185 more awards but $43M less in funding than FY2016. The average award in FY2017 was $15K smaller. FY2017 had fourteen fewer $1M+ awards, resulting in a $42.8M decline - approximately the amount funding is down year-over-year. B&I had one more $1M+ award in FY2017 than in FY2016; the other sponsor types had fewer. There were eight fewer $1M+ awards from Federal sponsors, accounting for $23.3M. State & Local sponsors had six fewer $1M+ awards, accounting for $13.2M. Excluding $1M+ awards from the analysis, FY2017 is only down $292K from FY2016, with 199 more awards and an approximately $5K smaller average award amount. Dr. James Neaton received $19M less funding in FY2017 which comprises 44% of the total decline. He also received a delayed $30.3M award in FY2016, inflating his funding for the year. Furthermore, Leidos funding to Neaton was down $16.5M, some of which is delayed although some funding has been cut as well. Leidos awards from last year were startup funds; the startup funding has not been spent to date. The One Health Workforce project only received $3.3M in FY2017 compared to $12.3M in FY2016, contributing $9.0M to the decline in year-over-year funding; there is still an additional $6.6M to be funded on this project, but that money has until 2020 to be awarded. Figures 5 and 6 show the longer term trending and will be described in more detail during the December 2017 research annual report.

The Medical School received its largest increases in funding from USDOD, Universities and Colleges, and B&I with increases of $7.2M, $5.7M, and $9.5M respectively; NIH is still the largest source of funding for the school with an increase of $4.2M and a total of $113M. The School of Public Health has the largest decline, largely due to the Dr. James Neaton awards noted above. Most sources of funding (all but B&I) and most Colleges/Campuses (all but the Medical School, CFANS, and CEHD) show declines in funding. About 60% of CFANS's $7.8M increase comes from an increase in B&I funding of $4.7M; $2.5M of the increase comes from increased USDOD funding.

Comparison of FY17 Fiscal Year-to-Date (Q4) to FY16 Fiscal Year-to-Date (Q4)

<table>
<thead>
<tr>
<th>Sponsor Group</th>
<th>Sponsor</th>
<th>FY16 YTD</th>
<th>FY17 YTD</th>
<th>$ Change (Amount)</th>
<th>$ Change (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal</td>
<td>NIH</td>
<td>611</td>
<td>669</td>
<td>-13.1</td>
<td>-5.1%</td>
</tr>
<tr>
<td>Federal</td>
<td>NSF</td>
<td>302</td>
<td>270</td>
<td>-12.6</td>
<td>-15.0%</td>
</tr>
<tr>
<td>Federal</td>
<td>Other Federal</td>
<td>478</td>
<td>465</td>
<td>-1.3</td>
<td>-1.1%</td>
</tr>
<tr>
<td>Private</td>
<td>Business &amp; Industry</td>
<td>1361</td>
<td>1578</td>
<td>3.1</td>
<td>3.8%</td>
</tr>
<tr>
<td>Private</td>
<td>Other Private</td>
<td>1483</td>
<td>1482</td>
<td>-4.7</td>
<td>-3.1%</td>
</tr>
<tr>
<td>State &amp; Local</td>
<td>State &amp; Local</td>
<td>427</td>
<td>383</td>
<td>-14.5</td>
<td>-16.0%</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>4662</td>
<td>4847</td>
<td>-43.1</td>
<td>-5.5%</td>
</tr>
</tbody>
</table>

NOTE: Amounts are represented in millions, therefore details may not sum to totals due to rounding.
Figure 5: 10-year trend of fourth quarter award dollars. Showing actual amount and rolling 3-year average. Includes ARRA awards.

Figure 6: 10-year trend of fourth quarter award dollars. Showing actual amount and rolling 3-year average. Excludes ARRA awards.
BOARD OF REGENTS
DOCKET ITEM SUMMARY

Board of Regents

AGENDA ITEM: Consent Report

Review X Review + Action Action Discussion

This is a report required by Board policy.

PRESENTERS: Regent David J. McMillan

PURPOSE & KEY POINTS

To seek Board of Regents approval of items in the Consent Report, as required in Board of Regents Policy: Reservation and Delegation of Authority.

Items for consideration:

A. Gifts
   The President recommends approval of the Summary Report of Gifts to the University of Minnesota through June 30, 2017.

B. Summary of Expenditures
   The President recommends approval of the Summary of Expenditures Report.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the Consent Report.
September 2017 Regents Meeting

<table>
<thead>
<tr>
<th></th>
<th>June 2017</th>
<th>June 2016</th>
<th>Year-to-Date 07/01/16</th>
<th>Year-to-Date 07/01/15</th>
<th>Year-to-Date 06/30/17</th>
<th>Year-to-Date 06/30/16</th>
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<td>U of M Gift Receiving</td>
<td>$37,431</td>
<td>$200,790</td>
<td>$3,992,020</td>
<td>$3,333,911</td>
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<td>4-H Foundation</td>
<td>60,057</td>
<td>59,079</td>
<td>688,743</td>
<td>890,231</td>
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<tr>
<td>Arboretum Foundation</td>
<td>739,241</td>
<td>2,634,894</td>
<td>5,967,373</td>
<td>12,003,503</td>
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<td></td>
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<tr>
<td>Univ of MN Foundation</td>
<td>22,206,984</td>
<td>32,389,949</td>
<td>325,928,025</td>
<td>296,081,326</td>
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<tr>
<td>Total Gift Activity</td>
<td>$23,043,713</td>
<td>$35,284,712</td>
<td>$336,576,161</td>
<td>$312,308,971</td>
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</table>

*Detail on gifts of $5,000 and over is attached.

Pledges are recorded when the commitment is made. To avoid double reporting, any receipts which are payments on pledges are excluded from the report amount.
## Gifts to benefit the University of Minnesota

### Gifts received in June 2017

<table>
<thead>
<tr>
<th>Donor</th>
<th>Gift/Pledge</th>
<th>Purpose of gift</th>
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<td><strong>$1 Million and Over</strong></td>
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<tr>
<td>Bentson Fdn.</td>
<td>Pledge</td>
<td>Scholarships, Medical School</td>
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<tr>
<td>Esther J. Perlman Estate</td>
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<td>College of Veterinary Medicine</td>
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<tr>
<td>The Hormel Fdn.</td>
<td>Gift</td>
<td>Hormel Institute</td>
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<td>Bruce W. Cooper Estate</td>
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<td>Medical School</td>
</tr>
<tr>
<td>U S Bank Fdn.</td>
<td>Pledge</td>
<td>Carlson School of Management</td>
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<tr>
<td><strong>$250,000 - $500,000</strong></td>
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<td>Helen Z. Elahi Estate</td>
<td>Gift</td>
<td>Global Programs and Strategy Alliance</td>
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<td>Bill and Melinda Gates Fdn.</td>
<td>Gift</td>
<td>College of Education and Human Development</td>
</tr>
<tr>
<td>National Fluid Power Association</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
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<tr>
<td>Anonymous</td>
<td>Gift</td>
<td>Medical School</td>
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<td><strong>$100,000 - $250,000</strong></td>
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<td>Elinore D. Wolff Estate</td>
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<td>Broucker Services Inc.</td>
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<td>Meridian Behavioral Health LLC</td>
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<td>Academic Health Center</td>
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<td>Gopher Sports Properties</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
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<td>Carlson School of Management</td>
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<td>Elizabeth Colson Estate</td>
<td>Gift</td>
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<tr>
<td>Timothy E. and Valerie K. Doherty</td>
<td>Pledge</td>
<td>Carlson School of Management</td>
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<td>Boston Scientific Corp.</td>
<td>Gift</td>
<td>Carlson School of Management, Medical School</td>
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<td>Elaine K. Kramer Estate</td>
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<td>Academic Health Center</td>
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<tr>
<td>The McKnight Fdn.</td>
<td>Gift</td>
<td>Institute on the Environment</td>
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<tr>
<td>Gregory D. and Janice E. Aplin</td>
<td>Pledge</td>
<td>Intercollegiate Athletics</td>
</tr>
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<td>Wells Fargo Fdn.</td>
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<td>College of Education and Human Development</td>
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<tr>
<td>Arnoldo and Neva Hax</td>
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<td>University of Minnesota Duluth</td>
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<tr>
<td>Frank J. Indihar Jr. Estate</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Katherine A. Nelson</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
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<tr>
<td>Stephen A. English</td>
<td>Pledge</td>
<td>University of Minnesota Duluth</td>
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<tr>
<td>W. M. Fdn.</td>
<td>Gift</td>
<td>College of Veterinary Medicine</td>
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$50,000 - $100,000
Lowell F. Schwab
Gift
Undesignated
Lily E. and Duane M. Christ
Gift
College of Education and Human Development
Target Corp.
Gift
Various Colleges
Medtronic Inc.
Gift
Humphrey School of Public Affairs, Medical School
3M Co.
Gift
Various Colleges
Craig W. and Melanie J. Weber
Pledge
University of Minnesota Duluth
Richard M. Schulze Family Fdn.
Gift
College of Biological Sciences, Minnesota Landscape Arboretum
Robert B. Henton Residuary Trust
Gift
College of Food, Agricultural and Natural Resource Sciences
Cargill Inc.
Gift
Various Colleges
Peter T. Beniares
Pledge
Intercollegiate Athletics
Buuck Family Fdn.
Gift
Scholarships
David Winton Bell Fdn.
Pledge
College of Food, Agricultural and Natural Resource Sciences
Donald and JoAnne Heltner
Pledge
Carlson School of Management
J.E. Dunn Construction Co.
Gift
College of Continuing Education
Joseph F. Sullivan
Gift
Medical School
Joyce M. Schowalter
Gift
School of Nursing
Lawrence Family Fdn.
Gift
Medical School
Mitsui Chemicals Inc.
Gift
College of Science and Engineering
Myron E. Bloom
Gift
School of Dentistry
Robert E. Foster Jr.
Pledge
School of Public Health
St. Jude Medical Inc.
Gift
College of Science and Engineering
Stanislaw Skrowaczewski Estate
Gift
College of Liberal Arts

$25,000 - $50,000
Patricia S. Kane
Gift
Medical School, School of Nursing
National Sports Center
Gift
Academic Health Center
Northwestern Mutual Fdn.
Gift
Medical School, Academic Health Center
Starkey Hearing Technologies Inc.
Gift
College of Liberal Arts
Barbara Mackey Kaerwer Estate
Gift
Minnesota Landscape Arboretum
Mark and Muriel Wexler Fdn.
Gift
Scholarships
Rudolf C. Dankwort
Gift
Medical School
Protein Resources LLC
Gift
College of Food, Agricultural and Natural Resource Sciences
Patrick and Kelly McGinnis
Pledge
Carlson School of Management
Marie H. Ankeny Legacy Fund-Mpls Fdn.
Gift
Minnesota Landscape Arboretum
Arvid Olson Estate
Gift
Medical School
$25,000 - $50,000
Bray Family Trust  Gift  Medical School
Adopt A Room Fdn.  Gift  Academic Health Center
David E. Romm  Gift  College of Design
Ernst and Young Fdn.  Gift  Carlson School of Management
Lucille M. Almon Estate  Gift  College of Liberal Arts
Torgerson Family Fdn.  Gift  Carlson School of Management
Virginia Johnston Wimmer Charitable Trust  Gift  College of Liberal Arts
Webster Family Fdn.  Gift  Minnesota Landscape Arboretum
Dorothy Freischel Estate  Gift  College of Liberal Arts
Douglas/Margaret Goodlund Fund-AZ Comm. Fdn.  Gift  School of Dentistry
Results Fdn.  Pledge  Scholarships
Gary J. and Patricia K. Ray  Gift  Hormel Institute
Fredrick C. and Christine H. Meyer  Gift  Minnesota Landscape Arboretum
Bayer Corp.  Gift  College of Food, Agricultural and Natural Resource Sciences
Microsoft Corp.  Gift  College of Science and Engineering
Andrews-Hunt Fund-Mpls Fdn.  Gift  Global Programs and Strategy Alliance
PIC USA Inc.  Gift  College of Veterinary Medicine
Anonymous  Pledge  College of Design
Charles M. and Victoria L. Osborne  Pledge  Carlson School of Management
Dawson/McVey Family Charitable Fund  Gift  Medical School
George Barany  Gift  College of Science and Engineering
Hubbard Broadcasting Inc.  Gift  Academic Health Center
Joel H. Mack  Pledge  Intercollegiate Athletics
Marilyn M. Sauer  Pledge  College of Education and Human Development
Martha E. Andresen Wilder  Gift  College of Education and Human Development
Scrooby Fdn.  Gift  Minnesota Landscape Arboretum
Shakopee Mdewakanton Sioux Community  Gift  Medical School
Syngenta Crop Protection LLC  Gift  College of Food, Agricultural and Natural Resource Sciences
UPM Blandin Paper Co.  Gift  University of Minnesota Duluth
World Wildlife Fund  Gift  University of Minnesota Extension

$10,000 - $25,000
Marcia B. Kulick Estate  Gift  University of Minnesota Duluth
Benjamin F. Nelson Estate  Gift  Medical School
Charles E. Proshke Fdn.  Gift  Medical School
Dolores A. Donner Estate  Gift  College of Veterinary Medicine
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<th>Name and Source</th>
<th>Purpose</th>
<th>Unit</th>
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<td></td>
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<tr>
<td>Alfred W. Erickson Fdn.</td>
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<td>Medical School</td>
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<tr>
<td>ASMS</td>
<td>Gift</td>
<td>Academic Health Center</td>
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<tr>
<td>Cavendish Farms Inc.</td>
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<td>College of Food, Agricultural and Natural Resource Sciences</td>
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<tr>
<td>General Mills Inc.</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences, Humphrey School of Public Affairs</td>
</tr>
<tr>
<td>Jeannine M. Rivet</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
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<td>NCASI Natl Council for Air/Stream Improv Inc.</td>
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</tr>
<tr>
<td>Paul and Michelle Koch Family Fund-Natl. Phil. Trust</td>
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<td>Intercollegiate Athletics</td>
</tr>
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<td>Quali Tech Inc.</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
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<td>Robert and Judith Rubin Fund</td>
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<td>The Diabesity Research Fdn.</td>
<td>Gift</td>
<td>Medical School</td>
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<td>Gift</td>
<td>College of Liberal Arts</td>
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<tr>
<td>Marguerite Henry Family Trust</td>
<td>Gift</td>
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<tr>
<td>Bayer CropScience LP</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
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<tr>
<td>WestRock</td>
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$10,000 - $25,000

Sinclair Winton  Gift  Minnesota Landscape Arboretum
Lincolnway Energy LLC  Gift  College of Food, Agricultural and Natural Resource Sciences
Justin Carroll  Gift  Intercollegiate Athletics
Merrill K. Cragun Jr. and Irma M. Cragun  Gift  Intercollegiate Athletics
Rick D. Moulton  Gift  Intercollegiate Athletics
Jean C. Schlemmer  Gift  College of Liberal Arts, Intercollegiate Athletics
Patrick W. Archbold  Gift  Intercollegiate Athletics
Jabil Circuit Inc.  Gift  College of Science and Engineering
American Legion Family Brain Sciences Fdn.  Gift  Medical School
Syvilla M. Turbis Estate  Gift  Medical School, Academic Health Center

Edith W. and Robert Jones Endowment Fund- Mpls Fdn.  Gift  College of Biological Sciences
The Head Family Fdn.  Gift  Minnesota Landscape Arboretum
Greewood Trust  Gift  Minnesota Landscape Arboretum
Delores E. Isaacson  Gift  Minnesota Landscape Arboretum
Joel M. and Catherine P. Koemptgen  Gift  University of Minnesota Duluth
Jean McGough Holten  Gift  College of Liberal Arts
Julie A. Matonich  Gift  College of Liberal Arts
Minnesota Milk Producers Association  Gift  College of Food, Agricultural and Natural Resource Sciences
Agriculture and Agri-Food Canada  Gift  College of Food, Agricultural and Natural Resource Sciences
Michael C. Nowakowski  Gift  Intercollegiate Athletics
Carmen D. Campbell  Gift  Minnesota Landscape Arboretum
Charles S. Zimmerman  Pledge  Law School
John G. Kulick Estate  Gift  University of Minnesota Duluth
Art and Martha Kaemmer Fund-HRK Fdn.  Gift  Weisman Art Museum

Douglas and Janet Yee  Gift  Academic Health Center
James L. and Roberta A. Craig  Pledge  School of Public Health
Jean W. Ward  Gift  College of Liberal Arts
Allied Blacktop Co.  Gift  Medical School
Anonymous  Gift  Medical School
Bart and Sally Finzel Charitable Fnd-inFaith Comm .Fnd  Gift  University of Minnesota Morris
BioLegend Inc.  Gift  Medical School
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Mark T. Allen
Gift
Minnesota Landscape Arboretum,
Intercollegiate Athletics

Nick D. Kovitch
Gift
Academic Health Center

You Learn Inc.
Gift
College of Food, Agricultural and Natural
Resource Sciences

Charles H. and Joyce M. Gauck
Gift
Intercollegiate Athletics

Edward N. and Sherry Ann Dayton
Gift
College of Food, Agricultural and Natural
Resource Sciences

Theodore V. and Barbara A. Galambos
Gift
College of Science and Engineering

Walter and Nancy Olson
Gift
Academic Health Center

Royal and Karen Alworth
Gift
University of Minnesota Duluth

LaPointe Utilities Inc.
Gift
Intercollegiate Athletics

Bradley S. Baumgard
Gift
Intercollegiate Athletics

Twin Town Trading
Gift
Intercollegiate Athletics

Daniel P. Moloney
Gift
Intercollegiate Athletics

Wendy Pradt Lougee
Gift
Academic Health Center

Brian and Jill Reynolds
Gift
University of Minnesota Extension

Jean S. Pierre
Gift
Minnesota Landscape Arboretum

Allen and Linda Saeks-Signature Fund Mpls Fdn.
Gift
Law School

Anonymous
Gift
Graduate School

Arif Nazir
Gift
Academic Health Center

Armand E. Brachman
Gift
Minnesota Landscape Arboretum

BASF Corp.
Gift
College of Food, Agricultural and Natural
Resource Sciences

Bender Family Charitable Fund-Tulsa Comm. Fdn.
Gift
Law School

Carl and Aune Lind Family Fdn.
Gift
Medical School

Carol and Perry Hackett Charitable Fund-Schwab Char.
Gift
Medical School

Catherine Ludden and Eric Rothenberg
Gift
Law School

Celgene Corp.
Gift
Unrestricted

CoBank
Gift
4H Foundation

CSL Behring
Gift
Medical School

David M. Cowan
Pledge
University of Minnesota Duluth

David Montgomery
Gift
University of Minnesota Duluth

Delores M. Weaver
Gift
College of Pharmacy

Dick and Joyce H. McFarland Family Fund-Mpls Fdn.
Gift
Global Programs and Strategy Alliance

Donald B. Vander Mey
Gift
College of Biological Sciences

Ecolab Inc.
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College of Food, Agricultural and Natural
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*Detail on gifts of $5,000 and over is attached.

Pledges are recorded when the commitment is made. To avoid double reporting, any receipts which are payments on pledges are excluded from the report amount.
## Gifts to benefit the University of Minnesota

**Gifts received in July 2017**

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<td><strong>$500,000 - $1,000,000</strong></td>
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<td>Huawei Technologies Co. Ltd</td>
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<td>Chainbreaker</td>
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<tr>
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<td>Darby M. and Geraldine M. Nelson</td>
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<td>Donald L. and Patricia A. Garofalo</td>
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<td>Helen J. Morrison</td>
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### $25,000 - $50,000

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### $10,000 - $25,000

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<td>Kjell Bergh</td>
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**$10,000 - $25,000**

- Martha H. Williams
  - Gift
  - University of Minnesota Morris
- Merz North America Inc.
  - Gift
  - Medical School
- Reginald D. Steer
  - Gift
  - Law School
- Robert K. Eddy
  - Gift
  - College of Science and Engineering
- Roman Donor Advised Fund-Fidelity Charitable
  - Gift
  - Carlson School of Management
- South Washington Watershed District
  - Gift
  - College of Food, Agricultural and Natural Resource Sciences
- Sulvaris Inc.
  - Gift
  - College of Food, Agricultural and Natural Resource Sciences
- Susan E. Meyer and Daniel W. Shogren
  - Gift
  - University of Minnesota Duluth
- The Blake Fdn.
  - Gift
  - Carlson School of Management
- The Dow Chemical Co.
  - Gift
  - College of Science and Engineering
- The Waters Senior Living Management LLC
  - Gift
  - Center for Spirituality and Healing
- The William H. and Janet M. King Family Fund
  - Gift
  - Law School

**$5,000 - $10,000**

- Theodore S. Storck
  - Gift
  - University of Minnesota Morris
- Metropolitan Regional Arts Council
  - Gift
  - Minnesota Landscape Arboretum
- Denise Retka
  - Gift
  - University of Minnesota Crookston
- Syngenta Crop Protection LLC
  - Gift
  - College of Food, Agricultural and Natural Resource Sciences
- Robert and Beverly Sundahl
  - Gift
  - College of Science and Engineering
- Eldore B. Nash
  - Gift
  - Medical School
- Minnesota Power Fdn.
  - Gift
  - University of Minnesota Duluth
- TIF Fdn.
  - Gift
  - College of Liberal Arts
- William R. Loney Estate
  - Gift
  - Medical School
- Xcel Energy Fdn.
  - Gift
  - College of Veterinary Medicine
- Yvonne P. Oaks Estate
  - Gift
  - Medical School
- Covestro
  - Gift
  - College of Science and Engineering
- East Central Energy Association
  - Gift
  - School of Public Health
- Eastman Fdn.
  - Gift
  - College of Science and Engineering
- Northland Fdn.
  - Gift
  - Minnesota Prison Doula Project
- Jacqueline H. Smith
  - Gift
  - Minnesota Landscape Arboretum, College of Veterinary Medicine
- Jeffrey A. and Mary K. Gorski
  - Pledge
  - College of Science and Engineering
- Mark H. Wingerd
  - Gift
  - Intercollegiate Athletics
- Golden Dunkers Booster Club Inc.
  - Gift
  - Intercollegiate Athletics
- Merrill K. Cragun Jr.
  - Gift
  - Intercollegiate Athletics
- Truist
  - Gift
  - Various Colleges
### $5,000 - $10,000

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<td>Richard P. Burke</td>
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<td>General Mills Canada Corp.</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>George P. and Barbara M. Hagemann</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
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<tr>
<td>H. B. Fuller Community Affairs</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Henry M. Paulson</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
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<tr>
<td>Ingersoll-Rand</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>James I. and Susan G. Swenson</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>Jonathan R. Miller Family Fund--STP Fdn.</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>L. W. and C. V. Collins Charitable Fund-Vanguard Charitable</td>
<td>Gift</td>
<td>College of Pharmacy</td>
</tr>
<tr>
<td>Lake Washington Improvement Association</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Larry Fitzgerald Inc.</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Lizbeth Thomas Snyder</td>
<td>Gift</td>
<td>Medical School</td>
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### $5,000 - $10,000

<table>
<thead>
<tr>
<th>Name</th>
<th>Type</th>
<th>Department</th>
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<tbody>
<tr>
<td>Mark and Jodie Zesbaugh</td>
<td>Gift</td>
<td>Medical School</td>
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<tr>
<td>Monsanto Fund</td>
<td>Gift</td>
<td>4H Foundation</td>
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<tr>
<td>Nickolas B. and Anny H. Reimer</td>
<td>Gift</td>
<td>Medical School</td>
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<tr>
<td>Robert D. and Susan L. Gehrz</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
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<tr>
<td>Roger H. Dreher</td>
<td>Gift</td>
<td>Medical School</td>
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<tr>
<td>Ronald Piervincenzi</td>
<td>Gift</td>
<td>College of Pharmacy</td>
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<tr>
<td>Russell Freedman</td>
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<td>Libraries</td>
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<td>Spectrum Pharmaceuticals Inc.</td>
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<td>The Dencker Trust</td>
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<td>College of Science and Engineering</td>
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<tr>
<td>Thomas P. Magne</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Timothy S. Brown</td>
<td>Gift</td>
<td>University of Minnesota Morris</td>
</tr>
<tr>
<td>William J. and Judith E. Marko</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
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</tbody>
</table>
UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS  
SUMMARY OF EXPENDITURES  
GENERAL OPERATIONS & MAINTENANCE FUND  
TWELVE MONTHS ENDING JUNE 30, 2017

<table>
<thead>
<tr>
<th>FY 2017</th>
<th>FY 2016</th>
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<tbody>
<tr>
<td><strong>Budgeted</strong></td>
<td><strong>Actual</strong></td>
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<tr>
<td>Revenues / Expenditures</td>
<td>Revenues / Expenditures</td>
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<tr>
<td>Beginning Balance (Prior Year Carryforward)</td>
<td>$338,000</td>
</tr>
<tr>
<td>Revenues</td>
<td>Total Allocation</td>
</tr>
<tr>
<td>Net Transfers</td>
<td>$ -</td>
</tr>
<tr>
<td>Total Resources</td>
<td>$1,224,537</td>
</tr>
<tr>
<td>Expenditures</td>
<td>Salaries</td>
</tr>
<tr>
<td>Fringe Benefits</td>
<td>$177,071</td>
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<tr>
<td>Supplies, Equipment, Board Expenses, etc.</td>
<td>$228,403</td>
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<tr>
<td>Total Expenditures</td>
<td>$981,855</td>
</tr>
<tr>
<td>Ending Balance</td>
<td>$242,682</td>
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</tbody>
</table>

*The difference in actual expenditures from FY16 to FY17 is due primarily to a months-long vacancy in the Board Associate, Communications & Committees position and salary increases mandated by the Job Family Study.*
### UNIVERSITY OF MINNESOTA
### PRESIDENT’S OFFICE
### SUMMARY OF EXPENDITURES
### GENERAL OPERATIONS AND MAINTENANCE FUND
### 12 MONTHS ENDING JUNE 30TH, 2017
### (Unaudited)

#### CURRENT YEAR VS. PRIOR YEAR

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Beginning Balance (Prior Year Carry forward)</strong></td>
<td>$321,288</td>
<td>$392,539</td>
<td>$442,060</td>
<td>$445,458</td>
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<tr>
<td><strong>Revenues</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Transfer in</td>
<td>$260,912</td>
<td>$250,163</td>
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<tr>
<td>Total Year Allocation</td>
<td>$3,384,562</td>
<td>$3,384,562</td>
<td>$3,294,534</td>
<td>$3,294,534</td>
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<tr>
<td><strong>Total Resources</strong></td>
<td>$3,966,762</td>
<td>$4,027,264</td>
<td>$3,736,594</td>
<td>$3,739,992</td>
</tr>
<tr>
<td><strong>Expenditures</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>President's Office Salaries</td>
<td>$1,720,622</td>
<td>$1,612,635</td>
<td>$1,699,174</td>
<td>$1,611,280</td>
</tr>
<tr>
<td>President's Office Fringe Benefits</td>
<td>$702,574</td>
<td>$670,079</td>
<td>$701,581</td>
<td>$671,341</td>
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<tr>
<td>Supplies, Expense, Equipment</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ofc of the President-General Operations</td>
<td>$124,292</td>
<td>$165,509</td>
<td>$131,217</td>
<td>$109,029</td>
</tr>
<tr>
<td>President's Travel &amp; External Relations</td>
<td>$26,116</td>
<td>$30,193</td>
<td>$26,116</td>
<td>$20,425</td>
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<tr>
<td>Academic/Programmatic Initiatives</td>
<td>$451,055</td>
<td>$758,622</td>
<td>$331,767</td>
<td>$440,891</td>
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<tr>
<td>University Wide Memberships</td>
<td>$318,212</td>
<td>$331,090</td>
<td>$425,000</td>
<td>$416,244</td>
</tr>
<tr>
<td><strong>Sub Total Expenditures-President's Ofc</strong></td>
<td>$3,342,871</td>
<td>$3,568,127</td>
<td>$3,314,855</td>
<td>$3,269,210</td>
</tr>
<tr>
<td>Eastcliff Management Office Salaries</td>
<td>$59,325</td>
<td>$60,013</td>
<td>$58,276</td>
<td>$60,253</td>
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<tr>
<td>Eastcliff Management Office Fringe Benefits</td>
<td>$10,959</td>
<td>$11,068</td>
<td>$11,079</td>
<td>$11,387</td>
</tr>
<tr>
<td>Supplies, Expense, Equipment</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Eastcliff Management Ofc-General Operations</td>
<td>$12,433</td>
<td>$1,971</td>
<td>$13,518</td>
<td>$6,602</td>
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<tr>
<td><strong>Sub Total Expenditures-Eastcliff</strong></td>
<td>$82,717</td>
<td>$73,051</td>
<td>$82,873</td>
<td>$78,243</td>
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<tr>
<td><strong>Total Expenditures</strong></td>
<td>$3,425,588</td>
<td>$3,641,179</td>
<td>$3,397,728</td>
<td>$3,347,453</td>
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<td><strong>Ending Balance</strong></td>
<td>$541,174</td>
<td>$386,085</td>
<td>$338,866</td>
<td>$392,539</td>
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</tbody>
</table>
## University of Minnesota
Facilities Management and President's Office Summary of Expenditures for Eastcliff
General Operations and Maintenance Fund
12 MONTHS ENDING JUNE 30TH, 2017
(unaudited)

<table>
<thead>
<tr>
<th></th>
<th>CURRENT YEAR</th>
<th>PRIOR YEAR</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>FM Eastcliff Management 2016/17</td>
<td>Eastcliff event Management 2016/17</td>
</tr>
<tr>
<td><strong>Beginning Balance (Prior Year Carry forward)</strong></td>
<td>$0</td>
<td>$225,788</td>
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<tr>
<td><strong>Revenues</strong></td>
<td></td>
<td></td>
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<tr>
<td>Total Year Allocation</td>
<td>$342,811</td>
<td>$71,253</td>
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<tr>
<td>Transfer from Office of the President</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>Transfer from the general contingency fund</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>Transfer from Central Reserves</td>
<td>$44,088</td>
<td>$44,088</td>
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<tr>
<td><strong>Total Resources</strong></td>
<td>$386,899</td>
<td>$297,041</td>
</tr>
<tr>
<td><strong>Expenditures</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Salaries and Fringe Benefits</td>
<td>$114,327</td>
<td>$71,081</td>
</tr>
<tr>
<td>Supplies, Expense, Equipment</td>
<td>$272,014</td>
<td>$1,971</td>
</tr>
<tr>
<td>Transfer to FM</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>Transfer to/(from) the Eastcliff Project Reserve</td>
<td>$558</td>
<td>$558</td>
</tr>
<tr>
<td><strong>Total Expenditures</strong></td>
<td>$386,899</td>
<td>$73,051</td>
</tr>
<tr>
<td><strong>Ending Balance</strong></td>
<td>($0)</td>
<td>$223,990</td>
</tr>
</tbody>
</table>
AGENDA ITEM: System-Wide Strategic Plan Next Steps

☐ Review  ☐ Review + Action  ☐ Action  ☒ Discussion

☐ This is a report required by Board policy.

PRESENTERS: President Eric W. Kaler

PURPOSE & KEY POINTS

The purpose of this item is to update the Board on next steps in the system-wide strategic planning process. A Board priority this year is the completion of a system-wide strategic plan that incorporates an academic program investment strategy and a long-term financial framework. This item will include discussion about a timeline and key focus areas for the plan.

In June 2017, the Board approved the University of Minnesota System-Wide Strategic Plan Framework (Framework), which focuses on how the University will function as a system while preserving the distinctiveness of each campus. In the next phase of planning, President Kaler will appoint a Strategic Planning Oversight Committee (Committee) of members of his senior leadership team. The Committee will coordinate planning efforts around five strategic focus areas, which are based in part on the Framework:

1. Research & Discovery
2. Outreach & Public Engagement
3. Teaching & Learning
4. Medicine & Health
5. Supporting the Mission

The Board will have opportunities to engage around and offer input into each focus area, as work progresses.

BACKGROUND INFORMATION

The system-wide strategic planning process formally launched in fall 2016 and included visits to each of the system campuses, where facilitators held discussions with students, faculty, staff, and leaders. Additionally, the co-chairs met with members of Extension, the College of Continuing Education, Research and Outreach Centers, Public Engagement Council, external stakeholders, and staff and faculty governance bodies.
The Board received an update on the planning process at its March 2017 meeting, and participated in a work session on system-wide themes in December 2016. It received an overview of the system-wide strategic planning process in October 2016, and heard about system-wide planning efforts at the system campuses at four meetings between March and July 2016.
System-Wide Strategic Planning

Next steps
Focus areas
Tentative timeline

President Eric W. Kaler
September 8, 2017

FIVE STRONG CAMPUSES.
ONE STRONG STATE.
What we’ve done

• October 2016, charged a System-Wide Strategic Planning committee

• First half of 2017, Chancellor Lehmkuhle and Vice Provost Ropers-Huillman traveled system-wide to listen, synthesize and affirm

• June 2017, Framework approved by the Board of Regents with a collection of recommendations
Now it’s time to pivot . . .
From **HOW** to think about our system to . . .
WHAT we want it to look like and act like
Who must be engaged, what we must assess

- Chancellors, Deans, faculty
- Governor, legislators, civic and business leaders
- U of M system in state’s higher ed ecosystem and marketplace
- System-Wide priorities must drive biennial budget decisions
The work and recommendations

Oversight Committee
Chaired by President Kaler
Staffed by President’s Office

Five Focus Areas

Work groups
Focus Area: Research & Discovery

- Further explore alignment of OVPR and academic units system-wide
- Assess initial work of Grand Challenges research and how or if it can be extended system-wide
- Encourage faculty connections to strengthen opportunities across the system
Focus Area: Outreach & Public Engagement

- Engage with a broad spectrum of business, civic and political leaders
- Work to build resources and partnerships that give attention to statewide community challenges
- Coordinate outreach and public engagement efforts, including Extension and other resources across the system
Focus Area:
Teaching & Learning

- Compile wide range of collegiate and campus data
- Lead conversations on, among others, system-wide enrollment management, recruitment strategies, and online education
- Identify University’s micro and macro place in state and regional higher education eco-system, with Minnesota State and privates
Focus Area:
Medicine & Health

✓ Promote a vision for a statewide integrated academic medicine partnership, valuing research and its relationship to our clinical enterprise

✓ Explore adequate training opportunities to drive Medical School success and allow all of our Health Science-related schools, colleges and campuses to thrive system-wide
Focus Area: Supporting the Mission

- Explore ways to support the system-wide strategic objectives of the University in a thoughtful and sustainable way
- Align the other Focus Area priorities to develop a strategic system-wide biennial budget request
Tentative Timeline

Today
Our work begins
October 2017
Level setting discussion
Tentative Timeline

February 2018
Research & Discovery

March 2018
Outreach & Public Engagement

May 2018
Teaching & Learning
Tentative Timeline

June 2018

Medicine & Health

July 2018

Supporting the Mission
Tentative Timeline

September 2018
Draft plan presented to coincide with review of 2019 Biennial Budget Request

October 2018
Final plan presented to coincide with approval of 2019 Biennial Budget Request
Discussion
AGENDA ITEM: UMF Report and Update

☐ Review  ☐ Review + Action  ☐ Action  ☑ Discussion

This is a report required by Board policy.

PRESENTERS: Kathleen Schmidldkofer, President and CEO, UMF
John E. Lindahl, University donor and volunteer
Nancy E. Lindahl, University donor and volunteer

PURPOSE & KEY POINTS

The purpose of this item is to provide the Board of Regents with an annual report on fundraising and the impact of private giving across the University.

The University of Minnesota Foundation (UMF) raises private dollars for use by the University of Minnesota. In fiscal year 2017, UMF recorded its second-best year of giving to the University – $337 million from more than 71,000 donors (55 percent from alumni). The largest area of support was to students ($98 million) followed by academic program support ($78 million), capital improvements ($54 million), research ($48 million), outreach and community engagement ($32 million), and faculty support ($27 million).

The $337 million in total private gift production includes $222 million in cash and pledges, $112 million in future commitment (estate and deferred), and $3 million in property and in-kind gifts.

UMF also oversees $2.1 billion in endowed funds. As a result of the stewardship of the dollars invested, UMF made available a record $237 million to the University this year to be used as donors direct to fund students, faculty, research, programs, and other strategic investments.

BACKGROUND INFORMATION

The University of Minnesota Foundation was established in 1962, with a mission to connect passion with possibility, inspire generosity, and support greatness at the University of Minnesota. UMF supports the University’s mission of teaching, research, and outreach to the community by raising and managing private dollars for scholarships, world-class faculty, leading-edge research, facilities, academic programs, and outreach on all five campuses.

UMF is governed by a 41-member Board of Trustees. One-quarter of the trustees are appointed by the University’s Board of Regents; it includes three Regents (Regents Beeson, Cohen, and Lucas).
The road to Driven.

THE UNIVERSITY OF MINNESOTA FOUNDATION

September 8, 2017
Kathy Schmidlkofer
President and CEO

John and Nancy Lindahl
Campaign Co-chairs
University of Minnesota Foundation
SYSTEM-WIDE DEVELOPMENT ENTERPRISE

Vision:
A future transformed by philanthropy, one dream and one legacy at a time

Mission:
To connect passion with possibility, inspire generosity, and support greatness at the U of M
Together, driven by a belief in a better tomorrow

Driven.
The University of Minnesota Campaign
How Campaigns Fuel a University

- Solidify institutional priorities
- Galvanize support and commitment
- Engage volunteers, alumni, and advocates
- Raise awareness of the impact of private support
- Produce a significant lift in fundraising and a robust pipeline for future giving
Driven campaign snapshot

Campaign Began

- Years 1 & 2
  - FY12 & FY13

- Year 3
  - FY14

- Year 4
  - FY15

- Year 5
  - FY16

- Year 6
  - FY17

- Year 7
  - FY18

Public Launch (September 2017)

- Year 8
  - FY19

- Year 9
  - FY20

- Year 10
  - FY21

- PLANNING PHASE
- LEADERSHIP PHASE
- PUBLIC / ACTIVE PHASE
- FINAL PHASE

Driven. The University of Minnesota Campaign
Campaign funds as of 6/30/17
$2.5 Billion

Campaign progress to date

<table>
<thead>
<tr>
<th>Year</th>
<th>Private gifts</th>
<th>Private grants</th>
</tr>
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<tbody>
<tr>
<td>2012</td>
<td>$356</td>
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<tr>
<td>2013</td>
<td>$342</td>
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<td>2014</td>
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<td>2015</td>
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<td>2016</td>
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<tr>
<td>2017</td>
<td>$463*</td>
<td>$100</td>
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* Preliminary and unaudited
Areas of impact

STUDENTS

FACULTY & RESEARCH

UNIVERSITY INITIATIVES & OUTREACH
University-wide campaign priority areas

- Drive a Minnesota Plan for Innovation
- Protect and Sustain Agriculture, Food, and Water
- Accelerate Advances in Health
- Provide a Place of Opportunity for Everyone
- Elevate a World-Class Student Experience

Driven. The University of Minnesota Campaign
Innovation Fellow Shannon Smith puts her design skills to use at the U’s Earl E. Bakken Medical Devices Center.
Liquid gold

Kaylea Brase, ‘17, is helping children in Bangalore find clean water.
Support by philanthropy, the Blue Heron is the Great Lakes' largest academic research vessel, part of UMD's Large Lakes Observatory.
Chris Pfarr, ‘17, credits his success in school and athletics to his upbringing on a hog farm.
Provide a Place of Opportunity for Everyone

Born with cerebral palsy, math major and Iron Range scholarship recipient Deandra Bardell navigates campus in a power wheelchair and types her homework one keystroke at a time.
New beginnings

Thanks to the generous support of the Robina Foundation, the Law School’s Binger Center for New Americans is creating a pathway for new citizens.
Philanthropy fuels new research by Dr. Jaime Modiano, who studies canine cancer, with application for some human cancers.
Zuzia’s new skin

Nine-year-old Zuzia’s parents brought her to the U of M Masonic Children’s Hospital for a life-changing new treatment of her devastating skin disease.
Fellowship recipient Brie Reid, ‘17 M.A., went to Santiago, Chile to study how stress and nutrition affect the brains and bodies of children around the world.
Legacy of leadership

Fata Acquoi, ‘16, participant in the Tom Burnett Advanced Leadership Program, funded through a gift from the family of U of M graduate and 9/11 hero Tom Burnett, Jr.
Thank you!

Kathy Schmidtkofer
President and CEO

John and Nancy Lindahl
Campaign co-chairs

Driven. The University of Minnesota Campaign
REGENTS OF THE UNIVERSITY OF MINNESOTA

RESOLUTION RELATED TO

Driven: The University of Minnesota Campaign

WHEREAS, today marks the launch of the largest fundraising campaign in University of Minnesota (University) history, harnessing private support from alumni, friends, and industry partners in every corner of the state and across the globe to serve future generations and help solve our world’s grand challenges; and

WHEREAS, the University is a powerful economic engine for our state, driving innovations that attract world-class talent, fuel industries, and transform communities, contributing $9 billion annually to Minnesota’s economy; and

WHEREAS, the University is one of only five universities in the nation with schools of engineering, human and veterinary medicine, business, law, public policy, and agriculture on one campus; and

WHEREAS, as Minnesota’s only land-grant institution and public research university, with campuses in Crookston, Duluth, Morris, Rochester, and the Twin Cities, the University’s presence is felt in all 87 counties of the state, and the contributions of its alumni and faculty have global impact; and

WHEREAS, as Minnesota’s sixth-largest employer, the University provides more than 26,000 jobs statewide; trains 70 percent of Minnesota’s healthcare workforce; and the majority of its 15,000 graduates each year choose to live and work in Minnesota, creating a steady pipeline of talent and leadership; and

WHEREAS, in its 166-year history, the University has transformed the lives of generations of students, pioneered historic breakthroughs in such areas as medical devices, organ transplant, and regenerative medicine, and become a trusted source of expertise and solutions for people around the world; and

WHEREAS, the University’s $4 billion campaign will support five priorities: elevating a world-class student experience; accelerating advances in health; protecting and sustaining agriculture, food, and water; driving a Minnesota plan for innovation; and providing a place of opportunity for all; and
WHEREAS, the University is elevating a world-class student experience, enabling talented and curious students to participate fully in University life, perfect the craft of learning in classrooms, labs, and in hands-on, real-world experiences, grow in ways they never could have imagined, and go on to transform the world; and

WHEREAS, the University is accelerating advances in health, bringing new approaches to research and care and improving quality of life for people worldwide, by fighting infectious disease, preventing and treating conditions that threaten animals and humans, promoting health equity, and using new teamwork-focused models to educate the health workforce of the future; and

WHEREAS, the breadth of the University's expertise and the geographic locations of its campuses – in urban centers and on our country's greatest river, greatest lake, and greatest prairie – position it to play a leading role in protecting and sustaining agriculture, food, and water for future generations; and

WHEREAS, the University is driving a Minnesota plan for innovation based on deeper engagement with industry, transformative student experiences that produce business thinkers and leaders who thrive in a global economy, and creative incubation hubs that encourage collaboration among experts from multiple disciplines; and

WHEREAS, the University believes everyone should have the opportunity to reach their full potential and is committed to providing a place of opportunity for all, by advocating for equity and justice, enriching our collective quality of life through statewide arts initiatives, and working to eliminate the achievement gap in our schools;

NOW, THEREFORE, BE IT RESOLVED that the Regents of the University of Minnesota endorse Driven: The University of Minnesota Campaign, and express appreciation to the campaign's leadership and donors for their contributions, which together will ensure its success.
AGENDA ITEM:  Report of the Committees

☐ Review  ☐ Review + Action  ☐ Action  ☒ Discussion

This is a report required by Board policy.

PRESENTERS:  Regent David J. McMillan

PURPOSE & KEY POINTS

Pursuant to Board of Regents Policy: Board Operations and Agenda Guidelines, “The Board conducts business through meetings of the Board and its committees.... [and] Committees provide recommendations for action by the Board. Typically, standing committees have the following responsibilities:

- Recommend action on matters where the Board has reserved authority to itself as outlined in Board of Regents Policy: Reservation and Delegation of Authority and other Board policies;
- Provide governance oversight on topics within the committee’s purview;
- Review and make recommendations on relevant new and existing Board policies;
- Receive reports on policy-related issues affecting University departments and units;
- Receive information items (e.g., status reports on current issues of concern and administrative searches); and
- Review other items placed on the agenda by the Board chair in consultation with the president and Board vice chair.”

BACKGROUND INFORMATION

Current committee chairs:

- Audit & Compliance Committee – L. Cohen
- Finance & Operations Committee – T. Anderson
- Governance & Policy Committee – D. Rosha
- Litigation Review Committee – R. Beeson
- Mission Fulfillment Committee – A. Omari
WHEREAS, on April 14, 2017 the Board of Regents (Board) chair and vice chair appointed a Special Oversight Committee (Committee) consisting of Regents Anderson (chair), Lucas, and Sviggum; and

WHEREAS, the Committee was charged to oversee review of the following issues:

1. Practices and policies with respect to suspensions and other discipline within the Department of Intercollegiate Athletics (Twin Cities Campus), including how these practices and policies interact or relate to Board of Regents Policy: Student Conduct Code (Conduct Code) process and the criminal justice process.
2. The standards of review and processes at each stage of the Conduct Code process as it relates to Title IX matters.
3. Practices and policies regarding permissible communication during the Conduct Code process, including specifically when that process involves student-athletes.
4. Lessons learned from the threatened boycott by the football team, including review of involvement by Regents, senior University leaders, coaches, and individuals from outside the University; and

WHEREAS, the Committee met on May 4, 2017 and endorsed retention of John Marti and Jillian Kornblatt from Dorsey & Whitney to conduct this review; and

WHEREAS, working in concert with the University’s Office of the General Counsel and the University’s Office of Internal Audit, Dorsey & Whitney has now delivered a report concluding that no laws or policies were violated, but making recommendations for improvement that require consideration and evaluation;

NOW, THEREFORE, BE IT RESOLVED, that the Board formally acknowledges receipt of the Joint Report and Recommendations on Title IX and Athletic Discipline Practices and charges the
President to review and evaluate its recommendations and develop a plan to implement changes where appropriate as part of ongoing work related to the President’s Initiative to Prevent Sexual Misconduct; and

**BE IT FURTHER RESOLVED,** that the President shall provide to the Board a report on progress and implementation plans no later than February 2018.