

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Litigation Review Committee
December 10, 2020**

A meeting of the Litigation Review Committee of the Board of Regents was held on Thursday, December 10, 2020 at 8:00 a.m. by videoconference.

Regents present: Richard Beeson, presiding; Thomas Anderson, Kao Ly Ilean Her, Janie Mayeron, David McMillan, and Randy Simonson.

Staff present; Vice President Michael Berthelsen; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Others present: Kathryn Bonneson, John Casserly, Sarah Dirksen, Chuck Gross, William Haldeman, Dave Hutchinson, Susan Kratz, Leslie Krueger, Rick Kubler, Richard Landon, Tracy Schramm, Brian Slovut, and Elizabeth Zamzow.

In accordance with Minnesota Open Meeting Law, all votes during this meeting were taken by roll call.

The docket materials for this meeting are [available here](#).

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING
OF THE LITIGATION REVIEW COMMITTEE**

The meeting convened in public session at 8:01 a.m. A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents Litigation Review Committee has balanced the purposes served by the Open Meeting Law and by the attorney-client privilege, and determined that there is a need for absolute confidentiality to discuss litigation strategy in particular matters involving the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with Minn. Stat. § 13D.01, Subd. 3 and 13D.05 Subd. 3(b), a non-public meeting of Litigation Review Committee be held on Thursday, December 10, 2020 at 8:00 a.m. via videoconference, for the purpose of discussing attorney-client privileged matters including the following:

- I. *Regents of the University of Minnesota v. United States of America; and E.I. Du Pont De Nemours and Co.*
- II. *Dempsey Becker v. University of Minnesota, et al.*

The vote was as follows:

Regent Anderson	Yes
Regent Her	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Simonson	Yes
Regent Beeson	Yes

The committee voted unanimously to adopt the resolution and the public portion of the meeting ended at 8:03 a.m.

The meeting adjourned at 9:07 a.m.



BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Audit & Compliance Committee
December 10, 2020**

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Thursday, December 10, 2020 at 8:00 a.m. by videoconference.

Regents present: Darrin Rosha, presiding; Mary Davenport, Michael Hsu, Mike Kenya, and Steve Sviggum.

Staff present: President Joan Gabel; Executive Vice President and Provost Rachel Croson; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Michael Volna.

Student Representatives present: Seah Buttar and Eleora DeMuth.

The docket materials for this meeting are available [here](#).

EXTERNAL AUDIT REPORT

Regent Rosha invited Sue Paulson, Controller along with Katie Knudtson and Judi Dockendorf from Deloitte & Touche, LLP, to present the external audit report, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

INTERNAL AUDIT AND COMMITTEE WORK PLAN UPDATE

Regent Rosha invited Chief Auditor Klatt to provide an update on Internal Audit work and to discuss the committee work plan, as detailed in the docket.

The docket materials for this item begin on page 21. The closed captioned video of this item is [available here](#).

INFORMATION ITEMS

Chief Auditor Klatt referred the committee to the information items in the docket.

The docket materials for this item begin on page 26. The closed captioned video of this item is [available here](#).

The meeting adjourned at 9:08 a.m.

Brian R. Steeves

BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Finance & Operations Committee
December 10, 2020**

A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, December 10, 2020 at 9:30 a.m. by videoconference.

Regents present: David McMillan, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Joan Gabel; Vice President Michael Berthelsen; Interim Vice President Kenneth Horstman; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Associate Vice Presidents Julie Tonneson and Michael Volna.

Student Representatives present: Abdulla Ali and Rielle Perttu Swanson.

In accordance with Minnesota Open Meeting Law, all votes during this meeting were taken by roll call.

The docket materials for this meeting are [available here](#).

**AMENDMENTS TO THE FY 2021 ANNUAL CAPITAL IMPROVEMENT BUDGET &
PRESIDENT'S RECOMMENDED REVISED 2021 STATE CAPITAL REQUEST**

Regent McMillan invited President Gabel, Vice President Berthelsen, and Associate Vice President Tonneson to present for review and action amendments to the FY 2021 Annual Capital Improvement Budget and the President's recommended revised 2021 State Capital Request, as detailed in the docket.

The docket materials for this item begin on page 4. The closed captioned video of this item is [available here](#).

McMillan stated his intent to have the committee vote on the two resolutions as one action. There was no objection. A motion was made and seconded to recommend approval of the resolution related to the amendments to the FY 2021 Annual Capital Improvement Budget and the resolution related to the revised 2021 State Capital Request.

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes

Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent Powell	Absent
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent McMillan	Yes

The committee voted unanimously to recommend approval of the resolution related to the amendments to the FY 2021 Annual Capital Improvement Budget and the resolution related to the revised 2021 State Capital Request.

**SYSTEMWIDE STRATEGIC PLAN IMPLEMENTATION:
PRINCIPLES TO GUIDE CAMPUS MASTER PLANS**

Regent McMillan invited President Gabel, Vice President Berthelsen, and Monique MacKenzie, Director of Campus and Capital Planning, to review principles to guide campus master plans, as detailed in the docket.

The docket materials for this item begin on page 27. The closed captioned video of this item is [available here](#).

**PRESIDENT'S FINANCE & OPERATIONS PLANNING WORK GROUP:
UPDATES AND NEXT STEPS**

Regent McMillan invited President Gabel, Interim Vice President Horstman, and Associate Vice President Volna to provide an update on the President's Finance and Operations Planning work group, as detailed in the docket.

The docket materials for this item begin on page 56. The closed captioned video of this item is [available here](#).

**FY 2021 OPERATING BUDGET UPDATE &
FY 2022 BUDGET VARIABLES AND LEVERS**

Regent McMillan invited Associate Vice President Tonneson to provide an update on the FY 2021 operating budget and an overview of the FY 2022 operating budget variables and levers, as detailed in the docket.

The docket materials for this item begin on page 118. The closed captioned video of this item is [available here](#).

COLLECTIVE BARGAINING AGREEMENTS

Regent McMillan invited Interim Vice President Horstman to present for review and action the following collective bargaining agreements, as detailed in the docket.

- A. District Council No. 1 of the Graphic Communications Conference International Brotherhood of Teamsters, Local 1B, Twin Cities
- B. Graphic Communications Conference of the International Brotherhood of Teamsters, Local 1M, Crafts and Trades Unit

The docket materials for this item begin on page 181. The closed captioned video of this item is [available here](#).

A motion was made and seconded to recommend approval of the resolution related to District Council No. 1 of the Graphic Communications Conference International Brotherhood of Teamsters Local 1B, Twin Cities.

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenya	Yes
Regent Mayeron	Yes
Regent Powell	Absent
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent McMillan	Yes

The committee voted unanimously to recommend approval of the resolution related to District Council No. 1 of the Graphic Communications Conference International Brotherhood of Teamsters Local 1B, Twin Cities.

A motion was made and seconded to recommend approval of the resolution related to Graphic Communications Conference of the International Brotherhood of Teamsters Local 1M, Crafts and Trades Unit.

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenya	Yes
Regent Mayeron	Yes
Regent Powell	Absent
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent McMillan	Yes

The committee voted unanimously to recommend approval of the resolution related to Graphic Communications Conference of the International Brotherhood of Teamsters Local 1M, Crafts and Trades Unit

CONSENT REPORT

Associate Vice President Tonneson presented the revised Consent Report, as detailed in the docket.

The docket materials for this item begin on page 186. The closed captioned video of this item is [available here](#).

General Contingency:

- There were no items requiring approval this period.

Purchase of Goods and Services \$1,000,000 and Over:

- To Chromacode, Inc. and other related suppliers for an additional estimated \$10,800,000 for the purchase of HDPCR SARS-CoV-2 assay test kits for the Medical School for the period of August 1, 2020 through April 21, 2021. This purchase will be paid for with funds from the contract from the State of Minnesota. See enclosed documentation regarding basis for supplier selection.
- To Elsevier, Inc. for an estimated \$7,803,000 for subscription to Elsevier ScienceDirect as needed for the University Libraries for the period of January 1, 2021, through December 31, 2023. The \$7,803,000 allotted payment to Elsevier over the next three years is included in the University Libraries 2021, 2022 and 2023 budget, as well as the 2021, 2022 and 2023 budgets for Duluth, Morris and Crookston Libraries. Supplier was selected by University Libraries under the authority granted by Board of Regents Policy: *Libraries and Archives* and Board of Regents Policy: *Purchasing*.
- To Metropolitan Council/Metro Transit for an estimated \$1,017,600 for the continuation of the Metropass, U-Pass, and Campus Zone programs for discounted transit pass program for Parking & Transportation Services for the period of August 19, 2020 through September 30, 2021, with optional contract extensions through August 25, 2022 for an additional \$1,017,600. Total contract value, if all options are exercised, would be \$2,035,200. These programs will be financed annually by user fees, transportation fees, and parking revenues. This item is budgeted for in the FY21 budget. See enclosed documentation for basis for supplier selection.
- To Optum Health for an additional estimated \$2,200,000 for stop loss insurance coverage for UPlan participants for Office of Human Resources Employee Benefits for the period of January 1, 2021 through December 21, 2021. This contract will be funded by the Office of Human Resources out of the Fringe Benefits Recovery. Optum Health was selected as a result of a competitive Request for Proposal (RFP) conducted by Purchasing Services. Four suppliers responded to the original RFP.
- To Pier Group/Hewlett Packard Enterprise for an estimated \$7,400,000 for a high performance computing system for the Minnesota Supercomputing Institute (MSI). The new system will be purchased using funds earmarked in MSI's budget specifically for this

purpose. Pier Group/Hewlett Packard Enterprise, the existing supplier, was selected as a result of a competitive Request for Proposal (RFP) process conducted by Purchasing Services. Eight suppliers responded to the RFP.

- To SAGE for an estimated \$1,582,400 for subscription to SAGE Premier as needed for the University Libraries for the period of January 1, 2021, through December 31, 2023. The \$1,582,400 allotted as payment to SAGE over the next three years is included in the University Libraries, Law and Duluth 2021, 2022, and 2023 budgets. Supplier was selected by University Libraries under the authority granted by Board of Regents Policy: *Libraries and Archives* and Board of Regents Policy: *Purchasing*.
- To Becton Dickinson, Chromacode, Inc., New England Biolabs, Promega and other related suppliers for up to \$15,000,000 for the purchase of SARS-CoV-2 biologic and chemical reagents for the University of Minnesota Genomics Center for the period of December 14, 2020 through June 30, 2021. These purchases will ultimately be paid for with funds generated from services provided to CICH Health (UMGC External Sales activity) which includes the costs of the reagents. See enclosed documentation for basis of supplier selection.

Real Estate Transaction

- Fairview Clinical Research Unit Lease, Phillips-Wagensteen Building (Twin Cities campus)

Schematic Designs

- Chemistry Undergraduate Teaching Laboratories (Twin Cities campus)
- Lind Hall Renovation (Twin Cities campus)
- PWB 2 - Physical Therapy Classroom Renovation (Twin Cities campus)

A motion was made and seconded to recommend approval of the revised Consent Report.

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Abstained due to technical difficulties
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent Powell	Absent
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent McMillan	Yes

Due to technical difficulties, Regent Her was unable to vote. Had she been able to, she would have voted in favor of the revised Consent Report.

The committee voted unanimously to recommend approval of the revised Consent Report.

INFORMATION ITEMS

Associate Vice President Tonneson referred the committee to the information items in the docket:

- Fiscal Year 2020 Annual Financial Report
- Administrative Cost Definition and Benchmarking Update
- Semi-Annual Capital Project Management Report
- Debt Management Advisory Committee Update
- Investment Advisory Committee Update
- Quarterly Asset Management Report
- Quarterly Purchasing Report

The docket materials for this item begin on page 217. The closed captioned video of this item is [available here](#).

The meeting adjourned at 12:33 p.m.



BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Mission Fulfillment Committee
December 10, 2020**

A meeting of the Mission Fulfillment Committee of the Board of Regents was held on Thursday, December 10, 2020 at 2:00 p.m. by videoconference.

Regents present: Thomas Anderson presiding; Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Joan Gabel; Executive Vice President and Provost Rachel Croson; Vice President Christopher Cramer; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Student Representatives present: Pal Koak and Rodrigo Tojo Garcia.

In accordance with Minnesota Open Meeting Law, all votes during this meeting were taken by roll call.

The docket materials for this meeting are [available here](#).

**ANNUAL REPORT ON THE STATUS OF UNIVERSITY RESEARCH &
COMMERCIALIZATION OF TECHNOLOGY**

Regent Anderson invited Christopher Cramer, Vice President for Research; Elizabeth Borer, Professor, Ecology, Evolution and Behavior, College of Biological Sciences, Twin Cities campus; and Michael D. Lackey, Distinguished McKnight University Professor, Humanities Division, Morris campus to present the Annual Report on the Status of University Research and Commercialization of Technology, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

**STRENGTHENING CAREER READINESS AND
OUTCOMES FOR ALL STUDENTS**

Regent Anderson invited Lisa Erwin, Vice Chancellor for Student Life and Dean of Students, Duluth Campus; Becky Hall, Director, Career Services Administration, Twin Cities campus; Lincoln Kallsen, Assistant Vice President, Institutional Analysis; and Scott Lanyon, Vice Provost and Dean of Graduate Education, Twin Cities campus to discuss career readiness outcomes, as detailed in the docket.

The docket materials for this item begin on page 57. The closed captioned video of this item is [available here](#).

HOLISTIC APPROACH TO STUDENT WELLNESS

Regent Anderson invited Carl Anderson, Assistant Vice Provost for Student Affairs and Director & Chief Health Officer, Boynton Health, Twin Cities campus, and Sandra Olson-Loy, Vice Chancellor for Student Affairs, Morris campus, to discuss a holistic approach to student wellness, as detailed in the docket.

The docket materials for this item begin on page 90. The closed captioned video of this item is [available here](#).

CONSENT REPORT

Executive Vice President and Provost Croson presented the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 124. The closed captioned video of this item is [available here](#).

Request for Approval of New Academic Programs

- College of Education and Human Development (Twin Cities campus)—Create a B.S. degree in Physical Activity and Health Promotion
- Crookston campus—Create undergraduate minor in Sustainability

Request for Approval of Changed Academic Programs

- Carlson School of Management (Twin Cities campus)—Create a Global Medical Industry subplan in the M.B.A degree
- College of Continuing and Professional Studies (Twin Cities campus)—Deliver the M.P.S. in Applied Sciences Leadership completely online
- College of Design (Twin Cities campus)—Discontinue the Housing Studies subplan in the M.A., M.S. and Ph.D. degrees in Design
- College of Design (Twin Cities campus)—Create an Architecture subplan in the Ph.D. degree in Design
- College of Food, Agriculture and Natural Resource Sciences (Twin Cities campus)—Create an Integrated Degree Program option in the B.S. and M.S. degrees in Applied Economics
- College of Food, Agriculture and Natural Resource Sciences and the Carlson School of Management (Twin Cities campus)—Create an Integrated Degree Program option in the B.S. degree in Agriculture and Food Business Management and M.S. degree in Applied Economics degree
- College of Liberal Arts, Humphrey School of Public Affairs, and the School of Public Health (Twin Cities campus)—Create a dual M.H.R./M.P.H degree
- The College of Science and Engineering (Twin Cities campus)—Move the academic home of the Bioinformatics and Computational Biology Ph.D. and M.S. degrees and graduate minor from the Graduate School to the College of Science and Engineering
- College of Veterinary Medicine (Twin Cities campus)—Deliver the Integrated Food Systems Leadership Post-baccalaureate Certificate fully online
- Medical School (Twin Cities campus) —Change name of the Renal Transplant Fellowship to the Transplant Nephrology Fellowship

- School of Public Health (Twin Cities campus) —Change name of the Management Fundamentals Post-baccalaureate Certificate to the Healthcare Management Postbaccalaureate Certificate
- College of Liberal Arts (Duluth campus)—Deliver the M.T.A.G. degree fully online
- College of Liberal Arts (Duluth campus)—Deliver the M.T.R.E.S degree fully online
- Morris Campus—Create Green Sustainable subplan in the Chemistry B.A. degree

Request for Approval of Discontinued Academic Programs

- College of Biological Sciences (Twin Cities campus)—Discontinue the graduate minor in Microbial Ecology
- College of Continuing and Professional Studies (Twin Cities campus)—Discontinue the graduate minor in Biological Sciences
- College of Design (Twin Cities campus)—Discontinue the Post-baccalaureate Certificate in in Housing Studies

Request for Approval of Faculty Appointments with Tenure

- Boyen Huang, associate professor with tenure, Department of Primary Dental Care, School of Dentistry
- Nichole Klatt, professor with tenure, Department of Surgery, Medical School

Request for Approval of Human Fetal Tissue Research Report to the Minnesota Legislature

A motion was made and seconded to approve the Consent Report.

The vote was as follows:

Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Powell	Absent
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Anderson	Yes

The committee voted unanimously to approve the Consent Report.

INFORMATION ITEMS

Executive Vice President and Provost Croson referred the committee to the information items in the docket.

The docket materials for this item begin on page 137. The closed captioned video of this item is [available here](#).

The meeting adjourned at 4:35 p.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive, flowing style.

BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Governance & Policy Committee
December 11, 2020**

A meeting of the Governance & Policy Committee of the Board of Regents was held on Friday, December 11, 2020 at 8:00 a.m. by videoconference.

Regents present: Janie Mayeron, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, David McMillan, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Joan Gabel; Vice President Matt Kramer; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

The docket materials for this meeting are [available here](#).

RESOLUTION RELATED TO AMENDMENTS TO URGENT APPROVAL AUTHORITY

Regent Mayeron invited Regent Rosha to present for action the revised resolution related to amendments to urgent approval authority, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

A motion was made and seconded to postpone consideration of the resolution until the February 2021 meeting.

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	No
Regent Kenyanya	Yes
Regent McMillan	Yes
Regent Powell	Absent
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Mayeron	Yes

The committee voted 10 to 1 to approve the motion to postpone consideration of the resolution until the February 2021 meeting.

BOARD OF REGENTS POLICY: *ALCOHOLIC BEVERAGES ON CAMPUS*

Regent Mayeron invited President Gabel and Vice President Kramer to review proposed amendments to Board of Regents Policy: *Alcoholic Beverages on Campus*, as detailed in the docket.

The docket materials for this item begin on page 8. The closed captioned video of this item is [available here](#).

DISCUSSION OF BOARD ASSESSMENT OPTIONS AND OBJECTIVES

Regent Mayeron postponed the discussion of board assessment options and objectives until a future meeting.

The meeting adjourned at 9:36 a.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive, flowing style.

BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Board of Regents
December 11, 2020**

A meeting of the Board of Regents of the University of Minnesota was held on Friday, December 11, 2020 at 9:59 a.m. by videoconference.

Regents present: Steven Sviggum, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, and Randy Simonson.

Staff present: President Joan Gabel; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Associate Vice President Julie Tonneson.

In accordance with Minnesota Open Meeting Law, all votes during this meeting were taken by roll call.

The docket materials for this meeting are [available here](#).

APPROVAL OF MINUTES

A motion was made and seconded to approve the following minutes as presented in the docket materials:

Mission Fulfillment Committee – October 8, 2020
Board of Regents Non-Public Meeting – October 8, 2020
Litigation Review Committee – October 8, 2020
Audit & Compliance Committee – October 8, 2020
Finance & Operations Committee – October 8, 2020
Governance & Policy Committee – October 9, 2020
Board of Regents – October 9, 2020

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes

Regent Sviggum	Yes
Regent Powell	Absent

The Board voted unanimously to approve the minutes as presented in the docket materials.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

REPORT OF THE PRESIDENT

President Gabel delivered the report of the President.

The docket materials for this item begin on page 37. The closed captioned video of this item is [available here](#).

REPORT OF THE CHAIR

Regent Sviggum delivered the report of the Chair.

The docket materials for this item begin on page 38. The closed captioned video of this item is [available here](#).

RECEIVE AND FILE REPORTS

Regent Sviggum noted the receipt and filing of reports, as described in the docket materials, including:

- Quarterly Report of Grant and Contract Activity
- Eastcliff Annual Report

The docket materials for this item begin on page 39. The closed captioned video of this item is [available here](#).

CONSENT REPORT

Regent Sviggum presented for review and action the Consent Report as described in the docket materials, including:

- Gifts
- Report of the Regents Award Nominating Committee
- Report of the Naming Committee

The docket materials for this item begin on page 58. The closed captioned video of this item is [available here](#).

A motion was made and seconded to approve the Consent Report. The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Powell	Absent

The Board voted unanimously to approve the Consent Report.

REPORT OF THE FACULTY CONSULTATIVE COMMITTEE

Regent Sviggum invited Phil Buhlmann, Chair of the Faculty Consultative Committee, to present the report of the Faculty Consultative Committee, as detailed in the docket.

The docket materials for this item begin on page 71. The closed captioned video of this item is [available here](#).

MPACT 2025 MEASURES

Regent Sviggum invited President Gabel to present for review the MPact 2025 Measures, as detailed in the docket.

The docket materials for this item begin on page 75. The closed captioned video of this item is [available here](#).

2020 UNIVERSITY PERFORMANCE AND ACCOUNTABILITY REPORT

Regent Sviggum invited President Gabel and Executive Vice President and Provost Croson to present for review the 2020 University Performance and Accountability Report, as detailed in the docket.

The docket materials for this item begin on page 112. The closed captioned video of this item is [available here](#).

UPDATE ON PUBLIC SAFETY – TWIN CITIES CAMPUS

Regent Sviggum invited Matthew Clark, Chief of Police, Department of Public Safety on the Twin Cities campus, to provide an update on public safety on the Twin Cities campus, as detailed in the docket.

The docket materials for this item begin on page 146. The closed captioned video of this item is [available here](#).

REPORT OF THE AUDIT & COMPLIANCE COMMITTEE

Regent Rosha, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

REPORT OF THE LITIGATION REVIEW COMMITTEE

Regent Beeson, chair of the committee, reported that pursuant to notice sent by the University, the Litigation Review Committee met on December 10, 2020. At this meeting, a resolution was considered and adopted that authorized the closing of the meeting. In the closed meeting, discussion was held on matters subject to the attorney-client privilege.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

REPORT OF THE MISSION FULFILLMENT COMMITTEE

Regent Anderson, chair of the committee, reported that the committee voted to recommend the following item.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of the Consent Report for the Mission Fulfillment Committee as presented to the committee and described in the December 10, 2020 committee minutes. The committee docket materials for this item can be found on page 124.

A motion was made and the vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Powell	Absent

The Board voted unanimously to approve the Consent Report.

REPORT OF THE FINANCE & OPERATIONS COMMITTEE

Regent McMillan, chair of the committee, reported that the committee voted to recommend the following four items. All unanimous recommendations of the committee were considered as one motion.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of the resolutions related to amendments to the FY 2021 Annual Capital Improvement Budget & President's Recommended Revised 2021 State Capital Request. The committee docket materials for this item can be found on page 4.

The resolution related to amendments to the FY 2021 Annual Capital Improvement Budget is as follows:

WHEREAS, the Board of Regents (Board) has directed the administration to annually submit a six-year capital plan and an annual capital improvement budget; and

WHEREAS, the Board has adopted principles to guide the formulation of the six-year capital plan and the annual capital improvement budget; and

WHEREAS, the Board recognizes the importance of sustaining and improving the University's facilities in support of teaching, research, and outreach; and

WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is realistic.

NOW, THEREFORE, BE IT RESOLVED that the Board approves amendments to the FY 2021 Annual Capital Improvement Budget as described in the Finance & Operation Committee December 2020 docket materials.

The resolution related to the President's Recommended Revised 2021 State Capital Request is as follows:

WHEREAS, the Board of Regents (Board) has directed the administration to annually submit a capital improvement budget and a six-year capital plan in support of the University of Minnesota's (University) strategic priorities; and

WHEREAS, the Board recognizes the importance of sustaining and improving the University's facilities in support of teaching, research, and outreach; and

WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is realistic.

NOW THEREFORE, BE IT RESOLVED that the Board of Regents approves the University's Revised 2021 State Capital Request in the amount of \$296,060,000 consisting of \$264,040,000 from the State of Minnesota and \$32,020,000 from the University

- 2) Approval of the resolution related to District Council No. 1 of the Graphic Communications Conference International Brotherhood of Teamsters, Local 1B, Twin Cities. The committee docket materials for this item can be found on page 181.

The resolution is as follows:

WHEREAS, the parties have met and negotiated over the course of the past several months and have reached agreement regarding terms and conditions of employment for the employees of this bargaining unit; and

WHEREAS, District Council No.1 of the Graphic Communications Conference International Brotherhood of Teamsters Local 1B, Twin Cities, Crafts and Trades Unit has ratified acceptance of this agreement; and

WHEREAS, according to Board of Regents Policy: *Reservation and Delegation of Authority*, approval of labor agreements by the Board of Regents is required.

NOW, THEREFORE, BE IT RESOLVED that on the recommendation of the President, the Board of Regents approves this labor agreement as outlined in the Finance & Operations Committee docket for December 10, 2020.

- 3) Approval of the resolution related to Graphic Communications Conference of the International Brotherhood of Teamsters, Local 1M, Crafts and Trades Unit. The committee docket materials for this item can be found on page 181.

The resolution is as follows:

WHEREAS, the parties have met and negotiated over the course of the past several months and have reached agreement regarding terms and conditions of employment for the employees of this bargaining unit; and

WHEREAS, the Graphic Communications Conference of the International Brotherhood of Teamsters Local 1M, Crafts and Trades Unit has ratified acceptance of this agreement; and

WHEREAS, according to Board of Regents Policy: *Reservation and Delegation of Authority*, approval of labor agreements by the Board of Regents is required.

NOW, THEREFORE, BE IT RESOLVED that on the recommendation of the President, the Board of Regents approves this labor agreement as outlined in the Finance & Operations Committee docket for December 10, 2020.

- 4) Approval of the revised Consent Report for the Finance & Operations Committee as presented to the committee and described in the December 10, 2020 committee minutes. The committee docket materials for this item can be found on page 186.

A motion was made to approve the four items in the committee report, and the vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Powell	Absent

The Board voted unanimously to approve the committee report.

REPORT OF THE GOVERNANCE & POLICY COMMITTEE

Regent Mayeron, chair of the committee, reported that the committee considered action on a resolution related to Amendments to Urgent Approval Authority. The committee voted to postpone action on that item until the February 2021 meeting.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

The meeting adjourned at 1:08 p.m.



BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Board of Regents
January 8, 2021**

A special meeting of the Board of Regents of the University of Minnesota was held on Friday, January 8, 2021 at 8:00 a.m. by videoconference.

Regents present: Kendall Powell, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, David McMillan, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Joan Gabel; Executive Vice President and Provost Rachel Croson; Senior Vice President Myron Frans; Vice President Christopher Cramer; Interim Vice President Kenneth Horstman; General Counsel Douglas Peterson; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Michael Volna.

In accordance with Minnesota Open Meeting Law, all votes during this meeting were taken by roll call.

The docket materials for this meeting are [available here](#).

**RESOLUTION RELATED TO BIOMADE RESEARCH INSTITUTE
AND PRODUCTION FACILITY (TWIN CITIES CAMPUS)**

Regent Powell invited Vice President Cramer; Valery Forbes, Dean of the College of Biological Sciences; Senior Vice President Frans; and Associate Vice President Volna to present for review and action a resolution related to BioMADE Research Institute and Production Facility (Twin Cities campus), as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

A motion was made and seconded to approve the resolution related to BioMADE Research Institute and Production Facility (Twin Cities campus), as follows:

WHEREAS, the Board of Regents (Board) reserved the authority to approve any matter delegated to the president that raises unusual questions of public interest or public policy, has significant impact on the University's mission, or poses a significant financial risk to the University; and

WHEREAS, the siting of the Bioindustrial Manufacturing Innovation Institute (BMII) at the University of Minnesota (University) will position the University to take a leadership role as one of 16 sites in the National Network for Manufacturing Innovation; and

WHEREAS, the activities of BioMADE will leverage the University's existing strengths and facilities in biotechnology, genomics, informatics, and other critical technologies, and simultaneously open the door to new collaborative opportunities between the University and other BioMADE partners, both in the public and private sectors; and

WHEREAS, the novel scale-up capabilities that BioMADE will operate in Minnesota will likely foster the development of co-located entrepreneurial activities that will contribute to growth of a robust biomanufacturing ecosystem that will benefit the State's economy; and

WHEREAS, the robust workforce development activities proposed by BioMADE in partnership with its many collaborating institutions of higher education will provide unique and valuable educational training opportunities to University students; and

WHEREAS, top faculty, researcher, and graduate student talent in the broad area of biomanufacturing will be drawn to the University owing to opportunities to engage with BioMADE personnel and activities; and

WHEREAS, elected officials at the federal and state levels showed universal bipartisan support for BioMADE's proposal in letters transmitted to the US Secretary of Defense, expressing enthusiasm for potential economic development outcomes made possible by an award to BioMADE; and Page 5 of 92

WHEREAS, to provide BioMADE with employees, services, and facilities to operate the BMII, the University desires to enter into a Secondment Agreement, a Lease, a Management Services Agreement, and a Confidential Information Agreement (collectively, the Agreements) with BioMADE; and

WHEREAS, the Board approved the Resolution Related to BioMADE Institute Collaboration (Twin Cities Campus) in October 2020, which endorsed the proposed collaboration and authorized the President or delegate to negotiate the terms of the definitive agreements and submit those to the Board for its review and action.

NOW, THEREFORE, BE IT RESOLVED that the Board hereby approves the transactions described in the Agreements.

BE IT FURTHER RESOLVED that the President or delegate are hereby authorized, empowered and directed to execute and deliver in the name of the University the Agreements.

The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes

Regent Powell Yes

The Board voted unanimously to approve the resolution related to BioMADE Research Institute and Production Facility (Twin Cities campus).

**APPOINTMENTS TO THE FAIRVIEW HEALTH SERVICES BOARD OF DIRECTORS
AND THE UNIVERSITY OF MINNESOTA HEALTH CLINICS AND SURGERY CENTER, INC.
BOARD OF DIRECTORS**

Regent Powell invited President Gabel to present the following for review and action:

1. Appointment of Senior Vice President Frans to the University representative seat on the Fairview Health Services Board of Directors, for the remainder of a three-year term; and
2. Endorsement of the appointment of Frans to the University of Minnesota Health Clinics and Surgery Center, Inc. Board of Directors.

The docket materials for this item begin on page 92. The closed captioned video of this item is [available here](#).

A motion was made and seconded to approve the appointments, and the vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Davenport	Yes
Regent Her	Yes
Regent Hsu	Yes
Regent Kenya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	Yes
Regent Simonson	Yes
Regent Sviggum	Yes
Regent Powell	Yes

The Board voted unanimously to approve the appointment of Frans to the Fairview Health Services Board of Directors; and to endorse the appointment of Frans to the University of Minnesota Health Clinics and Surgery Center, Inc. Board of Directors.

The meeting adjourned at 9:32 a.m.



BRIAN R. STEEVES
Executive Director and
Corporate Secretary