

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Litigation Review Committee  
February 10, 2022**

A meeting of the Litigation Review Committee of the Board of Regents was held on Thursday, February 10, 2022, at 8:00 a.m. in the Hail! Minnesota Conference Room, 600 McNamara Alumni Center.

Regents present: Douglas Huebsch, presiding; James Farnsworth, Ruth Johnson, Janie Mayeron, David McMillan, and Kendall Powell.

Staff present: General Counsel Douglas Peterson, and Executive Director Brian Steeves.

Others present: Kevin Anderson, Brent Benrud, Patricia Carson, Mike DeVries, Sarah Dirksen, Carrie Ryan Gallia, Rebecca Gerber, Tim Pramas, and Brian Slovt.

The docket materials for this meeting are [available here](#).

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING  
OF THE LITIGATION REVIEW COMMITTEE**

The meeting convened in public session at 8:00 a.m. A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents Litigation Review Committee has balanced the purposes served by the Open Meeting Law and by the attorney-client privilege, and determined that there is a need for absolute confidentiality to discuss litigation strategy in particular matters involving the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with Minn. Stat. § 13D.01, Subd. 3 and 13D.05 Subd. 3(b), a non-public meeting of Litigation Review Committee be held on Thursday, February 10, 2022 at 8:00 a.m. in the Hail! Minnesota Conference Room, 600 McNamara Alumni Center, for the purpose of discussing attorney-client privileged matters including the following:

- I. Potential patent litigation
- II. *Viewpoint Neutrality Now! et al. v. Regents of the University of Minnesota, et al.*
- III. *Steven Staubus, et al. v. Regents of the University of Minnesota / Patrick Hyatte, et al. v. The University of Minnesota*
- IV. *Evan Ng v. Board of Regents of the University of Minnesota, et al.*

V. *Michael Sacks, et al. v. University of Minnesota, et al.*

The committee voted unanimously to adopt the resolution and the public portion of the meeting ended at 8:02 a.m.

The meeting adjourned at 8:57 a.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive, flowing style.

**BRIAN R. STEEVES**  
Executive Director and  
Corporate Secretary

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Audit & Compliance Committee  
February 10, 2022**

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Thursday, February 10, 2022, at 8:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Mike Kenyanya, presiding; Mary Davenport, Darrin Rosha, Bo Thao-Urabe, Steve Sviggum, and Kodi Verhalen.

Staff present: Chancellor Lendley Black; Acting Chancellor Janet Schrunk Ericksen; Executive Vice President and Provost Rachel Croson; Vice President Bernard Gulachek; Executive Director Brian Steeves; Chief Auditor Quinn Gaalswyk; and Associate Vice Presidents Frances Lawrenz and Tina Marisam.

Student Representatives present: Emily Kurtz and Riley Tuft.

The docket materials for this meeting are available [here](#).

**EXTERNAL AUDITOR'S REVIEW OF COMPLETED AUDIT WORK**

Regent Kenyanya invited Sue Paulson, Controller, along with Judi Dockendorf and Nicole Hoium from Deloitte & Touche LLP, to present the external auditor's review of completed audit work, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

**EXTERNAL AUDIT PLAN**

Regent Kenyanya invited Sue Paulson, Controller, along with Judi Dockendorf and Nicole Hoium from Deloitte & Touche LLP, to present the external audit plan, as detailed in the docket.

The docket materials for this item begin on page 138. The closed captioned video of this item is [available here](#).

**ACADEMIC AND RESEARCH MISCONDUCT PROCESSES**

Regent Kenyanya invited Chief Auditor Quinn Gaalswyk; Tina Marisam, Associate Vice President, Director, and Title IX Coordinator, Equal Opportunity and Affirmative Action; Frances Lawrenz, Associate Vice President for Research; Tamar Gronvall, Director, Office for Conflict Resolution; and Chief Compliance Officer Boyd Kumher to discuss the University's academic and research misconduct processes, as detailed in the docket.

The docket materials for this item begin on page 148. The closed captioned video of this item is [available here](#).

### **INTERNAL AUDIT UPDATE**

Regent Kenya invited Chief Auditor Quinn Gaalswyk to provide an internal audit update, as detailed in the docket.

The docket materials for this item begin on page 184. The closed captioned video of this item is [available here](#).

### **INFORMATION ITEMS**

Chief Auditor Quinn Gaalswyk referred the committee to the information items in the docket:

- Engagements Less Than \$100,000 Requiring After-the-Fact Reporting
- Reporting of Contract Extension

The docket materials for this item begin on page 215. The closed captioned video of this item is [available here](#).

The meeting adjourned at 9:04 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Mission Fulfillment Committee  
February 10, 2022**

A meeting of the Mission Fulfillment Committee of the Board of Regents was held on Thursday, February 10, 2022, at 9:15 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Mary Davenport, presiding; James Farnsworth, Doug Huebsch, Ruth Johnson, Mike Kenya, Janie Mayeron, David McMillan, Kendall Powell, Darrin Rosh, Steve Sviggum, Bo Thao-Urabe, and Kodi Verhalen.

Staff present: President Joan Gabel; Acting Executive Chancellor Mary Holz-Clause; Chancellors Lendley Black and Lori Carrell; Acting Chancellor Janet Schrunk Ericksen; Executive Vice President and Provost Rachel Croson; Vice President Michael Berthelsen; Interim Vice President J. Michael Oakes; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Chief Auditor Quinn Gaalswyk.

Student Representatives present: Bridget Amoah and Seah Buttar.

The docket materials for this meeting are [available here](#).

**UMTC COLLEGIATE STRATEGIC PLAN ALIGNMENT WITH MPACT 2025**

Regent Davenport invited Executive Vice President and Provost Croson along with Valery Forbes, Dean of the College of Biological Sciences, and Keith Mays, Dean of the School of Dentistry, to discuss collegiate strategic plan alignment with the MPact 2025 Systemwide Strategic, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

**PLACE-BASED INNOVATION ECOSYSTEMS: MISSION & STRATEGY**

Regent Davenport invited Interim Vice President Oakes to discuss the mission and strategy for place-based innovation ecosystems, as detailed in the docket.

The docket materials for this item begin on page 30. The closed captioned video of this item is [available here](#).

Regent Thao-Urabe left the meeting.

Regent Davenport recessed the meeting at 10:55 a.m.

## **OVERVIEW OF FUTURE OF ADVANCED AGRICULTURE RESEARCH IN MINNESOTA (FAARM) INITIATIVE**

Regent Davenport reconvened the meeting at 11:03 a.m. and invited Brian Buhr, Dean of the College of College of Food, Agricultural and Natural Resource Sciences, to provide an overview of the Future of Advanced Agriculture Research in Minnesota (FAARM) Initiative, as detailed in the docket.

The docket materials for this item begin on page 64. The closed captioned video of this item is [available here](#).

### **CONSENT REPORT**

Executive Vice President and Provost Croson presented the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 75. The closed captioned video of this item is [available here](#).

#### **Request for Approval of New Academic Programs**

- College of Education and Human Development (Twin Cities campus)—Create a Graduate Minor in Special Education
- College of Liberal Arts (Twin Cities campus)—Create a Graduate Minor in Race, Indigeneity, Gender, and Sexuality
- Humphrey School of Public Affairs (Twin Cities campus)—Create a Graduate Minor in Development Practice
- College of Food, Agriculture, and Natural Resource Sciences (Twin Cities campus)—Create an undergraduate minor in Agriculture and Food Education
- College of Food, Agriculture, and Natural Resource Sciences (Twin Cities campus)—Create an undergraduate minor in Agriculture and Environmental Science Communication

#### **Request for Approval of Changed Academic Programs**

- College of Food, Agriculture, and Natural Resource Sciences (Twin Cities campus)—Create an Applied Poultry Science subplan in the Animal Science M.S. degree
- College of Education and Human Development (Twin Cities campus)—Create partially and completely online program delivery options in the Advanced Practices in Second Language Teaching Post-Baccalaureate Certificate
- College of Education and Human Development (Twin Cities campus)—Deliver the Online Distance Learning Post-Baccalaureate Certificate completely online
- The School of Nursing (Twin Cities campus)—Change the academic home of the Integrated Health and Wellbeing M.A. and the Integrative Therapies and Healing Practices Minor and Post-Baccalaureate Certificate from the Graduate School to the School of Nursing

## **Request for Conferral of Tenure for Outside Hires**

- Melissa Brunsvold, professor with tenure, Department of Surgery, Medical School

A motion was made and seconded, and the committee voted unanimously to recommend approval of the Consent Report.

### **INFORMATION ITEMS**

Executive Vice President and Provost Croson referred the committee to the information items in the docket.

The docket materials for this item begin on page 81. The closed captioned video of this item is [available here](#).

The meeting adjourned at 11:47 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Finance & Operations Committee  
February 10, 2022**

A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, February 10, 2022, at 1:00 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Janie Mayeron, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, David McMillan, Kendall Powell, Darrin Rosha, Steven Sviggum, and Kodi Verhalen.

Staff present: President Joan Gabel; Chancellors Lendley Black and Lori Carrell; Acting Executive Chancellor Mary Holz-Clause; Acting Chancellor Janet Schrunk Ericksen; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; Vice Presidents Michael Berthelsen, Kenneth Horstman, Matt Kramer, and Julie Tonneson; General Counsel Douglas Peterson; Executive Director Brian Steeves; Chief Auditor Quinn Gallswyk; and Associate Vice Presidents Stuart Mason and Michael Volna.

Student Representatives present: Cal Mergendahl and Flora Yang.

The docket materials for this meeting are [available here](#).

**ONGOING IMPACTS OF COVID-19 ON UNIVERSITY FINANCES**

Regent Mayeron invited Senior Vice President Frans and Vice President Tonneson to provide an update on the ongoing impacts of COVID-19 on University finances, as detailed in the docket.

The docket materials for this item begin on page 4. The closed captioned video of this item is [available here](#).

**FY 2023 ANNUAL OPERATING BUDGET FRAMEWORK**

Regent Mayeron invited Senior Vice President Frans and Vice President Tonneson to outline the FY 2023 Annual Operating Budget framework, as detailed in the docket.

The docket materials for this item begin on page 23. The closed captioned video of this item is [available here](#).

**PERFORMANCE MANAGEMENT PRACTICE OVERVIEW**

Regent Mayeron invited Vice President Horstman and Brandon Sullivan, Senior Director of Leadership and Talent Develop, to provide an overview of the University's performance management practice, as detailed in the docket.



The docket materials for this item begin on page 49. The closed captioned video of this item is [available here](#).

### **BOARD OF REGENTS POLICY: *PROPERTY AND FACILITY USE***

Regent Mayeron invited Senior Vice President Frans and Leslie Krueger, Assistant Vice President for Planning, Space, and Real Estate, to present for review proposed amendments to Board of Regents Policy: *Property and Facility Use*, as detailed in the docket.

The docket materials for this item begin on page 58. The closed captioned video of this item is [available here](#).

Mayeron recessed the meeting at 2:56 p.m.

### **RESOLUTION RELATED TO ISSUANCE OF A CENTURY BOND**

Regent Mayeron reconvened the meeting at 3:07 p.m. and invited President Gabel, Senior Vice President Frans, and Associate Vice President Volna to present for review and action the resolution related to issuance of a century bond, as detailed in the docket.

The docket materials for this item begin on page 70. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to issuance of a century bond.

### **COLLECTIVE BARGAINING AGREEMENTS**

Regent Mayeron invited Vice President Horstman to present for review and action the following collective bargaining agreements, as detailed in the docket:

- A. AFSCME Council 5, Health Care and Non-Professional Unit Local 3260
- B. AFSCME Council 5, Clerical and Office Unit Locals 3800 and 3801
- C. AFSCME Council 5, Technical Unit Locals 3937 and 3801

The docket materials for this item begin on page 137. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend approval of the labor agreement with AFSCME Council 5, Health Care and Non-Professional Unit Local 3260.

A motion was made and seconded, and the committee voted unanimously to recommend approval of the labor agreement with AFSCME Council 5, Clerical and Office Support Unit Locals 3800 and 3801.

A motion was made and seconded, and the committee voted unanimously to recommend approval of the labor agreement with AFSCME Council 5, Technical Unit Locals 3937 and 3801.

## CONSENT REPORT

Regent Mayeron invited Senior Vice President Frans to present the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 144. The closed captioned video of this item is [available here](#).

### **Purchase of Goods and Services \$1,000,000 and Over:**

- To ES Broadcast Hire, LLC for \$2,117,300 for Video Board Control Room Equipment and Installation Services for Huntington Bank Stadium for the Department of Intercollegiate Athletics (Twin Cities) for the period of February 15, 2022 through August 31, 2022. The vendor was selected through a competitive process. Two suppliers responded to the RFP; neither were targeted businesses.
- To Ravenwood Studios UK Limited for an estimated \$1,100,000 for production of “Secrets Of The Forest” Fulldome Planetarium Show for Bell Museum (Twin Cities) for the period of March 15, 2022 through October 31, 2023. The supplier will be commissioned to create a feature length planetarium show using technology previously piloted under this agreement to represent artist Jim Brandenburg’s work in the Bell Museum planetarium for public display and appreciation. Funding for this purchase to be provided by Bell Museum and Planetarium Foundation funds. See enclosed documentation for basis of supplier selection.
- To Stryker Corp (Medical Division) for \$17,180,000 for the CR2 WIFI connected Automated External Defibrillator (AED) package for the Center for Resuscitation Medicine, Cardiology Division in the Medical School (Twin Cities) for the period of February 15, 2022, through February 15, 2025. The funds for this purchase have been provided by the Helmsley Charitable Trust through a sponsored project. See enclosed documentation for basis of supplier selection.

### **Employment Agreements**

- Robert Stine, Dean, College of Continuing and Professional Studies, Twin Cities campus
- Mark Coyle, Director of Intercollegiate Athletics, Twin Cities campus

### **Off-Cycle Tuition Rate Changes**

Regent Farnsworth requested that the amended employment agreement for Mark Coyle as Director of Intercollegiate Athletics for the Twin Cities campus be considered separately.

A motion was made and seconded, and the committee voted unanimously to recommend approval of the remaining items in the Consent Report.

A motion was made and seconded, and the committee voted nine to two to recommend approval of the amended employment agreement for Mark Coyle as Director of Intercollegiate Athletics for the Twin Cities campus. Regents Farnsworth and Kenya voted no.

## INFORMATION ITEMS

Regent Mayeron invited Senior Vice President Frans to discuss the information items in the docket:

- Central Reserves General Contingency Allocations
- Annual Capital Finance and Debt Management Report
- Annual Insurance and Risk Management Report
- Central Reserves Fund Report
- State Capital Appropriation Expenditure Report
- Quarterly Purchasing Report
- Biomedical Science Research Facilities Funding Program
- Contamination Remediation of University Land in Rosemount, MN

The docket materials for this item begin on page 166. The closed captioned video of this item is [available here](#).

The meeting adjourned at 3:59 p.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Governance & Policy Committee  
February 11, 2022**

A meeting of the Governance & Policy Committee of the Board of Regents was held on Friday, February 11, 2022, at 8:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Kodi Verhalen, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, Janie Mayeron, David McMillan, Kendall Powell, Darrin Rosha, Steven Sviggum, and Bo Thao-Urabe.

Staff present: President Joan Gabel; Chancellors Lendley Black and Lori Carrell; Acting Executive Chancellor Mary Holz-Clause; Acting Chancellor Janet Schrunk Ericksen; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; Vice Presidents Michael Goh and Matt Kramer; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Chief Auditor Quinn Gaalswyk.

The docket materials for this meeting are [available here](#).

**POLICY AMENDMENTS RELATED TO BOARD-REQUIRED REPORTS**

Regent Verhalen invited Executive Director Steeves and Jason Langworthy, Board Associate, Policy & Committees, to present for action proposed policy amendments related to Board-required reports, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to changes to Board-required reports.

**BOARD OF REGENTS POLICY: *NAMINGS***

Regent Verhalen invited President Gabel to present for action proposed amendments to Board of Regents Policy: *Namings*, as detailed in the docket.

The docket materials for this item begin on page 7. The closed captioned video of this item is [available here](#).

A motion was made and seconded to recommend adoption of the proposed amendments to Board of Regents Policy: *Namings* as presented.

Regent Rosha moved to amend the title of the policy from the proposed title of Board of Regents Policy: *Namings and Renamings* to Board of Regents Policy: *Namings*. There was a second. Verhalen

called for the vote. Being unsure of the result, she directed that a roll call be taken. The vote on the Rosha amendment to change the title of the policy was as follows:

|                   |     |
|-------------------|-----|
| Regent Davenport  | Yes |
| Regent Farnsworth | Yes |
| Regent Huebsch    | No  |
| Regent Johnson    | No  |
| Regent Kenyanya   | Yes |
| Regent Mayeron    | No  |
| Regent McMillan   | No  |
| Regent Powell     | No  |
| Regent Rosha      | Yes |
| Regent Sviggum    | No  |
| Regent Thao-Urabe | Yes |
| Regent Verhalen   | No  |

On a vote of 5-7, the Rosha amendment to change the title of the policy failed.

Rosha moved to amend Section VII, Subd. 2, second bullet point as follows:

- the sources and strength of the information offered as evidence of that behavior;

There was a second. Verhalen announced that all additional votes on this item would be taken by roll call. The vote on the Rosha amendment to Section VII, Subd. 2, second bullet point was as follows:

|                   |     |
|-------------------|-----|
| Regent Davenport  | Yes |
| Regent Farnsworth | Yes |
| Regent Huebsch    | No  |
| Regent Johnson    | No  |
| Regent Kenyanya   | No  |
| Regent Mayeron    | No  |
| Regent McMillan   | No  |
| Regent Powell     | No  |
| Regent Rosha      | Yes |
| Regent Sviggum    | No  |
| Regent Thao-Urabe | No  |
| Regent Verhalen   | No  |

On a vote of 3-9, the Rosha amendment to Section VII, Subd. 2, second bullet point failed.

Rosha moved to amend Section VII, Subd. 3, second paragraph as follows:

The University, the Honors Committee, and those involved in evaluating a renaming or revocation request, shall adhere to the standards of inquiry and discourse appropriate for an institution of higher education. As a part of the review, the Honors Committee shall invite comments from all interested members of the University community, including those who were impacted by the behavior in question or their heirs and the subject of the naming or their heirs. The Honors Committee should take care that the inquiry itself does not exacerbate the harms that are being considered. Neither the request nor the inquiry should constitute the primary basis for a finding of underlying harm. Where helpful, the Honors Committee should take full

advantage of the expertise of members of the University community. Review procedures shall be maintained by the Honors Committee and approved by the president.

There was a second. The vote on the Rosha amendment to Section VII, Subd. 3, second paragraph was as follows:

|                   |     |
|-------------------|-----|
| Regent Davenport  | Yes |
| Regent Farnsworth | No  |
| Regent Huebsch    | No  |
| Regent Johnson    | No  |
| Regent Kenya      | Yes |
| Regent Mayeron    | No  |
| Regent McMillan   | No  |
| Regent Powell     | No  |
| Regent Rosha      | Yes |
| Regent Sviggum    | Yes |
| Regent Thao-Urabe | No  |
| Regent Verhalen   | No  |

On a vote of 4-8, the Rosha amendment to Section VII, Subd. 3, second paragraph failed.

Rosha moved to amend Section VII, Subd. 4 (a) as follows:

- (a) Advancement of the University’s mission, guiding principles, and shared history - The Honors Committee should consider the impact of the naming to University history, and whether the current naming exemplifies the **highest** aspirations of the institution’s mission and guiding principles and advances the evolving landscape of University history and achievement.

There was a second. The vote on the Rosha amendment to Section VII, Subd. 4 (a) was as follows:

|                   |     |
|-------------------|-----|
| Regent Davenport  | No  |
| Regent Farnsworth | No  |
| Regent Huebsch    | No  |
| Regent Johnson    | No  |
| Regent Kenya      | No  |
| Regent Mayeron    | No  |
| Regent McMillan   | No  |
| Regent Powell     | No  |
| Regent Rosha      | Yes |
| Regent Sviggum    | No  |
| Regent Thao-Urabe | No  |
| Regent Verhalen   | No  |

On a vote of 1-11, the Rosha amendment to Section VII, Subd. 4 (a) failed.

Rosha moved to amend Section VII, Subd. 4 (c) as follows:

- (c) The harm caused by retaining the name, and the harmful impact of the individual’s or non-University entity’s behavior – This factor examines whether the individual’s or non-University entity’s behavior is inconsistent with the University’s mission and guiding

principles, jeopardizes the integrity of the University, or presents risk or harm to the reputation of the University. The case for renaming is stronger to the extent that retaining a name creates an environment that substantially impairs the ability of students, faculty, or staff of a particular gender, sexual orientation, race, religion, national origin, or other characteristic protected by federal law or University policy to participate fully and effectively in the University's mission.

There was a second. The vote on the Roshia amendment to Section VII, Subd. 4 (c) was as follows:

|                   |     |
|-------------------|-----|
| Regent Davenport  | No  |
| Regent Farnsworth | No  |
| Regent Huebsch    | No  |
| Regent Johnson    | No  |
| Regent Kenyanya   | No  |
| Regent Mayeron    | No  |
| Regent McMillan   | No  |
| Regent Powell     | No  |
| Regent Roshia     | Yes |
| Regent Sviggum    | No  |
| Regent Thao-Urabe | No  |
| Regent Verhalen   | No  |

On a vote of 1-11, the Roshia amendment to Section VII, Subd. 4 (c) failed.

Roshia moved to amend Section VII, Subd. 4 (d) as follows:

(d) Strength and clarity of the historical evidence - The case for renaming is strongest when there is clear and unambiguous documentation of the wrongful behavior by the individual or non-University entity and is weakest when the documentation is scant or ambiguous. The documentation shall also include the totality of an individual's or the non-University entity's public and private actions that factor in the affirmation of or against renaming. In evaluating the culpability of the individual or non-University entity for wrongful behavior, the Honors Committee shall recognize the time and cultural context in which the behavior occurred.

There was a second. The vote on the Roshia amendment to Section VII, Subd. 4 (d) was as follows:

|                   |     |
|-------------------|-----|
| Regent Davenport  | No  |
| Regent Farnsworth | Yes |
| Regent Huebsch    | No  |
| Regent Johnson    | No  |
| Regent Kenyanya   | No  |
| Regent Mayeron    | No  |
| Regent McMillan   | No  |
| Regent Powell     | No  |
| Regent Roshia     | Yes |
| Regent Sviggum    | No  |
| Regent Thao-Urabe | No  |
| Regent Verhalen   | No  |

On a vote of 2-10, the Roshia amendment to Section VII, Subd. 4 (d) failed.

Verhalen called for the vote on the motion to recommend adoption of the proposed amendments to Board of Regents Policy: *Namings* as presented. The vote on the main motion was as follows:

|                   |     |
|-------------------|-----|
| Regent Davenport  | Yes |
| Regent Farnsworth | Yes |
| Regent Huebsch    | Yes |
| Regent Johnson    | Yes |
| Regent Kenyanya   | Yes |
| Regent Mayeron    | Yes |
| Regent McMillan   | Yes |
| Regent Powell     | Yes |
| Regent Rosha      | No  |
| Regent Sviggum    | Yes |
| Regent Thao-Urabe | Yes |
| Regent Verhalen   | Yes |

The committee voted 11-1 to recommend adoption of the proposed amendments to Board of Regents Policy: *Namings* as presented.

The meeting adjourned at 9:23 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents  
February 11, 2022**

A meeting of the Board of Regents of the University of Minnesota was held on Friday, February 11, 2022, at 9:41 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, Steven Sviggum, Bo Thao-Urabe, and Kodi Verhalen.

Staff present: President Joan Gabel; Chancellors Lendley Black and Lori Carrell; Acting Executive Chancellor Mary Holz-Clause; Acting Chancellor Janet Schrunk Ericksen; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; Vice Presidents Michael Berthelsen, Michael Goh, Kenneth Horstman, Matt Kramer, Jakub Tolar, and Julie Tonneson; General Counsel Douglas Peterson; Executive Director Brian Steeves; Chief Auditor Quinn Gallswyk; and Associate Vice President Michael Volna.

The docket materials for this meeting are [available here](#).

**INTRODUCTIONS**

**Chief Auditor**

Regent Powell and President Gabel introduced Quinn Gaalswyk, the new Chief Auditor. The docket materials for this item begin on page 4. The closed-captioned video of this item is [available here](#).

**APPROVAL OF MINUTES**

The Board voted unanimously to approve the following minutes as presented in the docket materials:

Litigation Review Committee – December 16, 2021  
Audit & Compliance Committee – December 16, 2021  
Mission Fulfillment Committee – December 16, 2021  
Audit & Compliance Committee Non-Public Meeting – December 16, 2021  
Finance & Operations Committee – December 16, 2021  
Governance & Policy Committee – December 17, 2021  
Board of Regents – December 17, 2021

The docket materials for this item begin on page 5. The closed-captioned video of this item is [available here](#).

## **REPORT OF THE PRESIDENT**

President Gabel delivered the report of the President.

The docket materials for this item begin on page 26. The closed-captioned video of this item is [available here](#).

## **REPORT OF THE CHAIR**

Regent Powell delivered the report of the Chair.

The docket materials for this item begin on page 27. The closed-captioned video of this item is [available here](#).

## **RECEIVE AND FILE REPORTS**

Regent Powell noted the receipt and filing of reports, as described in the docket materials, including:

- Summary of Expenditures

The docket materials for this item begin on page 28. The closed-captioned video of this item is [available here](#).

## **CONSENT REPORT**

Regent Powell presented for review and action the Consent Report as described in the docket materials, including:

- Gifts
- Report of the All-University Honors Committee
- Report of the Naming Committee
- Amendment to Regents Award

A motion was made and seconded, and the Board voted unanimously to approve the Consent Report.

The docket materials for this item begin on page 32. The closed-captioned video of this item is [available here](#).

## **2021 UNIVERSITY PERFORMANCE AND ACCOUNTABILITY REPORT**

Regent Powell invited President Gabel and Provost Croson to present for action the 2021 University Performance and Accountability Report, as detailed in the docket.

The docket materials for this item begin on page 56. The closed-captioned video of this item is [available here](#).

A motion was made and seconded, and the Board voted unanimously to approve the resolution related to the 2021 University Performance and Accountability Report, as follows:

WHEREAS, the Board of Regents (Board) and the president of the University of Minnesota are entrusted with the responsibility in their oversight of the University of Minnesota (University) to be good stewards of the public interest, resources, and facilities; and

WHEREAS, it is the responsibility of the Board, in cooperation with the president, to identify and analyze the critical issues and challenges confronting the University; assess its operations; and evaluate the performance and success of its colleges and campuses; and

WHEREAS, the *University Performance and Accountability Report* (Report) publicly demonstrates the University's accountability for progress in reaching its stated goals and objectives; links planning, performance evaluation, and resource allocation at the system and campus/college level; illustrates and analyzes longitudinal trends in key areas; provides a means to make comparisons with peer institutions; identifies areas for continued work; and includes progress made in achieving the goals articulated in the MPact 2025 Systemwide Strategic Plan.

NOW, THEREFORE, BE IT RESOLVED that the Board approves the *2021 University Performance and Accountability Report*.

### **RESOLUTION RELATED TO REPATRIATION OF MIMBRES OBJECTS**

Regent Powell invited Provost Croson and Karen Hanson, Chair of the University Native American Graves Protection and Repatriation Act Advisory Committee, to present for review and action a resolution related to Repatriation of Mimbres Objects, as detailed in the docket.

The docket materials for this item begin on page 83. The closed-captioned video of this item is [available here](#).

A motion was made and seconded, and the Board voted unanimously to approve the resolution related to Repatriation of Mimbres Objects, as follows:

WHEREAS, between 1928 and 1931, the University of Minnesota (University) and the Minneapolis Institute of Art undertook joint archaeological excavations in New Mexico (Excavations) and, as a result of those excavations, human remains and objects, including pottery and associated materials, of the Mimbres people were excavated; and

WHEREAS, following the Excavations, the University transferred some of the excavated Mimbres objects to other universities or museums in the United States (Other Institutions); and

WHEREAS, in 1989 and 2020, human remains from the Excavations were transferred to the Minnesota Indian Affairs Council (MIAC) by the University; and

WHEREAS, in 1992, the Department of Anthropology transferred the remaining Mimbres objects (Mimbres Objects) to the University Art Museum, subsequently the Frederick R. Weisman Art Museum (Museum); and

WHEREAS, University personnel have undertaken recent research with respect to the Mimbres Objects and in October 2020, President Joan T.A. Gabel (President) formed and charged a University Native American Graves Protection and Repatriation Act (NAGPRA) Advisory Committee consisting of the interim director of the Museum and leaders in the University's academic community to advise the President and executive vice president and provost and assist the University in its inventory, consultation and cultural affiliation process and efforts, with respect to the Mimbres Objects; and

WHEREAS, the President recommends to the Board of Regents of the University of Minnesota (Board) the repatriation of the Mimbres Objects; and

WHEREAS, the Board believes that it is in the best interests of the University to repatriate the Mimbres Objects, and delegates to the President the authority to take other actions with respect to the Mimbres Objects at such time and on such terms as the President shall determine.

NOW, THEREFORE, BE IT RESOLVED that:

1. The President be, and hereby is, authorized and directed to repatriate the Mimbres Objects to such tribe or tribes as the President shall determine appropriate, on such terms and conditions as the President shall deem consistent with the obligations of the University under NAGPRA, including, without limitation, alone or jointly with MIAC and/or jointly with some or all of the Other Institutions, as the President shall determine.
2. The President, alone or in conjunction with any other officer of the University, be, and hereby is, authorized to execute, acknowledge and deliver any filings, documents, certificates or agreements, deaccession the Mimbres Objects, and take such other action as the President deems necessary or appropriate to carry out the provisions of these resolutions and to delegate to any such other officers the authority to do so.

### **HEALTH SCIENCES STRATEGY: EDUCATION**

Regent Powell invited Vice President Tolar and Brian Sick, Interim Associate Vice President in the Office of Academic Health Sciences, to provide an update on the University's health sciences strategy, with a focus on education, as detailed in the docket.

The docket materials for this item begin on page 86. The closed-captioned video of this item is [available here](#).

Powell recessed the meeting at 11:12 a.m.

### **DIVERSITY, EQUITY & INCLUSION: UMR**

Regent Powell reconvened the meeting at 11:20 a.m. and invited Vice President Goh and Chancellor Carrell to provide an update on Diversity, Equity, and Inclusion at UMR, as detailed in the docket.

The docket materials for this item begin on page 103. The closed-captioned video of this item is [available here](#).

## **EAST GATEWAY PROJECT UPDATE**

Regent Powell invited Kathy Schmidlkofer, UMF President and CEO, and Pat Mascia, Managing Director of University of Minnesota Foundation Real Estate Advisors, to provide an update on the East Gateway Project, as detailed in the docket.

The docket materials for this item begin on page 212. The closed-captioned video of this item is [available here](#).

## **UPDATE ON PUBLIC SAFETY & M SAFE IMPLEMENTATION**

Regent Powell invited Senior Vice President Frans; Kathy Quick, Co-Chair of the M Safe Implementation Team; and Amelious Whyte, Chair of the M Safe Implementation Team Subcommittee, to provide an update on Public Safety and M Safe Implementation, as detailed in the docket.

The docket materials for this item begin on page 132. The closed-captioned video of this item is [available here](#).

Regent Thao-Urabe left the meeting.

## **REPORT OF THE AUDIT & COMPLIANCE COMMITTEE**

Regent Kenya, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

## **REPORT OF THE LITIGATION REVIEW COMMITTEE**

Regent Huebsch, chair of the committee, reported that pursuant to notice sent by the University, the Litigation Review Committee met on February 10, 2022. At this meeting, a resolution was considered and adopted that authorized the closing of the meeting. In the closed meeting, discussion was held on matters subject to the attorney-client privilege.

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#).

## **REPORT OF THE MISSION FULFILLMENT COMMITTEE**

Regent Davenport, chair of the committee, reported that the committee voted to recommend the following item.

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#).

- 1) Approval of the Consent Report for the Mission Fulfillment Committee as presented to the committee and described in the February 10, 2022 committee minutes. The committee docket materials for this item can be found on page 75.

A motion was made, and the Board voted unanimously to approve the Consent Report.

### **REPORT OF THE FINANCE & OPERATIONS COMMITTEE**

Regent Mayeron, chair of the committee, reported that the committee voted to recommend the following six items. All unanimous recommendations of the committee were considered as one motion.

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#).

The first five items in the committee report were considered as one motion.

- 1) Approval of a resolution related to the Issuance of Century Bond. The committee docket materials for this item can be found on page 70.

WHEREAS, on October 8, 2021, the Board of Regents (Board) approved a six-year capital plan and a capital improvement budget in support of the University of Minnesota's (University) strategic priorities; and

WHEREAS, advancing innovative financing to support long-term strategic objectives is one of the action items within Commitment 5: Fiscal Stewardship as outlined in the MPact 2025 Systemwide Strategic Plan; and

WHEREAS, it has been proposed that the University proceed with a plan of financing which involves, among other things, the issuance and sale of long-term indebtedness, the final maturity of a given series of which does not exceed 101 years from the date of issuance (Debt), the proceeds of which are to be used to initially finance, on a revolving basis, University capital projects which may include purchases of land and buildings, construction and remodeling projects, and the acquisition and installation of equipment, as well as the costs of issuance of the Debt; and

WHEREAS, through the application of the proceeds to capital projects and internal collection of debt service from University academic and support units, the establishment of an internal bank funding model initially for future capital projects will be created; and

WHEREAS, to ensure the prudent and fiscally responsible management of the Debt and to ensure its ability to repay the outstanding principal due at or prior to maturity, the University will develop guiding principles to govern the use, investment, and expenditures of the proceeds of the Debt which will be presented to the Board for approval, as appropriate, prior to the expenditure of proceeds of the Debt for purposes other than payment of costs of issuance; and

WHEREAS, the Debt will be issued pursuant to one or more Order(s) of the University, which will contain the terms of such Debt and agreements and covenants of the University

with respect to the payment of the principal of, premium or discount, if any, and interest on such Debt; and

WHEREAS, the University also seeks to appoint an underwriting firm and a registered municipal advisory firm, both of which have experience with century bond transactions, to assist with the issuance of the Debt;

NOW, THEREFORE, BE IT RESOLVED by the Board as follows:

1. To provide funds to initially finance capital projects which may include purchases of land and buildings, construction and remodeling projects, and the acquisition and installation of equipment, as well as the costs of issuance of such financing(s), the Board hereby authorizes the sale and issuance of Debt in the principal amount of up to \$500,000,000. The Debt may be issued in one or more series in the form of bonds or notes, at a maximum fixed rate not to exceed 4.5 percent per annum, each series to mature not later than 101 years after the respective date of issuance.
2. The Debt will be issued as a general obligation of the University and will be issued as taxable debt in order to provide greater flexibility regarding expenditure of proceeds and accumulation of dedicated funds for debt service than is permitted for bonds issued on a tax-exempt basis.
3. The University shall set aside funds from a source other than bond proceeds to be invested so that it may ultimately be used to repay the total outstanding principal at maturity.
4. The purchases of land and buildings, construction and remodeling projects, or acquisition of equipment to be initially financed by the proceeds of the Debt shall be the source of funding of which is so designated by the Board or by the Treasurer as part of the University's capital planning process.
5. The Debt may be sold in one or more negotiated sales as determined by the Treasurer. The Treasurer is authorized to negotiate and approve the terms and conditions of the appropriate agreement or agreements with financial advisors, banks, investment banking firms, or other financial institutions, including the terms and conditions upon which their services will be rendered and the terms and conditions upon which the Debt will be sold and issued. The Treasurer is further authorized to negotiate and approve the terms and conditions of any credit support or liquidity facility for any series of Debt, if so required. Such agreements shall be in the form and contain such rights, obligations, covenants, agreements, representations and warranties of the University as are approved by the Treasurer.
6. In connection with the issuance of any series of Debt, the President and Treasurer are authorized to execute and deliver on behalf of the University the Order or any supplement or amendment thereto under which the Debt is to be issued in the form and containing such covenants, agreements, representations and warranties as are approved by the Treasurer, and the Secretary and Treasurer are authorized to execute and deliver the Debt in accordance with such Order or any supplement or amendment thereto. The signatures of the Secretary and/or Treasurer on the documents evidencing the Debt may be by facsimile.

7. The Treasurer is authorized to execute and deliver a purchase agreement with the initial purchaser or purchasers of any series of Debt in the form and containing such covenants, agreements, representations and warranties of the University as are approved by the Treasurer.
8. The Treasurer is authorized to approve the Preliminary Official Statement, final Official Statement, Offering Memorandum, Offering Circular, or other offering material, if any, or any supplements or amendments thereto to be prepared and distributed, if any, to any purchaser or potential purchaser of a series of Debt, and the President is authorized to execute and deliver the final Official Statement, if any, or any supplements or amendments thereto.
9. The appropriate University officers are authorized to execute and deliver all other documents, certificates and to take such action as may be necessary or appropriate in connection with the issuance and sale of the Debt.
10. The Secretary and other officials of the University are authorized and directed to prepare and furnish to any purchasers of the Debt certified copies of all proceedings and records of the University as may be required or appropriate to evidence the facts relating to the legality of the Debt as such facts appear from the books and records in the officers' custody and control or as otherwise known to them; and all such certified copies, certificates and affidavits, including any heretofore furnished, shall constitute representations of the University as to the truth of all statements contained therein.
11. The execution of any document by the appropriate University officers herein authorized shall be conclusive evidence of the approval of such documents in accordance with the terms hereof. In the absence of the President or Treasurer, any Order, final Official Statement, purchase agreement or any other document to be executed by the President or Treasurer in connection with the Debt may be executed by the Chair or Vice Chair instead of the President and by the Secretary instead of the Treasurer.
12. University officers are authorized and directed, prior to the expenditure of proceeds of the Debt for purposes other than payment of costs of issuance, to develop guiding principles to govern the use, investment and expenditure of the proceeds of the Debt, including principles to manage internal loans and application of repaid funds in accordance with the University's policies governing debt transactions and investments, to ensure the sound fiscal management of Debt proceeds, and to manage payment of the outstanding principal and interest.
13. The Board approves the appointment of Barclays as underwriter for the sale and issuance of the Debt or a portion thereof.
14. The Board approves the appointment of Janney Montgomery Scott as independent municipal debt advisor to assist with the sale and issuance of the Debt.

The above authorizations and resolutions shall remain in effect until February 28, 2023.



- 2) Approval of the resolution related to the Proposed Labor Agreement with AFSCME Council 5, Health Care and Non-Professional Unit Local 3260. The committee docket materials for this item can be found on page 137.

The resolution is as follows:

WHEREAS, the parties have met and negotiated over the course of the past several months and have reached agreement regarding terms and conditions of employment for this bargaining unit; and

WHEREAS, AFSCME Council 5, HealthCare and Non-Professional Unit Local 3260 have ratified acceptance of this agreement; and

WHEREAS, according to the Board of Regents Policy: Reservation and Delegation of Authority, approval of labor agreements by the Board of Regents is required.

NOW, THEREFORE, BE IT RESOLVED that on the recommendation of the President, the Board of Regents approves this labor agreement as outlined in the Finance & Operations Committee docket materials for February 10, 2022.

- 3) Approval of the resolution related to the Proposed Labor Agreement with AFSCME Council 5, Clerical and Office Support Unit Locals 3800 and 3801. The committee docket materials for this item can be found on page 137.

The resolution is as follows:

WHEREAS, the parties have met and negotiated over the course of the past several months and have reached agreement regarding terms and conditions of employment for the employees of this bargaining unit; and

WHEREAS, AFSCME Council 5, Clerical and Office Support Unit Locals 3800 and 3801 have ratified acceptance of this agreement; and

WHEREAS, according to Board of Regents Policy: Reservation and Delegation of Authority, approval of labor agreements by the Board of Regents is required.

NOW, THEREFORE, BE IT RESOLVED that on the recommendation of the President, the Board of Regents approves this labor agreement as outlined in the Finance & Operations Committee docket materials for February 10, 2022.

- 4) Approval of the resolution related to the Proposed Labor Agreement with AFSCME Council 5, Technical Unit Locals 3937 and 3801. The committee docket materials for this item can be found on page 137.

The resolution is as follows:

WHEREAS, the parties have met and negotiated over the course of the past several months and have reached agreement regarding terms and conditions of employment for the employees of this unit; and

WHEREAS, AFSCME Council 5, Technical Unit Locals 3937 and 3801 have ratified acceptance of this agreement; and

WHEREAS, according to Board of Regents Policy: Reservation and Delegation of Authority, approval of labor agreements by the Board of Regents is required.

NOW, THEREFORE, BE IT RESOLVED that on the recommendation of the President, the Board of Regents approves this labor agreement as outlined in the Finance & Operations Committee docket materials for February 10, 2022.

- 5) Approval of the Consent Report for the Finance & Operations Committee as presented to the committee and described in the February 10, 2022 committee minutes. The committee docket materials for this item can be found on page 144.

A motion was made, and the Board voted unanimously to approve the first five items in the committee report.

The sixth item in the committee report was voted on separately.

- 6) Approval of the amended employment agreement for Mark Coyle, Director of Intercollegiate Athletics. The committee docket materials for this item can be found on page 144.

A motion was made, and Regent Powell requested a roll call vote on the amended employment agreement.

The vote was as follows:

|                   |        |
|-------------------|--------|
| Regent Davenport  | Yes    |
| Regent Farnsworth | No     |
| Regent Huebsch    | Yes    |
| Regent Johnson    | Yes    |
| Regent Kenya      | No     |
| Regent Mayeron    | Yes    |
| Regent McMillan   | Yes    |
| Regent Rosha      | Yes    |
| Regent Sviggum    | Yes    |
| Regent Thao-Urabe | Absent |
| Regent Verhalen   | Yes    |
| Regent Powell     | Yes    |

The Board voted 10-2 to approve the amended employment agreement for Mark Coyle.

### **REPORT OF THE GOVERNANCE & POLICY COMMITTEE**

Regent Verhalen, chair of the committee, reported that the committee voted to recommend the following two items.

The committee docket materials can be found [here](#). The closed-captioned video of this item is [available here](#).

- 1) Approval of the resolution related to Changes to Board-Required Reports. The committee docket materials for this item can be found on page 3.

The resolution is as follows:

WHEREAS, the Office of Internal Audit issued an audit of Board of Regents (Board)-required reports in April 2021; and

WHEREAS, the Governance & Policy Committee discussed principles to guide recommendations for streamlined reporting requirements that support effective oversight (principles); and

WHEREAS, the Office of the Board of Regents has drafted changes to the Board-required reports that align with those principles.

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents approves the changes to Board-required reports as presented to the Governance & Policy Committee in the February 11, 2022 docket materials and directs the executive director and corporate secretary to amend the affected Board policies.

A motion was made, and the Board voted unanimously to approve the resolution.

- 2) Approval of the proposed amendments to Board of Regents Policy: *Namings*. The committee docket materials for this item can be found on page 7.

A motion was made to approve the proposed amendments to Board of Regents Policy: *Namings*, as follows:

#### SECTION I. SCOPE.

The policy governs the namings, renamings, and retention of namings of significant University of Minnesota (University) assets, including:

- (a) honorary namings (Section IV);
- (b) namings associated with gifts or sponsorships (Section V);
- (c) other namings (Section VI);
- (d) renamings and revocation of namings (Section VII); and
- (e) the retention of namings (Section VIII).

#### SECTION II. GUIDING PRINCIPLES.

The following principles shall guide the namings, renamings, and retention of namings of significant University assets:

- (a) Community and belonging - The University is committed to fostering a welcoming community that values accessibility, equity, diversity, and dignity in people and ideas as stated in Board of Regents Policy: *Equity, Diversity, Equal Opportunity, and Affirmative Action*.
- (b) Preservation - The University acknowledges the full, living history that formed it. Before a decision is made to name, rename, revoke, or retain a naming, care shall be

- taken that the process includes broad conversation; does not erase historical moments, persons or places; and makes room for voices held silent in the past.
- (c) Exceptionality - The naming, renaming, revocation, or retention of a naming to honor an individual or non-University entity's contribution to the University is a serious matter and should be undertaken with great care; exemplify the University's mission, guiding principles, and standards for integrity as defined by Board of Regents Policy: *Mission Statement* and Board of Regents Policy: *Code of Conduct*; advance the evolving landscape of University history and achievement, and consider the impact to University history.
  - (d) Deliberation - Each request for consideration of a naming, renaming, revocation, or retention of a naming shall be considered on its own through a careful, informed, inclusive, and deliberative approach that reflects the University's consultative and collaborative decision-making process; ensures the proper review and approval of all naming proposals; and preserves confidentiality consistent with applicable law.
  - (e) Change - Change across the University occurs continuously and the understanding and interpretation of campus history can also change over time. Consistent with the University's mission and guiding principles as defined by the Board of Regents (Board), the University benefits from examining its own long-standing history and traditions and will consider questions raised about namings granted by this policy.

### SECTION III. DEFINITIONS.

#### Subd. 1. Significant University Assets.

*Significant University assets* shall mean tangible or intangible resources of the University that are of significant prominence or visibility. Assets include but are not limited to the following: colleges and schools; University-level academic programs, centers, and institutes; and buildings, significant portions of buildings, grounds, physical structures, streets, and areas.

#### Subd. 2. Donor.

*Donor* shall mean a person or entity transferring money or other property to the University or one of its recognized foundations in connection with a naming, whether or not the donor is the subject of the naming.

#### Subd. 3. Gift.

*Gift* shall mean a transfer of, or promise to transfer, money or other property to the University without reciprocal benefit to the donor.

#### Subd. 4. Sponsor.

*Sponsor* shall mean a person or entity entering into a sponsorship.

#### Subd. 5. Sponsorship.

*Sponsorship* shall mean a contract involving the provision of funds or other support with the expectation of returned benefits, public acknowledgement, or promotional opportunity.

#### Subd. 6. Street.

*Street* shall mean any private road or driveway as defined in the *Regents of the University of Minnesota Traffic Regulations Ordinances*.

#### SECTION IV. HONORARY NAMINGS.

Significant University assets may be named in honor of an individual or a non-University entity to recognize service, dedication, or meritorious contributions to the institution when the naming is not associated with a gift or sponsorship. Honorary namings shall remain for a duration of 75 years, unless retained as described in Section VIII of this policy.

Subd. 1. Naming of Colleges, Schools, and University-Level Academic Programs.  
These assets may be named in honor of an individual or non-University entity.

- (a) Approval. The Board reserves to itself authority to name, rename, or revoke the honorary naming of these assets.
- (b) Management. The Senate All-University Honors Committee (Honors Committee) manages the process and submits recommendations to the president, who makes recommendations to the Board. Review procedures and criteria that align with Section II of this policy shall be maintained by the Honors Committee and approved by the president.

Subd. 2. Naming of Departmental Chairs.  
A departmental chair may carry an honorary naming.

- (a) Approval. The president or delegate approves this naming, with concurrence of departmental chairs.
- (b) Management. The relevant unit manages this naming process.

Subd. 3. Naming of Buildings and Other Significant University Assets.  
Buildings and other significant University assets may be named in honor of an individual or non-University entity. A building may not be named for a current University employee.

- (a) Approval. The Board reserves to itself authority to name, rename, or revoke the honorary naming of buildings and other significant University assets.
- (b) Management. The Honors Committee manages the process and submits recommendations to the president, who makes recommendations to the Board. Review procedures and criteria that align with Section II of this policy shall be maintained by the Honors Committee and approved by the president.

Subd. 4. Naming of Significant University Assets for Regents or Regents Emeriti.  
Significant University assets may not be named in honor of current or former members of the Board except as provided in Section V of this policy. Such gift related namings may not include the title "Regent" or "Regent Emeritus."

Subd. 5. Naming of Buildings for Past Presidents.  
The University may name buildings for past presidents. Consideration of a naming may not take place while a past president is employed by the University.

- (a) Approval. The Board reserves to itself authority to name, rename, or revoke the naming of buildings for past presidents.
- (b) Management. The chair of the Board convenes a committee with representatives from the Board, the Faculty Consultative Committee, and the Honors Committee to develop a recommendation. This recommendation shall be forwarded to the Honors Committee for information prior to submission to the Board for final action.

Subd. 6. Naming of Separate Building Parts.

Separate building parts that are not significant University assets may be named in honor of an individual or a non-University entity. An independent committee of the relevant academic or administrative leadership and building occupants shall manage and approve the namings.

SECTION V. NAMINGS ASSOCIATED WITH GIFTS OR SPONSORSHIPS.

University assets may be named for individuals or non-University entities to recognize significant gifts or as part of a sponsorship. Namings associated with gifts or sponsorships shall remain for the useful life of the physical campus feature or academic endeavor, unless otherwise negotiated under contract, and subject to Board approval. Colleges, schools, academic programs, centers, or institutes are not usually named for commercial entities; if the name of a commercial entity is to be considered, Board approval is required.

Subd. 1. Naming of Endowed Chairs, Professorships, Faculty Fellowships, and Other Positions.

The University seeks and welcomes private financial support for endowed chairs, professorships, faculty fellowships, and other positions that provide scholars or other leaders a continuous and reliable source of support to pursue their teaching, research, outreach, or other relevant activities. Awards established in these categories shall typically carry the name of the donor, of a person or institution designated by the donor, or of a person in whose name the University seeks funds to endow the award.

- (a) Approval. The president or delegate approves the naming of a chair, professorship, faculty fellowship, or other position.
- (b) Management. The relevant college, unit, or department establishes and manages the process for chairs, professorships, faculty fellowships, and other positions. Proposals to establish one of these institutional awards shall specify the conditions of the naming, the activities to be supported by the gift or sponsorship, and the amount of the endowment or the annual level of funding.
- (c) Candidates. The University shall have sole authority to appoint the holders of endowed chairs, professorships, faculty fellowships, and other positions.
- (d) Provisions.
  - (1) Restrictions on the Use of Title. Chairs, professorships, faculty fellowships, and other positions shall not include such terms as *University*, *distinguished*, or the title *Regents Professor*. These titles are conferred only by the Board.
  - (2) Level of Endowment.
    - (i) Endowment for Chairs. A chair may be established when \$2 million or more has been placed in an endowment that provides in perpetuity the annual funds needed for support of the chair. Alternatively, a chair may be established if a minimum of \$200,000 per year for 10 years is provided by the donor to spend for the chair's designated purpose.
    - (ii) Endowment for Professorships. A professorship may be established when \$1 million or more has been placed in a permanent endowment. Alternatively, a professorship may be established when a minimum of \$100,000 per year for 10 years is provided by the donor to spend for the professorship's designated purpose.
    - (iii) Endowment for Faculty Fellowships. A faculty fellowship may be established when \$500,000 or more has been placed in a permanent

endowment for the faculty fellowship. Alternatively, a faculty fellowship may be established when a minimum of \$50,000 per year for 10 years is provided by the donor to spend for the faculty fellowship's designated purpose.

(iv) Other Named Positions. Other named positions may be established from time to time through endowed gifts or minimum annual funding levels as determined by the University.

Subd. 2. Naming of Colleges, Schools, Buildings, and Other Significant University Assets. These assets may be named to recognize gifts or as part of a sponsorship. No commitment regarding namings associated with gifts or sponsorships shall be made to the donor or sponsor prior to the applicable University review and approval.

- (a) Consultation. Prior to entering into substantive discussions or making an oral or written commitment regarding a naming to a donor or sponsor, any individual acting on behalf of the University or a recognized University foundation shall (1) inform the donor or sponsor of this policy; (2) consult with the president to determine whether the naming opportunity requires the review and approval process outlined below; and (3) consult with the recognized University foundations as appropriate to determine whether the proposed naming meets the guidelines of the recognized University foundations.
- (b) Review. A naming committee, with two representatives from the Honors Committee, representatives from the recognized University foundations, and relevant academic and administrative officers, shall review naming proposals and submit recommendations to the president. The president recommends namings to the Board.
- (c) Approval. The Board reserves to itself authority to name, rename, or revoke the naming of colleges, schools, buildings, and other significant University assets.
- (d) Management. For gifts, the recognized University foundations shall maintain guidelines to implement this policy in order to ensure consistency in the size of gifts relative to the significance of the asset being named. For sponsorships, the president or delegate shall ensure the consistency of the size of the sponsorship agreement relative to the overall significance of the asset to be named.

Subd. 3. Other Namings Associated with Gifts or Sponsorships.

University assets not covered by the definition in Section III., Subd. 1., may be named to recognize gifts or as part of a sponsorship, including but are not limited to the following: scholarships, fellowships, lecture series, or other named awards that may be established on occasion from endowments or annual minimum award amounts.

- (a) Approval. The president or delegate shall approve the naming of these assets.
- (b) Management. Recognized University foundations shall manage the process for the naming of these assets and maintain guidelines and criteria for these namings.

## SECTION VI. OTHER NAMINGS.

This section shall govern the naming of significant University assets when the name is not in honor of an individual or non-University entity and the naming is not associated with a gift or sponsorship.

Subd. 1. Naming of Colleges and Schools.

A college or school may be named to reflect the relevant academic discipline.

- (a) Approval. The Board reserves to itself authority to name, rename, or revoke the naming of colleges and schools.
- (b) Management. The president or delegate makes recommendations to the Board.

Subd. 2. Naming of Buildings, Significant Portions of Buildings, Grounds, Physical Structures, Areas, or Streets.

These assets may be named to describe the academic or administrative purpose of the asset or to reflect a symbolic meaning appropriate for the asset.

- (a) Approval. The Board reserves to itself authority to name, rename, or revoke the naming of buildings, significant portions of buildings, grounds, physical structures, areas, or streets.
- (b) Management. The Honors Committee manages the process for the naming of buildings, significant portions of buildings, grounds, physical structures, areas, or streets and submits recommendations to the president. The president recommends namings to the Board.
- (c) Working Titles. The president or delegate may provide a working title for buildings, significant portions of buildings, grounds, physical structures, areas, or streets during planning and construction and prior to official naming by the Board.

## SECTION VII. RENAMINGS AND REVOCATION.

Subd. 1. Authority.

The University reserves the right to rename or revoke any naming if for any reason the naming is inconsistent with the University's mission; jeopardizes the integrity of the University; presents risk or harm to the reputation of the University; or if the intent of a gift or the terms of a sponsorship associated with the naming cannot be fulfilled.

For all namings requiring Board approval, the Board reserves to itself authority to rename or revoke a naming, except that the Board delegates authority to the president to revoke a naming granted by the Board under Section V, Subd. 2 of this policy if the intent of the gift or the terms of the sponsorship associated with a naming cannot be fulfilled by the donor or sponsor.

Other namings not reserved to the Board may be renamed or revoked by the president or delegate consistent with the approval process for the specific naming as described in this policy.

Subd. 2. Request for Renaming or Revocation.

The president shall consider a renaming or revocation of any naming at the request of the Board. The president may also consider a renaming or revocation of any naming in response to a well-considered written request submitted by an individual or at the president's own initiative. Anonymous proposals shall not be considered. A well-considered written request shall address the factors described in Subd. 4 of this section and:

- the specific behavior of the individual or non-University entity after whom a significant University asset is named that is inconsistent with the University's mission, jeopardizes the integrity of the University, or presents risk or harm to the reputation of the University;



- the sources and strength of the information of that behavior;
- the nature, depth, and extent of the present and future harm that the continued use of the name may inflict on the University; and
- how renaming comports with the principles described in Section II of this policy.

A request to rename or revoke a naming shall include only one significant University asset per request. Upon receipt of a request for a renaming or revocation of a naming, the president may make further inquiries to its submitters before making an initial determination whether the request should proceed. If the request proceeds, the Honors Committee shall examine and research the request.

#### Subd. 3. Review of Request.

A review of a request for renaming or revocation of a naming by the Honors Committee shall be guided by principles described in Section II of this policy and factors described in Subd. 4 of this section.

The University, the Honors Committee, and those involved in evaluating a renaming or revocation request, shall adhere to the standards of inquiry and discourse appropriate for an institution of higher education. As a part of the review, the Honors Committee shall invite comments from all interested members of the University community, including those who were impacted by the behavior in question or their heirs and the subject of the naming or their heirs. The Honors Committee should take care that the inquiry itself does not exacerbate the harms that are being considered. Where helpful, the Honors Committee should take full advantage of the expertise of members of the University community. Review procedures shall be maintained by the Honors Committee and approved by the president.

#### Subd. 4. Review Factors for Renaming or Revocation.

The Honors Committee shall consider the following factors as a component of their review of a request for renaming or revocation of a naming:

- (a) Advancement of the University's mission, guiding principles, and shared history - The Honors Committee should consider the impact of the naming to University history, and whether the current naming exemplifies the highest aspirations of the institution's mission and guiding principles and advances the evolving landscape of University history and achievement.
- (b) Impact on the University's diversity, equity, and inclusion goals - In considering whether to retain or remove a name, the Honors Committee should consider how the advancement of the University's diversity, equity, and inclusion goals are relevant in these matters.
- (c) The harm caused by retaining the name, and the harmful impact of the individual's or non-University entity's behavior - This factor examines whether the individual's or non-University entity's behavior is inconsistent with the University's mission and guiding principles, jeopardizes the integrity of the University, or presents risk or harm to the reputation of the University. The case for renaming is stronger to the extent that retaining a name creates an environment that impairs the ability of students, faculty, or staff of a particular gender, sexual orientation, race, religion, national origin, or other characteristic protected by federal law or University policy to participate fully and effectively in the University's mission.
- (d) Strength and clarity of the historical evidence - The case for renaming is strongest when there is clear and unambiguous documentation of the wrongful behavior by the

individual or non-University entity and is weakest when the documentation is scant or ambiguous. The documentation shall also include the totality of an individual's or the non-University entity's public and private actions that factor in the affirmation of or against renaming.

The president may include other factors for the Honors Committee to consider based on the specific circumstances of the request. The president shall report those additional factors to the Board prior to the submission of the Honors Committee report, as outlined in Subd. 5 of this section.

**Subd. 5. Report of the Honors Committee.**

The Honors Committee shall submit a written report to the president that summarizes the renaming or revocation request, details how the guiding principles and factors were applied to the request and describes the committee's findings with attribution to the sources relied upon for the findings. The president may ask Honors Committee for additional information and analysis if needed.

**Subd. 6. Board Action.**

The president shall submit the Honors Committee's report and the president's recommendation to the Board for action, including plans for contextualization to avoid the potential for erasure and to communicate historical information if renaming or revocation is recommended. The Board may request additional information before acting on the president's recommendation.

If a request for a renaming or revocation is granted by the Board, the Honors Committee shall research and propose a new naming to the president, which promotes broad representation of the University's history, mission, guiding principles, and achievements. The president shall submit the new naming to the Board for action.

**SECTION VIII. RETENTION OF HONORARY NAMINGS.**

**Subd. 1. Consideration of Retention.**

Honorary namings, as defined by Section IV of this policy, are eligible for indefinite retention when the honorary naming reaches 75 years since it was granted. At the president's discretion, retention of an honorary naming may be considered three years before the naming's 75th year.

The president shall consider the retention of an honorary naming at the request of the Board. The president may also consider the retention of an honorary naming in response to a well-considered written request submitted by an individual or at the president's own initiative. Anonymous proposals shall not be considered. A well-considered written request shall be guided by principles described in Section II of this policy and factors described in Subd. 3 of this section. A request to retain an honorary naming shall include only one significant University asset per request.

**Subd. 2. Retention Review.**

A review of a request for a retention of an honorary naming by the Honors Committee shall be guided by principles described in Section II of this policy and factors described in Subd. 3 of this section.

For non-retained namings, the president shall request the Honors Committee to research and propose a new naming to the president, which promotes broad representation of the University's history, mission, guiding principles, and achievements in alignment with Sections II and IV of this policy. Approval of the new naming shall follow the process for that type of naming as defined by Section IV of this policy.

The University, the Honors Committee, and those involved in evaluating a retention request, shall adhere to the standards of inquiry and discourse appropriate for an institution of higher education. As a part of the review, the Honors Committee shall invite comments from all interested members of the University community. Where helpful, the Honors Committee should take full advantage of the expertise of members of the University community.

#### Subd. 3. Review Factor for Retention.

The Honors Committee shall consider the following factor as a component of their review for the retention of an honorary naming:

- (a) Extraordinary impact on the University's past, present, and future - The Honors Committee shall consider whether the honorary naming is so extraordinary that it should be sustained indefinitely beyond its 75<sup>th</sup> year. The Honors Committee shall consider if retention of the name serves as an exemplar of the University's past, present, and future and the highest aspiration of the institution's mission and guiding principles, including the University's diversity, equity, and inclusion goals, and the evolving landscape of University history and achievement, that it should be sustained indefinitely beyond its 75<sup>th</sup> year.

The president may include other factors for the Honors Committee to consider based on the specific circumstances of the request. The president shall report those additional factors to the Board prior to the submission of the Honors Committee report, as outlined in Subd. 4 of this section.

#### Subd. 4. Report of the Committee.

The Honors Committee shall submit a written report to the president that summarizes the retention review, details how the guiding principles and factors were applied to the review, and describes the Honors Committee's findings with attribution to the sources relied upon for the findings. The president may ask Honors Committee for additional information and analysis if needed.

#### Subd. 5. Board or Presidential Action.

For those honorary namings that require the Board approval, the president shall submit the Honors Committee's report and the president's recommendation to the Board for action. The president's recommendation shall include plans for contextualization to avoid the potential for erasure and to communicate historical information if the naming is not retained. The Board may request additional information before acting on the president's recommendation.

For those honorary namings that do not require Board approval, the president shall act on the Honors Committee report.

#### Subd. 6. Renaming or Revocation of an Indefinitely Retained Naming.

For honorary namings indefinitely retained, the University reserves the right to rename or revoke any such naming if for any reason the naming is inconsistent with the University's

mission; jeopardizes the integrity of the University; or presents risk or harm to the reputation of the University, consistent with Section VII of this policy.

SECTION IX. IMPLEMENTATION.

Subd. 1. Legal Review.

All gift agreements or contracts involving a naming are subject to this policy and must be reviewed by the Office of the General Counsel prior to approval.

Subd. 2. Administration.

The president or delegate shall establish and maintain administrative policies and procedures to implement this policy.

Subd. 3. Coordination.

The University shall ensure coordination in the following ways: (1) with the goals and priorities of the Systemwide Strategic Plan; (2) between the institution and recognized University foundations; and (3) between the fundraising and academic units in order to maintain alignment of institutional and development priorities and compliance with University policies and procedures.

Adopted: June 11, 2010, Amended: December 11, 2015; February 11, 2022, Last Comprehensive Review: 2022

The Board voted 10-1 to approve the proposed amendments to Board of Regents Policy: *Namings*. Regent Rosha voted no.

The meeting adjourned at 2:00 p.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**