

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Presidential Performance Review Committee  
FY 2021**

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Wednesday, May 19, 2021 at 8:00 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Janie Mayeron; and Steven Sviggum.

Staff present: Executive Director Brian Steeves; Deputy Director Sarah Dirksen

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING  
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE**

The meeting convened in public session at 8:00 a.m. A motion was made and seconded that the following resolution be approved:

RESOLVED, that as provided by Minnesota Statute 13D.05, Subd. 3(a), a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on Wednesday May 19, 2021 at 8:00 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center, 200 Oak Street, SE, Minneapolis, Minnesota. The purpose of this meeting is to gather input, discuss, and evaluate the performance of the president, and prepare a report to the Board of Regents.

The committee voted unanimously to adopt the resolution and the public portion of the meeting adjourned. The committee discussed feedback on the president's performance.

The meeting adjourned at 9:02 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Presidential Performance Review Committee  
FY 2021**

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Monday, May 24, 2021 at 1:30 p.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Janie Mayeron; and Steven Sviggum.

Staff present: Executive Director Brian Steeves; Deputy Director Sarah Dirksen

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING  
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE**

The meeting convened in public session at 1:30 p.m. A motion was made and seconded that the following resolution be approved:

RESOLVED, that as provided by Minnesota Statute 13D.05, Subd. 3(a), a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on Monday May 24, 2021 at 1:30 p.m. at the Office of the Board of Regents, 600 McNamara Alumni Center, 200 Oak Street, SE, Minneapolis, Minnesota. The purpose of this meeting is to gather input, discuss, and evaluate the performance of the president, and prepare a report to the Board of Regents.

The committee voted unanimously to adopt the resolution and the public portion of the meeting adjourned. The committee discussed feedback on the president's performance.

The meeting adjourned at 3:35 p.m.



**BRIAN R. STEEVES  
Executive Director and  
Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Presidential Performance Review Committee  
FY 2021**

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Thursday, May 27, 2021 at 9:00 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Janie Mayeron; and Steven Sviggum.

Staff present: Executive Director Brian Steeves; Deputy Director Sarah Dirksen

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING  
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE**

The meeting convened in public session at 9:00 a.m. A motion was made and seconded that the following resolution be approved:

RESOLVED, that as provided by Minnesota Statute 13D.05, Subd. 3(a), a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on Thursday, May 27, 2021 at 9:00 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center, 200 Oak Street, SE, Minneapolis, Minnesota. The purpose of this meeting is to gather input, discuss, and evaluate the performance of the president, and prepare a report to the Board of Regents.

The committee voted unanimously to adopt the resolution and the public portion of the meeting adjourned. The committee discussed feedback on the president's performance.

The meeting adjourned at 10:28 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Presidential Performance Review Committee  
FY 2021**

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Monday, June 7, 2021 at 3:00 p.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Janie Mayeron; and Steven Sviggum.

Staff present: Executive Director Brian Steeves; Deputy Director Sarah Dirksen

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING  
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE**

The meeting convened in public session at 3:00 p.m. A motion was made and seconded that the following resolution be approved:

RESOLVED, that as provided by Minnesota Statute 13D.05, Subd. 3(a), a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on Monday, June 7, 2021 at 3:00 p.m. at the Office of the Board of Regents, 600 McNamara Alumni Center, 200 Oak Street, SE, Minneapolis, Minnesota. The purpose of this meeting is to gather input, discuss, and evaluate the performance of the president, and prepare a report to the Board of Regents.

The committee voted unanimously to adopt the resolution and the public portion of the meeting adjourned. The committee discussed feedback on the president's performance.

The meeting adjourned at 4:35 p.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Litigation Review Committee  
June 10, 2021**

A meeting of the Litigation Review Committee of the Board of Regents was held on Thursday, June 10, 2021 at 8:00 a.m. in the Hail! Minnesota Conference Room, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; James Farnsworth, Douglas Huebsch, Ruth Johnson, and Janie Mayeron.

Staff present: General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Others present: Michael Albert, John Casserly, Sarah Dirksen, Myron Frans, Edward Gates, Rebecca Gerber, Rich Giunta, Charles Gross, William Haldeman, Rick Huebsch, Karen Ohlfest, Timothy Pramas, Brian Slovt, and Sean Somermeyer.

The docket materials for this meeting are [available here](#).

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING  
OF THE LITIGATION REVIEW COMMITTEE**

The meeting convened in public session at 8:00 a.m. A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents Litigation Review Committee has balanced the purposes served by the Open Meeting Law and by the attorney-client privilege, and determined that there is a need for absolute confidentiality to discuss litigation strategy in particular matters involving the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with Minn. Stat. § 13D.01, Subd. 3 and 13D.05 Subd. 3(b), a non-public meeting of Litigation Review Committee be held on Thursday, June 10, 2021 at 8:00 a.m. in the Hail! Minnesota Conference Room, 600 McNamara Alumni Center, for the purpose of discussing attorney-client privileged matters including the following:

- I. *Regents of the University of Minnesota v. Gilead Sciences, Inc.*
- II. *Becker, et al. v. Regents of the University of Minnesota and UMP*
- III. *Does 1-2, et al. v. Regents of the University of Minnesota*
- IV. *Michael Sacks, et al. v. University of Minnesota, et al.*

The committee voted unanimously to adopt the resolution and the public portion of the meeting ended at 8:02 a.m.

The meeting adjourned at 9:10 a.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive style with a large initial "B" and "S".

**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Audit & Compliance Committee  
June 10, 2021**

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Thursday, June 10, 2021 at 8:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Darrin Rosha, presiding; Mary Davenport, Mike Kenyanya, Ken Powell, Steve Sviggum, and Kodi Verhalen.

Staff present: President Joan Gabel; Executive Vice President and Provost Rachel Croson; Vice President Michael Berthelsen; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Michael Volna.

Student Representatives present: Abdulla Ali and Rielle Perttu Swanson.

The docket materials for this meeting are available [here](#).

**INTERNAL AUDIT UPDATE**

Regent Rosha invited Chief Auditor Klatt to present the Internal Audit update, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

**INTERNAL AUDIT PLAN**

Regent Rosha invited Chief Auditor Klatt to present the fiscal year 2022 Internal Audit Plan, as detailed in the docket.

The docket materials for this item begin on page 33. The closed captioned video of this item is [available here](#).

**INFORMATION ITEMS**

Chief Auditor Klatt referred the committee to the information items in the docket:

- Semi-Annual Controller's Report

The docket materials for this item begin on page 65. The closed captioned video of this item is [available here](#).

The meeting adjourned at 8:58 a.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive style with a large, stylized initial "B".

**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Mission Fulfillment Committee  
June 10, 2021**

A meeting of the Mission Fulfillment Committee of the Board of Regents was held on Thursday, June 10, 2021 at 9:15 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Mary Davenport presiding; James Farnsworth, Doug Huebsch, Ruth Johnson, Mike Kenyanya, Janie Mayeron, David McMillan, Kendall Powell, Darrin Rosha, Steve Sviggum, and Kodi Verhalen.

Staff present: President Joan Gabel; Executive Vice President and Provost Rachel Croson; Vice Presidents Matt Kramer and Michael Oakes; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Associate Vice President Andrew Furco.

Student Representatives present: Rodrigo Tojo Garcia and Emily Wittkop.

The docket materials for this meeting are [available here](#).

**BOARD OF REGENTS POLICY: *STUDENT EDUCATION RECORDS***

Regent Davenport invited Executive Vice President and Provost Croson and Stacey Tidball, Interim Associate Vice Provost of Academic Support to present for action proposed amendments to Board of Regents Policy: *Student Education Records*, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend adoption of the proposed amendments to Board of Regents Policy: *Student Education Records*.

**ENGAGED SCHOLARSHIP**

Executive Vice President and Provost Croson; Beverly Durgan, Dean of University Extension; Andrew Furco, Associate Vice President for Public Engagement; and Michael Oakes, Interim Vice President for Research to discuss engaged scholarship, as detailed in the docket.

The docket materials for this item begin on page 12. The closed captioned video of this item is [available here](#).

## **SYSTEM UNDERGRADUATE ENROLLMENT UPDATE**

Regent Davenport invited Robert McMaster, Vice Provost and Dean of Undergraduate Education, Twin Cities Campus; and Jeffrey Ratliff-Crain, Vice Chancellor for Academic Affairs and Innovation, Rochester Campus to discuss system undergraduate enrollment, as detailed in the docket.

The docket materials for this item begin on page 40. The closed captioned video of this item is [available here](#).

## **CONSENT REPORT**

Executive Vice President and Provost Croson presented the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 83. The closed captioned video of this item is [available here](#).

### **Request for Approval of New Academic Programs**

- School of Public Health (Twin Cities campus)—Create an M.P.H. degree in Public Health Data Science
- School of Public Health (Twin Cities campus)—Create a graduate minor in Climate Change and Health
- College of Education and Human Development (Twin Cities campus)—Create a postbaccalaureate certificate in Learning Sciences
- College of Continuing and Professional Studies (Twin Cities campus)—create an undergraduate certificate in Long Term Care Management

### **Request for Approval of Changed Academic Programs**

- Medical School (Twin Cities campus)—Change name of the Plastic Surgery Residency to the Plastic and Reconstructive Surgery Residency
- College of Liberal Arts (Twin Cities campus)—Change the name of the Ph.D., M.A., and graduate minor in Asian Literatures, Culture, and Media to Asian and Middle Eastern Cultures and Media.
- College of Liberal Arts (Twin Cities campus)—Change the name of the M.A. in Asian Studies to Asian and Middle Eastern Studies.
- College of Liberal Arts (Twin Cities campus)—Create a Master's-level subplan option within the existing American Indian and Indigenous Studies doctoral minor.

### **Request for Approval of College Name Change on the Duluth Campus**

- College of Liberal Arts (Duluth campus)—Change the name of the College of Liberal Arts to the College of Arts, Humanities, and Social Sciences

## **Request for Approval of Faculty Appointments with Tenure**

- Kristen Mark, professor with tenure, Department of Family Medicine and Community Health, Medical School
- Melissa Green, associate professor with tenure, Department of Aerospace Engineering and Mechanics, College of Science and Engineering

A motion was made and seconded, and the committee voted unanimously to approve the Consent Report.

## **INFORMATION ITEMS**

Executive Vice President and Provost Croson referred the committee to the information items in the docket.

The docket materials for this item begin on page 89. The closed captioned video of this item is [available here](#).

The meeting adjourned at 11:17 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Presidential Performance Review Committee  
FY 2021**

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Thursday, June 10, 2021 at 11:30 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, Steven Sviggum, and Kodi Verhalen.

Staff present: Executive Director Brian Steeves; Deputy Director Sarah Dirksen

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING  
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE**

The meeting convened in public session at 11:33 a.m. A motion was made and seconded that the following resolution be approved:

RESOLVED, that as provided by Minnesota Statute 13D.05, Subd. 3(a), a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on Thursday, June 10, 2021 at 11:30 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center, 200 Oak Street, SE, Minneapolis, Minnesota. The purpose of this meeting is to gather input, discuss, and evaluate the performance of the president, and prepare a report to the Board of Regents.

The committee voted unanimously to adopt the resolution and the public portion of the meeting adjourned. The committee discussed feedback on the president's performance.

The meeting adjourned at 1:00 p.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Finance & Operations Committee  
June 10, 2021**

A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, June 10 at 1:30 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, Janie Mayeron, Kendall Powell, Darrin Rosha, Steven Sviggum, and Kodi Verhalen.

Staff present: President Joan Gabel; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; Vice Presidents Michael Berthelsen and Matt Kramer; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Associate Vice Presidents Tina Marisam, Julie Tonneson and Michael Volna.

Student Representatives present: Pal Koak and Kip Rotich.

The docket materials for this meeting are [available here](#).

**PRESIDENT'S RECOMMENDED FY 2022 ANNUAL CAPITAL IMPROVEMENT BUDGET**

Regent McMillan invited President Gabel, Senior Vice President Frans, and Vice President Berthelsen to present for action the president's recommended FY 2022 Annual Capital Improvement Budget, as detailed in the docket.

The docket materials for this item begin on page 5. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to FY 2022 Annual Capital Improvement Budget.

**BOARD OF REGENTS POLICY: TARGETED BUSINESS, URBAN COMMUNITY  
ECONOMIC DEVELOPMENT, AND SMALL BUSINESS PROGRAMS**

Regent McMillan invited Associate Vice President Marisam and Sharon Banks, Director, Office of Business and Community Economic Development, to present for action proposed amendments to Board of Regents Policy: *Targeted Business, Urban Community Economic Development, and Small Business Programs*, as detailed in the docket.

The docket materials for this item begin on page 41. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend adoption of the proposed amendments to Board of Regents Policy: *Targeted Business, Urban Community Economic Development, and Small Business Programs*.

### **REAL ESTATE TRANSACTION**

Regent McMillan invited Assistant Vice President Leslie Krueger to present for action the following real estate transaction, as detailed in the docket:

- A. Sale of Murphy Warehouse - 701 24th Avenue Southeast, Minneapolis, Minnesota (Twin Cities campus)

The docket materials for this item begin on page 45. The closed captioned video of this item is [available here](#).

A motion was made and seconded to recommend approval of the sale of Murphy Warehouse - 701 24th Avenue Southeast, Minneapolis, Minnesota (Twin Cities campus).

Regent Rosha moved to amend the motion to strike all and direct the administration to retain the property. The amendment was seconded.

Two votes were cast in favor of the motion and nine votes against the motion. The Rosha amendment failed.

Rosha requested a roll call vote on the main motion. The vote was as follows:

Regent Davenport	Yes
Regent Farnsworth	No
Regent Huebsch	Yes
Regent Johnson	Yes
Regent Kenya	Yes
Regent Mayeron	Yes
Regent Powell	Yes
Regent Rosha	No
Regent Sviggum	Yes
Regent Verhalen	Yes
Regent McMillan	Yes

The committee voted 9-2 to recommend approval of the real estate transaction. Regents Farnsworth and Rosha voted no.

### **PRESIDENT'S RECOMMENDED FY 2022 ANNUAL OPERATING BUDGET**

Regent McMillan invited President Gabel, Senior Vice President Frans, and Associate Vice President Tonneson to present for review the president's recommended FY 2022 Annual Operating Budget, as detailed in the docket.

The docket materials for this item begin on page 57. The closed captioned video of this item is [available here](#).

McMillan recessed the meeting at 3:11 p.m. and reconvened the meeting at 3:19 p.m.

## CONSENT REPORT

Senior Vice President Frans presented the revised Consent Report, as detailed in the docket.

The docket materials for this item begin on page 172. The closed captioned video of this item is [available here](#).

### General Contingency:

- There are no items requiring approval this period since the two items listed exceeding the approval threshold of \$250,000 were officially approved with the FY 2021 Operating Budget - Attachment 2 (which listed individual items to be funded and the sources, including the General Contingency). The second half of the approved transfer for the Twin Cities Campus Master Plan development is included here, below the line for full information connected to line 5, but it will be reported again when the transfer is made in FY22.

### Purchase of Goods and Services \$1,000,000 and Over:

- To OCLC for an estimated \$1,318,000 for software platform and support for Minitex within the University Libraries for the period of July 1, 2021 through June 30, 2023, with an optional contract extension through June 30, 2024 for an additional \$687,000. Total contract value, if the option is exercised, would be approximately \$2,005,000. Funds for the MNLINK service come from Minitex's funding source, the Minnesota Office of Higher Education. Costs for the life of the two-year contract, with the option to renew for one additional year, are included in the Minitex budget. OCLC was selected by University Libraries under the authority granted by the Board of Regents Policy: *Libraries and Archives* and under Board of Regents Policy: *Purchasing*.
- To Radware for an estimated \$1,400,000 for Distributed Denial of Service detection and mitigation services ("DDoS") for the Office of Information Technology (OIT) for the period of August 1, 2021 through August 31, 2026. The University will be reimbursed for their fair share by the other two organizations. The funding for this purchase comes from OIT's central O&M funds. This purchase is budgeted for FY22. Radware, an existing supplier, was selected as the result of a competitive Request for Proposal (RFP) conducted by Purchasing Services. Twenty suppliers responded to the RFP.

### Purchasing Bid Threshold

- Board of Regents Policy: *Purchasing*, Section II, Subd. 1 requires that purchasing bid thresholds be established by the administration and reviewed and approved by the Board biennially. Bid thresholds are used to specify when a formal, competitive bidding process must be used. The thresholds are determined based on a number of factors, including financial, reputational risk, the volume and materiality of purchases, efficiency and effectiveness, compliance requirements, and other factors relating to institutional goals and objectives. The bid threshold for the purchase of goods and standard services is set at

\$50,000; professional services is set at \$50,000; construction services, excluding architects and engineers, is set at \$250,000; and construction purchases for architects and engineers is set at \$100,000. These thresholds balance the goal of using competitive processes to achieve the best value for the University against the administrative burden of conducting a competitive process for each purchase. The administration recommends no changes to the current bid thresholds.

### **Employment Agreements**

- Josh Berlo, Athletic Director, University of Minnesota Duluth
- Kenneth Horstman, Vice President for Human Resources
- Keith Mays, Dean of the School of Dentistry, Twin Cities campus
- Laura Molgaard, Dean of the College of Veterinary Medicine, Twin Cities campus
- Michael Rodriguez, Dean of the College of Education and Human Development, Twin Cities campus

### **Report to the MN Legislature: Administrative Cost Definition**

- Minnesota Session Law S.F. 2415, Chapter 64, Section 44 requires the University to submit a report that defines, categorizes, accounts, and manages its administrative costs to the legislative committees with jurisdiction over higher education. The report provides a summary of how the University defines its administrative expenses and includes information on how the University benchmarks and monitors these activities across the University of Minnesota system. The report must be submitted no later than July 1, 2021.

### **Schematic Designs**

- AB Anderson Hall Renovation (Duluth campus)

### **Appointments to the Board of Trustees of the Minnesota Landscape Arboretum Foundation**

- Georgia Thompson
- Glenn Stolar

A motion was made and seconded, and the committee voted unanimously to approve the revised Consent Report. Regent Rosha abstained.

## **INFORMATION ITEMS**

Regent McMillan referred the committee to the information items in the docket:

- Annual University Health Benefits and UPlan Performance Report
- Semi-Annual Capital Project Management Report
- Quarterly Asset Management Report
- Quarterly Purchasing Report
- Investment Advisory Committee Update
- Preliminary 2022 State Capital Request
- Overview of Permanent University Fund



The docket materials for this item begin on page 248. The closed captioned video of this item is [available here](#).

The meeting adjourned at 4:11 p.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive, flowing style.

**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents  
June 11, 2021**

A public forum was held by the Board of Regents of the University of Minnesota on Friday, June 11, 2021 at 8:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, Steven Sviggum, and Kodi Verhalen.

Staff present: President Joan Gabel; Senior Vice President Myron Frans; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

The docket materials for this meeting are [available here](#).

**PUBLIC FORUM ON THE PRESIDENT'S RECOMMENDED  
FY 2022 ANNUAL OPERATING BUDGET**

The following individuals addressed the Board:

- Phil Buhlmann
- Mos Kaveh
- Maggie Kilgo
- Deb Pavlica
- Cherrene Horazuk
- Nicholas Wallenhorst

The closed captioned video of this item is [available here](#).

The meeting adjourned at 8:24 a.m.



**BRIAN R. STEEVES  
Executive Director and  
Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Governance & Policy Committee  
June 11, 2021**

A meeting of the Governance & Policy Committee of the Board of Regents was held on Friday, June 11, 2021 at 8:40 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Janie Mayeron, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, David McMillan, Kendall Powell, Darrin Rosha, Steven Sviggum, and Kodi Verhalen.

Staff present: President Joan Gabel; Senior Vice President Myron Frans; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

The docket materials for this meeting are [available here](#).

**BOARD OF REGENTS POLICY: CODE OF CONDUCT FOR  
MEMBERS OF THE BOARD OF REGENTS – ANNUAL REVIEW**

Regent Mayeron invited General Counsel Peterson and Executive Director Steeves to provide the annual review of Board of Regents Policy: *Code of Conduct for Members of the Board of Regents*, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

**UNIVERSITY POLICY OVERVIEW & 2021 BOARD POLICY REPORT**

Regent Mayeron invited Executive Director Steeves, Jason Langworthy, Board Associate, Policy & Committees, and Michele Gross, Director, Policy Program, Office of Institutional Compliance, to provide an overview of University policy and the 2021 Board policy report, as detailed in the docket.

The docket materials for this item begin on page 10. The closed captioned video of this item is [available here](#).

The meeting adjourned at 9:25 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents  
June 11, 2021**

A meeting of the Board of Regents of the University of Minnesota was held on Friday, June 11, 2021 at 9:41 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, Steven Sviggum, and Kodi Verhalen.

Staff present: President Joan Gabel; Chancellors Michelle Behr and Lendley Black; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

**ANNUAL MEETING**

Regent Powell called the Annual Meeting to order at 9:41 a.m. The docket materials for this meeting are [available here](#).

**ESTABLISHMENT OF MEETING DATES FOR 2021-22**

Regent Powell outlined the proposed Board meeting dates for 2021-22. A motion was made and seconded and the Board voted unanimously to approve the following meeting schedule:

- July 7-9, 2021 (includes retreat)
- September 9-10, 2021
- October 7-8, 2021
- December 16-17, 2021
- February 10-11, 2022
- March 9-11, 2022 (includes tentative retreat)
- May 12-13, 2022
- June 9-10, 2022
- July 13-15, 2022 (includes retreat)

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

**REPORT OF THE NOMINATING COMMITTEE:  
ELECTION OF BOARD OFFICERS**

Regent Davenport, Chair of the Nominating Committee, presented the committee's report.

The docket materials for this item begin on page 5. The closed captioned video of this item is [available here](#).

The following individuals were recommended to serve as Board officers for the 2021-23 term:

Chair:	Kendall J. Powell
Vice Chair:	Steven A. Sviggum
Secretary:	Brian R. Steeves
Treasurer:	Myron L. Frans

Regent Powell asked if there were any additional nominations; there were none. A motion was made and seconded to close nominations and approve a unanimous ballot. The Board voted unanimously to approve the slate as presented.

The annual meeting adjourned at 9:53 a.m.

### **MONTHLY MEETING**

The regular meeting of the Board of Regents was convened at 9:53 a.m. The docket materials for this meeting are [available here](#).

### **RECOGNITIONS**

#### **Outgoing Chancellor, UMM**

Recognition was given to Michelle Behr, outgoing Chancellor of University of Minnesota Morris. The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

#### **Civil Service Consultative Committee Outgoing Chair**

Recognition was given to Terry Beseman, outgoing chair of the Civil Service Consultative Committee. The docket materials for this item begin on page 4. The closed captioned video is [available here](#).

#### **Academic Professionals & Administrators Consultative Committee Outgoing Chair**

Recognition was given to Erin Heath, outgoing chair of the Academic Professionals & Administrators Consultative Committee. The docket materials for this item begin on page 5. The closed captioned video of this item is [available here](#).

### **APPROVAL OF MINUTES**

The Board voted unanimously to approve the following minutes as presented in the docket materials:

Litigation Review Committee – May 13, 2021

Audit & Compliance Committee – May 13, 2021  
Finance & Operations Committee – May 13, 2021  
Mission Fulfillment Committee – May 13, 2021  
Board of Regents – May 14, 2021  
Nominating Committee – May 25, 2021  
Nominating Committee – May 27, 2021

The docket materials for this item begin on page 6. The closed captioned video of this item is [available here](#).

### **REPORT OF THE PRESIDENT**

President Gabel delivered the report of the President.

The docket materials for this item begin on page 27. The closed captioned video of this item is [available here](#).

### **REPORT OF THE CHAIR**

Regent Powell delivered the report of the Chair.

The docket materials for this item begin on page 28. The closed captioned video of this item is [available here](#).

### **RECEIVE AND FILE REPORTS**

Regent Powell noted the receipt and filing of reports, as described in the docket materials, including:

- Report of the Academic Professionals & Administrators Consultative Committee
- Report of the Civil Service Consultative Committee
- Quarterly Report of Grant and Contract Activity

The docket materials for this item begin on page 29. The closed captioned video of this item is [available here](#).

### **CONSENT REPORT**

Regent Powell presented for review and action the Consent Report as described in the docket materials, including:

- Gifts
- Report of the All-University Honors Committee
- Regents Professor Recommendations
- Report of the Naming Committee

A motion was made and seconded, and the Board voted unanimously to approve the Consent Report.

The docket materials for this item begin on page 42. The closed captioned video of this item is [available here](#).

### **REPORT OF THE FACULTY CONSULTATIVE COMMITTEE**

Regent Powell invited Phil Buhlmann, Chair of the Faculty Consultative Committee, to present the report of the Faculty Consultative Committee, as detailed in the docket.

The docket materials for this item begin on page 49. The closed captioned video of this item is [available here](#).

### **RECOGNITION OF FACULTY CONSULTATIVE COMMITTEE OUTGOING CHAIR**

Recognition was given to Phil Buhlmann, outgoing chair of the Faculty Consultative Committee. The docket materials for this item begin on page 52. The closed captioned video of this item is [available here](#).

### **CAMPUS STRATEGY: UMD**

Regent Powell invited Chancellor Black to provide an update on the vision and strategy for the University of Minnesota Duluth campus.

The docket materials for this item begin on page 53. The closed captioned video of this item is [available here](#).

### **REPORT OF THE AUDIT & COMPLIANCE COMMITTEE**

Regent Rosha, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

### **REPORT OF THE LITIGATION REVIEW COMMITTEE**

Regent McMillan, acting chair of the committee, reported that pursuant to notice sent by the University, the Litigation Review Committee met on June 10, 2021. At this meeting, a resolution was considered and adopted that authorized the closing of the meeting. In the closed meeting, discussion was held on matters subject to the attorney-client privilege.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

## REPORT OF THE MISSION FULFILLMENT COMMITTEE

Regent Davenport, acting chair of the committee, reported that the committee voted to recommend the following two items. All unanimous recommendations of the committee were considered as one motion.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of amendments to Board of Regents Policy: *Student Education Records*. The committee docket materials for this item can be found on page 3. The proposed amended policy is as follows:

### SECTION I. SCOPE.

This policy governs information maintained by the University of Minnesota (University) in the performance of its functions as an educational institution regarding enrolled or prospective students.

### SECTION II. GUIDING PRINCIPLE.

The University shall maintain the privacy of student education records. Student education records shall be disclosed only to the student, to persons within the University with a legitimate educational interest, to persons authorized by the student to receive the student's education records, and to persons authorized to receive education records without the student's consent.

### SECTION III. DEFINITIONS.

#### Subd. 1. Directory Information.

*Directory information* shall mean the student's name, dates of enrollment, enrollment status (full-time, part-time, not enrolled, fully withdrawn, and date of withdrawal), academic program, academic advisor, college/school, class level (e.g. first-year, sophomore, and senior), academic awards and honors, and, degrees and credentials awarded. For students appointed as fellows, assistants, graduate, or undergraduate hourly employees, directory information shall also include their title, appointing department or unit, appointment dates, duties, and percent time of the appointment.

#### Subd. 2. Legitimate Educational Interest.

*Legitimate educational interest* shall mean a need to review and use student education records for the purpose of performing an appropriate University research, educational, or administrative function.

#### Subd. 3. Limited Directory Information.

*Limited directory information* shall mean the student's physical addresses, University email address, telephone numbers, and University ID photos.

#### Subd. 4. School Official.

*School official* shall mean a person employed by the University in an administrative, supervisory, academic, research, or support staff position (including law enforcement unit personnel and health staff); a person or company with whom the University has contracted



to perform an institutional service or function in accordance with law; a person serving on the Board of Regents; or a student serving on a University committee or otherwise assisting another school official in performing institutional tasks.

Subd. 5. Student.

*Student* shall mean any person that is currently or has ever registered for and attended any University for-credit class. Attendance is determined as of the first day of the first term for which students have enrolled for classes and is not dependent on the modality of classes (e.g., in person, remote, and online).

Subd. 6. Student Education Records.

*Student education records* shall mean any record maintained by the University that contains personally identifiable information about a student, regardless of its format or method of storage. Student education records shall not include law enforcement records maintained separately from education records, University employment records, and medical or psychological counseling records, all of which are protected according to provisions of state and federal law and University policy.

Subd. 7. Student Group.

*Student group* shall mean any group of students that is or has been registered as a University student group under applicable University policies or procedures.

SECTION IV. ACCESS TO STUDENT RECORDS.

Subd. 1. Access to Student Directory Information.

Directory information shall be publicly available and may be disclosed to any person without the student's consent unless the student has suppressed or prohibited disclosure of the information. Students shall be given the opportunity to suppress their directory information once they are enrolled. Access to directory information for newly enrolled students becomes publicly available for the first time on the first day of classes of their first term of enrollment.

Subd. 2. Access to Limited Directory Information.

Limited directory information shall be available to any student group, or a school official as defined by Section III, Subd. 4 of this policy unless the student has suppressed or prohibited disclosure of their information. Students shall be given the opportunity to suppress their limited directory information once they are enrolled. Access to limited directory information for newly enrolled students becomes available for the first time on the first day of classes of their first term of enrollment.

Subd. 3. Access to Private Student Education Records.

Access to private student education records shall be made available to the student that is the subject of the records, consistent with federal and state law, provided that the private information of others is not included or disclosed.

The University may disclose private student education records to the extent permissible under federal and state laws as follows:

- (a) to school officials with a legitimate educational interest in the information;
- (b) to persons specifically authorized by the student in writing to receive the information;

- (c) to other educational institutions in which the student seeks to enroll or is already enrolled so long as the disclosure is for purposes related to the student's enrollment or transfer;
- (d) to organizations conducting research studies for or on behalf of educational agencies or institutions, provided the study is conducted in a manner that does not permit personal identification of parents and students and there is a written agreement with the organization;
- (e) to persons in compliance with a court order or lawfully issued subpoena, provided that a reasonable attempt is made to notify the student where required prior to release;
- (f) to appropriate members of the court system when legal actions against the University is initiated by the student and the disclosure is part of the University's defense;
- (g) to appropriate persons in connection with an emergency if knowledge of the information is necessary to protect the health or safety of the student or other individuals;
- (h) to accrediting organizations and state or federal education authorities using information for auditing, evaluating, or enforcing legal requirements of educational programs, provided the data is protected to prohibit the identification of students and all personally identifiable information is destroyed when no longer needed;
- (i) to appropriate persons or agencies in connection with a student's application for or receipt of financial aid to determine eligibility, amount, or conditions of financial aid and to enforce the terms and conditions of the aid;
- (j) to parents of a dependent student as defined under the Internal Revenue Code;
- (k) the final results of a disciplinary proceeding, regardless of outcome, to the victim of an alleged perpetrator of a crime of violence or a nonforcible sex offense;
- (l) the final results of a disciplinary proceeding that a student has violated an institutional rule or policy in connection with a crime of violence or nonforcible sex offense; and
- (m) disclosures concerning sex offenders and other persons required to register as offenders under federal law.

#### SECTION V. ADMINISTRATIVE PROCEDURES.

The University shall maintain administrative procedures that fulfill its legal obligations regarding student education records, including, but not limited to, notice of rights to students, access to education records, recordkeeping requirements, the right to request amendment of education records, and hearing rights.

Adopted: January 11, 1991, Amended: September 11, 1992; May 13, 2005; May 13, 2011; June 11, 2021, Supersedes: Student Organizations' Membership Lists Dated December 8, 1967; Student Records Other Than Organization Membership Lists Dated January 12, 1968; and Access To Student Records Dated March 10, 1978.

- 2) Approval of the Consent Report for the Mission Fulfillment Committee as presented to the committee and described in the June 10, 2021 committee minutes. The committee docket materials for this item can be found on page 83.

A motion was made, and the Board voted unanimously to approve the committee report.

## REPORT OF THE FINANCE & OPERATIONS COMMITTEE

Regent McMillan, chair of the committee, reported that the committee voted to recommend the following four items. All unanimous recommendations of the committee were considered as one motion.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of the sale of Sale of Murphy Warehouse – 701 24th Avenue Southeast, Minneapolis, Minnesota (Twin Cities campus). The committee docket materials for this item can be found on page 45. A motion was made. A roll call vote was requested.

The vote was as follows:

Regent Davenport	Yes
Regent Farnsworth	No
Regent Huebsch	Yes
Regent Johnson	Yes
Regent Kenya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	No
Regent Sviggum	Yes
Regent Verhalen	Yes
Regent Powell	Yes

Nine votes were cast in favor of the motion and two votes were cast against the motion. The real estate transaction was approved.

- 2) Approval of the revised Consent Report for the Finance & Operations Committee as presented to the committee and described in the June 10, 2021 committee minutes. The committee docket materials for this item can be found on page 172. A motion was made, and the Board voted unanimously to approve the revised Consent Report. Regent Rosha abstained from voting.

The two remaining items in the committee report were considered as one motion.

- 3) Approval of the resolution related to the President's Recommended FY 2022 Annual Capital Improvement Budget. The committee docket materials for this item can be found on page 5.

The resolution is as follows:

WHEREAS, the Board of Regents (Board) has directed the administration to annually submit an a six-year capital improvement plan and an annual capital improvement budget; and

WHEREAS, the Board has adopted principles to guide the formulation of the six-year capital improvement plan and the annual capital improvement budget; and

WHEREAS, the Board recognizes the importance of sustaining and improving the University's facilities in support of teaching, research, and outreach; and

WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is realistic.

NOW, THEREFORE, BE IT RESOLVED that the Board approves the FY 2022 Annual Capital Improvement Budget.

- 4) Approval of proposed amendments to Board of Regents Policy: *Targeted Business, Urban Community Economic Development, and Small Business Programs*. The committee docket materials for this item can be found on page 41. The proposed amended policy is as follows:

#### SECTION I. SCOPE.

This policy governs the establishment and implementation of targeted business, community economic development, and small business programs for the University of Minnesota (University).

#### SECTION II. GUIDING PRINCIPLES.

##### Subd. 1. Targeted Businesses.

The Board of Regents (Board) supports the use of the purchasing power of the University to enhance equal employment and business opportunities for minorities, women, and disabled persons. Consistent with the Board's long-standing policies and achievements in advancing diversity, equal employment opportunity, and affirmative action, the University is committed to promote actively the utilization of businesses owned and operated by minorities, women, and disabled persons (targeted businesses), and to prevent discriminatory practices against such businesses.

##### Subd. 2. Public Service Mission.

Consistent with the University's outreach and public service mission, it is appropriate that the University foster economic growth in the communities of which it is a part. Reduction of poverty and unemployment in the community is of vital interest to the University. The University shall take advantage of opportunities, presented by its construction projects and its contracts for goods and services, to promote the training and employment of community residents in skilled trades and professions.

#### SECTION III. TARGETED BUSINESS PROGRAM.

##### Subd. 1. Construction Contracts.

The Targeted Business Program shall provide increased opportunities for woman, minority, and disabled owned businesses to be awarded University construction contracts. In the bid process for construction contracts with a value equal to or greater than \$100,000, bidding businesses will receive points that become part of a total bid score that is used to determine the successful bidder for: 1) being certified as targeted businesses; 2) employing meaningful numbers of women, minorities, and persons with disabilities; 3) entering into subcontracts or supplier agreements with targeted businesses; and/or 4) where available, collaborating with the University in programs designed to provide job skills training or promote business development.

Subd. 2. Non-construction Contracts for Goods and Services.

The Targeted Business Program shall provide increased opportunities for woman, minority, and disabled owned businesses to be awarded University non-construction contracts for goods and services. In a request for proposal process for goods and services contracts with a value equal to or greater than \$50,000, bidding suppliers will receive points that become part of a total bid score that is used to determine the successful bidder for: 1) being certified as targeted businesses; 2) entering into subcontracts or supplier agreements with targeted businesses; and/or 3) where available, collaborating with the University in programs designed to provide job skills training or promote business development.

SECTION IV. SMALL BUSINESS OUTREACH PROGRAMS.

The University shall provide programs designed to continue and strengthen outreach to small businesses to promote their awareness of, and inclusion in, University construction projects and procurement efforts.

SECTION V. IMPLEMENTATION AND OVERSIGHT.

Subd. 1. Delegation of Authority.

The president or delegate shall administer the programs established by this policy and is authorized to adopt and amend administrative policies and procedures to ensure implementation of this policy.

Subd. 2. Monitoring and Reporting.

The president or delegate shall monitor implementation of this policy and report to the Board annually the results of that implementation. The annual report shall recommend any additional actions that may be necessary to achieve the guiding principles of this policy.

Adopted: November 8, 1996, Amended: February 12, 2010; June 11, 2021.

A motion was made, and the Board voted unanimously to approve the two remaining items in the committee report.

**REPORT OF THE GOVERNANCE & POLICY COMMITTEE**

Regent Mayeron, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

The meeting adjourned at 12:00 p.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Presidential Performance Review Committee  
FY 2021**

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Wednesday, June 16, 2021 at 8:00 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Janie Mayeron; and Steven Sviggum.

Staff present: Executive Director Brian Steeves; Deputy Director Sarah Dirksen

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING  
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE**

The meeting convened in public session at 8:02 a.m. A motion was made and seconded that the following resolution be approved:

RESOLVED, that as provided by Minnesota Statute 13D.05, Subd. 3(a), a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on Wednesday, June 16, 2021 at 8:00 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center, 200 Oak Street, SE, Minneapolis, Minnesota. The purpose of this meeting is to gather input, discuss, and evaluate the performance of the president, and prepare a report to the Board of Regents.

The committee voted unanimously to adopt the resolution and the public portion of the meeting adjourned. The committee discussed feedback on the president's performance.

The meeting adjourned at 8:47 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Presidential Performance Review Committee  
FY 2021**

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Tuesday, June 29, 2021 at 1:49 p.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Janie Mayeron; and Steven Sviggum.

Staff present: President Joan Gabel and Deputy Director Sarah Dirksen.

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING  
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE**

The meeting convened in public session at 1:49 p.m. A motion was made and seconded that the following resolution be approved:

RESOLVED, that as provided by Minnesota Statute 13D.05, Subd. 3(a), a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on Tuesday, June 29, 2021, approximately 15 minutes after the adjournment of the Board of Regents special meeting, at 600 McNamara Alumni Center, 200 SE Oak Street, Minneapolis, Minnesota. The purpose of this meeting is to gather input, discuss, and evaluate the performance of the president, and prepare a report to the Board of Regents.

The committee voted unanimously to adopt the resolution and the public portion of the meeting adjourned. The committee discussed feedback on the president's performance.

The meeting adjourned at 2:50 p.m.



**SARAH F. DIRKSEN  
Deputy Director**