

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Audit & Compliance Committee  
October 12, 2023**

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Thursday, October 12, 2023, at 8:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: James Farnsworth, presiding; Mary Davenport, Robyn Gulley, Mike Kenya, and Bo Thao-Urabe.

Staff present: Interim Chancellor David McMillan; Executive Vice President and Provost Rachel Croson; Vice President Ken Horstman; Executive Director Brian Steeves; Chief Auditor Quinn Gaalswyk; and Associate Vice Presidents Katharine Bonneson and Michael Volna.

Student Representatives present: Alex Middendorf and Niko Vasilopoulos.

The docket materials for this meeting are available [here](#).

**OVERVIEW OF ANNUAL FINANCIAL STATEMENTS REVIEW**

Regent Farnsworth invited Mollie Viola, Controller, and Associate Vice President Volna to provide an overview of the annual financial statements review process, as detailed in the docket.

The docket materials for this item begin on page 3. The closed-captioned video of this item is [available here](#).

**SAFETY TRAINING PROCESS UPDATES**

Regent Farnsworth invited Associate Vice President Bonneson to provide an update on efforts to improve the coordination and oversight of the University's safety training process, as detailed in the docket.

The docket materials for this item begin on page 6. The closed-captioned video of this item is [available here](#).

**AMENDMENTS TO THE OFFICE OF INTERNAL AUDIT CHARTER**

Regent Farnsworth invited Chief Auditor Gaalswyk to present for action the proposed amendments to the Office of Internal Audit Charter, as detailed in the docket.

The docket materials for this item begin on page 20. The closed-captioned video of this item is [available here](#).

A motion was made and seconded to recommend approval of the proposed amendments to the Office of Internal Audit Charter.

Regent Gulley moved to amend the Independence section, third paragraph by striking “serving” after “and scope of work requested by the University’s President including the Chief Auditor” and replacing with “participate”. There was a second.

The committee voted unanimously to adopt the Gulley amendment.

The committee voted unanimously to recommend approval of the proposed Amendments to the Office Internal Audit Charter as amended.

### **INTERNAL AUDIT UPDATE**

Regent Farnsworth invited Chief Auditor Gaalswyk to provide the internal audit update, as detailed in the docket.

The docket materials for this item begin on page 25. The closed-captioned video of this item is [available here](#).

### **INFORMATION ITEMS**

Regent Farnsworth invited Chief Auditor Gaalswyk to discuss the information items, as detailed in the docket.

- Engagement Less Than \$100,000 Requiring After-the-Fact Reporting

The docket materials for this item begin on page 56. The closed-captioned video of this item is [available here](#).

The meeting adjourned at 9:23 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Special Committee on Academic Health  
October 12, 2023**

A meeting of the Special Committee on Academic Health of the Board of Regents was held on Thursday, October 12, 2023, at 8:00 a.m. in the West Committee Room, 600 McNamara Alumni Center.

Regents present: Penny Wheeler, presiding; Doug Huebsch, Ruth Johnson, Janie Mayeron, Mary Turner, and Kodi Verhalen.

Staff present: Interim President Jeff Ettinger; Chancellor Lori Carrell; Vice Presidents Shashank Priya and Jakub Tolar; General Counsel Douglas Peterson; Executive Director Brian Steeves; Chief Public Relations Officer Chuck Tombarge; Associate Vice President Michael Volna; and Executive Director of Government and Community Relations Melisa López Franzen.

The docket materials for this meeting are [available here](#).

**2023-24 SPECIAL COMMITTEE WORK PLAN**

Regent Wheeler and Vice President Tolar reviewed the 2023-24 special committee work plan, as detailed in the docket.

The docket materials for this item begin on page 3. The closed-captioned video of this item is [available here](#).

**ACADEMIC AFFILIATION AGREEMENT WITH CENTRACARE**

Regent Wheeler invited Vice President Tolar, William Sibert, Associate Dean and Chief Financial Officer of the Medical School and Chief Financial Officer, M Physicians, and Ken Holmen, President and CEO of CentraCare, to present for action the proposed academic affiliation agreement with CentraCare, as detailed in the docket.

The docket materials for this item begin on page 6. The closed-captioned video of this item is [available here](#).

A motion was made and seconded, and the special committee voted unanimously to recommend approval of the proposed Academic Affiliation Agreement with CentraCare.

**OVERVIEW OF M HEALTH FAIRVIEW**

Regent Wheeler invited Vice President Tolar to provide a high-level overview of M Health Fairview, as detailed in the docket.

The docket materials for this item begin on page 43. The closed-captioned video of this item is [available here](#).

**UPDATE ON THE GOVERNOR'S TASK FORCE ON  
ACADEMIC HEALTH AT THE UNIVERSITY OF MINNESOTA**

Regent Wheeler invited Interim President Ettinger and Vice President Tolar to provide an update on the Governor's Task Force on Academic Health at the University of Minnesota, as detailed in the docket.

The docket materials for this item begin on page 53. The closed-captioned video of this item is [available here](#).

The meeting adjourned at 9:07 a.m.



**BRIAN R. STEEVES**  
Executive Director and  
Corporate Secretary

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Mission Fulfillment Committee  
October 12, 2023**

A meeting of the Mission Fulfillment Committee of the Board of Regents was held on Thursday, October 12, 2023, at 9:30 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Ruth Johnson, presiding; Mary Davenport, James Farnsworth, Robyn Gulley, Doug Huebsch, Mike Kenyanya, Janie Mayeron, Bo Thao-Urabe, Mary Turner, Kodi Verhalen, and Penny Wheeler.

Staff present: Interim President Jeff Ettinger; Chancellors Lori Carrell, Mary Holz-Clause, and Janet Schrunk Ericksen; Interim Chancellor David McMillan; Executive Vice President and Provost Rachel Croson; Vice Presidents Ken Horstman, Calvin Phillips, Shashank Priya, and Julie Tonneson; General Counsel Douglas Peterson; Executive Director Brian Steeves; Chief Public Relations Officer Chuck Tombarge; and Associate Vice President Tina Marisam.

Student Representatives present: Taiwo Aremu and Hal Johnson.

The docket materials for this meeting are available [here](#).

**SUSTAINABLE DEVELOPMENT GOALS BRIEFING**

Regent R. Johnson invited Executive Vice President and Provost Croson, Chief Sustainability Officer Shane Stennes, and Associate Professor Katey Pelican to provide a briefing on the University's systemwide progress related to Sustainable Development Goals, as detailed in the docket.

The docket materials for this item begin on page 3. The closed-captioned video of this item is [available here](#).

**POTENTIAL REALIGNMENT OF BOARD OF REGENTS POLICY: *TUITION AND FEES* AND  
BOARD OF REGENTS POLICY: *STUDENT SERVICES FEES***

Regent R. Johnson invited Executive Vice President and Provost Croson, Vice President Phillips, and Vice President Tonneson to discuss the potential realignment of Board of Regents Policy: *Tuition and Fees* and Board of Regents Policy: *Student Services Fees*, as detailed in the docket.

The docket materials for this item begin on page 40. The closed-captioned video of this item is [available here](#).

**BOARD OF REGENTS POLICY: *EQUITY, DIVERSITY,  
EQUAL OPPORTUNITY, AND AFFIRMATIVE ACTION***

Regent R. Johnson invited Associate Vice President Marisam, to review the proposed amendments to the Board of Regents Policy: *Equity, Diversity, Equal Opportunity, and Affirmative Action*, as detailed in the docket.

The docket materials for this item begin on page 65. The closed-captioned video of this item is [available here](#).

**BOARD OF REGENTS POLICY: *STUDENT EDUCATION RECORDS***

Regent R. Johnson invited Carrie Ryan Gallia, Senior Associate General Counsel, and Stacy Tidball, Associate Vice Provost for Academic Support Resources, to present for review and action the proposed amendments to Board of Regents Policy: *Student Education Records*, as detailed in the docket.

The docket materials for this item begin on page 73. The closed-captioned video of this item is [available here](#).

A motion was made and seconded to adopt on behalf of the Board of Regents the proposed amendments to Board of Regents Policy: *Student Education Records*.

Regent Verhalen moved to amend Section IV, subd. 2 by inserting after “Minnesota State Statutes § 135A.17, Subd. 2” the following:

which shall be limited to a student's name and physical address,

There was a second.

R. Johnson recessed the meeting at 11:24 a.m.

R. Johnson called the meeting back to order at 11:32 a.m.

Verhalen revised her amendment to Section IV, subd. 2 as follows:

which information shall be limited to the student's name and physical address,

There was a second.

The committee voted unanimously to adopt the Verhalen amendment.

The committee voted unanimously to adopt on behalf of the Board of Regents the proposed amendments to Board of Regents Policy: *Student Education Records* as amended.

**CONSENT REPORT**

Regent R. Johnson invited Executive Vice President and Provost Croson to present the Consent Report for review and action, as detailed in the docket.

The docket materials for this item begin on page 84. The closed-captioned video of this item is [available here](#).

### **Request for Approval of New Academic Programs**

- Medical School (Twin Cities campus) – requests approval to create a Blood and Marrow Transplant and Cellular Therapies Fellowship
- Medical School (Twin Cities campus) – requests approval to create a DermatoAllergology Fellowship

### **Request for Conferral of Tenure for New Hires**

- Prasad Boradkar, professor with tenure, Department of Graphic Design, Apparel Design, Retail Merchandising, & Product Design, College of Design, Twin Cities campus
- Saara DeWalt, professor with tenure, Department of Plant and Microbial Biology, College of Biological Sciences, Twin Cities campus
- Eric Severson, associate professor with tenure, Department of Mechanical Engineering, College of Science and Engineering, Twin Cities campus
- Michelle Statz, associate professor with tenure, Department of Family Medicine & Biobehavioral Health, Medical School, Twin Cities campus

A motion was made and seconded, and the committee voted unanimously to approve on behalf of the Board of Regents the Consent Report.

### **INFORMATION ITEMS**

Regent R. Johnson invited Executive Vice President and Provost Croson to discuss the information items in the docket:

- University, Student, Faculty, and Staff Activities and Awards

The docket materials for this item begin on page 89. The closed-captioned video of this item is [available here](#).

The meeting adjourned at 11:37 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Finance & Operations Committee  
October 12, 2023**

A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, October 12, 2023, at 1:30 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Douglas Huebsch, presiding; Mary Davenport, James Farnsworth, Robyn Gulley, Ruth Johnson, Tadd Johnson, Mike Kenyanya, Janie Mayeron, Bo Thao-Urabe, Mary Turner, Kodi Verhalen, and Penny Wheeler.

Staff present: Interim President Jeff Ettinger; Chancellors Lori Carrell, Mary Holz-Clause, and Janet Schrunk Ericksen; Interim Chancellor David McMillan; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; Vice Presidents Bernard Gulachek, Kenneth Horstman, Shashank Priya, Alice Roberts-Davis, and Julie Tonneson; General Counsel Douglas Peterson; Executive Director Brian Steeves; Chief Auditor Quinn Gaalswyk; Chief Public Relations Officer Chuck Tombarge; Associate Vice Presidents Stuart Mason and Michael Volna; and Executive Director of Government and Community Relations Melisa López Franzen.

Student Representatives present: Cole Groshong and Flora Yang.

The docket materials for this meeting are [available here](#).

**INTERIM PRESIDENT'S RECOMMENDED 2023 SIX-YEAR CAPITAL PLAN  
AND 2024 STATE CAPITAL REQUEST**

Regent Huebsch invited Interim President Ettinger, Senior Vice President Frans, and Vice President Roberts-Davis to present for action the interim president's recommended 2023 Six-Year Capital Plan and 2024 State Capital Request, as detailed in the docket.

The docket materials for this item begin on page 4. The closed-captioned video of this item is [available here](#).

Huebsch asked if there were any objections to acting on the two resolutions as one item. There being no objections, Huebsch announced that the resolutions would be acted on as one item.

A motion was made and seconded, and the committee voted unanimously to approve on behalf of the Board of Regents the resolution related to the 2023 Six-Year Capital Plan and the resolution related to the 2024 State Capital Request.



## **INTERIM PRESIDENT'S RECOMMENDED SUPPLEMENTAL FY 2025 STATE BUDGET REQUEST**

Regent Huebsch invited Interim President Ettinger, Senior Vice President Frans, and Vice President Tonneson to present for action the interim president's recommended supplemental FY 2025 State Budget Request, as detailed in the docket.

The docket materials for this item begin on page 29. The closed-captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to approve on behalf of the Board of Regents the resolution related to the Supplemental FY 2025 State Budget Request.

## **DULUTH CAMPUS PLAN**

Regent Huebsch invited Interim Chancellor McMillan and Monique MacKenzie, Director of Planning, to present for action the Duluth Campus Plan, as detailed in the docket.

The docket materials for this item begin on page 38. The closed-captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to approve on behalf of the Board of Regents the resolution related to the Duluth Campus Plan.

Huebsch recessed the meeting at 2:20 p.m.

## **UNIVERSITY JOB STRUCTURES: PAST, PRESENT & FUTURE**

Regents Farnsworth and Johnson joined the meeting.

Regent Huebsch called the meeting back to order at 2:33 p.m. He invited Vice President Horstman and Mary Rohman Kuhl, Senior Director of Total Rewards, to provide an overview of the University's job structures, as detailed in the docket.

The docket materials for this item begin on page 213. The closed-captioned video of this item is [available here](#).

## **CONSENT REPORT**

Regent Huebsch invited Senior Vice President Frans to present for review and action the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 239. The closed-captioned video of this item is [available here](#).

## **Purchase of Goods and Services \$1,000,000 and Over**

- To edX Boot Camps LLC for \$10,100,000 for an agreement to provide intensive hands-on project-based training to students enrolled through the College of Continuing and Professional Studies (CCAPS) on the Twin Cities campus, for the period of July 31, 2024 through July 31, 2025. The revenue that is generated from students enrolled through this agreement covers the cost of this service contract. See enclosed documentation for the basis of supplier selection.

## **Capital Budget Amendments**

- Carlson School of Management Building Revitalization, Twin Cities campus
- Future of Advanced Agricultural Research in Minnesota (FAARM) Predesign, Twin Cities campus
- Middlebrook Hall: HVAC Replacement Phase 3, Twin Cities campus
- Molecular and Cellular Biology Tunnel Washer Replacement, Twin Cities campus
- Moos Tower: Installation of MRI for Dentistry, Twin Cities campus
- Phillips-Wangensteen Building: 8-310 Temporal Bone Lab Relocation, Twin Cities campus

## **Real Estate Transactions**

- Lease Agreement for office, laboratory, and storage space for the Minnesota Genomics Center, Twin Cities campus

## **Schematic Designs**

- Carlson School of Management Building Revitalization, Twin Cities campus
- Moos Tower: Installation of MRI for Dentistry, Twin Cities campus
- Phillips-Wangensteen Building: 8-310 Temporal Bone Lab Relocation, Twin Cities campus

A motion was made and seconded, and the committee voted unanimously to approve on behalf of the Board of Regents the Consent Report.

## **INFORMATION ITEMS**

Regent Huebsch invited Senior Vice President Frans to discuss the information items in the docket:

- A. Central Reserves General Contingency Allocations
- B. Annual Asset Management Report
- C. Investment Advisory Committee Update

The docket materials for this item begin on page 272. The closed-captioned video of this item is [available here](#).

The meeting adjourned at 3:43 p.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive, flowing style.

**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Governance & Policy Committee  
October 13, 2023**

A meeting of the Governance & Policy Committee of the Board of Regents was held on Friday, October 13, 2023, at 8:30 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Kodi Verhalen, presiding; Douglas Huebsch, Ruth Johnson, Janie Mayeron, Mary Turner, and Penny Wheeler.

Staff present: Chancellor Mary Holz-Clause; Executive Vice President and Provost Rachel Croson; Vice Presidents Kenneth Horstman, Calvin Phillips, and Alice Roberts-Davis; General Counsel Douglas Peterson; Executive Director Brian Steeves; Chief Auditor Quinn Gaalswyk; and Associate Vice President Michael Volna.

The docket materials for this meeting are [available here](#).

**OVERVIEW OF BOARD OF REGENTS POLICY:  
*RESERVATION AND DELEGATION OF AUTHORITY***

Regent Verhalen invited Executive Director & Corporate Secretary Steeves and Associate Secretary Jason Langworthy to provide an overview of Board of Regents Policy: *Reservation and Delegation of Authority*, as detailed in the docket.

The docket materials for this item begin on page 3. The closed-captioned video of this item is [available here](#).

**BOARD COMMITTEE STRUCTURE: COMMITTEE PORTFOLIOS**

Regent Verhalen invited Executive Director & Corporate Secretary Steeves and Associate Secretary Jason Langworthy to lead a discussion on the Board's committee structure centered on committee portfolios, as detailed in the docket.

The docket materials for this item begin on page 23. The closed-captioned video of this item is [available here](#).

The meeting adjourned at 9:26 a.m.



**BRIAN R. STEEVES**  
Executive Director and  
Corporate Secretary

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Special Committee on University Relations  
October 13, 2023**

A meeting of the Special Committee on University Relations of the Board of Regents was held on Friday, October 13, 2023, at 8:30 a.m. in the West Committee Room, 600 McNamara Alumni Center.

Regents present: Bo Thao-Urabe, presiding; Mary Davenport, Robyn Gulley, James Farnsworth, Tadd Johnson, and Mike Kenyanya.

Staff present: Interim President Jeff Ettinger; Senior Vice President Myron Frans; Executive Director Brian Steeves; Chief Public Relations Officer Chuck Tombarge; and Executive Director of Government and Community Relations Melisa López Franzen.

The docket materials for this meeting are available [here](#).

**2023-24 COMMITTEE WORK PLAN**

Regent Thao-Urabe and Chief Public Relations Officer Tombarge reviewed the 2023-24 special committee work plan, as detailed in the docket.

The docket materials for this item begin on page 3. The closed-captioned video of this item is [available here](#).

**VISION FOR GOVERNMENT AND COMMUNITY RELATIONS AT THE UNIVERSITY**

Regent Thao-Urabe invited Executive Director of Government and Community Relations López Franzen to present an update on the vision for Government and Community Relations at the University, as detailed in the docket.

The docket materials for this item begin on page 6. The closed-captioned video of this item is [available here](#).

**LEGISLATIVE PROCESS FOR SUPPLEMENTAL  
FY 2025 STATE BUDGET AND 2024 STATE CAPITAL REQUESTS**

Regent Thao-Urabe invited Executive Director of Government and Community Relations López Franzen to present an update on the legislative process for the University's supplemental FY 2025 state budget request and the 2024 state capital request, as detailed in the docket.

The docket materials for this item begin on page 22. The closed-captioned video of this item is [available here](#).

The meeting adjourned at 9:25 a.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive, flowing style.

**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents  
October 13, 2023**

A meeting of the Board of Regents of the University of Minnesota was held on Friday, October 13, 2023, at 9:52 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Janie Mayeron, presiding; Douglas Huebsch, Mary Davenport, James Farnsworth, Robyn Gulley, Ruth Johnson, Tadd Johnson, Mike Kenyanya, Bo Thao-Urabe, Mary Turner, Kodi Verhalen, and Penny Wheeler.

Staff present: Interim President Jeffrey Ettinger; Chancellors Lori Carrell, Mary Holz-Clause, and Janet Schrunk Ericksen; Interim Chancellor David McMillan; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; Vice Presidents Bernard Gulachek, Kenneth Horstman, Calvin Phillips, Mercedes Ramírez Fernández, Alice Roberts-Davis, and Jakub Tolar; General Counsel Douglas Peterson; Executive Director Brian Steeves; Chief Auditor Quinn Gaalswyk; Chief Public Relations Officer Chuck Tombarge; Associate Vice Presidents Keisha Varma and Michael Volna; and Executive Director of Government and Community Relations Melisa López Franzen.

The docket materials for this meeting are available [here](#).

**RECOGNITIONS**

Recognition was given to the following newly appointment Regents Professors:

- Sarah Hobbie, Ecology, Evolution and Behavior, College of Biological Sciences, Twin Cities campus
- Dianne Neumark-Sztainer, Epidemiology and Community Health, School of Public Health, Twin Cities campus
- Jean O'Brien, History, College of Liberal Arts, Twin Cities campus

The docket materials for this item begin on page 3. The closed-captioned video of this item is [available here](#).

**APPROVAL OF MINUTES**

The Board voted unanimously to approve the following minutes as presented in the docket materials:

Audit & Compliance Committee – September 7, 2023  
Special Committee on Academic Health – September 7, 2023  
Mission Fulfillment Committee – September 7, 2023  
Finance & Operations Committee – September 7, 2023

Governance & Policy Committee – September 8, 2023  
Special Committee on University Relations – September 8, 2023  
Board of Regents – September 8, 2023  
Litigation Review Committee – September 12, 2023

The docket materials for this item begin on page 5. The closed-captioned video of this item is [available here](#).

### **REPORT OF THE INTERIM PRESIDENT**

Interim President Ettinger delivered the report of the President.

The docket materials for this item begin on page 45. The closed-captioned video of this item is [available here](#).

### **REPORT OF THE CHAIR**

Regent Mayeron delivered the report of the Chair.

The docket materials for this item begin on page 46. The closed-captioned video of this item is [available here](#).

### **RECEIVE AND FILE REPORTS**

Regent Mayeron noted the following reports to receive and file this month:

- Virtual Forum Comments
- Summary of Expenditures for the Office of the President, Eastcliff, and the Office of the Board of Regents
- Annual Report on Legal Matters

The docket materials for this item begin on page 47. The closed-captioned video of this item is [available here](#).

### **CONSENT REPORT**

Regent Mayeron presented for review and action the Consent Report as described in the docket materials, including:

- A. Gifts
- B. Nominations to the University of Minnesota Foundation Board of Trustees

The docket materials for this item begin on page 63. The closed-captioned video of this item is [available here](#).

A motion was made and seconded, and the Board voted unanimously to approve the Consent Report.



## **APPOINT AND CHARGE PRESIDENTIAL SEARCH ADVISORY COMMITTEE**

Regent Mayeron presented for review and action the membership and charge of the Presidential Search Advisory Committee, as detailed in the docket.

The docket materials for this item begin on page 71. The closed-captioned video of this item is [available here](#).

The Board voted unanimously to approve the Presidential Search Advisory Committee Membership and Charge.

## **KEY PRESIDENTIAL LEADERSHIP CHARACTERISTICS**

Regent Mayeron invited Executive Director Steeves, James King, Senior Partner and Chief Quality Officer, WittKieffer; Christine Pendleton, Principal, WittKieffer; Melody Rose, Principal, WittKieffer, to continue discussion of key leadership characteristics desired in the University of Minnesota's 18<sup>th</sup> President, as detailed in the docket.

The docket materials for this item begin on page 79. The closed-captioned video of this item is [available here](#).

Regent Kenya joined the meeting.

Mayeron recessed the meeting at 11:21 a.m.

## **DEI SYSTEMWIDE INITIATIVES FOR 2023-24**

Regent Mayeron called the meeting back to order at 11:37 a.m. and invited Vice President Ramírez Fernández and Associate Vice President Varma to provide an update on systemwide DEI initiatives, as detailed in the docket.

The docket materials for this item begin on page 82. The closed-captioned video of this item is [available here](#).

Regent Kenya left the meeting.

## **REPORT OF THE AUDIT & COMPLIANCE COMMITTEE**

Regent Farnsworth, chair of the committee, reported that the committee voted unanimously to recommend the following item:

- 1) Approval of the proposed Amendments to the Office Internal Audit Charter as amended.

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#).

A motion was made and the Board voted unanimously to approve the recommendation included in the report of the Audit & Compliance Committee.

## **REPORT OF THE MISSION FULFILLMENT COMMITTEE**

Regent R. Johnson, chair of the committee, reported that the committee voted unanimously to approve on behalf of the Board of Regents the following items.

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#).

- 1) Adoption of proposed changes to Board of Regents Policy: *Student Education Records* as follows:

### ***Student Education Records***

#### **SECTION I. SCOPE.**

This policy governs information maintained by the University of Minnesota (University) in the performance of its functions as an educational institution regarding enrolled or prospective students.

#### **SECTION II. GUIDING PRINCIPLE.**

The University shall maintain the privacy of student education records. Student education records shall be disclosed only to the student, to persons within the University with a legitimate educational interest, to persons authorized by the student to receive the student's education records, and to persons authorized to receive education records without the student's consent.

#### **SECTION III. DEFINITIONS.**

##### **Subd. 1. Directory Information.**

*Directory information* shall mean the student's name, dates of enrollment, enrollment status (full-time, part-time, not enrolled, fully withdrawn, and date of withdrawal), academic program, academic advisor, college/school, class level (e.g. first-year, sophomore, and senior), academic awards and honors, and, degrees and credentials awarded. For students appointed as fellows, assistants, graduate, or undergraduate hourly employees, directory information shall also include their title, appointing department or unit, appointment dates, duties, and percent time of the appointment.

##### **Subd. 2. Legitimate Educational Interest.**

*Legitimate educational interest* shall mean a need to review and use student education records for the purpose of performing an appropriate University research, educational, or administrative function.

##### **Subd. 3. Limited Directory Information.**

*Limited directory information* shall mean the student's physical addresses, University email address, telephone numbers, and University ID photos.

Subd. 4. School Official.

*School official* shall mean a person employed by the University in an administrative, supervisory, academic, research, or support staff position (including law enforcement unit personnel and health staff); a person or company with whom the University has contracted to perform an institutional service or function in accordance with law; a person serving on the Board of Regents; or a student serving on a University committee or otherwise assisting another school official in performing institutional tasks.

Subd. 5. Student.

*Student* shall mean any person that is currently or has ever registered for and attended any University for-credit class. Attendance is determined as of the first day of the first term for which students have enrolled for classes and is not dependent on the modality of classes (e.g., in person, remote, and online).

Subd. 6. Student Education Records.

*Student education records* shall mean any record maintained by the University that contains personally identifiable information about a student, regardless of its format or method of storage. Student education records shall not include law enforcement records maintained separately from education records, University employment records, and medical or psychological counseling records, all of which are protected according to provisions of state and federal law and University policy.

Subd. 7. Student Group.

*Student group* shall mean any group of students that is or has been registered as a University student group under applicable University policies or procedures.

SECTION IV. ACCESS TO STUDENT RECORDS.

Subd. 1. Access to Student Directory Information.

Directory information shall be publicly available and may be disclosed to any person without the student's consent unless the student has suppressed or prohibited disclosure of the information. Students shall be given the opportunity to suppress their directory information once they are enrolled. Access to directory information for newly enrolled students becomes publicly available for the first time on the first day of classes of their first term of enrollment.

Subd. 2. Access to Limited Directory Information.

Limited directory information shall be available to any student group as defined by Section III, Subd. 7 of this policy, a school official as defined by Section III, Subd. 4 of this policy, and county auditors for voter registration purposes in accordance with Minnesota State Statutes § 135A.17, Subd. 2 which information shall be limited to the student's name and physical address, unless the student has suppressed or prohibited disclosure of their information. Students shall be given the opportunity to suppress their limited directory information once they are enrolled. Access to limited directory information for newly enrolled students becomes available for the first time on the first day of classes of their first term of enrollment.

Subd. 3. Access to Private Student Education Records.

Access to private student education records shall be made available to the student that is the subject of the records, consistent with federal and state law, provided that the private information of others is not included or disclosed.

The University may disclose private student education records to the extent permissible under federal and state laws as follows:

- (a) to school officials with a legitimate educational interest in the information;
- (b) to persons specifically authorized by the student in writing to receive the information;
- (c) to other educational institutions in which the student seeks to enroll or is already enrolled so long as the disclosure is for purposes related to the student's enrollment or transfer;
- (d) to organizations conducting research studies for or on behalf of educational agencies or institutions, provided the study is conducted in a manner that does not permit personal identification of parents and students and there is a written agreement with the organization;
- (e) to persons in compliance with a court order or lawfully issued subpoena, provided that a reasonable attempt is made to notify the student where required prior to release;
- (f) to appropriate members of the court system when legal actions against the University is initiated by the student and the disclosure is part of the University's defense;
- (g) to appropriate persons in connection with an emergency if knowledge of the information is necessary to protect the health or safety of the student or other individuals;
- (h) to accrediting organizations and state or federal education authorities using information for auditing, evaluating, or enforcing legal requirements of educational programs, provided the data is protected to prohibit the identification of students and all personally identifiable information is destroyed when no longer needed;
- (i) to appropriate persons or agencies in connection with a student's application for or receipt of financial aid to determine eligibility, amount, or conditions of financial aid and to enforce the terms and conditions of the aid;
- (j) to parents of a dependent student as defined under the Internal Revenue Code;
- (k) the final results of a disciplinary proceeding, regardless of outcome, to the victim of an alleged perpetrator of a crime of violence or a nonforcible sex offense;
- (l) the final results of a disciplinary proceeding that a student has violated an institutional rule or policy in connection with a crime of violence or nonforcible sex offense; and
- (m) disclosures concerning sex offenders and other persons required to register as offenders under federal law.

#### SECTION V. ADMINISTRATIVE PROCEDURES.

The University shall maintain administrative procedures that fulfill its legal obligations regarding student education records, including, but not limited to, notice of rights to students, access to education records, recordkeeping requirements, the right to request amendment of education records, and hearing rights.

- 2) Approval of the Consent Report for the Mission Fulfillment Committee as presented to the committee and described in the October 12, 2023, committee minutes.

### **REPORT OF THE FINANCE & OPERATIONS COMMITTEE**

Regent Huebsch, chair of the committee, reported that the committee voted unanimously to approve on behalf of the Board the following items.

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#).

- 1) The resolutions related to the 2023 Six-Year Capital Plan and 2024 State Capital Request. The resolutions are as follows:

***Resolution Related to the 2023 Six-Year Capital Plan***

WHEREAS, preserving the University of Minnesota (University) campuses through stewardship of public investments that have been made over 165 years is a commitment the Board of Regents (Board) has made to the State of Minnesota (State); and

WHEREAS, advancing key academic priorities is critical for the University to achieve and maintain excellence; and

WHEREAS, continuing investment in research infrastructure is essential for the future competitiveness of the University and the State; and

WHEREAS, enhancing the student experience for both undergraduate education and graduate and professional education is required as the core of its mission in order to generate and disseminate knowledge; and

WHEREAS, improving outreach and engagement is necessary in order to transform State communities, fuel the State economy, address State social issues, and improve the State's health; and

WHEREAS, the administration has developed a capital-planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is responsible.

NOW, THEREFORE, BE IT RESOLVED that the Board approves the 2023 Six-Year Capital Plan in order to create and maintain facilities that serve as tools for accomplishing the University's threefold mission of education, research, and outreach.

***Resolution Related to the 2024 State Capital Request***

WHEREAS, the Board of Regents (Board) has directed the administration to annually submit a six-year capital plan and a capital improvement budget in support of the University of Minnesota's (University) strategic priorities; and

WHEREAS, the Board recognizes the importance of sustaining and improving the University's facilities in support of teaching, research, and outreach; and

WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts on projects that support the University's institutional priorities within a financial strategy that is realistic.

NOW THEREFORE, BE IT RESOLVED that the Board of Regents approves the University's 2024 State Capital Request for presentation to the State of Minnesota (State) in the amount of \$500,000,000 consisting of \$500,000,000 from the State and \$0 from the University.

- 2) The resolution related to the Supplemental FY 2025 Budget Request to the State of Minnesota. The resolution is as follows:

WHEREAS, the University of Minnesota (University), the State of Minnesota's (State) only public, land-grant university, is charged with the responsibility to pursue knowledge through research and discovery, apply this knowledge through teaching and learning, and outreach and public engagement; and

WHEREAS, the University is committed to a continuous process of reevaluating priorities and increasing the efficiency and effectiveness of both direct mission and support activities, reinvesting budget savings into mission-critical strategies; and

WHEREAS, the University, in partnership with the State, can better support financial access and affordability to postsecondary education for Minnesota students and families; and

WHEREAS, the University is committed to supporting students through services that enhance their educational experience and by equitably reducing financial barriers to student achievement; and

WHEREAS, the University has an economic impact on the State by educating the State's workforce, developing new technologies, partnering with business and industry, and delivering outreach programs in partnership with local communities; and

WHEREAS, the University's annual budget process is designed to surface and act on the most pressing priorities each year to maintain excellence across its three missions, including compensation, compliance with federal and state regulations, research and technology infrastructure, facility maintenance, and student support; and

WHEREAS, the University recognizes the many competing priorities for State general fund support.

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents approves the supplemental budget request for FY 2025 for presentation to the State, which includes an increase of \$45,000,000 to the operations and maintenance appropriation from the general fund for a total operations and maintenance appropriation of \$721,294,000 in fiscal year 2025 and a biennial total of \$1,407,852,000.

- 3) The Duluth Campus Plan.
- 4) Approval of the Consent Report for the Finance and Operations Committee as presented to the committee and described in the October 12, 2023, committee minutes.

### **REPORT OF THE GOVERNANCE & POLICY COMMITTEE**

Regent Verhalen, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#).

### **REPORT OF THE SPECIAL COMMITTEE ON ACADEMIC HEALTH**

Regent Wheeler, chair of the special committee, reported that the special committee voted unanimously to recommend the following item:

- 1) Approval of the proposed academic affiliation agreement with CentraCare.

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#).

A motion was made and the Board voted unanimously to approve the recommendation included in the report of the Special Committee on Academic Health.

### **REPORT OF THE SPECIAL COMMITTEE ON UNIVERSITY RELATIONS**

Regent Thao-Urabe, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#).

### **RESOLUTION TO CONDUCT NON-PUBLIC MEETING OF THE BOARD OF REGENTS TO DISCUSS ATTORNEY-CLIENT PRIVILEGED MATTERS**

A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents have balanced the purposes served by the Open Meeting Law and by the attorney-client privilege, and determined that there is a need for absolute confidentiality to discuss litigation strategy in particular matters involving the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with Minn. Stat. § 13D.01, Subd. 3 and 13D.05 Subd. 3(b), a non-public meeting of the Board of Regents be held on Friday, October 13, 2023 in the Boardroom, 600 McNamara Alumni Center, for the purpose of an attorney-client privileged discussion of litigation relating to a data security incident, including the following: *Linzy v University of Minnesota; Eckl v University of Minnesota; Shackelford v University of Minnesota; Dittberner v University of Minnesota; Martin v University of Minnesota; Sebersson v University of Minnesota; Foster v University of Minnesota; Chatelain v University of Minnesota.*

The Board voted unanimously to adopt the resolution and the public portion of the meeting ended at 12:31 p.m.

The docket materials for this item begin on page 108. The closed-captioned video of this item is [available here](#).

Regents present for the non-public portion: Janie Mayeron, presiding; Douglas Huebsch, Mary Davenport, James Farnsworth, Robyn Gulley, Ruth Johnson, Tadd Johnson, Bo Thao-Urabe, Mary Turner, Kodi Verhalen, and Penny Wheeler.

Staff present for the non-public portion: Interim President Jeffrey Ettinger; Senior Vice President Myron Frans; General Counsel Douglas Peterson; Vice President Bernard Gulachek; Executive Director Brian Steeves; Chief Auditor Quinn Gaalswyk; Chief Public Relations Officer Chuck Tombarge.

Others present for the non-public portion: Laurie Beyer-Kropuenske, Brian Dahlin, Jonathan Harper, Dan Herber, Jason Langworthy, Brian Slovit, Jon Steadland, and Marlo Welshons.

The meeting adjourned at 1:46 p.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive, flowing style.

**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**