



Finance & Operations Committee

June 2020

June 11, 2020

2:00 p.m.

Videoconference

FIN - JUN 2020

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BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

June 11, 2020

AGENDA ITEM: President's Recommended FY 2021 Annual Capital Improvement Budget

Review **Review + Action** **Action** **Discussion**

This is a report required by Board policy.

PRESENTERS: President Joan T.A. Gabel
Brian Burnett, Senior Vice President
Michael Berthelsen, Vice President, University Services

PURPOSE & KEY POINTS

The purpose of this item is to act on the President's Recommended FY 2021 Annual Capital Improvement Budget (capital budget).

The University's FY 2021 capital budget authorizes projects totaling \$54,096,000 to begin design and construction during the upcoming fiscal year.

Since the capital budget was reviewed at the Board's May meeting, there have been two updates:

- The capital budget reflects the outcome of the 2020 legislative session since the Minnesota Legislature adjourned without passing a general obligation bonding bill.
- The addition of a capital project at the Minnesota Landscape Arboretum - Arboretum Contribution to Regional Bike Trail (project 3519).

The capital budget includes the planning priorities established by the Six-Year Capital Plan, which the Board acts on annually in the fall. Additionally, funding pools categorized as Repair and Replacement (R&R) include multiple projects intended to preserve and renew existing campus facilities. Projects funded by these pool dollars include projects intended to extend the life and functionality of existing University facilities and infrastructure.

The FY 2021 capital budget reflects the impacts of the COVID-19 pandemic on auxiliary units, with the cancelation or deferment of approximately \$22 million in planned capital renewal projects in order to preserve cash and mitigate revenue losses. In addition to projects not included in this capital budget, the Duluth campus is delaying the construction of the new housing and dining facility, which the Board approved as a part of the FY 2020 Annual Capital Improvement Budget last June. The total approved cost of that project is \$70 million. The project has been paused at the completion of the design stage.

BACKGROUND INFORMATION

Board of Regents Policy: *Board Operations and Agenda Guidelines* directs the administration to conduct capital planning using a six-year time horizon that is updated annually. This annual capital planning process is completed in two parts.

- Part 1 is the Six-Year Capital Plan, which is updated annually and establishes the institution's capital priorities. This plan is the basis for continued capital and financial planning. The Six-Year Capital Plan is presented to the Board annually in the fall.
- Part 2, which is acted on by the Board in June, is the Annual Capital Improvement Budget. The capital budget identifies projects with completed predesigns and financing plans and grants approval for those projects to proceed with design and construction for the coming fiscal year.

The University requires that all capital projects spending more than \$1,000,000 on either design or construction be included in the capital budget. In order to be included in the capital budget, the project must be approved by the respective chancellor or vice president, have completed an appropriate level of planning (typically a predesign), have all the required funding identified, and be ready to proceed if approved by the Board. These requirements lead to better projects, but also exclude from the capital budget some important projects still in development. As these projects meet the Board's criteria, they will be presented as capital budget amendments.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the resolution related to FY 2021 Annual Capital Improvement Budget.



REGENTS OF THE UNIVERSITY OF MINNESOTA

RESOLUTION RELATED TO

FY 2021 Annual Capital Improvement Budget

WHEREAS, the Board of Regents (Board) has directed the administration to annually submit a six-year capital plan and an annual capital improvement budget; and

WHEREAS, the Board has adopted principles to guide the formulation of the six-year capital plan and the annual capital improvement budget; and

WHEREAS, the Board recognizes the importance of sustaining and improving the University's facilities in support of teaching, research, and outreach; and

WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is realistic.

NOW, THEREFORE, BE IT RESOLVED that the Board approves the FY 2021 Annual Capital Improvement Budget.

FY2021 ANNUAL CAPITAL IMPROVEMENT BUDGET

University of Minnesota

Annual Capital Improvement Budget Definitions by Funding Source

Local Funds

These funds have been allocated to or generated by campus-level, collegiate-level or departmental-level units. These funds include state appropriations, tuition, internal sales, external sales and other unrestricted funds.

Grants / Gifts

Grant and gift funds are provided to the University to support specific construction projects.

Institutional Funds

This category of resources represents a broad array of funds from within the University including but not limited to central budget allocations for specific projects and purposes.

State Debt

These funds are provided from State sold bond proceeds for use on legislatively authorized projects.

U of M Debt

These funds come from both the sale of bonds issued by the University as well as commercial paper and internal loans. The source of the debt service payment varies by project.

UNIVERSITY OF MINNESOTA

Annual Capital Improvement Budget

Project Description Report

The following project information sheets, ordered by file number, provide brief descriptions of each project.

UNIVERSITY OF MINNESOTA

Project Description Report

3484 R&R - UMD Campus

Vice President: Chancellor, Duluth
Campus: Duluth
Facility: Campuswide
Total Cost: \$4,000
Description: Authorized funds will be used for facility and infrastructure repair and replacement projects on the Duluth campus.

RRC: Duluth Campus
RRC Contact: Lendley Black
Project Manager: John Rashid

3485 R&R - UMD Student Life

Vice President: Chancellor, Duluth
Campus: Duluth
Facility: Campuswide
Total Cost: \$2,550
Description: Authorized funds will be used for facility and infrastructure repair and replacement projects in residence halls, apartments, and other student service facilities on the Duluth campus.

RRC: Duluth Campus
RRC Contact: Lendley Black
Project Manager: John Rashid

3486 R&R - UMM Campus

Vice President: Chancellor, Morris
Campus: Morris
Facility: Campuswide
Total Cost: \$194
Description: Authorized funds will be used for facility and infrastructure repair and replacement projects on the Morris campus.

RRC: Morris Campus
RRC Contact: Michelle Behr
Project Manager: Bryan Herrmann

UNIVERSITY OF MINNESOTA

Project Description Report

3487 R&R - UMC Campus

Vice President: Chancellor, Crookston

Campus: Crookston

Facility: Campuswide

Total Cost: \$319

Description: Authorized funds will be used for facility and infrastructure repair and replacement projects on the Crookston campus.

RRC: Crookston Campus

RRC Contact: Mary Holz-Clause

Project Manager: David Danforth

3488 R&R - Athletics

Vice President: Intercollegiate Athletics

Campus: Twin Cities

Facility: Campuswide

Total Cost: \$1,500

Description: Authorized funds will be used for facility and infrastructure repair and replacement projects in competition and practice facilities.

RRC: Intercollegiate Athletics

RRC Contact: Scott Ellison

Project Manager: Multiple

3490 R&R - Housing & Residential Life

Vice President: University Services

Campus: Twin Cities

Facility: Campuswide

Total Cost: \$7,720

Description: Authorized funds will be used for facility and infrastructure repair and replacement projects in the residence halls, apartments and family student housing units on the Twin Cities campus.

RRC: Auxiliary Services

RRC Contact: Laurie McLaughlin

Project Manager: Multiple

UNIVERSITY OF MINNESOTA

Project Description Report

3491 R&R - Parking Infrastructure

Vice President: University Services

Campus: Twin Cities

Facility: Campuswide

Total Cost: \$1,950

Description: Authorized funds will be used for parking infrastructure repair and replacement projects to include: surface parking, structured parking, emergency generators, elevators and critical repairs.

RRC: Auxiliary Services

RRC Contact: Laurie McLaughlin

Project Manager: Multiple

3492 R&R - Transportation Infrastructure

Vice President: University Services

Campus: Twin Cities

Facility: Campuswide

Total Cost: \$750

Description: Authorized funds will be used for transportation infrastructure repair and replacement projects to include: street projects, sidewalk projects, bridge projects, building linkages, campus safety upgrades and critical repairs identified within transportation assets.

RRC: Auxiliary Services

RRC Contact: Laurie McLaughlin

Project Manager: Multiple

3493 R&R - Twin Cities Campus

Vice President: University Services

Campus: Twin Cities

Facility: Campuswide

Total Cost: \$11,315

Description: Authorized funds will be used for facility and infrastructure repair and replacement projects on the Twin Cities campus.

RRC: Facilities Management

RRC Contact: Bill Paulus

Project Manager: Multiple

UNIVERSITY OF MINNESOTA

Project Description Report

3494 R&R - Utility Infrastructure

Vice President: University Services

Campus: Twin Cities

Facility: Campuswide

Total Cost: \$8,273

Description: Authorized funds will be used for utility infrastructure repair and replacement projects on the Twin Cities campus.

RRC: Facilities Management

RRC Contact: Bill Paulus

Project Manager: Multiple

3495 R&R - Energy Conservation

Vice President: University Services

Campus: Twin Cities

Facility: Campuswide

Total Cost: \$3,900

Description: Authorized funds will be used to enhance the energy efficiency of facilities and infrastructure on the Twin Cities campus. Facilities Management maintains a revolving internal loan fund with the Budget Office to fund and repay conservation projects.

RRC: Facilities Management

RRC Contact: Bill Paulus

Project Manager: Multiple

3497 R&R - Student Affairs

Vice President: Academic Affairs

Campus: Twin Cities

Facility: Campuswide

Total Cost: \$1,250

Description: Authorized funds will be used for facility and infrastructure repair and replacement projects for Boynton, Recreation and Wellness, and Student Unions and Activities.

RRC: Student Affairs

RRC Contact: Maggie Towle

Project Manager: Multiple

UNIVERSITY OF MINNESOTA

Project Description Report

3498 R&R - Security Infrastructure

Vice President: University Services

Campus: Twin Cities

Facility: Campuswide

Total Cost: \$675

Description: Authorized funds will be used for facility and infrastructure repair and replacement projects related to security infrastructure on the Twin Cities campus.

RRC: Facilities Management

RRC Contact: Bill Paulus

Project Manager: Multiple

3499 Chemistry Undergraduate Teaching Laboratory Facility - Design

Vice President: Academic Affairs

Campus: Twin Cities

Facility: Fraser Hall

Total Cost: \$1,500

Description: FY21 funding will complete Schematic Design for a project that will partially demolish the west side of the original building as well as the 1954 building addition to make way for construction of a five-story addition of 78,600 GSF, for a total of 101,600 GF of new and renovated space. Active learning laboratories will provide space for collaboration, lab prep, and academic support for U of M Twin Cities' undergraduate chemistry lab enrollment, projected to increase more than 14% from 2018 to 2020.

RRC: College of Science and Engineering

RRC Contact: Mos Kaveh

Project Manager: Kevin Ross

3502 Lind Hall Renovation - Design

Vice President: Academic Affairs

Campus: Twin Cities

Facility: Lind Hall

Total Cost: \$2,000

Description: The renovated facility will provide an academic home for CSE's newest and growing department, Industrial and Systems Engineering (ISyE) and space relief for the Computer Science & Engineering (CS&E) department, which has and will continue to experience significant growth. The proposed project will accommodate these growing demands by expanding teaching and associated research spaces critical to student success. The anticipated total project cost is \$33 million. The balance of funding will be brought back for approval once scope and budget are finalized; likely as part of the FY22 Capital Budget.

RRC: College of Science and Engineering

RRC Contact: Mos Kaveh

Project Manager: Pete Nickel

UNIVERSITY OF MINNESOTA

Project Description Report

3511 Microbial Cell Production Facility - Design

Vice President: Academic Affairs

Campus: Twin Cities

Facility: New Facility

Total Cost: \$3,000

Description: This project will build a new facility to expand the manufacturing capacity of the existing Biotechnology Resource Center (BRC) on the Saint Paul campus. The BRC is a microbial cell production facility, serving companies in the veterinary, agriculture, biofuels, biopharma, and industrial biotechnology sectors. This profitable, university-industry interaction is a platform for advanced research, training, and support in the field of biotechnology. The anticipated total project cost is \$59.6 million. The balance of funding will be brought back for approval once scope and budget are finalized; likely as a capital budget amendment in December 2020.

RRC: College of Biological Sciences

RRC Contact: Valery Forbes

Project Manager: Pete Nickel

3512 MCT Clean Room Conversion

Vice President: Health Sciences

Campus: Twin Cities

Facility: Molecular and Cellular Therapeutics

Total Cost: \$1,500

Description: This project will convert existing lab space for Molecular and Cellular Therapeutics (MCT) to meet Class 10,000 cleanroom specifications for the purpose of Gene Vector Production.

RRC: Academic Clinical Affairs

RRC Contact: Jakub Tolar

Project Manager: Pete Nickel

3519 Arboretum Contribution to Regional Bike Trail

Vice President: Academic Affairs

Campus: Landscape Arboretum - Excelsior

Facility: Site Improvements

Total Cost: \$1,700

Description: This project will design and construct a segment of non-motorized regional trail that will be built on University owned land, at the Minnesota Landscape Arboretum. Carver County is the responsible agency leading design and construction of the entire length of the trail. Costs are allocated between federal funds, Carver County, City of Chanhassen and the University of Minnesota.

RRC: College of Food, Agricultural and Natural Sciences

RRC Contact: Peter Moe

Project Manager: Scott Everson

FY2021 ANNUAL CAPITAL IMPROVEMENT BUDGET

University of Minnesota

Annual Capital Improvement Budget Definitions by Funding Source

Local Funds

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Grants / Gifts

Grant and gift funds are provided to the University to support specific construction projects.

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State Debt

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U of M Debt

These funds come from both the sale of bonds issued by the University as well as commercial paper and internal loans. The source of the debt service payment varies by project.

UNIVERSITY OF MINNESOTA

Annual Capital Improvement Budget

Project Funding Report

UNIVERSITY OF MINNESOTA

Funding Report

Academic Affairs

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>College of Biological Sciences</i>									
3511	New Facility	Microbial Cell Production Facility - Design	\$3,000	\$0	\$0	\$0	\$0	\$3,000	
<i>College of Food, Agricultural & Natural Resource Sciences</i>									
3519	Site Improvements	Arboretum Contribution to Regional Bike Trail	\$1,700	\$1,700	\$0	\$0	\$0	\$0	
<i>College of Science & Engineering</i>									
3499	Fraser Hall	Chemistry Undergraduate Teaching Laboratory Facility - Design	\$1,500	\$1,500	\$0	\$0	\$0	\$0	
3502	Lind Hall	Lind Hall Renovation - Design	\$2,000	\$0	\$2,000	\$0	\$0	\$0	
<i>Office of Student Affairs</i>									
3497	Campuswide	R&R - Student Affairs	\$1,250	\$1,250	\$0	\$0	\$0	\$0	
			\$9,450	\$4,450	\$2,000	\$0	\$0	\$3,000	

UNIVERSITY OF MINNESOTA

Funding Report

Health Sciences

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>Office of Clinical Affairs</i>									
3512	Molecular and Cellular Therapeutics	MCT Clean Room Conversion	\$1,500	\$1,500	\$0	\$0	\$0	\$0	
			\$1,500	\$1,500	\$0	\$0	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Intercollegiate Athletics

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>Intercollegiate Athletics</i>									
3488	Campuswide	R&R - Athletics	\$1,500	\$1,500	\$0	\$0	\$0	\$0	
			\$1,500	\$1,500	\$0	\$0	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Chancellor, Crookston

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>UM Crookston</i>									
3487	Campuswide	R&R - UMC Campus	\$319	\$319	\$0	\$0	\$0	\$0	
			\$319	\$319	\$0	\$0	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Chancellor, Duluth

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>UM Duluth</i>									
3484	Campuswide	R&R - UMD Campus	\$4,000	\$4,000	\$0	\$0	\$0	\$0	
3485	Campuswide	R&R - UMD Student Life	\$2,550	\$2,550	\$0	\$0	\$0	\$0	
			\$6,550	\$6,550	\$0	\$0	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Chancellor, Morris

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>UM Morris</i>									
3486	Campuswide	R&R - UMM Campus	\$194	\$194	\$0	\$0	\$0	\$0	
			\$194	\$194	\$0	\$0	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

University Services

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>Facilities Management</i>									
3493	Campuswide	R&R - Twin Cities Campus	\$11,315	\$10,315	\$0	\$1,000	\$0	\$0	
3494	Campuswide	R&R - Utility Infrastructure	\$8,273	\$8,273	\$0	\$0	\$0	\$0	
3495	Campuswide	R&R - Energy Conservation	\$3,900	\$1,900	\$0	\$2,000	\$0	\$0	
3498	Campuswide	R&R - Security Infrastructure	\$675	\$675	\$0	\$0	\$0	\$0	
<i>Housing & Residential Life</i>									
3490	Campuswide	R&R - Housing & Residential Life	\$7,720	\$7,720	\$0	\$0	\$0	\$0	
<i>Parking & Transportation Services</i>									
3491	Campuswide	R&R - Parking Infrastructure	\$1,950	\$1,950	\$0	\$0	\$0	\$0	
3492	Campuswide	R&R - Transportation Infrastructure	\$750	\$750	\$0	\$0	\$0	\$0	
			\$34,583	\$31,583	\$0	\$3,000	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Report Summary

Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt
\$54,096	\$46,096	\$2,000	\$3,000	\$0	\$3,000

FY2021 ANNUAL CAPITAL IMPROVEMENT BUDGET

University of Minnesota

Annual Capital Improvement Budget Definitions by Funding Source

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UNIVERSITY OF MINNESOTA

Annual Capital Improvement Budget

Potential Additions

UNIVERSITY OF MINNESOTA

Potential Additions

File	Campus	Facility	Project Title	RRC
3514	Arboreteum	New Facility	Arboretum - Farm and Garden Education Center	College of Food, Agricultural & Natural Resource Sciences
3513	UMD	School of Medicine	UMD School of Medicine Classroom Upgrades	Medical School
3515	UMR	Campuswide	UMR Campus Development	UM Rochester
3509	UMTC	Carlson School of Management	CSOM Building Revitalization	Carlson School of Management
3517	UMTC	New Facility	Microbial Cell Production Facility	College of Biological Sciences
3503	UMTC	Main Energy Plant	Main Energy Plant Chilled Water Plant	Facilities Management
3508	UMTC	3M Arena at Mariucci and Ridder Arena	Ice Refrigerant Replacement	Intercollegiate Athletics
3507	UMTC	Phillips-Wangenstein Building	PWB 1 - Renovation of Clinic Space to Office Space	Medical School
3506	UMTC	Phillips-Wangenstein Building	PWB 2 - Physical Therapy Classroom Renovation	Medical School
3505	UMTC	Dwan Variety Res Ctr/Masonic Cancer Research Ctr	Dwan 2 - Renovation to Open Labs	Medical School
3504	UMTC	Moos Health Sciences Tower, Malcolm	Moos 4 - Upgrades to Research Labs	Medical School
3518	UMTC	Masonic Institute for the Developing Brain	Parking Addition	Parking & Transportation Services
3500	UMTC	New Facility	Library Collections Off-Site Storage: Phase I	University Libraries

FY 2021 Capital Improvement Budget

Joan T.A. Gabel, President

Brian Burnett, Senior Vice President for Finance and Operations

Michael Berthelsen, Vice President, University Services

Finance & Operations

June 11, 2020

SENIOR VICE PRESIDENT FOR FINANCE AND OPERATIONS

World Class Services for a World Class University



University Services

Stewardship • Integrity
Respect • Innovation • Safety

Annual Capital Improvement Budget

- Year 1 of the Six-Year Capital Plan
- Includes individual projects over \$1,000,000
- Projects need to have a completed predesign
- Projects must be fully funded
- Approved projects move into design and/or construction



FY 2021 Capital Budget: \$54.1 million

(dollars in millions)

Category	State	U of MN	Total
State Capital Request: HEAPR	\$0.0	\$0.0	\$0.0
State Capital Request: Projects	\$0.0	\$0.0	\$0.0
University Funded Projects	\$0.0	\$54.1	\$54.1
Total FY2021 Capital Improvement Budget	\$0.0	\$54.1	\$54.1

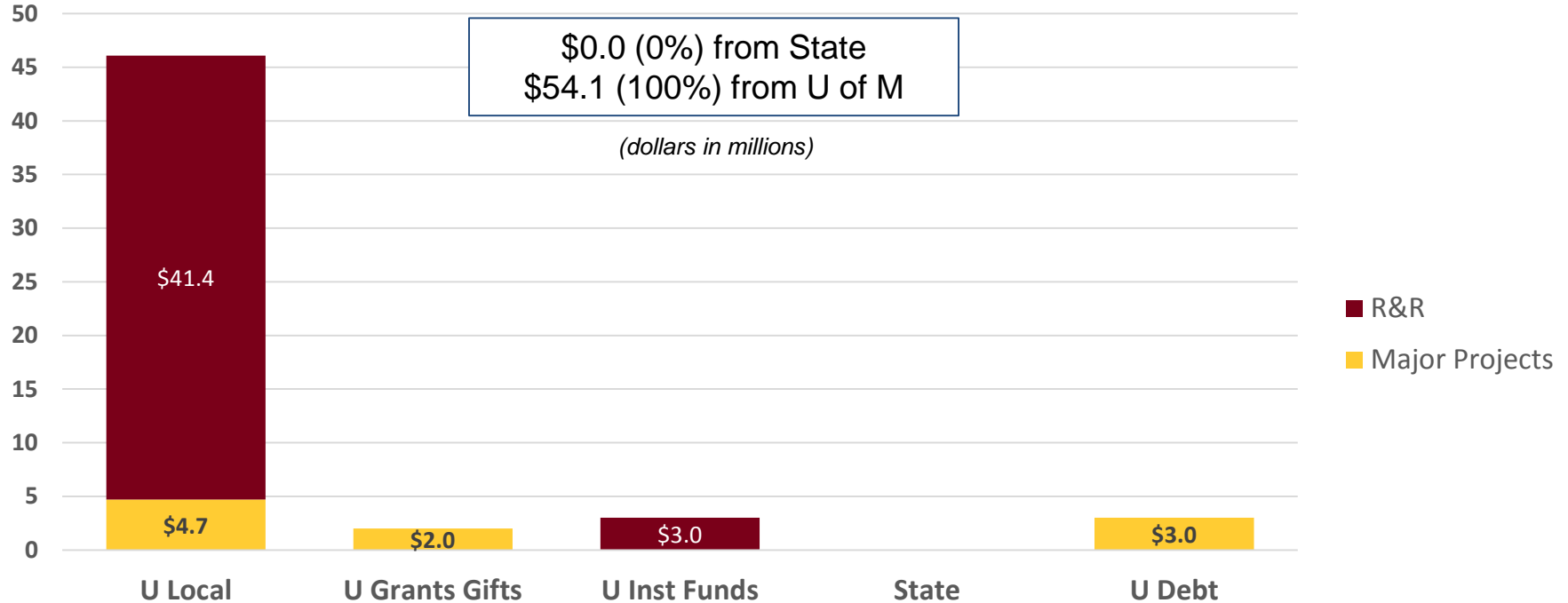


Changes Since May

May Capital Budget Total		\$423,596,000
	HEAPR	(200,000,000)
Reductions (due to the outcome of 2020 legislative session)	Child Development Replacement	(40,700,000)
	UMD A. B. Anderson Hall Capital Renewal	(6,600,000)
	Chemistry Undergraduate Teaching	(96,900,000)
	Clinical Research Facility – Design	(27,000,000)
	Arboretum Contribution to Regional Bike Trail	1,700,000
June Capital Budget Total		\$54,096,000



FY 2021 Capital Budget: \$54.1 million



Potential Additions

Location	Facility	Project
Arboretum	New Facility	Farm and Garden Education Center
UMD	School of Medicine	UMD School of Medicine Classroom Upgrades
UMR	Campuswide	UMR Campus Development
UMTC	Carlson School of Management	Building Revitalization
UMTC	New Facility	Microbial Cell Production Facility
UMTC	Main Energy Plant	Chilled Water Plant
UMTC	3M Arena at Mariucci and Ridder Arena	Ice Refrigerant Replacement
UMTC	Phillips-Wangensteen Building	PWB 1 – Renovation of Clinic to Office
UMTC	Phillips-Wangensteen Building	PWB 2 – Physical Therapy Classroom Renovation
UMTC	Dwan Variety/Masonic Cancer Res. Ctr.	Dwan 2 – Renovation to Open Labs
UMTC	Moos Health Sciences Tower	Moos 4 – Upgrades to Research Labs
UMTC	Masonic Inst. For the Developing Brain	Parking Addition
UMTC	New Facility	Library Collections Off-Site Storage – Phase 1





UNIVERSITY OF MINNESOTA

Driven to Discover[®]

Crookston Duluth Morris Rochester Twin Cities

The University of Minnesota is an equal opportunity educator and employer.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

June 11, 2020

AGENDA ITEM: President’s Recommended FY 2021 Annual Operating Budget

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

PRESENTERS:

President Joan T.A. Gabel
Brian Burnett, Senior Vice President
Julie Tonneson, Associate Vice President and Budget Director

PURPOSE & KEY POINTS

The purpose of this item is to act on the President’s Recommended FY 2021 Annual Operating Budget.

The recommended budget remains unchanged from that submitted for review in May with two exceptions (noted below). Neither change results in changes to the revenues and expenditures in the COVID-19 Adjusted Budget (CAB) for FY 2021 as presented in May:

1. Technical corrections impacting four fees on Attachment 7 (Course and Class Fees), which has been updated as follows:
 - Morris campus
 - International English Language Program - from \$3,162 to \$1,606 - restructured to eliminate travel for fall.
 - Music Technology Fee - \$100 - new fee for a new course consistent with all other existing fees in this category.
 - Carlson School of Management, Twin Cities campus
 - IBUS 6403: Ghana Grows: Cocoa and More - from \$2,600 to \$2,800 - still below FY 20 rate of \$2,900; rate adjusted for more current info on accommodations in Ghana (pass-through).
 - MIMBA - Medical Industry MBA program Year 1 - Tongji University, China - from \$13,374.46 (FY20 rate) to \$13,850 - rate determined through agreement with partner school in China in Chinese currency (RMB), proposed rate includes estimated conversion to USD (pass-through).
2. Technical correction to an allocation on Attachment 11 (Fund Forecast: Centrally Distributed and Attributed Funds), which has been updated as follows:
 - The support unit pools allocation to the Office of the Vice President for Research was missing an adjustment, so was incorrectly stated in the May 7 version of the docket materials. The corrected attachment now matches the remaining materials in the budget as originally intended. This correction had no impact on any other parts of the budget.

As a result of the recent and changing environment necessitated by responding to the COVID-19 pandemic, the operating budget for FY 2021 is presented in a tiered approach. Approval is sought for the COVID-19 Adjusted Budget (CAB) and included attachments. Contingency plans have been developed and discussed, and will be updated over the coming months in response to changes in revenue and expenditure estimates for the year. As needed, amendments to the operating budget may be presented for Board action in the future if the financial challenge necessitates changes. Proposing the operating budget in this way allows University operations to move forward in the context of uncertainty, while planning for multiple outcomes.

COVID-19 Adjusted Budget (CAB)

The CAB is presented as a fully balanced, all-funds budget incorporating impacts of the pandemic up to this point and estimating further impacts into fall; it is not an “operations as usual” budget. It provides a reasonable, but by no means definite, base level of operations from which contingency plans can be shaped. It incorporates investment and reallocation plans, and revenue and expenditure estimates, shaped both by annual changes in factors not directly associated with the pandemic (fringe benefit costs, collective bargaining agreements, inflation on things like library materials and research/lab equipment, and so forth) plus the following pandemic-related assumptions:

1. Extended reduced operations status through a portion of the summer.
2. Summer session offered online only.
3. Ramping into fall semester for “regular” operations/opening under physical distancing guidelines.
4. Slight total enrollment decreases in 12 colleges/campuses – characteristics regarding student levels, resident vs. nonresident mix, transfer rates, retention rates, etc. have been estimated by unit, and therefore vary.
5. University housing and dining facilities open, but with reduced occupancy compared to most recent years.

The CAB recommendation incorporates decisions and proposals to deploy balances where possible to address revenue shortfalls corresponding to the assumptions above, and to reduce spending and support students through this difficult time. Specifically (and explained in more detail throughout the budget document), the proposed CAB includes:

- Approval of only a limited number of high priority investments.
- The tuition freeze approved by the Board on April 21, 2020.
- A freeze on merit pay increases across the system (collective bargaining agreements implemented as approved and increases for faculty and continuous appointment promotions will proceed per University policy).
- Reallocations and budget reductions required across all units: roughly a 1 percent requirement in total on state and tuition funded activities; varies widely by unit and source for all other revenues.
- Spending down of balances in units as a bridge to future revenue recovery and growth opportunities.

To highlight the proposed incremental changes for balancing the primary discretionary funds of the institution under these assumptions for the CAB, the FY 2021 Budget Framework is as follows:

University of Minnesota
FY21 Recurring CAB Framework

Incremental Resources:	Proposed
Increased State Appropriations	\$1,700,000
FY20 State Appropriation Increase Applied to FY21	\$8,000,000
Tuition Revenue	(\$1,300,000)
CSE Surcharge Phase-In	\$3,000,000
Unit Reallocations	\$19,900,000
One-Time Unit Balances to Bridge	\$1,200,000
Unit Revenue Increases	<u>\$6,700,000</u>
 Total Incremental Resources	 \$39,200,000
Incremental Expenditures:	
Compensation and Benefits	\$12,600,000
Institutionally Managed Student Financial Aid	\$100,000
Core Operations and Services	\$15,700,000
Student Serv. Instructional and Financial Support	\$300,000
Program Enhancement and Compliance	\$5,100,000
Facilities and Technology Infrastructure	<u>\$4,800,000</u>
 Total Incremental Expenditures	 \$38,600,000
 Balance	 \$600,000

The resource and investment plans outlined above are included in the all-funds budget for FY 2021 for action. As indicated on Attachment 1 of the budget materials, the all current funds CAB plan for FY 2021 – which includes state appropriations, tuition and all other revenue sources (such as gifts, indirect cost recovery, sales and fees, sponsored grants, and so forth) – proposes total estimated revenues (less transfers-out) of \$3,981,486,985 and expenditures of \$3,990,660,299. Total balances are projected to decrease roughly \$9 million between FY 2020 and FY 2021.

Contingency 1 and Contingency 2

If the operating conditions for the University are such that activities cannot resume until later into the fall (Contingency Plan 1 assumptions) or even later, in January (Contingency Plan 2 assumptions), then the approved CAB would be adjusted to incorporate actions envisioned in the plans as discussed in May. The primary driving assumptions for the two plans revolve around:

- When in-person vs. on-line instruction resumes.
- When employees return to campus.
- When research activities return to normal levels.
- When residence halls and student dining can return to operation.
- When events and other “gathering” activities can resume and at what level.

Contingency Plan 1 was based on a projected financial challenge of roughly \$125 million over and above what is addressed in the CAB; Contingency Plan 2 was based on a projected financial challenge of roughly \$147 million over and above Contingency Plan 2. Proposed responses to increased financial challenges at those levels are detailed in the budget materials and include the general categories of:

- “Natural” spending reductions that will result from reduced operations.

- Increased reallocation/budget reduction targets for all units – state and tuition funds.
- Increased reallocation/budget reduction targets for all revenue generating activities.
- Use of unit level balances/reserves to bridge to future revenue recovery and growth.
- Use of University balances/reserves to bridge to future revenue recovery and growth.

Unit-level planning under the two contingency scenarios is underway and will result in refined and specific budget options in the coming months. With the benefit of time and thoughtful analysis, the University will be able to focus additional cuts strategically on areas and activities that are, in light of present circumstances, not sustainable. Further, priorities will be solidified and decisions will be made on where the University's current and prospective impact is greatest to ensure that those areas have the resources necessary to excel. A steady, paced approach to developing and implementing a budget will support these efforts.

BACKGROUND INFORMATION

The Board previously discussed this topic at the following meetings:

- May 7, 2020: *President's Recommended FY 2021 Annual Operating Budget*, Finance & Operations
- April 21, 2020: *Operating Budget Assumptions and Options*, Board of Regents Special Meeting
- April 7, 2020: *COVID-19 Pandemic: Early Estimates of Financial Impact on the University*, Board of Regents Special Meeting
- March 2020: *FY 2021 Annual Operating Budget Framework*, Finance & Operations
- February, 2020: *FY 2021 Annual Operating Budget Framework*, Finance & Operations
- October 2019: *FY 2021 Budget Variables and Levers*, Finance & Operations

PRESIDENT'S RECOMMENDATION

The President recommends approval of the resolution related to the Fiscal Year 2021 Annual Operating Budget.



REGENTS OF THE UNIVERSITY OF MINNESOTA

RESOLUTION RELATED TO

The Fiscal Year 2021 Annual Operating Budget

WHEREAS, the University of Minnesota (University) as the state's public, land grant university is charged with the responsibility to pursue knowledge and help apply that knowledge through research and discovery, teaching and learning, and outreach and public service; and

WHEREAS, the State of Minnesota, through its legislative and executive branches, has appropriated \$695,813,000 in state general fund monies for fiscal year 2021 to the University (an increase over fiscal year 2020 of \$1,740,000); and

WHEREAS, the Board of Regents approved a tuition freeze (with three exceptions) for fiscal year 2021 at the April 21, 2020 meeting; and

WHEREAS, the University is facing significant financial challenges related to the COVID-19 pandemic, requiring a broad range of decisions impacting operations; and

WHEREAS, the University is committed to achieving standards of national and international excellence while maintaining efficient and effective services and processes, even in the face of a continuously changing operating environment; and

WHEREAS, contingency planning is necessary in the current environment and the administration will submit detailed modifications to the Fiscal Year 2021 COVID-19 Adjusted Budget for Board of Regents review and action should that be deemed necessary in the future months.

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents hereby approves the University of Minnesota Fiscal Year 2021 COVID-19 Adjusted Budget as follows:

The Fiscal Year 2021 COVID-19 Adjusted Budget approved by the Board of Regents includes the following attachments from the President's Recommended FY 2021 Annual Operating Budget materials:

Attachment 1	Resource and Expenditure Budget Plan (University Fiscal Page)
Attachment 5	University of Minnesota 2020-21 Tuition Plan: Tuition Rates
Attachment 7	University of Minnesota 2020-21 Tuition Plan: Course/Class Fees - REVISED
Attachment 8	University of Minnesota 2020-21 Tuition Plan: Miscellaneous Fees

Attachment 9	University of Minnesota 2020-21 Tuition Plan: Academic Fees
Attachment 10	University of Minnesota 2020-21 Student Services Fees
Attachment 11	Fund Forecast - Centrally Distributed and Attributed Funds - REVISED

University of Minnesota

President's Fiscal Year 2021 Annual Operating Budget:
COVID-19 Adjusted Operating Budget
and Proposed Framework for Contingency Plans
(updated for Action – June 2020)

For Action by the Board of Regents
June 11-12, 2020

President’s Recommended Fiscal Year 2021 Annual Operating Budget

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I. Executive Summary

U.S. higher education has been forced to implement significant changes to everyday operations due to the world-wide COVID-19 pandemic. Every aspect of the University's mission has been impacted by disruptions in the way instruction, research and outreach are accomplished. What remains the most challenging aspect of this situation are the unknowns, including significant uncertainty around the budget. The University's ability to estimate a precise financial impact is seriously hampered by lack of knowledge on the timing and duration of the pandemic's life cycle, its potential resurgence, how soon people will reengage after it passes, and decisions by elected officials. Plans for the next year must be agile, allowing for opportunities to adjust course as circumstances change.

As a result, the President's Recommended FY21 Annual Operating Budget described throughout this document is submitted in three parts; the combined total of which is recommended for approval.

1. A COVID-19 Adjusted Budget (CAB) - in detail for the fiscal year
2. Contingency Plan 1 – Framework Direction
3. Contingency Plan 2 – Framework Direction

The COVID-19 Adjusted Budget (CAB)

For a variety of reasons, it is very helpful to create a fully-balanced and detailed CAB plan, incorporating thoughtful assumptions.

First, it offers a structured and legitimate way to move through phases of complete budget planning on the part of decision makers. The extreme level of uncertainty in when and how University operations will return to any level of normalcy requires multiple planning scenarios, and that can best be accomplished by defining a reasonable, but by no means definite, level of operations from which variant plans can be shaped. That is what this first part of this budget represents. It is a fully balanced, all-funds budget incorporating investment and reallocation plans, and revenue and expenditure estimates. It is informed both by what has taken place this spring and one version of what could take place during the 2020-2021 academic year.

Second, as a means to ensure sound financial planning and decision making, each year university units are required to enter operating budget plans into the financial system. This is not only a management or operational requirement; it is also technically required to enable expenditure transactions to process in the financial system in the new fiscal year. Entry is required at the most detailed organizational level, so multiple decisions and plans are made at the campus/collegiate/support unit level that must transition down to departmental implementation. As a result, the administration is recommending this fully balanced CAB, which if approved by the Board, will be implemented in the financial system prior to July 1, 2020. Contingency plans will not be implemented in the system by July 1 -- the CAB will be the starting point.

Finally, information on which to build a firm FY21 budget recommendation, with a high level of confidence, will not improve before the end of June. New information will surface as the situation changes daily, but it will likely come with additional uncertainties as to the next step on the road to our new environment. In fact, "normal" will likely look different next spring than what faculty, staff, and students have experienced over the past fifty years or more. As President Gabel has indicated on numerous occasions this spring, the University is very willing to change course and pivot to new

decisions as information changes, but that first requires the University set a course. ***A budget is merely a plan*** – a well-conceived plan based on sound judgments and priorities, but it can be changed. The contingency plans included in this overall recommendation represent the administration’s thinking at this point in time on what could be changes to the CAB plan. A well-constructed CAB provides an anchor from which to make changes.

The primary assumptions for the FY21 CAB, driven by changing conditions under the world-wide COVID-19 pandemic, include:

- Extended reduced operations status through a portion of the summer
- Summer session offered on-line only
- Ramping into Fall semester for “regular” operations/opening under physical distancing guidelines
- Slight total enrollment decreases in 12 colleges/campuses – characteristics regarding student levels, resident vs. nonresident mix, transfer rates, retention rates, etc. have been estimated by unit, and therefore vary.
- University housing and dining facilities open, but with reduced occupancy compared to most recent years

Responding to the new financial challenges under these assumptions is not the whole budget story for FY21, however. In early April, the administration presented estimates of the projected impact the pandemic would have on the finances of the University. At that time, there was no attempt to distribute the impact between fiscal years. In the intervening weeks, this analysis has been completed to present the projected impact isolated to FY21 (the FY20 impact is described in a later section). Under the CAB scenario, the estimated loss of revenue due to the pandemic totals \$53 million (\$68 million when factoring in potential changes in internal sales and private practice funds). This impact, combined with the need for continued investment in priority needs – even during these challenging times – and regular, annually changing expenditure obligations for things like debt service, utilities, leases, inflation on supplies and library materials, fringe benefit cost increases, and so forth, creates the full budget challenge for FY21. The full challenge of pandemic impacts plus normal investment and cost pressures is addressed in the CAB scenario through many actions described throughout this document.

Based on the assumptions outlined above and the financial projections just mentioned, the FY21 CAB includes the following recommendations (explained in more detail throughout this document):

- Approval of only a limited number of high priority investments
- A tuition freeze as approved by the Board of Regents on April 21, 2020
- A freeze on merit pay increases across the system (collective bargaining agreements implemented as approved)
- Reallocations and budget reductions required across all units: roughly a 1% requirement in total on state and tuition funded activities; varies widely by unit and source for all other revenues
- Spending down of balances in units as a bridge to future revenue recovery and growth opportunities

Contingency Plans 1 and 2

Details on the timing and considerations for moving to Contingency Plan 1 or Contingency Plan 2 begin on page 36 of this document (Section VIII.). Contingency Plan 1 is represented as changes from the CAB recommendation as just described and Contingency Plan 2 is represented as changes from Contingency Plan 1- they build on each other. If the conditions outlined in the following table are met, the President would recommend an adjustment to the approved CAB to incorporate actions envisioned in these plans. In summary, the primary driving assumptions for the two plans are:

Contingency Plan 1 – “Mid-Fall Return”	Contingency Plan 2 – “January Return”
Employees largely return to campus by mid-fall semester; research phased back as appropriate through summer and fall.	Employees largely return to campus by start of spring semester; research phased back as appropriate through summer, fall and winter.
On-line instruction at start of fall semester for most students; transitioning to in-person in January for international students, mid-fall semester for all other students, incorporating physical distancing requirements as necessary.	On-line instruction for fall semester for all students; in-person instruction resumes for spring semester, incorporating physical distancing requirements as necessary.
“Reduced Operations” during periods of on-line instruction; normal operations with in-person instruction mid-fall semester. This impacts all activities involving multiple people.	“Reduced Operations” all of fall semester; normal operations begin spring semester. This impacts all activities involving multiple people.
Auxiliaries – Most residence halls, residential dining, apartments, and retail dining largely closed until mid-fall semester.	Auxiliaries – Most residence halls, residential dining, apartments, and retail dining closed until spring semester.
Sporadic return of events (ticketing/sales/parking etc.) until mid-fall semester.	Sporadic return of events (ticketing/sales/parking etc.) through fall – resuming spring semester.
Estimated Budget Challenge Total Over and Above CAB: \$124.5m	Estimated Budget Challenge Total Over and Above Contingency Plan 1: \$146.5m

Proposed responses to the increased financial challenges are detailed beginning on page 36 of this document and include the general categories of:

- “Natural” spending reductions that will result from reduced operations
- Increased reallocation/budget reduction targets for all units – state and tuition funds
- Increased reallocation/budget reduction targets for all revenue generating activities
- Use of unit level balances/reserves to bridge to future revenue recovery and growth
- Use of University balances/reserves to bridge to future revenue recovery and growth

Although this budget recommendation is focused on FY21 (July 1, 2020 through June 30, 2021), the FY20 budget has been negatively impacted by the pandemic and budget adjustments have been made in FY20 to address those impacts. It is estimated that revenues will drop by roughly \$76 million this year related to auxiliary units (primarily housing and dining), athletics, fees, and other revenue generating events and activities. This projected revenue loss will be addressed through “natural” spending reductions from reduced activities, the recently announced reductions to pay, use of unit and central level balances and reserves, and the anticipated federal stimulus funds. The

President's Recommended FY21 Annual Operating Budget does not incorporate FY20 budget shortfalls: it considers the FY20 shortfalls as non-recurring and solved during the current fiscal year. All FY21 described financial challenges are over and above the FY20 activity.

Through taking action on the President's Recommended FY21 Annual Operating Budget this year, the Board of Regents will enable the administration to implement an all-funds budget before July 1, 2020 and to move forward with a planning framework for two potential levels of modification to that budget, in total incorporating plans to address a COVID-19 impact of up to \$324 million:

- CAB COVID-19 Challenge = \$53.0 million (details down to unit level)
- Contingency 1 COVID-19 Challenge = \$124.5 million (framework structure at University level)
- Contingency 2 COVID-19 Challenge = \$146.5 million (framework structure at University level)

Summary

Approaching budget development in a tiered way as proposed in this budget plan allows University operations to move forward in the context of uncertainty while planning for multiple outcomes. Unit level planning under the two contingency scenarios is underway and will result in refined and specific budget options in the coming months. With the benefit of time and thoughtful analysis, the University will be able to strategically focus cuts on areas and activities that are, in light of present circumstances, not sustainable. Further, priorities will be solidified and decisions will be made on where the University's current and prospective impact is greatest to ensure that those areas have the resources necessary to excel. The university must and will emerge from this crisis stronger. A steady paced approach to developing and implementing a budget will support these efforts.

Sections II. through VII. of this document focus solely on the detailed components of the "CAB Plan" described in the executive summary. Section VIII. outlines in more depth recommended actions under Contingency Plan 1 and Contingency Plan 2.

II. FY21 CAB Plan – Context and Summary Framework

A. FY20 and FY21 State Appropriations

Table 1 (below) outlines the University's financial planning parameters related to state general fund appropriations for the 2020-2021 biennial budget. The appropriations from the Health Care Access Fund, and the appropriation to the Academic Health Center pursuant to Minnesota Statutes, section 297.10 remain stable at \$2.2 million and \$22.3 million respectively, and have been excluded from the table.

Table 1
University of Minnesota
2020 – 2021 Biennial Appropriations (\$ in Thousands)

	<u>FY2020</u>	<u>FY2021</u>	<u>Biennium</u>
Beginning Biennial Base Level Appropriation	\$648,636	\$648,636	\$1,297,272
Current Law State Funding Level	<u>\$669,516</u>	<u>\$671,256</u>	<u>\$1,307,322</u>
Change from Beginning Biennial Base Level	\$20,880	\$22,620	\$43,500
Change from Prior Year	\$20,880	\$1,740	
% Change from Prior Year	3.2%	0.3%	
% Increase from Biennial Base Level Funding			3.4%

The appropriation increases in the table above are to the Operations and Maintenance (O&M) appropriation only. There are no inflationary or other increases to the recurring State Special appropriations. With two exceptions (NRRI in FY18 and Health Sciences Research in FY12), there have been no increases to the long-standing state special appropriations existing at the start of this century, and in fact these have all decreased from funding levels twenty years ago.

The \$43,500,000 increase for the biennium represents the two-year increase for 2020 and 2021 combined, over the two-year base for the previous biennium. It does not represent an increase to the University's annual recurring appropriation. That increase is \$22,620,000: the annual recurring base appropriation for FY21 (the last year in the biennium) is \$22,620,000 more than the recurring appropriation for FY19. As a result, it is appropriate to think of the increased appropriation as providing resources for \$22,620,000 in cost growth and/or new investment over the two fiscal years (FY20 and FY21).

At this point, there is no information from the state of Minnesota regarding potential changes to the appropriations just described due to financial impacts of responding to COVID-19. Because the appropriation increase for FY21 is relatively low at \$1,740,000, pulling back on that increase would have little benefit to the state. If the appropriation is reduced below the FY20 level, it would add to the nonrecurring challenge the University is facing from all other COVID-19 impacts. This summer the University will be building the biennial request for the FY 2022 and FY 2023 budgets, which will incorporate any new information regarding state funding levels on a recurring basis.

The FY21 details of the biennial plan are the focus of the rest of this document. First the recommended budget will be presented under the assumptions detailed in the executive summary above and identified as the "CAB" scenario. Sections II to VII represent this version of the balanced budget that, if approved, will be implemented in the units and in the financial systems July 1, 2020. The contingency plans, as described above, are not planned for a July 1 implementation at this time. They are further detailed in section VIII below.

B. FY21 Budget Framework – Incremental Changes from Prior Year

Each biennium, the University develops a budget planning framework to project the major cost drivers and potential revenue adjustments within the primary discretionary funds in the operating budget: the state appropriation and tuition. The goal of the *annual* budget process (as a correlated

component of the biennial budget plan) is to balance institutional resources and spending decisions in these funds and to put in place plans for setting unit spending levels consistent with projected revenues for all the other sources of funding. This document outlines specific decisions and the resulting proposed budget for state appropriations and tuition (combined) and identifies the projected revenues and spending in all other funds. The combination is presented as an all funds budget in Attachment 1: Resource and Expenditure Budget Plan – University Fiscal Page.

The figures shown in Table 2 are **incremental** recurring changes in revenue and expenditures compared to the prior fiscal year.

Table 2
University of Minnesota
FY21 Recurring CAB Framework

Incremental Resources:	Proposed
Increased State Appropriations	\$1,700,000
FY20 State Appropriation Increase Applied to FY21	\$8,000,000
Tuition Revenue	(\$1,300,000)
CSE Surcharge Phase-In	\$3,000,000
Unit Reallocations	\$19,900,000
One-Time Unit Balances to Bridge	\$1,200,000
Unit Revenue Increases	<u>\$6,700,000</u>
 Total Incremental Resources	 \$39,200,000
 Incremental Expenditures:	
Compensation and Benefits	\$12,600,000
Institutionally Managed Student Financial Aid	\$100,000
Core Operations and Services	\$15,700,000
Student Serv. Instructional and Financial Support	\$300,000
Program Enhancement and Compliance	\$5,100,000
Facilities and Technology Infrastructure	<u>\$4,800,000</u>
 Total Incremental Expenditures	 \$38,600,000
 Balance	 \$600,000

As noted in Table 2, the President’s Recommended FY21 Annual Operating Budget is based on incremental resources totaling roughly \$39 million. The increase in state appropriations for FY21 provided in law is \$1.7 million. Additionally, the University’s FY20 approved budget included a provision to delay commitment of \$8 million of the appropriation increase for that year to FY21. The projected decrease in tuition revenue of \$1.3 million results from projected enrollment decreases offset by enrollment increases in various programs and rate increases for three professional program areas (detailed in Section III-B). FY21 is year two of a four-year phase in by cohort of the Board of Regents approved tuition surcharge for the College of Science and Engineering. In addition, \$27.8 million in resources is planned from an estimated \$1 million of uncommitted FY20 tuition revenue above budget, strategic use of \$1.2m in balances for bridging, repurposing existing and/or recognizing new unit level revenues of roughly \$5.7 million and planned reallocations of \$19.9 million.

Expenditures plans for FY21 include \$12.6 million for a compensation adjustment (\$10 million of increased fringe costs irrespective of any salary increase plus implementation of the approved

collective bargaining agreements), \$100,000 in required scholarship matches, and \$26 million spread across four categories of investment in academic and support units.

Further details on the resource changes and highlights of the proposed investments are described in Section III. Note: all investments in the CAB plan can be reassessed under Contingency Plan 1 and Contingency Plan 2.

III. FY21 CAB Plan – Investment & Resource Plan Details

A. Summary – FY21 Investment Plans

As mentioned in the Executive Summary section of this document, the budget of the University cannot sit still in all areas while the pandemic response is ongoing. There are cost increases and unit/program support that must be addressed. This budget, therefore, strikes a balance between moving forward in a planned and strategic way and recognizing the realities of the changing environment; offering a strong base from which contingency plans can be implemented should that be necessary. The investments included in the recommended budget and described here represent only the highest priority initiatives that focus on maintaining operations and quality in current activities, rather than program expansion. **It is important to note that these investments are funded through a combination of new state appropriation, tuition increases in some units, other unit-generated revenue increases, and expense reductions/reallocation. Each of the unique investment items (listed in Attachment 2) should not be viewed solely as increased O&M allocation going to the unit for these purposes – they should be viewed as decisions to invest in the items through the use of increased state appropriation PLUS internal reallocation and tuition (in some units).**

Because it is the combination of unit-generated resources, plus the appropriation, that is applied to the individual spending items at the unit level – multiple resources combine to support multiple spending items. For this reason, it is not possible to align each resource with specific investments. It is possible, however, to summarize the use of resources at a high, University level. Prorating the incremental resources across incremental investments in all the units, this budget proposes to apply them as follows for FY21:

	Compensation	Tuition Rev Loss	Investments	Balance	Total
State Appropriation	\$3,200,000	\$300,000	\$6,000,000	\$200,000	\$9,700,000
Tuition Surcharge	\$0	\$0	\$3,000,000		\$3,000,000
Reallocation/Bridging	\$7,100,000	\$800,000	\$12,900,000	\$300,000	\$21,100,000
Other Revenues	\$2,300,000	\$200,000	\$4,100,000	\$100,000	\$6,700,000

Compensation

Salaries – The President’s Recommended FY21 Annual Operating Budget includes the following changes by major employee group:

	<u>FY21</u>
Faculty	0.00%
Non-faculty Academic Employees (P&A)	0.00%
Civil Service	0.00%
Labor Represented Employees	2.25%
Graduate and Undergraduate Assistants	0.00%

Information in this document related to compensation matters has been prepared as a budget recommendation for planning purposes and should not be interpreted as an attempt by the University to disregard good faith bargaining with affected employee groups or to ignore all other mandates of PELRA. For employee groups not impacted by approved labor contracts for FY21, the President’s Recommended Annual Operating Budget includes a plan for a fiscal year freeze on merit increases. Increases for faculty and continuous appointment promotions will proceed per policy.

Fringe Benefit Rates - In all but one of the categories, the fringe benefit rates charged to departments for FY21 are higher than those for FY20. An increase in health costs and MSRS retirement plan costs between FY18 and FY19 (more significant than in the recent past) combined with a slower rate of growth in some of the salary bases are driving these increases.

	<u>Actual 2018-19</u>	<u>Proposed 2019-20</u>
Academic/Police	36.0%	36.5%
Non-Academic	29.5%	31.8%
Partial Benefits (Trades, Temp Casual, Res)	8.2%	8.1%
Graduate Assistants- GA Health	16.1%	20.0%
Graduate Assistants – Tuition Benefit	\$20.50/hr	\$21.06/hr
Post Docs/Specialists – Uplan Health	24.3%	25.4%
Undergraduates/Professionals in Training	0.0%	0.0%

The breakdown of the fringe benefit rates can be found in Attachments 3 and 4.

The FY21 increased cost for salaries and fringe benefits combined, based on the above assumptions, is \$12.6 million in O&M (which includes the state O&M appropriation and tuition) and State Special funds. An additional projected cost increase of \$9.0 million for salaries paid by other non-sponsored funds (gifts, sales, fees, federal appropriations, etc.), and \$3.7 million for salaries paid by sponsored grant funds will be paid for through increases in those revenue sources or spending reductions in those funds.

Institutionally Managed Student Financial Aid – For FY21, the President is recommending increased recurring investment in student aid in one area: \$85,000 to meet the matching obligations associated with the President’s Scholarship Match Program. Changes to federal Pell grants and the Minnesota State Grant program are explained in section III-B.

Four Categories of Investment in Academic and Support Unit Activities (Attachment 2)

1. Investment in Core Operations and Services - \$15.7 million -

Across all units, the most significant investment in this category is once again general support for the core operations of specific campuses and colleges (\$11.9 million). In these select units, increased resources will be directed to filling in for tuition revenue falling short of budgeted amounts in FY20 (\$8.6 million of the \$11.9 million) and funding cost growth beyond what is required to address the annual compensation and cost pool increases for FY21. Funds will be used to help address general faculty and staff needs, student programming and services, research support more.

In addition to general budget support, several units are in situations of “structural imbalance” in which revenues, often from tuition and sometimes from other external sources, have decreased over the last several years, or key expenses are growing significantly. In these cases, further reallocation or increased revenues (O&M or other) are needed to replace lost revenue or cover costs, rather than fund opportunities for growth, which is the general goal for academic investment. Attachment 2 includes itemized support for the Veterinary Medical Center, the Cancer Center Clinical Trials Office, and the School of Public Health within this investment category – these are increases over and above what is necessary to cover FY20 cost increases that would otherwise lead to growth in the imbalances. Addressing the structural imbalances of several other units is embedded within the \$11.9 million described in the previous paragraph because it is in the form of helping to address FY21 cost increases, thereby holding down the growth in the imbalances.

Finally, this category of investment also includes targeted investments in areas facing significant cost increases from market pressures, external vendors or due to service or compliance requirements. Significant examples include:

- Library collections/subscription cost increases
- Compensation costs over and above University increases for “trades” employees
- Compensation costs for labor represented employees in select units (impact of steps)
- Year 3 of a three-year board-approved plan to provide recurring support to the Law School
- Cost increases associated with implementing the “Bold Plan” for the Rochester Campus

2. Enhanced Student Services, Instructional and Financial Support - \$285,000

This category of investment includes direct financial support to a targeted group of students (graduate student funding packages in CLA), an increase in the number of Undergraduate Research Opportunity Program grants that can be awarded to students, and programmatic initiative funds for a student wellness program in the College of Veterinary Medicine.

3. Program Enhancement and Compliance - \$5.1 million

The most significant investment in this category is an estimated \$3 million of new tuition surcharge revenue for the College of Science and Engineering. As part of the approved four-year phase in of the surcharge, funds are to be directed toward scholarships and faculty and facility enhancements necessary to meet capacity issues in program areas experiencing significant growth. A second relatively large investment in this category is roughly \$570,000 associated with a new cohort of students entering the Occupational Therapy Doctorate program (tuition revenue increase supporting program growth).

Other program enhancement investments in this category include:

- New positions for enhanced simulations/inter-professional education in the new Health Sciences Education Center
- Faculty reconstitution in the College of Education and Human Development (costs above retirements/resignations)
- Support for the Writing Across the Curriculum initiative to ensure continuation of efforts to achieve the long-term goal of infusing writing requirements into the curriculum

This category also includes investments in key compliance related requirements including:

- Additional professionally trained security personnel on the Twin Cities campus to address security concerns for students, employees and visitors

- Police officer compensation increases in compliance with the Stanton Agreement that requires adjustments based on peer comparisons
- An additional position in the Office of the General Counsel to improve timing and quality of responses to required Data Practices requests

4. Facilities and Technology Infrastructure - \$4.8 million

Within this category of investment, costs are growing for the annual contractual obligations for systems software (approximately \$1.5 million), debt service and lease costs (\$551,158), operating costs of new buildings coming on line (\$840,036), and utilities across all the campuses (\$1.9 million as an O&M cost increase).

Attachment 2 includes the complete itemized list of investments in the four categories just summarized.

Nonrecurring Items

Attachment 2 also identifies recommendations for a variety of one-time items requested during the annual budget and compact meetings held with each unit, to supplement the recurring investments just outlined. The most significant item proposed for FY21 is a proposed \$10 million allocation from Central Reserves to address shortfalls in unit budgets as a result of COVID-19. This is on top of a proposal to allocate \$25 million of Central Reserves in FY20 for this purpose. An analysis later this spring will determine the distribution of the \$25 million by unit, and continuing analysis into the fall of 2020 will determine the distribution of the \$10 million by unit.

Other significant items include: \$2.5 million for new system-wide recruitment awards for the fall 2020 entering class; \$2.25 million in bridging or year-end balance support for Crookston, Duluth and Morris; \$1 million for the cost of developing the Twin Cities campus master plan; \$781,000 to finalize leadership transitions; and \$651,000 as a bridge to permanent funding in the future for the President’s Initiative to Prevent Sexual Misconduct.

The sources of funding for these one-time investments include University level balances, the General Contingency (funded through an annual allocation from Central Reserves); balances available in the Promise Scholarship Program; and the recurring structural balance in the recommended FY21 annual operating budget.

Not identified on Attachment 2 as specific unit level investments at this point, this budget incorporates plans to allocate a portion of central reserves to address revenue shortfalls from curtailed activities as a result of the pandemic. (See Central Reserves description on page 32.) Exact allocation by unit will be determined through analysis after the start of the fiscal year.

B. Summary – FY21 Incremental Resources

State Appropriation – The recurring state appropriation increase to the University for FY21 is \$1,740,000. It is an increase to the unrestricted Operations & Maintenance (O&M) appropriation for general operations with no additional riders.

As described previously in this document, the President’s Recommended Annual Operating Budget for FY21 incorporates an \$8 million increase in available state O&M appropriation due to the

decision to hold back that amount of the FY20 increase for first commitment to recurring needs in FY21. Therefore, the incremental new state appropriation available in this budget is \$9,740,000.

Tuition - The President's Recommended FY21 Annual Operating Budget anticipates a decrease in tuition revenues to the institution (excluding the tuition surcharge) by an estimated \$1.3 million. This is primarily the result of three factors:

- a) A recommendation to hold all tuition rates (with the exception of those identified in item b below) flat – a 0% increase. In recognition of the uncertainty around how the instructional and campus life environment will be carried out in fall, the President has decided to make modifications to the overall University's budget and hold costs down for students. This would apply to all rates for MN residents, non-residents and international students and to all levels of students: undergraduates, graduate level and professional.
- b) In each annual budget, rate changes for all of the varied professional programs within the University are evaluated and presented separately, rather than falling under a standard level of increase. This practice is in recognition of their unique set of circumstances around enrollment demand, instructional costs, pricing strategies, student debt loads, earning potential of graduates, competitive ranking, and so on. As a result of the analysis related to the professional rates for FY21, the President has advanced (and the Board approved) the recommendation to hold tuition rates flat (as noted under item "a" above) with the exception of three professional program areas for which the recommended rate changes are based on strong enrollment demand, considered national ranking, and appropriate market comparisons. The proposed rate changes are for the School of Dentistry, the Medical School and three professional masters programs in the College of Science and Engineering. Attachment 5 outlines the detailed rate proposals associated with this recommendation.
- c) Finally, the tuition revenue estimate for FY21 is impacted by estimated changes in enrollment. Twelve colleges and campuses in the system are estimating slight enrollment decreases due to trends over recent years and some early indications of the uncertainty in how fall will progress. In addition, however, these reductions are somewhat offset by isolated projected revenue increases due to a change in the non-resident/resident mix of students already enrolled (College of Veterinary Medicine) or due to projected enrollment increases (Occupational Therapy doctoral program, Law School, Nursing, University of MN Rochester).

As previously described, this CAB level budget recommended for approval for FY21 is based on a set of assumptions around enrollment that may or may not hold in the current environment. The colleges and campuses have estimated what will happen under the "CAB plan" scenario in which most of our pre-pandemic plans are possible. However, in the planning process, due to the heightened level of risk in these estimates (keeping in mind that every year holds enrollment risks that the University manages through on a regular basis) units have been directed to budget for tuition reserves (setting aside a portion of their projected resources as a reserve, rather than budgeting to spend those funds on compensation, supplies, etc.). In addition, the contingency plans presented in section VIII of this document are based on enrollment reduction scenarios – so if enrollment falls below that assumed in this recommended budget, the contingency plans can be launched to address the shortfalls.

Attachment 5 (U of M Tuition Rate Plan) provides details regarding all proposed tuition rates beyond those identified in the following table.

University of Minnesota 2020-21 Undergraduate and Graduate Tuition Plan – Primary Rate Categories

				\$ Inc	\$ Inc	% Inc	% Inc
	Resident	Nonresident		Resident	Nonresident	Resident	Nonresident
Twin Cities Undergraduate	\$13,578	\$34,496		\$0	\$0	0.0%	0.0%
Duluth Undergraduate	\$12,372	\$17,654		\$0	\$0	0.0%	0.0%
Morris Undergraduate	\$12,506	\$14,586		\$0	\$0	0.0%	0.0%
Crookston Undergraduate	\$10,594	\$10,594		\$0	\$0	0.0%	0.0%
Rochester Undergraduate	\$12,372	\$12,372		\$0	\$0	0.0%	0.0%
Graduate Programs	\$18,096	\$27,996		\$0	\$0	0.0%	0.0%
Dentistry (12 mos.)	\$50,536	\$93,269		\$1,352	\$2,496	2.7%	2.7%
Law	\$44,568	\$53,592		\$0	\$0	0.0%	0.0%
Medical (First year students, 12 mos.)	\$40,191	\$57,678		\$789	\$1,131	2.0%	2.0%
Pharmacy	\$27,864	\$40,800		\$0	\$0	0.0%	0.0%
Veterinary Medicine	\$30,186	\$56,088		\$0	\$0	0.0%	0.0%
MBA Day (First year students)	\$39,000	\$49,944		\$0	\$0	0.0%	0.0%
A complete list of all tuition rates can be found in Attachment 5.							

Federal, State and University Need-Based Grant Programs

Federal Pell Grant program: The U.S. Department of Education administers the Pell Grant program for the neediest students across the U.S. Currently, the Department has announced that the maximum Pell Grant award will increase by \$150, to a maximum of \$6,345 for the 2020-21 academic year.

Minnesota State Grant Program: The Minnesota Office of Higher Education administers the State Grant Program, which awards need-based aid to low and moderate income students and families across the state. State Grant awards are available to Minnesota residents generally up to \$80,000 in annual income. Over 12,000 University of Minnesota students are assisted by this program.

Though every student has different financial circumstances, a typical University of Minnesota student qualifying for the State Grant program will see an award increase in 2020-21, up to \$272 depending on individual and family circumstances.

University Promise Scholarship Program: For FY21, the University’s Promise Scholarship Program for Minnesota resident students will continue to help ensure that the University remains

affordable for students from low and middle-income families. The number of students eligible for the program is projected to be approximately 15,000, and will be supported with \$28+ million in Promise scholarships. There is some flexibility within the current recurring Promise allocation to cover costs should the number of eligible students grow in FY21 as a result of the pandemic. If that growth is very significant, balances will be used in FY21 and the FY22 budget will include recommendations on how to address the growth on a recurring basis.

There are no proposed changes to the University's Promise program for FY21. Minnesota resident undergraduates on all campuses with adjusted gross incomes of \$120,000 or less are automatically eligible for Promise awards between \$306 and \$4,134 annually, based on family incomes and expected family contributions.

Recent Federal aid for students in response to COVID-19: At the time this budget is being submitted to the Board of Regents for review, the University is anticipating the receipt of approximately \$18 million in federal stimulus aid specifically directed for allocation to students. It is intended to help offset unanticipated costs students face as a result of the pandemic. The law and Department of Education guidelines are being analyzed to ensure compliance with the intent and stated directions. The funds will be dispersed in FY20, and potentially in FY21 in accordance with the law, but specific distributions are not yet finalized.

Change in Tuition, Fees and Room & Board FY20 vs. FY21 – Resident Undergraduate Students

In addition to base tuition, students pursuing a University education incur additional fees and charges required to attend classes on a full-time basis. The President's Recommended Annual Operating Budget for FY21 includes a freeze on tuition rates as previously described, and also includes a freeze on all student fees and room and board rates for FY21. As a result, the tables below present estimated total cost of tuition, fees and room & board for an undergraduate resident student living in a standard room in a residence hall (leased apartment in Rochester) for the 2020-21 academic year at each of the five University of Minnesota campuses – all equal to what they were for the 2019-2020 academic year.

The cost of textbooks, supplies and personal expenses (calculated components of the official "cost of attendance") are not included in these estimated totals in order to confine the estimate to costs that are within the approval responsibilities of the Board of Regents.

University of Minnesota - Crookston, Resident - Residence Hall				
	FY 20 Academic Year	FY 21 Academic Year	\$ Increase	% Increase
Tuition (13-credit band)	\$10,438	\$10,438	\$0	0.00%
Student services fee	\$533	\$527	(\$6)	(1.10%)
Campus fee	\$1,050	\$1,050	\$0	0.00%
Subtotal - Tuition & Required Fees	\$12,021	\$12,015	(\$6)	0.00%
Room & Board (double room, 19 meal plan)	\$9,036	\$ 9,036	\$0	0.00%
Grand Total - Crookston	\$21,057	\$21,051	(\$6)	0.00%

University of Minnesota - Duluth, Resident - Residence Hall				
	FY 20 Academic Year	FY 21 Academic Year	\$ Increase	% Increase
Tuition (13-credit band)	\$ 12,194	\$ 12,194	\$ -	0.00%
Student services fee	\$ 629	\$ 629	\$ -	0.00%
Campus fee	\$ 545	\$ 545	\$ -	0.00%
Other required fees*	\$ 218	\$ 218	\$ -	0.00%
Subtotal - Tuition & Required Fees	\$ 13,586	\$ 13,586	\$ -	0.00%
Room & Board (double room, unlimited meals)	\$ 8,054	\$ 8,054	\$ -	0.00%
Grand Total - Duluth	\$ 21,640	\$ 21,640	\$ -	0.00%

**Transportation Fee & Duluth Athletics/Athletic Facilities Fee*

University of Minnesota - Morris, Resident - Residence Hall				
	FY 20 Academic Year	FY 21 Academic Year	\$ Increase	% Increase
Tuition (13-credit band)	\$ 12,324	\$ 12,324	\$ -	0.00%
Student services fee	\$ 940	\$ 940	\$ -	0.00%
Campus fee	\$ 314	\$ 314	\$ -	0.00%
Subtotal - Tuition & Required Fees	\$ 13,578	\$ 13,578	\$ -	0.00%
Room & Board (double room, 19 meal plan)	\$ 8,632	\$ 8,632	\$ -	0.00%
Grand Total - Morris	\$ 22,210	\$ 22,210	\$ -	0.00%

University of Minnesota - Rochester, Resident - Apartment Building				
	FY 20 Academic Year	FY 21 Academic Year	\$ Increase	% Increase
Tuition (13-credit band)	\$ 12,194	\$ 12,194	\$ -	0.00%
Student services fee	\$ 336	\$ 336	\$ -	0.00%
Campus fee	\$ 320	\$ 320	\$ -	0.00%
Subtotal - Tuition & Required Fees	\$ 12,850	\$ 12,850	\$ -	0.00%
Room & Board (double room, self-provided meals*)	\$ 10,915	\$ 10,915	\$ -	0.00%
Grand Total - Rochester	\$ 23,765	\$ 23,765	\$ -	0.00%

**No meal plan available. UMR Student Housing offered in leased apartment building. Board calculated based on the Official USDA Food Plans: Cost of Food at Home at Four Levels, US Average, November 2018.*

University of Minnesota - Twin Cities, Undergraduate, Resident - Resident Hall				
	FY 20 Academic Year	FY 21 Academic Year	\$ Increase	% Increase
Tuition (13-credit band)	\$ 13,318	\$ 13,318	\$ -	0.00%
Student services fee	\$ 908	\$ 908	\$ -	0.00%
Collegiate fee*	\$ 584	\$ 584	\$ -	0.0%
Other required fees**	\$ 217	\$ 217	\$ -	0.00%
Subtotal - Tuition & Required Fees	\$ 15,027	\$ 15,027	\$ -	0.00%
Room & Board (double room, 14 meal plan)	\$ 10,358	\$ 10,358	\$ -	0.00%
Grand Total - Twin Cities	\$ 25,385	\$ 25,385	\$ -	0.00%

*Average of all undergraduate programs, 6+ credits - based on Fall 218 enrollment.

**MN Student Association, Capital enhancement fee, Stadium fee, and Transportation fee

Unit Level Resources – As discussed previously, each biennium the University develops a budget planning framework to project the major cost drivers and potential revenue adjustments within the primary discretionary funds in the operating budget: the state appropriation and tuition combined (referred to internally as O&M). The budget framework, then, as a tool to aid in balancing the annual budget, is almost exclusively focused on activities funded by those two sources. At different points in the process, revenue and cost estimates in the other nonsponsored funds intersect with the framework, which is evident in this “Unit Level Resources” category of the annual resource plan. In total, \$27.8 million of incremental unit level resources are contributing to balancing this overall CAB plan. Five different types of unit level resources make up that \$27.8 million total:

- 1) increases in revenue sources outside of the appropriation and tuition budget framework (indirect cost recovery, gifts, sales, etc.) - \$5.5 million
- 2) nonrecurring balances from revenues that remain unspent in one year and carry forward into the next, often as a result of delays between one employee leaving and the replacement hire, or funds reserved for an item that is subsequently decided against - \$1.2 million (note – use of nonrecurring resources for recurring expense is only allowed in rare cases where the balances are significant enough to plan on their use over a period of years or where there is an identified permanent/recurring revenue source available at a known time in the future),
- 3) cancellation of prior allocations, which occur when recurring funds were set aside centrally in a previous year for a specified purpose, but are no longer needed for that purpose and can be redirected in support of this year’s budget - \$0.2 million
- 4) tuition revenue above budget in the current year, that remained uncommitted to recurring costs and is available to address recurring needs in FY20 - \$1 million, and
- 5) expense reductions allowing previous allocations to be redirected to higher priority needs (reallocation) - \$19.9 million.

During the budgeting process, units were asked to respond to reallocation targets to help balance the state appropriation and tuition budget for FY21. Each academic and support unit was asked to develop and submit proposals to address assigned reallocation targets at .75% of their O&M/State Special and Tuition base, excluding certain spending items such as student aid, debt and utilities.

Submitted proposals outlined actions to be taken to reduce recurring expenditures and the projected impact on unit activities and service levels. Although the targets were standard, the President's Recommended Annual Operating Budget for FY21 incorporates different levels of reallocation across the units. Each unit's individual circumstances were reviewed, including specific financial issues and challenges, the tools available to address those challenges, priorities in concert with the institution, and the impact of proposed spending reductions. The budget as recommended includes unit level reallocations ranging from 0% to 8%. This level is necessary for balancing the CAB part of the overall budget recommendation. Further levels of reallocation will be necessary, and are outlined, under implementation of the two contingency plans detailed in Section VIII.

The reallocation plans for FY21 (in state appropriation and tuition funded activities), resulting from a review of all the reallocation proposals to date and the specific budget needs of each unit, total \$19.9 million and include the following:

- A. \$8.6 million reductions to administrative expenses
- B. \$8.7 million reductions to direct mission expenses
- C. \$2.6 million reductions yet to be itemized (could be administrative or mission)

\$19.9 million total for balancing the state appropriation/tuition budget framework

Across unit FY21 reallocation plans under the CAB scenario, major actions to reduce expenses include changes in personnel costs. Strategies vary from the elimination of positions, mostly through retirement or natural attrition, to the consolidation of work among fewer employees. Operating expenditures were also lowered, including reducing budgets for leases, supplies, travel, maintenance, etc. Illustrative examples of planned expense reductions in those broad categories include:

Compensation-Related Expense Reductions - Of the \$17.3 million in identified expense reductions, \$12.0 million (69.4%) is planned through a reduction in compensation costs, such as the elimination of positions or salary savings generated by restructuring and hiring at lower cost. Illustrative examples include:

- Reducing compensation costs due to phased faculty retirements in the School of Public Health.
- Eliminating a gift officer position in the College of Liberal Arts Office of Institutional Advancement due to retirement.
- Conducting a thorough review of the BIOGEN Administrative Center to save personnel costs, a unit shared with the College of Biological Sciences and Medical School.
- Reducing three positions across the University of Minnesota Extension, including an extension educator role in 4-H Youth Development.

- Realizing multiple payroll savings across the Carlson School of Management, including the replacement of retired faculty with assistant professor appointments and reducing support in the school's Marketing and Communications unit.
- Eliminating three College of Continuing & Professional Studies staffing positions throughout the year, while maintaining service levels, by monitoring unit activities and online course development.
- Implementing a combination of position eliminations, replacement savings, phased retirements, and reduced appointments across the University of Minnesota, Morris campus.
- Not filling a vacant mechanic position within Facilities Management.
- Abolishing a senior fellow position due to retirement in the Humphrey School of Public Affairs.
- Eliminating several School of Dentistry fiscal positions, including a patient account management specialist.

Operating-Related Expense Reductions - The remaining \$5.3 million (30.6%) will be realized through continued changes in investments; purchasing decisions for professional services, supplies, travel, equipment, etc.; or a combination of both compensation and operating reductions. Operational illustrative examples include:

- Consolidating campus mail delivery routes and downsizing specialty mail Auxiliary Services.
- Reducing project investments in Health Informatics and the Center for Translational Medicine through the Office of Academic Clinical Affairs.
- Lowering both non-journal digital collections and targeted journal packages commitments as well as reducing installed public computers within University Libraries.
- Lowering annual operating expenses in the Office of Planning, Space, and Real Estate.
- Decreasing College of Science & Engineering maintenance contract costs through the decommissioning of a Transmission Electron Microscope (F30 TEM).

Improving Efficiency and Effectiveness -

The University continually reviews its operations to determine ways to become more efficient and effective. As in prior years, this strategy is reflected in many unit reallocation plans and approaches.

Examples embedded within the plans for FY21 include: the Office of Information Technology negotiating large enterprise-wide software tool contracts (e.g. service management system) and improving desktop software management to reduce software costs; Intercollegiate Athletics on the TC campus identifying cost savings by hiring a certified pool operator instead of using an external contractor at the University Athletes Village. The College of Biological Sciences planning to demolish an old greenhouse, which in turn will reduce current utility costs and save future

maintenance costs. And finally, all academic units (specifically mentioned this year by colleges as such Veterinary Medicine, Science & Engineering, and the UM Rochester campus) actively managing compensation costs when filling vacant faculty positions at competitive junior levels.

Tracking and Monitoring

As in previous years, all reallocations will be recorded and tracked. At this point in the FY21 process, the approved reallocation plans are not yet fully implemented, and sometimes not yet fully communicated within the impacted units. Campuses, colleges, and support units will be asked to update their FY21 reallocation information twice before it is considered final: once during FY22 budget development process (FY21 fall and winter) to verify progress toward approved FY21 plans alongside applicable additions and changes; and second after the FY21 fiscal year ends to confirm final implementation and savings.

Reallocations in Other Non-Sponsored Funds

In addition to actions necessary to balance the state appropriation and tuition budget, units were instructed to plan for what will be necessary to balance in all other non-sponsored fund budgets. The budget management expectation for these funds is that revenues must grow to cover the associated cost increases, or actions need to be taken to reduce costs to a level equal to or less than the available resources. Therefore, as they plan for salary increases (from collective bargaining agreements) and general inflation in the other non-sponsored funds, units must make conscious decisions to cover these costs through some combination of the following:

- Estimated revenue growth
- Planned reallocations - actions taken to reduce costs in these other non-sponsored funds
- Use of balances (only in cases where balances can cover cost growth for a period of years)

Due to the financial impacts of event cancellations, closings and physical distancing requirements as a result of COVID-19, most of these revenue generating activities are in the process of making significant budget decisions. There are two phases to these decisions:

1. Closing out FY20 budgets: because revenue impacts began in March and will continue through June, all units are in the process of determining the final net impact on their budget for the fiscal year. In May and early June, the University Budget Office will be working with units to analyze revenue and expense changes from budget and determine the most appropriate source for covering any shortfalls. As previously described to the Board of Regents, these shortfalls will be considered non-recurring, and a “step approach” to solutions will be employed: looking first to capture all possible spending reductions compared to budget; moving to unit specific reserves purposely set aside for revenue risk and to unit specific balances (available unspent resources at fiscal year-end); and then finally moving to potential support through transfer of University balances (including any available federal funds for this purpose) and/or Central Reserves.
2. Planning for FY21 under the CAB assumptions implemented in this recommended budget: units have been told to plan for a phased-in return to normal operations through this summer and into fall. In each case the implications of that phased-in return to “normal” will be unique. For some, cancelling summer activities will result in a significant shortfall compared to a normal year, while for others, re-opening limited summer activities and expecting fall to ramp up to normal will result in a more moderate shortfall that will be easier to address.

The same general “step approach” will apply here in working to cover shortfalls through the fall. The added nuance to this phase, however, will be a determination of which activities will have serious shortfalls and ongoing financial challenges requiring recurring expenditure reductions, and how the expense reductions and savings should align with where the budget shortfalls occur. The magnitude of this response will grow with implementation of Contingency 1 or Contingency 2 as described in Section VIII. Attachment 1, University Fiscal Page, incorporates a drop in revenues and a drop in expenditures to reflect these anticipated actions.

C. Summary – Changes in Other Rates and Fees

Internal Sales and Auxiliaries

There are a variety of rates charged by University units that fall under the definition of Internal Sales or Auxiliary Enterprises (see Attachment 6: Definitions of Current Sponsored and Nonsponsored Funds). The proposed internal sales rates and a review of the processes used to arrive at them are built into the cyclical rate review process carried out by the Controller’s Organization. A review of the proposed auxiliary rates and fees for the upcoming year is incorporated into the annual budget development process. The all-funds Resource and Expenditure Budget Plan in this document, as displayed on Attachment 1, incorporates the proposed Internal Sales and Auxiliary rates as part of the projected revenue for FY21.

The University provides housing, dining and parking services for the convenience of its students, faculty and staff. As reflected earlier in this document, the room and board rates are included in the “change in tuition, fees and room & board” information by campus. Because the President is recommending a 0% increase in these rates for FY21, consistent with goals related to tuition rates, there is no expected rate-driven increase in revenue for these activities in the FY21 budget. These units experienced a significant revenue loss compared to budget during FY20 due to the refunds provided to students in spring term (estimated at over \$30 million), and they will experience further shortfalls in FY21 under the assumptions in this budget due to required cost increases coupled with projected decreases in revenue.

As with the other non-sponsored revenues described in the previous section, Attachment 1, University Fiscal Page, estimates a drop in revenues and expenditures/balances of roughly \$16.5 million for student housing and dining operations across all the campuses; a reduction from the pre-refund FY20 annual budget levels. The large dollar amount is primarily driven by an assumption on the Twin Cities campus that fewer students will live in University housing compared to a “normal” year (80% occupancy compared to 98-99% occupancy), even if classes are delivered in person. These units must plan to address required costs related to labor, maintenance, debt service, food and transportation, with significantly less revenue than in past years. At this point, specific actions to reduce spending are in the planning stages, and discussions about potential use of unit level and University balances to bridge to future revenue growth are being considered.

Course Fees, Fees in Lieu of Tuition and Administrative/Misc. Term Fees

Requests for new course or miscellaneous term fees, or any increases in existing fees, were reviewed through the annual budget process. However, to be consistent with the goals for tuition rates in FY21, the President is recommending no increases to any fees, with the exception of two categories explained below. Attachments 7 and 8 contain the lists of all proposed fees (most at

their FY20 level) that are recommended for approval at this time. Each of the fees meets the parameters established in Regents policy.

Course fees are supplemental to tuition for costs unique and essential to the specific course to which they apply. Administrative guidelines further outline very specific examples to aid in implementation of the policy and ensure compliance with policy intent. The most common examples of costs “unique and essential” to the specific course include transportation for field trips, items consumed during the course or produced and retained by the student as a result of course work, and special talents purchased for help in delivery of the course (e.g., art models, speakers, etc.). A course fee listed on Attachment 7 may apply to only one course, or it may be applied to multiple courses that meet the same definitions and cost structures for which the fee is proposed.

In the last several years, a new group of course fees for electronic/digital materials emerged, and these are described here because they are handled somewhat differently in terms of timing and application than the course fees included in Attachment 7. University Bookstores, the University Budget Office and Academic Support Resources partnered to develop a plan to manage access and bill students for the electronic/digital materials based on guidance from national organizations (including the National Association of College Stores, the US Department of Education, the National Association of Student Financial Aid Administrators, and others). Two categories of course fees were developed related to these materials:

1. Digital materials that cannot be otherwise accessed and are required by the instructor for participation in a course – these will be included in the course fee listing and charged just like other required course fees, falling under the general grouping of items consumed during the course or retained by the student as a result of course work.
2. Digital materials which the Bookstore makes available to students below competitive market rates, but which could be accessed by the student in ways other than through the Bookstore – these will be included in the course fee listing so students can benefit from the good pricing, ease of billing and inclusion in financial aid calculations, but they will be implemented with “opt-out” provisions, and communicated to students as optional. The Bookstore manages the opt-out process for students and coordinates with Student Finance and instructors.

Miscellaneous Term fees vary in their purpose and structure, but generally either address a benefit that is common to all students on the campus (capital enhancement fee, stadium fee) or is unique to the circumstances of the individual (testing fees, locker rentals, late payment fees, study abroad fees, etc.).

Some of the fees in these categories are “fees in lieu of tuition”, which are charged in situations where the total program cost is packaged in a way that better lends itself to a comprehensive fee structure. These are often implemented in a split manner (tuition portion and fee portion) for tax reporting purposes, but are communicated to the students as a comprehensive fee. The two primary purposes for this type of fee are the College in the Schools Program in state law and the many study programs requiring domestic and foreign travel implemented through the colleges and the Learning Abroad Center. Fees in lieu of tuition are technically implemented either as a course fee (based on registration for a course assigned this fee) or as a term fee (registered for a term-based program assigned this fee), so they are included in either Attachment 7 or 8.

The all-funds budget recommended in this document, as displayed on Attachment 1 includes an estimate of revenues derived from these fees for FY21. The two areas of exception from the freeze on fees are the following:

- 1) Cases in which there is a required change to a previous fee “structure”.
- 2) Cases in which the fee represents a pass-through of a cost to the University from an external entity, and it is known right now that cost is increasing from prior years.

Course and Miscellaneous Fee revenue falls under the “other non-sponsored revenues” category and thus is included in the projected revenue and expenditure descriptions on page 34: some estimated revenue loss from the phasing in of activities and reduced enrollment, coupled with no revenue growth to address required cost increases results in shortfalls estimated to be addressed through use of balances and/or spending reductions as reflected in Attachment 1.

Academic Fees - Campus/Collegiate Fees and Durable Goods Fees

The definition of “Academic Fees” within the Regents Policy on Tuition and Fees categorizes the purpose of these fees into two specific types:

- Campus Collegiate Fees: for “goods and services that directly benefit students but that are not part of actual classroom instruction”. Allowable goods and services include advising, career services, computer labs, special equipment, orientation activities and other goods or activities intended to enhance the student experience outside of actual classroom instruction.
- Durable Goods Fees: fees for educational materials and equipment that will be owned by, potentially owned by, or assigned to a specific student for their use during the entire term. Durable goods fees may not be charged for services, or for use of equipment owned and retained by the University, with the exception of computer or other specialized equipment assigned for a full term to a specific student.

Attachment 9 contains the list of all proposed academic fees recommended for approval at this time. These fees, including their purpose and specific levels, have been reviewed through the budget process. This budget includes no increase in any campus or collegiate fee for FY21.

The all-funds budget recommended in this document, as displayed on Attachment 1 includes the Academic fee revenue as part of the projected revenues for FY21. Academic Fee revenue falls under the “other non-sponsored revenues” category and thus is included in the projected revenue and expenditure descriptions on page 34: some estimated revenue loss from reduced enrollment, coupled with no revenue growth to address required cost increases results in shortfalls estimated to be addressed through use of balances and/or spending reductions as reflected in Attachment 1.

D. Summary - Student Services Fees

Attachment 10 outlines the recommendations to the Board regarding student service fees at all campuses for FY21. Consistent with other fees and rates for FY21, these fees, as incorporated into the ***Change in Tuition, Fees and Room & Board FY20 vs. FY21*** information displayed previously in this document, are recommended to be frozen at the FY20 levels. Student service fees are subject to campus-based processes involving a “Student Services Fee Committee,” which, by Board policy shall have at least a student majority. The Student Services Fee Committee recommends the full set of fees in this category to the University Provost for possible inclusion in the President’s Recommended Annual Operating Budget. Those recommendations were submitted prior to the President’s decision to move forward with a 0% increase on all fees, so they do not match the recommendation proposed for approval. In cases where the student process recommendations

would have enhanced services for students, those enhancements will be delayed or potential alternative resources will be investigated to take the place of the fee revenue.

At a 0% increase, fees subject to the following legislation from 2017 are therefore less than the mentioned 2% (as indicated in Attachment 10):

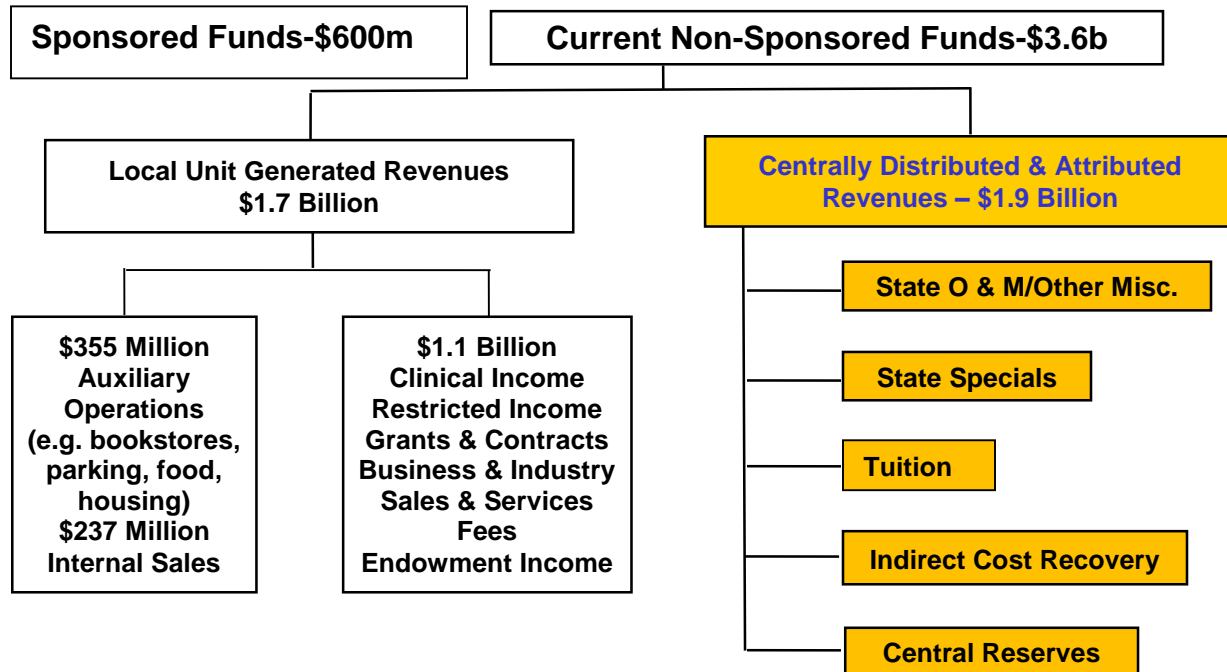
*“Subdivision 1. **Referendum.** The governing body of a public postsecondary institution must not increase mandatory student activity fees by greater than two percent relative to the previous academic year unless the increase is approved by a majority of students voting in a campus referendum. This section does not apply to fees paid by students that are directly related to academic, administrative, health services, or debt obligations, including bonds issued under sections 136F.90 to 136F.98. The Board of Regents of the University of Minnesota is requested to adopt a policy implementing this section.*

*Subd. 2. **Penalty.** If the Board of Regents of the University of Minnesota increases mandatory student activity fees by more than two percent without approval by a vote of the student body as described in subdivision 1, the commissioner of management and budget shall deduct from the university’s appropriation base an amount equal to one percent of the university’s appropriation base in the first year of the next biennium.”*

The remainder of this document is a summary of the all-funds budget for FY21.

IV. All-Funds Budget – Summary

The FY21 annual operating budget presented here for approval is an “all-funds” budget. Attachment 1, “Resource and Expenditure Budget Plan – University Fiscal Page”, provides the detailed budget for FY21 in an all-funds context (excluding sponsored funds). The chart below displays the fund structure included in this budget.



Sponsored funds are those provided to the University by a grant or a contract under Board policy and administered through Sponsored Project Administration (SPA) and Sponsored Financial Reporting (SFR). These are restricted funds budgeted on a multi-year, project-by-project basis, subject to special grant rules and reporting procedures. For FY21 the total projected sponsored funds budget (without indirect costs) is \$600 million, which represents an estimate of total expenditures to be reimbursed by sponsors during the year. It is included here for the purposes of identifying the University's total annual operating budget, but it does not require Board approval for particular projects. Although all financial factors impacting the FY21 budget have some level of uncertainty, at this point sponsored grant activity is projected to be relatively stable across the institution. Some units may see reduced opportunities for grant submissions and awards, while other areas will see a growth in opportunity.

Those funds in the Local Unit Generated category are, by University Policy, monitored and reviewed by central administration, but are automatically attributed to the units as generated and are managed within those units. The annual budgeting of revenues and expenses in this category of funds requires estimating and decision making processes at the local unit level, but not by central administration (some auxiliary rates, such as those for student room and board and parking, are reviewed and approved by central administration for inclusion in this recommended operating budget). As mentioned previously in this document, the process for estimating and decision making for FY21 activities in this group of funds is altered from previous years and the results are reflected in Attachment 1.

The primary focus of the discussion and information in this document centers on the category of funds commonly referred to as "centrally distributed and attributed" – funds requiring a decision process or formal approval by central administration and the Board of Regents on the exact amount to estimate and budget in each academic and support unit. These are the primary discretionary funds of the institution that support nearly the entire maintenance and operations of the University's core mission.

FY21 Annual Operating Budget Overview

The goal of budget planning is to advance the excellence, quality, productivity, and impact of the University of Minnesota while ensuring the University's long-term financial vitality and integrity. The University of Minnesota is essential to Minnesota in terms of human capital, innovation, economic growth and quality of life, which has been evident this spring during the pandemic. Support for the University of Minnesota remains strong, and a healthy state partnership and public support remain essential to maintaining the University's quality and ability to deliver on its mission. For FY21 all efforts undertaken to implement this recommended annual operating budget (including the potential implementation of contingency plans described in section VIII) will follow the principles to the Finance & Operations Planning Work Group President Gabel outlined earlier for the Board of Regents:

- Support the University's academic, research and outreach mission with emphasis on research that directly addresses the challenges of the COVID-19 pandemic
- Prioritize the retention of our current workforce to the greatest extent possible
- Balance strategic financial decisions with maintenance of the institutional mission
- Scrutinize all existing budgets to identify efficiencies
- Position the University for both short-term and long-term financial viability

- Make decisions with respect,, transparency, and timeliness, and with the best interests of our students, faculty and staff in mind.

In summary, the budget for total current non-sponsored funds under the CAB plan is proposed as follows:

**Current Non-sponsored Funds- Fiscal Year 2020-21 Operating Budget
(including internal sales activity)**

Beginning Balance	\$1,039,194,711
Revenue & Net Transfers	<u>\$3,384,586,985</u>
Total Net Resources	\$4,423,781,696
Expenditures	<u>\$3,390,660,299</u>
Ending Balance	\$1,033,121,397

Sponsored Funds – Fiscal Year 2020-21 Budget

Projected “Direct” Revenues/Spending	\$600,000,000
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Within the FY21 Recommended Annual Operating Budget, the primary funds supporting teaching, research and outreach are Operations and Maintenance (O&M), Tuition, State Specials, Indirect Cost Recovery (ICR) and Central Reserves – those described above as centrally distributed and attributed. These five funds total approximately 49% of the projected \$3.9 billion in externally generated revenues of the University (excluding internal sales) and represent the major focus of budget development and planning in support of the University’s core infrastructure and academic mission. The remaining 51% of the University’s budget is derived from more restricted funds including sponsored grants and contracts (15%), and fees, auxiliary enterprises, philanthropic support, education sales and services, etc. (36% combined).

**Revenue and Expenditure Plan – Centrally Distributed/Attributed Funds
(O&M, Tuition, State Specials, ICR, Central Reserves)**

		<u>Percent</u>
<i>Beginning Balance</i>	\$23,771,813	
<i>Annual Revenues</i>		
Operations & Maintenance-State	\$602,818,000	32%
Operations & Maintenance-Other*	26,100,000	1%
Tuition (after waivers)	973,279,127	52%
State Specials	92,995,000	5%
Indirect Cost Recovery	166,218,904	9%
Central Reserves	<u>20,758,000</u>	1%
<i>Total Annual Revenues</i>	\$1,882,169,031	100%
Total Net Resources	\$1,905,940,844	
Allocations to Units*	<u>\$1,891,704,116</u>	
Ending Balance	\$14,236,728	

*Includes the internal Enterprise Assessment that runs through O&M but represents a redistribution of resources.

V. All Funds Budget – Revenue Summary

A. Non-Current Funds

Since expenditures in these funds can change significantly from one year to the next, budgets for non-current funds are less predictable than the budgets for current funds. Noncurrent funds can generally be classified into one of the following fund groups:

- 1) Plant Funds – The majority of non-current expenditures are contained within the plant funds. These funds are to account for property, plant and equipment transactions of the University. The spending pattern in this area varies depending upon capital project construction timetables and available financing from external sources such as State of Minnesota general obligation bonds. Plant fund activities (capital projects over \$500,000) are summarized in the annual capital budget presented to the Board of Regents for review in May and action in June 2020.
- 2) Endowment and Similar Funds – The resources included in endowment and similar funds are a combination of gifts made to the University that contain certain stipulations as to preservation of principal, and additions to existing endowments in the form of investment income and market value fluctuations. Projections of total change in endowment and similar funds are difficult because these funds are subject to market risks as well as fluctuations in contributions.
- 3) Loan Funds – These funds are designated for student loans, which are provided by the federal government, the State of Minnesota, and private donors. The loan fund is the smallest group of non-current funds. Additions to the fund consist of interest received on outstanding loans (when applicable) and new contributions.

Noncurrent funds generally do not support the daily operations of the University and therefore are not detailed further within this document.

B. Current Funds

Current funds support the day-to-day activities of the University and can be categorized into two categories:

- 1) Non-sponsored Funds
 - Centrally Distributed and Attributed – Funds distributed or attributed by the Board of Regents, which may be further distributed to a unit or department by a central, collegiate or administrative office (largely state appropriations and tuition).
 - Self-Sustaining – Funds in which expenditures are supported by revenues earned by the internal or external sale of goods or services, fees, federal appropriations, non-sponsored grants and contracts, or by gifts from external donors.
- 2) Sponsored Funds (sponsored research/public service) – Funds provided by a grant or contract that are administered by Sponsored Projects Administration within the Office of the Vice President for Research.

For FY19, the most recent year of actual resource and expenditure information, current fund revenues for University operations totaled approximately \$4.2 billion. Attachment 6 contains the

definitions of all current non-sponsored and sponsored funds. Table 3 (below) outlines the major funding sources supporting FY19 expenditures, updated estimates of these sources for FY20 and the proposed budget for FY21.

Table 3
Current Fund Revenues
Sponsored and Non-sponsored

<u>Funding Source</u>	<u>FY2018-19 Actual Revenues</u>	<u>FY2019-20 Estimated</u>	<u>FY2020-21 Proposed Budget</u>	<u>FY20 % of Total</u>
Operations & Maintenance Approp	\$580,198,000	\$601,078,000	\$602,818,000	14.4%
Tuition (w/waivers)	1,002,903,288	1,021,588,798	1,023,279,127	24.5%
State Specials	94,224,006	92,995,000	92,995,000	2.2%
Indirect Cost Recovery	165,142,327	168,626,872	166,218,904	4.0%
Federal Appropriations	19,610,471	17,566,055	17,565,000	0.4%
Grants & Contracts/Misc.	292,456,969	302,475,626	305,500,382	7.3%
Private Practice	102,029,462	133,414,359	123,414,359	3.0%
Internal Sales	238,133,508	239,877,711	237,478,934	5.7%
Gifts & Endowment Income	287,380,398	276,417,908	273,300,000	6.6%
Auxiliary Enterprises	389,487,661	343,942,765	354,687,765	8.5%
Sales, Fees and Misc. Income	<u>398,976,556</u>	<u>386,581,514</u>	<u>374,229,514</u>	<u>9.0%</u>
Subtotal Current Nonsponsored	\$3,570,542,646	\$3,584,564,608	\$3,571,486,985	85.6%
Sponsored Funds (direct)	<u>\$592,410,077</u>	<u>\$586,000,000</u>	<u>\$600,000,000</u>	<u>14.4%</u>
Total Revenue	\$4,162,952,723	\$4,170,564,608	\$4,171,486,985	100.0%

Revenue Summary by Fund Group

The President's Recommended Annual Operating Budget is composed of current, non-sponsored funds that represent 85.6% of all current fund resources. The budget also includes estimated resources for current sponsored funds, which comprise the remaining 14.4% of annual current fund resources. What follows is a brief overview of the FY21 revenue summary for each of the current fund categories.

Centrally Distributed and Attributed Funds

Operations and Maintenance Fund

The financial plan for the Operations and Maintenance fund is based upon resources derived from state appropriations, financial services fees, the Enterprise Assessment, and transfers-in from central reserves. Resources available for FY21 are projected to be \$636,044,126. This represents an increase in resources of \$1,948,329 as compared to FY20, comprised of the following:

- The balance available from the previous year is estimated at \$508,329 more than that available in FY20: the budgeted/planned ending balance for FY20 is that much more than what occurred for year-end FY19.
- The state appropriation is increasing \$1,740,000 based on current law.
- Resources from the Enterprise Assessment (internal assessment to support the enterprise system replacement projects) are estimated to remain flat.
- The Application/Bursar/Misc. fees (that are recorded in central accounts) are expected to decrease by \$300,000 due to the elimination of a planned transfer.
- The transfer-in from Central Reserves to support the O&M operating budget is proposed to remain flat.

Tuition

Estimated tuition revenue is decreasing \$1,309,671 between FY20 and FY21. The decrease is a result of the proposed rate freezes described earlier in this document in response to uncertainties related to the instructional environment for fall, coupled with slight increases and decreases in enrollment (varies by unit), and proposed rate increases in three professional program areas. As mentioned previously, the revenue estimates for FY21 are based on:

- a 0.0% increase for the resident undergraduate rate on all campuses,
- a 0.0% increase for the nonresident undergraduate rates on all campuses,
- a 0.0% increase for graduate and most professional rates, (exceptions – increases for Dentistry, Medicine and three programs in the College of Science and Engineering) and
- the December 2018 Board approval of a \$1,000/semester tuition surcharge for undergraduate students in the College of Science and Engineering programs phased in over four years, beginning with students entering fall 2019 – so FY21 is year two of the phase in.

Attachment 11, Fund Forecast – Centrally Distributed and Attributed Funds, identifies the tuition estimates for FY20 and FY21 by college, campus and support unit. Under the institutional budget model, 100% of the tuition revenue is attributed to the units that generate it.

State Specials

Revenues from the state specials available for FY21 total \$92,995,000, which incorporates one nonrecurring appropriation of \$150,000 (year 2 of 4) for a Rare Disease Advisory Council first implemented by the Medical School in FY20. All state special appropriations remain unchanged from FY20.

The state specials by appropriation for FY21:

Agricultural Special	\$42,922,000
Health Sciences Special	9,204,000
Technology Special	1,140,000
System Special	7,181,000
Mayo/University Partnership	8,141,000
Misc. Projects	0
Cigarette Tax	22,250,000
MN Care	<u>2,157,000</u>
Total	\$92,995,000

The allocation of these appropriations by unit is included on Attachment 11: Fund Forecast - Centrally Distributed and Attributed Funds.

Indirect Cost Recovery (also often referred to as “F&A” or Facilities & Administrative Costs)

The financial plan for indirect cost recovery funds is based upon estimated resources derived from the reimbursements received from sponsors to cover “overhead/facilities and administrative” costs associated with sponsored research. For FY21, available indirect cost recovery resources are estimated to be \$166,218,904. This represents a decrease in resources available for distribution of \$2,407,968 (1.4%) compared to the estimate for the previous year – all from an estimated decrease in generated revenues based on projected grant activity. Actual indirect cost recovery revenue is

estimated at an all-time high in FY20 of roughly \$169 million. Some reduction or uncertainty of funding available from some of the federal agencies in recent years, combined with continued competition from research institutions, and the hesitation of campuses and colleges to budget based on applications as opposed to known awards (even when new opportunities related to COVID-19 are in process), is responsible for the estimated decline in revenues.

A new four-year F&A (facilities and administrative cost) rate agreement signed on March 1, 2019 assists with projecting indirect cost recovery revenue. The F&A rates are effective through FY23 or until a new rate agreement is established, and the rates vary by year (depicted in the table below). Depending on the specific grant and the sponsor involved, units apply the applicable rate from the table below (or a lesser rate agreed to with the sponsor and approved by the Vice President for Research) to the direct budgeted expenses of the grant to estimate the F&A or Indirect Cost Recovery return.

Award Type	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
On-Campus Research	53%	54%	54%	54%	55%
On-Campus Public Service (a.k.a. Other Spons. Activities)	33%	33%	33%	33%	35%
On-Campus Instruction	50%	50%	50%	50%	50%
Hormel Institute	55%	55%	55%	55%	59%
Dept. of Defense Contracts	57%	57%	57%	57%	57%
Off-Campus Projects	26%	26%	26%	26%	26%

Attachment 11, Fund Forecast – Centrally Distributed and Attributed Funds, identifies the ICR estimates for FY20 and FY21 by college, campus and support unit. Under the institutional budget model, 100% of the ICR revenue is attributed to the units that generate it.

Central Reserves

The primary revenue source for the central reserves fund is investment earnings from the temporary investment pool. The purpose of this fund is to insulate the University from potential major financial risks, including:

- Unanticipated or uninsured catastrophic events
- Temporary institutional revenue declines or expenditure gaps
- Unforeseen legal obligations and costs
- Failures in central infrastructure
- Failures of major business systems

The financial plan for FY21 central reserves is based on estimated resources derived primarily from investment earnings. Resources available for the year are projected to be \$37,403,687 which is a decrease of \$28,505,422 from FY20.

- The balance available from the previous year is estimated to be \$18,038,040 less than that available in FY20 due to a recommendation to increase planned allocations by \$25 million during FY20 (using some of the FY20 beginning balance) to support units with significant one-time budget challenges as a result of responding to COVID-19. The increased allocation will go to units experiencing significant loss of revenues (due to required refunds or decreased activity) beyond what can be accomplished by reducing expenditures. An analysis conducted in May and June will determine the recommended allocation by unit.

- Gross investment income is estimated to decrease \$10,872,382 over FY20 due to a lower estimated TIP balance (increased plan for cash available necessitated by managing through the pandemic) and a lower average yield rate (drop in returns and continued uncertainty in the markets).
- The estimate of capital losses is \$410,000 less than in FY20, which has a positive impact on available resources (estimated loss of \$62,500 compared to a loss of \$472,500 in FY20).
- The fees and operating costs associated with managing the TIP funds are estimated to increase \$5,000.
- The recurring transfer to O&M for general operations is proposed to be flat for FY21.

The financial plan for FY21 central reserves includes allocations of \$23,390,962, which is a decrease of \$18,940,460 compared to FY20. The change is due to a growth in interest earnings funding a depreciation reserve fund for the 2515 University Avenue SE LLC and decreases in one-time allocations. By line item:

- \$258,182 – growth in allocation to the 2515 University Ave. SE LLC depreciation reserve fund
- (\$15,000,000) – moving from a planned \$25,000,000 allocation of one-time funds to support units with financial challenges associated with COVID-19 in FY20 to a planned allocation of \$10,000,000 for this purpose in FY21
- (\$3,000,000) – elimination of a one-time capital contribution to RUMINCO in FY20
- (\$11,642) – estimated reduction in funds to be paid to the state of Minnesota for interest earning on unspent Legislative Citizen’s Commission on MN Resources project funds
- (\$1,187,000) – elimination of one-time allocations for student recruitment costs and the President’s Initiative to Prevent Sexual Misconduct in FY20

After allocations and transfers, the central reserves balance is projected to be \$14,012,725 at the end of FY21. Based on FY21 state appropriations, Board of Regents policy would set the desired balance at \$27,832,520. The purpose of the central reserves balance is to address immediate needs during times of financial upheavals. In the economic downturn years of FY09 – FY12, when the University’s state appropriation was reduced, the central reserves balance was accessed to aid the budget: it dropped to a range of \$10m-\$17m below the goal level defined by policy during those years. With the recommendations in this budget, the central reserves balance will drop in a similar fashion to roughly \$14m below that goal level.

Self-Sustaining Funds

Auxiliary Enterprises

The University includes a number of operations that provide goods and services predominantly to individuals in the University community and incidentally to the general public. Residence halls, food service, student unions, bookstores, parking and transit, health services and intercollegiate athletics are primary examples of these activities referred to as auxiliary enterprises.

Overall, the FY21 budget for auxiliary revenues generated by these enterprises is an estimated \$355 million which is an estimated increase of approximately \$10.7 million (3.1%) over FY20. Due to the assumptions in the CAB plan, Auxiliary operations across all campuses are estimating a budget shortfall in FY21 of approximately \$15 million (after factoring in projected “natural” expenditure reductions associated with the assumptions). The roughly \$10 million revenue growth between FY20 and FY21 is a result of significant reductions in FY20 due to refunds: projected revenue remains well below actual receipts in FY19 and FY18. Units will balance auxiliary

enterprise budgets with expense reductions, use of unit level balances, and potentially one-time allocations of University balances and Central Reserves.

Internal Service Activities

The University conducts internal service activities for the purpose of convenience, cost or control. These activities provide goods and services predominantly to University departments and incidentally to the general public. Fleet services, UMarket Services, and the Mechanical Engineering Shop are examples of internal service activities.,

Overall, the FY21 budget for revenues generated by these internal service organizations is an estimated \$237.5 million, which is an estimated decrease of approximately \$2.4 million (1%) compared to FY20. The estimated decrease is a result of reduced activity throughout summer and perhaps into fall. Units will balance internal sales organization budgets with targeted expense reductions as necessary.

Other Unrestricted and Other Restricted Non-sponsored Activity

The FY21 budget for other unrestricted and restricted non-sponsored funds combined includes estimated resources of approximately \$1.1 billion, which is a decrease of approximately \$19.3 million (1.7%) compared to FY20. As mentioned earlier in this document, due to COVID-19 many of the activities generating income in this fund group have been cancelled or severely reduced. The largest projected reduction relates to miscellaneous fees, clinical income, non-auxiliary sales and the potential of a reduced transfer into the Medical School from the private practice plan and/or UMP. Units will make up this shortfall through the use of balances to bridge to future revenue growth and expenditure reductions.

The other unrestricted fund group within this category includes resources derived from miscellaneous activity such as sales of educational goods and services, clinical income, course and campus/collegiate fees, and student payment related fees.

The other restricted fund group within this category includes numerous restricted accounts, which can be used only in accordance with the purposes established by the source. Examples of funds included in this category are grants and contracts with business and industry, gifts receipted through the University of Minnesota Foundation, endowment earnings, and restricted government appropriations. Units will balance budgets in these funds with the projected growth in revenue as well as expense reductions.

Sponsored Research

Sponsored research consists of grants and contracts administered through the Office of Sponsored Project Administration (SPA). Sponsored funds typically represent multi-year activities surrounding research projects. Estimated expenditures for a given year will vary according to the University's ability to obtain research grants as well as the timing of expenditures related to current or pending research projects. Estimated direct expenditures for FY21 are \$600,000,000.

VI. All Funds Budget – Expenditure/Allocation Summary

For FY19, the most recent year of actual resource and expenditure information, current fund expenditures for University operations totaled approximately \$3.9 billion. Attachment 6 contains the definitions of all current non-sponsored and sponsored funds. Table 4 below outlines (according to function) the current fund expenditures for FY19, updated estimates of these expenditures for FY20, and the proposed budget for FY21.

**Table 4
Current Fund Expenditures**

<u>Funding Source</u>	<u>FY2018-19 Actual Expenditures</u>	<u>FY2019-20 Estimated</u>	<u>FY2020-21 Proposed Budget</u>	<u>FY21 % of Total</u>
Instruction	\$845,091,499	\$861,377,372	\$872,151,458	21.9%
Research	346,823,435	353,507,117	358,595,906	9.0%
Public Service	194,928,273	198,684,762	200,420,782	5.0%
Academic Support	542,178,225	552,626,615	560,455,223	14.0%
Student Services	149,922,153	152,811,323	156,646,521	3.9%
Institutional Support	249,389,693	254,195,716	252,924,737	6.3%
Plant/Ops & Maintenance	317,247,060	323,360,771	326,186,155	8.2%
Scholarships/Fellowships	337,558,358	344,063,491	350,615,860	8.9%
Auxiliary Enterprises	<u>314,600,940</u>	<u>320,663,657</u>	<u>312,663,657</u>	<u>7.8%</u>
	\$3,297,739,636	\$3,361,290,824	\$3,390,660,299	85.0%
Subtotal Sponsored (direct)	\$592,410,077	\$586,000,000	\$600,000,000	15.0%
Total Expenditures	\$3,890,149,713	\$3,947,290,824	\$3,990,660,299	100.0%

Within the President’s Recommended Annual Operating Budget, non-sponsored funds represent 85.0% of all current fund expenditures. Roughly one third of the 1.1% growth in all funds expenditures (FY21 over FY20) is explained by projected increases in sponsored grant activity. The growth in just the non-sponsored fund expenditures is 0.9%, spread across all function categories with the exception of Auxiliary Enterprises and Institutional Support. Growth in expenditures, where it’s occurring, is driven by fringe benefit costs, collective bargaining agreements, facility costs (utilities, debt service, leases etc.), inflation on supplies/library materials/equipment etc. Growth in costs is offset in this CAB plan by expected spending reductions as described throughout this document.

Centrally Distributed and Attributed – Proposed Distributions

Within the context of available resources, the proposed distributions for the centrally distributed and attributed funds are as follows:

**Table 5
Fiscal Year 2020-21 Proposed Distributions
Centrally Distributed and Attributed Funds**

	<u>O&M</u>	<u>Tuition</u>	<u>State Specials</u>	<u>ICR</u>	<u>Central Reserves</u>
Balance Forward	\$194,126	\$0	\$0	\$0	\$23,577,687
Annual Revenue/ Net Transfers	<u>\$635,850,000</u>	<u>\$973,279,127</u>	<u>\$92,995,000</u>	<u>\$166,218,904</u>	<u>\$13,826,000</u>
Total Net Resources	\$636,044,126	\$973,279,127	\$92,995,000	\$166,218,904	\$37,403,687
Planned Distributions	<u>\$635,820,123</u>	<u>\$973,279,127</u>	<u>\$92,995,000</u>	<u>\$166,218,904</u>	<u>\$23,390,962</u>
Ending Balance	\$224,003	\$0	\$0	\$0	\$14,012,725

Details on specific distributions by campus, college and support unit can be found on Attachment 11, Fund Forecast – Centrally Distributed and Attributed Funds.

VII. Conclusion – FY21 Recommended Annual Operating Budget (CAB Plan)

The FY21 annual operating budget for the University of Minnesota recommended by President Gabel and senior leaders of the University represents a balanced and responsible approach to maintaining excellence at a top tier, public research University, while managing through the most significant period of uncertainty for higher education in modern times. In recognition of that uncertainty, the following two scenarios are recommended for approval as contingency plans.

VIII. Contingency 1 and Contingency 2 Plans

The CAB scenario presented in detail throughout this document should be considered a starting place or base from which contingency plans would be launched if necessary. If the physical, social and educational environment in which the University operates can move forward as described under the CAB plan assumptions, then these contingency plans will remain unimplemented. However, should the following variables fall in the ways outlined, implementation of these contingency plans will move forward.

Specific Assumptions for Contingency 1:

If by July 1, 2020 the University is faced with the following:

- Some form of continued shelter-in-place order from the State of Minnesota
- Required physical distancing extending to September or later that cannot be addressed adequately with modified instruction and housing/dining plans
- Significant enrollment yield rate declines compared to the CAB plan
- Indications that fall course registrations are significantly below “normal”

Then the following assumptions are built into the resulting Contingency 1 plan:

- Official “reduced operations” through October
- Fall semester courses on-line through December for international students and through October for most other students (some professional programming exceptions possible)
- Closure of residence halls, apartments, residential and retail dining through October
- Continued cancellation of events through October
- **Enrollment as compared to CAB plan – projected down 4.9% from fall 2019:**
 - New high school (NHS) = -4% MN (with trend at UMM); -15% reciprocity; -25% NRNR and -50% international w/o a US address
 - Transfer (NAS) = -14% for MN and reciprocity (twice recent avg.); -33% NRNR
 - Undergraduate Retention (beyond usual drops) = -5% reciprocity; -10% NRNR
 - Graduate Incoming Cohorts = -10% MN (reduced demand for professional masters programs); -5% NRNR (travel and relocation difficulties)
 - Professional Incoming Cohorts = -5% in Vet Med (regional draw); -10% in Law (NRNR reliance)

This results in a further financial challenge, over and above the CAB of \$124.5 million, estimated by revenue generating areas as follows:

1. Tuition	\$51.0 million
2. Misc. Revenues (fees, sales, ticketing etc.)	\$18.0 million
3. Clinical Income	\$7.9 million
4. Housing and Dining	\$27.6 million
5. TC Athletics	\$20.0 million

The action solutions to balancing under this scenario include:

1. Recognition of “natural” cost reductions (with reduced activity)	\$27.5 million
2. A reallocation target of 3% on state and tuition funds	\$60.0 million
3. Additional spending reductions in other funds	\$28.1 million
4. Growth in other revenues	\$3.0 million
5. Use of balances to bridge to revenue growth	\$5.9 million

Specific Assumptions for Contingency 2:

If by August, 14 2020 the University is faced with the following:

- Some form of continued shelter-in-place order from the State of Minnesota
- Required physical distancing extending to January or later that cannot be addressed adequately with modified instruction and housing/dining plans
- Significant enrollment yield rate declines compared to the Contingency 1 plan
- Fall course registrations significantly below “normal”

Then the following assumptions are built into the resulting Contingency 2 plan:

- Official “reduced operations” through December/January
- Fall semester courses on-line through December for all students (some professional programming exceptions possible)
- Closure of residence halls, apartments, residential and retail dining through fall semester, with 60% residence hall occupancy in the spring
- Continued cancellation of events through December
- **Enrollment as compared to CAB plan – projected down 10.5% from fall 2019:**
 - New high school (NHS) = -7-10% MN; -33% reciprocity; -50% NRNR and -100% international w/o a US address
 - Transfer (NAS) = -25% for MN and reciprocity (3X recent avg.); -50% NRNR
 - Undergraduate Retention (beyond usual drops) = -3% MN; -10% reciprocity; -15% NRNR
 - Graduate Incoming Cohorts = -10% MN and NRNR domestic; -50% international
 - Professional Incoming Cohorts = -10% in all classes

This results in a further financial challenge (over and above the CAB AND Contingency Plan 1) of \$146.5 million, estimated among revenue generating areas as follows:

1. Tuition	\$55.0 million
2. Misc. Revenues (fees, sales, ticketing etc.)	\$22.2 million
3. Clinical Income	\$2.0 million
4. Housing and Dining	\$22.3 million
5. TC Athletics	\$45.0 million

The action solutions to balancing under this scenario include:

1. Recognition of “natural” cost reductions (with reduced activity)	\$37.4 million
2. A reallocation target of 6% on state and tuition funds	\$60.0 million
3. Additional spending reductions in other funds	\$41.5 million
4. Growth in other revenues	\$5.0 million
5. Use of balances to bridge to revenue growth	\$2.6 million

To aid in the process of reducing spending in response to COVID-19, it may be necessary for the University to undertake a few broad, across-the-board measures. These strategies are being developed by the President’s Finance & Operations Planning Work Group and the President’s Academic & Research Planning Work Group. Decisions to move forward with one or more of these items, as well as any new tactics that may arise, will contribute to achieving the reallocations and spending reductions outlined in the contingency plans.

Those University wide measures, however, will not fully solve the financial challenges on a recurring basis. The University’s budget responsibilities are highly decentralized in recognition that the chancellors, deans, vice presidents and program directors have the best information on how to advance the University’s agenda for excellence. Therefore, the contingency plans rely heavily on these leaders to determine how best to align the highest priorities with the challenges posed by COVID-19. Activities, and therefore challenges, differ across units, so a one-size fits all approach is not the most effective way to proceed. There will be unit-specific proposals to reduce activities and spending. Reductions of the magnitude anticipated in these contingency plans signal a fundamental change in the way University work is conducted and in the program array and scope of activities engaged in up to this point. Our goal is to plan now and to employ sound analysis and judgment in making difficult decisions in order to emerge from this crisis as a stronger University.

IX. All Current Funds Operating Budget – Resolution

The docket contains the budget resolution for approval by the Board of Regents.

Attachment 1
Resource Expenditure Budget Plan - University Fiscal Page

University of Minnesota

SUMMARY: Current Nonsponsored Funds
Excluding Multi Year accounts

	Actual FY17	Actual FY18	Actual FY19	Estimated FY20	Budget Plan FY21
RESOURCES					
a Carry Forward	\$933,188,759	\$907,425,390	\$934,951,497	\$1,000,920,927	\$1,039,194,711
Current Revenue - Total Revenue by Fund					
State O&M Appropriation	\$560,011,000	\$590,248,000	\$580,198,000	\$601,078,000	\$602,818,000
Tuition	\$948,431,130	\$979,771,670	\$1,002,903,288	\$1,021,588,798	\$1,023,279,127
State Special	\$90,980,547	\$94,240,393	\$94,224,006	\$92,995,000	\$92,995,000
Sales, Fees, and Misc	\$359,345,933	\$375,815,835	\$398,976,556	\$386,581,514	\$374,229,514
Indirect Cost Recovery	\$144,075,995	\$152,873,244	\$165,142,327	\$168,626,872	\$166,218,904
Auxiliary Enterprises	\$354,701,282	\$383,210,163	\$389,487,661	\$343,942,765	\$354,687,765
Internal Sales	\$231,754,980	\$242,846,962	\$238,133,508	\$239,877,711	\$237,478,934
Private Practice	\$99,226,307	\$82,798,012	\$102,029,462	\$133,414,359	\$123,414,359
Federal Appropriations	\$10,533,209	\$17,462,750	\$19,610,471	\$17,566,055	\$17,565,000
Gifts & Endowment Income	\$258,618,319	\$258,161,868	\$287,380,398	\$276,417,908	\$273,300,000
Restricted Grants, Contracts & Misc	\$268,553,787	\$272,484,419	\$292,456,969	\$302,475,626	\$305,500,382
b Total Revenues	\$3,326,232,489	\$3,449,913,316	\$3,570,542,645	\$3,584,564,608	\$3,571,486,985
c TOTAL NET RESOURCES (a+b)	\$4,259,421,248	\$4,357,338,706	\$4,505,494,142	\$4,585,485,535	\$4,610,681,696
EXPENDITURES					
Expenditures by Function					
Instruction	\$805,036,684	\$819,724,392	\$845,091,499	\$861,377,372	\$872,151,459
Research	\$325,867,512	\$332,597,650	\$346,823,435	\$353,507,117	\$358,595,906
Public Service	\$190,004,187	\$194,204,328	\$194,928,273	\$198,684,762	\$200,420,782
Academic Support	\$500,911,532	\$527,466,571	\$542,178,225	\$552,626,615	\$560,455,223
Student Services	\$139,620,424	\$145,056,722	\$149,922,153	\$152,811,323	\$156,646,521
Institutional Support	\$277,255,840	\$250,865,257	\$249,389,693	\$254,195,716	\$252,924,737
Operations & Maintenance of Plant	\$312,089,895	\$333,842,692	\$317,247,060	\$323,360,771	\$326,186,155
Scholarships & Fellowships	\$310,354,483	\$327,815,766	\$337,558,358	\$344,063,491	\$350,615,860
Auxiliary Enterprises	\$293,984,072	\$312,530,133	\$314,600,940	\$320,663,657	\$312,663,657
Total Expenditures	\$3,155,124,629	\$3,244,103,511	\$3,297,739,635	\$3,361,290,824	\$3,390,660,299
Expenditures by Natural Classification					
Salaries	\$1,451,736,410	\$1,486,609,748	\$1,520,964,619	\$1,564,932,395	\$1,558,929,118
Fringe Benefits	\$459,876,746	\$483,009,216	\$501,953,942	\$546,444,292	\$559,293,988
Student Aid	\$318,813,252	\$333,695,443	\$344,861,103	\$352,618,450	\$359,170,819
Supplies, Services, Miscellaneous	\$389,897,940	\$393,448,161	\$408,499,703	\$384,051,799	\$391,201,799
Consulting & Professional Services	\$121,464,270	\$80,251,302	\$149,569,194	\$118,364,970	\$120,000,000
Materials for Resale	\$54,401,853	\$55,730,367	\$54,362,403	\$48,173,523	\$49,016,560
Capital Assets/Equipment	\$36,263,470	\$47,537,084	\$29,900,238	\$30,044,530	\$30,570,309
Noncapital Equipment	\$44,259,349	\$47,119,746	\$45,875,088	\$45,805,310	\$46,606,903
Rents & Leases	\$31,215,093	\$40,515,224	\$41,132,660	\$41,398,757	\$42,123,235
Repairs, Maintenance, Supplies	\$68,473,853	\$73,836,650	\$73,264,549	\$72,190,760	\$72,754,098
ICR, Subcontracts, Participant Expense	\$1,364,367	\$773,663	\$846,098	\$672,528	\$982,449
Other Expenses & Adjustments	\$14,108,078	\$38,889,260	(\$38,842,018)	\$736,528	\$0
University Assessments	\$0	(\$0)	(\$986)	\$506,477	\$0
Utilities	\$163,249,917	\$162,687,447	\$165,353,040	\$155,350,505	\$160,011,020
d Total Expenditures	\$3,155,124,629	\$3,244,103,511	\$3,297,739,635	\$3,361,290,824	\$3,390,660,299
TRANSFERS & ADJUSTMENTS					
e Transfers	(\$196,871,229)	(\$178,283,698)	(\$206,833,580)	(\$185,000,000)	(\$190,000,000)
ENDING BALANCE (c-d+e)	\$907,425,390	\$934,951,497	\$1,000,920,927	\$1,039,194,711	\$1,030,021,397

Attachment 2
FY21 Recommended Incremental Investments

	A		B
	FY21 Incremental Investment		FY21 Incremental Investment
Recurring Categories and Items			
1 <u>Facilities and Technology Infrastructure</u>		<u>Enhance Student Services/Support/Instruction etc.</u>	
2 Software Licenses/Maintenance	1,490,250	Undergraduate Research Opportunities Program	50,000
3 Utilities & New Building Operations	2,748,121	Student Wellness Program-VETMD	5,000
4 Debt Service & Leases	551,158	Graduate Student Fellowships - CLA	230,000
5 Subtotal Infratructure	<u>4,789,529</u>	Subtotal Student Services & Support	<u>285,000</u>
6			
7 <u>Core Operations and Services</u>		<u>Program Enhancement/Support/Compliance</u>	
8 Library Collections	665,000	Public Safety - Stanton Compensation Adj. (UMTC)	380,000
9 Student Access Consultants Compensation Adj.	49,733	Public Safety - Security Personnel	260,000
10 Admissions-Recruitment/Search Partner-Contract	215,000	Writing Across the Curriculum	75,000
11 Weisman Museum Support	75,000	Data Practices Compliance Officer	191,204
12 SAFE-U Notification-Contract Renewal	68,000	Faculty Reconstitution - College of Education & Human Dev.	234,505
13 Trades Compensation Differential-FM TC	342,000	College of Science & Engineering Surcharge Enhancements	3,000,000
14 Multiple Units-Labor Represented Steps Support	363,000	Simulations/Interprofessional Ed Positions	250,000
15 Law School Multi-Year Support Plan (yr. 3 of 3)	300,000	Tuition Pass Throughs (GRAD & UMD)	174,254
16 "Bold Plan" - UMR	312,193	New Cohort-OT Doctorate Program	571,002
17 Structural Imbalance-Cancer Center Clinical Trials Office	500,000	Subtotal Program Enhancement	<u>5,135,965</u>
18 Structural Imbalance Reduction - VMC	200,000		
19 Structural Imbalance Reduction - Public Health	745,764	<u>Institutionally Managed Student Aid</u>	
20 Operating Budget Support - Multiple Units	11,870,784	President's Matching Scholarship Program	85,000
21 Subtotal - General Operations	<u>15,706,474</u>	Subtotal Student Aid	<u>85,000</u>
22			
23		TOTAL Non-Compensation Investments	26,001,968
24			
25			
26			

*Recurring - funded by a combination of O&M allocation, tuition revenue and internal reallocation.

**Attachment 2
FY21 Recommended Incremental Investments**

	<u>FY21 Incremental Investment</u>		<u>FY21 Incremental Investment</u>
27			
28	Nonrecurring Items		
29			
30	<u>Facilities and Technology Infrastructure</u>	<u>Enhance Student Services/Support/Instruction etc.</u>	
31			
32	Twin Cities Campus Master Plan	1,000,000	System Mental Health Partnership
33	Enterprise Asset Management System	<u>250,000</u>	Pre-Departure Orientation-International Students
34		1,250,000	Wellness Programming - College of Veterinary Medicine
35			<u>200,000</u>
36			
37			<u>Program Enhancement/Support/Compliance</u>
38	<u>Core Operations and Services</u>		
39	Public Safety TC - Reserve for Police Officer Positions	285,000	President's Initiative-Prevent Sexual Misconduct Bridge
40	Public Safety TC - Stanton Adjustment Bridge	80,000	"I am Crookston" Marketing Campaign
41	Facilities Management - Trades Compensation Differential	263,000	
42	UMC - Year End Support	600,000	<u>776,000</u>
43	UMD - Year End Support	450,000	
44	UMM - Year End Support	1,200,000	<u>Institutionally Managed Student Aid</u>
45	Personnel Transitions	781,441	One-Time Recruitment Awards
46	Immigration Response Team	120,000	2,500,000
47	Central Reserves Support to Units - COVID-19 Impact	<u>10,000,000</u> *	
48		13,779,441	TOTAL
49			18,505,441
50	*Note: Not appearing on this list for FY21 is a companion recommendation		<u>Sources:</u>
51	to allocate \$25 million of central reserves in FY20 to support units		Central Balances
52	with significant negative financial impacts due to COVID-19.		Set Aside from Recurring FY21 Balance
53			Promise Program Balance
54			General Contingency
55			Central Reserves Allocation
56			
57			TOTAL
			18,505,441

Attachment 3
FY20 and FY21 Fringe Benefit Rates by Component

Actual 2019-20

Fringe Component	Academic/Police	Non-Academic	Partial Benefits	Post Docs with UPlan	Graduate Assistant/GA Hlth
Retirement	11.2%	5.2%			
Income Disability	0.5%				
Unemployment	0.3%	0.3%	0.3%	0.3%	
Workers Comp	0.4%	0.4%	0.4%	0.4%	
FICA	5.5%	5.5%	5.5%	5.5%	
Medicare	1.4%	1.4%	1.4%	1.4%	
Medical	14.2%	14.2%		14.2%	
Dental	0.6%	0.6%		0.6%	
Life	0.1%	0.1%		0.1%	
Tuition	0.4%	0.4%		0.4%	
GA Health					15.5%
Internal Admin	0.6%	0.6%	0.6%	0.6%	0.6%
Vacation	0.8%	0.8%		0.8%	
Fringe Rate	36.0%	29.5%	8.2%	24.3%	16.1%

Proposed 2020-21

Fringe Component	Academic/Police	Non-Academic	Partial Benefits	Post Docs with UPlan	Graduate Assistant/GA Hlth
Retirement	10.9%	6.4%			
Income Disability	0.2%				
Unemployment	0.1%	0.1%	0.1%	0.1%	
Workers Comp	0.1%	0.1%	0.1%	0.1%	
FICA	6.2%	6.2%	6.2%	6.2%	
Medicare	1.4%	1.4%	1.4%	1.4%	
Medical	16.0%	16.0%		16.0%	
Dental	0.7%	0.7%		0.7%	
Life	0.1%	0.1%		0.1%	
Tuition	0.1%	0.1%		0.1%	
GA Health					19.7%
Internal Admin	0.2%	0.2%	0.2%	0.2%	0.2%
Vacation	0.5%	0.5%		0.5%	
Fringe Rate	36.5%	31.8%	8.0%	25.4%	19.9%

** Graduate Student tuition remission is a flat charge per hour that a student works

Attachment 4
Graduate and Professional Student Fringe Table
Tuition Fringe as Dollar per Hour Charge
Fiscal Year 2020-21

	Tuition per hour	Health	FICA & Other Charges*	Total of % Fringe
Summer Term Only				
9571 Summer Term TA	\$0.00	19.7%	0.2%	19.9%
9572 Summer Term RA	\$0.00	19.7%	0.2%	19.9%
9573 Summer Term AF	\$0.00	19.7%	0.2%	19.9%
9574 Summer Session TA w/ T. Ben	\$46.68	19.7%	0.2%	19.9%
9575 Summer Session TA w/o T. Ben	\$0.00	19.7%	0.2%	19.9%
Academic Year and Summer Term				
9510 Grad Assistant Coach	\$21.06	19.7%	0.2%	19.9%
9511 Teaching Assistant (TA)	\$21.06	19.7%	0.2%	19.9%
9515 Graduate Instructor	\$21.06	19.7%	0.2%	19.9%
9517 Ph.D. Cand. Graduate Instructor	\$3.34	19.7%	0.2%	19.9%
9518 Adv. Masters TA	\$3.34	19.7%	0.2%	19.9%
9519 Ph.D. Cand. w/24 thesis cred. TA	\$3.34	19.7%	0.2%	19.9%
9521 Research Assistant (RA)	\$21.06	19.7%	0.2%	19.9%
9526 Graduate Research Project Asst.	\$21.06	19.7%	0.2%	19.9%
9527 Ph.D. Cand. Grad Research Proj. Asst.	\$3.34	19.7%	0.2%	19.9%
9528 Adv. Masters RA	\$3.34	19.7%	0.2%	19.9%
9529 Ph.D. Cand. w24 thesis cred. RA	\$3.34	19.7%	0.2%	19.9%
9531 Admin Fellow (AF)	\$21.06	19.7%	0.2%	19.9%
9532 Adv. Masters AF	\$3.34	19.7%	0.2%	19.9%
9533 Ph.D. Cand. w/24 thesis cred. AF	\$3.34	19.7%	0.2%	19.9%
9535 Professional Program Asst.	\$0.00	19.7%	0.2%	19.9%
9538 Legal Project Assistant w/T. Ben	\$47.54	0.0%	0.0%	0.0%
9539 Legal Project Assistant w/o T. Ben	\$0.00	0.0%	0.0%	0.0%
9553 Dental Fellow	\$21.06	0.00%	8.0%	8.0%
9554 Med Fellow, Graduate Program	\$10.53	0.00%	8.0%	8.0%
9559 Med. Resident, Graduate Program	\$10.53	0.00%	8.0%	8.0%
9549 Vet Resident, Graduate Program	\$14.05	0.00%	8.0%	8.0%

* This column includes Social Security, Medicare, Unemployment Insurance, Workers Compensation, and an Internal Administration Fee. All job classes, except the Legal Project Assistant classes, contribute 0.2% for the Internal Administration Fee. In addition to the Internal Administration Fee, Dental Fellow, Medical Fellow, Medical Resident, and Veterinary Resident job classes contribute 7.6% to Social Security/Medicare, 0.1% to Unemployment Insurance, and 0.1% to Workers Compensation for a total of 8.0%.

Attachment 5: University of Minnesota 2020-21 Tuition Plan: Tuition Rates

	A	B	C	D	E	F
	2019-2020		2020-2021		2020-2021	
	Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
					% Increase	% Increase

Rates listed as Year 2 (or beyond) show the percentage change for an individual student by comparing the FY20 rate the student is currently charged to the proposed FY21 rate.

Twin Cities

Undergraduate

Per Credit	\$512.30	\$1,216.00	\$512.30	\$1,216.00	0.0%	0.0%
13 Credits or more	\$6,659.00	\$15,808.00	\$6,659.00	\$15,808.00	0.0%	0.0%
Tuition Limit Assurance Rate Per Credit (Fall 2018 start)		\$1,166.00		\$1,166.00		0.0%
Tuition Limit Assurance Rate 13 Credits or more (Fall 2018 start)		\$15,158.00		\$15,158.00		0.0%
Tuition Limit Assurance Rate Per Credit (Fall 2017 start)		\$1,070.00		\$1,070.00		0.0%
Tuition Limit Assurance Rate 13 Credits or more (Fall 2017 start)		\$13,910.00		\$13,910.00		0.0%
Tuition Limit Assurance Rate Per Credit (Fall 2016 or earlier start)		\$984.00		\$984.00		0.0%
Tuition Limit Assurance Rate 13 Credits or more (Fall 2016 or earlier start)		\$12,792.00		\$12,792.00		0.0%

Carlson School of Management tuition surcharge

(paid in addition to rates above; Fall, Spring & Summer)

Per Credit (1-8 credits)	\$100.00	\$100.00	\$100.00	\$100.00	0.0%	0.0%
9 Credits or more (full-time)	\$1,000.00	\$1,000.00	\$1,000.00	\$1,000.00	0.0%	0.0%

College of Science and Engineering tuition surcharge

Students first enrolled at the University of Minnesota in fall 2019 or later. Applies to all CSE students and non-CSE students in the semester after they are admitted to a CSE major.

(paid in addition to rates above; Fall, Spring & Summer)

Per Credit (1-8 credits)	\$100.00	\$100.00	\$100.00	\$100.00	0.0%	0.0%
9 Credits or more (full-time)	\$1,000.00	\$1,000.00	\$1,000.00	\$1,000.00	0.0%	0.0%

Graduate School General Programs

Per Credit	\$1,465.00	\$2,267.00	\$1,465.00	\$2,267.00	0.0%	0.0%
6-14 Credits	\$8,790.00	\$13,602.00	\$8,790.00	\$13,602.00	0.0%	0.0%
Each Credit over 14	\$1,465.00	\$2,267.00	\$1,465.00	\$2,267.00	0.0%	0.0%

College specific post-baccalaureate programs

College of Liberal Arts

Master of Geographical Information System

Per Credit	\$1,525.00	\$2,364.00	\$1,525.00	\$2,364.00	0.0%	0.0%
6-14 Credits	\$9,150.00	\$14,184.00	\$9,150.00	\$14,184.00	0.0%	0.0%
Each Credit over 14	\$1,525.00	\$2,364.00	\$1,525.00	\$2,364.00	0.0%	0.0%

Doctorate in Audiology

Per Credit	\$1,598.00	\$2,447.00	\$1,598.00	\$2,447.00	0.0%	0.0%
6-14 Credits	\$9,588.00	\$14,682.00	\$9,588.00	\$14,682.00	0.0%	0.0%
Each Credit over 14	\$1,598.00	\$2,447.00	\$1,598.00	\$2,447.00	0.0%	0.0%

Masters in Speech-Language Pathology

Per Credit	\$1,598.00	\$2,447.00	\$1,598.00	\$2,447.00	0.0%	0.0%
6-14 Credits	\$9,588.00	\$14,682.00	\$9,588.00	\$14,682.00	0.0%	0.0%
Each Credit over 14	\$1,598.00	\$2,447.00	\$1,598.00	\$2,447.00	0.0%	0.0%

Post-Baccalaureate Certificate in Technical Communication

Per Credit	\$777.00	\$1,189.00	\$777.00	\$1,189.00	0.0%	0.0%
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Post-Baccalaureate Certificate of Teacher Licensure in Music Education

Per Credit	\$732.00	\$1,133.00	\$732.00	\$1,133.00	0.0%	0.0%
12 - 24 Credits	\$8,784.00	\$13,596.00	\$8,784.00	\$13,596.00	0.0%	0.0%
Each Credit over 24	\$732.00	\$1,133.00	\$732.00	\$1,133.00	0.0%	0.0%

Post-Baccalaureate Certificate in French Studies

Per Credit	\$732.00	\$1,133.00	\$732.00	\$1,133.00	0.0%	0.0%
12 - 24 Credits	\$8,784.00	\$13,596.00	\$8,784.00	\$13,596.00	0.0%	0.0%
Each Credit over 24	\$732.00	\$1,133.00	\$732.00	\$1,133.00	0.0%	0.0%

Attachment 5: University of Minnesota 2020-21 Tuition Plan: Tuition Rates

	A		B		C		D		E		F	
	2019-2020		2019-2020		2020-2021		2020-2021		2020-2021		2020-2021	
	Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
College of Education and Human Development												
Masters in Education & Post-baccalaureate Certificate Programs												
Per Credit	\$732.00	\$1,133.00	\$732.00	\$1,133.00	\$732.00	\$1,133.00	\$732.00	\$1,133.00	0.0%	0.0%		
12 - 24 Credits	\$8,784.00	\$13,596.00	\$8,784.00	\$13,596.00	\$8,784.00	\$13,596.00	\$8,784.00	\$13,596.00	0.0%	0.0%		
Each Credit over 24	\$732.00	\$1,133.00	\$732.00	\$1,133.00	\$732.00	\$1,133.00	\$732.00	\$1,133.00	0.0%	0.0%		
College of Continuing and Professional Studies												
Departmental Master												
Per Credit	\$900.00	\$900.00	\$900.00	\$900.00	\$900.00	\$900.00	\$900.00	\$900.00	0.0%	0.0%		
FY20: 8-12 Credits	\$7,200.00	\$7,200.00							revised structure			
FY20: Each Credit over 12	\$900.00	\$900.00							revised structure			
FY21: 12 Credits or more			\$10,800.00	\$10,800.00					revised structure			
Human Sexuality Certificate												
Per Credit	\$900.00	\$900.00	\$900.00	\$900.00	\$900.00	\$900.00	\$900.00	\$900.00	0.0%	0.0%		
Leadership for Sciences Professional Certificats												
Per Credit			\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	new	new		
Master of Professional Studies in Horticulture												
Per Credit	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	0.0%	0.0%		
FY20: 8-12 Credits	\$6,640.00	\$6,640.00							revised structure			
FY20: Each Credit over 12	\$830.00	\$830.00							revised structure			
FY21: 12 Credits or more			\$9,960.00	\$9,960.00					revised structure			
Master of Professional Studies in Addictions Counseling - Part Time												
Per Credit	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	0.0%	0.0%		
FY20: 8-12 Credits	\$6,640.00	\$6,640.00							revised structure			
FY20: Each Credit over 12	\$830.00	\$830.00							revised structure			
FY21: 12 Credits or more			\$9,960.00	\$9,960.00					revised structure			
Master of Professional Studies in Addictions Counseling - Full Time (in development)												
Fall & Spring - Year 1 comprehensive rate			\$5,500.00	\$5,500.00	\$5,500.00	\$5,500.00	\$5,500.00	\$5,500.00	new	new		
Summer - Year 1 comprehensive rate			\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	new	new		
Master of Professional Studies in Arts and Cultural Leadership												
Per Credit	\$995.00	\$995.00	\$995.00	\$995.00	\$995.00	\$995.00	\$995.00	\$995.00	0.0%	0.0%		
FY20: 8-12 Credits	\$7,960.00	\$7,960.00							revised structure			
FY20: Each Credit over 12	\$995.00	\$995.00							revised structure			
FY21: 12 Credits or more			\$11,940.00	\$11,940.00					revised structure			
Master of Professional Studies in Integrated Behavioral Health												
Per Credit	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	\$830.00	0.0%	0.0%		
FY20: 8-12 Credits	\$6,640.00	\$6,640.00							revised structure			
FY20: Each Credit over 12	\$830.00	\$830.00							revised structure			
FY21: 12 Credits or more			\$9,960.00	\$9,960.00					revised structure			
Master of Biological Sciences												
Per Credit	\$1,477.00	\$1,477.00	\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	-5.2%	-5.2%		
FY20: 8-12 Credits	\$11,816.00	\$11,816.00							revised structure			
FY20: Each Credit over 12	\$1,477.00	\$1,477.00							revised structure			
FY21: 10 Credits or more			\$14,000.00	\$14,000.00					revised structure			
Master of Professional Studies in Applied Sciences Leadership												
Per Credit			\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	new	new		
10 Credits or more			\$14,000.00	\$14,000.00	\$14,000.00	\$14,000.00	\$14,000.00	\$14,000.00	new	new		
College of Food, Agriculture & Natural Resource Sciences												
Master of Science in Agricultural Education												
Per Credit	\$772.00	\$1,133.00	\$772.00	\$1,133.00	\$772.00	\$1,133.00	\$772.00	\$1,133.00	0.0%	0.0%		
12-24 Credits	\$9,264.00	\$13,596.00	\$9,264.00	\$13,596.00	\$9,264.00	\$13,596.00	\$9,264.00	\$13,596.00	0.0%	0.0%		
Each Credit over 24	\$772.00	\$1,133.00	\$772.00	\$1,133.00	\$772.00	\$1,133.00	\$772.00	\$1,133.00	0.0%	0.0%		

Attachment 5: University of Minnesota 2020-21 Tuition Plan: Tuition Rates

	A		B		C		D		E		F	
	2019-2020		2020-2021		2020-2021		2020-2021		2020-2021		2020-2021	
	Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
Humphrey School of Public Affairs												
Master of Public Policy (MPP)												
Master of Urban and Regional Planning (MURP)												
Master of Science in Science, Technology and Environmental Policy (MS-STEP)												
Master of Human Rights (MHR)												
Per Credit	\$1,669.00	\$2,434.00	\$1,669.00	\$2,434.00			0.0%	0.0%				
6-15 Credits	\$10,014.00	\$14,604.00	\$10,014.00	\$14,604.00			0.0%	0.0%				
Each Credit over 15	\$1,669.00	\$2,434.00	\$1,669.00	\$2,434.00			0.0%	0.0%				
Master of Development Practice												
Per Credit	\$1,669.00	\$2,434.00	\$1,669.00	\$2,434.00			0.0%	0.0%				
6-16 Credits	\$10,014.00	\$14,604.00	\$10,014.00	\$14,604.00			0.0%	0.0%				
Each Credit over 16	\$1,669.00	\$2,434.00	\$1,669.00	\$2,434.00			0.0%	0.0%				
Master of Public Affairs												
Certificate Programs:												
Executive Leadership (Post-Bacalaureate)												
Public Affairs Leadership												
Policy Issues on Work and Pay												
Early Childhood Policy												
Human Services Leadership												
Per Credit	\$1,409.00	\$2,212.00	\$1,409.00	\$2,212.00			0.0%	0.0%				
Nonprofit Management												
Per Credit	\$1,190.00	\$1,717.00	\$1,190.00	\$1,717.00			0.0%	0.0%				
10-15 Credits	\$11,900.00	\$17,170.00	\$11,900.00	\$17,170.00			0.0%	0.0%				
Each Credit over 15	\$1,190.00	\$1,717.00	\$1,190.00	\$1,717.00			0.0%	0.0%				
Election Administration Certificate												
Per Credit	\$974.00	\$1,191.00	\$974.00	\$1,191.00			0.0%	0.0%				
Law School												
Fall & Spring - 1 L, 2 L, and 3 L												
Per Credit	\$1,821.00	\$2,190.00	\$1,821.00	\$2,190.00			0.0%	0.0%				
12 Credits or more (Term)	\$21,852.00	\$26,280.00	\$21,852.00	\$26,280.00			0.0%	0.0%				
Summer - 1 L, 2 L, and 3 L												
Per Credit	\$1,821.00	\$2,190.00	\$1,821.00	\$2,190.00			0.0%	0.0%				
LLM												
Per semester		\$27,084.00		\$27,084.00								0.0%
Program Completion/special circumstances (Per Credit)		\$2,257.00		\$2,257.00								0.0%
Master of Science in Patent Law												
Per Credit	\$1,561.00	\$1,877.00	\$1,561.00	\$1,877.00			0.0%	0.0%				
14 Credits or more (Term)	\$21,854.00	\$26,278.00	\$21,854.00	\$26,278.00			0.0%	0.0%				
College of Design												
Masters of Architecture												
Per Credit	\$1,129.00	\$1,129.00	\$1,129.00	\$1,129.00			0.0%	0.0%				
12-17 Credits	\$13,548.00	\$13,548.00	\$13,548.00	\$13,548.00			0.0%	0.0%				
Each Credit over 17	\$1,129.00	\$1,129.00	\$1,129.00	\$1,129.00			0.0%	0.0%				
Masters of Landscape Architecture												
Per Credit	\$1,074.00	\$1,129.00	\$1,074.00	\$1,129.00			0.0%	0.0%				
12-17 Credits	\$12,888.00	\$13,548.00	\$12,888.00	\$13,548.00			0.0%	0.0%				
Each Credit over 17	\$1,074.00	\$1,129.00	\$1,074.00	\$1,129.00			0.0%	0.0%				
Housing Studies Certificate												
Per Credit	\$964.00	\$964.00	\$964.00	\$964.00			0.0%	0.0%				

Attachment 5: University of Minnesota 2020-21 Tuition Plan: Tuition Rates

	A		B		C		D		E		F	
	2019-2020		2019-2020		2020-2021		2020-2021		2020-2021		2020-2021	
	Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
Carlson School of Management												
Master in Human Resources and Industrial Relations (MAHRIR) - Day Program												
Per Credit	\$1,054.00	\$1,749.00	\$1,054.00	\$1,749.00	\$1,054.00	\$1,749.00	\$1,054.00	\$1,749.00	0.0%	0.0%	0.0%	0.0%
10-16 Credits	\$10,540.00	\$17,490.00	\$10,540.00	\$17,490.00	\$10,540.00	\$17,490.00	\$10,540.00	\$17,490.00	0.0%	0.0%	0.0%	0.0%
Each Credit over 16	\$1,054.00	\$1,749.00	\$1,054.00	\$1,749.00	\$1,054.00	\$1,749.00	\$1,054.00	\$1,749.00	0.0%	0.0%	0.0%	0.0%
Master in Human Resources and Industrial Relations (MAHRIR) - Evening Program												
Per Credit	\$1,066.00	\$1,066.00	\$1,066.00	\$1,066.00	\$1,066.00	\$1,066.00	\$1,066.00	\$1,066.00	0.0%	0.0%	0.0%	0.0%
MBA Day Program - Entering (1st year) Students (guaranteed two-year rates)												
Per Credit	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	0.0%	0.0%	0.0%	0.0%
12-20 Credits	\$20,088.00	\$25,728.00	\$20,088.00	\$25,728.00	\$20,088.00	\$25,728.00	\$20,088.00	\$25,728.00	0.0%	0.0%	0.0%	0.0%
Each Credit over 20	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	0.0%	0.0%	0.0%	0.0%
MBA Day Program - Continuing Students (2nd year and beyond)												
Per Credit	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	0.0%	0.0%	0.0%	0.0%
12-18 Credits (different from first year at 12-20 credits)	\$20,088.00	\$25,728.00	\$20,088.00	\$25,728.00	\$20,088.00	\$25,728.00	\$20,088.00	\$25,728.00	0.0%	0.0%	0.0%	0.0%
Each Credit over 18 (different from first year at over 20)	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	\$1,674.00	\$2,144.00	0.0%	0.0%	0.0%	0.0%
MBA Evening Program												
Per Credit	\$1,442.00	\$1,442.00	\$1,442.00	\$1,442.00	\$1,442.00	\$1,442.00	\$1,442.00	\$1,442.00	0.0%	0.0%	0.0%	0.0%
Executive MBA												
Entering Students (guaranteed 2 year rates)	\$30,900.00	\$30,900.00	\$30,900.00	\$30,900.00	\$30,900.00	\$30,900.00	\$30,900.00	\$30,900.00	0.0%	0.0%	0.0%	0.0%
Continuing Students (guaranteed 2nd year rate)	\$30,900.00	\$30,900.00	\$30,900.00	\$30,900.00	\$30,900.00	\$30,900.00	\$30,900.00	\$30,900.00	0.0%	0.0%	0.0%	0.0%
Online MBA												
Per Credit	\$1,581.00	\$1,581.00	\$1,581.00	\$1,581.00	\$1,581.00	\$1,581.00	\$1,581.00	\$1,581.00	0.0%	0.0%	0.0%	0.0%
Master of Business Taxation (MBT) Program												
Per Credit	\$1,360.00	\$1,360.00	\$1,360.00	\$1,360.00	\$1,360.00	\$1,360.00	\$1,360.00	\$1,360.00	0.0%	0.0%	0.0%	0.0%
Master of Accounting												
Per Credit	\$1,087.00	\$1,792.00	\$1,087.00	\$1,792.00	\$1,087.00	\$1,792.00	\$1,087.00	\$1,792.00	0.0%	0.0%	0.0%	0.0%
10-18 Credits	\$10,870.00	\$17,920.00	\$10,870.00	\$17,920.00	\$10,870.00	\$17,920.00	\$10,870.00	\$17,920.00	0.0%	0.0%	0.0%	0.0%
Each Credit over 18	\$1,087.00	\$1,792.00	\$1,087.00	\$1,792.00	\$1,087.00	\$1,792.00	\$1,087.00	\$1,792.00	0.0%	0.0%	0.0%	0.0%
MS in Business Analytics Part-Time Program												
Per Credit	\$1,293.00	\$1,293.00	\$1,293.00	\$1,293.00	\$1,293.00	\$1,293.00	\$1,293.00	\$1,293.00	0.0%	0.0%	0.0%	0.0%
Master of Applied Business Analytics												
Per Credit			\$1,581.00	\$1,581.00	\$1,581.00	\$1,581.00	\$1,581.00	\$1,581.00	new	new	new	new
Master of Science in Supply Chain												
Per Credit	\$1,497.00	\$1,497.00	\$1,497.00	\$1,497.00	\$1,497.00	\$1,497.00	\$1,497.00	\$1,497.00	0.0%	0.0%	0.0%	0.0%
College of Science and Engineering												
Master of Financial Mathematics & Fund of Quant Finance Certificate												
Per Credit - Year 1	\$1,004.00	\$1,257.00	\$1,004.00	\$1,257.00	\$1,004.00	\$1,257.00	\$1,004.00	\$1,257.00	0.0%	0.0%	0.0%	0.0%
Per Credit - Year 2	\$1,004.00	\$1,257.00	\$1,004.00	\$1,257.00	\$1,004.00	\$1,257.00	\$1,004.00	\$1,257.00	0.0%	0.0%	0.0%	0.0%
Master of Science in Software Engineering (comprehensive rate)												
Year 1 (comprehensive rate, full-time only, 6 or more credits)	\$10,732.00	\$10,732.00	\$10,732.00	\$10,732.00	\$10,732.00	\$10,732.00	\$10,732.00	\$10,732.00	0.0%	0.0%	0.0%	0.0%
Year 1 & 2 Part Time (1-5 credits, per credit)	\$1,700.00	\$1,700.00	\$1,700.00	\$1,700.00	\$1,700.00	\$1,700.00	\$1,700.00	\$1,700.00	0.0%	0.0%	0.0%	0.0%
Year 1 & 2 Part Time Program Fee (1-5 credits, flat rate)	\$335.00	\$335.00	\$335.00	\$335.00	\$335.00	\$335.00	\$335.00	\$335.00	0.0%	0.0%	0.0%	0.0%
Year 2 (comprehensive rate, full-time only)	\$10,732.00	\$10,732.00	\$10,732.00	\$10,732.00	\$10,732.00	\$10,732.00	\$10,732.00	\$10,732.00	0.0%	0.0%	0.0%	0.0%
Master of Science in Management of Technology												
Per Credit - Year 1	\$2,100.00	\$2,100.00	\$2,200.00	\$2,200.00	\$2,200.00	\$2,200.00	\$2,200.00	\$2,200.00	4.8%	4.8%	4.8%	4.8%
Per Credit - Year 2	\$2,100.00	\$2,100.00	\$2,100.00	\$2,100.00	\$2,100.00	\$2,100.00	\$2,100.00	\$2,100.00	0.0%	0.0%	0.0%	0.0%
Master of Science in Medical Device Innovation												
Per Credit - Students entering program Fall 2020	\$1,325.00	\$1,325.00	\$1,350.00	\$1,350.00	\$1,350.00	\$1,350.00	\$1,350.00	\$1,350.00	1.9%	1.9%	1.9%	1.9%

Attachment 5: University of Minnesota 2020-21 Tuition Plan: Tuition Rates

	A		B		C		D		E		F	
	2019-2020						2020-2021					
	Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
Medical School (TC & UMD)												
<i>(All per term, including summer)</i>												
1st year students (Fall 2020 start)	\$13,134.00	\$18,849.00	\$13,397.00	\$19,226.00	2.0%	2.0%						
2nd year students (Fall 2019 start)	\$13,134.00	\$18,849.00	\$13,134.00	\$18,849.00	0.0%	0.0%						
3rd year students (Fall 2018 start)	\$12,876.00	\$18,479.00	\$12,876.00	\$18,479.00	0.0%	0.0%						
4th year students (Fall 2017 start)	\$12,624.00	\$17,599.00	\$12,624.00	\$17,599.00	0.0%	0.0%						
5th year students (Fall 2016 start)	\$12,624.00	\$17,599.00	\$12,624.00	\$17,599.00	0.0%	0.0%						
6th year students (Fall 2015 start)	\$12,624.00	\$17,170.00	\$12,624.00	\$17,170.00	0.0%	0.0%						
7th year students (Fall 2014 start)	\$12,624.00	\$16,589.00	\$12,624.00	\$16,589.00	0.0%	0.0%						
8th year students (Fall 2013 start)	\$12,624.00	\$16,589.00	\$12,624.00	\$16,589.00	0.0%	0.0%						
9th year students (Fall 2012 start)	\$12,376.00	\$16,263.00	\$12,376.00	\$16,263.00	0.0%	0.0%						
Medical School students pay their first year rate for the entirety of their degree program.												
Medical School Pre-admit (99PRD): Same as Graduate School												
Physical Therapy (DPT)	\$9,163.00	\$10,500.00	\$9,163.00	\$10,500.00	0.0%	0.0%						
School of Dentistry												
Semester	\$19,240.00	\$35,643.00	\$19,769.00	\$36,623.00	2.7%	2.7%						
Summer Term	\$10,704.00	\$19,487.00	\$10,998.00	\$20,023.00	2.7%	2.8%						
Program Completion & alternate curriculum (Per Credit)	\$764.00	\$1,413.00	\$785.00	\$1,452.00	2.7%	2.8%						
Alternate curriculum summer (Per Credit)	\$816.00	\$1,486.00	\$838.00	\$1,527.00	2.7%	2.8%						
PASS												
Admit Spring 2021 - Spring 2021		\$39,705.00		\$41,919.00		5.6%						
Admit Spring 2021 - Summer 2021		\$22,160.00		\$23,395.00		5.6%						
Admit Spring 2020 - Fall 2020, Spring 2021		\$39,705.00		\$40,797.00		2.8%						
Admit Spring 2020 - Summer 2021		\$22,160.00		\$22,769.00		2.7%						
Admit Spring 2019 - Fall 2020, Spring 2021		\$38,548.00		\$39,705.00		3.0%						
Program Completion & Alt Curriculum Year 1&2 Fall & Spring (Per Credit)		\$1,548.00		\$1,591.00		2.8%						
Program Completion & Alt Curriculum Year 1&2 Summer (Per Credit)		\$1,610.00		\$1,654.00		2.7%						
Dental Therapist Graduate Program												
Semester	\$8,788.00	\$13,598.00	\$9,030.00	\$13,972.00	2.8%	2.8%						
Program Completion & Alternate Curriculum (Per Credit)	\$641.00	\$1,044.00	\$659.00	\$1,073.00	2.8%	2.8%						
Master of Dental Hygiene												
Per Credit	\$963.00	\$963.00	\$989.00	\$989.00	2.7%	2.7%						
Certificate Programs												
Oral Maxillofacial Surgery	\$2,059.00	\$2,059.00	\$2,116.00	\$2,116.00	2.8%	2.8%						
Endodontics	\$4,731.00	\$4,731.00	\$4,861.00	\$4,861.00	2.7%	2.7%						
Orthodontics	\$4,731.00	\$4,731.00	\$4,861.00	\$4,861.00	2.7%	2.7%						
Pediatrics	\$4,731.00	\$4,731.00	\$4,861.00	\$4,861.00	2.7%	2.7%						
Periodontology	\$4,731.00	\$4,731.00	\$4,861.00	\$4,861.00	2.7%	2.7%						
Prosthodontics	\$3,292.00	\$3,292.00	\$3,383.00	\$3,383.00	2.8%	2.8%						
TMD and Orofacial	\$3,292.00	\$3,292.00	\$3,383.00	\$3,383.00	2.8%	2.8%						
Oral Health Services for Older Adults	\$3,292.00	\$3,292.00	\$3,383.00	\$3,383.00	2.8%	2.8%						
GPR	\$3,292.00	\$3,292.00	\$3,383.00	\$3,383.00	2.8%	2.8%						
Academic Health Sciences												
Master of Occupational Therapy and Occupational Therapy Doctorate												
Per Credit	\$1,012.00	\$1,520.00	\$1,012.00	\$1,520.00	0.0%	0.0%						
12 Credits or more (per semester)	\$12,144.00	\$18,240.00	\$12,144.00	\$18,240.00	0.0%	0.0%						
Master of Medical Laboratory Science												
Per Credit	\$700.00	\$700.00	\$700.00	\$700.00	0.0%	0.0%						

Attachment 5: University of Minnesota 2020-21 Tuition Plan: Tuition Rates

	A		B		C		D		E		F	
	2019-2020		2019-2020		2020-2021		2020-2021		2020-2021		2020-2021	
	Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
School of Nursing												
Doctor of Nursing Practice (DNP)												
Post Graduate Certificates												
Adult Health/Gerontological Clinical Nurse Specialist												
Adult Health/Gerontological Primary Care Nurse Practitioner												
Nurse Midwifery												
Pediatric Clinical Nurse Specialist												
Pediatric Nurse Practitioner												
Psychiatric Mental Health Nurse Practitioner												
Women's Health Nurse Practitioner												
Health Care Design & Innovation												
Leadership in Health Information Technology for Health Professionals												
99PRD												
Per Credit	\$1,003.00	\$1,003.00	\$1,003.00	\$1,003.00	\$1,003.00	\$1,003.00	\$1,003.00	\$1,003.00	0.0%	0.0%	0.0%	0.0%
9 Credits or more	\$9,027.00	\$9,027.00	\$9,027.00	\$9,027.00	\$9,027.00	\$9,027.00	\$9,027.00	\$9,027.00	0.0%	0.0%	0.0%	0.0%
Master of Nursing (MN)												
Per Credit	\$719.00	\$719.00	\$719.00	\$719.00	\$719.00	\$719.00	\$719.00	\$719.00	0.0%	0.0%	0.0%	0.0%
Masters in Integrative Health and Wellbeing Coaching												
Integrative Therapies and Healing Practices Certificate												
(Graduate School rate applied in FY20)												
Per Credit	\$1,465.00	\$2,267.00	\$1,465.00	\$2,267.00	\$1,465.00	\$2,267.00	\$1,465.00	\$2,267.00	0.0%	-35.4%	0.0%	-35.4%
6-14 Credits	\$8,790.00	\$13,602.00	\$8,790.00	\$13,602.00	\$8,790.00	\$13,602.00	\$8,790.00	\$13,602.00	0.0%	-35.4%	0.0%	-35.4%
Each Credit over 14	\$1,465.00	\$2,267.00	\$1,465.00	\$2,267.00	\$1,465.00	\$2,267.00	\$1,465.00	\$2,267.00	0.0%	-35.4%	0.0%	-35.4%
College of Pharmacy (TC & UMD)												
Per Credit: Years 1-3 (part time only)	\$1,161.00	\$1,700.00	\$1,161.00	\$1,700.00	\$1,161.00	\$1,700.00	\$1,161.00	\$1,700.00	0.0%	0.0%	0.0%	0.0%
12 or more Credits: Years 1-3 (Term)	\$13,932.00	\$20,400.00	\$13,932.00	\$20,400.00	\$13,932.00	\$20,400.00	\$13,932.00	\$20,400.00	0.0%	0.0%	0.0%	0.0%
Year 4: Term rates only for Summer/Fall/Spring regardless of credit load	\$13,932.00	\$20,400.00	\$13,932.00	\$20,400.00	\$13,932.00	\$20,400.00	\$13,932.00	\$20,400.00	0.0%	0.0%	0.0%	0.0%
College of Veterinary Medicine												
Per Credit	\$1,664.00	\$3,092.00	\$1,664.00	\$3,092.00	\$1,664.00	\$3,092.00	\$1,664.00	\$3,092.00	0.0%	0.0%	0.0%	0.0%
9 Credits or more (Term)	\$14,976.00	\$27,828.00	\$14,976.00	\$27,828.00	\$14,976.00	\$27,828.00	\$14,976.00	\$27,828.00	0.0%	0.0%	0.0%	0.0%
3rd Semester, Senior Year (75% of semester rate)												
Per Credit	\$1,248.00	\$2,319.00	\$1,248.00	\$2,319.00	\$1,248.00	\$2,319.00	\$1,248.00	\$2,319.00	0.0%	0.0%	0.0%	0.0%
9 Credits or more (Term)	\$11,232.00	\$20,871.00	\$11,232.00	\$20,871.00	\$11,232.00	\$20,871.00	\$11,232.00	\$20,871.00	0.0%	0.0%	0.0%	0.0%
North Dakota Rcpty (75% non-resident rate)												
Per Credit		\$2,319.00		\$2,319.00		\$2,319.00		\$2,319.00		0.0%		0.0%
9 Credits or more (Term)		\$20,871.00		\$20,871.00		\$20,871.00		\$20,871.00		0.0%		0.0%
North Dakota Rcpty, 3rd Semester, Senior Year (75% non-resident rate)												
Per Credit		\$1,739.25		\$1,739.25		\$1,739.25		\$1,739.25		0.0%		0.0%
9 Credits or more (Term)		\$15,653.25		\$15,653.25		\$15,653.25		\$15,653.25		0.0%		0.0%
Integrated Food Systems Leadership Certificate												
(joint program with School of Public Health & College of Food Agriculture & Natural Resource Sciences)												
Per Credit	\$1,825.00	\$1,825.00	\$1,825.00	\$1,825.00	\$1,825.00	\$1,825.00	\$1,825.00	\$1,825.00	0.0%	0.0%	0.0%	0.0%
Poultry Health Certificate												
Per Credit			\$1,200.00	\$1,200.00					new	new		

Attachment 5: University of Minnesota 2020-21 Tuition Plan: Tuition Rates

	A		B		C		D		E		F	
	2019-2020						2020-2021					
	Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
School of Public Health												
Per Credit (Fall & Spring) (includes 99PRD)	\$1,014.00	\$1,332.00	\$1,014.00	\$1,332.00	0.0%	0.0%						
Per Credit (Summer)	\$1,014.00	\$1,014.00	\$1,014.00	\$1,014.00	0.0%	0.0%						
Chiang Mai University Program												
Per Credit	\$1,332.00	\$1,332.00	\$1,332.00	\$1,332.00	0.0%	0.0%						
Executive MHA (Per Credit)												
Cohort 12 (January 2021 start)	\$1,632.00	\$1,632.00	\$1,632.00	\$1,632.00	0.0%	0.0%						
Cohort 11 (January 2020 start)	\$1,632.00	\$1,632.00	\$1,632.00	\$1,632.00	0.0%	0.0%						
Cohort 10 (January 2019 start)	\$1,607.00	\$1,607.00	\$1,607.00	\$1,607.00	0.0%	0.0%						
Healthcare Administration Masters												
Per Credit	\$1,014.00	\$1,332.00	\$1,014.00	\$1,332.00	0.0%	0.0%						
Management Fundamentals in Health Care Organizations Certificate												
Per Credit	\$1,632.00	\$1,632.00	\$1,632.00	\$1,632.00	0.0%	0.0%						
Crookston												
Undergraduate												
Per Credit	\$401.50	\$401.50	\$401.50	\$401.50	0.0%	0.0%						
13 Credits or more	\$5,219.00	\$5,219.00	\$5,219.00	\$5,219.00	0.0%	0.0%						
Duluth												
Undergraduate												
Per Credit	\$469.00	\$669.00	\$469.00	\$669.00	0.0%	0.0%						
13 Credits or more	\$6,097.00	\$8,697.00	\$6,097.00	\$8,697.00	0.0%	0.0%						
Midwest Student Exchange Program												
Per Credit		\$492.50		\$492.50		0.0%						0.0%
13 Credits or more		\$6,402.00		\$6,402.00		0.0%						0.0%
Graduate School General Programs: Same as Twin Cities												
Medical School: Same as Twin Cities												
School of Pharmacy: Same as Twin Cities												
Departmental Masters and Post-baccalaureate Certificates												
Master of Education (MEd)												
Master of Environmental Education (MEEEd)												
Master of Environmental Health & Safety (MEnvHlthSa)												
Master of Social Work (MSW)												
Master of Tribal Administration and Governance (MTAG)												
Master of Tribal Resource & Environmental Stewardship (MTRES)												
Master of Professional Studies in Multidisciplinary Research & Creativity (MPS) (new Fall 2019)												
Per Credit	\$693.00	\$1,099.00	\$693.00	\$1,099.00	0.0%	0.0%						
Certificate Programs												
Post-baccalaureate Community College Teaching												
Post-baccalaureate Environmental Education												
Post-baccalaureate Geographical Information Science												
Per Credit	\$693.00	\$693.00	\$693.00	\$693.00	0.0%	0.0%						
MBA												
(degree and non-degree seeking students)												
Per Credit (Duluth)	\$969.00	\$969.00	\$969.00	\$969.00	0.0%	0.0%						
Rochester MBA, Per Credit	\$1,076.00	\$1,076.00	\$1,076.00	\$1,076.00	0.0%	0.0%						

Attachment 5: University of Minnesota 2020-21 Tuition Plan: Tuition Rates

	A		B		C		D		E		F	
	2019-2020		2020-2021		2020-2021		2020-2021		2020-2021		2020-2021	
	Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
Morris												
Undergraduate												
Per Credit (fall and spring)	\$474.00	\$553.00	\$474.00	\$553.00	0.0%	0.0%						
13 Credits or more (fall and spring)	\$6,162.00	\$7,189.00	\$6,162.00	\$7,189.00	0.0%	0.0%						
Per Credit (summer)	\$474.00	\$474.00	\$474.00	\$474.00	0.0%	0.0%						
13 Credits or more (summer)	\$6,162.00	\$6,162.00	\$6,162.00	\$6,162.00	0.0%	0.0%						
Midwest Student Exchange Program												
Per Credit (fall and spring)		\$514.00		\$514.00		0.0%						0.0%
13 Credits or more (fall and spring)		\$6,682.00		\$6,682.00		0.0%						0.0%
Per Credit (summer)		\$474.00		\$474.00		0.0%						0.0%
13 Credits or more (summer)		\$6,162.00		\$6,162.00		0.0%						0.0%
Rochester												
Undergraduate												
Per Credit	\$469.00	\$469.00	\$469.00	\$469.00	0.0%	0.0%						
13 Credits or more	\$6,097.00	\$6,097.00	\$6,097.00	\$6,097.00	0.0%	0.0%						
Rates approved by the Board of Regents in December, 2019, for programs traditionally running on a Summer-Fall-Spring academic year												
Carlson School of Management												
Master of Science in Business Analytics												
Per Credit (Summer 2020-Spring 2021)	\$990.00	\$1,410.00	\$1,020.00	\$1,450.00	3.0%	2.8%						
Master of Science in Finance												
Per Credit (Summer 2020-Spring 2021)	\$990.00	\$1,410.00	\$1,020.00	\$1,450.00	3.0%	2.8%						
College of Science and Engineering												
Master of Science in Security Technologies												
Per Credit - Students entering program Summer or Fall 2020	\$1,325.00	\$1,325.00	\$1,350.00	\$1,350.00	1.9%	1.9%						

Attachment 6

DEFINITIONS OF CURRENT SPONSORED AND NONSPONSORED FUNDS

Current funds can be categorized as either sponsored or nonsponsored.

Sponsored funds consist of grants and contracts administered through the Sponsored Project Administration (SPA). All sponsored research funds are restricted and are generally subject to special grant reporting procedures. Restricted funds are subject to legally binding limits and rules established by the person or organization providing these funds for specific purposes, programs, departments, or schools.

Within the nonsponsored grouping, funds can be classified as unrestricted or restricted. Unrestricted current funds include all funds over which the University retains full control to direct their use in achieving its institutional purposes. Most current nonsponsored funds are unrestricted; exceptions include State Specials, Federal Appropriations and Other Restricted funds such as Foundations, Endowment Target, Local Governments, etc.. Externally restricted funds may be used only in accordance with the purposes established by the source of such funds.

CURRENT NONSPONSORED FUNDS

CENTRALLY DISTRIBUTED AND ATTRIBUTED

Operations and Maintenance: Operations and maintenance funds consist primarily of the general appropriation from the State of Minnesota plus tuition revenues. Other sources contributing to the fund include Application and Student Payment related fees, Enterprise Assessment fees and a transfer of funds from the central reserves fund.

State Specials: State Specials funds consist of restricted-purpose appropriations from the State. The University receives State Special allocations in seven categories: 1) Agriculture, 2) Health Sciences, 3) Technology, 4) System Specials (miscellaneous items including support for, Labor Education Service, Natural Resources Research Institute, Center for Urban and Regional Affairs, the Bell Museum of Natural History, and the Humphrey Exhibit, 5) U/Mayo Partnership, 6) Cigarette Tax proceeds, and 7) MN Care

Indirect Cost Recoveries: Indirect Cost Recovery funds consist of partial reimbursements to the University for the indirect costs of research based on a percentage of sponsored grant and contract direct costs. Indirect costs of research are those expenses (often referred to as overhead) that cannot be readily and exclusively attributed to a specific research grant or contract. Components of the indirect cost rate include departmental administration, sponsored projects general administration, building and equipment depreciation, operations and maintenance, and libraries.

Central Reserves: Central Reserve funds consist of non-dedicated investment earnings and recognized gains/(losses).

SELF-SUSTAINING

Auxiliary Enterprises: The University operates a number of self-sustaining operations called auxiliary enterprises. Their primary mission is to provide goods and/or services to individuals within the University including students, faculty, and staff. They also provide goods and services to the public. Residence halls, student unions, bookstores, parking and transit, health services, and intercollegiate athletics are the University's primary auxiliary enterprises. Auxiliary enterprises largely operate as freestanding entities, responsible for covering all of their operating costs with fees charged.

Internal Service Activities: Internal Service Activities are institutional services established for reasons of convenience, cost, or control. Their primary mission is to provide goods and/or services to other University departments. Examples of major internal service activities include U Market, Fleet Services, Printing and Graphic Arts, and Research Animal Resources.

Other Unrestricted Accounts including Central Pools: The resources in these funds are primarily miscellaneous external sales and services. The central fringe benefit recovery pools are also included in this classification.

Other Restricted Accounts: Nonsponsored restricted funds consist of funds from business and industry, foundations including the University of Minnesota Foundation and the Arboretum Foundation, federal and state work-study, SEOG and PELL grants, private practice, and restricted funds from federal, state, and local government agencies, individuals and others.

CURRENT SPONSORED FUNDS

Federal Research: These funds are composed of expendable research grants, appropriations, and contracts received from the federal government.

Other Sponsored Research: Other sponsored research funds include grants, appropriations, and contracts from non-federal sources including state and local government and private sources.

Attachment 7 -REVISED
University of Minnesota 2020-21 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2020 Amount	2021 Amount	Percent Change
Crookston						
Crookston	Advanced Animal Evaluation - Dairy	Consumable Materials	Flat	\$42.00	\$42.00	0.0%
Crookston	Analytical Chemistry/Spectroscopy	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	Anatomy & Physiology I	Consumable Materials	Flat	\$45.00	\$45.00	0.0%
Crookston	Animal Evaluation	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	Animal Health & Disease	Consumable Materials	Flat	\$21.00	\$21.00	0.0%
Crookston	Animal Science Field Trip	Travel/Lodging/Transport	Flat	\$12.00	\$12.00	0.0%
Crookston	Animal Science Lab Tier 1	Travel/Lodging/Transport	Flat	\$25.00	\$25.00	0.0%
Crookston	Animal Science Lab Tier 2	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Crookston	Animal Science Lab Tier 3	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Crookston	Animal Science Lab Tier 4	Consumable Materials	Flat	\$64.00	\$64.00	0.0%
Crookston	Applied Animal Nutrition	Consumable Materials	Flat	\$53.00	\$53.00	0.0%
Crookston	Art Design & Technique	Consumable Materials	Flat	\$65.00	\$65.00	0.0%
Crookston	Aviation Flight Fees	Individual Instruction	Variable	\$500 - \$11,000	\$500 - \$11,000	0.0%
Crookston	Beef Production	Consumable Materials	Flat	\$16.50	\$16.50	0.0%
Crookston	Beef Production	Travel/Lodging/Transport	Flat	\$21.50	\$21.50	0.0%
Crookston	CPR Certification	Service	Flat	\$26.00	\$26.00	0.0%
Crookston	Cultural Immersion Practicum	Travel/Lodging/Transport	Flat	\$75.00	\$75.00	0.0%
Crookston	Develop Appropriate Pre-Primary Education	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	Ecology	Consumable Materials	Flat	\$12.00	\$12.00	0.0%
Crookston	Elementary Art	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Crookston	Elementary Student Teaching	Exam/Assessment	Flat	\$270.00	\$270.00	0.0%
Crookston	Elements of Forestry	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	Entomology (NATR)	Consumable Materials	Flat	\$38.00	\$38.00	0.0%
Crookston	Entomology (PIM)	Consumable Materials	Flat	\$38.00	\$38.00	0.0%
Crookston	Equine Exercise Physiology	Travel/Lodging/Transport	Flat	\$40.00	\$40.00	0.0%
Crookston	Equine Reproduction Techniques	Consumable Materials	Flat	\$32.00	\$32.00	0.0%
Crookston	ESL Tutoring/Testing	Individual Instruction	Flat	\$168.00	\$168.00	0.0%
Crookston	Facility Maintenance/Safety	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Crookston	Fate of Chemicals in the Environment	Consumable Materials	Flat	\$59.00	\$59.00	0.0%
Crookston	Fisheries	Consumable Materials	Flat	\$32.00	\$32.00	0.0%
Crookston	Floral Design Tier 1	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Crookston	HORT 3093	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	Limnology	(multiple components)	Flat	\$90.00	\$90.00	0.0%
Crookston	Livestock Facilities & Env Sci	Travel/Lodging/Transport	Flat	\$18.00	\$18.00	0.0%
Crookston	Mammalogy (BIOL)	Consumable Materials	Flat	\$78.00	\$78.00	0.0%
Crookston	Mammalogy (NATR)	Consumable Materials	Flat	\$78.00	\$78.00	0.0%
Crookston	On-line Course Fee	E-Learn	PerCredit	\$50.00	\$50.00	0.0%
Crookston	Organic Chemistry 1	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Crookston	Ornithology (a)	Consumable Materials	Flat	\$43.00	\$43.00	0.0%
Crookston	Ornithology (b)	Consumable Materials	Flat	\$43.00	\$43.00	0.0%
Crookston	Park/Rec Management	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Crookston	Private Music Instruction	Individual Instruction	Flat	\$50.00	\$50.00	0.0%
Crookston	Raptor Ecology	Travel/Lodging/Transport	Flat	\$75.00	\$75.00	0.0%
Crookston	Reproduction AI and Lactation	Consumable Materials	Flat	\$79.00	\$79.00	0.0%
Crookston	Plant Taxonomy & Propagation	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Crookston	Science Lab - Tier 1	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Crookston	Science Lab - Tier 2	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Crookston	Science Lab - Tier 3	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Crookston	Soil Fertility & Plant Nutrition	Consumable Materials	Flat	\$33.00	\$33.00	0.0%
Crookston	Special Topics in Animal Science	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Crookston	Special Topics in Animal Science	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Crookston	Welding/Manufacturing	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Crookston	Wildlife Management - Special Topics	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Crookston	Wildlife Management - Special Topics	Travel/Lodging/Transport	Flat	\$21.00	\$21.00	0.0%
Crookston	Wildlife/Ecology Management	Consumable Materials	Flat	\$24.00	\$24.00	0.0%
Crookston	EQSC 1033 - Intro to Comp Animals	Consumable Materials	Flat		\$28.00	new
Crookston	EQSC 2202 - Advanced Equine Evaluation	Consumable Materials	Flat		\$312.00	new
Crookston	EQSC 2110 - Farrier Science	Consumable Materials	Flat	\$55.00	\$55.00	0.0%
Duluth						
Duluth	Acting Voice Lesson	Individual Instruction	Flat	\$321.25	\$321.25	0.0%
Duluth	Advanced Field Interpretive Techniques	Travel/Lodging/Transport	Flat	\$355.00	\$355.00	0.0%
Duluth	Alpine Skiing	Access/Rent/Usage/Own	Flat	\$106.00	\$106.00	0.0%
Duluth	American Sign Language (ASL) Lab	Access/Rent/Usage/Own	Flat	\$55.00	\$55.00	0.0%
Duluth	Applied Music Lesson - Music Majors	Individual Instruction	Flat	\$203.00	\$203.00	0.0%
Duluth	Applied Music Lesson - Music Majors	Individual Instruction	PerCredit	\$58.00	\$58.00	0.0%
Duluth	Applied Music Lesson - Non-majors	Individual Instruction	Flat	\$321.25	\$321.25	0.0%
Duluth	Aquatic Food Webs - Travel/field trip	Travel/Lodging/Transport	Flat	\$475.00	\$475.00	0.0%
Duluth	Assessment in the Classroom	Service	Flat	\$30.00	\$30.00	0.0%
Duluth	Biology Fee	Consumable Materials	Flat	\$88.75	\$88.75	0.0%
Duluth	Biology Field Trips	Travel/Lodging/Transport	Flat	\$26.00	\$26.00	0.0%
Duluth	Bowling	Access/Rent/Usage/Own	Flat	\$58.00	\$58.00	0.0%
Duluth	CEHSP Background Check	Service	Flat	\$44.00	\$44.00	0.0%
Duluth	Chemical Engineering Lab	Consumable Materials	Flat	\$62.00	\$62.00	0.0%

Attachment 7 -REVISED
University of Minnesota 2020-21 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2020 Amount	2021 Amount	Percent Change
Duluth	Chemistry/Biochemistry Fee	Consumable Materials	Flat	\$80.00	\$80.00	0.0%
Duluth	Civil Engineering Lab	Consumable Materials	Flat	\$62.00	\$62.00	0.0%
Duluth	College in the Schools UMD	Tuition	Flat	\$92.00	\$92.00	0.0%
Duluth	Computer Lab Full Access	Access/Rent/Usage/Own	Flat	\$75.50	\$75.50	0.0%
Duluth	Computer Network Access Fee - Grad/Masters Active Status	Access/Rent/Usage/Own	Flat	\$6.00	\$6.00	0.0%
Duluth	Computer Network Access Fee - Grad/Masters Active Status	Service	Flat	\$75.00	\$75.00	0.0%
Duluth	Communication Science Disorders (CSD) Lab	Consumable Materials	PerCredit	\$12.00	\$12.00	0.0%
Duluth	Cross Country Skiing	Access/Rent/Usage/Own	Flat	\$43.00	\$43.00	0.0%
Duluth	EDUC EdTPA	Service	Flat	\$270.00	\$270.00	0.0%
Duluth	Electrical Engineering Lab	Consumable Materials	Flat	\$47.00	\$47.00	0.0%
Duluth	Geography Field Techniques	Travel/Lodging/Transport	Flat	\$185.00	\$185.00	0.0%
Duluth	Geographical Information Systems (GIS) Lab	Consumable Materials	Flat	\$95.00	\$20.00	-78.9%
Duluth	Geography of Soils	Travel/Lodging/Transport	Flat	\$121.50	\$121.50	0.0%
Duluth	Geological Sciences Field Trip	Travel/Lodging/Transport	Flat	\$40.00	\$40.00	0.0%
Duluth	Half Recital - Undergraduate Music Majors	Service	Flat	\$76.50	\$76.50	0.0%
Duluth	AHS Field Trip	Travel/Lodging/Transport	Flat	\$35.00	\$35.00	0.0%
Duluth	CPR/First Aid	Service	Flat	\$34.00	\$15.00	-55.9%
Duluth	Intercultural Communication	Travel/Lodging/Transport	Flat	\$120.00	\$120.00	0.0%
Duluth	Locker Room/Towel	Access/Rent/Usage/Own	Flat	\$9.00	\$9.00	0.0%
Duluth	Marine Biology - Chicago	Travel/Lodging/Transport	Flat	\$396.00	\$396.00	0.0%
Duluth	Marine Biology - Friday Harbor WA/Woods Hole MA	Travel/Lodging/Transport	Flat	\$2,495.00	\$2,495.00	0.0%
Duluth	Mechanical & Industrial Engineering Lab	Consumable Materials	Flat	\$42.00	\$42.00	0.0%
Duluth	Outdoor Education Methods	Travel/Lodging/Transport	Flat	\$81.00	\$81.00	0.0%
Duluth	Outdoor leadership	Travel/Lodging/Transport	Flat	\$286.00	\$286.00	0.0%
Duluth	PE Kayaking	Access/Rent/Usage/Own	Flat	\$74.00	\$74.00	0.0%
Duluth	PE Rock Climbing	Access/Rent/Usage/Own	Flat	\$63.00	\$63.00	0.0%
Duluth	Art and Design Consumables Fee	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Duluth	Group Voice Lesson	Individual Instruction	Flat	\$158.00	\$158.00	0.0%
Duluth	Private Singing Lesson	Individual Instruction	Flat	\$352.00	\$352.00	0.0%
Duluth	Recital - Graduate Music Majors	Service	Flat	\$204.00	\$204.00	0.0%
Duluth	Recital - Undergraduate Music Majors	Service	Flat	\$153.00	\$153.00	0.0%
Duluth	Recreation Course Fee	Travel/Lodging/Transport	Flat	\$95.00	\$95.00	0.0%
Duluth	Ropes Course Management	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Duluth	Social Work Field Fee	Service	Flat	\$117.00	\$117.00	0.0%
Duluth	Sports Injury Management	Consumable Materials	Flat	\$17.00	\$17.00	0.0%
Duluth	Wasatch-Uinta Field Camp Room and Board	Travel/Lodging/Transport/Ro	Flat		\$1,735.00	new
Morris						
Morris	Concert Choir Retreat	Travel/Lodging/Transport	Flat	\$80.00	\$80.00	0.0%
Morris	Education Program Fee	Program	Flat	\$300.00	\$300.00	0.0%
Morris	Elementary Ed Practicum: Cross Cultural Experience	Travel/Lodging/Transport	Flat	\$475.00	\$475.00	0.0%
Morris	Endorsement/Additional Licensure Fee	Program	Flat	\$200.00	\$200.00	0.0%
Morris	International English Language Program - STELLAR	(multiple components)	Flat	\$3,162.00	\$1,606.00	-49.2%
Morris	Jazz Retreat Fee	Travel/Lodging/Transport	Flat	\$50.00	\$50.00	0.0%
Morris	Jazz Trip Fee	Travel/Lodging/Transport	Flat	\$250.00	\$250.00	0.0%
Morris	Minneapolis Museum Travel	Travel/Lodging/Transport	Flat	\$40.00	\$25.00	-37.5%
Morris	On-line Course Fee	E-Learn	PerCredit	\$45.00	\$45.00	0.0%
Morris	Private Music Lesson	Individual Instruction	Flat	\$375.00	\$375.00	0.0%
Morris	Student Teaching Fee	Exam/Assessment	Flat	\$400.00	\$400.00	0.0%
Morris	Studio Art Materials Fee	Consumable Materials	PerCredit	\$25.00	\$25.00	0.0%
Morris	Symphonic Winds Retreat	Travel/Lodging/Transport	Flat	\$90.00	\$90.00	0.0%
Morris	Music Technology Fee	access/Rent/Usage/Own	Flat		\$100.00	new
Morris	Theatre Arts Materials Fee	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Rochester						
Rochester	Environmental Health Field Trips	Travel/Lodging/Transport	Flat	\$14.00	\$14.00	0.0%
Rochester	Environmental Health Field Trips	Consumable Materials	Flat	\$28.00	\$28.00	0.0%
Rochester	Lab Supplies for Anatomy & Physiology	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Rochester	Lab Supplies for Biochemistry II	Consumable Materials	Flat	\$85.00	\$85.00	0.0%
Rochester	Lab Supplies for Biology	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Rochester	Lab Supplies for Chemical Reactivity	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Rochester	Lab Supplies for Chemical Structures	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Rochester	Lab Supplies for Echocardiography	Consumable Materials	Flat	\$120.00	\$120.00	0.0%
Rochester	Lab Supplies for General Chemistry II	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Rochester	Lab Supplies for Microbiology	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Rochester	Lab Supplies for Mollecular/Cellular Biology	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Rochester	Lab Supplies for Organic Chemistry II	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Rochester	NBRC Skills Assessment Exams Respiratory Care Jr	Exam/Assessment	Flat	\$40.00	\$40.00	0.0%
Rochester	NBRC Skills Assessment Exams - Written	Exam/Assessment	Flat	\$40.00	\$40.00	0.0%
Rochester	NBRC Skills Assessment Exams - Clinical Simulation	Exam/Assessment	Flat	\$60.00	\$60.00	0.0%
Rochester	Offsite Access to Anatomy Lab for Biol 2311	Access/Rent/Usage/Own	Flat	\$50.00	\$50.00	0.0%
Rochester	Offsite Access to Anatomy Lab for Biol 2332	Access/Rent/Usage/Own	Flat	\$165.00	\$165.00	0.0%
Rochester	Strategic Learning Associates LLC License fee for Respiatorv Care	Exam/Assessment	Flat	\$60.00	\$60.00	0.0%

Attachment 7 -REVISED
University of Minnesota 2020-21 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2020 Amount	2021 Amount	Percent Change
Rochester	Strengths Quest Assessment	Exam/Assessment	Flat	\$10.00	\$10.00	0.0%
Rochester	Trajesys Online Clinical Record	Access/Rent/Usage/Own	Flat	\$150.00	\$150.00	0.0%
Rochester	Travel Experiences for Special Topics Course	Travel/Lodging/Transport	Flat	\$2,220.00	\$2,220.00	0.0%
Rochester	Humanities Activity Fee (Yoga Lessons)	Personnel	Flat		\$80.00	new
Twin Cities						
Academic Health Sciences	Microscope Fee-5212-Heme I-Twin Cities	Access/Rent/Usage/Own	Flat	\$25.00	\$25.00	0.0%
Academic Health Sciences	5112 Application of Diagnostic Microbiology Principles-	Consumable Materials	Flat	\$250.00	\$250.00	0.0%
Academic Health Sciences	5212 Application of Hematology & Hemostasis Principles TC	Consumable Materials	Flat	\$70.00	\$70.00	0.0%
Academic Health Sciences	5311-Fundamental Biomedical Laboratory Techniques - TC	Consumable Materials	Flat	\$194.00	\$194.00	0.0%
Academic Health Sciences	5312 Body Fluid Analysis TC	Consumable Materials	Flat	\$131.00	\$131.00	0.0%
Academic Health Sciences	5514 Application of Transfusion Medicine Principles TC	Consumable Materials	Flat	\$188.00	\$188.00	0.0%
Academic Health Sciences	Microscope Fee I	Access/Rent/Usage/Own	Flat	\$25.00	\$25.00	0.0%
Academic Health Sciences	Microscope Fee II	Access/Rent/Usage/Own	Flat	\$50.00	\$50.00	0.0%
Academic Health Sciences	OT 7596-Level II Fieldwork I	Consumable Materials	Flat	\$29.00	\$29.00	0.0%
Academic Health Sciences	OT 7494 Scholarly Project	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Academic Health Sciences	Molecular Fees MLSP 6402	Consumable Materials	Flat		\$205.00	new
Academic Health Sciences	OT 7111 Course Materials	Consumable Materials	Flat		\$62.00	new
Carlson Schl of Mgmt	CGI Business Internship in Singapore or Hong Kong	(multiple components)	Flat	\$6,120.00	\$6,120.00	0.0%
Carlson Schl of Mgmt	CGI Business Internship Administrative Fee	Service	Flat	\$750.00	\$750.00	0.0%
Carlson Schl of Mgmt	China Exec DBA Program Cohort 6	Tuition	Flat	\$85,000.00	\$85,000.00	0.0%
Carlson Schl of Mgmt	CSOM Enterprise Fee - Brands	Service	PerCredit	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	CSOM Enterprise Fee - Consulting	Service	PerCredit	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	CSOM Enterprise Fee - Fixed Income	Service	PerCredit	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	CSOM Enterprise Fee - Growth	Service	PerCredit	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	CSOM Enterprise Fee - Ventures	Service	PerCredit	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	IBUS 3002 Acct. 3001: Managerial Accounting in Argentina	Travel/Lodging/Transport	Flat	\$5,600.00	\$5,600.00	0.0%
Carlson Schl of Mgmt	IBUS 3010: Global Entrepreneurship in Cuba	Travel/Lodging/Transport	Flat	\$4,400.00	\$4,400.00	0.0%
Carlson Schl of Mgmt	IBUS 3021 (HRIR 3021): HR Management in Australia	Travel/Lodging/Transport	Flat	\$5,300.00	\$5,300.00	0.0%
Carlson Schl of Mgmt	IBUS 3033W Business Communication in Spain	Travel/Lodging/Transport	Flat	\$5,500.00	\$5,500.00	0.0%
Carlson Schl of Mgmt	IBUS 3055 Innovating with Technology	Travel/Lodging/Transport	Flat	\$5,500.00	\$5,500.00	0.0%
Carlson Schl of Mgmt	IBUS 3080 Costa Rica Program	Travel/Lodging/Transport	Flat	\$4,800.00	\$4,800.00	0.0%
Carlson Schl of Mgmt	IBUS 3090 Equit in Leadership in New Zealand	Travel/Lodging/Transport	Flat	\$5,600.00	\$5,600.00	0.0%
Carlson Schl of Mgmt	IBUS 3101 CIMBA Undergrad Semester Program	Program	Flat	\$1,000.00	\$1,000.00	0.0%
Carlson Schl of Mgmt	IBUS 3500 Program Fee for CGI Undergrad Exchange Programs	Program	Flat	\$2,500.00	\$2,500.00	0.0%
Carlson Schl of Mgmt	IBUS 3500 Semester Exchange Undergraduate	Tuition	Flat	\$6,692.00	\$6,692.00	0.0%
Carlson Schl of Mgmt	IBUS 3700 London School of Economics Administrative Fee	Service	Flat	\$750.00	\$750.00	0.0%
Carlson Schl of Mgmt	IBUS 3700 Sec 001 London School of Economics Tuition - 1 Session	Tuition	Flat	\$2,900.00	\$3,074.00	6.0%
Carlson Schl of Mgmt	IBUS 3700 Sec 002 London School of Economics Tuition - 2 Sessions	Tuition	Flat	\$4,900.00	\$5,194.00	6.0%
Carlson Schl of Mgmt	IBUS 3700 Sec 003 London School of Economics Tuition - 3 Sessions	Tuition	Flat	\$5,800.00	\$6,148.00	6.0%
Carlson Schl of Mgmt	IBUS/MGMT 4050 Managing Innov and Change in Brazil	Travel/Lodging/Transport	Flat	\$5,600.00	\$5,600.00	0.0%
Carlson Schl of Mgmt	IBUS 3800 CIMBA Summer Program	Program	Flat	\$750.00	\$750.00	0.0%
Carlson Schl of Mgmt	IBUS 3702 Copenhagen Summer Undergrad Prog	Travel/Lodging/Transport	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	IBUS 3701 Vienna Summer Undergrad Program	Travel/Lodging/Transport	Flat	\$1,800.00	\$1,800.00	0.0%
Carlson Schl of Mgmt	IBUS 3703 Norway Summer Undergrad Program	Travel/Lodging/Transport	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	IBUS 3704 Shanghai Summer Undergrad Program	Travel/Lodging/Transport	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	IBUS 4082W Brand Management in Italy	Travel/Lodging/Transport	Flat	\$5,600.00	\$5,600.00	0.0%
Carlson Schl of Mgmt	IBUS 4125 Global Banking in Europe	Travel/Lodging/Transport	Flat	\$5,500.00	\$5,500.00	0.0%
Carlson Schl of Mgmt	IBUS 5090 Independent Study	Travel/Lodging/Transport	Flat	\$5,800.00	\$5,800.00	0.0%
Carlson Schl of Mgmt	IBUS 5140 Vienna Summer Graduate Program	Travel/Lodging/Transport	Flat	\$1,800.00	\$1,800.00	0.0%
Carlson Schl of Mgmt	IBUS 5301 Copenhagen Summer Graduate Program	Travel/Lodging/Transport	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	IBUS 5400, sec 003 : Global Business Practicum	Travel/Lodging/Transport	Flat	\$2,600.00	\$2,600.00	0.0%
Carlson Schl of Mgmt	IBUS 5400, sec 004 : Global Business China	Travel/Lodging/Transport	Flat	\$2,800.00	\$1,800.00	-35.7%
Carlson Schl of Mgmt	IBUS 5605 Shanghai Summer Graduate Program	Travel/Lodging/Transport	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	IBUS 6403: Ghana Grows: Cocoa and More	Travel/Lodging/Transport	Flat	\$2,900.00	\$2,800.00	-3.4%
Carlson Schl of Mgmt	IBUS 6402: Morocco Diversifies: Sustainability and Entrepreneurship	Travel/Lodging/Transport	Flat	\$2,900.00	\$2,800.00	-3.4%
Carlson Schl of Mgmt	IBUS 6997 MILI Global Valuation Lab	Travel/Lodging/Transport	Flat	\$2,600.00	\$2,600.00	0.0%
Carlson Schl of Mgmt	IBUS 3006 (BA 3000): Global Career Skills in U.K. and France	Tuition	Flat	\$5,600.00	\$5,600.00	0.0%
Carlson Schl of Mgmt	MGMT 4050: Managing Innovation and Change IN ACTION	Travel/Lodging/Transport	Flat	\$195.00	\$195.00	0.0%
Carlson Schl of Mgmt	MILI 6997 MILI Global Valuation Lab	Travel/Lodging/Transport	Flat	\$900.00	\$900.00	0.0%
Carlson Schl of Mgmt	MIMBA - Medical Industry MBA program Year 1 - Tongji University. China	Tuition	Flat	\$13,374.46	\$13,850.00	3.6%
Carlson Schl of Mgmt	MIMBA - Medical Industry MBA program Year 2 - Tongji University. China	Tuition	Flat		\$13,171.00	new

Attachment 7 -REVISED
University of Minnesota 2020-21 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2020 Amount	2021 Amount	Percent Change
Carlson Schl of Mgmt	SCO 6291 - Leadership Development Assessment	Exam/Assessment	Flat	\$80.00	\$80.00	0.0%
Carlson Schl of Mgmt	China Executive DBA Program	Service	Flat	\$150.00	\$150.00	0.0%
Col of Biological Sci	Cedar Creek	Travel/Lodging/Transport	Flat	\$350.00	\$350.00	0.0%
Col of Biological Sci	Field Trips - various	Travel/Lodging/Transport	Flat	\$21.00	\$21.00	0.0%
Col of Biological Sci	Food/Lodging/Transportation - Itasca	Travel/Lodging/Transport	Flat	\$300.00	\$100.00	-66.7%
Col of Biological Sci	Genetic Counseling - Internship 1 (summer)	Individual Instruction	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Biological Sci	Genetic Counseling - Internship 2&3 (fall-spring)	Individual Instruction	Flat	\$2,000.00	\$2,000.00	0.0%
Col of Biological Sci	Itasca Transportation	Travel/Lodging/Transport	Flat	\$50.00	\$50.00	0.0%
Col of Biological Sci	Lab Consumables CBS	Consumable Materials	Flat	\$88.00	\$88.00	0.0%
Col of Biological Sci	Lab Consumables Fee-Nature of Life (Itasca)	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Biological Sci	Minnesota Flora	Travel/Lodging/Transport	Flat	\$16.00	\$16.00	0.0%
Col of Continuing & Prof Studies	CCE Online Course Fee	E-Learn	PerCredit	\$27.00	\$27.00	0.0%
Col of Continuing & Prof Studies	College in the Schools TC	Tuition	Flat	\$145.00	\$145.00	0.0%
Col of Continuing & Prof Studies	IBH 6041 Supplemental Course Materials	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Col of Continuing & Prof Studies	Intensive English Program Tier 1	Program	Flat	\$795.00	\$795.00	0.0%
Col of Continuing & Prof Studies	Intensive English Program Tier 2	Program	Flat	\$1,215.00	\$1,215.00	0.0%
Col of Continuing & Prof Studies	Intensive English Program Tier 3	Program	Flat	\$1,590.00	\$1,590.00	0.0%
Col of Continuing & Prof Studies	Intensive English Program Tier 4	Program	Flat	\$2,430.00	\$2,430.00	0.0%
Col of Continuing & Prof Studies	MELP/TOEFL	Program	Flat	\$610.00	\$610.00	0.0%
Col of Continuing & Prof Studies	TRIN Proficiency	Exam/Assessment	Flat	\$40.00	\$40.00	0.0%
Col of Continuing & Prof Studies	TRIN Zero Credit	Program	Flat	\$600.00	\$600.00	0.0%
Col of Continuing & Prof Studies	HSM 4041 Required Assessment Instrument	Exam/Assessment	Flat	\$24.75	\$24.75	0.0%
Col of Design	Advanced Print Design	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Design	Analytical Modeling	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Design	Apparel Assembly	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Design	Architecture in Watercolor	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Design	Color & Form	Consumable Materials	Flat	\$85.00	\$85.00	0.0%
Col of Design	Creative Problem Solving	Exam/Assessment	Flat	\$35.00	\$35.00	0.0%
Col of Design	Creative Design Methods	Personnel	Flat	\$20.00	\$20.00	0.0%
Col of Design	Creative Design Methods	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Col of Design	Design Fundamentals I	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Design	Design Fundamentals II	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Design	Design Studio I, III, IV	(multiple components)	Flat	\$25.00	\$25.00	0.0%
Col of Design	Design Studio II, V	(multiple components)	Flat	\$50.00	\$50.00	0.0%
Col of Design	Designing for Manufacture	Consumable Materials	Flat	\$70.00	\$60.00	-14.3%
Col of Design	Drawing and Design	Personnel	Flat	\$30.00	\$30.00	0.0%
Col of Design	Ecological Design	Travel/Lodging/Transport	Flat	\$275.00	\$275.00	0.0%
Col of Design	Fashion: Trends and Communication	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Design	Furniture Design: Practice	Consumable Materials	Flat	\$165.00	\$165.00	0.0%
Col of Design	Graduate Design 1 (Chicago)	Travel Lodging/Transport	Flat	\$475.00	\$475.00	0.0%
Col of Design	Landscape Analysis Workshop	Travel/Lodging/Transport	Flat	\$575.00	\$575.00	0.0%
Col of Design	Product Development: Softlines	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Design	Product Development: Softlines	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Design	Professional Practice	Exam/Assessment	Flat	\$25.00	\$25.00	0.0%
Col of Design	Text & Image	(multiple components)	Flat	\$70.00	\$70.00	0.0%
Col of Design	Textile Analysis	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Design	Travels in Typography	Personnel	Flat	\$34.00	\$34.00	0.0%
Col of Design	Type Design	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Design	Undergrad Studio I	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Design	Undergrad Studio I	Travel/Lodging/Transport	Flat	\$7.00	\$7.00	0.0%
Col of Design	Undergrad Studio II	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Design	Undergrad Studio II	Travel/Lodging/Transport	Flat	\$7.00	\$7.00	0.0%
Col of Design	Undergrad Studio III	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Design	Undergrad Studio III	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Design	Undergrad Studio IV	Travel/Lodging/Transport	Flat	\$400.00	\$400.00	0.0%
Col of Design	Undergrad Studio V	Consumable Materials	Flat	\$18.00	\$18.00	0.0%
Col of Design	Undergrad Studio V	Travel/Lodging/Transport	Flat	\$7.00	\$7.00	0.0%
Col of Design	Urban Options Studio	Travel/Lodging/Transport	Flat	\$350.00	\$350.00	0.0%
Col of Design	Masonry Design and Construction	Consumable Materials	Flat		\$27.00	new
Col of Design	Screen Test: Metal Work	Consumable Materials	Flat		\$18.00	new
Col of Design	The Art of Daylighting	Consumable Materials	Flat		\$227.00	new
Col of Ed & Human Devel	Assessment Materials - Special Ed	Exam/Assessment	Flat	\$18.00	\$18.00	0.0%
Col of Ed & Human Devel	Assessment Materials for CSPP	Access/Rent/Usage/Own	Flat	\$35.00	\$35.00	0.0%
Col of Ed & Human Devel	Biomechanics Equipment	Access/Rent/Usage/Own	Flat	\$45.00	\$45.00	0.0%
Col of Ed & Human Devel	Bowling Fee	Access/Rent/Usage/Own	Flat	\$60.00	\$60.00	0.0%
Col of Ed & Human Devel	CEHD Practitioner Professional Development Programs restructured from per credit to flat rates					
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 1 (4 credits)	Tuition	Flat		\$1,200.00	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 2 (3 credits)	Tuition	Flat		\$1,416.00	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 4 (1 credit)	Tuition	Flat	\$533.33	\$533.33	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 4 (2 credits)	Tuition	Flat		\$1,066.66	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 4 (3 credits)	Tuition	Flat		\$1,599.99	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 4 (4 credits)	Tuition	Flat		\$2,133.32	0.0%

Attachment 7 -REVISED
University of Minnesota 2020-21 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2020 Amount	2021 Amount	Percent Change
Col of Ed & Human Devel	CEHD DLI-L Program (1 credit)	Tuition	Flat	\$533.33	\$533.33	0.0%
Col of Ed & Human Devel	CEHD DLI-L Program (2 credits)	Tuition	Flat		\$1,066.66	0.0%
Col of Ed & Human Devel	CEHD DLI-L Program (3 credits)	Tuition	Flat		\$1,599.99	0.0%
Col of Ed & Human Devel	CEHD DLI-L Program (4 credits)	Tuition	Flat		\$2,133.32	0.0%
Col of Ed & Human Devel	CEHD MNGOT Program (1 credit)	Tuition	Flat	\$600.00	\$600.00	0.0%
Col of Ed & Human Devel	CEHD MNGOT Program (2 credits)	Tuition	Flat		\$1,200.00	0.0%
Col of Ed & Human Devel	CEHD MNGOT Program (3 credits)	Tuition	Flat		\$1,800.00	0.0%
Col of Ed & Human Devel	CEHD MNGOT Program (4 credits)	Tuition	Flat		\$2,400.00	0.0%
Col of Ed & Human Devel	Concert Ticket Fee and Guest Artist - Engaged Arts Learning	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Ed & Human Devel	Exercise Physiology Equipment	Access/Rent/Usage/Own	Flat	\$115.00	\$115.00	0.0%
Col of Ed & Human Devel	Experiential Learning	Personnel	Flat	\$17.00	\$17.00	0.0%
Col of Ed & Human Devel	Golf Facilities Use Fee	Access/Rent/Usage/Own	Flat	\$75.00	\$75.00	0.0%
Col of Ed & Human Devel	Guest Artists - Creating Identities - Learning In and Through the Arts	Consumable Materials	Flat	\$24.00	\$24.00	0.0%
Col of Ed & Human Devel	Human Anatomy for Kinesiology Equipment & Cadaver Lab usage fee	Access/Rent/Usage/Own	Flat	\$45.00	\$45.00	0.0%
Col of Ed & Human Devel	Human Physiology Equipment	Access/Rent/Usage/Own	Flat	\$40.00	\$40.00	0.0%
Col of Ed & Human Devel	Initial Teacher Licensure Ed TPA Fee	Exam/Assessment	Flat	\$270.00	\$270.00	0.0%
Col of Ed & Human Devel	Intermediate Golf Facilities Use Fee	Access/Rent/Usage/Own	Flat	\$125.00	\$125.00	0.0%
Col of Ed & Human Devel	Marathon Class Supplies and Testing Fees	Service	Flat	\$100.00	\$100.00	0.0%
Col of Ed & Human Devel	Nature in the City	Travel/Lodging/Transport	Flat	\$95.00	\$95.00	0.0%
Col of Ed & Human Devel	PE Equipment & Facility Tier 4	Access/Rent/Usage/Own	Flat	\$20.00	\$20.00	0.0%
Col of Ed & Human Devel	PE Equipment & Facility Tier 5	Access/Rent/Usage/Own	Flat	\$13.00	\$13.00	0.0%
Col of Ed & Human Devel	PE Equipment Fee Tier 7	Access/Rent/Usage/Own	Flat	\$65.00	\$65.00	0.0%
Col of Ed & Human Devel	PE Equipment Tier 1	Access/Rent/Usage/Own	Flat	\$2.00	\$2.00	0.0%
Col of Ed & Human Devel	PE Equipment & Facility Tier 2	Access/Rent/Usage/Own	Flat	\$4.00	\$4.00	0.0%
Col of Ed & Human Devel	PE Fee Tier 6 - Lifeguards	Personnel	Flat	\$18.00	\$18.00	0.0%
Col of Ed & Human Devel	Prevent Athletic Injuries Course Materials	Consumable Materials	Flat	\$12.00	\$12.00	0.0%
Col of Ed & Human Devel	School Psych Resource & Assessment Protocols 2nd and 3rd yr students	Access/Rent/Usage/Own	Flat	\$45.00	\$45.00	0.0%
Col of Ed & Human Devel	Scuba facilities	Access/Rent/Usage/Own	Flat	\$120.00	\$120.00	0.0%
Col of Ed & Human Devel	Skiing/Snowboarding	Access/Rent/Usage/Own	Flat	\$110.00	\$110.00	0.0%
Col of Ed & Human Devel	Social Work Fieldwork	Service	PerCredit	\$18.00	\$18.00	0.0%
Col of Ed & Human Devel	SW 8153 AHC Simulations	Service	Flat	\$150.00	\$150.00	0.0%
Col of Ed & Human Devel	Teaching Elem School PE	Access/Rent/Usage/Own	Flat	\$10.00	\$10.00	0.0%
Col of Ed & Human Devel	Testing/Intervention Materials	Access/Rent/Usage/Own	Flat	\$45.00	\$45.00	0.0%
Col of Ed & Human Devel	Youth Studies Field Trip	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Ed & Human Devel	Youth Studies Theatre Activities	Access/Rent/Usage/Own	Flat	\$20.00	\$20.00	0.0%
Col of Ed & Human Devel	CSPP Theravue Fee	Access/Rent/Usage/Ownersh	Flat		\$60.00	new
Col of Food,Ag & Nat Rsrc Sci	HORT 5007 Adv Plant Propagation	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	AFEE 1001 Intro/Ag Educ, Comm & Marketing	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	AGRO 1101 Bio of Plant System	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ESPM 3071; 5703 Agroforestry Field Trip	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	AGRO 3660 Plant Genetics Materials	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	AGRO 5999 Special Topics in Agronomy	Travel/Lodging/Transport	Flat	\$200.00	\$200.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC Orientation to Animal Science / Animal Science Field Trip	Travel/Lodging/Transport	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4604; ANSC 4614 Dairy Production Systems	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4604; ANSC 4614 Dairy Production Systems	Travel/Lodging/Transport	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 1101 Dairy Cattle	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 2012 - Swine/Sheep/Beef	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 2012 - Swine/Sheep/Beef	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4601 Pork Prod Systems Mgmt	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4601 Pork Prod Systems Mgmt	Travel/Lodging/Transport	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4602 - Sheep Production Systems	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4602 - Sheep Production Systems	Travel/Lodging/Transport	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC2012 Livestock & Carcass Evaluation	Access/Rent/Usage/Own	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC3509 Animal Biotechnology	Consumable Materials	Flat	\$70.00	\$70.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	APEC 1001 Orientation to Appl Econ	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Aquatic Insects	Consumable Materials	Flat	\$45.00	\$45.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 3013 Eng Prin Cell Proc	Consumable Materials	Flat	\$100.00	\$75.00	-25.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 3023 Ecological Eng Principles	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 4402 / 5402 Eng Lab	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 4533 Sustainable Waste Mgmt Engr	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 4535 / 5535	(multiple components)	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 4403 / 5403 Bioproducts & Biosystems Engineering Consumables	Consumable Materials	Flat	\$21.00	\$21.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4305 Companion & Wild Species Reprod	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Crops, Environment, & Society	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 2011 Dairy Cattle Judging	Travel/Lodging/Transport	Flat	\$110.00	\$110.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ENT 4251 Forest Shade Tree Entomology	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ENT 1005 Insect Biology	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ESPM 4041W Prob Solving for Environmental Change	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ESPM 3111 / ESPM 5111	(multiple components)	Flat	\$75.00	\$75.00	0.0%

Attachment 7 -REVISED
University of Minnesota 2020-21 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2020 Amount	2021 Amount	Percent Change
Col of Food,Ag & Nat Rsrc Sci	ESPM 4096 Prof Experience Program - SWC	Consumable Materials	Flat	\$34.50	\$34.50	0.0%
Col of Food,Ag & Nat Rsrc Sci	ESPM 5071 / HORT 5071 Ecological Restoration	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ESPM 2021	Travel/Lodging/Transport	Flat	\$6.00	\$6.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FDSY 1016W	Travel/Lodging/Transport	Flat	\$45.00	\$45.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 2102 Field Ecology Equipment	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 4511; FNRM 5511 Field Silviculture	Travel/Lodging/Transport	Flat	\$60.00	\$60.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	SOIL 4511 - Field Study of Soils	Travel/Lodging/Transport	Flat	\$93.00	\$93.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 4521 Field Timber Harvesting	Travel/Lodging/Transport	Flat	\$60.00	\$60.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FMR< 5153 Marcell Experiment Forest	Travel/Lodging/Transport	Flat	\$124.00	\$124.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 3104 / 5104 Forest Ecology	Consumable Materials	Flat	\$70.00	\$35.00	-50.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 3480 - Topics in Natl Resources	Travel/Lodging/Transport	Flat	\$51.00	\$51.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 5161 Northern Forest Field Course	Consumable Materials	Flat	\$21.00	\$21.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 5228 Adv top Assesment & Modeling	Travel/Lodging/Transport	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 4511 Field Silviculture	Travel/Lodging/Transport	Flat	\$84.00	\$84.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ESPM 3108; ESPM 5108 Forestry Field Trip	Travel/Lodging/Transport	Flat	\$45.00	\$20.00	-55.6%
Col of Food,Ag & Nat Rsrc Sci	FSCN 1011 Science of Food & Cooking	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 1090 Topics	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 2001 / 3480	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 2002 Cooking	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 2021 Introductory Microbiology	Consumable Materials	Flat	\$70.00	\$70.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 3102	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 3612 Life Cycle Nutrition	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4121 Food Microbiology	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4312 Food Analysis	Consumable Materials	Flat	\$85.00	\$85.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4332: Food Processing Operations	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4349 Food Science Capstone	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4481 Sensory Eval of Food Quality	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4613 Experimental Nutrition	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4614W Community Nutrition	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 5312 Food Analysis	Consumable Materials	Flat	\$85.00	\$85.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 5481 Sensory Eval of Food Quality	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 5521 Flavor Technology	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN Food Science Materials & Services	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSDY 4101: Holistic Approach/Food System Sustain	(multiple components)	Flat	\$45.00	\$41.50	-7.8%
Col of Food,Ag & Nat Rsrc Sci	FW 3108 Field Methods in Res & Conservation of Vertebrate Populations	Travel/Lodging/Transport	Flat	\$700.00	\$600.00	-14.3%
Col of Food,Ag & Nat Rsrc Sci	FW 4136 Ichthyology	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FWBC Cloquet Lodging & Meals (2 weeks)	Travel/Lodging/Transport	Flat	\$350.00	\$350.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 1014 Edible Landscapes	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 3131/5131 Student Organic Farming	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 4011: Medicinal Plants	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 4015 / 4141W / 5071	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 4071W / 5011	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 5012 Med Plants	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Hort 5031 - Organic Vegetation Production	Travel/Lodging/Transport	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 5059 Plant Cytogenetics Lab	Consumable Materials	Flat	\$90.00	\$90.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 3005W Intro to Plant Physiology	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Horticulture Materials Floral Design	Consumable Materials	Flat	\$200.00	\$200.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FW 3106 Vegetation Sampling for Habitat Assessments	Travel/Lodging/Transport	Flat	\$964.00	\$90.00	-90.7%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4603 and ANSC 4613 Beef Prod Systems	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4603 and ANSC 4613 Beef Prod Systems	Travel/Lodging/Transport	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 2101; FNRM 5161 Cloquet Forestry Center	Travel/Lodging/Transport	Flat	\$640.00	\$640.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 5413 Managing for Ecosystems: Silviculture	Travel/Lodging/Transport	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 4232W; FNRM 5232 Managing Recreational	Travel/Lodging/Transport	Flat	\$17.00	\$17.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM Northern Forests Field Ecology	Travel/Lodging/Transport	Flat	\$160.00	\$160.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 5161 Northern Forests Field Course /Cloquet	Travel/Lodging/Transport	Flat	\$160.00	\$160.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM Orientation and Information Systems	Travel/Lodging/Transport	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 3206; FNRM 5206 Parks & Protected Mgmt	(multiple components)	Flat	\$674.00	\$674.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	PIPA Intro to Fungal Biology	Consumable Materials	Flat	\$32.00	\$32.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	PLPA 1005; 2001; 3003 Plant Pathology	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 1001; HORT 6011 Plant Propogation	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	PLSC 3005W Intro to Plant Physiology	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Soil Conservation & Land Use Management	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	LAAS 515 - Soil Formation	Travel/Lodging/Transport	Flat	\$74.00	\$74.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	SOIL 4511 - Field Study of Soils	Travel/Lodging/Transport	Flat	\$217.00	\$217.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	SSM 1004 Orientation	Travel/Lodging/Transport	Flat	\$65.00	\$65.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	SSM Sustainable Manufacturing	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Student Learning Communities Initiative / Freshmen	Travel/Lodging/Transport	Flat	\$55.00	\$55.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 1001 Orientation	Travel/Lodging/Transport	Flat	\$80.00	\$80.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FWCB 1001 Student Learning Communities Initiative FW	Travel/Lodging/Transport	Flat	\$70.00	\$70.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	SUST 4004 Sustainable Communities	Travel/Lodging/Transport	Flat	\$60.00	\$60.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 3431; FNRM 5431	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Usage fee / horses	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 1031 Vines & Wines	Consumable Materials	Flat	\$80.00	\$80.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	SOIL 5555 / ESPM 5555 Wetland Soils Fee	Travel/Lodging/Transport	Flat	\$55.00	\$55.00	0.0%

Attachment 7 -REVISED
University of Minnesota 2020-21 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2020 Amount	2021 Amount	Percent Change
Col of Food,Ag & Nat Rsrc Sci	HORT 4011: Medicinal Plants	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 3480 - Topics in Natl Resources	Travel/Lodging/Transport/Ro	Flat	\$51.00	\$51.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FW 5625 Wldlf Hndlng/Immobilization	(multiple components)	Flat	\$550.00	\$550.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FDSY 2102: Diversity of Agricultural Production Systems	Travel/Lodging/Transport/Ro	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FW 3108 Spr / Field Methods in Research & Conservation of Vertebrate Populations	(multiple components)	Flat		\$95.00	new
Col of Liberal Arts	Advanced Medical Spanish	Personnel	Flat	\$15.00	\$10.00	-33.3%
Col of Liberal Arts	Anthropology Fee - Tier 1	(multiple components)	Flat	\$8.00	\$8.00	0.0%
Col of Liberal Arts	Anthropology Fee - Tier 2	(multiple components)	Flat	\$18.00	\$18.00	0.0%
Col of Liberal Arts	Anthropology Fee - Tier 3	(multiple components)	Flat	\$18.00	\$18.00	0.0%
Col of Liberal Arts	Anthropology-Archaeology Field School Summmer Fee	(multiple components)	Flat	\$385.00	\$385.00	0.0%
Col of Liberal Arts	ART - 3D Modeling	(multiple components)	Flat	\$95.00	\$95.00	0.0%
Col of Liberal Arts	ART - Body Electric & New Media	Consumable Materials	Flat	\$150.00	\$150.00	0.0%
Col of Liberal Arts	ART - Ceramics Tier 1	(multiple components)	Flat	\$115.00	\$115.00	0.0%
Col of Liberal Arts	ART - Ceramics Tier 2	(multiple components)	Flat	\$170.00	\$170.00	0.0%
Col of Liberal Arts	ART - Critical Theories	(multiple components)	Flat	\$20.00	\$20.00	0.0%
Col of Liberal Arts	ART - Digital Drawing	(multiple components)	Flat	\$70.00	\$70.00	0.0%
Col of Liberal Arts	ART - Dimensional Painting	(multiple components)	Flat	\$45.00	\$45.00	0.0%
Col of Liberal Arts	ART - DPP Zines, Comics and books	(multiple components)	Flat	\$145.00	\$145.00	0.0%
Col of Liberal Arts	ART - Drawing & Painting Tier 1	(multiple components)	Flat	\$60.00	\$60.00	0.0%
Col of Liberal Arts	ART - Drawing & Painting Tier 2	(multiple components)	Flat	\$65.00	\$65.00	0.0%
Col of Liberal Arts	ART - Drawing & Painting Tier 3	(multiple components)	Flat	\$85.00	\$85.00	0.0%
Col of Liberal Arts	ART - Exhibition Fee	Consumable Materials	Variable	\$2.00 - \$350.00	\$2.00 - \$350.00	0.0%
Col of Liberal Arts	ART - Filmmaking	(multiple components)	Flat	\$110.00	\$110.00	0.0%
Col of Liberal Arts	ART - Grad Practice, thesis and theoretical constructions	Personnel	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	ART - IASP Art and Ecology	(multiple components)	Flat	\$70.00	\$70.00	0.0%
Col of Liberal Arts	ART - IASP Interdisciplinary Media Collabortaions	(multiple components)	Flat	\$80.00	\$80.00	0.0%
Col of Liberal Arts	ART - IASP The performative in art	(multiple components)	Flat	\$40.00	\$40.00	0.0%
Col of Liberal Arts	ART - MFA Studio & Creative Thesis	Consumable Materials	PerCredit	\$30.00	\$25.00	-16.7%
Col of Liberal Arts	ART - Nash Gallery Exhibition	Access/Rent/Usage/Own	Flat	\$6.00	\$5.00	-16.7%
Col of Liberal Arts	ART - Photography - Tier 1	(multiple components)	Flat	\$62.00	\$62.00	0.0%
Col of Liberal Arts	ART - Photography - Tier 2	(multiple components)	Flat	\$105.00	\$105.00	0.0%
Col of Liberal Arts	ART - Photography - Tier 3	(multiple components)	Flat	\$55.00	\$55.00	0.0%
Col of Liberal Arts	ART - PMI Tier 4	(multiple components)	Flat	\$115.00	\$115.00	0.0%
Col of Liberal Arts	ART - Printmaking Tier 1	(multiple components)	Flat	\$110.00	\$110.00	0.0%
Col of Liberal Arts	ART - Printmaking Tier 2	(multiple components)	Flat	\$125.00	\$125.00	0.0%
Col of Liberal Arts	ART - Professional practices in art	(multiple components)	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	ART - Sculpture - Tier 1	(multiple components)	Flat	\$85.00	\$85.00	0.0%
Col of Liberal Arts	ART - Sculpture - Tier 3	(multiple components)	Flat	\$130.00	\$130.00	0.0%
Col of Liberal Arts	ART - Sculpture - Tier 4	(multiple components)	Flat	\$195.00	\$195.00	0.0%
Col of Liberal Arts	ART - Sculpture - Tier 4	Access/Rent/Usage/Own	Flat	\$2-\$350	\$2-\$350	0.0%
Col of Liberal Arts	ART - Studio Arts	(multiple components)	Flat	\$10.00	\$10.00	0.0%
Col of Liberal Arts	ART - Studio Critique Guest Artist Fee	Personnel	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	ART - Watercolor painting	(multiple components)	Flat	\$55.00	\$55.00	0.0%
Col of Liberal Arts	ART DPP advanced painting	(multiple components)	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	ART DPP Figure Drawing	(multiple components)	Flat	\$70.00	\$70.00	0.0%
Col of Liberal Arts	BFA Costumes and Props	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	BFA Voice Equipment	Access/Rent/Usage/Own	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	Comm Studies - Tier 1	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Col of Liberal Arts	Comm Studies - Tier 2	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Liberal Arts	Dance - Survival Strategies in Dance	Service	Flat	\$100.00	\$100.00	0.0%
Col of Liberal Arts	Dance Accompanist Tier 1	Personnel	Flat	\$60.00	\$60.00	0.0%
Col of Liberal Arts	Dance Accompanist Tier 2	Personnel	Flat	\$70.00	\$70.00	0.0%
Col of Liberal Arts	Dance Accompanist Tier 3	Personnel	Flat	\$90.00	\$90.00	0.0%
Col of Liberal Arts	Dance Accompanist Tier 4	Personnel	Flat	\$100.00	\$100.00	0.0%
Col of Liberal Arts	Interdept Study - Career Plan	Exam/Assessment	Flat	\$10.00	\$10.00	0.0%
Col of Liberal Arts	Journalism/Mass Communications Digital Lab	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Liberal Arts	Latino Immigration on the US/Mexican Border	Travel/Lodging/Transport	Flat	\$1,345.00	\$1,345.00	0.0%
Col of Liberal Arts	Lives Worth Living - 1 Week Option	Confirmation/Deposit	Flat	\$250.00	\$250.00	0.0%
Col of Liberal Arts	Lives Worth Living - 1 Week Option	Tuition	Flat	\$1,010.00	\$1,010.00	0.0%
Col of Liberal Arts	Lives Worth Living - 4 Week Option	Confirmation/Deposit	Flat	\$380.00	\$380.00	0.0%
Col of Liberal Arts	Lives Worth Living - 4 Week Option	Travel/Lodging/Transport	Flat	\$500.00	\$500.00	0.0%
Col of Liberal Arts	Lives Worth Living - 4 Week Option	Tuition	Flat	\$2,020.00	\$2,020.00	0.0%
Col of Liberal Arts	Marching/Pep Band - Program	Program	Flat	\$100.00	\$100.00	0.0%
Col of Liberal Arts	Music - Applied Music Lessons - Elective	Individual Instruction	PerCredit	\$193.00	\$193.00	0.0%
Col of Liberal Arts	Music - Applied Music Lessons - Music Education	Individual Instruction	PerCredit	\$121.00	\$121.00	0.0%
Col of Liberal Arts	Music - Piano Course Fee	Access/Rent/Usage/Own	Flat	\$40.00	\$40.00	0.0%
Col of Liberal Arts	Music Education - Brass	Access/Rent/Usage/Own	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Music Education - Strings	Access/Rent/Usage/Own	Flat	\$45.00	\$45.00	0.0%
Col of Liberal Arts	Music Education - Woodwinds	Access/Rent/Usage/Own	Flat	\$110.00	\$110.00	0.0%
Col of Liberal Arts	Music Scores for Ensembles	(multiple components)	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	SLHS - Clinical Education	(multiple components)	PerCredit	\$15.00	\$15.00	0.0%
Col of Liberal Arts	Spanish - Latino Immigration	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Col of Liberal Arts	Spanish - Latino Immigration	Personnel	Flat	\$15.00	\$15.00	0.0%
Col of Liberal Arts	Spanish - Latino Immigration	Service	Flat	\$5.00	\$5.00	0.0%

Attachment 7 -REVISED
University of Minnesota 2020-21 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2020 Amount	2021 Amount	Percent Change
Col of Liberal Arts	Theater Arts - Accompanist - Tier 2	Personnel	Flat	\$90.00	\$90.00	0.0%
Col of Liberal Arts	Theatre ART - Collaboration	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	Theatre Arts - Accompanist - Tier 1	Personnel	Flat	\$100.00	\$100.00	0.0%
Col of Liberal Arts	Theatre Arts - BFA Collaboration	Access/Rent/Usage/Own	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Theatre Arts - BFA Collaboration	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Liberal Arts	Theatre Arts - BFA Collaboration	Personnel	Flat	\$40.00	\$40.00	0.0%
Col of Liberal Arts	Theatre Arts - Circus	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	Theatre Arts - Collaboration	Access/Rent/Usage/Own	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	Theatre Arts - Costume	Consumable Materials	Flat	\$175.00	\$175.00	0.0%
Col of Liberal Arts	Theatre Arts - Makeup	Consumable Materials	Flat	\$90.00	\$90.00	0.0%
Col of Liberal Arts	Theatre Arts - Puppetry	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Liberal Arts	Theatre Arts - Performance Ticket Fee (Artshare)	Travel/Lodging/Transport	Flat	\$48.00	\$48.00	0.0%
Col of Liberal Arts	Theatre Arts - Performance Ticket Fee (Various)	Travel/Lodging/Transport	Flat	\$75.00	\$75.00	0.0%
Col of Liberal Arts	Theatre Arts - Stage Materials	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Liberal Arts	French in Louisiana - French/Francophone Cultures	Travel/Lodging/Transport	Flat		\$1,239.00	new
Col of Pharmacy	APPE Germany - TC	Travel/Lodging/Transport	Flat	\$4,000.00	\$4,000.00	0.0%
Col of Pharmacy	APPE Germany - UMD	Travel/Lodging/Transport	Flat	\$4,000.00	\$4,000.00	0.0%
Col of Pharmacy	Pharm Practice & Hlth Care in MN & Portugal	Program	Flat	\$1,350.00	\$1,350.00	0.0%
Col of Sci & Engineering	Aerospace Design Problems	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Col of Sci & Engineering	Aerospace Vehicle Design	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Sci & Engineering	Biomed Engineering Course 10	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Sci & Engineering	Biomed Engineering Course 11	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Sci & Engineering	Biomed Engineering Course 13	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Col of Sci & Engineering	Biomed Engineering Course 9	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Sci & Engineering	Biomed Engineering Lab 2	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Sci & Engineering	Biomed Engineering Lab 5	Consumable Materials	Flat	\$17.50	\$17.50	0.0%
Col of Sci & Engineering	Biomed Engineering Lab 6	Consumable Materials	Flat	\$45.00	\$45.00	0.0%
Col of Sci & Engineering	Biomedical Engineering Tissue Engineering Crse 8	Consumable Materials	Flat	\$150.00	\$150.00	0.0%
Col of Sci & Engineering	CEGE 1501 - Environmental Issues & Solutions	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Sci & Engineering	CEGE 3402W Civil Engineering Materials	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Sci & Engineering	CEGE 3541 Environmental Engineering Laboratory	Consumable Materials	Flat	\$46.00	\$46.00	0.0%
Col of Sci & Engineering	CEGE 3541 Environmental Engineering Laboratory	Consumable Materials	Flat	\$53.00	\$53.00	0.0%
Col of Sci & Engineering	CEMS Lab Fe CHEN 3401	Consumable Materials	Flat	\$30.00	\$20.00	-33.3%
Col of Sci & Engineering	CEMS Lab Fee CHEN 4401	Consumable Materials	Flat	\$60.00	\$40.00	-33.3%
Col of Sci & Engineering	CEMS Lab Fee MATS 2002	Consumable Materials	Flat	\$30.00	\$20.00	-33.3%
Col of Sci & Engineering	CEMS Lab Fee MATS 3801	Access/Rent/Usage/Own	Flat	\$200.00	\$200.00	0.0%
Col of Sci & Engineering	CEMS Lab Fee MATS 3851	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Sci & Engineering	CEMS Lab Fee MATS 4221	Access/Rent/Usage/Own	Flat	\$30.00	\$30.00	0.0%
Col of Sci & Engineering	CHEM 1017 - Chemistry Lab Fee	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Col of Sci & Engineering	CHEM 1065 - Chemistry Lab Fee	Consumable Materials	Flat	\$58.00	\$58.00	0.0%
Col of Sci & Engineering	CHEM 1066 - Chemistry Lab Fee	Consumable Materials	Flat	\$58.00	\$58.00	0.0%
Col of Sci & Engineering	CHEM 1075H - Chemistry Lab Fee	Consumable Materials	Flat	\$57.00	\$57.00	0.0%
Col of Sci & Engineering	CHEM 1076H - Chemistry Lab Fee	Consumable Materials	Flat	\$51.00	\$51.00	0.0%
Col of Sci & Engineering	CHEM 1086 - Life Sciences II Chemistry Lab Fee	Consumable Materials	Flat	\$69.00	\$69.00	0.0%
Col of Sci & Engineering	CHEM 2085 - Chemistry Lab Fee	Consumable Materials	Flat	\$82.00	\$79.00	-3.7%
Col of Sci & Engineering	CHEM 2111 - Chemistry Lab Fee	Consumable Materials	Flat	\$70.00	\$70.00	0.0%
Col of Sci & Engineering	CHEM 2121 - Chemistry Lab Fee	Consumable Materials	Flat	\$65.00	\$65.00	0.0%
Col of Sci & Engineering	CHEM 2311 - Chemistry Lab Fee	Consumable Materials	Flat	\$96.00	\$96.00	0.0%
Col of Sci & Engineering	CHEM 2312H - Chemistry Lab Fee	Consumable Materials	Flat	\$250.00	\$250.00	0.0%
Col of Sci & Engineering	CHEM 4111W - Chemistry Lab Fee	Consumable Materials	Flat	\$114.00	\$114.00	0.0%
Col of Sci & Engineering	CHEM 4223W, CHEN 4223, MATS 4223 - Polymer Chemistry Lab Fee	Consumable Materials	Flat	\$98.00	\$98.00	0.0%
Col of Sci & Engineering	CHEM 4311W - Chemistry Lab Fee	Consumable Materials	Flat	\$204.00	\$204.00	0.0%
Col of Sci & Engineering	CHEM 4423 - Chemistry Chem Bio Lab Fee	Consumable Materials	Flat	\$183.00	\$183.00	0.0%
Col of Sci & Engineering	CHEM 4511W - Chemistry Lab Fee	Consumable Materials	Flat	\$63.00	\$63.00	0.0%
Col of Sci & Engineering	CHEM 4711W - Chemistry Lab Fee	Consumable Materials	Flat	\$142.00	\$142.00	0.0%
Col of Sci & Engineering	EE 1301 - Introduction to Computing Systems	Consumable Materials	Flat	\$53.00	\$52.00	-1.9%
Col of Sci & Engineering	EE 2015 - Signals, Curcuits, & Electronics I	Consumable Materials	Flat	\$46.00	\$46.00	0.0%
Col of Sci & Engineering	EE 2115 - Analog and Digital Electronics	Consumable Materials	Flat		\$5.00	new
Col of Sci & Engineering	EE 2301 - Introduction to Digital System Design	Consumable Materials	Flat	\$121.00	\$121.00	0.0%
Col of Sci & Engineering	EE 2361 - Introduction to Microcontrollers	Consumable Materials	Flat	\$51.00	\$50.00	-2.0%
Col of Sci & Engineering	EE 3006 - Fundamentals of Electrical Engineering Laboratory	Consumable Materials	Flat	\$42.00	\$42.00	0.0%
Col of Sci & Engineering	EE 3102 - Circuits and Electronics Lab II	Consumable Materials	Flat	\$22.00	\$22.00	0.0%
Col of Sci & Engineering	ESCI 1902 - wild rice	Travel/Lodging/Transport/Ro	Flat		\$134.00	new
Col of Sci & Engineering	ESCI 1907, Caves and Karst Field Trip fees	Travel/Lodging/Transport	Flat	\$50.00	\$50.00	0.0%
Col of Sci & Engineering	ESCI 2201 Field Trip	Travel/Lodging/Transport	Flat	\$14.00	\$14.00	0.0%
Col of Sci & Engineering	ESCI 2203 Lab	Travel/Lodging/Transport	Flat	\$64.00	\$64.00	0.0%
Col of Sci & Engineering	ESCI 2302 Field Trip	Travel/Lodging/Transport	Flat	\$20.00	\$20.00	0.0%
Col of Sci & Engineering	ESCI 3911 Field Camp Intro	Travel/Lodging/Transport	Flat	\$1,560.00	\$1,560.00	0.0%
Col of Sci & Engineering	ESCI 4501/8501 Field Trip	Travel/Lodging/Transport	Flat	\$82.00	\$82.00	0.0%
Col of Sci & Engineering	ESCI 4602- Sed/Strat	Travel/Lodging/Transport/Ro	Flat		\$18.00	new
Col of Sci & Engineering	ESCI 4701, Geomorphology	Travel/Lodging/Transport	Flat	\$87.00	\$87.00	0.0%
Col of Sci & Engineering	ESCI 4702 Field Trip	Travel/Lodging/Transport	Flat	\$120.00	\$35.00	-70.8%

Attachment 7 -REVISED
University of Minnesota 2020-21 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2020 Amount	2021 Amount	Percent Change
Col of Sci & Engineering	ESCI 4703 Glacial Field Trips	Travel/Lodging/Transport	Flat	\$122.00	\$122.00	0.0%
Col of Sci & Engineering	ESCI 4911 Field Camp Advanced	Travel/Lodging/Transport	Flat	\$1,103.00	\$1,103.00	0.0%
Col of Sci & Engineering	ESCI 4971W/5971 Field Camp Hydrogeo	Travel/Lodging/Transport	Flat	\$1,100.00	\$1,100.00	0.0%
Col of Sci & Engineering	ESci Urban Field Course	Travel/Lodging/Transport/Ro	Flat		\$605.00	new
Col of Sci & Engineering	Mechanical Engineering - Robotics - ME 5286	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Design & Manufac - ME 3221	Consumable Materials	Flat	\$55.00	\$55.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Design Projects - ME 4054W	Consumable Materials	Flat	\$34.00	\$25.00	-26.5%
Col of Sci & Engineering	Mechanical Engineering - Measurements Lab -	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Robot Course - ME 2011	Consumable Materials	Flat	\$28.00	\$28.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Design and Manufacturing II - ME 3222	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Sci & Engineering	MOT Study Abroad Fee (Middle East)	Travel/Lodging/Transport	Flat	\$6,500.00	\$6,500.00	0.0%
Col of Sci & Engineering	UNITE Fee - Section 881	E-Learn	PerCredit	\$100.00	\$100.00	0.0%
Col of Sci & Engineering	UNITE Fee - Sections 883 & 885	E-Learn	PerCredit	\$100.00	\$100.00	0.0%
Col of Veterinary Med	Companion Animal Anatomy Lab Fee	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Veterinary Med	General Microbiology	Consumable Materials	Flat	\$90.00	\$90.00	0.0%
Col of Veterinary Med	Veterinary & Biomedical Services Lab Fee	Consumable Materials	Flat	\$125.00	\$125.00	0.0%
Law School	Law Summer Legal Study - China - LAW7701	Tuition	Flat	\$5,560.00	\$5,560.00	0.0%
Medical School	ANAT5999/7999 Head and Neck	Consumable Materials	Flat	\$8.00	\$8.00	0.0%
Medical School	ANAT6050 Gross Dental Anatomy	Consumable Materials	Flat	\$8.00	\$8.00	0.0%
Medical School	Human AnatomyLabs: Anat3602/Anat3612/Anat3608H	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Medical School	INMD 6801 - Human Struc & Func (Histology lab fee)	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Medical School	INMD6813 Neuroscience	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Medical School	INMD6820 Gross Anatomy	Consumable Materials	Flat	\$8.00	\$4.00	-50.0%
Medical School	MED 6566 - Cardiovascular System (Duluth)	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Medical School	MED 6728 - Respiratory System (Duluth)	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Medical School	MED 6788 - Skin/Musculoskeletal (Duluth)	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Medical School	MICB - Lab Supplies/Services	Consumable Materials	Flat	\$88.00	\$88.00	0.0%
Medical School	Microscope Fee (LAMP)	Access/Rent/Usage/Own	Flat	\$5.00	\$5.00	0.0%
Medical School	MORT 3151 - RA Lab	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Medical School	MORT 3161 - Embalming Laboratory	Consumable Materials	Flat	\$250.00	\$250.00	0.0%
Medical School	MORT 3171 - Human Anatomy	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Medical School	MORT 3379 - Clinical Rotation	Consumable Materials	Flat	\$45.00	\$45.00	0.0%
Medical School	NSC 5540 Biomedical Neuroscience Section 1	Service	Flat	\$1,600.00	\$1,600.00	0.0%
Medical School	NSC 5540 Biomedical Neuroscience Section 2	Service	Flat	\$1,000.00	\$1,000.00	0.0%
Medical School	NSC 5540 Biomedical Neuroscience Section 3	Service	Flat	\$800.00	\$800.00	0.0%
Medical School	NSC 5540 Biomedical Neuroscience Section 4	Service	Flat	\$200.00	\$200.00	0.0%
Medical School	NSCI 1100 Human Neuroanatomy	Consumable Materials	Flat	\$82.00	\$82.00	0.0%
Medical School	NSCI 5111 Medical Neuroscience	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Medical School	NSCI 6112 Medical Neuroscience	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Medical School	Pelvis & Urinary Symptoms	Consumable Materials	Flat	\$326.00	\$326.00	0.0%
Medical School	PHCL 4100-Lab Fee	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Medical School	PHSL 5510 Advanced Cardiac Physiology	Consumable Materials	Flat	\$525.00	\$525.00	0.0%
Medical School	PHSL3051 Human Physiology	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Medical School	PHSL3701 Physiology Lab	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Schl of Dentistry	DDS4 Advanced Practice Management Simulation	Access/Rent/Usage/Own	Flat	\$37.00	\$37.00	0.0%
Schl of Dentistry	Dental Practice Readines DDS 1,2,3,4	Access/Rent/Usage/Own	Flat	\$74.00	\$74.00	0.0%
Schl of Dentistry	Dental Practice Readines DH2, DT2	Access/Rent/Usage/Own	Flat	\$37.00	\$37.00	0.0%
Schl of Dentistry	Drake P3 Personality Profile	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Schl of Dentistry	Endo lec-Typodonts	Consumable Materials	Flat	\$263.00	\$263.00	0.0%
Schl of Dentistry	Intro to Clinical Dentistry PASS (DDS6130)	Consumable Materials	Flat	\$545.00	\$545.00	0.0%
Schl of Dentistry	Operative Dentistry I	Consumable Materials	Flat	\$243.00	\$243.00	0.0%
Schl of Dentistry	Operative Dentistry II & III	Consumable Materials	Flat	\$310.00	\$310.00	0.0%
Schl of Dentistry	Operative-PASS (dds 6130)	Consumable Materials	Flat	\$750.00	\$750.00	0.0%
Schl of Dentistry	Oral Anatomy Lab	Consumable Materials	Flat	\$31.00	\$31.00	0.0%
Schl of Dentistry	Oral Anatomy -Manual and Supplies	Access/Rent/Usage/Own	Flat	\$19.00	\$19.00	0.0%
Schl of Dentistry	Ortho I	Consumable Materials	Flat	\$148.00	\$148.00	0.0%
Schl of Dentistry	PASS-DDS 6486 Preclinical Tech Lab-Panadent/Articulators	Consumable Materials		\$846.00	\$846.00	0.0%
Schl of Dentistry	Pre- Clinical Pros Lab II	Access/Rent/Usage/Own	Flat	\$846.00	\$846.00	0.0%
Schl of Dentistry	Pre-Clinical Pros Tech Lab IV	Consumable Materials	Flat	\$542.00	\$542.00	0.0%
Schl of Dentistry	Preclinical Prosth Tech Lab II	Consumable Materials	Flat	\$83.00	\$83.00	0.0%
Schl of Dentistry	Pros Lab V Partial Dentures	Consumable Materials	Flat	\$192.00	\$192.00	0.0%
Schl of Dentistry	Pros Tech lab Fee -PASS	Consumable Materials	Flat	\$221.00	\$221.00	0.0%
Schl of Dentistry	Pros Tech Lab IV- Complete Dentures	Consumable Materials	Flat	\$266.00	\$266.00	0.0%
Schl of Dentistry	Pros Tech Lab VI- Implants	Consumable Materials	Flat	\$345.00	\$345.00	0.0%
Schl of Dentistry	Pros topics in Dental Therapy (DT 5471)	Consumable Materials	Flat	\$172.00	\$172.00	0.0%
Schl of Dentistry	Special Peds Session - Nondegree	Tuition	PerCredit		\$3,551.00	new
Schl of Nursing	Appl Therapeutic Horticulture	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Schl of Nursing	CSH Food Matters	Access/Rent/Usage/Own	Flat	\$135.00	\$135.00	0.0%

Attachment 7 -REVISED
University of Minnesota 2020-21 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2020 Amount	2021 Amount	Percent Change
Schl of Nursing	CSH Food Matters	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Schl of Nursing	CSPH Hawaii Lodging	Travel/Lodging/Transport	Variable	\$400 - \$4,500	\$400 - \$4,500	0.0%
Schl of Nursing	CSPH Healing Imagery	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Schl of Nursing	CSPH Healing Imagery	Personnel	Flat	\$435.00	\$435.00	0.0%
Schl of Nursing	CSPH Healing Imagery	Travel/Lodging/Transport	Flat	\$97.00	\$97.00	0.0%
Schl of Nursing	CSPH Indigenous Hawaiian Healing	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Schl of Nursing	CSPH Indigenous Hawaiian Healing	Personnel	Flat	\$435.00	\$435.00	0.0%
Schl of Nursing	CSPH Indigenous Hawaiian Healing	Travel/Lodging/Transport	Flat	\$138.00	\$138.00	0.0%
Schl of Nursing	CSPH one-credit Hawaii courses	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Schl of Nursing	CSPH one-credit Hawaii courses	Personnel	Flat	\$435.00	\$435.00	0.0%
Schl of Nursing	CSPH one-credit Hawaii courses	Travel/Lodging/Transport	Flat	\$73.00	\$73.00	0.0%
Schl of Nursing	Global Health through Study Abroad - Cuba	Travel/Lodging/Transport	Flat	\$605.00	\$605.00	0.0%
Schl of Nursing	Global Health through Study Abroad - Guatemala clinical	Travel/Lodging/Transport	Flat	\$477.00	\$477.00	0.0%
Schl of Nursing	Global Health through Study Abroad - Iceland	Travel/Lodging/Transport	Flat	\$835.00	\$835.00	0.0%
Schl of Nursing	Global Health through Study Abroad - Ireland	Travel/Lodging/Transport	Flat	\$299.00	\$299.00	0.0%
Schl of Nursing	Global Health through Study Abroad - Uganda	Travel/Lodging/Transport	Flat	\$981.00	\$981.00	0.0%
Schl of Nursing	Health Coaching	Consumable Materials	Flat	\$300.00	\$300.00	0.0%
Schl of Nursing	Health Coaching Group Sessions and Assessment	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Schl of Nursing	Horse as Teacher - Threapeutics	Travel/Lodging/Transport	Flat	\$42.00	\$42.00	0.0%
Schl of Nursing	Practical Botanicals	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Schl of Nursing	Shamanism & Shamanic Healing	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Schl of Nursing	Shamanism & Shamanic Healing (off-site facility)	Access/Rent/Usage/Own	Flat	\$250.00	\$250.00	0.0%
Schl of Nursing	Summer Institute Meals	Travel/Lodging/Transport	Flat	\$175.00	\$175.00	0.0%
Schl of Nursing	Therapeutic Landscapes	Consumable Materials	Flat	\$35.00	\$20.00	-42.9%
Schl of Public Health	Executive PHAP Campus Learning Fee	Program	Flat	\$178.00	\$178.00	0.0%
Schl of Public Health	Public Health Institute	Program	PerCredit	\$25.00	\$25.00	0.0%
Schl of Public Health	Public Health Institute Field Trip Fee 1	Travel/Lodging/Transport	PerCredit	\$30.00	\$30.00	0.0%
Schl of Public Health	Public Health Institute Field Trip Fee 2	Travel/Lodging/Transport	PerCredit	\$50.00	\$50.00	0.0%
Schl of Public Health	Public Health Institute Field Trip Fee 3	Travel/Lodging/Transport	PerCredit	\$80.00	\$80.00	0.0%
Schl of Public Health	Public Health Institute Field Trip Fee 4	Travel/Lodging/Transport	PerCredit	\$100.00	\$100.00	0.0%
Schl of Public Health	Public Health Institute Field Trip Fee 5	Travel/Lodging/Transport	PerCredit	\$40.00	\$40.00	0.0%
Senior VP & Provost	HECUA - Program Fee (4 credit) (FY20: per credit)	Tuition	Flat	\$543.75	\$2,300.00	5.4%
Senior VP & Provost	HECUA - Program Fee (8 credit) (FY20: per credit)	Tuition	Flat	\$543.75	\$4,600.00	5.4%
Senior VP & Provost	HECUA - Internship (4 credit) (FY20: per credit)	Tuition	Flat	\$447.50	\$2,300.00	22.2%
Senior VP & Provost	HECUA - Internship (8 credit) (FY20: per credit)	Tuition	Flat	\$446.25	\$4,600.00	22.4%
Senior VP & Provost	HECUA - Summer Program	Tuition	Flat	\$2,050.00	\$2,250.00	9.8%
Senior VP & Provost	HECUA - Summer Program	Travel/Lodging/Transport	Flat	\$1,950.00	\$2,250.00	15.4%
Senior VP & Provost	HECUA - Program w/Opt Internship (FY20: per credit)	Tuition	Flat	\$641.25	\$2,300.00	-11.5%
Student Affairs	Leadership Minor Field Experience Internship	Tuition	Flat	\$6,220.00	\$6,220.00	0.0%

**Attachment 8:
University of Minnesota 2020-21 Tuition Plan: Miscellaneous Fees**

Campus/College	Fee Name	Terms	Credit range	Rate type	2020 Amount	2021 Amount	Percent Change
Crookston							
Crookston	Application Fee - UMC	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Crookston	Application Fee - UMC Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Confirmation/Orientation Fee - New Students - UMC	Fall/spring	6.0 - 999.99	Flat	\$200.00	\$200.00	0.0%
Crookston	Credit by Exam (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Crookston	Installment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Crookston	Late Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Crookston	NSF Check	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Crookston	Stop Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Crookston	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Late Registration - Week 3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Crookston	Academic Records Fee - Degree/Certificate	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Crookston	Academic Records Fee - Degree/Certificate Continuing (One-Time)	Fall/spring	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Crookston	Academic Records Fee - Non-Degree	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Crookston	Official Transcript Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Crookston	Domestic Priority Shipping Fee for Official Documents	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Crookston	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Crookston	Technology Access - Late Return	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Technology Access - Weekly Rental	Fall/spring/summer	0.05 - 999.99	Flat	\$31.00	\$31.00	0.0%
Crookston	Technology Access - Daily Rental	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Crookston	Technology Access - Insurance Deduction	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Crookston	Confirmation Deposit - Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$400.00	\$400.00	0.0%
Crookston	International Student Academic Fee *	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Crookston	International Student Academic Fee *	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Crookston	International Student Academic Fee *	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Crookston	International Student Academic Fee *	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Crookston	International Student Academic Fee *	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Duluth							
Duluth	Application Fee - UMD Undergrad Domestic	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Duluth	Application Fee - UMD Undergrad International	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Application for Non-Degree and Certificate	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Duluth	Application Fee - Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Confirmation/Orientation Fee - NAS & IUT Students	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Duluth	Confirmation/Orientation Fee - Freshman (NHS)	Fall/spring	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Duluth	Credit by Exam (per credit)	Fall/spring/summer	1.0 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Duluth	Installment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Duluth	Late Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Duluth	NSF Check	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Duluth	Stop Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Duluth	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Late Registration - Week3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Duluth	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.102	Flat	\$150.00	\$150.00	0.0%
Duluth	Academic Records Fee - Degree & Certificate Continuing (One-Time)	Fall/spring/summer	0.05 - 999.101	Flat	\$75.00	\$75.00	0.0%
Duluth	Academic Records Fee-NonDegree	Fall/spring/summer	0.05 - 999.100	Flat	\$75.00	\$75.00	0.0%
Duluth	Official Transcript Fee	Fall/spring/summer	0.05 - 999.103	Flat	\$15.00	\$15.00	0.0%
Duluth	Domestic Priority Shipping Fee for Official Documents	Fall/spring/summer	0.05 - 999.104	Flat	\$15.00	\$15.00	0.0%
Duluth	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Duluth	Transportation Sustainability Fee	Fall/spring	6.00 - 999.99	Flat	\$16.00	\$16.00	0.0%
Duluth	CEHSP Post Baccalaureate Evaluation	Fall/spring/summer	0.05 - 999.99	Flat	\$31.00	\$31.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	0.05 - 2.99	Flat	\$103.00	\$103.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	3.0 - 5.99	Flat	\$206.00	\$206.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	6.0 - 8.99	Flat	\$309.00	\$309.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	9.0 - 11.99	Flat	\$412.00	\$412.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	12.0 - 999.99	Flat	\$618.00	\$618.00	0.0%
Duluth	Duluth Athletics/Athletic Facilities Fee	Fall/Spring	6.0 - 999.99	Flat	\$93.00	\$93.00	0.0%
Duluth	International Student Support Services	Fall/Spring	0.05 - 999.99	Flat	\$152.00	\$152.00	0.0%
Duluth	International Student Support Services	Summer	0.05 - 999.99	Flat	\$76.00	\$76.00	0.0%
Duluth	International Student Academic Fee	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Duluth	International Student Academic Fee	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Duluth	International Student Academic Fee	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Duluth	International Student Academic Fee	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Duluth	International Student Academic Fee	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Morris							
Morris	Application Fee - UMM Electronic	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Morris	Application Fee - UMM Paper	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Morris	Application Fee - UMM Global Student Teaching	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Morris	Application Fee - UMM Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Morris	Placement Fee - UMM Global Student Teaching	Fall/spring/summer	0.05 - 999.99	Flat	\$300.00	\$300.00	0.0%
Morris	Confirmation/Orientation Fee - Freshmen - UMM	Fall/spring/summer	0.05 - 999.99	Flat	\$175.00	\$175.00	0.0%
Morris	Confirmation/Orientation Fee - Transfers - UMM	Fall/spring/summer	0.05 - 999.99	Flat	\$175.00	\$175.00	0.0%
Morris	New Student Orientation Fee	Fall	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Morris	Credit by Exam (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%

Attachment 8:
University of Minnesota 2020-21 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2020 Amount	2021 Amount	Percent Change
Morris	Installment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Morris	Late Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Morris	NSF Check	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Morris	Stop Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Morris	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Morris	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Morris	Late Registration - Week 3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Morris	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Morris	Academic Records Fee - Degree & Certificate Continuing (One-Time)	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Morris	Academic Records Fee-NonDegree	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Morris	Official Transcript Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Morris	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Morris	Key Deposit/Lost Key Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Morris	Rental of Musical Instrument	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Morris	Rental of Space/Purchase of Storage Container	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Morris	Chemistry Lab Equipment Breakage Fee (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$150.00	\$5.00 - \$150.00	0.0%
Morris	UMM Orchestra Performance Tour	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Morris	GST 1-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Morris	GST 2-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$300.00	\$300.00	0.0%
Morris	GST 3-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$450.00	\$450.00	0.0%
Morris	GST 4-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$600.00	\$600.00	0.0%
Morris	GST 5-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$750.00	\$750.00	0.0%
Morris	GST 6-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$900.00	\$900.00	0.0%
Morris	GST Additional Placement	Fall/spring/summer	0.05 - 999.99	Flat	\$125.00	\$125.00	0.0%
Morris	Airfare Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$100 - \$1,500	\$100 - \$1,500	0.0%
Morris	International Student Support Services	Fall/spring/summer	0.05 - 999.99	Flat	\$290.00	\$290.00	0.0%
Morris	National Student Exchange Program - UMM	Fall/spring/summer	0.05 - 999.99	Flat	\$230.00	\$230.00	0.0%
Morris	Nonaffiliated Study Abroad Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Morris	International Student Academic Fee	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Morris	International Student Academic Fee	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Morris	International Student Academic Fee	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Morris	International Student Academic Fee	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Morris	International Student Academic Fee	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%

Rochester

Rochester	Application Fee Online UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Rochester	Confirmation/Housing Deposit Fee - All Students - UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00-\$125.00	\$50.00-\$125.00	0.0%
Rochester	Credit by Exam Fee UMR (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Rochester	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Rochester	Academic Records Fee - Degree & Certificate Continuing (One-Time)	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Rochester	Academic Records Fee - Non-Degree	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Rochester	Official Transcript Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Rochester	Domestic Priority Shipping Fee for Official Documents	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Rochester	U Card Replacement Fee UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Rochester	UMR Laptop Non-return (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$50.00 - \$2,000.00	\$50.00 - \$2,000.00	0.0%
Rochester	Loss/Damage - UMR Student Housing (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$1,000.00	\$5.00 - \$1,000.00	0.0%
Rochester	120 Day Bus Pass - UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$80.00	\$80.00	0.0%
Rochester	Capstone Program Background Check (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$25.00 - \$60.00	\$25.00 - \$60.00	0.0%
Rochester	National Student Exchange Program - UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$200.00	\$200.00	0.0%
Rochester	BSHP Respiratory Care Program Track	Fall/spring/summer	0.05 - 999.99	Flat	\$850.00	\$850.00	0.0%
Rochester	BSHP Echocardiography Program Track	Fall/spring/summer	0.05 - 999.99	Flat	\$779.00	\$779.00	0.0%
Rochester	BSHP Sonography Program Track	Fall/spring/summer	0.05 - 999.99	Flat	\$675.00	\$675.00	0.0%
Rochester	Math Placement Assessment	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Rochester	Printing Charges Beyond Initial Allowance	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%

Twin Cities

Auxiliary Services	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Auxiliary Services	UMTC Transportation and Safety Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%

Carlson Schl of Mgmt	CSOM Application Fee - MBA	Fall/spring	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Carlson Schl of Mgmt	IBUS Application Fee - IBUS Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Carlson Schl of Mgmt	IBUS Application Fee - IBUS Self-Designated	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Carlson Schl of Mgmt	CSOM Confirmation Fee - Exec MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$750.00	\$750.00	0.0%
Carlson Schl of Mgmt	CSOM Confirmation Fee - Online MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$200.00	\$200.00	0.0%
Carlson Schl of Mgmt	Online MBA Orientation (previously included in Confirmation Fee)	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	CSOM Confirmation Fee - MSFIN	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Carlson Schl of Mgmt	CSOM Deposit - Full Time MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	CSOM Deposit - HRIR	Fall/spring/summer	0.05 - 999.99	Flat	\$250.00	\$250.00	0.0%
Carlson Schl of Mgmt	CSOM Deposit - Part Time MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$200.00	\$200.00	0.0%
Carlson Schl of Mgmt	CSOM Deposit - Full Time MSBA	Fall/spring/summer	0.05 - 999.99	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	CSOM Deposit - Part Time MSBA	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Carlson Schl of Mgmt	CSOM Deposit - MSSCM	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Carlson Schl of Mgmt	CSOM Credit by Exam	Fall/spring/summer	0.05 - 999.99	Per credit	\$50.00	\$50.00	0.0%
Carlson Schl of Mgmt	LeaderShape Participation Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Carlson Schl of Mgmt	Women in Business Membership Fee/Dues	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Carlson Schl of Mgmt	IBUS Cancellation Fee - Carlson Study Abroad Program	Fall/spring/summer	0.05 - 999.99	Variable	\$500.00 - \$5,500.00	\$500.00 - \$5,500.00	0.0%

**Attachment 8:
University of Minnesota 2020-21 Tuition Plan: Miscellaneous Fees**

Campus/College	Fee Name	Terms	Credit range	Rate type	2020 Amount	2021 Amount	Percent Change
Carlson Schl of Mgmt	China Exec MBA - CHEMBA (FY21: \$10,445 USD)	Fall/spring/summer	0.05 - 999.99	Flat	360,000 RMB	360,000 RMB	0.0%
Carlson Schl of Mgmt	IBUS Vienna Executive MBA (VEMBA)	Fall/spring/summer	0.05 - 999.99	Flat	\$8,750.00	\$8,750.00	0.0%
Col of Biological Sciences	Room & Board - Itasca	Fall/spring/summer	0.05 - 999.99	Variable	\$245.50 - \$1375.00	\$245.50 - \$1375.00	0.0%
Col of Continuing & Prof Studies	English Language Proficiency Testing	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Col of Continuing & Prof Studies	IBH/ADDC Background Check Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Col of Design	Studio Usage - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Col of Design	Key Deposit - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Col of Design	Locker Deposit - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Col of Design	Bobbin Case Replacement Fee - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Col of Ed & Human Devel	OLPD Admin Licensure - Initial	Fall/spring/summer	0.05 - 999.99	Flat	\$550.00	\$550.00	0.0%
Col of Ed & Human Devel	OLPD Admin Licensure - Additional	Fall/spring/summer	0.05 - 999.99	Flat	\$275.00	\$275.00	0.0%
Col of Ed & Human Devel	Initial Teacher Licensure Ed TPA	Fall/spring	0.05 - 999.99	Flat	\$270.00	\$270.00	0.0%
Col of Ed & Human Devel	Failure to Return Technology Equipment	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$1,500.00	\$5.00 - \$1,500.00	0.0%
Col of Food, Ag & Nat Rsrc Sci	Dietetic Internship	Fall/spring	0.05 - 999.99	Flat	\$8,500.00	\$8,500.00	0.0%
Col of Liberal Arts	Credit by Exam (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Art- Regis Center Locker Rental (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$10.00 - \$50.00	\$10.00 - \$50.00	0.0%
Col of Liberal Arts	Art - Equipment Repair & Replacement	Fall/spring/summer	0.05 - 999.99	Variable	\$10.00 - \$3,500.00	\$10.00 - \$3,500.00	0.0%
Col of Liberal Arts	Art - Late Equipment	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$25.00	\$5.00 - \$25.00	0.0%
Col of Liberal Arts	Marching Band - Instrument & Uniform Equip	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Maintenance Fee						
Col of Liberal Arts	Marching Band - Instrument & Uniform - Repairs	Fall/spring/summer	0.05 - 999.99	Variable	\$2.00 - \$200.00	\$2.00 - \$200.00	0.0%
Col of Liberal Arts	over Allowance						
Col of Liberal Arts	Marching/Pep Band - Apparel	Summer	0.05 - 999.99	Variable	\$2.00 - \$50.00	\$2.00 - \$50.00	0.0%
Col of Liberal Arts	Marching/Pep Band - Late Return	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	Instrument/Uniform						
Col of Liberal Arts	Music - Locker Rental (range)	Fall/spring	0.05 - 999.99	Variable	\$25.00 - \$55.00	\$25.00 - \$55.00	0.0%
Col of Liberal Arts	Music - Locker Late Checkout & Cleaning	Fall/spring	0.05 - 999.99	Variable	\$15.00 - \$45.00	\$15.00 - \$45.00	0.0%
Col of Liberal Arts	Music - Practice Rm Rental (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$25.00 - \$255.00	\$25.00 - \$255.00	0.0%
Col of Liberal Arts	Music - Ultan Recital Hall Rental	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	Music - Instrument Repair & Maintenance	Fall/spring/summer	0.05 - 999.99	Variable	\$9.00 - \$140.00	\$9.00 - \$140.00	0.0%
Col of Liberal Arts	Music - Instrument Rental Late Return Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	Music - Lost Ensemble Music (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$25.00 - \$200.00	\$25.00 - \$200.00	0.0%
Col of Liberal Arts	Music - Practice Rm Lost Key	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Music - Recital Fee	Fall/spring/summer	0.05 - 999.99	Variable	\$35.00 - \$140.00	\$35.00 - \$140.00	0.0%
Col of Liberal Arts	Music - Choir Apparel Fee (range)	Fall/spring/summer	0.05 - 999.99	Flat	\$9.00 - \$80.00	\$9.00 - \$80.00	0.0%
Col of Liberal Arts	Music - Application/Admission Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$45.00	\$45.00	0.0%
Col of Liberal Arts	Key Deposit - English	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Col of Liberal Arts	Key Deposit - Anthropology	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Col of Liberal Arts	ACTFL Exam (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$30.00 - \$200.00	\$30.00 - \$200.00	0.0%
Col of Liberal Arts	Individual Language Assessment (ILA)/ LPE Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Col of Liberal Arts	Language Proficiency Exam - Screening	Fall/spring/summer	0.1 - 999.0	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	CLA - OIT Late Equipment	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$25.00	\$5.00 - \$25.00	0.0%
Col of Pharmacy	PharmD Application	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Col of Pharmacy	Confirmation Deposit Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Col of Sci & Engineering	Confirmation Deposit - MOT	Fall/spring/summer	0.05 - 999.99	Flat	\$2,000.00	\$2,000.00	0.0%
Col of Sci & Engineering	Confirmation Deposit - MSST	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Col of Sci & Engineering	Confirmation Deposit - MDI	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Col of Sci & Engineering	CEGE Deposit - Clicker	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Col of Sci & Engineering	Background Check-MSST	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Col of Sci & Engineering	Late Capstone - MOT	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Sci & Engineering	Late Capstone - MDI	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Sci & Engineering	Late Capstone - MSST	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Sci & Engineering	Program Fee - MOT Year 1	Fall/spring	0.05 - 999.99	Flat	\$1,775.00	\$1,775.00	0.0%
Col of Sci & Engineering	Program Fee - MOT Year 2	Fall/spring	0.05 - 999.99	Flat	\$1,750.00	\$1,750.00	0.0%
Col of Sci & Engineering	CSE Anderson Labs Materials Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$5.00	\$5.00	0.0%
Col of Veterinary Med	Application Fee - DVM Program	Fall/spring/summer	0.05 - 999.99	Flat	\$85.00	\$85.00	0.0%
Col of Veterinary Med	Confirmation Fee - DVM Program	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Executive VP & Provost	Application Fee - TC Undergrad	Fall/spring	0.05 - 999.99	Flat	\$55.00	\$55.00	0.0%
Executive VP & Provost	Confirmation/Orientation Fee - TC Freshman	Fall/spring	0.05 - 999.99	Flat	\$280.00	\$280.00	0.0%
Executive VP & Provost	Confirmation/Orientation Fee - TC Transfer	Fall/spring/summer	0.05 - 999.99	Flat	\$95.00	\$95.00	0.0%
Executive VP & Provost	Installment/Rebilling Fee	Fall/spring	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Executive VP & Provost	Late Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Executive VP & Provost	Returned Payment Fee (NSF)	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Executive VP & Provost	Stop Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Executive VP & Provost	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Executive VP & Provost	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Executive VP & Provost	Late Registration - Week 3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Executive VP & Provost	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Executive VP & Provost	Academic Records Fee - Degree & Certificate Continuing (One-Time)	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Executive VP & Provost	Academic Records Fee-NonDegree	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Executive VP & Provost	Official Transcript Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%

**Attachment 8:
University of Minnesota 2020-21 Tuition Plan: Miscellaneous Fees**

Campus/College	Fee Name	Terms	Credit range	Rate type	2020 Amount	2021 Amount	Percent Change
Executive VP & Provost	Domestic Priority Shipping Fee for Official Documents	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Executive VP & Provost	International Student Academic Fee	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Executive VP & Provost	International Student Academic Fee	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Executive VP & Provost	International Student Academic Fee	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Executive VP & Provost	International Student Academic Fee	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Executive VP & Provost	International Student Academic Fee	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Executive VP & Provost	HECUA - Administrative Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$225.00	\$225.00	0.0%
Executive VP & Provost	National Student Exchange Orientation	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Executive VP & Provost	National Student Exchange Program	Fall/spring/summer	0.05 - 999.99	Flat	\$225.00	\$225.00	0.0%
Global Prog & Strategy All	MN Semester Study Abroad	Fall/spring	0.05 - 999.99	Flat	\$12,353.00	\$12,353.00	0.0%
Global Prog & Strategy All	MN Semester Study Abroad	Summer	0.05 - 999.99	Flat	\$5,958.00	\$5,958.00	0.0%
Global Prog & Strategy All	MN Semester Study Abroad Summer Prog	Summer	0.05 - 999.99	Flat	\$7,249.00	\$7,249.00	0.0%
Global Prog & Strategy All	MN Semester Internship	Fall/spring/summer	0.05 - 999.100	Flat	\$2,850.00	\$2,850.00	0.0%
Global Prog & Strategy All	MN Semester Study Abroad - Grad	Fall/spring	0.05 - 999.99	Flat	\$10,500.00	\$10,500.00	0.0%
Global Prog & Strategy All	International Sponsored Student Fee	Fall/spring	0.05 - 999.99	Flat	\$300.00	\$300.00	0.0%
Global Prog & Strategy All	International Sponsored Student Fee	Summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Global Prog & Strategy All	International Student Support Services	Fall/spring	0.05 - 999.99	Flat	\$175.00	\$175.00	0.0%
Global Prog & Strategy All	International Student Support Services	Summer	0.05 - 999.99	Flat	\$85.00	\$85.00	0.0%
Global Prog & Strategy All	International Student Aid	Fall/spring	0.05 - 999.99	Flat	\$14.00	\$14.00	0.0%
Global Prog & Strategy All	International Student Aid	Summer	0.05 - 999.99	Flat	\$8.00	\$8.00	0.0%
Graduate School	Application Fee - GRAD - Re-Admission/Change of Status	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Graduate School	Application Fee - GRAD (domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Graduate School	Application Fee - GRAD (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$95.00	\$95.00	0.0%
Academic Health Sci, Assoc VP	Application Processing Fee - Occ Therapy/Medical Lab Sci	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Academic Health Sci, Assoc VP	Admission Confirmation Fee - Occupational Therapy Program	Fall/spring/summer	0.05 - 999.99	Flat	\$250.00	\$250.00	0.0%
Health Sciences	The Medical Laboratory Sciences Equipment Loss/Damage Fee	Fall/spring/summer	0.05 - 999.99	Variable	\$50.00 - \$1,000.00	\$50.00 - \$1,000.00	0.0%
Humphrey Schl of Public Affr	International Fellow Orientation/First Year	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Law School	Application - LAW	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Law School	Application - LLM - Law School	Fall/spring/summer	0.05 - 999.99	Flat	\$70.00	\$70.00	0.0%
Law School	Confirmation - LAW	Fall/spring/summer	0.05 - 999.99	Flat	\$750.00	\$750.00	0.0%
Law School	Confirmation - LLM	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Law School	Locker Rental - Law	Fall/spring/summer	0.05 - 999.99	Flat	\$11.00	\$11.00	0.0%
Medical School	Application Fee - MED (Duluth)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Medical School	Application Fee - MED (Twin Cities)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Medical School	Confirmation Fee - MED - Duluth	Fall/spring/summer	0.05 - 999.99	Flat	\$110.00	\$110.00	0.0%
Medical School	Confirmation Fee - MED - TC	Fall/spring/summer	0.05 - 999.99	Flat	\$110.00	\$110.00	0.0%
Medical School	Confirmation Fee - MED - Mortuary Science	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$95.00	-5.0%
Schl of Dentistry	Application - DENT - DDS	Fall/spring/summer	0.05 - 999.99	Flat	\$85.00	\$85.00	0.0%
Schl of Dentistry	Application - DENT - Dental Therapy	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Schl of Dentistry	Application - DENT - Endodontics	Fall/spring/summer	0.05 - 999.99	Flat	\$55.00	\$55.00	0.0%
Schl of Dentistry	Application - DENT - Endodontics (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$65.00	\$65.00	0.0%
Schl of Dentistry	Application - DENT - Orthodontics (Domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$125.00	\$125.00	0.0%
Schl of Dentistry	Application - DENT - Orthodontics (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Schl of Dentistry	Application - DENT - PASS	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Schl of Dentistry	Application - DENT - Periodontology (Domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Schl of Dentistry	Application - DENT - Periodontology (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$125.00	\$125.00	0.0%
Schl of Dentistry	Application - DENT - Prosthodontics (Domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$60.00	\$60.00	0.0%
Schl of Dentistry	Application - DENT - Prosthodontics (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$70.00	\$65.00	-7.1%
Schl of Dentistry	Application - DENT - Oral/Maxillofacial Surgery Resident	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - DDS	Fall/spring/summer	0.05 - 999.99	Flat	\$1,500.00	\$1,500.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - Dental Hygiene	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - Dental Therapy	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - Endontic	Fall/spring/summer	0.05 - 999.99	Flat	\$2,000.00	\$2,000.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - PASS	Fall/spring/summer	0.05 - 999.99	Flat	\$5,000.00	\$5,000.00	0.0%
Schl of Dentistry	Transfer Evaluation - DENT - DDS	Fall/spring/summer	0.05 - 999.99	Flat	\$1,500.00	\$1,500.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Hygiene	Fall/spring	0.05 - 999.99	Flat	\$861.00	\$861.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Hygiene	Summer	0.05 - 999.99	Flat	\$517.00	\$517.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Therapy - Grad	Fall/spring	0.05 - 999.99	Flat	\$2,137.00	\$2,137.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Therapy - Grad	Summer	0.05 - 999.99	Flat	\$1,062.00	\$1,062.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - DDS1,2,3,4,5,PASS	Fall/spring	0.05 - 999.99	Flat	\$2,137.00	\$2,137.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - Endo(Grad &	Fall/spring/summer	0.05 - 999.99	Flat	\$1,424.00	\$1,424.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - Peds(Grad &	Fall/spring/summer	0.05 - 999.99	Flat	\$858.00	\$858.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - Perio(Grad &	Fall/spring/summer	0.05 - 999.99	Flat	\$726.00	\$726.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - Prosth(Grad &	Fall/spring/summer	0.05 - 999.99	Flat	\$1,028.00	\$1,028.00	0.0%
Schl of Dentistry	Overgarments - Ortho (Grad and Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$102.00	\$102.00	0.0%
Schl of Dentistry	Summer Instrument Usage/Materials - DDS,2,3,4,5, PASS 3.4	Summer	0.05 - 999.99	Flat	\$1,062.00	\$1,062.00	0.0%
Schl of Dentistry	Mannequin Shrouds	Fall/spring/summer	0.05 - 999.99	Flat	\$85.00	\$85.00	0.0%
Schl of Nursing	Confirmation - NURSG - MN, PhD, DNP	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%

**Attachment 8:
University of Minnesota 2020-21 Tuition Plan: Miscellaneous Fees**

Campus/College	Fee Name	Terms	Credit range	Rate type	2020 Amount	2021 Amount	Percent Change
Schl of Nursing	Confirmation - NURSG - Baccalaureate	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Schl of Nursing	Lab, Simulation & Practicum - MN & DNP	Fall/spring/summer	0.05 - 999.99	Flat	\$700.00	\$700.00	0.0%
Schl of Nursing	Lab, Simulation & Practicum - Baccalaureate	Fall/spring	0.05 - 999.99	Flat	\$700.00	\$700.00	0.0%
Schl of Nursing	CSPH Health Coaching	Fall/spring/summer	0.05 - 999.99	Flat	\$300.00	\$300.00	0.0%
Schl of Nursing	CSH Hawaii Deposit	Fall/spring	0.05 - 999.99	Variable	\$100.00 - \$600.00	\$100.00 - \$600.00	0.0%
Schl of Public Health	SPH Admission Deposit	Fall/spring/summer	0.05 - 999.99	Flat	\$250.00	\$250.00	0.0%
Student Affairs	Career Assessments (range)	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00 - \$35.00	\$10.00 - \$35.00	0.0%
Student Affairs	Dental Insurance - TC - Advanced Dental Care Option	Fall/spring	0.05 - 999.99	Flat	\$163.00	\$249.00	52.8%
Student Affairs	Dental Insurance - UMC - Advanced Dental Care Option	Fall/spring	0.05 - 999.99	Flat	\$163.00	\$249.00	52.8%
Student Affairs	Dental Insurance - UMD - Advanced Dental Care Option	Fall/spring	0.05 - 999.99	Flat	\$163.00	\$249.00	52.8%
Student Affairs	Dental Insurance - UMM - Advanced Dental Care Option	Fall/spring	0.05 - 999.99	Flat	\$163.00	\$249.00	52.8%
Student Affairs	Health Plan - TC	Fall/spring	6.0 - 999.99	Flat	\$1,170.00	\$1,272.00	8.7%
Student Affairs	Health Plan - TC	Summer	3.0 - 999.99	Flat	\$641.00	\$648.00	1.1%
Student Affairs	Health Plan - TC - AHC	Fall/spring	0.05 - 999.99	Flat	\$1,170.00	\$1,272.00	8.7%
Student Affairs	Health Plan - TC - AHC	Summer	0.05 - 999.99	Flat	\$641.00	\$648.00	1.1%
Student Affairs	Health Plan - TC - Dental Res/Fellow	Fall/spring	0.05 - 999.99	Flat	\$228.25	\$183.60	-19.6%
Student Affairs	Health Plan - TC - Dental Res/Fellow	Summer	0.05 - 999.99	Flat	\$91.30	\$73.44	-19.6%
Student Affairs	Health Plan - TC - GA	Fall/spring/summer	0.05 - 999.99	Flat	\$127.62	\$139.32	9.2%
Student Affairs	Health Plan - TC - BH Group Extended Coverage Non-SSF Eligible	Fall/spring/summer	0.05 - 5.99	Flat	\$143.33	\$147.63	3.0%
Student Affairs	Health Plan - TC - BH Group Extended Coverage	Fall/spring	0.05 - 5.99	Flat	\$143.33	\$147.63	3.0%
Student Affairs	Health Plan - TC - BH Group Extended Coverage	Summer	0.05 - 5.99	Flat	\$143.33	\$74.13	-48.3%
Student Affairs	Health Plan - TC -International Students	Fall/spring	0.05 - 999.99	Flat	\$1,170.00	\$1,272.00	8.7%
Student Affairs	Health Plan - TC -International Students	Summer	0.05 - 999.99	Flat	\$641.00	\$648.00	1.1%
Student Affairs	Health Plan - UMC	Fall/spring	6.0 - 999.99	Flat	\$1,170.00	\$1,272.00	8.7%
Student Affairs	Health Plan - UMC	Summer	3.0 - 999.99	Flat	\$641.00	\$648.00	1.1%
Student Affairs	Health Plan - UMC - International Students	Fall/spring	0.05 - 999.99	Flat	\$1,170.00	\$1,272.00	8.7%
Student Affairs	Health Plan - UMC - International Students	Summer	0.05 - 999.99	Flat	\$641.00	\$648.00	1.1%
Student Affairs	Health Plan - UMD	Fall/spring	6.0 - 999.99	Flat	\$1,170.00	\$1,272.00	8.7%
Student Affairs	Health Plan - UMD	Summer	3.0 - 999.99	Flat	\$641.00	\$648.00	1.1%
Student Affairs	Health Plan - UMD - AHC	Fall/spring	0.05 - 999.99	Flat	\$1,170.00	\$1,272.00	8.7%
Student Affairs	Health Plan - UMD - AHC	Summer	0.05 - 999.99	Flat	\$641.00	\$648.00	1.1%
Student Affairs	Health Plan - UMD - Graduate Assistant	Fall/spring/summer	0.05 - 999.99	Flat	\$127.62	\$139.32	9.2%
Student Affairs	Health Plan - UMD - International Students	Fall/spring	0.05 - 999.99	Flat	\$1,170.00	\$1,272.00	8.7%
Student Affairs	Health Plan - UMD - International Students	Summer	0.05 - 999.99	Flat	\$641.00	\$648.00	1.1%
Student Affairs	Health Plan - UMM	Fall/spring	6.0 - 999.99	Flat	\$1,170.00	\$1,272.00	8.7%
Student Affairs	Health Plan - UMM	Summer	3.0 - 999.99	Flat	\$641.00	\$648.00	1.1%
Student Affairs	Health Plan - UMM - International Students	Fall/spring	0.05 - 999.99	Flat	\$1,170.00	\$1,272.00	8.7%
Student Affairs	Health Plan - UMM - International Students	Summer	0.05 - 999.99	Flat	\$641.00	\$648.00	1.1%
Student Affairs	Long-Term Disability - TC	Fall/spring	0.05 - 999.99	Flat	\$44.10	\$44.10	0.0%
Student Affairs	Long-Term Disability - TC -DENT	Fall/spring	0.05 - 999.99	Flat	\$51.05	\$46.75	-8.4%
Student Affairs	Long-Term Disability - TC -DENT	Summer	0.05 - 999.99	Flat	\$20.42	\$18.70	-8.4%
Student Affairs	Long-Term Disability - UMD - AHC	Fall/spring	0.05 - 999.99	Flat	\$44.10	\$44.10	0.0%
University Finance	Capital Enhancement Fee for Student Life	Fall/spring	6.0 - 999.99	Flat	\$75.00	\$75.00	0.0%
University Finance	Stadium Fee	Fall/spring	6.0 - 999.99	Flat	\$6.00	\$6.00	0.0%

Attachment 8:

University of Minnesota 2019-20 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2019 Amount	2020 Amount	Percent Change
Crookston							
Crookston	Application Fee - UMC	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Crookston	Application Fee - UMC Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Confirmation/Orientation Fee - New Students -	Fall/spring	6.0 - 999.99	Flat	\$100.00	\$200.00	100.0%
Crookston	Credit by Exam (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Crookston	Installment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Crookston	Late Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Crookston	NSF Check	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Crookston	Stop Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Crookston	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Late Registration - Week 3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Crookston	Academic Records Fee - Degree/Certificate	Fall/spring/summer	0.05 - 999.99	Flat		\$150.00	new
Crookston	Academic Records Fee - Degree/Certificate	Fall/spring	0.05 - 999.99	Flat		\$75.00	new
	Continuing (One-Time)						
Crookston	Academic Records Fee - Non-Degree	Fall/spring/summer	0.05 - 999.99	Flat		\$75.00	new
Crookston	Official Transcript Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Crookston	Domestic Priority Shipping Fee for Official Documents	Fall/spring/summer	0.05 - 999.99	Flat		\$15.00	new
	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Crookston	Technology Access - Late Return	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Technology Access - Weekly Rental	Fall/spring/summer	0.05 - 999.99	Flat	\$31.00	\$31.00	0.0%
Crookston	Technology Access - Daily Rental	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Crookston	Technology Access - Insurance Deduction	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Crookston	Confirmation Deposit - Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$400.00	\$400.00	0.0%
Crookston	International Student Academic Fee *	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Crookston	International Student Academic Fee *	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Crookston	International Student Academic Fee *	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Crookston	International Student Academic Fee *	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Crookston	International Student Academic Fee *	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Duluth							
Duluth	Application Fee - UMD Undergrad Domestic	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Duluth	Application Fee - UMD Undergrad International	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Application Fee - Non-Degree and Certificate	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Duluth	Application Fee - Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Confirmation/Orientation Fee - NAS & IUT Students	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Duluth	Confirmation/Orientation Fee - Freshman (NHS)	Fall/spring	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Duluth	Credit by Exam (per credit)	Fall/spring/summer	1.0 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Duluth	Installment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Duluth	Late Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Duluth	NSF Check	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Duluth	Stop Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Duluth	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Late Registration - Week3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Duluth	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.102	Flat		\$150.00	new
Duluth	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.101	Flat		\$75.00	new
	Continuing (One-Time)						
Duluth	Academic Records Fee-NonDegree	Fall/spring/summer	0.05 - 999.100	Flat		\$75.00	new
Duluth	Official Transcript Fee	Fall/spring/summer	0.05 - 999.103	Flat	\$15.00	\$15.00	0.0%
Duluth	Domestic Priority Shipping Fee for Official Documents	Fall/spring/summer	0.05 - 999.104	Flat		\$15.00	new
	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Duluth	Transportation Sustainability Fee	Fall/spring	6.00 - 999.99	Flat	\$16.00	\$16.00	0.0%
Duluth	CEHSP Post Baccalaureate Evaluation	Fall/spring/summer	0.05 - 999.99	Flat	\$31.00	\$31.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	0.05 - 2.99	Flat	\$103.00	\$103.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	3.0 - 5.99	Flat	\$206.00	\$206.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	6.0 - 8.99	Flat	\$309.00	\$309.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	9.0 - 11.99	Flat	\$412.00	\$412.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	12.0 - 999.99	Flat	\$618.00	\$618.00	0.0%
Duluth	Chemical Screening	Fall/spring/summer	0.05 - 999.99	Flat	\$80.00	\$80.00	0.0%
Duluth	Duluth Athletics/Athletic Facilities Fee	Fall/Spring	6.0 - 999.99	Flat	\$84.00	\$93.00	10.7%
Duluth	International Student Support Services	Fall/Spring	0.05 - 999.99	Flat	\$152.00	\$152.00	0.0%
Duluth	International Student Support Services	Summer	0.05 - 999.99	Flat	\$76.00	\$76.00	0.0%
Duluth	International Student Academic Fee	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Duluth	International Student Academic Fee	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Duluth	International Student Academic Fee	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Duluth	International Student Academic Fee	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Duluth	International Student Academic Fee	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Morris							
Morris	Application Fee - UMM Electronic	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Morris	Application Fee - UMM Paper	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Morris	Application Fee - UMM Global Student Teaching	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Morris	Application Fee - UMM Study Abroad	Fall/spring/summer	0.05 - 999.100	Flat	\$50.00	\$50.00	0.0%
Morris	Placement Fee - UMM Global Student Teaching	Fall/spring/summer	0.05-999.99	Flat	\$300.00	\$300.00	0.0%
Morris	Confirmation/Orientation Fee - Freshmen - UMM	Fall/spring/summer	0.05 - 999.99	Flat	\$175.00	\$175.00	0.0%

Attachment 8:

University of Minnesota 2019-20 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2019 Amount	2020 Amount	Percent Change
Morris	Confirmation/Orientation Fee - Transfers - UMM	Fall/spring/summer	0.05 - 999.99	Flat	\$175.00	\$175.00	0.0%
Morris	New Student Orientation Fee	Fall	0.05-999.99	Flat	\$100.00	\$100.00	0.0%
Morris	Credit by Exam (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Morris	Installment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Morris	Late Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Morris	NSF Check	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Morris	Stop Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Morris	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Morris	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Morris	Late Registration - Week 3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Morris	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.102	Flat		\$150.00	new
Morris	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.101	Flat		\$75.00	new
	Continuing (One-Time)						
Morris	Academic Records Fee-NonDegree	Fall/spring/summer	0.05 - 999.100	Flat		\$75.00	new
Morris	Official Transcript Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Morris	Domestic Priority Shipping Fee for Official Documents	Fall/spring/summer	0.05 - 999.99	Flat		\$15.00	new
	Documents						
Morris	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Morris	Key Deposit/Lost Key Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Morris	Rental of Musical Instrument	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$35.00	40.0%
Morris	Rental of Space/Purchase of Storage Container	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Morris	Chemistry Lab Equipment Breakage Fee (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$150.00	\$5.00 - \$150.00	0.0%
Morris	UMM Orchestra Tour (location changes)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Morris	GST 1-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Morris	GST 2-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$300.00	\$300.00	0.0%
Morris	GST 3-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$450.00	\$450.00	0.0%
Morris	GST 4-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$600.00	\$600.00	0.0%
Morris	GST 5-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$750.00	\$750.00	0.0%
Morris	GST 6-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$900.00	\$900.00	0.0%
Morris	GST Additional Placement	Fall/spring/summer	0.05 - 999.99	Flat	\$125.00	\$125.00	0.0%
Morris	Airfare Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$100 - \$1,500	\$100 - \$1,500	0.0%
Morris	International Student Support Services	Fall/spring/summer	0.05 - 999.99	Flat	\$290.00	\$290.00	0.0%
Morris	National Student Exchange Program - UMM	Fall/spring/summer	0.05 - 999.99	Flat	\$230.00	\$230.00	0.0%
Morris	Nonaffiliated Study Abroad Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Morris	International Student Academic Fee	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Morris	International Student Academic Fee	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Morris	International Student Academic Fee	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Morris	International Student Academic Fee	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Morris	International Student Academic Fee	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Rochester							
Rochester	Application Fee Online UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Rochester	Confirmation/Housing Deposit Fee - All Students - UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00-\$125.00	\$50.00-\$125.00	0.0%
	UMR						
Rochester	Credit by Exam Fee UMR (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Rochester	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.102	Flat		\$150.00	new
Rochester	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.101	Flat		\$75.00	new
	Continuing (One-Time)						
Rochester	Academic Records Fee - Non-Degree	Fall/spring/summer	0.05 - 999.100	Flat		\$75.00	new
Rochester	Official Transcript Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Rochester	Domestic Priority Shipping Fee for Official Documents	Fall/spring/summer	0.05 - 999.103	Flat		\$15.00	new
	Documents						
Rochester	U Card Replacement Fee UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Rochester	UMR Laptop Non-return (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$50.00 - \$2,000.00	\$50.00 - \$2,000.00	0.0%
Rochester	Loss/Damage - UMR Student Housing (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$1,000.00	\$5.00 - \$1,000.00	0.0%
Rochester	120 Day Bus Pass - UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$80.00	\$80.00	0.0%
Rochester	Capstone Program Background Check (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$25.00 - \$60.00	\$25.00 - \$60.00	0.0%
Rochester	National Student Exchange Program - UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$200.00	\$200.00	0.0%
Rochester	BSHP Respiratory Care Program Track	Fall/spring/summer	0.05 - 999.99	Flat	\$802.00	\$850.00	6.0%
Rochester	BSHP Echocardiography Program Track	Fall/spring/summer	0.05 - 999.99	Flat	\$737.00	\$779.00	5.7%
Rochester	BSHP Sonography Program Track	Fall/spring/summer	0.05 - 999.99	Flat	\$635.00	\$675.00	6.3%
Rochester	Math Placement Assessment	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Rochester	GRE preparation	Fall/spring/summer	0.05 - 999.99	Flat	\$749.00	\$749.00	0.0%
Rochester	PCAT preparation	Fall/spring/summer	0.05 - 999.99	Flat	\$899.00	\$899.00	0.0%
Rochester	MCAT Preparation	Fall/spring/summer	0.05 - 999.99	Flat	\$1,499.00	\$1,499.00	0.0%
Twin Cities							
Auxiliary Services	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Auxiliary Services	UMTC Transportation and Safety Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Carlson Schl of Mgmt	CSOM Application Fee - MBA	Fall/spring	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Carlson Schl of Mgmt	IBUS Application Fee - IBUS Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Carlson Schl of Mgmt	IBUS Application Fee - IBUS Self-Designated	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Carlson Schl of Mgmt	CSOM Confirmation Fee - Exec MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$750.00	\$750.00	0.0%
Carlson Schl of Mgmt	CSOM Confirmation Fee - Online MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$1,200.00	\$200.00	-83.3%
Carlson Schl of Mgmt	Online MBA Orientation (previously included in Confirmation Fee)	Fall/spring/summer	0.05 - 999.99	Flat		\$150.00	new
Carlson Schl of Mgmt	CSOM Confirmation Fee - MSFIN	Fall/spring/summer	0.05 - 999.99	Flat		\$1,000.00	new
Carlson Schl of Mgmt	CSOM Deposit - Full Time MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	CSOM Deposit - HRIR	Fall/spring/summer	0.05 - 999.99	Flat	\$250.00	\$250.00	0.0%

Attachment 8:

University of Minnesota 2019-20 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2019 Amount	2020 Amount	Percent Change
Carlson Schl of Mgmt	CSOM Deposit - Part Time MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$200.00	\$200.00	0.0%
Carlson Schl of Mgmt	CSOM Deposit - Full Time MSBA	Fall/spring/summer	0.05 - 999.99	Flat		\$1,500.00	new
Carlson Schl of Mgmt	CSOM Deposit - Part Time MSBA	Fall/spring/summer	0.05 - 999.99	Flat		\$500.00	new
Carlson Schl of Mgmt	CSOM Deposit - MSSCM	Fall/spring/summer	0.05 - 999.99	Flat		\$1,000.00	new
Carlson Schl of Mgmt	CSOM Credit by Exam	Fall/spring/summer	0.05 - 999.99	Per credit	\$50.00	\$50.00	0.0%
Carlson Schl of Mgmt	LeaderShape Participation Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Carlson Schl of Mgmt	Women in Business Membership Fee/Dues	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Carlson Schl of Mgmt	IBUS Cancellation Fee - Carlson Study Abroad Program	Fall/spring/summer	0.05 - 999.99	Variable	\$500.00 - \$5,500.00	\$500.00 - \$5,500.00	0.0%
Carlson Schl of Mgmt	IBUS China Exec MBA - CHEMBA	Fall/spring/summer	0.05 - 999.99	Flat	\$9,840.00	\$9,555.00	-2.9%
Carlson Schl of Mgmt	IBUS Vienna Executive MBA (VEMBA)	Fall/spring/summer	0.05 - 999.99	Flat	\$8,750.00	\$8,750.00	0.0%
Col of Biological Sciences	Room & Board - Itasca	Fall/spring/summer	0.05 - 999.99	Variable	\$245.50 - \$1375.00	\$245.50 - \$1375.00	0.0%
Col of Continuing & Prof Studies	English Language Proficiency Testing	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Col of Continuing & Prof Studies	IBH/ADDC Background Check Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Col of Design	Studio Usage - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Col of Design	Key Deposit - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Col of Design	Locker Deposit - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Col of Design	Bobbin Case Replacement Fee - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$57.00	\$20.00	-21.1%
Col of Ed & Human Devel	Confirmation Fee - Master of Education Initial Licensure	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Col of Ed & Human Devel	OLPD Admin Licensure - Initial	Fall/spring/summer	0.05 - 999.99	Flat	\$550.00	\$550.00	0.0%
Col of Ed & Human Devel	OLPD Admin Licensure - Additional	Fall/spring/summer	0.05 - 999.99	Flat	\$275.00	\$275.00	0.0%
Col of Ed & Human Devel	Initial Teacher Licensure Ed TPA	Fall/spring	0.05 - 999.99	Flat	\$270.00	\$270.00	0.0%
Col of Ed & Human Devel	Failure to Return Technology Equipment - CEHD	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$1,500.00	\$5.00 - \$1,500.00	0.0%
Col of Food, Ag & Nat Rsrc Sci	Dietetic Internship	Fall/spring	0.05 - 999.99	Flat	\$8,500.00	\$8,500.00	0.0%
Col of Liberal Arts	Credit by Exam (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Art- Regis Center Locker Rental (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$10.00 - \$50.00	\$10.00 - \$50.00	0.0%
Col of Liberal Arts	Art - Equipment Repair & Replacement	Fall/spring/summer	0.05 - 999.99	Variable	\$10.00 - \$3,500.00	\$10.00 - \$3,500.00	0.0%
Col of Liberal Arts	Art - Late Equipment	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$25.00	\$5.00 - \$25.00	0.0%
Col of Liberal Arts	Marching Band - Instrument & Uniform Equip Maintenance Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Marching Band - Instrument & Uniform - Repairs over Allowance	Fall/spring/summer	0.05 - 999.99	Variable	\$2.00 - \$200.00	\$2.00 - \$200.00	0.0%
Col of Liberal Arts	Marching/Pep Band - Apparel	Summer	0.05 - 999.99	Variable	\$2.00 - \$50.00	\$2.00 - \$50.00	0.0%
Col of Liberal Arts	Marching/Pep Band - Late Return Instrument/Uniform	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	Music - Locker Rental (range)	Fall/spring	0.05 - 999.99	Variable	\$25.00 - \$55.00	\$25.00 - \$55.00	0.0%
Col of Liberal Arts	Music - Locker Late Checkout & Cleaning	Fall/spring	0.05 - 999.99	Variable	\$15.00 - \$45.00	\$15.00 - \$45.00	0.0%
Col of Liberal Arts	Music - Practice Rm Rental (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$25.00 - \$255.00	\$25.00 - \$255.00	0.0%
Col of Liberal Arts	Music - Ultan Recital Hall Rental	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	Music - Instrument Repair & Maintenance	Fall/spring/summer	0.05 - 999.99	Variable	\$9.00 - \$140.00	\$9.00 - \$140.00	0.0%
Col of Liberal Arts	Music - Instrument Rental Late Return Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	Music - Lost Ensemble Music (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$25.00 - \$200.00	\$25.00 - \$200.00	0.0%
Col of Liberal Arts	Music - Practice Rm Lost Key	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Music - Recital Fee	Fall/spring/summer	0.05 - 999.99	Variable	\$35.00 - \$140.00	\$35.00 - \$140.00	0.0%
Col of Liberal Arts	Music - Choir Apparel Fee (range)	Fall/spring/summer	0.05 - 999.99	Flat	\$9.00 - \$65.00	\$9.00 - \$80.00	23.1%
Col of Liberal Arts	Music - Application/Admission Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$45.00	\$45.00	0.0%
Col of Liberal Arts	Key Deposit - English	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Col of Liberal Arts	Key Deposit - Anthropology	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Col of Liberal Arts	Key Deposit Statistics	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	ACTFL Exam (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$30.00 - \$200.00	\$30.00 - \$200.00	0.0%
Col of Liberal Arts	Individual Language Assessment (ILA)/ LPE Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Col of Liberal Arts	Language Proficiency Exam - Screening	Fall/spring/summer	0.1 - 999.0	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	CLA - OIT Late Equipment	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$25.00	\$5.00 - \$25.00	0.0%
Col of Pharmacy	PharmD Application	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Col of Pharmacy	Confirmation Deposit Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Col of Sci & Engineering	Confirmation Deposit - MOT	Fall/spring/summer	0.05 - 999.99	Flat	\$2,000.00	\$2,000.00	0.0%
Col of Sci & Engineering	Confirmation Deposit - MSST	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Col of Sci & Engineering	Confirmation Deposit - MDI	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Col of Sci & Engineering	CEGE Deposit - Clicker	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Col of Sci & Engineering	Background Check-MSST	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Col of Sci & Engineering	Late Capstone - MOT	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Sci & Engineering	Late Capstone - MDI	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Sci & Engineering	Late Capstone - MSST	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Sci & Engineering	Program Fee - MOT Year 1	Fall/spring	0.05 - 999.99	Flat	\$1,750.00	\$1,775.00	1.4%
Col of Sci & Engineering	Program Fee - MOT Year 2	Fall/spring	0.05 - 999.99	Flat	\$1,700.00	\$1,750.00	2.9%
Col of Sci & Engineering	CSE Anderson Labs Materials Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$5.00	\$5.00	0.0%
Col of Veterinary Med	Application Fee - DVM Program	Fall/spring/summer	0.05 - 999.99	Flat	\$85.00	\$85.00	0.0%
Col of Veterinary Med	Confirmation Fee - DVM Program	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Executive VP & Provost	Application Fee - TC Undergrad	Fall/spring	0.05 - 999.99	Flat	\$55.00	\$55.00	0.0%

Attachment 8:

University of Minnesota 2019-20 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2019 Amount	2020 Amount	Percent Change
Executive VP & Provost	Confirmation/Orientation Fee - TC Freshman	Fall/spring	0.05 - 999.99	Flat	\$280.00	\$280.00	0.0%
Executive VP & Provost	Confirmation/Orientation Fee - TC Transfer	Fall/spring/summer	0.05 - 999.99	Flat	\$95.00	\$95.00	0.0%
Executive VP & Provost	Installation/Rebilling Fee	Fall/spring	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Executive VP & Provost	Late Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Executive VP & Provost	Returned Payment Fee (NSF)	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Executive VP & Provost	Stop Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Executive VP & Provost	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Executive VP & Provost	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Executive VP & Provost	Late Registration - Week 3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Executive VP & Provost	Academic Records Fee - Degree & Certificate	Fall/spring/summer	0.05 - 999.99	Flat		\$150.00	new
Executive VP & Provost	Academic Records Fee - Degree & Certificate Continuing (One-Time)	Fall/spring/summer	0.05 - 999.99	Flat		\$75.00	new
Executive VP & Provost	Academic Records Fee-NonDegree	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Executive VP & Provost	Official Transcript Fee	Fall/spring/summer	0.05 - 999.99	Flat		\$15.00	new
Executive VP & Provost	Domestic Priority Shipping Fee for Official Documents	Fall/spring/summer	0.05 - 999.99	Flat		\$15.00	new
Executive VP & Provost	International Student Academic Fee	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Executive VP & Provost	International Student Academic Fee	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Executive VP & Provost	International Student Academic Fee	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Executive VP & Provost	International Student Academic Fee	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Executive VP & Provost	International Student Academic Fee	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Executive VP & Provost	HECUA - Administrative Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$225.00	\$225.00	0.0%
Executive VP & Provost	National Student Exchange Orientation	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Executive VP & Provost	National Student Exchange Program	Fall/spring/summer	0.05 - 999.99	Flat	\$225.00	\$225.00	0.0%
Global Prog & Strategy All	MN Semester Study Abroad Confirmation Deposit	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Global Prog & Strategy All	MN Semester Study Abroad	Fall/spring	0.05 - 999.99	Flat	\$11,765.00	\$12,353.00	5.0%
Global Prog & Strategy All	MN Semester Study Abroad	Summer	0.05 - 999.99	Flat	\$6,970.00	\$5,958.00	-14.5%
Global Prog & Strategy All	MN Semester Study Abroad Summer Prog	Summer	0.05 - 999.99	Flat		\$7,249.00	new
Global Prog & Strategy All	MN Semester Internship	Fall/spring/summer	0.05 - 999.100	Flat		\$2,850.00	new
Global Prog & Strategy All	MN Semester Study Abroad - Grad	Fall/spring	0.05 - 999.99	Flat		\$10,500.00	new
Global Prog & Strategy All	International Sponsored Student Fee	Fall/spring	0.05 - 999.99	Flat	\$300.00	\$300.00	0.0%
Global Prog & Strategy All	International Sponsored Student Fee	Summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Global Prog & Strategy All	International Student Support Services	Fall/spring	0.05 - 999.99	Flat	\$165.00	\$175.00	6.1%
Global Prog & Strategy All	International Student Support Services	Summer	0.05 - 999.99	Flat	\$80.00	\$85.00	6.3%
Global Prog & Strategy All	International Student Aid	Fall/spring	0.05 - 999.99	Flat	\$14.00	\$14.00	0.0%
Global Prog & Strategy All	International Student Aid	Summer	0.05 - 999.99	Flat	\$8.00	\$8.00	0.0%
Graduate School	Application Fee - GRAD - Re-Admission/Change of Status	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Graduate School	Application Fee - GRAD (domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Graduate School	Application Fee - GRAD (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$95.00	\$95.00	0.0%
Academic Health Sci, Assoc VP	Application Processing Fee - Occ Therapy/Medical Lab Sci	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Academic Health Sci, Assoc VP	Admission Confirmation Fee - Occupational Therapy Program	Fall/spring/summer	0.05 - 999.99	Flat	\$250.00	\$250.00	0.0%
Academic Health Sci, Assoc VP	The Medical Laboratory Sciences Equipment Loss/Damage Fee	Fall/spring/summer	0.05 - 999.99	Variable	\$50.00 - \$1,000.00	\$50.00 - \$1,000.00	0.0%
Humphrey Schl of Public Affr	International Fellow Orientation/First Year	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Law School	Application - LAW	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Law School	Application - LLM - Law School	Fall/spring/summer	0.05 - 999.99	Flat	\$70.00	\$70.00	0.0%
Law School	Confirmation - LAW	Fall/spring/summer	0.05 - 999.99	Flat	\$750.00	\$750.00	0.0%
Law School	Confirmation - LLM	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Law School	Transcript - Law	Fall/spring/summer	0.05 - 999.99	Flat	\$12.00	\$12.00	0.0%
Law School	Locker Rental - Law	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$11.00	10.0%
Medical School	Application Fee - MED (Duluth)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Medical School	Application Fee - MED (Twin Cities)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Medical School	Confirmation Fee - MED - Duluth	Fall/spring/summer	0.05 - 999.99	Flat	\$110.00	\$110.00	0.0%
Medical School	Confirmation Fee - MED - TC	Fall/spring/summer	0.05 - 999.99	Flat	\$110.00	\$110.00	0.0%
Medical School	Confirmation Fee - MED - Mortuary Science	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Schl of Dentistry	Application - DENT - DDS	Fall/spring/summer	0.05 - 999.99	Flat	\$85.00	\$85.00	0.0%
Schl of Dentistry	Application - DENT - Dental Therapy	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Schl of Dentistry	Application - DENT - Endodontics	Fall/spring/summer	0.05 - 999.99	Flat	\$55.00	\$55.00	0.0%
Schl of Dentistry	Application - DENT - Endodontics (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$65.00	\$65.00	0.0%
Schl of Dentistry	Application - DENT - Orthodontics (Domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$125.00	\$125.00	0.0%
Schl of Dentistry	Application - DENT - Orthodontics (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Schl of Dentistry	Application - DENT - PASS	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Schl of Dentistry	Application - DENT - Periodontology (Domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Schl of Dentistry	Application - DENT - Periodontology (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$125.00	\$125.00	0.0%
Schl of Dentistry	Application - DENT - Prosthodontics (Domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$60.00	\$60.00	0.0%
Schl of Dentistry	Application - DENT - Prosthodontics (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$70.00	\$70.00	0.0%
Schl of Dentistry	Application - DENT - Oral/Maxillofacial Surgery Resident	Fall/spring/summer	0.05 - 999.99	Flat		\$35.00	new
Schl of Dentistry	Confirmation Fee - DENT - DDS	Fall/spring/summer	0.05 - 999.99	Flat	\$1,500.00	\$1,500.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - Dental Hygiene	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%

Attachment 8:

University of Minnesota 2019-20 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2019 Amount	2020 Amount	Percent Change
Schl of Dentistry	Confirmation Fee - DENT - Dental Therapy	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - Endontic	Fall/spring/summer	0.05 - 999.99	Flat	\$2,000.00	\$2,000.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - PASS	Fall/spring/summer	0.05 - 999.99	Flat	\$5,000.00	\$5,000.00	0.0%
Schl of Dentistry	Transfer Evaluation - DENT - DDS	Fall/spring/summer	0.05 - 999.99	Flat	\$1,500.00	\$1,500.00	0.0%
Schl of Dentistry	Summer Instrument Usage/Materials - Undergrad	Summer	0.05 - 999.99	Flat	\$1,062.00	\$1,062.00	0.0%
	DT, Dental Therapy- YR1 & YR2						
Schl of Dentistry	Instrument Usage/Material - Dental Hygiene	Fall/spring	0.05 - 999.99	Flat	\$861.00	\$861.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Hygiene	Summer	0.05 - 999.99	Flat	\$517.00	\$517.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Therapy - Grad	Fall/spring	0.05 - 999.99	Flat	\$2,137.00	\$2,137.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Therapy - Grad	Summer	0.05 - 999.99	Flat	\$1,062.00	\$1,062.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - DDS1,2,3,4,5,PASS	Fall/spring	0.05 - 999.99	Flat	\$2,137.00	\$2,137.00	0.0%
	3,4, DT undergrad YR 2 & YR 3						
Schl of Dentistry	Instrument Usage/Materials - Endo(Grad & Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$1,424.00	\$1,424.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - Peds(Grad & Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$858.00	\$858.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - Perio(Grad & Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$636.00	\$726.00	14.2%
Schl of Dentistry	Instrument Usage/Materials - Prosth(Grad & Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$1,028.00	\$1,028.00	0.0%
Schl of Dentistry	Overgarments - Ortho (Grad and Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$102.00	\$102.00	0.0%
Schl of Dentistry	Summer Instrument Usage/Materials - DDS,2,3,4,5, PASS 3,4	Summer	0.05 - 999.99	Flat	\$1,062.00	\$1,062.00	0.0%
Schl of Nursing	Confirmation - NURSG - MN, PhD, DNP	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Schl of Nursing	Confirmation - NURSG - Baccalaureate	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Schl of Nursing	Lab, Simulation & Practicum - MN & DNP	Fall/spring/summer	0.05 - 999.99	Flat	\$700.00	\$700.00	0.0%
Schl of Nursing	Lab, Simulation & Practicum - Baccalaureate	Fall/spring	0.05 - 999.99	Flat	\$700.00	\$700.00	0.0%
Schl of Nursing	CSPH Health Coaching	Fall/spring/summer	0.05 - 999.99	Flat	\$300.00	\$300.00	0.0%
Schl of Nursing	CSH Hawaii Deposit	Fall/spring	0.05 - 999.99	Variable	\$100.00 - \$600.00	\$100.00 - \$600.00	0.0%
Schl of Nursing	Nurse Anesthesia Program	Fall/spring/summer	0.05 - 999.99	Flat	\$457.00	\$457.00	0.0%
Schl of Public Health	SPH Admission Deposit	Fall/spring/summer	0.05 - 999.99	Flat	\$250.00	\$250.00	0.0%
Student Affairs	Career Assessments (range)	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00 - \$34.00	\$10.00 - \$35.00	2.9%
Student Affairs	Dental Insurance - TC - Advanced Dental Care	Fall/spring	0.05 - 999.99	Flat	\$163.00	\$163.00	0.0%
Student Affairs	Dental Insurance - UMC - Advanced Dental Care	Fall/spring	0.05 - 999.99	Flat	\$163.00	\$163.00	0.0%
	Option						
Student Affairs	Dental Insurance - UMD - Advanced Dental Care	Fall/spring	0.05 - 999.99	Flat	\$163.00	\$163.00	0.0%
	Option						
Student Affairs	Dental Insurance - UMM - Advanced Dental Care	Fall/spring	0.05 - 999.99	Flat	\$163.00	\$163.00	0.0%
	Option						
Student Affairs	Health Plan - TC	Fall/spring	6.0 - 999.99	Flat	\$1,116.00	\$1,170.00	4.8%
Student Affairs	Health Plan - TC	Summer	3.0 - 999.99	Flat	\$612.00	\$641.00	4.7%
Student Affairs	Health Plan - TC - AHC	Fall/spring	0.05 - 999.99	Flat	\$1,116.00	\$1,170.00	4.8%
Student Affairs	Health Plan - TC - AHC	Summer	0.05 - 999.99	Flat	\$612.00	\$641.00	4.7%
Student Affairs	Health Plan - TC - Dental Res/Fellow	Fall/spring	0.05 - 999.99	Flat	\$228.75	\$228.25	-0.2%
Student Affairs	Health Plan - TC - Dental Res/Fellow	Summer	0.05 - 999.99	Flat	\$91.50	\$91.30	-0.2%
Student Affairs	Health Plan - TC - GA	Fall/spring/summer	0.05 - 999.99	Flat	\$131.10	\$127.62	-2.7%
Student Affairs	Health Plan - TC - Group Extended Coverage	Fall/spring/summer	0.05 - 5.99	Flat	\$139.16	\$143.33	3.0%
Student Affairs	Health Plan - TC -International Students	Fall/spring	0.05 - 999.99	Flat	\$1,116.00	\$1,170.00	4.8%
Student Affairs	Health Plan - TC -International Students	Summer	0.05 - 999.99	Flat	\$612.00	\$641.00	4.7%
Student Affairs	Health Plan - UMC	Fall/spring	6.0 - 999.99	Flat	\$1,116.00	\$1,170.00	4.8%
Student Affairs	Health Plan - UMC	Summer	3.0 - 999.99	Flat	\$612.00	\$641.00	4.7%
Student Affairs	Health Plan - UMC - International Students	Fall/spring	0.05 - 999.99	Flat	\$1,116.00	\$1,170.00	4.8%
Student Affairs	Health Plan - UMC - International Students	Summer	0.05 - 999.99	Flat	\$612.00	\$641.00	4.7%
Student Affairs	Health Plan - UMD	Fall/spring	6.0 - 999.99	Flat	\$1,116.00	\$1,170.00	4.8%
Student Affairs	Health Plan - UMD	Summer	3.0 - 999.99	Flat	\$612.00	\$641.00	4.7%
Student Affairs	Health Plan - UMD - AHC	Fall/spring	0.05 - 999.99	Flat	\$1,116.00	\$1,170.00	4.8%
Student Affairs	Health Plan - UMD - AHC	Summer	0.05 - 999.99	Flat	\$612.00	\$641.00	4.7%
Student Affairs	Health Plan - UMD - Graduate Assistant	Fall/spring/summer	0.05 - 999.99	Flat	\$131.10	\$127.62	-2.7%
Student Affairs	Health Plan - UMD - International Students	Fall/spring	0.05 - 999.99	Flat	\$1,116.00	\$1,170.00	4.8%
Student Affairs	Health Plan - UMD - International Students	Summer	0.05 - 999.99	Flat	\$612.00	\$641.00	4.7%
Student Affairs	Health Plan - UMM	Fall/spring	6.0 - 999.99	Flat	\$1,116.00	\$1,170.00	4.8%
Student Affairs	Health Plan - UMM	Summer	3.0 - 999.99	Flat	\$612.00	\$641.00	4.7%
Student Affairs	Health Plan - UMM - International Students	Fall/spring	0.05 - 999.99	Flat	\$1,116.00	\$1,170.00	4.8%
Student Affairs	Health Plan - UMM - International Students	Summer	0.05 - 999.99	Flat	\$612.00	\$641.00	4.7%
Student Affairs	Long-Term Disability - TC	Fall/spring	0.05 - 999.99	Flat	\$43.50	\$44.10	1.4%
Student Affairs	Long-Term Disability - TC -DENT	Fall/spring	0.05 - 999.99	Flat	\$51.05	\$51.05	0.0%
Student Affairs	Long-Term Disability - TC -DENT	Summer	0.05 - 999.99	Flat	\$20.42	\$20.42	0.0%
Student Affairs	Long-Term Disability - UMD - AHC	Fall/spring	0.05 - 999.99	Flat	\$43.50	\$44.40	2.1%
University Finance	Capital Enhancement Fee for Student Life	Fall/spring	6.0 - 999.99	Flat	\$75.00	\$75.00	0.0%
University Finance	Stadium Fee	Fall/spring	6.0 - 999.99	Flat	\$6.00	\$6.00	0.0%

**Attachment 9:
University of Minnesota 2020-21 Tuition Plan: Academic Fees**

Campus/College	Fee Name	Terms	Credit range	2020 Amount	2021 Amount	Percent Change	Rate type
Crookston							
Crookston	Campus Fee - UMC - Technology Access	Fall/spring	0.05 - 999.99	\$250.00	\$250.00	0.00%	Flat
Crookston	Durable Goods - Computer/Notebook	Fall/spring	6.0 - 999.99	\$275.00	\$275.00	0.00%	Flat
Duluth							
Duluth	Collegiate Fee - UMD - CEHSP	Fall/spring	0.05 - 5.99	\$127.50	\$127.50	0.00%	Flat
Duluth	Collegiate Fee - UMD - CEHSP	Fall/spring	6.0 - 999.99	\$255.00	\$255.00	0.00%	Flat
Duluth	Collegiate Fee - UMD - CEHSP	Summer	0.05 - 2.99	\$63.75	\$63.75	0.00%	Flat
Duluth	Collegiate Fee - UMD - CEHSP	Summer	3.0 - 999.99	\$127.50	\$127.50	0.00%	Flat
Duluth	Collegiate Fee - UMD - CLA	Fall/spring	0.05 - 5.99	\$144.50	\$144.50	0.00%	Flat
Duluth	Collegiate Fee - UMD - CLA	Fall/spring	6.0 - 999.99	\$289.00	\$289.00	0.00%	Flat
Duluth	Collegiate Fee - UMD - CLA	Summer	0.05 - 2.99	\$72.25	\$72.25	0.00%	Flat
Duluth	Collegiate Fee - UMD - CLA	Summer	3.0 - 999.99	\$144.50	\$144.50	0.00%	Flat
Duluth	Collegiate Fee - UMD - LSBE	Fall/spring	0.05 - 5.99	\$115.00	\$115.00	0.00%	Flat
Duluth	Collegiate Fee - UMD - LSBE	Fall/spring	6.0 - 999.99	\$230.00	\$230.00	0.00%	Flat
Duluth	Collegiate Fee - UMD - LSBE	Summer	0.05 - 2.99	\$57.50	\$57.50	0.00%	Flat
Duluth	Collegiate Fee - UMD - LSBE	Summer	3.0 - 999.99	\$115.00	\$115.00	0.00%	Flat
Duluth	Collegiate Fee - UMD - SCSE	Fall/spring	0.05 - 5.99	\$142.50	\$142.50	0.00%	Flat
Duluth	Collegiate Fee - UMD - SCSE	Fall/spring	6.0 - 999.99	\$285.00	\$285.00	0.00%	Flat
Duluth	Collegiate Fee - UMD - SCSE	Summer	0.05 - 2.99	\$71.25	\$71.25	0.00%	Flat
Duluth	Collegiate Fee - UMD - SCSE	Summer	3.0 - 999.99	\$142.50	\$142.50	0.00%	Flat
Duluth	Collegiate Fee - UMD - UStu	Fall/spring	0.05 - 5.99	\$87.50	\$87.50	0.00%	Flat
Duluth	Collegiate Fee - UMD - UStu	Fall/spring	6.0 - 999.99	\$175.00	\$175.00	0.00%	Flat
Duluth	Collegiate Fee - UMD - UStu	Summer	0.05 - 2.99	\$43.75	\$43.75	0.00%	Flat
Duluth	Collegiate Fee - UMD - UStu	Summer	3.0 - 999.99	\$87.50	\$87.50	0.00%	Flat
Morris							
Morris	Campus Fee - UMM	Fall/spring	0.05 - 5.99	\$78.50	\$78.50	0.00%	Flat
Morris	Campus Fee - UMM	Fall/spring	6.0 - 999.99	\$157.00	\$157.00	0.00%	Flat
Morris	Campus Fee - UMM	Summer	0.05 - 999.99	\$78.50	\$78.50	0.00%	Flat
Rochester							
Rochester	Campus Fee - UMR	Fall/spring/summer	0.05 - 5.99	\$80.00	\$80.00	0.00%	Flat
Rochester	Campus Fee - UMR	Fall/spring/summer	6.0 - 999.99	\$160.00	\$160.00	0.00%	Flat
Twin Cities							
Academic Clinical Affairs, Ofc	Collegiate Fee (formerly AHC Shared)	Fall/spring/summer	0.05 - 5.99	\$92.50	\$92.50	0.00%	Flat
Academic Clinical Affairs, Ofc	Collegiate Fee (formerly AHC Shared)	Fall/spring/summer	6.0 - 999.99	\$185.00	\$185.00	0.00%	Flat
Acad Health Sci, Assoc VP	Collegiate Fee - AHSCI	Fall/spring/summer	0.05 - 5.99	\$92.50	\$92.50	0.00%	Flat
Acad Health Sci, Assoc VP	Collegiate Fee - AHSCI	Fall/spring/summer	6.0 - 999.99	\$185.00	\$185.00	0.00%	Flat
Carlson Schl of Mgmt	CSOM Collegiate Fee	Fall/spring	0.05 - 8.99	\$290.00	\$290.00	0.00%	Flat
Carlson Schl of Mgmt	CSOM Collegiate Fee	Fall/spring	9.0 - 999.99	\$580.00	\$580.00	0.00%	Flat
Carlson Schl of Mgmt	CSOM Collegiate Fee	Summer	0.05 - 5.99	\$145.00	\$145.00	0.00%	Flat
Carlson Schl of Mgmt	CSOM Collegiate Fee	Summer	6.0 - 999.99	\$290.00	\$290.00	0.00%	Flat
Col of Biological Sci	Collegiate Fee - CBS	Fall/spring	3.0 - 5.99	\$150.00	\$150.00	0.00%	Flat
Col of Biological Sci	Collegiate Fee - CBS	Fall/spring	6.0 - 999.99	\$300.00	\$300.00	0.00%	Flat
Col of Biological Sci	Collegiate Fee - CBS	Summer	3.0 - 999.99	\$150.00	\$150.00	0.00%	Flat
Col of Continuing & Prof Studie	Collegiate Fee - CCAPS	Fall/spring	0.05 - 5.99	\$95.00	\$95.00	0.00%	Flat
Col of Continuing & Prof Studie	Collegiate Fee - CCAPS	Fall/spring	6.0 - 999.99	\$190.00	\$190.00	0.00%	Flat
Col of Continuing & Prof Studie	Collegiate Fee - CCAPS	Summer	0.05 - 5.99	\$47.50	\$47.50	0.00%	Flat
Col of Continuing & Prof Studie	Collegiate Fee - CCAPS	Summer	6.0 - 999.99	\$95.00	\$95.00	0.00%	Flat
Col of Design	Collegiate Fee - DESGN	Fall/spring	0.05 - 5.99	\$175.00	\$175.00	0.00%	Flat
Col of Design	Collegiate Fee - DESGN	Fall/spring	6.0 - 999.99	\$350.00	\$350.00	0.00%	Flat
Col of Design	Collegiate Fee - DESGN	Summer	0.05 - 5.99	\$87.50	\$87.50	0.00%	Flat
Col of Design	Collegiate Fee - DESGN	Summer	6.0 - 999.99	\$175.00	\$175.00	0.00%	Flat
Col of Ed & Human Devel	Collegiate Fee - CEHD	Fall/spring/summer	1.0 - 5.99	\$95.00	\$95.00	0.00%	Flat
Col of Ed & Human Devel	Collegiate Fee - CEHD	Fall/spring/summer	6.0 - 999.99	\$190.00	\$190.00	0.00%	Flat
Col of Food, Ag & Nat Rsrc Sci	Collegiate Fee - CFANS	Fall/spring	0.05 - 5.99	\$110.00	\$110.00	0.00%	Flat
Col of Food, Ag & Nat Rsrc Sci	Collegiate Fee - CFANS	Fall/spring	6.0 - 999.99	\$220.00	\$220.00	0.00%	Flat
Col of Food, Ag & Nat Rsrc Sci	Collegiate Fee - CFANS	Summer	0.05 - 2.99	\$55.00	\$55.00	0.00%	Flat
Col of Food, Ag & Nat Rsrc Sci	Collegiate Fee - CFANS	Summer	3.0 - 999.99	\$110.00	\$110.00	0.00%	Flat
Col of Liberal Arts	Collegiate Fee - CLA	Fall/spring/summer	0.05 - 5.99	\$125.00	\$125.00	0.00%	Flat
Col of Liberal Arts	Collegiate Fee - CLA	Fall/spring/summer	6.0 - 999.99	\$250.00	\$250.00	0.00%	Flat
Col of Pharmacy	Collegiate Fee - PHARM - Grad Prog Term Fee	Fall/spring	0.05 - 5.99	\$110.00	\$110.00	0.00%	Flat
Col of Pharmacy	Collegiate Fee - PHARM - Grad Prog Term Fee	Fall/spring	6.0 - 999.99	\$220.00	\$220.00	0.00%	Flat

**Attachment 9:
University of Minnesota 2020-21 Tuition Plan: Academic Fees**

Campus/College	Fee Name	Terms	Credit range	2020 Amount	2021 Amount	Percent Change	Rate type
Col of Pharmacy	Collegiate Fee - PHARM - Grad Prog Term Fee	Summer	0.05 - 5.99	\$55.00	\$55.00	0.00%	Flat
Col of Pharmacy	Collegiate Fee - PHARM - Grad Prog Term Fee	Summer	6.0 - 999.99	\$110.00	\$110.00	0.00%	Flat
Col of Pharmacy	Collegiate Fee - PHARM - PharmD - TC	Fall/spring	0.05 - 999.99	\$450.00	\$450.00	0.00%	Flat
Col of Pharmacy	Collegiate Fee - PHARM - PharmD - TC	Summer	0.05 - 999.99	\$250.00	\$250.00	0.00%	Flat
Col of Pharmacy	Collegiate Fee - PHARM - PharmD - UMD	Fall/spring	0.05 - 999.99	\$450.00	\$450.00	0.00%	Flat
Col of Pharmacy	Collegiate Fee - PHARM - PharmD - UMD	Summer	0.05 - 999.99	\$250.00	\$250.00	0.00%	Flat
Col of Sci & Engineering	Collegiate Fee - CSENG	Fall/spring	0.05 - 5.99	\$165.00	\$165.00	0.00%	Flat
Col of Sci & Engineering	Collegiate Fee - CSENG	Fall/spring	6.0 - 999.99	\$330.00	\$330.00	0.00%	Flat
Col of Sci & Engineering	Collegiate Fee - CSENG	Summer	0.05 - 2.99	\$82.50	\$82.50	0.00%	Flat
Col of Sci & Engineering	Collegiate Fee - CSENG	Summer	3.0 - 999.99	\$165.00	\$165.00	0.00%	Flat
Col of Veterinary Med	Collegiate Fee - VETMD	Fall/spring/summer	2.0 - 999.99	\$525.00	\$525.00	0.00%	Flat
Humphrey Schl of Public Affr	Collegiate Fee - HHH	Fall/spring	0.05 - 5.99	\$125.00	\$125.00	0.00%	Flat
Humphrey Schl of Public Affr	Collegiate Fee - HHH	Fall/spring	6.0 - 999.99	\$250.00	\$250.00	0.00%	Flat
Humphrey Schl of Public Affr	Collegiate Fee - HHH	Summer	0.05 - 2.99	\$37.50	\$37.50	0.00%	Flat
Humphrey Schl of Public Affr	Collegiate Fee - HHH	Summer	3.0 - 999.99	\$50.00	\$50.00	0.00%	Flat
Law School	Collegiate Fee - LAW	Fall/spring	0.05 - 5.99	\$237.50	\$237.50	0.00%	Flat
Law School	Collegiate Fee - LAW	Fall/spring	6.0 - 999.99	\$475.00	\$475.00	0.00%	Flat
Law School	Collegiate Fee - LAW	Summer	0.05 - 999.99	\$180.00	\$180.00	0.00%	Flat
Medical School	Collegiate Fee - MED Mortuary Science Program	Fall/spring/summer	0.05 - 999.99	\$50.00	\$50.00	0.00%	Flat
Medical School	Collegiate Fee - MED - Duluth Medical Students	Fall/spring	0.05 - 999.99	\$150.00	\$150.00	0.00%	Flat
Medical School	Collegiate Fee - MED - TC Medical Students	Fall/spring	0.05 - 999.99	\$150.00	\$150.00	0.00%	Flat
Schl of Dentistry	Collegiate Fee - DENT	Fall/spring/summer	0.05 - 999.99	\$207.00	\$207.00	0.00%	Flat
Schl of Dentistry	Mannequin Shrouds	Fall/spring/summer	0.05 - 999.99	\$85.00	\$85.00	0.00%	Flat
Schl of Dentistry	Durable Goods - CD-ROM -Dent OA Teeth	Fall/spring	0.05 - 999.99	\$35.00	\$35.00	0.00%	Flat
Schl of Dentistry	Durable Goods - Oper/Pros Typodont-PASS	Summer	0.05 - 999.99	\$245.00	\$245.00	0.00%	Flat
Schl of Nursing	Collegiate Fee - Nurse Anesthesia Program	Fall/spring/summer	0.050-999.99	\$457.00	\$457.00	0.00%	Flat
Schl of Nursing	Collegiate Fee - NURSG	Fall/spring/summer	0.05 - 999.99	\$210.00	\$210.00	0.00%	Flat
Schl of Public Health	Collegiate Fee - PUBHL	Fall/spring	0.05 - 5.99	\$123.00	\$123.00	0.00%	Flat
Schl of Public Health	Collegiate Fee - PUBHL	Fall/spring	6.0 - 999.99	\$170.00	\$170.00	0.00%	Flat
Schl of Public Health	Collegiate Fee - PUBHL	Summer	0.05 - 5.99	\$60.00	\$60.00	0.00%	Flat
Schl of Public Health	Collegiate Fee - PUBHL	Summer	6.0 - 999.99	\$85.00	\$85.00	0.00%	Flat

Office of the Executive Vice President and Provost

234 Morrill Hall
100 Church Street S.E.
Minneapolis MN 55455-0110Office: 612-625-0051
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April 23, 2020

To: President Joan T.A. Gabel
Brian Burnett, Senior Vice President for Finance and Operations

From: Rachel T.A. Croson, Executive Vice President and Provost



Re: Student Services Fees Recommendations for 2020-21

Attached are the 2020-21 Student Services Fees recommendations for the University of Minnesota campuses. Each of the five campuses has its own autonomous review and recommendation process, and each is governed by a student-majority committee appointed by the student association on that campus. Each campus Student Services Fees committee then forwards its recommendations to the appropriate chancellor or vice provost, who in turn reviews and comments on these recommendations and then submits them to my office.

I am forwarding these recommendations to you to forward to the Board of Regents as a section of the University budget for their review and action. The recommendations for the mandatory 2020-21 Student Services Fees for each campus are as follows:

Campus	2019-20 Semester Fee	2020-21 Semester Fee	Percentage Increase/Decrease
UMC	266.44	263.44	(1.1%)
UMD	314.44	314.44	0 %
UMM	470.00	470.00	0 %
UMR	168.00	168.00	0 %
UMTC	454.24	454.24	0 %

The details of the Student Services Fees recommendations submitted by each campus are attached. In alignment with the University's decision for no increase in tuition and fees for the coming year, total student services fees on each campus remain the same for FY21, with the exception of the University of Minnesota Crookston's recommendation for a 1.1% decrease. While the student-majority committees on the Duluth, Morris, and Twin Cities Campus initially recommended modest student service fee increases, those recommendations were subsequently adjusted to a zero increase.

I want to take this opportunity to thank the Fees Committee members on each campus for their dedication and service on this very important and demanding project. There is a big responsibility, one affecting nearly all students at the University, and we appreciate the commitment of the committee members to recommending fair and equitable fees that will best serve our student body.

Attachment 10
University of Minnesota 2020-21 Tuition Plan: Student Services Fees

University of Minnesota Mandatory Student Fees - FY20 Actual, FY21 Proposed
Mandatory Student Fees per Semester (Fall & Spring) by Campus. Includes campus student services fees.

[135A.0434] Mandatory Student Activity Fees Referendum limits student activity fee increases to 2% or less unless approved by a majority student vote.
 Excluded from Statute:

- Academic
- Administrative
- Health Service
- Debt Obligations

	UMC			UMD			UMM			UMR			UMTC		
	FY20	FY21	FY20-21 % Δ	FY20	FY21	FY20-21 % Δ	FY20	FY21	FY20-21 % Δ	FY20	FY21	FY20-21 % Δ	FY20	FY21	FY20-21 % Δ
FEE TOTALS: (TC Undergrad)	\$266.44	\$263.44	-1.1%	\$423.44	\$423.44	0.0%	\$470.00	\$470.00	0.0%	\$168.00	\$168.00	0.0%	\$562.70	\$562.70	0.0%
Not Subject to 135A.0434 Student Activity Fees Legislation															
Administrative															
Administrative Units	\$16.75	\$16.75		\$103.26	\$103.84		\$125.50	\$125.50		\$10.50	\$10.50		\$161.47	\$161.47	
Duluth Athletics/Athletic Facilities				\$93.00	\$93.00										
Intercollegiate Athletics	\$69.00	\$69.00					\$55.00	\$55.00							
Fitness/Recreation/Sports	\$85.00	\$85.00		\$88.74	\$88.68		\$110.50	\$110.50		\$90.00	\$90.00		\$117.29	\$117.29	
Capital Improvement Reserve Fee				\$5.51	\$5.51								\$25.00	\$25.00	
Transportation Sustainability/Safety				\$16.00	\$16.00								\$6.00	\$6.00	
Stadium Fee													\$75.00	\$75.00	
Capital Enhancement Fee for Student Life															
Health Services															
Health Services/Wellness	\$35.00	\$35.00		\$94.78	\$94.61		\$120.00	\$120.00		\$47.50	\$47.50		\$143.71	\$143.71	
Subtotal: Not Subject to 135A.0434:	\$205.75	\$205.75	0.0%	\$401.29	\$401.64	0.1%	\$411.00	\$411.00	0.0%	\$148.00	\$148.00	0.0%	\$528.47	\$528.47	0.0%
Subject to 135A.0434-Student Activity Fees Legislation															
Student Activities/Organizations	\$53.00	\$50.00		\$8.90	\$8.82		\$49.29	\$49.29					\$19.29	\$19.29	
Media organizations (print, radio, etc.)				\$6.05	\$5.32		\$6.61	\$6.61					\$12.48	\$12.48	
Student Governance (fees apply based on student status)															
Crookston Student Assn (CSA)	\$7.69	\$7.69													
UMD Student Assoc				\$7.20	\$7.66										
Morris Campus Student Org (MCSA)							\$3.10	\$3.10							
Rochester Student Association (RSA)										\$20.00	\$20.00				
MN Student Association *													\$2.46	\$2.46	
Council of Graduate Students *													\$10.36	\$10.36	
Professional Student Government *													\$16.63	\$16.59	
Subtotal: Subject to 135A.0434 (TC Undergrad)	\$60.69	\$57.69	-4.9%	\$22.15	\$21.80	-1.6%	\$59.00	\$59.00	0.0%	\$20.00	\$20.00	0.0%	\$34.23	\$34.23	0.0%
(TC Graduate)													\$42.13	\$42.13	0.0%
(TC Professional)													\$48.40	\$48.36	-0.1%

* Council of Graduate Students (COGS) and Professional Student Government (PSG) fees apply only to students in graduate or professional programs and are not included in the totals on line 3.

UM Crookston - Student Service Fee Summary - FY20 Actual, FY21 Proposed Mandatory Student Fees per Semester (Fall & Spring)

	UMC		
	FY20	FY21	FY20-21 % Δ
FEE TOTALS:	\$266.44	\$263.44	-1.1%
Not Subject to 135A.0434 Student Activity Fees Legislation			
Administrative			
Administrative Units	\$16.75	\$16.75	
Duluth Athletics/Athletic Facilities			
Intercollegiate Athletics	\$69.00	\$69.00	
Fitness/Recreation/Sports	\$85.00	\$85.00	
Capital Improvement Reserve Fee			
Transportation Sustainability/Safety			
Stadium Fee			
Capital Enhancement Fee for Student Life			
Health Services			
Health Services/Wellness	\$35.00	\$35.00	
Subtotal: Not Subject to 135A.0434:	\$205.75	\$205.75	0.0%
Subject to 135A.0434-Student Activity Fees Legislation			
Student Activities/Organizations	\$53.00	\$50.00	
Media organizations (print, radio, etc.)			
Student Governance (fees apply based on student status)			
Crookston Student Assn (CSA)	\$7.69	\$7.69	
UMD Student Assoc			
Morris Campus Student Org (MCSA)			
Rochester Student Association (RSA)			
MN Student Association *			
Council of Graduate Students *			
Professional Student Government *			
Subtotal: Subject to 135A.0434	\$60.69	\$57.69	-4.9%

* Council of Graduate Students (COGS) and Professional Student Government (PSG) fees apply only to students in graduate or professional programs and are not included in the totals on line 3.

UM Duluth - Mandatory Student Fees - FY20 Actual, FY21 Proposed

Mandatory Student Fees per Semester (Fall & Spring)

		UMD		
		FY20	FY21	FY20-21 % Δ
1				
2				
3	FEE TOTALS:	\$423.44	\$423.44	0.0%
4				
5	Not Subject to 135A.0434 Student Activity Fees Legislation			
	Student Services Fee			
6	Administrative			
7	Administrative Units	\$103.26	\$103.84	0.56%
9	Intercollegiate Athletics			
10	Fitness/Recreation/Sports	\$88.74	\$88.68	-0.07%
11	Capital Improvement Reserve Fee	\$5.51	\$5.51	0.00%
13	Stadium Fee			
14	Capital Enhancement Fee for Student Life			
15	Health Services			
16	Health Services/Wellness	\$94.78	\$94.61	-0.18%
	Student Services Fee Total	\$292.29	\$292.64	0.12%
	Athletics/Athletics Facilities			
8	Duluth Athletics/Athletic Facilities	\$93.00	\$93.00	0.00%
	Transportation Sustainability Fee			
12	Duluth Transportation Sustainability	\$16.00	\$16.00	0.00%
17	Subtotal: Not Subject to 135A.0434:	\$401.29	\$401.64	0.09%
18				
19	Subject to 135A.0434-Student Activity Fees Legislation			
20	Student Activities/Organizations	\$8.90	\$8.82	-0.90%
21	Media organizations (print, radio, etc.)	\$6.05	\$5.32	-12.07%
22				
23	Student Governance (fees apply based on student status)			
24	Crookston Student Assn (CSA)			
25	UMD Student Assoc	\$7.20	\$7.66	6.39%
26	Morris Campus Student Org (MCSA)			
27	Rochester Student Association (RSA)			
28	MN Student Association *			
29	Council of Graduate Students *			
30	Professional Student Government *			
31	Subtotal: Subject to 135A.0434	\$22.15	\$21.80	-1.58%

* Council of Graduate Students (COGS) and Professional Student Government (PSG) fees apply only to students in graduate or professional programs and are not included in the totals on line 3.

Attachment 10
University of Minnesota 2020-21 Tuition Plan: Student Services Fees

University of Minnesota Duluth
Student Services Fee Recommendation
2020-2021

<i>(Total student transactions)</i>	2019-2020 Allocation (18,200)	2020-2021 Request (17,500)	2020-2021 Recommend (17,500)	PER STUDENT	Percent Change
Access for All	\$4,000	\$0	\$0	\$ -	
Asian Pacific American Association	\$16,000	\$16,000	\$14,970	\$ 0.86	
Black Student Association	\$17,000	\$18,000	\$16,841	\$ 0.96	
Cru	\$10,086	\$13,600	\$9,824	\$ 0.56	
Chinese Students & Scholar Association	\$6,000	\$11,000	\$5,941	\$ 0.34	
Graduate Student Association	\$0	\$8,000	\$6,549	\$ 0.37	
Hmong Living in Unity & Balance	\$9,000	\$12,000	\$9,000	\$ 0.51	
International Club	\$14,000	\$15,500	\$14,000	\$ 0.80	
InterVarsity	\$4,250	\$0	\$0	\$ -	
KUMD The Basement/Reserves*	\$90,000	\$92,402	\$68,000	\$ 3.89	
Latinx/Chicanx Student Association	\$16,000	\$16,000	\$14,970	\$ 0.86	
MPIRG	\$30,000	\$30,000	\$28,068	\$ 1.60	
Oromo Student Association	\$3,000	\$4,500	\$3,000	\$ 0.17	
Queer and Allied Student Union	\$14,000	\$16,000	\$13,566	\$ 0.78	
Robotics Club	\$0	\$3,000	\$0	\$ -	
SERVE	\$4,300	\$4,300	\$4,023	\$ 0.23	
Stage 2	\$14,500	\$14,500	\$13,566	\$ 0.78	
Student Organization Grants	\$131,000	\$134,000	\$134,000	\$ 7.66	
The Bark	\$20,000	\$27,000	\$25,000	\$ 1.43	
Total Activity Based Organizations	\$403,136	\$435,802	\$381,318	\$ 21.80	
Bulldog Taxi/Lyft	\$0	\$40,000	\$37,424	\$ 2.14	
Glensheen	\$19,000	\$21,900	\$19,000	\$ 1.09	
Green Fund	\$38,250	\$48,000	\$38,250	\$ 2.19	
Health Services	\$1,650,000	\$1,730,000	\$1,585,491	\$ 90.60	
Health Services Capital Imp	\$75,000	\$75,000	\$70,170	\$ 4.01	
Kirby Program Board	\$165,000	\$0	\$0	\$ -	
Kirby Student Center Operations	\$1,015,000	\$0	\$0	\$ -	
Kirby Student Center Operations/KPB**	\$0	\$1,296,000	\$1,144,128	\$ 65.38	
Kirby Capital Improvement	\$515,000	\$515,000	\$435,054	\$ 24.86	
Library Communication and Events Team	\$5,148	\$6,000	\$5,148	\$ 0.29	
Music Organizations	\$30,000	\$55,000	\$30,000	\$ 1.71	
RSOP Operations	\$1,215,000	\$1,228,000	\$1,177,527	\$ 67.29	
RSOP Capital Improvement	\$400,000	\$440,000	\$374,240	\$ 21.39	
Student Association Administration	\$34,000	\$34,600	\$32,372	\$ 1.85	
Theatre	\$43,000	\$59,000	\$43,000	\$ 2.46	
Tweed Museum	\$0	\$24,000	\$18,712	\$ 1.07	
Womens Resource Action Center	\$15,000	\$15,000	\$14,034	\$ 0.80	
Total Administrative Organizations	\$5,219,398	\$5,587,500	\$5,024,550	\$ 287.13	
TOTAL ALLOCATIONS =	\$5,622,534	\$6,023,302	\$5,405,868		
STUDENT FEE =	\$308.93	\$344.19		\$ 308.93	0.00%
FACILITIES CAPITAL IMPROVEMENT	\$5.51	\$5.72		\$ 5.51	0.00%
STUDENT FEE & CAPT FEE=	\$314.44	\$349.91		\$ 314.44	0.00%

**Kirby Student Center and Kirby Program Board have a combined request for 2020-21

UM Morris - Student Service Fee Summary - FY20 Actual, FY21 Proposed Mandatory Student Fees per Semester (Fall & Spring)

		UMM		
		FY20	FY21	FY20-21 % Δ
1	FEE TOTALS:	\$470.00	\$470.00	0.0%
4				
5	Not Subject to 135A.0434 Student Activity Fees Legislation			
6	Administrative			
7	Administrative Units	\$125.50	\$125.50	
8	Duluth Athletics/Athletic Facilities			
9	Intercollegiate Athletics	\$55.00	\$55.00	
10	Fitness/Recreation/Sports	\$110.50	\$110.50	
11	Capital Improvement Reserve Fee			
12	Transportation Sustainability/Safety			
13	Stadium Fee			
14	Capital Enhancement Fee for Student Life			
15	Health Services			
16	Health Services/Wellness	\$120.00	\$120.00	
17	Subtotal: Not Subject to 135A.0434:	\$411.00	\$411.00	0.0%
18				
19	Subject to 135A.0434-Student Activity Fees Legislation			
20	Student Activities/Organizations	\$49.29	\$49.29	
21	Media organizations (print, radio, etc.)	\$6.61	\$6.61	
22				
23	Student Governance (fees apply based on student status)			
24	Crookston Student Assn (CSA)			
25	UMD Student Assoc			
26	Morris Campus Student Org (MCSA)	\$3.10	\$3.10	
27	Rochester Student Association (RSA)			
28	MN Student Association *			
29	Council of Graduate Students *			
30	Professional Student Government *			
31	Subtotal: Subject to 135A.0434	\$59.00	\$59.00	0.0%

* Council of Graduate Students (COGS) and Professional Student Government (PSG) fees apply only to students in graduate or professional programs and are not included in the totals on line 3.

**University of Minnesota Morris
Student Service Fee Recommendations 2020–2021**

	2019-2020	Actual	2020-2021 Proposed		% change
FEE TOTALS (A + B)		\$470.00		\$470.00	0.0%

A. Not Subject to 135A.0434 Student Activity Fees Legislation	2019-2020	Actual	2020-2021 Proposed		
Administrative		\$291.00		\$291.00	
Administrative Units	\$125.50		\$125.50		
Student Center	\$56.00		\$56.00		
Office of Student Activities	\$14.00		\$14.00		
Campus Activities Council	\$32.50		\$32.50		
Community Engagement	\$13.25		\$13.25		
World Touch Cultural Heritage Week	\$9.75		\$9.75		
Intercollegiate Athletics	\$55.00		\$55.00		
Fitness/Recreation/Sports	\$110.50		\$110.50		
Regional Fitness Center	\$104.00		#####		
Intramurals and Recreation	\$6.50		\$6.50		
Health Services/Wellness		\$120.00		\$120.00	
Subtotal: Not Subject to 135A.0434:		\$411.00		\$411.00	0.0%

B. Subject to 135A.0434-Student Activity Fees Legislation	2019-2020	Actual	2020-2021 Proposed		
Student Activity Fee		\$59.00		\$59.00	
Student Activities/Organizations	\$49.29		\$48.42		
Media Organizations (print, radio, etc.)	\$6.61		\$6.66		
Student Governance	\$3.10		\$3.92		
Subtotal: Subject to 135A.0434		\$59.00		\$59.00	0.0%

**University of Minnesota Morris
Student Activity Fee Allocations 2020-2021**

Specific allocations to organizations from activity fee collections are shown below.

Funded Organizations	2020-2021 ALLOC.
Campus Life Programs	
Big Friend / Little Friend (BFLF)	\$5,615
Mock Trial / Pre-Law Society	\$7,000
Peer Health Educators (PHE)	\$2,000
University Recognized Organizations	
Asian Student Association (ASA)	\$4,270
Black Student Union (BSU)	\$8,397
Circle of Nations Indian Assoc. (CNIA)	\$16,155
Equality/MQSIE	\$5,600
International Student Association	\$2,000
KUMM - U90 alternative radio*	\$7,200
Morris Campus Student Association (MCSA)**	\$9,405
<i>The University Register (UR)</i> *	\$8,785
Independent Student Groups	
American Chemical Society (ACS)	\$507
American Indian Science and Engineering Society (AISES)	\$6,605
Cougar Cheer Team	\$2,189
French Club (Entre Nous)	\$2,800
Men's Rugby Team	\$7,142
Women's Rugby	\$6,806
Assistance to Student Groups and Student Fee Reserve	
Assistance to Student Groups (ASG)	\$32,772
Student Fee Reserve	\$7,237
Total Activity Fees Allocated from \$59/semester Activity Fee	\$142,485

* Media organizations

** Student Governance

UM Rochester - Student Service Fee Summary - FY20 Actual, FY21 Proposed Mandatory Student Fees per Semester (Fall & Spring)

	UMR		
	FY20	FY21	FY20-21 % Δ
FEE TOTALS:	\$168.00	\$168.00	0.0%
Not Subject to 135A.0434 Student Activity Fees Legislation			
Administrative			
Administrative Units	\$10.50	\$10.50	
Duluth Athletics/Athletic Facilities			
Intercollegiate Athletics			
Fitness/Recreation/Sports	\$90.00	\$90.00	
Capital Improvement Reserve Fee			
Transportation Sustainability/Safety			
Stadium Fee			
Capital Enhancement Fee for Student Life			
Health Services			
Health Services/Wellness	\$47.50	\$47.50	
Subtotal: Not Subject to 135A.0434:	\$148.00	\$148.00	0.0%
Subject to 135A.0434-Student Activity Fees Legislation			
Student Activities/Organizations			
Media organizations (print, radio, etc.)			
Student Governance (fees apply based on student status)			
Crookston Student Assn (CSA)			
UMD Student Assoc			
Morris Campus Student Org (MCSA)			
Rochester Student Association (RSA)	\$20.00	\$20.00	
MN Student Association *			
Council of Graduate Students *			
Professional Student Government *			
Subtotal: Subject to 135A.0434	\$20.00	\$20.00	0.0%

* Council of Graduate Students (COGS) and Professional Student Government (PSG) fees apply only to students in graduate or professional programs and are not included in the totals on line 3.

UM Twin Cities - Student Service Fee Summary - FY20 Actual, FY21 Proposed Mandatory Student Fees per Semester (Fall & Spring)

		UMTC			
		FY20	FY21	FY20-21 % Δ	
1					
2					
3	FEE TOTALS:	(TC Undergrad)	\$562.70	\$562.70	0.0%
4					
5	Not Subject to 135A.0434 Student Activity Fees Legislation				
6	Administrative				
7	Administrative Units		\$161.47	\$161.47	
8	Duluth Athletics/Athletic Facilities				
9	Intercollegiate Athletics				
10	Fitness/Recreation/Sports		\$117.29	\$117.29	
11	Capital Improvement Reserve Fee				
12	Transportation Sustainability/Safety		\$25.00	\$25.00	
13	Stadium Fee		\$6.00	\$6.00	
14	Capital Enhancement Fee for Student Life		\$75.00	\$75.00	
15	Health Services				
16	Health Services/Wellness		\$143.71	\$143.71	
17	Subtotal: Not Subject to 135A.0434:		\$528.47	\$528.47	0.0%
18					
19	Subject to 135A.0434-Student Activity Fees Legislation				
20	Student Activities/Organizations		\$19.29	\$19.29	
21	Media organizations (print, radio, etc.)		\$12.48	\$12.48	
22					
23	Student Governance (fees apply based on student status)				
24	Crookston Student Assn (CSA)				
25	UMD Student Assoc				
26	Morris Campus Student Org (MCSA)				
27	Rochester Student Association (RSA)				
28	MN Student Association *		\$2.46	\$2.46	
29	Council of Graduate Students *		\$10.36	\$10.36	
30	Professional Student Government *		\$16.63	\$16.59	
31	Subtotal: Subject to 135A.0434	(TC Undergrad)	\$34.23	\$34.23	0.0%
32		(TC Graduate)	\$42.13	\$42.13	0.0%
33		(TC Professional)	\$48.40	\$48.36	-0.1%

* Council of Graduate Students (COGS) and Professional Student Government (PSG) fees apply only to students in graduate or professional programs and are not included in the totals on line 3.

UMTC Student Service Fees Committee Recommendations for 2020-21 Funding

	2019-20 Final Rec.		2020-21 Stu Fees Requested		2020-21 Stu Fees Cmte. Final Rec.		2020-21 Stu Fees Final Rec. - 0% inc.	
	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem
Student Activity Fee:								
Student Activity Fee*	\$ 1,472,000	\$ 19.29	\$ 1,472,000	\$ 19.30	\$ 1,472,000	\$ 19.30	\$ 1,471,500	\$ 19.29
- Funding details attached								
Total Student Activity Fee:	\$ 1,472,000	\$ 19.29	\$ 1,472,000	\$ 19.30	\$ 1,472,000	\$ 19.30	\$ 1,471,500	\$ 19.29

	2019-20 Final Rec.		2020-21 Stu Fees Requested		2020-21 Stu Fees Cmte. Final Rec.		2020-21 Stu Fees Final Rec. - 0% inc.	
	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem
Media Fee:								
MN Daily	\$ 527,367	\$ 6.64	\$ 543,897	\$ 6.87	\$ 534,475	\$ 6.74	\$ 525,052	\$ 6.62
Radio K-Operating	\$ 327,949	\$ 4.15	\$ 334,898	\$ 4.22	\$ 314,662	\$ 3.96	\$ 309,115	\$ 3.89
Students for a Conservative Voice	\$ 73,799	\$ 0.91	\$ 103,207	\$ 1.32	\$ 99,853	\$ 1.27	\$ 98,093	\$ 1.25
StudioU	\$ 14,312	\$ 0.19	\$ 22,661	\$ 0.30	\$ 8,839	\$ 0.12	\$ 8,683	\$ 0.11
Wake Student Magazine	\$ 45,322	\$ 0.59	\$ 47,110	\$ 0.62	\$ 47,111	\$ 0.62	\$ 46,280	\$ 0.61
Total Media Fee Groups:	\$ 988,749	\$ 12.48	\$ 1,051,773	\$ 13.32	\$ 1,004,940	\$ 12.71	\$ 987,223	\$ 12.48

	2019-20 Final Rec.		2020-21 Stu Fees Requested		2020-21 Stu Fees Cmte. Final Rec.		2020-21 Stu Fees Final Rec. - 0% inc.	
	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem
Student Life, Health and Wellbeing Fee:								
Aurora Center	\$ 398,341	\$ 5.02	\$ 459,013	\$ 5.82	\$ 405,116	\$ 5.11	\$ 394,905	\$ 4.98
Boynton Health Facility Support Fee	\$ 807,998	\$ 11.00	\$ 807,998	\$ 10.98	\$ 842,895	\$ 11.46	\$ 825,192	\$ 11.22
Boynton Health Operational Fee	\$ 9,756,804	\$ 132.71	\$ 10,227,467	\$ 139.06	\$ 10,208,414	\$ 138.79	\$ 9,725,145	\$ 132.14
Student Advocate Services*	\$ 100,000	\$ 1.38	\$ 100,000	\$ 1.38	\$ 102,528	\$ 1.41	\$ 100,000	\$ 1.38
Student Conflict Resolution Center*	\$ 445,710	\$ 5.62	\$ 383,867	\$ 4.81	\$ 351,722	\$ 4.39	\$ 343,145	\$ 4.27
Student Fee Administration	\$ 172,485	\$ 2.17	\$ 172,485	\$ 2.17	\$ 171,191	\$ 2.16	\$ 156,906	\$ 1.97
Student Legal Services	\$ 1,342,332	\$ 16.88	\$ 1,342,332	\$ 16.92	\$ 1,367,112	\$ 17.25	\$ 1,336,613	\$ 16.85
Student Parent Grants	\$ 150,000	\$ 1.89	\$ 150,000	\$ 1.89	\$ 150,000	\$ 1.89	\$ 150,000	\$ 1.89
Student Unions & Activities - Bond Repayment	\$ 3,559,021	\$ 44.75	\$ 3,559,021	\$ 44.87	\$ 3,559,021	\$ 44.87	\$ 3,559,021	\$ 44.87
Student Unions & Activities - Facility Support Fee	\$ 4,225,038	\$ 53.13	\$ 4,225,038	\$ 53.27	\$ 4,241,032	\$ 53.48	\$ 4,213,096	\$ 53.11
Student Unions & Activities - Operating Fee	\$ 2,537,571	\$ 31.93	\$ 2,537,571	\$ 31.99	\$ 2,559,372	\$ 32.28	\$ 2,520,818	\$ 31.77
University Recreation and Wellness -Facility Support Fee	\$ 6,019,675	\$ 75.74	\$ 6,019,675	\$ 75.90	\$ 6,131,926	\$ 77.37	\$ 6,073,462	\$ 76.60
University Recreation and Wellness - Operational Fee	\$ 3,297,784	\$ 41.55	\$ 3,297,784	\$ 41.58	\$ 3,376,082	\$ 42.60	\$ 3,285,479	\$ 41.42
Total Student Life, Health and Wellbeing Fee	\$ 32,712,759	\$ 422.48	\$ 33,282,251	\$ 430.64	\$ 33,466,411	\$ 433.06	\$ 32,683,782	\$ 422.48

Total Student Services Fees w/o Special Assessments	\$ 35,173,508	\$ 454.24	\$ 35,806,024	\$ 463.26	\$ 35,943,351	\$ 465.07	\$ 35,142,505	\$ 454.24
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	2019-20 Final Rec.		2020-21 Stu Fees Requested		2020-21 Stu Fees Cmte. Final Rec.		2020-21 Stu Fees Final Rec. - 0% inc.	
	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem
Special Assessment Groups:								
Council of Graduate Students	\$ 122,940	\$ 10.36	\$ 122,557	\$ 10.57	\$ 122,557	\$ 10.57	\$ 120,176	\$ 10.36
Professional Student Government	\$ 208,952	\$ 16.63	\$ 208,592	\$ 16.59	\$ 208,592	\$ 16.59	\$ 208,592	\$ 16.59
MN Student Association	\$ 141,815	\$ 2.46	\$ 142,315	\$ 2.48	\$ 142,315	\$ 2.48	\$ 141,339	\$ 2.46
Total Special Assessments	\$ 473,707	\$ 29.45	\$ 473,464	\$ 29.63	\$ 473,464	\$ 29.63	\$ 470,107	\$ 29.41

Total for All Groups	\$ 35,647,215	\$ 483.70	\$ 36,279,488	\$ 492.89	\$ 36,416,815	\$ 494.70	\$ 35,612,612	\$ 483.65
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Note: Final recommendations for Student Life, Health and Wellbeing units were adjusted for compensation and cost pool changes.

*\$100k moved from SCRC to create Student Advocate Services

Attachment 10
University of Minnesota 2020-21 Tuition Plan: Student Services Fees

UMTC FY21 Student Activity Fee
 Total Allocation for FY21 = \$1,471,500

UMTC Student Activity Fee
Recommendations for 2020-21 through Fall
 FY21 Spring Programming will be allocated in Fall 2020

	Operations Request 2020-2021	Operations Allocation 2020-2021	Programming Request - Fall 2020	Programin g Allocation - Fall 2020	Programming Request - Spring 2021	Programmin g Allocation - Spring 2021	Total Request 2020-2021	Total Allocation 2020-2021*
180 Degrees Consulting							\$ -	\$ -
7 Days A Cappella	\$ 2,150.00	\$ 2,150.00					\$ 2,150.00	\$ 2,150.00
ACM UMN	\$ 2,684.00	\$ 2,184.00					\$ 2,684.00	\$ 2,184.00
African Student Association (ASA)							\$ -	\$ -
Al-Madinah Cultural Center (AMCC)							\$ -	\$ -
Alpha Phi Alpha Fraternity							\$ -	\$ -
Alpine Ski Team	\$ 5,491.00	\$ 5,491.00					\$ 5,491.00	\$ 5,491.00
American Indian Student Cultural Center (AISCC)	\$ 3,638.00	\$ 3,638.00					\$ 3,638.00	\$ 3,638.00
Anthropology Club	\$ 932.00	\$ -					\$ 932.00	\$ -
Archery Club at UMN	\$ 3,393.00	\$ -					\$ 3,393.00	\$ -
Argentine Tango Club, U-Tango							\$ -	\$ -
Art of Living Club, The							\$ -	\$ -
Asian American Student Union	\$ 7,962.00	\$ 6,842.00					\$ 7,962.00	\$ 6,842.00
Association for Computing Machinery (ACM)							\$ -	\$ -
Association for Computing Machinery (ACM) - Women's Chapter							\$ -	\$ -
Basses Wild	\$ 6,612.00	\$ 6,612.00					\$ 6,612.00	\$ 6,612.00
Be the Match on Campus	\$ 1,218.44	\$ 168.00					\$ 1,218.44	\$ 168.00
Bharat							\$ -	\$ -
Black Graduate & Professional Student Association (BGAPSA)	\$ 5,820.00	\$ 5,588.00					\$ 5,820.00	\$ 5,588.00
Black Motivated Women*							\$ -	\$ -
Black Student Union (BSU)	\$ 2,572.00	\$ 2,572.00					\$ 2,572.00	\$ 2,572.00
BollyWorkshop	\$ 1,650.00	\$ -					\$ 1,650.00	\$ -
Catholic Students United	\$ 19,957.00	\$ 9,030.00					\$ 19,957.00	\$ 9,030.00
Chabad U of MN	\$ 25,000.00	\$ 16,405.00					\$ 25,000.00	\$ 16,405.00
Chess Club	\$ 7,220.00	\$ 4,440.00					\$ 7,220.00	\$ 4,440.00
Chung Gong	\$ 1,000.00	\$ 600.00					\$ 1,000.00	\$ 600.00
CLA Business Club							\$ -	\$ -
Collegians For A Constructive Tomorrow	\$ 24,698.00	\$ 23,528.00					\$ 24,698.00	\$ 23,528.00
Collegiate DECA							\$ -	\$ -
Community Child Care Center Inc.	\$ 25,000.00	\$ 12,507.00					\$ 25,000.00	\$ 12,507.00
Como Early Learning Center (CELC)							\$ -	\$ -
Compassionate Action for Animals	\$ 13,842.00	\$ -					\$ 13,842.00	\$ -
Council of International Graduate Students (CIGS)							\$ -	\$ -
Cru	\$ 3,460.00	\$ 1,955.00					\$ 3,460.00	\$ 1,955.00
Curriculum and Instruction Graduate Student Association	\$ 2,500.00	\$ -					\$ 2,500.00	\$ -
Dance Collective, The							\$ -	\$ -
Dance Marathon at the University of Minnesota	\$ 1,215.00	\$ 1,040.00					\$ 1,215.00	\$ 1,040.00
Disabled Student Cultural Center	\$ 3,332.00	\$ 2,333.00					\$ 3,332.00	\$ 2,333.00
Economics Student Organization							\$ -	\$ -
Education Minnesota Student Chapter (EMSP - UMN Twin Cities)							\$ -	\$ -

Attachment 10
University of Minnesota 2020-21 Tuition Plan: Student Services Fees

	Operations Request 2020-2021	Operations Allocation 2020-2021	Programming Request - Fall 2020	Programmin g Allocation - Fall 2020	Programming Request - Spring 2021	Programmin g Allocation - Spring 2021	Total Request 2020-2021	Total Allocation 2020-2021*
Engineering World Health	\$ 4,374.00	\$ 724.00					\$ 4,374.00	\$ 724.00
Engineers Without borders (SWB) - UMN Chapter	\$ 1,457.00	\$ 747.00					\$ 1,457.00	\$ 747.00
Ethopian Student Association							\$ -	\$ -
Feminist Student Activist Collective	\$ 6,040.00	\$ 5,940.00					\$ 6,040.00	\$ 5,940.00
Fisheries, Wildlife, and Conservation Biology Club							\$ -	\$ -
Gopher Motorsports/Society of Automotive Engineers	\$ 25,000.00	\$ 24,800.00					\$ 25,000.00	\$ 24,800.00
Graduate Society for Human Resources Leaders							\$ -	\$ -
Grad SEHD							\$ -	\$ -
Gymnastics Club	\$ 4,800.00	\$ 4,800.00					\$ 4,800.00	\$ 4,800.00
Hellenic Student Association at the University of Minnesota	\$ 1,950.00	\$ 1,600.00					\$ 1,950.00	\$ 1,600.00
Hmong Minnesota Student Association (HMSA)							\$ -	\$ -
Human Rights Student Association							\$ -	\$ -
Indian Student Association							\$ -	\$ -
Interdisciplinary Perspectives on International Development (IPID)							\$ -	\$ -
International Buddy Program							\$ -	\$ -
International Justice Mission UMN							\$ -	\$ -
J-Café							\$ -	\$ -
Korean Student Association							\$ -	\$ -
La Raza Student Cultural Center	\$ 1,388.00	\$ 867.00					\$ 1,388.00	\$ 867.00
Lutheran Campus Ministry	\$ 6,953.00	\$ 6,053.00					\$ 6,953.00	\$ 6,053.00
Malaysian Student Association (PERSISMA)							\$ -	\$ -
Marine Biology Club	\$ 2,450.00	\$ 1,350.00					\$ 2,450.00	\$ 1,350.00
Minnesota Equestrian	\$ 5,840.00	\$ 5,520.00					\$ 5,840.00	\$ 5,520.00
Minnesota Hillel							\$ -	\$ -
Minnesota International Student Association (MISA)	\$ 300.00	\$ -					\$ 300.00	\$ -
Minnesota Quidditch	\$ 3,963.00	\$ 3,599.00					\$ 3,963.00	\$ 3,599.00
Minnesota Sailing Team	\$ 15,304.00	\$ -					\$ 15,304.00	\$ -
Minnesota Student for Liberty							\$ -	\$ -
Minnesota Triathlon Team	\$ 3,554.00	\$ 3,554.00					\$ 3,554.00	\$ 3,554.00
MinnesoTap	\$ 1,250.00	\$ 1,250.00					\$ 1,250.00	\$ 1,250.00
Muslim Student Association							\$ -	\$ -
National Association of Black Accountants (NABA)	\$ 1,465.00	\$ 625.00					\$ 1,465.00	\$ 625.00
Organization for Graduate and Professional Students with Disabilities	\$ 4,088.00	\$ 4,088.00					\$ 4,088.00	\$ 4,088.00
Oromia Student Union							\$ -	\$ -
Outdoors Club	\$ 3,733.00	\$ 3,433.00					\$ 3,733.00	\$ 3,433.00
Pakistani Student Association							\$ -	\$ -
Partners in Health-ENGAGE							\$ -	\$ -
Pay It Forward	\$ 1,500.00	\$ -					\$ 1,500.00	\$ -
PERIOD.MN							\$ -	\$ -
Philippine Student Association							\$ -	\$ -
Phillips Neighborhood Clinic							\$ -	\$ -
Public Health Review							\$ -	\$ -
Queer Student Cultural Center (QSCC)	\$ 6,664.00	\$ 6,464.00					\$ 6,664.00	\$ 6,464.00
Rocket Team	\$ 18,834.00	\$ 18,834.00					\$ 18,834.00	\$ 18,834.00

Attachment 10
University of Minnesota 2020-21 Tuition Plan: Student Services Fees

	Operations Request 2020-2021	Operations Allocation 2020-2021	Programming Request - Fall 2020	Programmin g Allocation - Fall 2020	Programming Request - Spring 2021	Programmin g Allocation - Spring 2021	Total Request 2020-2021	Total Allocation 2020-2021*
Saint Paul's Outreach (SPO)	\$ 13,837.00	\$ 10,585.00					\$ 13,837.00	\$ 10,585.00
Shotgun Sports at the University of Minnesota	\$ 13,266.00	\$ -					\$ 13,266.00	\$ -
SIAM (Society for Industrial and Applied Mathematics)							\$ -	\$ -
Sky At UMN	\$ 12,300.00	\$ 5,800.00					\$ 12,300.00	\$ 5,800.00
Small World Coffee Hour	\$ 2,846.31	\$ 425.00					\$ 2,846.31	\$ 425.00
Society of Asian Scientists and Engineers							\$ -	\$ -
Society of Automotive Engineers							\$ -	\$ -
Somali Student Association							\$ -	\$ -
Student Veteran Association (SVA)							\$ -	\$ -
Students Supporting Isreal	\$ 6,250.00	\$ 2,300.00					\$ 6,250.00	\$ 2,300.00
Table Tennis Club	\$ 9,914.00	\$ -					\$ 9,914.00	\$ -
Tempest Dodgeball Club							\$ -	\$ -
Tesla Works	\$ 15,839.00	\$ 14,940.00					\$ 15,839.00	\$ 14,940.00
U-Finance							\$ -	\$ -
UMN Slackline Club							\$ -	\$ -
Undergraduate Mock Trial Association	\$ 3,950.00	\$ -					\$ 3,950.00	\$ -
Underserved Mental Health Association	\$ 1,000.00	\$ -					\$ 1,000.00	\$ -
United Nations Student Association - Model United Nations (UNSA-MUN)	\$ 1,724.00	\$ 1,714.00					\$ 1,724.00	\$ 1,714.00
University Forensic Speaking							\$ -	\$ -
University of Minnesota Clean Snowmobile Team	\$ 13,499.00	\$ 13,499.00					\$ 13,499.00	\$ 13,499.00
University of Minnesota Parliamentary Debate	\$ 22,502.00	\$ -					\$ 22,502.00	\$ -
University of Minnesota Robotics	\$ 17,177.00	\$ 17,177.00					\$ 17,177.00	\$ 17,177.00
University of Minnesota Solar Vehicle Project	\$ 25,000.00	\$ 25,000.00					\$ 25,000.00	\$ 25,000.00
University of Minnesota Undergraduate Mock Trial Association (UMTA)							\$ -	\$ -
University Running Club	\$ 9,195.00	\$ 9,195.00					\$ 9,195.00	\$ 9,195.00
University Transfer Student Board	\$ -	\$ -					\$ -	\$ -
Vietnamese Student Association of Minnesota (VSAM)							\$ -	\$ -
VIIVNCAUS-Hmong Women's Group							\$ -	\$ -
Vocal U	\$ 3,740.00	\$ 3,740.00					\$ 3,740.00	\$ 3,740.00
WAM Collective	\$ 5,000.00	\$ -					\$ 5,000.00	\$ -
Wesley Foundation	\$ 9,055.00	\$ 6,236.00					\$ 9,055.00	\$ 6,236.00
Young Americans for Liberty (YAL)							\$ -	\$ -

Total	\$ 478,347.75	\$ 311,942.00	\$ -	\$ -	\$ -	\$ -	\$ 478,347.75	\$ 311,942.00
*Total allocation pending appeals							Total FY21 Allocation	\$ 1,471,500.00
							Remaining Balance	\$ 1,159,558.00

**Attachment 11 - Fund Forecast - REVISED
Centrally Distributed and Attributed Funds**

	Updated F.Y. 2020								Approved Budget F.Y. 2021							
	O & M	Tuition	State Special	IC R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations	O & M	Tuition	State Special	IC R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations
U of M SUMMARY																
Resources																
Balance Forward - Systemwide	(314,203)	0	0	0	41,615,727	41,301,524	0	41,301,524	194,126	0	0	0	23,577,687	23,771,813	0	23,771,813
Annual Revenue:																
State Legislative Appropriations	601,078,000	0	92,995,000	0	0	694,073,000	0	694,073,000	602,818,000	0	92,995,000	0	0	695,813,000	0	695,813,000
Tuition and Fees	3,300,000	971,588,798	0	0	0	974,888,798	0	974,888,798	3,300,000	973,279,127	0	0	0	976,579,127	0	976,579,127
Indirect Cost Recovery	0	0	0	168,626,872	0	168,626,872	0	168,626,872	0	0	0	166,218,904	0	166,218,904	0	166,218,904
Investment Income (net)	0	0	0	0	31,225,382	31,225,382	0	31,225,382	0	0	0	0	20,758,000	20,758,000	0	20,758,000
Enterprise Assessment	22,300,000	0	0	0	0	22,300,000	0	22,300,000	22,300,000	0	0	0	0	22,300,000	0	22,300,000
Other	800,000	0	0	0	0	800,000	558,312,226	559,112,226	500,000	0	0	0	0	500,000	562,485,338	562,985,338
Subtotal - Annual Revenue	627,478,000	971,588,798	92,995,000	168,626,872	31,225,382	1,891,914,052	558,312,226	2,450,226,278	628,918,000	973,279,127	92,995,000	166,218,904	20,758,000	1,882,169,031	562,485,338	2,444,654,369
Net Interfund Transfers	6,932,000	0	0	0	(6,932,000)	0	0	0	6,932,000	0	0	0	(6,932,000)	0	0	0
Total Resources Available	634,095,797	971,588,798	92,995,000	168,626,872	65,909,109	1,933,215,576	558,312,226	2,491,527,802	636,044,126	973,279,127	92,995,000	166,218,904	37,403,687	1,905,940,844	562,485,338	2,468,426,182
Allocations																
Board of Regents	0	0	0	0	90,000	90,000	939,937	1,029,937	0	0	0	0	90,000	90,000	938,937	1,028,937
President's Office	389,419	0	0	0	167,000	556,419	5,552,663	6,109,082	389,419	0	0	0	167,000	556,419	5,541,851	6,098,270
Athletics	6,837,169	0	0	0	0	6,837,169	0	6,837,169	6,868,607	0	0	0	0	6,868,607	0	6,868,607
University Relations	0	0	0	0	5,679,580	5,679,580	6,501,585	12,181,165	0	0	0	0	5,679,580	5,679,580	6,485,585	12,165,165
General Counsel	0	0	0	0	0	0	6,204,958	6,204,958	0	0	0	0	0	0	6,386,162	6,386,162
Audits	0	0	0	0	0	0	2,281,534	2,281,534	0	0	0	0	0	0	2,301,534	2,301,534
Chief Financial Officer/SVP	0	0	0	0	4,000,000	4,000,000	103,486,680	107,486,680	0	0	0	0	4,000,000	4,000,000	104,807,930	108,807,930
University Services	228,949	0	0	0	1,000,000	1,228,949	185,117,993	186,346,942	251,287	0	0	0	1,000,000	1,251,287	190,997,366	192,248,653
Debt Service	14,675,749	0	0	0	0	14,675,749	34,848,782	49,524,531	19,787,013	0	0	0	0	19,787,013	30,233,977	50,020,990
Exec VP/Provost Acadmic Affairs	267,744,247	654,065,760	45,581,847	63,799,040	0	1,031,190,894	171,824,584	1,203,015,478	274,298,919	655,212,998	45,581,847	63,387,410	0	1,038,481,174	173,008,317	1,211,489,491
VP Clinical Affairs	178,139,056	168,806,450	33,815,524	97,084,487	0	477,845,517	7,098,070	484,943,587	178,529,705	170,200,081	33,815,524	95,460,736	0	478,006,046	7,079,070	485,085,116
Research	29,615,382	0	703,683	5,305,268	0	35,624,333	25,819,136	61,443,469	23,503,132	0	703,683	4,902,401	0	29,109,216	26,013,606	55,122,822
Duluth	47,804,250	112,109,889	4,452,946	2,326,846	350,000	167,043,931	0	167,043,931	50,433,908	111,530,666	4,452,946	2,356,814	350,000	169,124,334	0	169,124,334
Morris	23,625,343	12,554,035	0	76,964	0	36,256,342	0	36,256,342	24,394,575	12,230,757	0	76,964	0	36,702,296	0	36,702,296
Crookston	12,529,057	16,607,844	0	15,580	0	29,152,481	0	29,152,481	12,888,614	16,163,765	0	15,892	0	29,068,271	0	29,068,271
Rochester	7,922,762	7,444,820	450,000	18,687	0	15,836,269	0	15,836,269	7,929,096	7,940,860	450,000	18,687	0	16,338,643	0	16,338,643
Contingencies and Reserves	0	0	0	0	1,000,000	1,000,000	0	1,000,000	0	0	0	0	1,000,000	1,000,000	0	1,000,000
Special Allocations	44,390,288	0	7,991,000	0	30,044,842	82,426,130	8,636,304	91,062,434	36,545,848	0	7,991,000	0	11,104,382	55,641,230	8,691,003	64,332,233
Subtotal - Allocations	633,901,671	971,588,798	92,995,000	168,626,872	42,331,422	1,909,443,763	558,312,226	2,467,755,989	635,820,123	973,279,127	92,995,000	166,218,904	23,390,962	1,891,704,116	562,485,338	2,454,189,454
Total Allocations	633,901,671	971,588,798	92,995,000	168,626,872	42,331,422	1,909,443,763	558,312,226	2,467,755,989	635,820,123	973,279,127	92,995,000	166,218,904	23,390,962	1,891,704,116	562,485,338	2,454,189,454
Change in allocations/Transfers																
Ending Balance	194,126	0	0	0	23,577,687	23,771,813	0	23,771,813	224,003	0	0	0	14,012,725	14,236,728	0	14,236,728
Board Policy Goal Reserve					27,762,920								27,832,520			

**Attachment 11 - Fund Forecast - REVISED
Centrally Distributed and Attributed Funds**

	Updated F.Y. 2020							Approved Budget F.Y. 2021								
	O & M	Tuition	State Special	IC R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations	O & M	Tuition	State Special	IC R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations
ANNUAL RESOURCES																
State Legislative Appropriations																
General Appropriation	601,078,000	0	0	0	0	601,078,000	0	601,078,000	602,818,000	0	0	0	0	602,818,000	0	602,818,000
MinnesotaCare	0	0	2,157,000	0	0	2,157,000	0	2,157,000	0	0	2,157,000	0	0	2,157,000	0	2,157,000
Cigarette Tax	0	0	22,250,000	0	0	22,250,000	0	22,250,000	0	0	22,250,000	0	0	22,250,000	0	22,250,000
Miscellaneous Special	0	0	8,141,000	0	0	8,141,000	0	8,141,000	0	0	8,141,000	0	0	8,141,000	0	8,141,000
Agriculture Special	0	0	42,922,000	0	0	42,922,000	0	42,922,000	0	0	42,922,000	0	0	42,922,000	0	42,922,000
Health Sciences Special	0	0	9,204,000	0	0	9,204,000	0	9,204,000	0	0	9,204,000	0	0	9,204,000	0	9,204,000
Technology Special	0	0	1,140,000	0	0	1,140,000	0	1,140,000	0	0	1,140,000	0	0	1,140,000	0	1,140,000
System Special	0	0	7,181,000	0	0	7,181,000	0	7,181,000	0	0	7,181,000	0	0	7,181,000	0	7,181,000
Subtotal - State Appropriations	601,078,000	0	92,995,000	0	0	694,073,000	0	694,073,000	602,818,000	0	92,995,000	0	0	695,813,000	0	695,813,000
Tuition																
Tuition - Crookston	0	16,607,844	0	0	0	16,607,844	0	16,607,844	0	16,163,765	0	0	0	16,163,765	0	16,163,765
Tuition - Duluth	0	112,109,889	0	0	0	112,109,889	0	112,109,889	0	111,530,666	0	0	0	111,530,666	0	111,530,666
Tuition - Morris	0	12,554,035	0	0	0	12,554,035	0	12,554,035	0	12,230,757	0	0	0	12,230,757	0	12,230,757
Tuition - Rochester	0	7,444,820	0	0	0	7,444,820	0	7,444,820	0	7,940,860	0	0	0	7,940,860	0	7,940,860
Tuition - Twin Cities	0	822,872,210	0	0	0	822,872,210	0	822,872,210	0	825,413,079	0	0	0	825,413,079	0	825,413,079
Subtotal - Tuition	0	971,588,798	0	0	0	971,588,798	0	971,588,798	0	973,279,127	0	0	0	973,279,127	0	973,279,127
Tuition Adjustment	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Application/Bursar Fees	3,300,000	0	0	0	0	3,300,000	0	3,300,000	3,300,000	0	0	0	0	3,300,000	0	3,300,000
Subtotal - Tuition and Fees	3,300,000	0	0	0	0	3,300,000	0	3,300,000	3,300,000	0	0	0	0	3,300,000	0	3,300,000
Indirect Cost Recovery	0	0	0	168,626,872	0	168,626,872	0	168,626,872	0	0	0	166,218,904	0	166,218,904	0	166,218,904
Investment Income (net)																
Gross Investment Income	0	0	0	0	33,552,882	33,552,882	0	33,552,882	0	0	0	0	22,680,500	22,680,500	0	22,680,500
Realized Capital Gains/Loss	0	0	0	0	(472,500)	(472,500)	0	(472,500)	0	0	0	0	(62,500)	(62,500)	0	(62,500)
Fees & Operating Deduction	0	0	0	0	(1,615,000)	(1,615,000)	0	(1,615,000)	0	0	0	0	(1,620,000)	(1,620,000)	0	(1,620,000)
Inv. Income - To Participants	0	0	0	0	(240,000)	(240,000)	0	(240,000)	0	0	0	0	(240,000)	(240,000)	0	(240,000)
Subtotal - Investment Income (net)	0	0	0	0	31,225,382	31,225,382	0	31,225,382	0	0	0	0	20,758,000	20,758,000	0	20,758,000
Other																
Internal Cost Pool Receipts	0	0	0	0	0	0	558,312,226	558,312,226	0	0	0	0	0	0	562,485,338	562,485,338
Legal Settlements	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Enterprise Assessment	22,300,000	0	0	0	0	22,300,000	0	22,300,000	22,300,000	0	0	0	0	22,300,000	0	22,300,000
Other Income/Misc. Adj	800,000	0	0	0	0	800,000	0	800,000	500,000	0	0	0	0	500,000	0	500,000
Subtotal - Other	23,100,000	0	0	0	0	23,100,000	558,312,226	581,412,226	22,800,000	0	0	0	0	22,800,000	562,485,338	585,285,338
Annual Revenue	627,478,000	971,588,798	92,995,000	168,626,872	31,225,382	1,891,914,052	558,312,226	2,450,226,278	628,918,000	973,279,127	92,995,000	166,218,904	20,758,000	1,882,169,031	562,485,338	2,444,654,369
Net Interfund Transfers																
Into O&M from Central Reserves	6,932,000	0	0	0	(6,932,000)	0	0	0	6,932,000	0	0	0	(6,932,000)	0	0	0
Subtotal - Net Transfers	6,932,000	0	0	0	(6,932,000)	0	0	0	6,932,000	0	0	0	(6,932,000)	0	0	0

**Attachment 11 - Fund Forecast - REVISED
Centrally Distributed and Attributed Funds**

	Updated F.Y. 2020								Approved Budget F.Y. 2021							
	O & M	Tuition	State Special	IC R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations	O & M	Tuition	State Special	IC R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations
Academic Health Center																
Dentistry	13,973,786	31,231,692	244,000	1,746,760	0	47,196,238	0	47,196,238	13,474,250	31,861,988	244,000	1,500,000	0	47,080,238	0	47,080,238
VP-Academic Clinical Affairs	0	0	0	0	0	0	7,098,070	7,098,070	0	0	0	0	0	0	7,079,070	7,079,070
Academic Clinical Affairs	32,567,318	1,000,000	10,087,512	13,300,000	0	56,954,830	0	56,954,830	33,748,395	1,000,000	10,087,512	12,399,658	0	57,235,565	0	57,235,565
Medical School	95,458,069	48,869,950	17,820,644	57,736,459	0	219,885,122	0	219,885,122	94,008,459	49,254,524	17,820,644	58,098,686	0	219,182,313	0	219,182,313
Nursing	5,673,672	17,677,945	518,000	1,527,334	0	25,396,951	0	25,396,951	5,802,186	18,094,133	518,000	1,557,881	0	25,972,200	0	25,972,200
Pharmacy	4,083,844	27,430,062	1,444,000	4,916,240	0	37,874,146	0	37,874,146	4,968,756	26,497,122	1,444,000	4,932,565	0	37,842,443	0	37,842,443
Public Health	7,214,766	22,013,886	340,743	14,057,694	0	43,627,089	0	43,627,089	7,598,775	21,665,114	340,743	13,791,946	0	43,396,578	0	43,396,578
Veterinary Medicine	19,167,601	20,582,915	3,360,625	3,800,000	0	46,911,141	0	46,911,141	18,928,884	21,827,200	3,360,625	3,180,000	0	47,296,709	0	47,296,709
Total - Academic Health Center	178,139,056	168,806,450	33,815,524	97,084,487	0	477,845,517	7,098,070	484,943,587	178,529,705	170,200,081	33,815,524	95,460,736	0	478,006,046	7,079,070	485,085,116
Duluth	47,804,250	112,109,889	4,452,946	2,326,846	350,000	167,043,931	0	167,043,931	50,433,908	111,530,666	4,452,946	2,356,814	350,000	169,124,334	0	169,124,334
Morris	23,625,343	12,554,035	0	76,964	0	36,256,342	0	36,256,342	24,394,575	12,230,757	0	76,964	0	36,702,296	0	36,702,296
Crookston	12,529,057	16,607,844	0	15,580	0	29,152,481	0	29,152,481	12,888,614	16,163,765	0	15,892	0	29,068,271	0	29,068,271
Rochester	7,922,762	7,444,820	450,000	18,687	0	15,836,269	0	15,836,269	7,929,096	7,940,860	450,000	18,687	0	16,338,643	0	16,338,643
Contingencies and Reserves																
General Contingency	0	0	0	0	1,000,000	1,000,000	0	1,000,000	0	0	0	0	1,000,000	1,000,000	0	1,000,000
Total - Contingencies & Reserves	0	0	0	0	1,000,000	1,000,000	0	1,000,000	0	0	0	0	1,000,000	1,000,000	0	1,000,000
Special Allocations (TINA)																
Rsrv-Initiatives/CR Depreciation	2,361,589	0	0	0	661,200	3,022,789	0	3,022,789	2,344,401	0	0	0	919,382	3,263,783	0	3,263,783
Reserve for FY21 Framework	8,000,000	0	0	0	0	8,000,000	0	8,000,000	0	0	0	0	0	0	0	0
Strategic Planning	2,775,900	0	0	0	0	2,775,900	0	2,775,900	2,775,900	0	0	0	0	2,775,900	0	2,775,900
MnDRIVE	31,103	0	0	0	0	31,103	0	31,103	31,103	0	0	0	0	31,103	0	31,103
Strategic Investment Pool	47,300	0	0	0	0	47,300	0	47,300	47,300	0	0	0	0	47,300	0	47,300
Graduate Assistant Support	3,600,000	0	0	0	0	3,600,000	0	3,600,000	3,600,000	0	0	0	0	3,600,000	0	3,600,000
Enterprise Project	20,262,818	0	0	0	0	20,262,818	0	20,262,818	20,262,818	0	0	0	0	20,262,818	0	20,262,818
Law Bridge	1,000,000	0	0	0	0	1,000,000	0	1,000,000	1,000,000	0	0	0	0	1,000,000	0	1,000,000
Promise Scholarship	2,000,000	0	0	0	0	2,000,000	825,000	2,825,000	2,000,000	0	0	0	0	2,000,000	825,000	2,825,000
COVID-19 Support to Units	0	0	0	0	25,000,000	25,000,000	0	25,000,000	0	0	0	0	10,000,000	10,000,000	0	10,000,000
Leases/Taxes etc.	0	0	0	0	0	0	7,811,304	7,811,304	0	0	0	0	0	0	7,866,003	7,866,003
Utility Reserve	1,000,000	0	0	0	0	1,000,000	0	1,000,000	1,000,000	0	0	0	0	1,000,000	0	1,000,000
Holding Debt Balance	1,167,578	0	0	0	0	1,167,578	0	1,167,578	1,167,578	0	0	0	0	1,167,578	0	1,167,578
New Bldg Operations/Utilities	2,144,000	0	0	0	0	2,144,000	0	2,144,000	1,716,748	0	0	0	0	1,716,748	0	1,716,748
Rochester/Mayo Appropriation	0	0	7,991,000	0	0	7,991,000	0	7,991,000	0	0	7,991,000	0	0	7,991,000	0	7,991,000
NR Investment Pool	0	0	0	0	0	0	0	0	600,000	0	0	0	0	600,000	0	600,000
LCCMR Appropriations/TIP	0	0	0	0	196,642	196,642	0	196,642	0	0	0	0	185,000	185,000	0	185,000
Legal Costs-Settlements-NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Contribution to RUMINCO	0	0	0	0	3,000,000	3,000,000	0	3,000,000	0	0	0	0	0	0	0	0
NR Enrollment Mgmt	0	0	0	0	570,000	570,000	0	570,000	0	0	0	0	0	0	0	0
NR PIPSM Bridging Funds	0	0	0	0	617,000	617,000	0	617,000	0	0	0	0	0	0	0	0
Total - Special Allocations	44,390,288	0	7,991,000	0	30,044,842	82,426,130	8,636,304	91,062,434	36,545,848	0	7,991,000	0	11,104,382	55,641,230	8,691,003	64,332,233
ALLOCATIONS	633,901,671	971,588,798	92,995,000	168,626,872	42,331,422	1,909,443,763	558,312,226	2,467,755,989	635,820,123	973,279,127	92,995,000	166,218,904	23,390,962	1,891,704,116	562,485,338	2,454,189,454

President's Recommended FY 2021 Operating Budget

Joan T.A. Gabel, President

Brian D. Burnett, Senior Vice President for Finance and Operations

Julie Tonneson, Associate Vice President and Budget Director

Finance & Operations Committee

June 11, 2020

SENIOR VICE PRESIDENT FOR FINANCE AND OPERATIONS

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UNIVERSITY BUDGET

UNIVERSITY OF MINNESOTA

University of Minnesota's FY 2021 Budget in Three Parts

1. COVID-19 Adjusted Budget (CAB)
 - Annual changes in factors not impacted by COVID-19
 - COVID-19 Related Assumptions
2. Contingency Plan 1 – Framework
3. Contingency Plan 2 – Framework

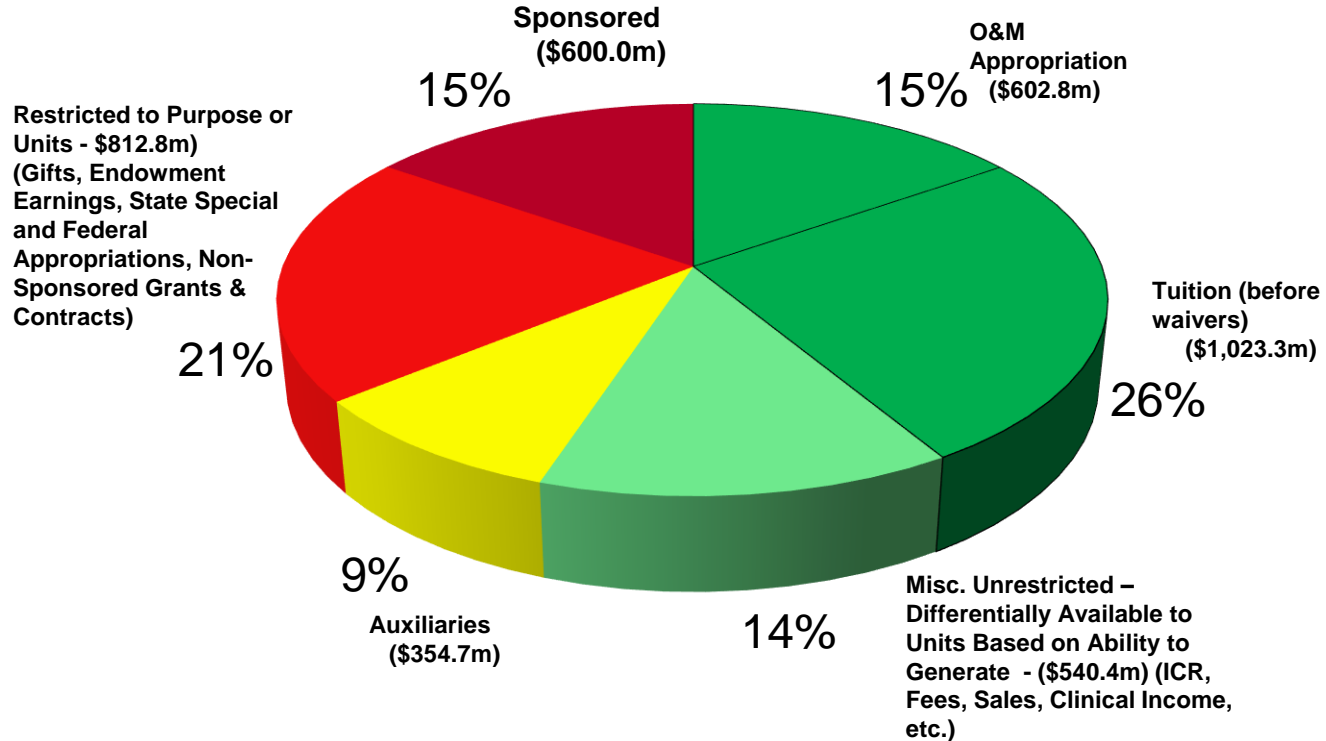


Primary Aspects of the CAB

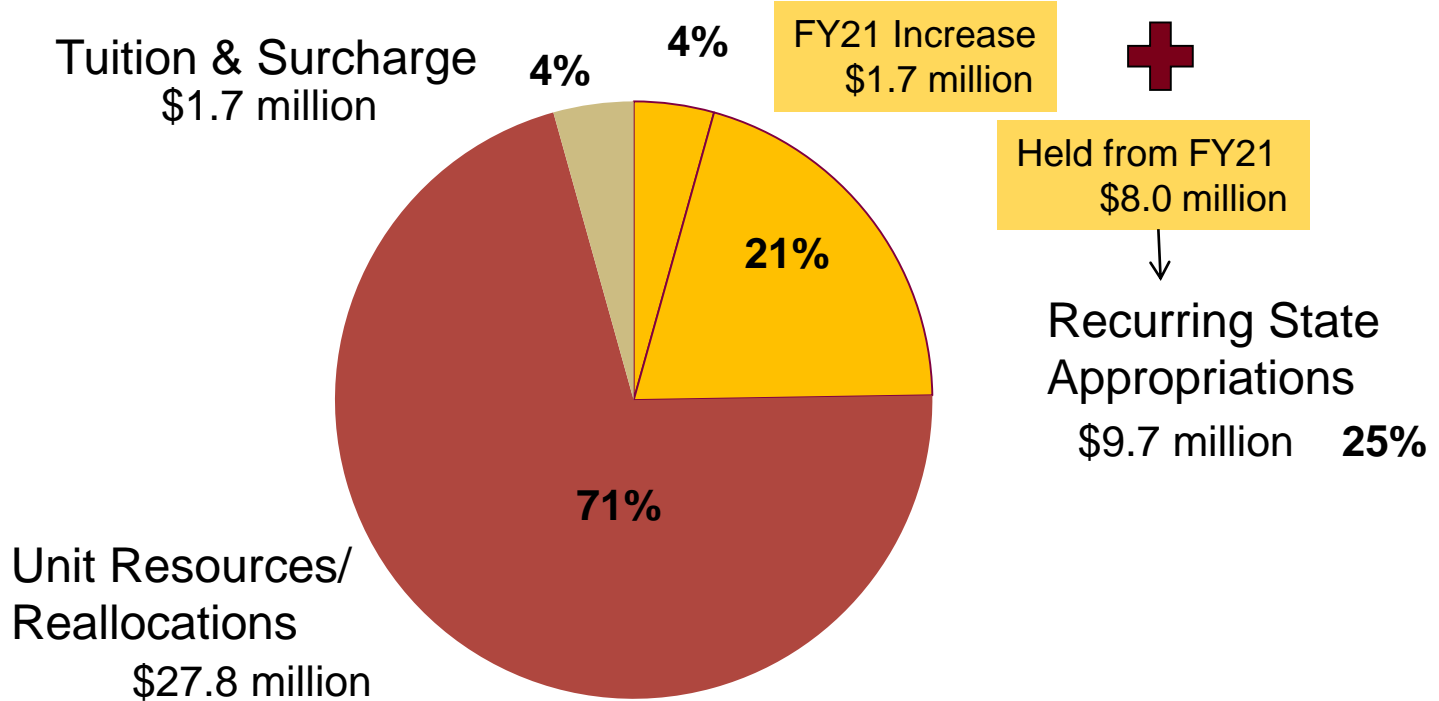
- Freeze – Tuition, Room & Board, Fees
- Freeze – Salaries (*excluding bargaining unit agreements/faculty promotions*)
- State Appropriation – FY21 \$1.7m increase + FY20 \$8.0m
- Reallocation - \$20.0m in state and tuition funds
- Minimal number of investments in state and tuition funds
- Estimated reductions in other non-sponsored revenues – pandemic
- Planned reductions in other non-sponsored spending – pandemic
- Strategic use of balances in units
- Strategic use of central reserves to bridge to revenue recovery



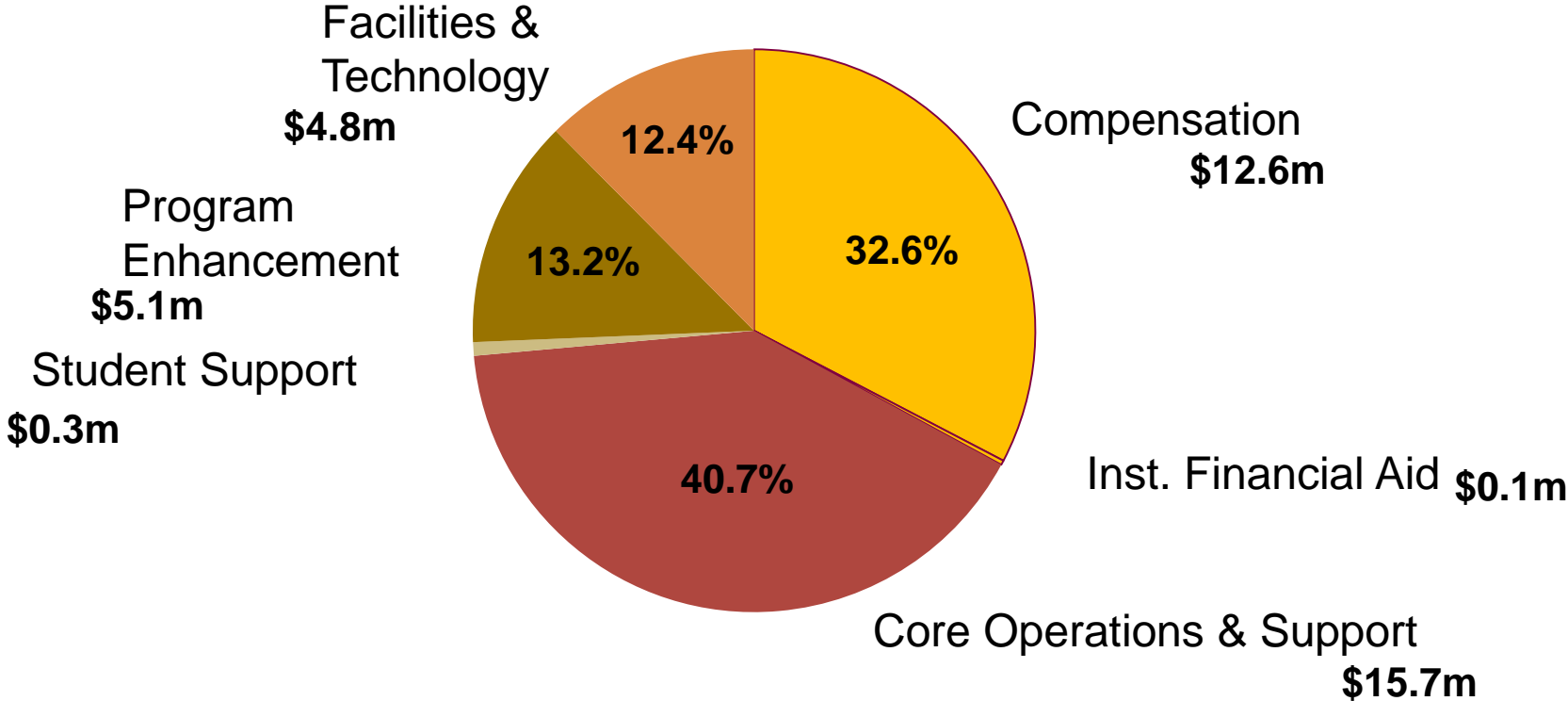
CAB - University of Minnesota Revenue Sources By Fund Category - FY 2021: \$3.9 Billion (excludes internal sales)



University of Minnesota FY21 – COVID-19 Adjusted Budget (CAB) Incremental Framework Resources \$39.2 million



University of Minnesota FY21 – COVID-19 Adjusted Budget (CAB) Incremental Framework Costs & Investments = \$38.6 Million



Contingency Planning

Contingency 1 – “Mid-Fall Return”

Contingency 2 – “January Return”

Continually assess the situation – refine estimated challenge

Revise contingency plans – amend budget if necessary





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Public Comment on the President's Recommended FY 2021 Annual Operating Budget

Students, staff, faculty, alumni, and community members were invited to provide written feedback on the President's recommended FY 2021 annual operating budget through an online form.

The public comment opportunity was promoted to students, staff, and faculty through the U of M Brief newsletter; on the MyU Portal; and direct communication with chancellors, deans, University Senate, and student leaders. In addition, the comment period was promoted to the public in a press release issued ahead of the May Board meeting, and subsequent mention in daily newspapers.

The comments received appear on the following pages in the order they were received. They have not been edited. Two emails received by the Office of the Board of Regents are also included.

Public Comment on the President’s Recommended FY 2021 Annual Operating Budget

First Name	Last Name	Affiliation	Comment
Will	Haugen	Faculty/Staff	<p>Dear Board of Regents,</p> <p>Please continue your current level of support for the Weisman Art Museum as best you can in the FY21 operating budget. WAM, and the other enterprise units, are valuable additions to the University, which support the U's wider community engagement goals. The museum is an icon of the Twin Cities, welcoming 90,000 visitors per year, and engages major philanthropists who otherwise wouldn't attend campus. It puts the U on the map as home to a world-class academic museum. It encourages new, innovative scholarship between artists and researchers in its Target Studio for Collaboration. Its 80 student work positions launch the careers of the next generation of creatives. Its permanent collection of 30,000 artworks is valued over \$170 million and requires expert care. The list goes on as to why WAM needs to be fully funded in FY21 and given the resources to remain a vibrant gem that connects the U to the broader community. Thank you.</p>
Saghar	Shafizadeh	Faculty/Staff	<p>I propose that the Board of Regents/ UofM offer a 10-20% annual salary cut to those faculty and staff who make above 100K (or whatever salary threshold the Board decides on) in exchange for 1 year early retirement with full benefits for each year the salary reduction is in place. This is a win-win strategy as 1) it will be voluntary and therefore will not meet with resistance 2) it will provide the U with much needed immediate funds post Covid-19 3) if done annually, can be discontinued annually if the employee desires or the U has recovered financially.</p> <p>Thank you for involving the larger U community in your decision making.</p>
Jamie	Ratliff	Faculty/Staff	<p>I have two suggestions for fiscal efficiency.</p> <p>First, cut where efficiency does not already exist. Any such cuts should thus exclude UMD, which has spent years making difficult, painful cuts to its recurring budget and is now extraordinarily lean. Further cuts cannot be made without doing significant damage to UMD's core mission.</p>

Public Comment on the President's Recommended FY 2021 Annual Operating Budget

First Name	Last Name	Affiliation	Comment
			<p>And second, agree to the term-faculty promotion tracks framework jointly developed by UEA-D and the UMD administration. This will help UMD retain some of its best teaching faculty, a number of whom are poached every year by other institutions. Having stable term faculty means better faculty engagement, better student retention, less time and money going to new searches, and less energy lost in transitions.</p>
Elise	Sarvas	Faculty/Staff	<p>Dear Board of Regents,</p> <p>I appreciate the significant work you are doing at this unusual and arduous time. President Gabel's proposed operating budget is thoughtful, however I oppose the tuition increase for the School of Dentistry. Dental students pay some of the highest in tuition and fees in the University. While dentistry has traditionally afforded them the ability to pay these back, the face of dentistry as a profession is changing rapidly in response to our current pandemic. The market into which our students are graduating is unknown as we work to tailor our aerosol-generating profession into a world where anyone may be a virus-carrier. Currently dentists are seeing emergency patients only, and while I hope this will soon change, the return to "normal service" will be slow and vastly different than we were used to before. I understand that an increase in the future may be necessary to cover costs, but it feels unreasonable to do so at this time without understanding the current and near-future milieu. I appreciate that not raising tuition 2.7% would create a further deficit to amend, but I would be amiss if I did not advocate on behalf of my students and their futures.</p> <p>Respectfully submitted,</p> <p>Elise Sarvas, DDS, MSD, MPH</p>
Jennie	Row	Faculty/Staff	<p>Faculty, especially in the CLA/humanities, are virtually working doubletime. We are mentoring students, meeting with them after hours to counsel them through mental health issues, helping them with job placement in a world</p>

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			<p>where job offers are vanishing left and right. We now face the prospect of working MORE hours for less pay.</p> <p>Just as "vital workers" (pharmacists, doctors, bus drivers, grocery workers) are working extra hours and exposing themselves and just as they receive "hazard pay" for these precarious times, I believe the teaching faculty need to be supported in these overtime hours, especially if we are asked to teach face-to-face in the fall. The essence of our university is teaching, research and students. We need to put resources and compensation into those categories.</p> <p>There are others (i.e. front office staff, events coordinators, athletics coaches) who are doing considerably LESS these days. If pay cuts or furloughs have to happen, it would make sense to adjust the salaries of folks who are working fewer hours already.</p>
Kathy	Olson	Faculty/Staff	<p>How about offering a ROI for staff that are 2-3 years away from retirement, this way saving wages. While offering a Health saving account that would pay the equivalent of a cobra plan for that time between retirement and Medicare.</p>
Kathy	Quandt	Faculty/Staff	<p>Note: The salary benchmarking may include only base salary information. Since U of MN P&A employees do not receive bonus or stock options the pay differential between the U of MN and market may be a lot more than indicated by the slides. Manager/directors would typically receive at 15-20% annual bonus that may not be reflected in the market rates.</p> <p>Would be wise to make some provision 20-21 budget to recognize the top tier of outstanding performers even if it is a lump sum and not a merit, and in particular when their pay is more than 15% below market.</p>
Karen	Painter	Faculty/Staff	<p>The President should charge the two planning committees with developing "worst case" scenario that includes campus closure through spring 2021 and associated losses. Staff whose job responsibilities are diminished due to</p>

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			<p>campus closure should receive training to dedicate work hours to another University function. This includes the staff at research and other centers, who, with appropriate training, could augment undergraduate student advising staff. Research centers are among the budget items that do not contribute directly to the instruction of undergraduates and contribute minimally to the instruction of graduate students; all activities should be discontinued during the current financial crisis, especially since there is a health risk associated with in-person events. Exemptions to the President's hiring and salary augmentation freeze should be listed on a university website accessible to the public, given widespread concern that these are being granted too liberally. The FY2021 budget should include repairs but not routine building maintenance; exceptions should go through an approval process (buildings will have been underutilized during campus closure, and non-essential maintenance may expose workers to unnecessary risk). There should be a University-wide pause in 2020/21 on any expenditure for food, drink, and entertainment. To avoid the President's salary freeze unfairly impacting regular faculty, there should be no salary augmentation (summer salary) for administrators and any other faculty in summer 2020 and summer 2021; this would result in a substantial pay cut for high paid administrators (whose salaries exceed that of the Governor of Minnesota). There should be a pause in 2020/21, and likely 2021/22, in internal fellowships/grants to faculty and staff (e.g. Grant in Aid), even when these are separately funded. Any cut to faculty salaries, including furlough, should result in a commensurate decrease in tuition; such a plan will ensure that administrators cut waste and streamline operations wisely, rather than rely on temporary pay cuts in order to achieve necessary savings. A decrease in 2020/21 tuition will be a valuable investment in the institution and our students at a time of wider economic crisis. The President should establish a task force to develop a proposal for retirement incentives, looking to peer institutions who responded to the last recession in this way (e.g. cover a year's salary for retirement by the 70th birthday). Each college should submit a last-resort plan which involves a percentage of staff reduction both within upper administration and within each unit. If/when positions are</p>

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			<p>eliminated, these staff should be relocated in another part of the university so that there is no pay gap or employment termination as a result of budget cuts.</p>
Wolfe	Molitor	Faculty/Staff	<p>The Red Brick Employee Wellness program should be cut in the next budget.</p> <p>There these programs do not work.</p> <p>Researchers from the University of Chicago and Harvard completed the first large-scale studies to be peer-reviewed and employ a randomized controlled trial design in 2019 and published their results in the Journal of the American Medical Association.</p> <p>They concluded, "...if employers are offering these programs in hopes that health spending and absenteeism will go down, this study should give them pause." (See https://www.mprnews.org/story/2019/04/16/npr-how-well-do-workplace-wellness-programs-work)</p> <p>"Effect of a Workplace Wellness Program on Employee Health and Economic OutcomesA Randomized Clinical Trial Zirui Song, MD, PhD; Katherine Baicker, PhD (JAMA. 2019;321(15):1491-1501. doi:10.1001/jama.2019.3307)</p> <p>I urge the Board to consider the amount of money the contract costs, as well as the time University employees spend competing questionnaires and fiddling with the "gamified" website.</p> <p>A program that drains the University of both time and money and has been proven not to work strikes me as low-hanging fruit, ripe for cutting.</p>
Karsyn	Schirmer	Alumni; Community Member; Faculty/Staff; Student	<p>I think we should be cutting salaries for the senior leaders who are making upwards of 250 thousand or 500 thousand dollars annually in order to pay for fair cost of living adjustments for staff who are making a fraction of that.</p>

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			<p>The fact that over 73% of our employees are being paid below market median AND that we're saying that being trained and having a semblance of work-life balance are rewards rather than privileges is unjust and needs to be corrected going forward.</p>
Katie	Tuininga	Alumni; Faculty/Staff	<p>I have deep concerns about unilaterally freezing all employees' salaries and denying them their yearly merit increase, because not only does this cut into their salary for this fiscal year, but it will effectively lower their salaries every subsequent year with a continually increasing pay deficit (by missing that 2-3% raise one year, a snowball effect is created). This might be fine for those who earn an annual salary of \$100K+, but for the many university research employees who earn under \$50K per year, this is unsustainable and hurts much more deeply. If salaries are frozen and merit increases are denied this fiscal year, could the University compensate by doubling our merit increases the next fiscal year?</p>
Jake	Gray	Student	<p>Dear Distinguished Members of the Board,</p> <p>I am a student at the Law School and am writing on behalf of my classmates who have voiced their struggles during this unusual time and are asking for some relief.</p> <p>While a portion of student fees have been refunded (around \$150) and this has been helpful, it is not enough to help law students who have lost their summer internships or had them cut down significantly. We were hoping the Law School would also refund its fees for Spring 2020. The Law School Collegiate fee is \$475 for the semester and the same circumstances and reasoning that led to the refund of the fees mentioned above applies for the Law School fee as well. While it too will not sustain our students entirely, it would be wonderful for our students who have either had summer work cancelled or reduced to have these additional funds available to help make it through the summer. I know this larger sum of \$475 would cover a month of some of our students' rent, a huge relief, and combined with other resources</p>

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			<p>made available including emergency COVID-19 funding by the University, could get us through to Fall. I implore the Board to recommend or approve the refund of this fee as soon as possible. We need the help now.</p> <p>Thank you for your time and thoughtful consideration.</p> <p>- Jake Gray</p>
Michelle	DeMist	Faculty/Staff	<p>Will the budget include projected cost savings, including projects that are put on hold, and funding available? I imagine it's still a net loss, but losses may be offset, somewhat, by savings (eg utilities, building projects on hold etc) and federal and state funding that has / will become available</p>
Stacey	Grimes	Faculty/Staff	<p>The 5/7/2020 "COVID-19 and Related Budget Update from the President" contained this statement: "A voluntary 10% pay cut by my cabinet and me, starting July 1 and continuing until we return to normal operations." Perhaps you might offer this as an opportunity for other staff, as you did the opportunity to forgo five days of salary for the FY20 budget cycle. Not everyone could do this, but some might be able to.</p>
Wala	Abushehab	Student	<p>On behalf of the PASS dental student , Class of '22</p> <p>I want to address the difference in tuition increase between regular students and Pass students</p> <p>As we will be fully integrated with regular students (D3) so there is no explanation to the difference in the increase in tuition</p>
Kayla	Blanek	Alumni; Student	<p>The cost of attending the U of M School of Dentistry has become exponentially more expensive in the last several decades without dramatic changes in the education we're being given. My passion for dentistry became reinvigorated when I interned at a community clinic in Minneapolis. I had hopes of being able to help serve a population that otherwise wouldn't be</p>

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			<p>able to access dental care. Coming into dental school, day after day I feel like it's less possible that I'll be able to work in a low-income clinic if I want any chance at paying back my loans in a reasonable amount of time and be able to afford a home, raising children, etc. It makes my heart heavy to know that many others also have to make these sacrifices, at the expense of Minnesota's more vulnerable populations. Especially now that COVID-19 is greatly impacting the economy and the world around us, I urge the Board of Regents to strongly consider decreasing tuition rates for the UMN School of Dentistry.</p>
Ishita	Aghi	Student	<p>As a current dental school student, I'm concerned about the lack of tuition freeze (possible tuition increase for the next academic year) amid COVID-19. While students understand the university is losing money during this pandemic, it is not reasonable or fair to expect students to fund these losses. Dentistry is such a hands on field (also with such high-risk exposure), that I would argue dental students are one of the student populations that suffered the most while clinics are closed during this pandemic. I can't exactly practice operative dentistry online! This severely affects our learning. In regards to finances, as an out of state student I pay over 100,000 for my education every year. Covid-19 has caused many financial uncertainties during this spring but also for our future careers. Dentistry operations will have to change to meet new social distancing requirements. With that, many dentist's probably will not be able to meet the level of production they once were conducting before the pandemic happened. This will affect my job outcomes and salary. If dentist's have reduced pay, that raises an alarming concern for myself and other future dentists. Many dental students are already worried about student loan debt, but especially now among Covid-19 uncertainty. There should be more consideration in regards to the exception that School of Dentistry has from the tuition freeze. Thank you for hearing dental student concerns.</p>
Peter	Wilkinson	Student	<p>I am a second year dental student. I believe the tuition should not be increased at the School of Dentistry for the following reasons. We are not receiving the education and experience that we originally were enrolled for.</p>

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			<p>Family support for students is compromised due to economic pressure. Student employment opportunities are limited. Thank you for your considerations.</p>
Andrew	de Wet	Student	<p>I have a concern regarding the tuition for the School of Dentistry. Myself and other dental students are concerned about the current tuition charge for the summer of 2022 courses. As of now, we are being charged for as if we still had in person clinical and preclinical experiences for the following summer. Currently, it looks as if we will just be doing online didactic courses, at least for the initial portion of the summer semester. If this is true, then will we still be required to pay the complete summer tuition despite a large decrease in in-person clinical and preclinical experiences and courses? Additionally, my DDS class is wondering how the 2.7% tuition increase for all School of Dentistry students would impact any change to summer tuition, should there be one. Thank you.</p>
Jenna	Johnson	Student	<p>After hearing that the University plans on freezing their tuition, I was very proud. This is the right thing to do for all of our students. As I have gone here for my undergrad and now currently a dental student. I was very disappointed that the School of Dentistry was excluded from this tuition freeze.</p> <p>I don’t see the justification for higher tuition as I am currently not even receiving the education I have paid for. We are not allowed in clinic and therefore nearly 50% of my courses cannot be completed. Also I have seen how leaders in the University have taken pay cuts. Although this is a hard decision financially to make, I would expect the School of Dentistry follow suit, or at minimum keep their pay the same. In no way does a pandemic justify increases in salary for anyone during such a difficult time.</p> <p>I realize not all money goes towards the salaries of our faculty and staff, but this is a large portion. We aren’t even using the supplies that we have Already paid for, so if anything there should hopefully be a credit to us as students.</p>

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			<p>In summary, the School of Dentistry needs to be pushed to follow suit to freeze their tuition. It is not fair to assume that our future careers can handle these levels of loans. The world as we know it is changing, and dentistry could become far less profitable post COVID.</p> <p>Thank you.</p>
Neil	Lelm	Student	<p>As a dental student, my main concern is in relation to the School of Dentistry, however, I would venture to say that this does relate to the School of Medicine and students from the College of Science and Engineering as well. I am curious as to how a decision was made with the Board of Regents to freeze tuition for all students, excluding those listed above. While I understand that billing may be different among each program, I find it difficult to believe that the President and Board of Regents have no way to step in and alter the billing due to the circumstances. As an out of state dental student, I am paying approximately \$39,000 per semester to attend school at UMN. I have done some research on tuition rates across all programs and discovered that the School of Dentistry has the highest tuition rates, by far, among all students at UMN. The tuition rates that I found were based on students with resident status. So that means that my classmates who are residents of MN are paying much more for school than any other undergraduate, graduate, or professional student. I am assuming the discrepancies are similar among undergraduate, graduate, and professional students who are not MN residents. So the problem that I am having is that we (Dental students) are paying rates much higher than any other program and have been exempt from the tuition freeze, meaning that we are eligible for the 2.7% tuition increase outlined in the Fiscal Year 2021 budget. A 2.7% increase in tuition is approximately \$2400 this year for my classmates and I.</p> <p>President Gable has also sent a number of emails in reference to mental health and well-being, as well as financial well-being during these odd times. For a group of students that will have substantial financial debts due to the</p>

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			<p>choice to attend dental school, where is the thought behind our financial well-being? It is not recommended for us to have jobs in order to maintain mental health and well-being, as well as good academic standing due to the rigorous curriculum, so many of us are living and paying bills solely on student aid. While extensions have been allowed in order to acquire more financial aid to help with financial stress, I do not feel that proper thought was given to the fact that many students will now be taking extra money (which will have to be paid back) in order to pay rent and other bills, but we will also now be required to request extra money for tuition in the fall. Many of us are under great financial stress the way it is, without the added stress of knowing our tuition will be raised, while over 50,000 other students around us will be exempt from tuition increases.</p> <p>As dental students, much of our coursework requires in person attendance and instruction. So, while we are currently doing online instruction, we are still not back to full operation. In fact, many of us are doing much less than the normal coursework because we are not able to attend labs or clinics. I am completely aware that this is not an easy decision to make and with the health of students, staff, and faculty in mind, this was the only decision to make. We are currently paying full spring tuition and fees, but are not completing some of the coursework that we were originally enrolled in and paid for. As summer semester is fast approaching, it appears as though we will again be paying full tuition and fees for coursework and instruments that will not be utilized. If this continues into the fall, which is very possible, we will not only be paying full tuition and fees, but our tuition will increase.</p> <p>So with that, I ask, what was the idea behind deciding to freeze tuition for 50,000+ students enrolled at UMN, but not for those of us who are already paying substantially higher tuition than any other undergraduate, graduate, or professional program and at the same time, are unable to complete the many courses that require in person instruction?</p>

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Simran	Baath	Student	<p>Regarding the tuition freeze that most of the university is taking into account, it seems unfair that the school of dentistry is not being considered for that as well. The students do pay more tuition than other programs. The dental school does generate income for the university through their clinical work and the students do have an impact in the community through countless outreach programs and numerous volunteering opportunities. Furthermore, students from the university are also providing their services internationally. Budget cuts, such as salary reductions and a decrease in tuition will help the students. Especially with over 50,000 dentists unemployed at the moment, the economy is not in our favor, especially with the loans we accumulate in school. By helping out your students now, when it comes time to ask for donations from your dental alumni, they will be in a better financial situation to help out their school that helped them out during this time. These donations will assist with maintenance of the school of dentistry and scholarships for students. Otherwise, without the aid, students will not be in a financial capability to help their schools. With the Dental clinics opening up again this week, this will be one of the few places opening that will be generating revenue for the school, therefore, the students that are providing the work should be taken into consideration for tuition decrease or a freeze.</p>
Joe	Thornton	Alumni; Community Member	<p>Will we be expected to pay full tuition for the fall semester if you continue to force a grossly mediocre online education on students? Time to plan to re-open in the fall. Spring semester was understandable. We bore the brunt of the lousy education for a half semester.</p> <p>If I want my kid to get an online degree, we'll strongly consider moving from the U of M to a school that does online education well. This temporary solution is not long-term vision.</p> <p>Fix it. Open classes on campus in the fall. If we have to commute, we'll do that. But I am not open to extended online learning as the only option. Our investment in the U of M is huge and we expect and demand a quality education. Not the crap my son put up with this last semester.</p>

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Elaine	Benke	Student	<p>The cost of dental school, especially for out-of-state students, is obscene. I do not see a reason that students should be paying \$93,000 per year for this education, especially since our schooling includes two years of working in the school's dental clinic. The school charges patients for the work done in the clinic, and the students work for free.</p> <p>At the very least, the School of Dentistry should freeze tuition rates for the next fiscal year, which would be in line with almost every other Academic Health Center program. In the midst of this pandemic, we are learning online, and we are not using the facilities in Moos Tower. This situation is likely to continue into the future, and it is unfair for us to be expected to pay more and receive less in terms of education and experience.</p>
Peter	Moe	Alumni; Faculty/Staff	<p>Units that are required to generate the majority of their funds from earned revenue, donations and endowment proceeds should be allowed to make staffing changes resulting from loss of revenue or lack of work . All OHR Policies and Bargaining Unit Contracts would be followed.</p>
Uriel	Vasquez Rios	Student	<p>Dear Board of Regents,</p> <p>My name is Uriel Vasquez Rios and I am a member of the DDS Class of 2023. Many DDS students and myself reviewed your budget and were initially frustrated that the School of Dentistry was exempted from the tuition freeze. We would be grateful if you would reconsider including the dental school and all the other exempted programs in the tuition freeze.</p> <p>Dental school, in particular, is the most expensive program at the University of Minnesota. Over the past years, there has been an increasing trend in the cost of tuition not just for the School of Dentistry at the UofM but also at dental school on a national scale. Although including the dental school in the tuition freeze won't solve that problem, it helps slow it down which is an important step that must not be overlooked.</p>

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			<p>With the transition to online learning, we as dental students are missing out on the same desired interactions with our mentors and colleagues as in undergraduate and all other primary educational levels. We are facing the same struggles yet receiving different treatment. Furthermore, our preclinical and clinical work is being postponed causing an imbalance of content delivery and application. We will have to catch up in what may be an inadequate amount of time once we are able to resume our mandatory in-person work. We understand that these times are unprecedented and every school, business, and individual has experienced a financial loss of some sort. Yet we, especially, are experiencing a reduction of quality in our education that cannot be recovered with a few zoom meetings. Therefore, many of us feel that our situation should not be rewarded by continuing to increase the cost of our tuition.</p> <p>Thank you for taking the time to read my remarks. I hope you take the time to read my colleagues' statements as well and that you make the right decision.</p> <p>Stay safe, healthy, and strong.</p> <p>Sincerely,</p> <p>Uriel Adan Vasquez Rios</p>
Ciara	Houser	Student	<p>It was released that the School of Dentistry was not included in the recent tuition freeze due to COVID-19. I understand that the school relies upon our tuition to pay faculty and keep the clinics running. However, dental students accrue some of the most debt out of all professional programs, especially out-of-state students. The economy currently indicates that we cannot rely on previously anticipated salaries following graduation to pay back that student loan debt. In light of this, I ask that the Board considers either including the School of Dentistry in the tuition freeze or lowering the current increase in tuition for its four year classes.</p>

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Benjamin	Muhlstein	Student	<p>UMN Board of Regents,</p> <p>I hope that you are all well and that you and your loved ones are staying safe during these times of uncertainty. My name is Ben Muhlstein, and I am writing on behalf of my fellow student colleagues at the School of Dentistry.</p> <p>As of recent, we have been concerned with future plans of increasing tuition for dental students, while students of all other schools of the university will receive a tuition freeze. We are very confused with the reasoning that has been given to us. One letter to us rationalized the tuition increase because the university is not worried about our abilities to pay off loans when we graduate due to the income of dental professionals. I find this absolutely unreasonable. Over the past few months, one of the hardest-hit groups of professionals has been those working in dentistry. Although dentists did get paid fairly well before the pandemic, we also face a lot of uncertainty in the profession moving forward. We are looking at fewer jobs, lower pay, etc. Who knows how long our profession will be impacted by the effects of COVID-19? Using speculative salary numbers as rational for increasing dental students' tuition is unjust and senseless.</p> <p>Raising our tuition is also unreasonable because of the sacrifices we have had to make for our education. I understand that the pandemic is out of everyone's control, and we are still receiving instruction and credit for our world class education. However, we are missing out on a 6+ hour-per-week lab component that is incredibly important to learning the hand skills necessary in dentistry. Not having this opportunity while still paying more for education is irrational.</p> <p>Additionally, though many of our instructors have been rockstars in their adjustments to online instruction, this has not been the case for some instructors. We, students, have been held to the same standards and expectations as if there was no pandemic. We have had to do so while dealing with (a select few) instructors that fail to communicate appropriately.</p>

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			<p>(Luckily, our class officers have done an amazing job helping us through this obstacle, and we have overcome the challenge). Please know that some instructors have been absolutely incredible with their transitions. That being said, paying more for our education, while having to climb through more obstacles, does not make sense to us.</p> <p>I completely understand that the COVID-19 pandemic has not been easy on any of us, and I know you are all working extremely hard to make sure we all receive the best education possible. My student colleagues and I chose the profession of dentistry because we love the idea of helping people through preventative and diagnostic care while living a high-quality life, and there is no other profession we would rather pursue. There are also many non-MN-resident students in the school of dentistry, like myself, who had options to go to less-expensive schools, but we chose the U of MN for a reason. Due to the news of the increasing tuition for only dental students, as well as the rationale for the increase, I have heard multiple comments from students questioning if coming to the U of MN was the right decision or that they do not think they would recommend the school to pre-dental students for purely administrative reasons. Rest assured that these were heat-of-the-moment comments, but the fact that we feel mistreated and neglected in the circumstances is real.</p> <p>I really hope you take these points into consideration, and I appreciate you taking the time to read the whole letter. Thank you for all you are doing to help get us through this together.</p> <p>Take care,</p> <p>Ben</p>
Harmony	Kuller	Alumni	<p>Neither I nor ANYONE in my family will EVER DONATE to the U again, period, as, after being a DEEP LEGACY FAMILY, the University of Minnesota did NOT make an offer to my nephew, James Fleming, to join your Medical School, REALLY?!</p>

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			<p>His father and Grandfather graduated from tve University Med school, and my Grandfather and Uncle's also: While James is probably getting backlash as a WHITE, MALE candidate, he is 150% BETTER than half of your ER Docs at HCMC as he KNOWS about heart issues, Cancer and, most importantly, Diabetes from EXPERIENCE!!!! The fact that he was offered possible experiences at Dartmouth and Georfetown Meducal schools put a NAIL in the coffin for ANY donations from ANY MEMBER IF OUR FA.ILY, and that was just plain STUPID on your part as my sister and her husband would probably have created scharship for someone HAD James been accepted here, and that will HURT you more than it pains me!!!! WHEN he makes some BIG, IMPORTANT medical breakthrough, and he will, YOU WILL REGRET THIS DECISION!!!!</p>
Timothy	Lindberg	Faculty/Staff	<p>The primary concern I have is comparing short-term budget numbers with budget stability. Even if we phase in the start to Fall semester for on campus interactions, we may have to disrupt that process if further outbreaks occur. While a full online experience for Fall semester is not ideal, it is less disruptive because a change in the severity of COVID-19 would not require a dramatic shift for faculty, staff, and students. We must take a long view of the impact that these disruptions cause. The budget, obviously, is concerned with financial costs, but there are enormous non-financial costs (including to mental health and time) to major disruptions that must be considered going forward. I am fully in support of the broad contours of the budget, including the financial sacrifices we all share in this crisis, but I worry about overlooking the ways that these disruptions take a toll on our incredibly important non-financial resources as one of the most important institutions in the state of Minnesota. Limiting further disruption and getting all of us to buy in to a future plan are vital.</p>
Robert	Katz	Community Member	<p>1. It is a mistake to base the proposed budget on the most optimistic scenario of future events. The proposed budget assumes a slight drop in enrollment for fall. This prediction is based on the number of acceptances of incoming students and assumes that the percent of these who will actually enroll in fall</p>

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			<p>will follow historical patterns. This is unlikely. The American Council on Education is predicting a 15% reduction in enrollment for fall. In fact there are no good estimates.</p> <p>The cost of overestimating tuition and auxiliary services revenue is greater than underestimating these numbers. Since the University’s expenditures are already at unaffordable levels, and since for demographic reasons the end of the decade will bring a 15% decline in enrollment, it would not be harmful to overshoot the amount of budget cuts required by the pandemic.</p> <p>In order to have the same budget impact the University could either lay off one employee now or 2 employees six months from now. As each day passes the opportunities for cost reductions dwindle. The University should immediately lay off recent hires still on probation.</p> <p>2. The budget plans for an increase in net tuition revenue. Given the financial straits of many students and their families, and given the modest ‘pain’ that the budget entails for the University and its employees, this budget is not one of an institution taking on its share of the burden imposed on society by the current crisis. A recent national poll by the Arts & Science Group found that 21% of respondents felt that due to the pandemic they may no longer be able to afford to attend the school they had chosen. 52% reported that a parent or guardian had been laid off (https://www.artsci.com/studentpoll-covid-19-edition-2). The largest part of endowment distributions is earmarked for scholarships. Increasing the amount distributed from the endowment from 4.5% of its value to 6.5% would significantly benefit students. Once the economy has recovered the percent withdrawn could be reduced to below 4.5% to make up for the reduction in holdings. The budget should not entail an increase in costs per student, but a decrease.</p> <p>3. It is impossible to make a reasoned decision on this budget without knowing the total amount of budget reserves held by individual units. Further the principle ‘Each unit on its own bottom’ should not be strictly</p>

Public Comment on the President’s Recommended FY 2021 Annual Operating Budget

First Name	Last Name	Affiliation	Comment
			<p>adhered to in the present situation. It is probable that certain units have built up large reserves that could be better used in other areas of the University.</p> <p>4. The budget proposes to meet some of the anticipated shortfall by pursuing federal stimulus money. In the private sector several large corporations have been shamed into giving back some of the CARES money they acquired. Given the amount of reserves the University has, and its \$3 billion endowment, it would be more equitable if it offered to return some of the state appropriations it has received. The state is going to be facing extreme demands on its resources.</p> <p>The Regents primary duty is to the welfare of the state, not the University.</p>
Rebecca	Cowin	Student	<p>With all of the budget cuts due to COVID, this needs to include the defunding and disarming of UMPD rather than more cuts to student services.</p>
Brian	Harrison	Student	<p>My name is Brian Harrison and I am a dental student in the class of 2023. I have concerns about the dental school's recent decision to raise tuition by 2% in light of the economic hardships we all face. These concerns are as follows:</p> <ul style="list-style-type: none"> - Students should not be responsible for the financial burdens of the dental school. Student’s do not participate in the business financial planning of the school and therefore it is not our burden that that business model relies so heavily on student tuition. Requiring us to take out more student loan debt is inappropriate considering the changes made to the tuition scale for other students/programs. Previously, it has been stated that the above average compensation of dentists and their ability to pay back any loans in the long term, makes it challenging for the school to receive more funding to aid students. Given the changes we have seen in our economy over the last several months, there is less certainty surrounding the financial success of dentists after graduation and their ability to pay off massive amounts of student loan debt.

Public Comment on the President's Recommended FY 2021 Annual Operating Budget

First Name	Last Name	Affiliation	Comment
			<p>- There has been no communication on when these tuition increases would be in effect if they are implemented. As student's requiring financial aid, we have already submitted FAFSA applications for funds we will receive this summer. If tuition is increased this summer, there is potential that an aid package will not cover the increase. We will be left with less aid to pay for living expenses we use to survive.</p>



Fiscal prudence during the COVID-19 pandemic

Kay Sargent <sarg0040@umn.edu>
Reply-To: Kay Sargent <sarg0040@umn.edu>
Via Board of Regents website

Sat, Apr 4, 2020 at 3:19 PM

The University of Minnesota can be a budget management leader during the COVID-19 pandemic while maintaining its mission of education, research and outreach. President Joan Gabel and the Board of Regents should address budget concerns by enacting pay cuts to executive administrators and coaches. As Regent David McMillan said at their special meeting on Friday, now is the time for fiscal prudence.

Companies and universities worldwide are temporarily cutting executive salaries. University of Oregon's president, vice presidents and coaches are all taking temporary pay cuts. Iowa State coaches are also taking a temporary pay cut. Minnesota Regent Michael Hsu has suggested executive pay cuts and President Gabel has said that 'every cost is on the table.'

The University is and needs to continue to support its students and workforce. They have made a good faith effort approving full refunds for students' unused housing and dining services through the end of the spring semester. Laid off student workers also continue to get paid. As for the University's workforce, the Office of Human Services says that it is 'focused on keeping pay and benefits whole for our employees'.

President Gabel and her executive team need to do the right thing. Initiate salary cuts for University executive administrators and coaches while supporting students and staff. These cuts may only cover a portion of the University's losses due to COVID 19 disruptions, but it will go a long way to show students and Minnesotans that we are truly 'All in this together!'

Kay Sargent
UMN and UMD Alumnus
White Bear Township



University finances

Luis Ramos-Garcia <laramosg@umn.edu>

Thu, Apr 9, 2020 at 3:50 AM

To: "upres Joan T. A. Gabel - Office of the President" <upres@umn.edu>, Joan Gabel <jgabel@umn.edu>, uregents Board of Regents Office <uregents@umn.edu>

Joan Gabel, U of M President
cc/ Board of Regents

Dear President Gabel:

Thank you very much for showing leadership and courage at this difficult moment in the history of our country and our university. One thing for sure is that Faculty, Staff, Instructors and the bulk of university's employees are responding to your directives, adjusting their duties to the demands of a troubled constituency. And yet, as a longtime tenured professor at the U of M, I am very much concerned with how the Administration will respond to the financial problems this institution will face very soon. In specific, what kind of measures will be taken in order to keep our academic programs afloat.

Although many instructors worked very hard and volunteered for hundreds of activities on behalf of this university, we were just informed that there will not be salary raises and merit increases for the Faculty and P&As this year. I believe that many of us are in full agreement with this measure, and will support it at this time. We also learned that some of the higher administrators took cuts from their salaries to show solidarity with the rest of us. Nevertheless, let me assure you that many University employees and students cannot afford to do this, as they have been hurt financially by the current situation: many are in need of urgent help.

Today I read in the Star Tribune about the Athletics Department and about the millions of dollars that it will cost the U of M to maintain coaches, assistant coaches, directors, equipment, new buildings, etc. for the academic year of 2020-2021. For sure, the former U of M President and the Board of Regents have been very generous with supporting high salaries, bonuses, expenditures, building a sports villa, etc. for very well paid sport employees. At this instance, to continue paying five million dollars plus for a coach, or 2 millions dollars and a half for another, plus several more millions for assistant coaches is demoralizing and unfair. This is even hard to understand, in particular because many of us understand that the mission of the university should be focused on teaching, researching, and supporting our students.

As other universities have begun reducing salaries and bonuses for coaches who are not working, and eliminating unnecessary expenses for the future, I believe that the University of Minnesota should do the same. I also read in the paper that some assistant coaches have selected their own percentage of reduction, I suppose for PR reasons, however this is an action that needs to be taken by higher administration and should be accordingly with the millions of dollars they make per year. I am sure that the U of M could save a lot of money that could be used to improve the situation of low salary employees and students.

Having said this, and with all respect, I ask you when you and the Board of Regents decide how this university is going to confront this difficult task of balancing its finances, you do not cut our teaching and researching programs or student financial aid packages, because it will have to pay for a very expensive and wasteful athletics department.

Thank you for your attention,

Prof. Luis A. Ramos-Garcia
Spanish and Portuguese Studies
Director of Undergraduate Studies
President's Award for Outstanding Service to the University



BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

June 11, 2020

AGENDA ITEM: Real Estate Transactions

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

PRESENTERS: Leslie Krueger, Assistant Vice President, Planning, Space, and Real Estate

PURPOSE & KEY POINTS

The purpose of this item is to act on the following real estate transactions:

- A. Purchase of 1015 Essex Street SE, Minneapolis, Minnesota (Twin Cities campus)
- B. Sale of approximately 435 Acres, Rosemount, Minnesota (UMore Park)

BACKGROUND INFORMATION

Board of Regents Policy: *Reservation and Delegation of Authority* states that “[t]he Board reserves to itself authority to approve the purchase or sale of real property (a) with a value greater than \$1,000,000; (b) located on or within 2 miles of a University campus; or (c) larger than 10 acres.”

PRESIDENT’S RECOMMENDATION

The President recommends approval of the following real estate transactions:

- A. Purchase of 1015 Essex Street SE, Minneapolis, Minnesota (Twin Cities campus)
- B. Sale of approximately 435 Acres, Rosemount, Minnesota (UMore Park)

PURCHASE OF THE PROPERTY AT 1015 ESSEX ST SE, MINNEAPOLIS (TWIN CITIES CAMPUS)

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to purchase the property at 1015 Essex Street SE, Minneapolis, Hennepin County, Minnesota.

2. Location and Description of the Property

The subject property consists of a 121 unit, five building apartment complex, situated on 1.32 acres (57,597 square feet) of land. The property, known as the Classic City Apartments, is located on the west side of Huron Boulevard SE between vacated Delaware St SE (to the north) and Essex St SE (to the south) and Erie St SE (to the west) on Block 27.

The abbreviated legal description of the property is Lots 1, 2, 3, 4, 5 and 11, except those parts of Lots 1 and 11, Block 27, Regents Addition, Hennepin County (Abstract property).

3. Basis for Request

The property is located on a block that has been identified in the University's long-range plans for our future clinical campus expansion. The University had not planned on seeking the acquisition of this property for a number of years. However, the seller put the property up for sale in late 2019, marketing it nationally to student housing developers. Given the size of the parcel and the City of Minneapolis' new land use designation of Transit 30, which allows housing up to 30 stories in height, the University recognizes that if the property were redeveloped for housing, the University would not have an opportunity to purchase the property in the future.

4. Details of Transaction

The current owner and seller is CPE Exchange 30537, LLC, a Minnesota limited liability company.

The total purchase price for the subject property is \$25,000,000, with \$250,000 earnest money. The earnest money becomes non-refundable after June 15, 2020. The closing will occur within 30 days following the expiration of University's inspection period, which ends approximately August 14, 2020. During the inspection period, the University will complete its appraisals, title review, facility condition assessment and environmental assessment(s). If the University's environmental assessment results in additional remediation that requires a delay in closing, the

University can opt to delay closing up to 60 days by paying an additional \$100,000 of earnest money (non-refundable after the initial 30 days of the extension).

The sale includes the land, buildings, and all machinery, apparatus and equipment used in the operation, repair and maintenance of the property.

5. Use of Properties

A facility condition assessment and environmental assessment is being conducted during the inspection period to determine the level of deferred maintenance and any environmental concerns associated with the building(s). Upon receipt of these assessments, the University will determine whether the building(s) can effectively be operated for student apartment rentals with a third-party property manager, or whether the building(s) have reached their useful life and should be demolished and used for additional surface parking until such time as the University redevelops the property. Because the seller has already started leasing the property for the 2020-2021 academic year, the University recognizes that regardless of findings, the buildings will remain in operation for at least one year.

6. Environmental

The University will complete a Phase I environmental assessment and likely Phase II sub-slab soil vapor environmental assessment of the subject property to confirm the property is in acceptable environment condition prior to close.

7. Source of Funding

The University will issue debt to purchase the subject property.

8. Recommendations

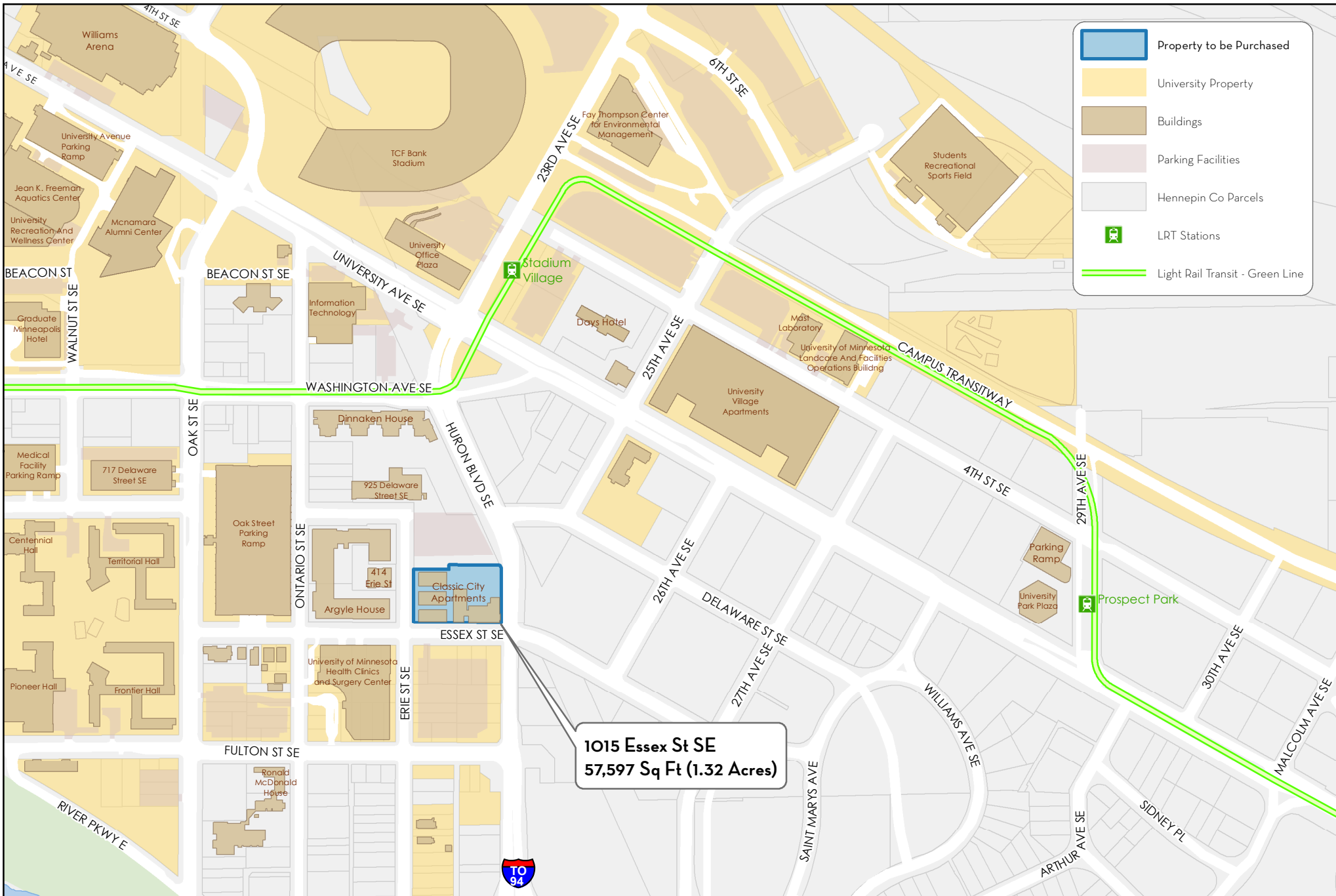
The above-described real estate transaction is appropriate:



Rachel Croson, Executive Vice President and Provost



Brian Burnett, Senior Vice President for Finance and Operations



Planning, Space,
and Real Estate



University Services
University of Minnesota

Purchase of 1015 Essex St SE (Classic City Apartments) Minneapolis, Twin Cities Campus



This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: Real Estate Office
MNDNR, MNDOT, MetCouncil,
U Services GIS

4/16/2020

Real Estate Transaction: Purchase of 1015 Essex St SE, Minneapolis (Twin Cities campus)

Leslie Krueger, Assistant Vice President for Planning, Space, and Real Estate

Finance & Operations

June 11, 2020

SENIOR VICE PRESIDENT FOR FINANCE AND PLANNING

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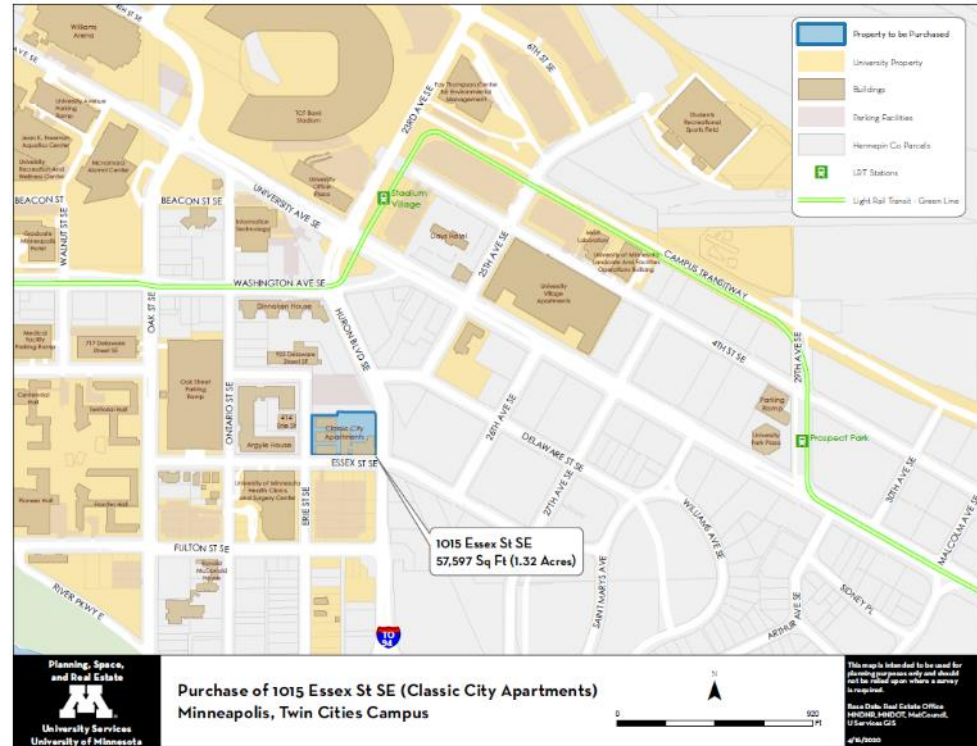


University Services

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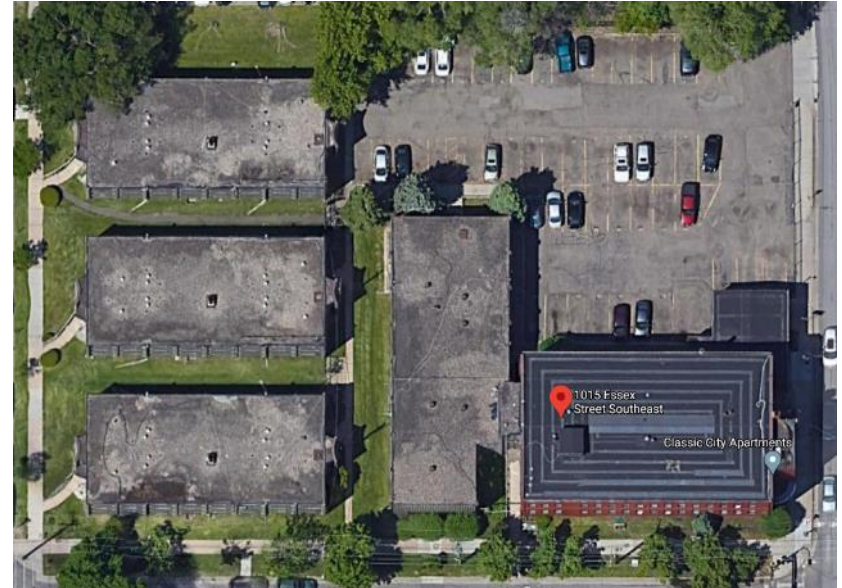
Property Overview

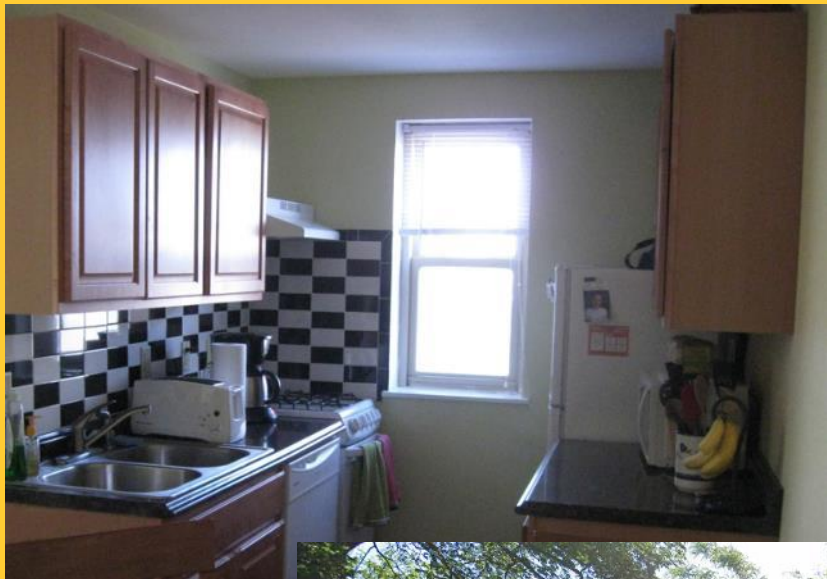
- 1015 Essex St SE, also known as Classic City Apartments
- Situated on 1.32 acres of land (57,597 sq ft)
- Northern portion of the block is included in the East Gateway land exchange with UMF



Property Overview

- Property includes 121-unit, five building apartment complex plus parking
- Designated Transit 30 in Minneapolis' 2040 Comprehensive Plan
- Marketed nationally as a redevelopment opportunity





What is the strategic value to the University in acquiring this property?

The University has identified this area as a future growth area for the clinical campus in the long term. Acquiring 1015 Essex St SE would allow for the future ownership of the entire block by the University.

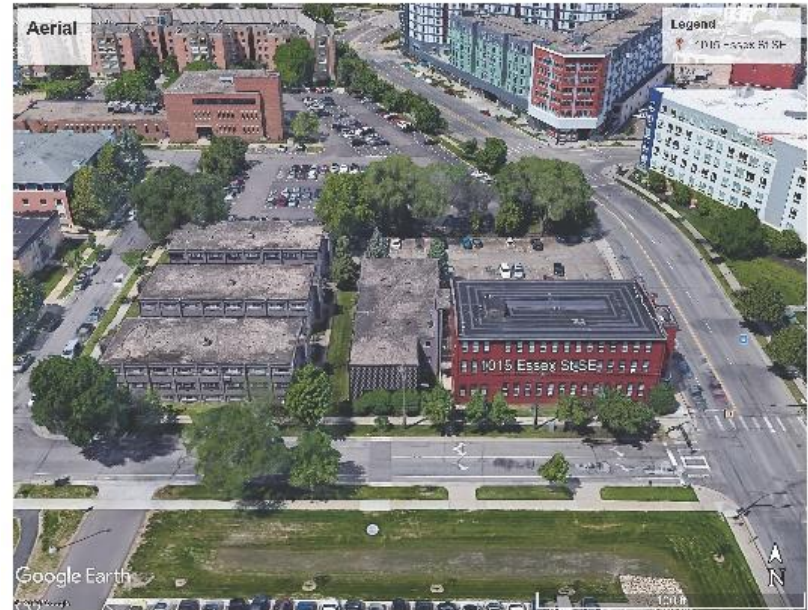
Transaction Overview



- \$25,000,000 Purchase Price
- \$250,000 Earnest Money – non-refundable after 6/15/20
- Closing on or before 9/13/20
- University will issue debt to purchase the property

Due Diligence

- Inspection period of 120 days
- Facility Condition Assessment
- Phase I and Phase II Environmental Assessment
- University to determine whether to maintain as housing or transition to parking





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**SALE OF APPROXIMATELY 435 ACRES IN ROSEMOUNT, MN
FOR RESIDENTIAL DEVELOPMENT
(UMORE PARK)**

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to sell approximately 435 acres at UMore Park for primarily residential development, with supporting commercial and mixed use for the planned unit development.

2. Location and Description of the Property

The subject property consists of approximately 435 acres located in the northwest portion of UMore Park. The property abuts County Road 42 on the north, the Dakota County Technical College campus to the east and northeast along Akron Avenue, and 155th Street on the south. The Dakota Aggregate Mining Lease Area abuts to the west and southwest. The property is undeveloped and currently zoned for agricultural use under the City of Rosemount's zoning classification.

An abbreviated summary of the legal description of the property to be conveyed follows: The Southwest Quarter of Section 27; part of the Southeast Quarter of Section 28; part of the Northeast Quarter of Section 33; and parts of the Northwest, Northeast, and Southeast Quarters of Section 34, all in Township 115, Range 19 of Dakota County, Minnesota; excluding minerals and mineral rights.

The final acreage to be sold will be determined prior to closing as a result of the due diligence process and development planning as it relates to the final location and alignment of the primary roadways. For example, the alignment of Akron Avenue and Boulder Trail may shift from what was originally planned in the initial RFP. These possible re-alignments could result in additional acreage or reduced acreage for the transaction. The purchase and sale agreement would be amended accordingly.

3. Background / Basis for Request

In February 2015 the Board of Regents approved a resolution related to the reorganization of the UMore Park development process that included the following:

- (a) Market-based development of UMore Park led by business, commercial, and residential real estate developers to produce the highest potential financial return to the University over time, incorporating opportunities of the original Concept Master Plan vision when there is private market demand for such elements and such concepts serve to protect or enhance the development value of the remaining site;

- (b) Maximizing financial return to the University by selling land through public processes, at competitive prices, benchmarked to market rates;
- (c) Active University engagement with local jurisdictions and private parties to ensure development projects at UMore Park protect and enhance the value of subsequent development stages;
- (d) All land sale proposals to be approved by the Board of Regents; sale and development proposals that are economically sound, compatible with the vision for UMore Park becoming a vibrant, market-driven community for residents and business, reflective of private sector demand, and in alignment with adjacent community needs, desires, and standards to be advanced by the University; and
- (e) Net proceeds derived from land sale transactions to be deposited into the Legacy Endowment as directed by the Board of Regents in 2009.

The University offered this property for sale for residential, commercial and mixed use development by Request for Proposal (RFP) in September, 2017. The proposal from Newland Land Acquisition, LLC, a Delaware limited liability company (Newland), was chosen by the University's review team. The University negotiated and signed a Purchase and Sale Agreement with Newland in June 2018 for \$13,100,000. Newland terminated the Agreement in September 2019 following challenges with its financial capital partner. The University was approached later in the fall of 2019 by Mario J. Cocchiarella, the principal and president of Maplewood Development and Construction, Inc., a Minnesota corporation, who offered to purchase the property on essentially the same terms as the previously negotiated purchase and sale agreement.

4. Summary of Transaction Terms

Maplewood Development and Construction, Inc. ("Maplewood" or "Buyer") has offered \$13,100,000.00 cash at closing. This is consistent with the two independent MAI appraisals conducted at the time of the original RFP.

Maplewood will deposit \$50,000 earnest money within five (5) business days following execution of the Purchase and Sale Agreement. Maplewood will deposit an additional \$250,000 earnest money at the end of the hundred eighty (180) day due diligence period. The earnest money and any accrued interest will apply to the purchase price at closing. Maplewood will have the option to extend the due diligence period for an additional 4 months upon payment of additional non-refundable earnest money. Maplewood has up to two years from the due diligence period to obtain municipal approvals and permits prior to closing.

Maplewood will be solely responsible for the cost of all infrastructure and utilities necessary for development within the boundaries of the property, including, for example, the extension of a lateral sewer line and water mains to serve the property. In addition, Maplewood will be responsible for facilitating the cost-sharing arrangements with the City, County, and / or other applicable governmental authorities for the cost of regional property infrastructure that will serve both the property and greater property area. Maplewood's potential cost-sharing arrangements allow for an equitable, proportional share of the cost of any regional property infrastructure benefiting other University property to be paid pursuant to a deferred arrangement, which will be due at the time of development of the other University property.

The University will be required to relocate the University's turkey research facility (which is located outside of but nearby the property boundary) no later than five years after the Closing Date.

The Purchase and Sale Agreement includes a provision not part of included in the Newland Purchase and Sale Agreement. This provision limits the University's ability to market the portion of the UMore Park property north of Highway 46, outside of the Mining Lease Area and Opus Agreement Area for residential development for a period of two years after closing. The Buyer can extend that limitation for an additional \$100,000 per year for a period which is the earlier of 5 years after the closing date or until such a time as 50% of the lots planned or platted for the subject property are sold and closed. Note that the Newland Purchase and Sale Agreement included a limited Right of First Negotiation ("ROFN") for this same area that continued for 7 years after closing; that ROFN is not part of the current Purchase and Sale Agreement.

5. Use of Properties

The property will be developed by Maplewood Development and Construction, Inc. or its permitted assignee (Buyer) for primarily residential development with supporting commercial and mixed-use development as approved during the development process. Prior to the execution of the Purchase and Sale Agreement, the University will conclude its due diligence on the Buyer. The property will be sold to Buyer in a single sale transaction within two (2) years of the end of the due diligence period; the property will then be developed over a 7 to 10 year period as market conditions determine.

The University has developed a Declaration of Covenants (to be recorded on / before conveyance of the deed to Buyer is recorded) and Design Guidelines for residential, commercial and mixed use development at UMore Park to ensure the development of the property will reflect the goals of the University for high-quality residential, commercial and mixed uses incorporating that will the enhance the value of future development of UMore Park.

6. Environmental

The property is being sold “as is / where is”. The University does not believe there are any environmental issues with the 435 acres, but expects that Buyer will complete environmental investigation of the property Buyer deems necessary and appropriate.

7. Uses of Funding

The net proceeds from the sale of the property will be deposited into the UMore Park Legacy Fund as directed by the Regents in 2009.

8. Recommendations

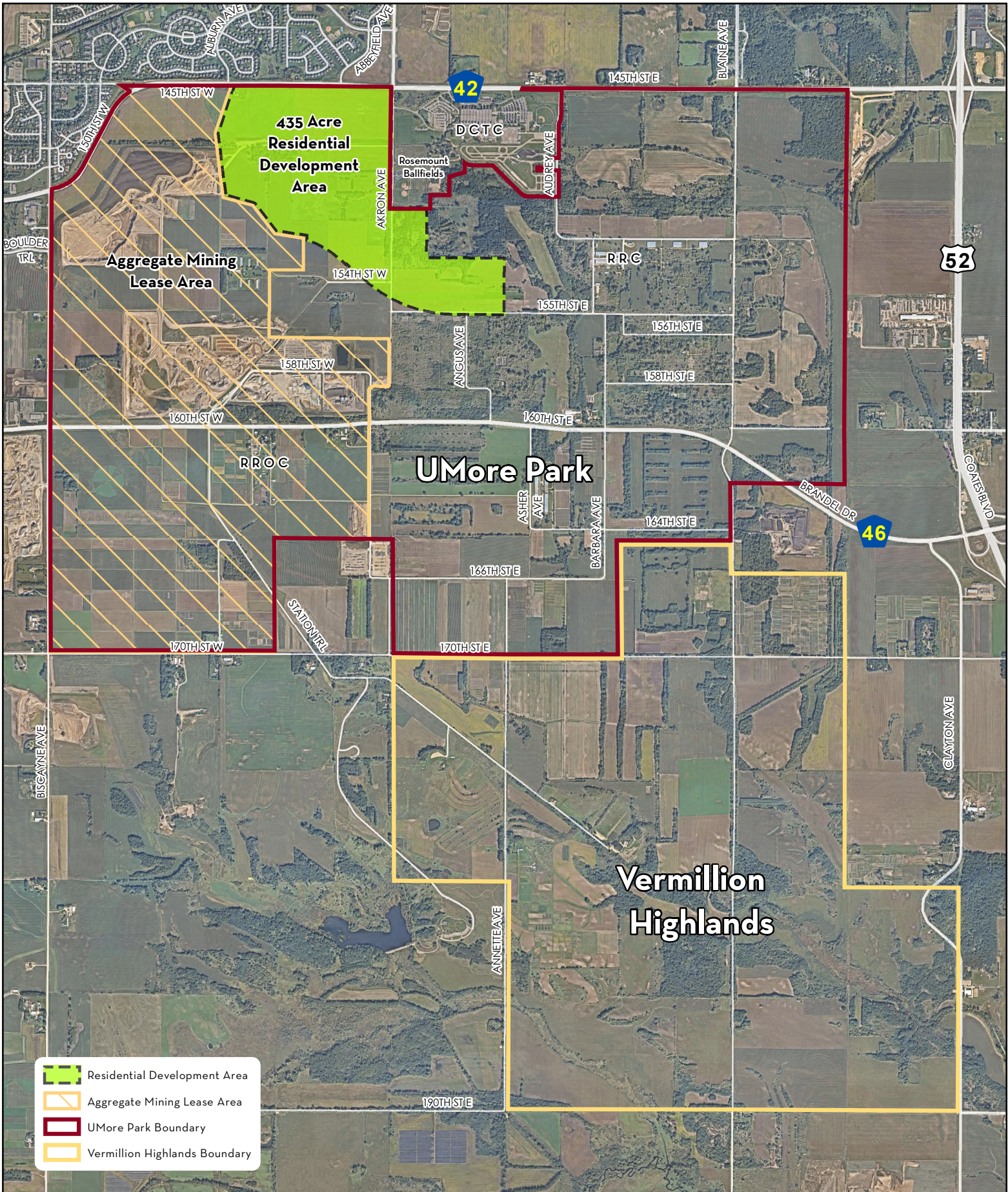
The above-described real estate transaction is appropriate:







Brian Burnett, Senior Vice President for Finance and Operations



Rachel Croson, Executive Vice President and Provost



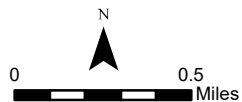
-  Residential Development Area
-  Aggregate Mining Lease Area
-  Umore Park Boundary
-  Vermillion Highlands Boundary

Planning, Space,
and Real Estate



University Services
University of Minnesota

Sale of Approx. 435 Acres at Umore Park For Residential Development



This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: Real Estate Office,
Dakota County

4/22/2020

Real Estate Transaction: Sale of 435 Acres in Rosemount (UMore Park)

Leslie Krueger, Assistant Vice President for Planning, Space, and Real Estate

Finance & Operations Committee

June 11, 2020

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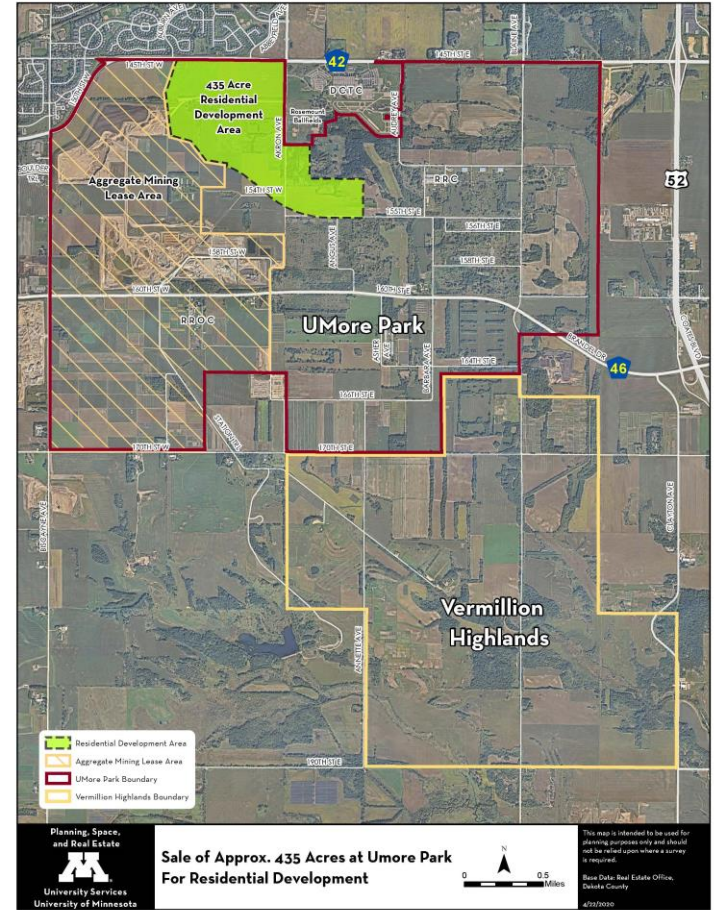


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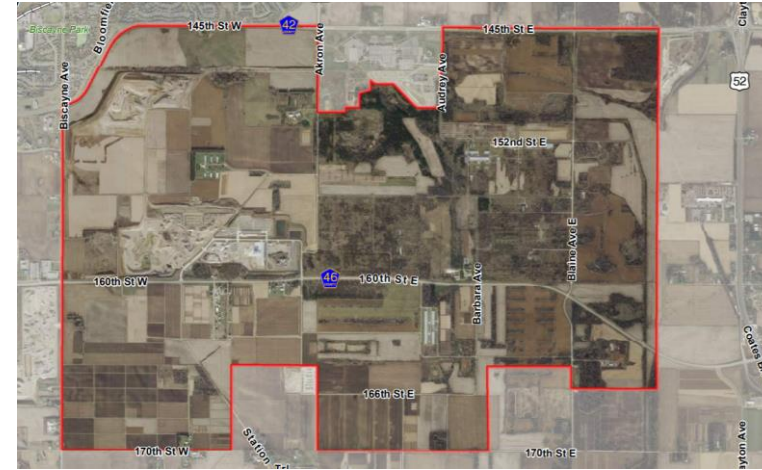
Property Overview

- Proposed sale of approximately 435 acres in the northwest portion of UMore Park, Rosemount.
- Property abuts County Road 42 to the north, Dakota County Technical College campus to the east and northeast, 155th Street to the south, and the Dakota Aggregate Mining Lease area to the west and south west.



Property Overview

- The property is undeveloped and currently has an agricultural zoning.
- The final acreage to be sold will be determined prior to closing as a result of the due diligence process and development planning as it relates to the final location and alignment of the primary roadways.



What is the strategic value to the University in selling this property?

In February 2015 the Board of Regents approved a resolution related to the reorganization of UMore Park development process that included maximizing financial return to the University by selling land through public processes, at competitive prices, benchmarked to market rates.

The sale is consistent with this resolution and the City of Rosemount's plans for this area and will provide the University with funding for the UMore Park Legacy Fund.

Transaction Overview

- Purchase Price \$13,100,000.00
- \$50,000 Earnest Money Initially and an additional \$250,000 at the end of the 180 day due diligence period
- Maplewood has up to two years after due diligence period ends to obtain municipal approvals and close



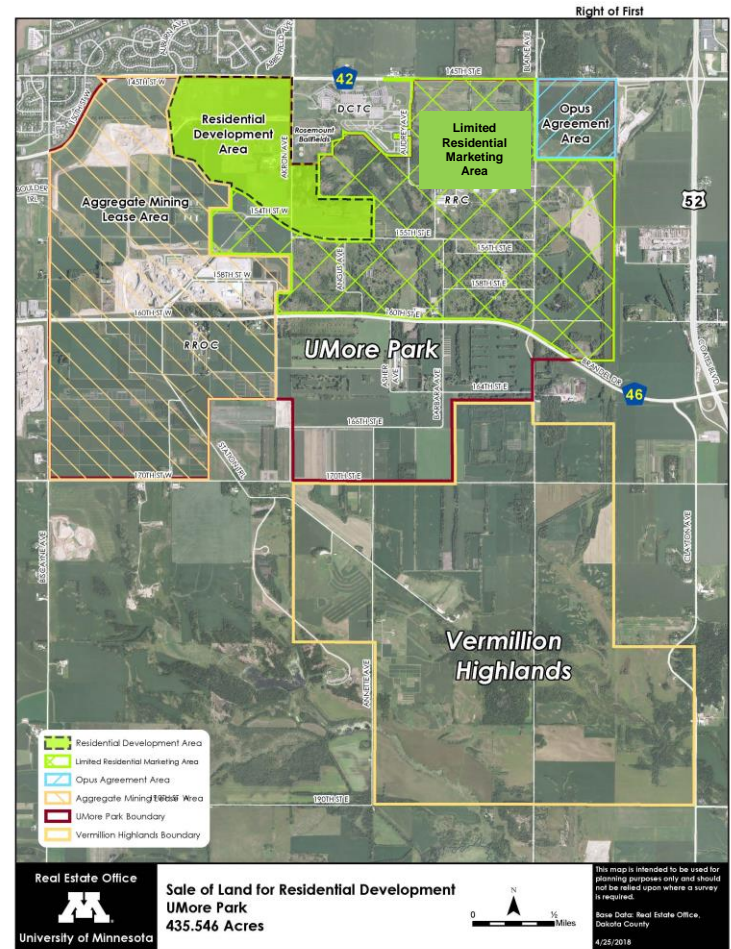
Transaction Overview



- Maplewood will be responsible for the cost of all infrastructure and utilities necessary for development within the boundaries of the property
- Maplewood will be responsible for facilitating the cost-sharing arrangements with the City, County, and / or other applicable governmental authorities for the cost of regional property infrastructure that will serve both the property and greater property area.
- Development plan will be subject to the Declaration of Covenants and Design Guidelines developed by the University.

Transaction Overview

- No later than five years after closing, the University will be required to relocate the University's Turkey Research Facility.
- The University will not market a portion of the UMore property for residential purposes for a period of two years after closing. The Buyer can purchase additional limitations to marketing for \$100,000 per year.





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BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

June 11, 2020

AGENDA ITEM: Real Estate Transactions

Review **Review + Action** **Action** **Discussion**

This is a report required by Board policy.

PRESENTERS: Leslie Krueger, Assistant Vice President, Planning, Space, and Real Estate

PURPOSE & KEY POINTS

The purpose of this item is to review the following real estate transaction:

- A. Purchase of 501 Oak Street SE, Minneapolis, Minnesota (Twin Cities campus)
- B. Sale of 1.66 acres, Rosemount, Minnesota (UMore Park)

BACKGROUND INFORMATION

Board of Regents Policy: *Reservation and Delegation of Authority* states that “[t]he Board reserves to itself authority to approve the purchase or sale of real property (a) with a value greater than \$1,000,000; (b) located on or within 2 miles of a University campus; or (c) larger than 10 acres.”

PRESIDENT’S RECOMMENDATION

The President recommends approval of the following real estate transaction:

- A. Purchase of 501 Oak Street SE, Minneapolis, Minnesota (Twin Cities campus)
- B. Sale of 1.66 acres, Rosemount, Minnesota (UMore Park)

**PURCHASE OF THE PROPERTY AT 501 OAK STREET SE, MINNEAPOLIS
(TWIN CITIES CAMPUS)**

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to purchase the property at 501 Oak Street SE, Minneapolis, Hennepin County, Minnesota.

2. Location and Description of the Property

The subject property is a .454-acre (19,797 sq. ft.) parcel, with improvements consisting of a church, a parsonage/residence, and a surface parking lot. The property is located on southeast corner of Oak Street SE and Essex Street SE. It is located across Oak Street from Frontier Hall and is adjacent to the University's parking lot serving the Clinics and Surgery Center.

The legal description of the property is: Lot 2 and Lot 3, Block 11, Baker's Addition to Saint Anthony, Hennepin County, Minnesota.

3. Basis for Request

The University has identified Block 11 as the future site of the University's proposed Clinical Research Facility, for which the University is requesting design and property acquisition funds from the State as part of the 2020 State Capital Request. The extent of the University's property acquisition will determine the design of the facility. The University is able to construct the Clinical Research Facility on property currently owned by the University on the southerly half of the block. If the University is successful at acquiring additional property, the University will design the Clinical Research Facility in a manner that takes into account the subject property.

4. Details of Transaction

The University will purchase the property from the Stadium Village Church for \$5,750,000. This price reflects the average of the University's and the Stadium Village Church's appraisals.

Closing will occur on October 7, 2020, or such other date concurrent with the closing on Seller's purchase of the replacement property, or as may be mutually agreed upon by the parties. At the time of closing, the University will ground lease the property back to the Church for nominal rent in order for the Church to be able to complete the renovations at their new property. The leaseback period will terminate on or before June 1, 2021. However, the term may be extended by mutual agreement of the parties in writing. The University will not unreasonably withhold its consent to an extension request so long as the extension will not delay the start of any construction plans for the Property.

5. Environmental

The University will complete a Phase I environmental site assessments of the property to confirm the property is in acceptable environmental condition prior to close.

6. Source of Funding

The University has requested funding from the State of Minnesota to assist with the acquisition of this property and others on Block 11 as part of the University's 2020 State Capital Request. The University will issue debt to fund its share of the purchase (or the entire purchase if the State does not support the funding request).

7. Use of the Property

The structures on the property will be demolished, and the property is intended to be utilized to support the proposed Clinical Research Facility as outlined above. If the University does not construct the Clinical Research Facility on this site, the property will likely be used for open space and/or parking (and land banking for a future project).

8. Recommendations

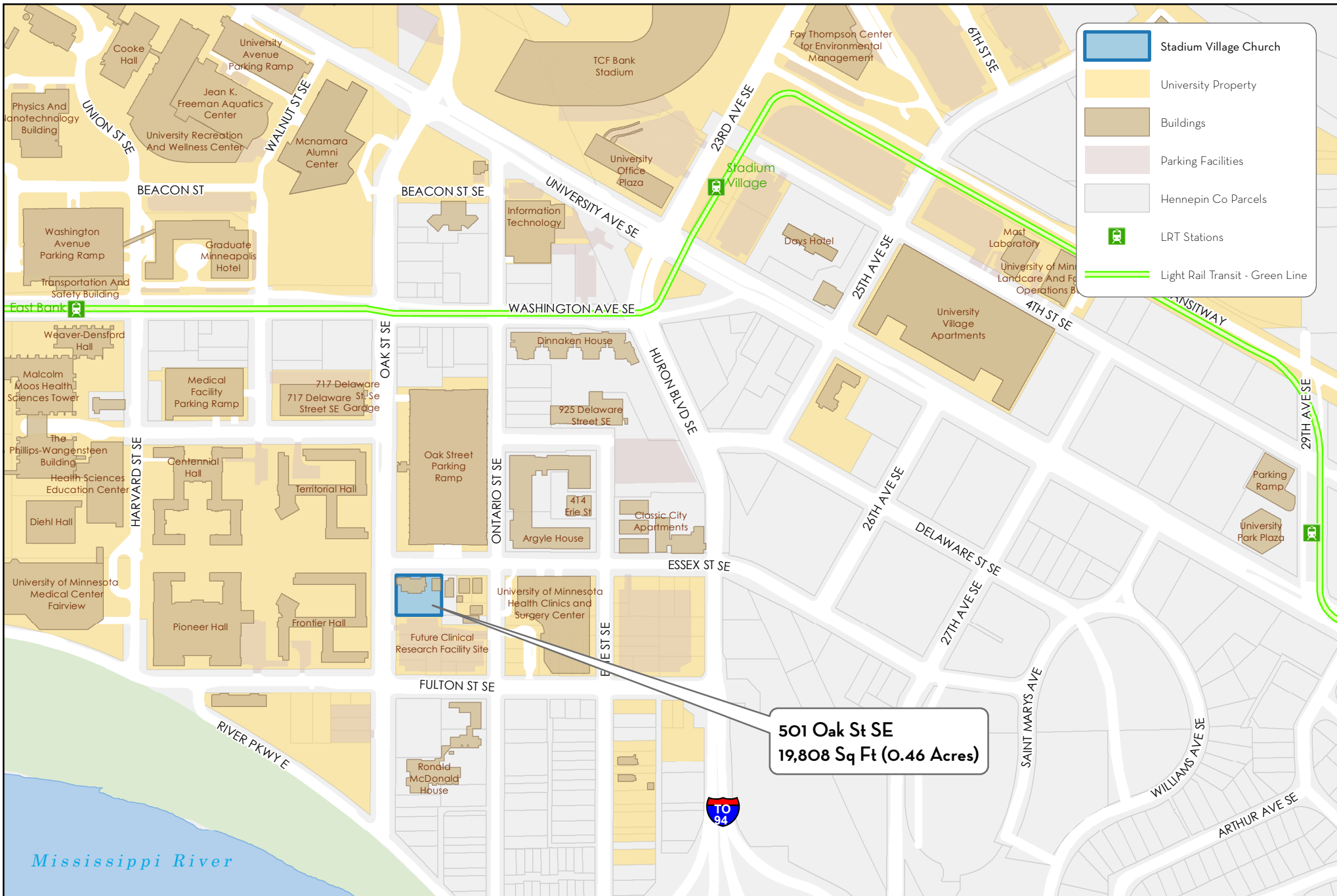
The above-described real estate transaction is appropriate:



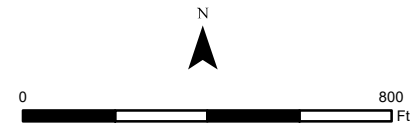
Brian Burnett, Senior Vice President for Finance and Operations



Rachel Croson, Executive Vice President and Provost



Purchase of 501 Oak Street SE (Stadium Village Church) Minneapolis, Twin Cities Campus



This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: Real Estate Office
MNDNR, MNDOT, MetCouncil,
U Services GIS

4/17/2020

Real Estate Transaction: Purchase of 501 Oak St SE, Minneapolis

(Twin Cities campus)

Leslie Krueger, Assistant Vice President for Planning, Space, and Real Estate

Finance & Operations

June 11, 2020

SENIOR VICE PRESIDENT FOR FINANCE AND PLANNING

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University Services

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Respect • Innovation • Safety

Property Overview

- 501 Oak St. SE, also known as Stadium Village Church
- Situated on .45 acres of land (19,797 sq ft)
- On Block 11 – Proposed Site of the Future Clinical Research Facility
- Property includes Church building, ministry house, and surface parking lot



What is the strategic value to the University in acquiring this property?

The University is acquiring property on this block for the potential home of the University's proposed Clinical Research Facility. The extent of the University's property acquisition will determine the design of the Facility. If the University is successful at acquiring additional property, the University will design the Clinical Research Facility in a manner that takes into account the subject property.

Transaction Overview



- \$5,750,000 Purchase Price
- Closing on or 10/7/20 or concurrent with closing on the Church's replacement property
- University will lease back the property to the Church for \$1 until 6/1/21 or later if it doesn't delay University construction
- Phase I Environmental Assessment





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**SALE OF 1.66 ACRES AT UMORE PARK
(UMORE PARK)**

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to sell 1.66 acres at UMore Park.

2. Location and Description of the Property

The subject property consists of 1.66 acres located in the southwest portion of UMore Park, east of Akron Ave, between 163rd St and 166th St. The property is currently used by the College of Food, Agricultural and Natural Resource Sciences (CFANS) for agricultural research.

An abbreviated summary of the legal description of the property to be conveyed is the SE ¼ of the NW ¼ Section 3, Twp. 114N., R. 19W (Partial).

3. Background / Basis for Request

In February 2015 the Board of Regents approved a resolution related to the reorganization of UMore Park development process that included the following:

- (a) Market-based development of UMore Park led by business, commercial, and residential real estate developers to produce the highest potential financial return to the University over time, incorporating opportunities of the original Concept Master Plan vision when there is private market demand for such elements and such concepts serve to protect or enhance the development value of the remaining site;
- (b) Maximizing financial return to the University by selling land through public processes, at competitive prices, benchmarked to market rates;
- (c) Active University engagement with local jurisdictions and private parties to ensure development projects at UMore Park protect and enhance the value of subsequent development stages;
- (d) All land sale proposals to be approved by the Board of Regents; sale and development proposals that are economically sound, compatible with the vision for UMore Park becoming a vibrant, market-driven community for residents and business, reflective of private sector demand, and in alignment with adjacent community needs, desires, and standards to be advanced by the University; and

- (e) Net proceeds derived from land sale transactions to be deposited into the Legacy Endowment as directed by the Board of Regents in 2009.

Although this sale does not meet standard Board of Regents thresholds for the sale of property under the Board Policy *Reservation and Delegation of Authority* (over \$1 million or over 10 acres or within two miles of a campus), the 2015 Board Resolution requires that all property sold at UMore Park be approved by the Board.

In 2019, the University was approached by Ames Construction, one of the owners of Dakota Aggregates, requesting to buy 1.66 acres of land adjacent to Ames' property and across Akron Ave from Dakota Aggregates' mining lease premises. Ames plans to begin construction in 2020 on its new maintenance facility in Empire Township. Ames would like to purchase additional land from the University for the purpose of constructing a septic system to support the maintenance facility. University administrative policy generally requires the property to be sold through a public offering, but allows for an exception when the property is to be sold to "an abutting property owner when the property is essential to the economic well-being of the abutting property owner, provided the price is not less than the appraised value."

4. Summary of Transaction Terms

Ames Construction will pay \$61,500 in cash at closing for the property, which is the average of the two independent MAI appraisal reports for the subject property. In addition, Ames will reimburse the University for the appraisals, the survey, and any other out-of-pocket costs related to its acquisition of the property.

Ames also will lease the westerly half of the property back to the University for \$1 for a ten-year period. The lease would include a 1-year termination notice period in case Ames' septic system (to be located on the easterly half of the site) needs to be replaced.

At the same time as the sale, the University will grant a fifty-year license agreement to run utilities from Highway 46 to the property and will execute an amendment to Dakota Aggregates' mining lease to require Dakota Aggregates to construct a new access road at a future date to support CFANS farm operations.

5. Use of the Property

Ames Construction plans to construct its new maintenance facility in Empire Township on its property just south of the University's property proposed for acquisition. The University's property would be used for the septic system site that would support the maintenance facility. Although Ames only requires the eastern half of the 1.66 acre site for the septic system, it wishes to purchase the full 1.66 acres in order to allow for future access to the site for pumping and maintenance and for a future replacement septic site if needed. The University would lease back the westerly half of the site for \$1 for 10 years for agricultural research purposes.

6. Environmental

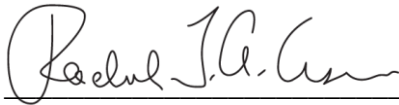
The property is being sold “as is / where is”.

7. Uses of Funding

The net proceeds from the sale of the property will be deposited to the UMore Park Legacy Endowment as directed by the Regents in 2009.

8. Recommendations

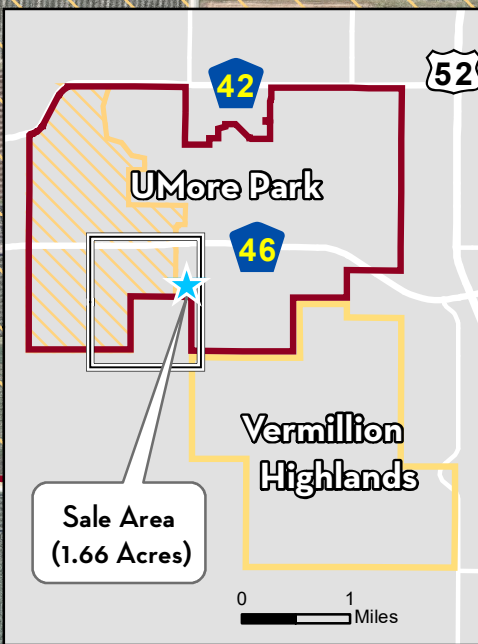
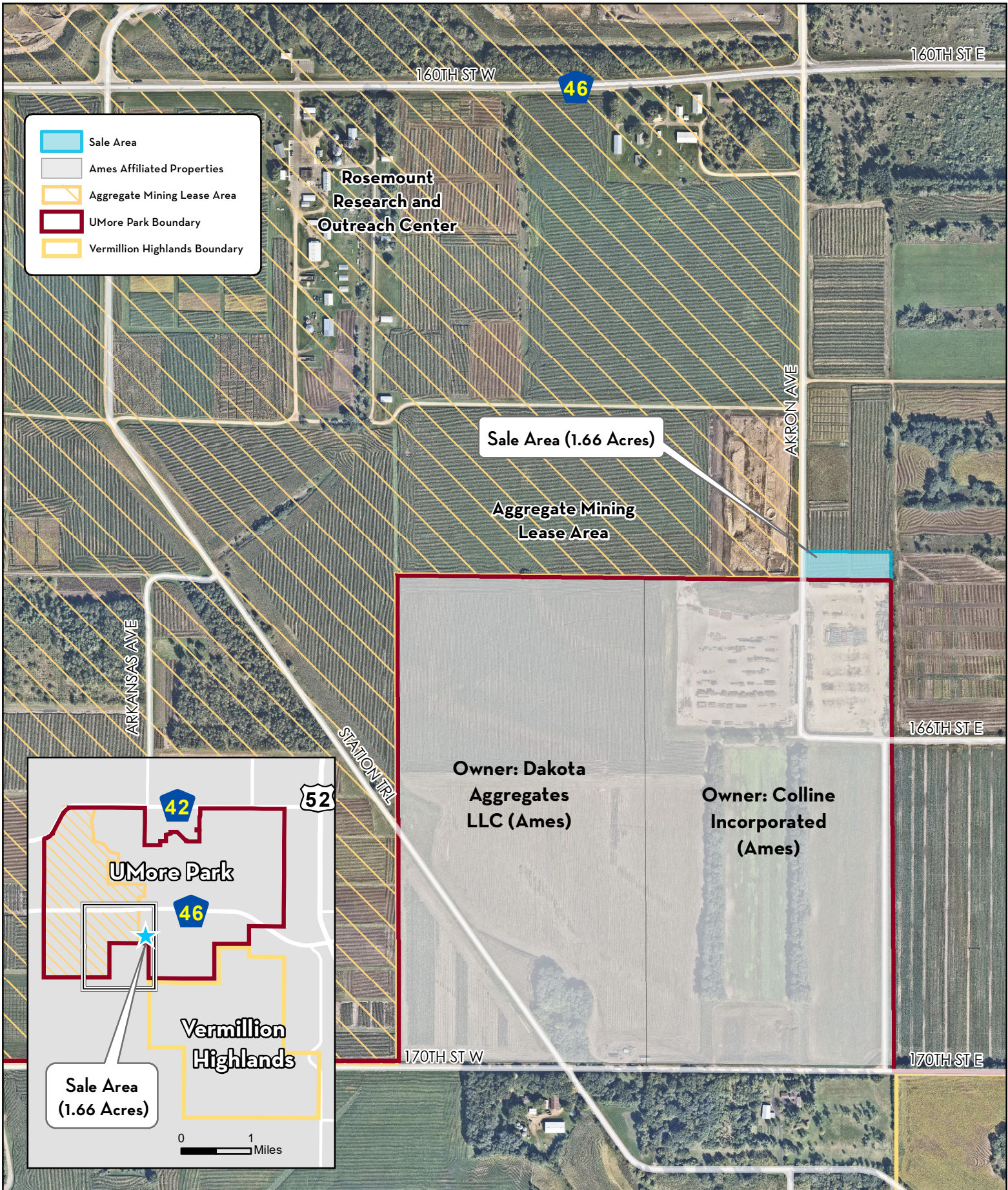
The above-described real estate transaction is appropriate:



Rachel Croson, Executive Vice President and Provost



Brian Burnett, Senior Vice President for Finance and Operations



Real Estate Transaction: Sale of 1.66 Acres in Empire Township (UMore Park)

Leslie Krueger, Assistant Vice President for Planning, Space, and Real Estate

Finance & Operations

June 11, 2020

SENIOR VICE PRESIDENT FOR FINANCE AND PLANNING

World Class Services for a World Class University

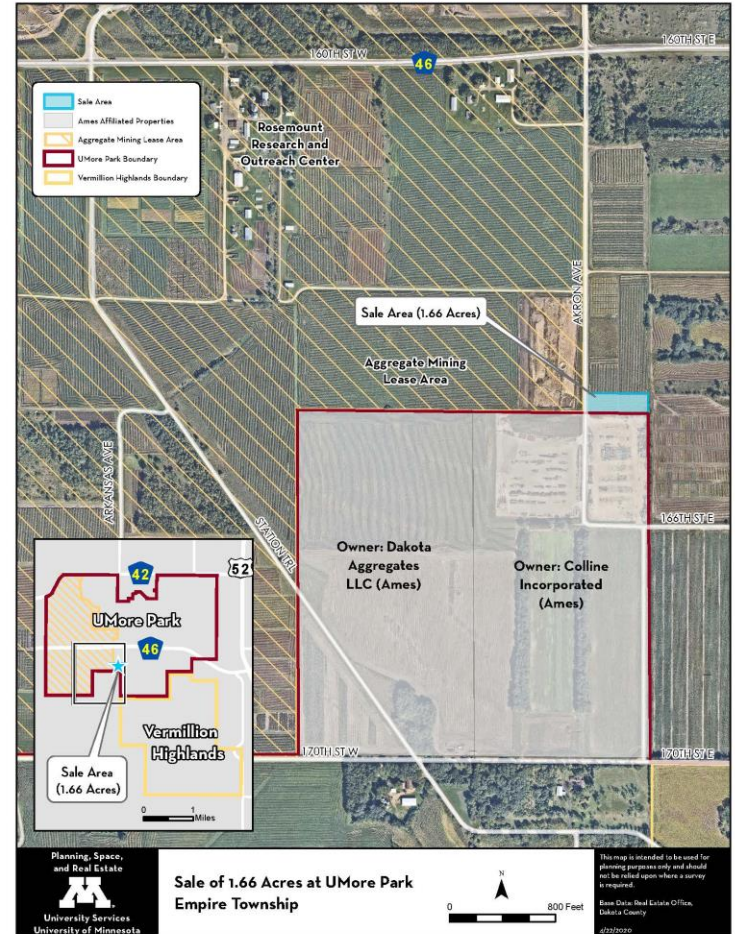


University Services

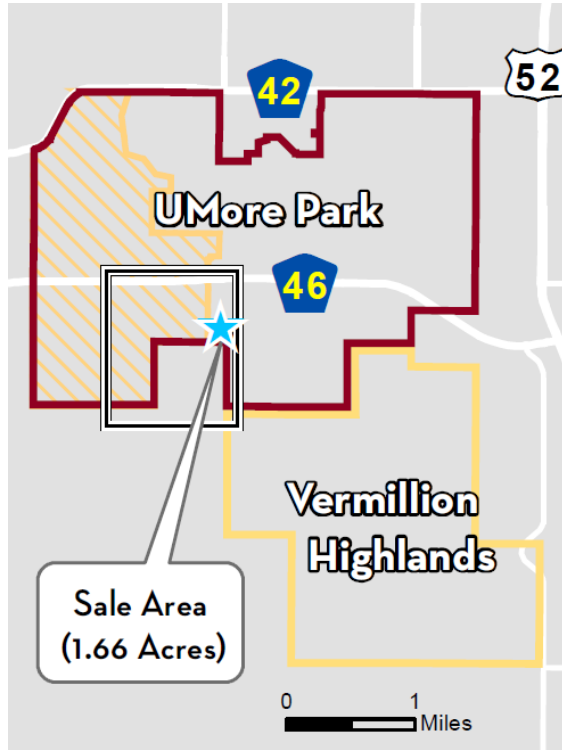
Stewardship • Integrity
Respect • Innovation • Safety

Property Overview

- Proposed sale of 1.66 acres
- Situated east of Akron Ave, between 163rd Street and 166th Street.
- Across the Street from Dakota Aggregates Mining Lease Area
- Property currently used by CFANS for agricultural research.



Transaction Overview



- \$61,500 Purchase Price, paid in cash at closing.
- Buyer will reimburse the University for appraisals, survey, and other out-of-pocket costs related to the acquisition.
- Buyer will lease the westerly half of the property back to the University for \$1 for a ten-year period.

Transaction Overview



- The University will grant a fifty-year license agreement to run utilities to the property.
- The University will also require Dakota Aggregates to construct a new access road to support CFANS farm operations.
- The net proceeds from the sale of the property will be deposited to the UMore Park Legacy Fund as directed by the Regents in 2009.
- Property being sold “as is / where is”.

What is the strategic value to the University in selling this property?

The sale provides the University with funding for the UMore Park Legacy Fund while ensuring that a necessary access road for CFANS farming operations is constructed when needed at no cost to the University.



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BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

June 11, 2020

AGENDA ITEM: Workforce and Total Compensation: Annual Report and Related Topics

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

PRESENTERS: Kenneth E. Horstman, Interim Vice President, Office of Human Resources

PURPOSE & KEY POINTS

The purpose of this item is to discuss the annual Workforce and Total Compensation report and provide an overview of human resources (HR) analytics being used by the University to make informed, data-based decisions.

In alignment with Board of Regents Policy: *Employee Compensation and Recognition*, the University seeks to offer a compensation structure that is competitive with peers and combined with other rewards in order to attract and retain a high-performing workforce.

This item includes an overview of how University compensation identifies and compares to the market. HR analytics provide tools for senior leaders and human resources across the system to understand how salaries are delivered to their local workforce. The item will also highlight plans to use the current metrics to create enhanced capability to expand from reporting to a predictive ability that will provide insights across an employee's career. Project work toward this objective will begin in August.

The full reports that make up the Workforce and Total Compensation annual report and provide detail on staff, faculty, and senior leaders were included in the May Finance & Operations information items and are again included in this docket.

BACKGROUND INFORMATION

Board of Regents Policy: *Employee Compensation and Recognition*, Section. V. (a)-(b), provides as follows:

The president or delegate shall (a) monitor the effectiveness of compensation and recognition programs; and (b) report annually to the Board of Regents on compensation and recognition programs for all employees, including an annual comprehensive report on compensation provided to senior leaders.

The Board previously discussed this topic at the following meetings:

- May 2019: *Annual Report on Workforce and Total Compensation*
- February 2018: *Annual Report on Employee Compensation*

Annual Report on Employee Compensation

Kenneth E. Horstman, Interim Vice President, Office of Human Resources
Mary Rohman Kuhl, Interim Total Compensation Director

Finance & Operations Committee

June 11, 2020



Introduction

- The Office of Human Resources at the University of Minnesota has recently completed the attached annual review of cash compensation for employees who are in the Civil Service and Professional & Administrative employee groups. This review was conducted to assess the degree to which the compensation provided to these individuals is in alignment with the stated objectives of the Board of Regents Policy on Compensation and Recognition.
- Specifically, the Board of Regents policy states that:
- The University strives to achieve and maintain a compensation structure that, when combined with benefits and other rewards, is competitive relative to institutional peers and other appropriate labor markets and serves to attract and retain a high-performance workforce.
- The University seeks to reward meritorious performance and employee contribution to the success of the University through compensation and other forms of recognition.
- In the setting of initial salaries and subsequent pay adjustments, the University considers the work responsibilities, market, internal equity, experience and expertise, performance, and other criteria as appropriate.
- The University adheres to compensation and recognition practices that are fair and equitable in design, application, and delivery.



Overview

- The University's Civil Service and Professional & Administrative employees are classified within job families.
 - Covers roughly 11,200 employees, or 49% of the University's total payroll
- Job Families are broad distinct functions that contains a group of sub-specialty jobs engaged in similar work that require similar knowledge, skills and abilities. The current job families include:

Administration	Audit	Education	Human Resources	Marketing & Communications
Advancement	Business Development	Finance	IT	Recreation
Animal Health	Campus Operations	Grants & Contracts	Legal	Research
Athletics	Development	Health Care	Libraries & Museums	Student Services



Overview

- Each job family contains roughly 10 levels of jobs that represent a continuum of progressively higher levels of responsibility and impact, and provide for promotional opportunities over time.
- Below, for illustrative purposes, is a picture of the Finance Job Family:

Finance Title
FIN Dir 2
FIN Dir 1
N / A
FIN Analyst 3 / Mgr 3
FIN Analyst 2 / Mgr 2
FIN Analyst 1 / Mgr 1
FIN Pro 4 / Supv 4
FIN Pro 3 / Supv 3
FIN Pro 2 / Supv 2
FIN Pro 1



Definition of market

- The market in which we compete for talented Civil Service and Professional & Administrative employees (excluding Senior Leaders) varies by job family, but is most frequently defined as all industries within the Twin Cities metro area.
- Occasionally there are positions where a national or global search is required, with a focus on what is being paid by higher education institutions. An example of this type of position would be a very prestigious and high-level researcher.



Compa Ratios By Job Family



Compa ratios

- Compa ratio: Measure of how closely an individual's salary, or group of salaries, compares to the market median
- Calculated by taking an employee's actual salary divided by the salary range midpoint
 - Example: $\$65,000 \text{ salary} / \$60,000 \text{ midpoint} = 1.08$. The person is paid 8% higher than market median.

Compa Ratio	Relation to Market Median
1.0	Exactly matches market
0.8	20% behind market
1.2	20% ahead of market

- It is important to note that a job family can have a healthy overall compa ratio but have levels within it that are not paid to market. Likewise, a level within a job family can have a healthy overall compa ratio but have jobs or people in that level who are not paid appropriately to market.
- To know if a compa ratio is competitive, you need to know the skills and experience of the employee group. Their degree of job mastery is what warrants higher or lower payment relative to market.



Compa ratios for refined job families

- The following nine charts provide a detailed compensation analysis that is only possible because of the work performed through the Market Refinement project.
- These job families now have:
 - Job titles that include the specialty being performed within the family.
 - For example: HR Professional 1 has become HR Professional 1 – Benefits, HR Professional 1 – Talent Acquisition, HR Professional 1 – Call Center, etc.
 - Accurate and up-to-date market data that is appropriate for each of these specialties, rather than an overall average that doesn't accurately reflect the market differences for these jobs.
 - Data is refreshed each January 1
- We now have the ability to manage our compensation expenses for these families in a more strategic manner.



Compa ratios for refined job families

- All salary data is from October 2019, which is the Fall Snapshot data historically requested by the Board of Regents for salary analysis
- The market medians used for this analysis are sourced each fall from over 35 published salary surveys and are the foundation for the University's calendar year salary structure which is put in place each January 1. This data is aged forward to July each year to provide a lead-lag pay philosophy which is the most common standard used by large institutions nationally.



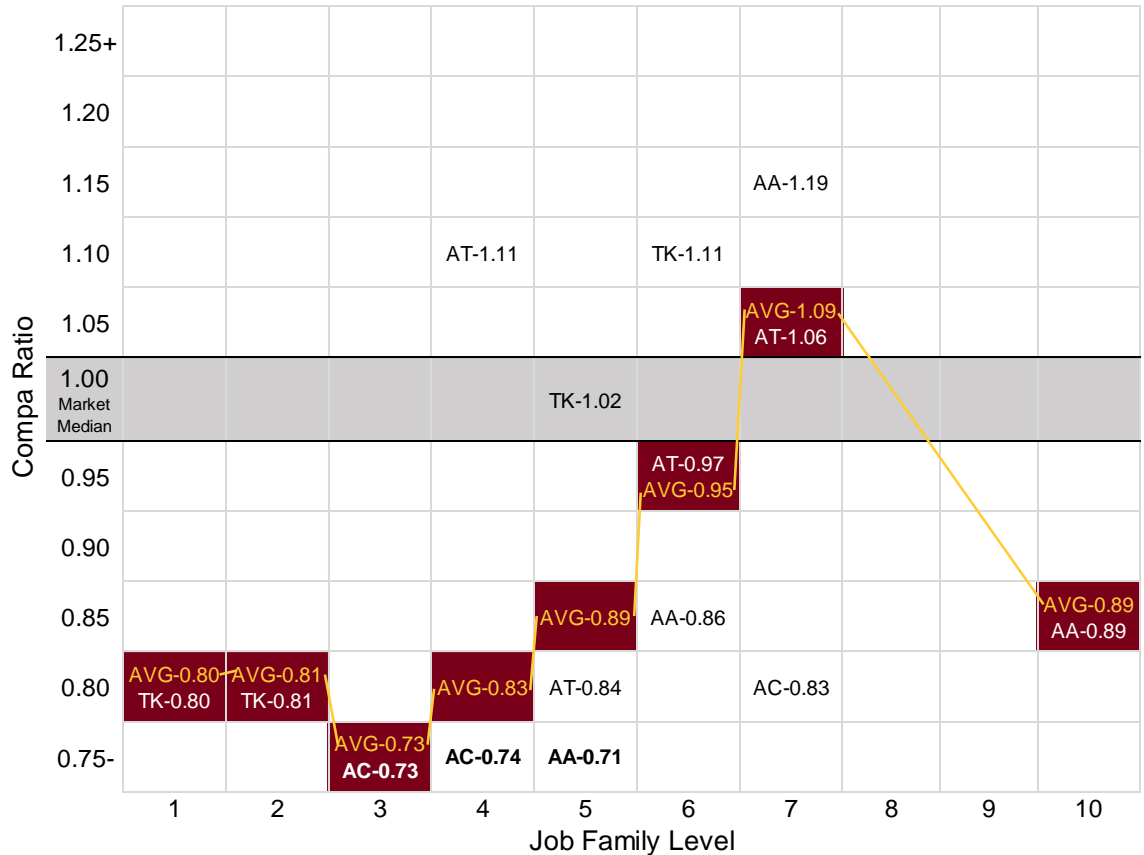
University of Minnesota salaries compared to market median

Job Family	Average Compa Ratio	Market Median	% to Market Median
Athletics	0.88	1.0	-12%
Audit	0.92	1.0	-8%
Campus Operations	0.89	1.0	-11%
Finance	0.88	1.0	-12%
Grants & Contracts	0.90	1.0	-10%
Human Resources	0.91	1.0	-9%
Legal	0.92	1.0	-8%
Recreation	0.89	1.0	-11%
Overall Average	0.89	1.0	-11%



Athletics

Overall Compa Ratio = 0.88

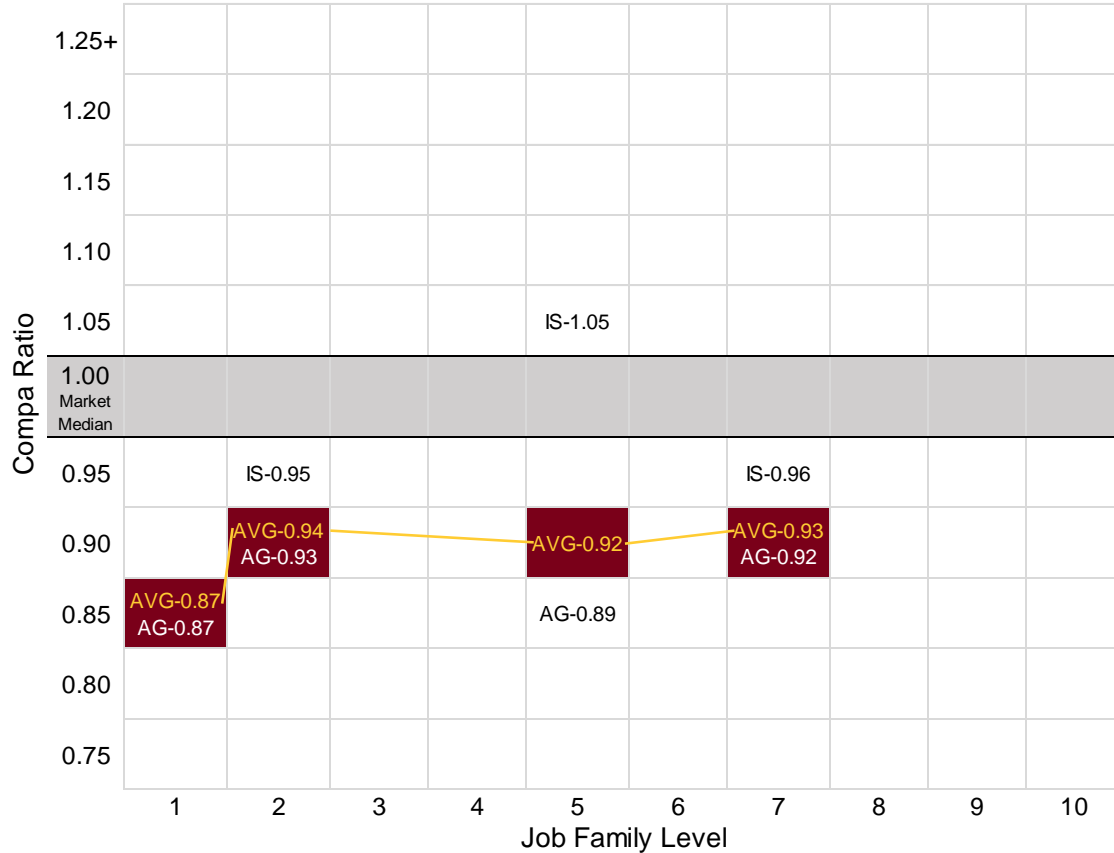


AVG = Overall Average for Level
 AA = Athletics Administration & Program Management
 AC = Athletics Compliance
 AT = Other-Athletics
 TK = Ticket Sales & Services



Audit

Overall Compa Ratio = 0.92

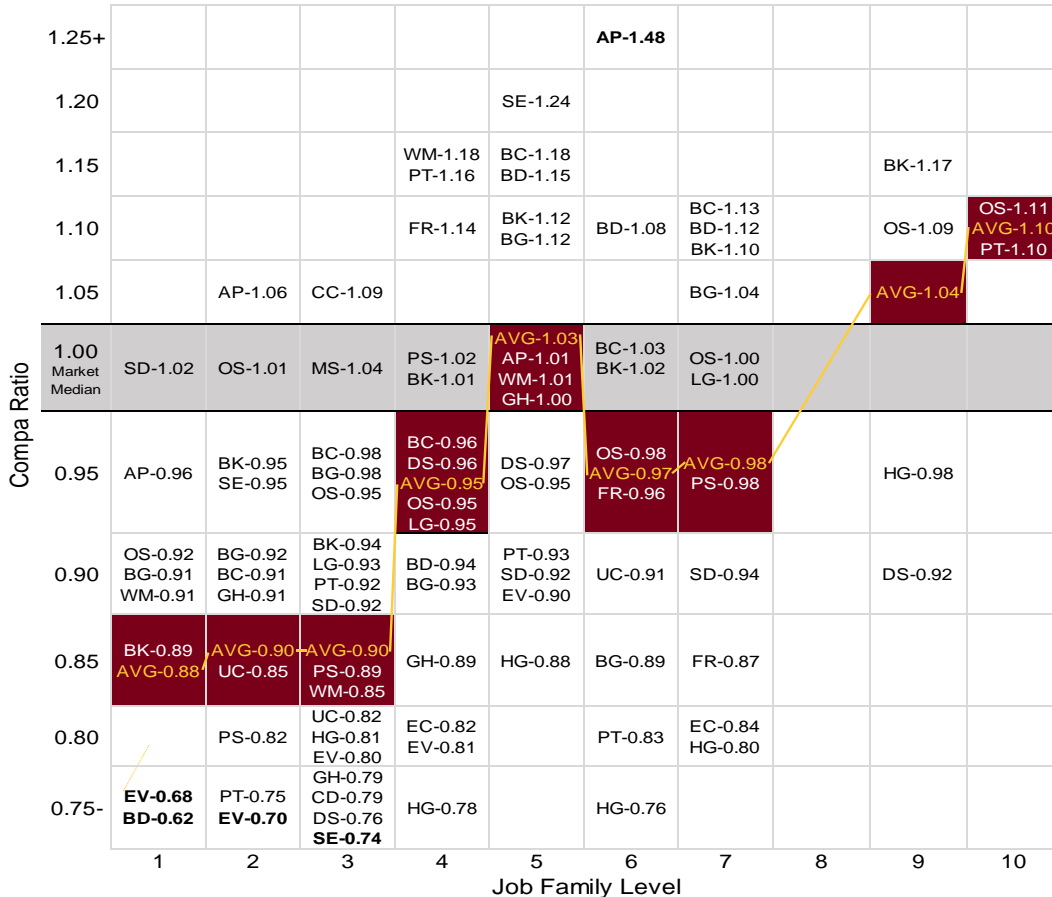


AVG = Overall Average for Level
AG = General Audit
IS = Information Systems Audit



Campus Operations – Services, Maintenance, & Protection

Overall Compa Ratio = 0.93

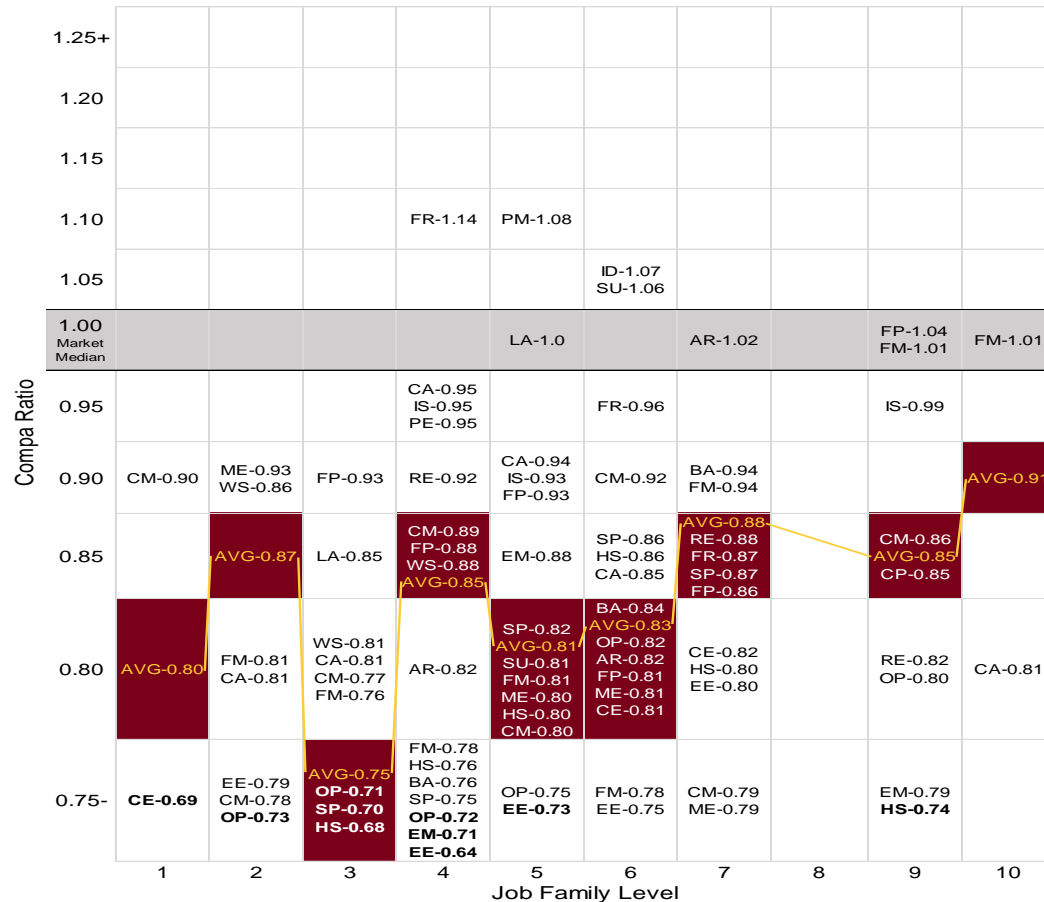


- AVG = Overall Average for Level
- AP = Athletics & Sports Property Management
- BC = Building Maintenance-Custodial Primary
- BD = Building Maintenance-Maintenance Primary
- BG = Building Management
- BK = Bookstore Services
- CC = Call Center
- DS = Dining & Food Services
- EC = Emergency Communications
- EV = Event Management
- FR = Farm Management
- GH = Greenhouse/Horticulture
- HG = Housing Operations
- LG = Landscape/Grounds
- MS = Mailroom Services
- OS = Other - Campus Ops Services, Maintenance & Protection (SMP)
- PS = Printing Services
- PT = Parking/Transportation/Fleet
- SD = Shipping & Distribution
- SE = Security/Enforcement-Non-Police
- UC = U Card Services
- WM = Waste Management Services



Campus Operations – Planning, Design, & Engineering

Overall Compa Ratio = 0.83



- AVG = Overall Average for Level
- AR = Accounts Payable and/or Accounts Receivable
- BA = Business Analysis-Non IT
- CP = Campus & Capital Planning
- CE = Civil/Structural Engineering
- CM = Construction Project Management
- EE = Electrical Engineering
- EM = Emergency Management
- HS = Environmental Health & Safety
- FP = Facilities, Capital & Space Planning
- IS = Inspectors
- ID = Interior Design
- LA = Landscape Architecture
- ME = Mechanical Engineering
- OP = Other - Campus Ops Planning, Design & Engineering (PDE)
- PE = Plan Examiners
- PM = Project Management-Non IT
- RE = Real Estate
- SP = Space Planning & Management
- SU = Sustainability
- WS = Workplace Health & Safety

Finance

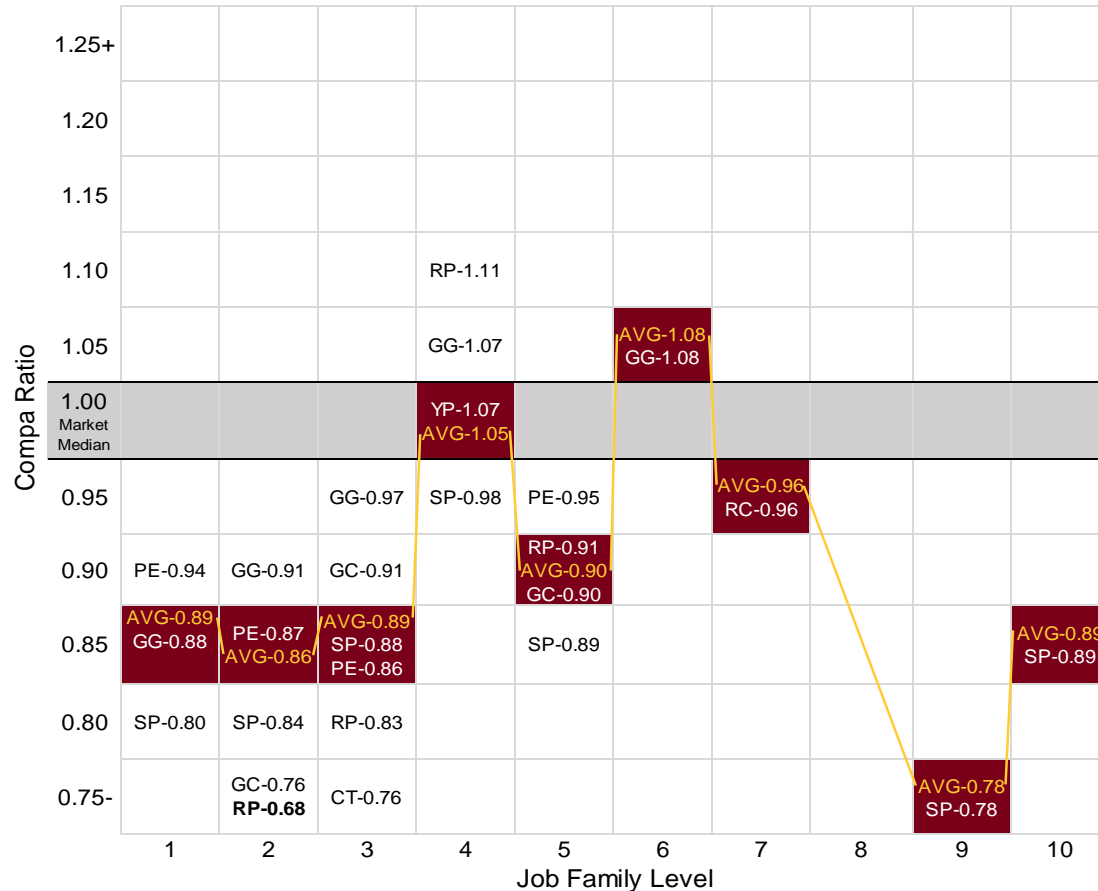
Overall Compa Ratio = 0.88

Compa Ratio	1.00 Market Median									
1.25+										
1.20									TR-1.20	
1.15	CL-1.16								BA-1.19	
1.10			SF-1.11							
1.05				PR-1.06		BA-1.07		FN-1.09		
1.00			SF-1.04	PR-1.02			SF-1.02		IP-1.02	
0.95	AA-0.96 SF-0.95	AA-0.99 SF-0.97		AVG-0.95 PY-0.95		FN-0.96	FG-0.98 AVG-0.95		IR-0.99	AVG-0.98 FN-0.95
0.90	AVG-0.94 PR-0.94 FG-0.93 PY-0.91	IR-0.90	TE-0.93	TE-0.94 GR-0.93 FG-0.91	SC-0.91			TE-0.94 AVG-0.90		FG-0.93
0.85	FN-0.87 SC-0.86	CC-0.89 PY-0.89 PR-0.86 FG-0.85	FG-0.88 FN-0.88 AVG-0.86		BA-0.88 FG-0.88 FP-0.88 GR-0.88 AVG-0.88 FN-0.88 PY-0.87	BA-0.89 FG-0.89 AVG-0.88	FN-0.87 IP-0.85		FG-0.85	TA-0.85
0.80		TE-0.82 FN-0.80	FP-0.83 AA-0.82 TR-0.82 GR-0.82 BA-0.81		CA-0.82	IR-0.82	TA-0.84 TE-0.84		AA-0.80	
0.75-		GR-0.76	PR-0.79		IP-0.65	GR-0.75 TE-0.72	FP-0.75		SC-0.67 GR-0.63	
	1	2	3	4	5	6	7	8	9	10

- AVG = Overall Average for Level
- AA = Accounts Payable and/or Accounts Receivable
- BA = Budget Analysis & Financial Planning/ Analysis
- CL = Call Center
- CA = Cost Accounting and Pricing
- CC = Credit and/or Collections
- FG = Financial Generalist
- FP = Financial Planning and Analysis
- GR = Grants and Research Financial Administration
- IR = Ins Risk Mgmt
- IP = Investment/ Portfolio Analysis
- FN = Other-General
- PY = Payroll
- PR = Purchasing
- SF = Student Financial Services
- SC = Supply Chain & Logistics
- TA = Tax
- TE = Technical Accounting
- TR = Treasury

Grants and Contracts

Overall Compa Ratio = 0.90

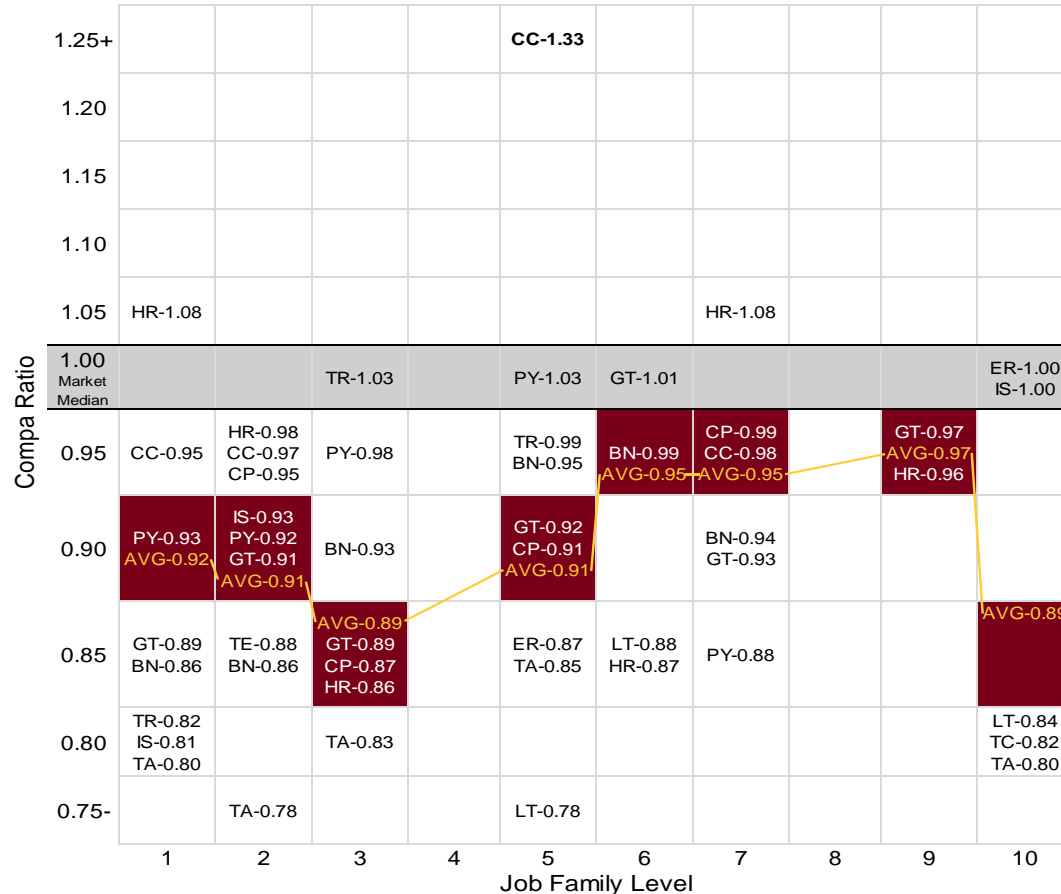


AVG = Overall Average for Level
 CT = Clinical Trials Administration
 GG = Grants/Contracts Generalist
 GC = Other-Grants & Contracts
 PE = Pre-Award Administration
 RC = Research Compliance
 SP = Sponsored Project Administration
 RP = Research Program Development



Human Resources

Overall Compa Ratio = 0.91



AVG = Overall Average for Level

BN = Benefits

CC = Call Center

CP = Compensation

HR = General

GT = Generalist

LT = LTD

TC = Comp & Ben

ER = Employee Relations

IS = HRIS

TE = Tech Training

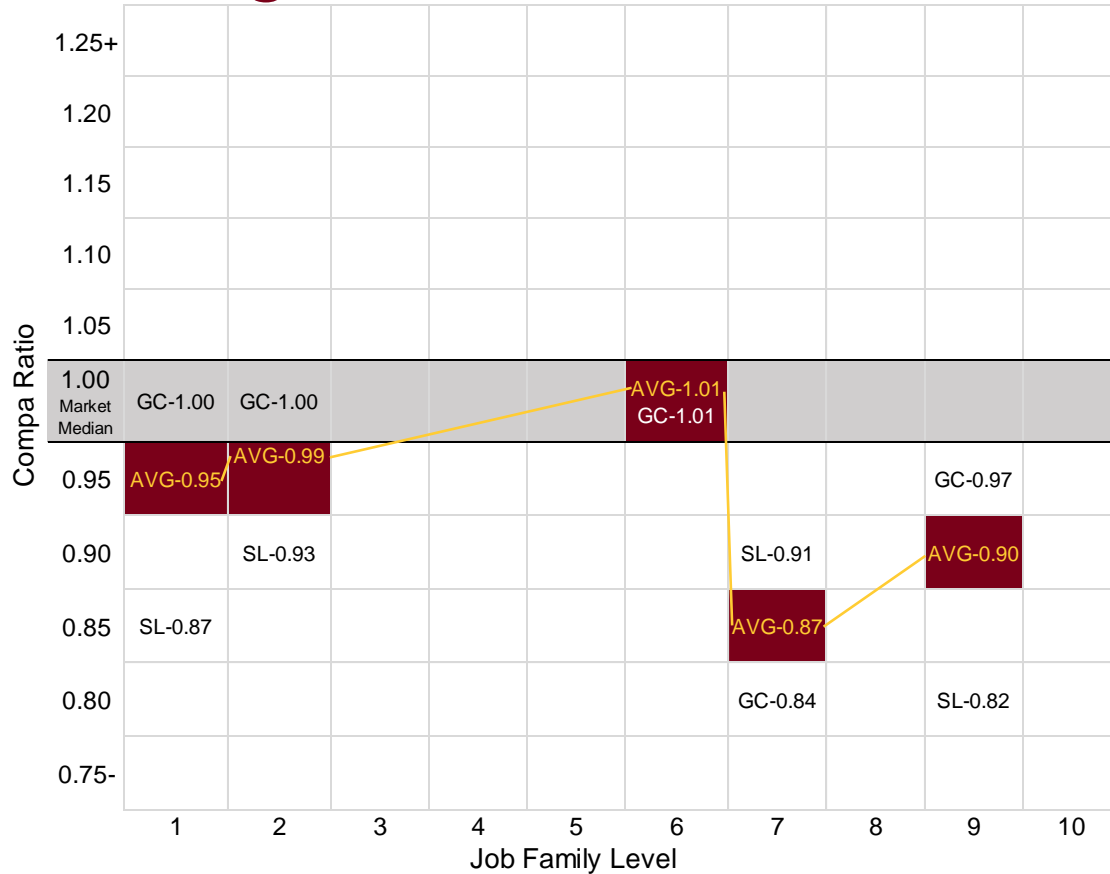
PY = Payroll

TA = Talent Acquisition

TR = Training

Legal

Overall Compa Ratio = 0.92

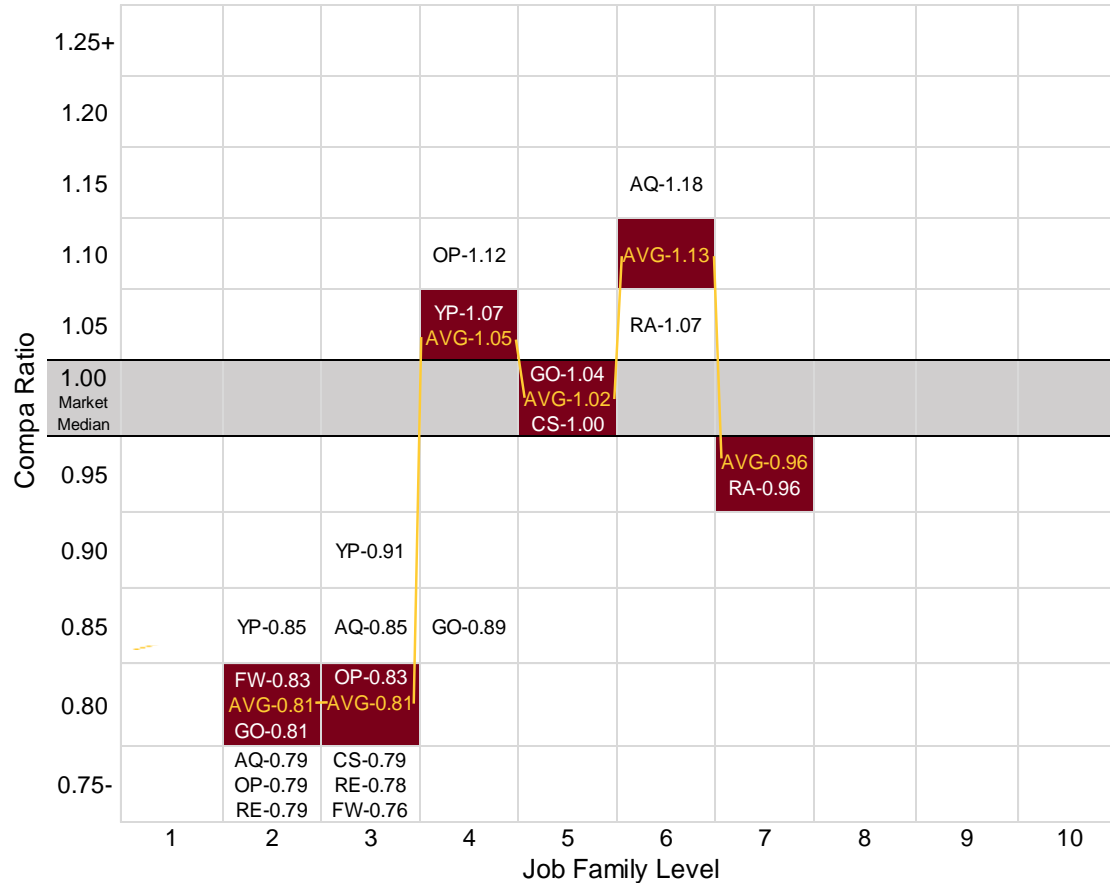


AVG = Overall Average for Level
 GC = General Counsel
 SL = Student Legal Services



Recreation

Overall Compa Ratio = 0.89



- AVG = Overall Average for Level
- AQ = Aquatics
- CS = Competitive Sports
- FW = Fitness / Wellness
- GO = Golf
- RE = Other-Recreation
- OP = Outdoor Programming
- RA = Recreation Administration
- YP = Youth Programs

Compa ratios for unrefined job families

- The market data for the job families on the following slide has not yet been refined so is not an accurate reflection of the market.
- Because of this, we do not recommend making strategic conclusions regarding compensation for these families. Limitations of the data include:
 - Each level in a job family has a salary range midpoint which was derived from market data pulled in 2012/2013 and aged by 2% per year thereafter.
 - Each level in a job family currently only has one midpoint, which is shared by jobs across many different specialties that have very different market rates. Therefore, midpoints are aggregate averages and don't reflect the market for any one job in the level.



Compa ratios for unrefined job Families

Note: Refinements for Advancement, Business Development, and Marketing and Communications will be implemented in May, 2020

Job Families	Average Compa Ratio
Administration	0.97
Advancement	1.04
Animal Health	0.92
Business Development	0.96
Development	0.95
Education	0.95
Health Care	0.98
Information Technology	0.98
Libraries and Museums	0.88
Marketing and Communications	0.96
Research	0.85
Student Services	0.91

Comparisons to market are inconclusive until Market Refinements can be completed.





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Annual Report on Faculty Compensation

Kenneth E. Horstman, Interim Vice President, Office of Human Resources
Mary Rohman Kuhl, Interim Total Compensation Director

Finance & Operations Committee

June 11, 2020



Introduction

The Office of Human Resources has recently completed the attached annual review of cash compensation for faculty positions within the University of Minnesota. This review was conducted to ensure the compensation provided to these individuals is in alignment with the stated objectives of the Board of Regents Policy on Compensation and Recognition.

Specifically, the Board of Regents policy states that:

- The University strives to achieve and maintain a compensation structure that, when combined with benefits and other rewards, is **competitive relative to institutional peers and other appropriate labor markets** and serves to attract and retain a high-performance workforce.
- The University seeks to reward meritorious performance and employee contribution to the success of the University through compensation and other forms of recognition.
- In the setting of initial salaries and subsequent pay adjustments, the University considers the work responsibilities, market, internal equity, experience and expertise, performance, and other criteria as appropriate.
- The University adheres to compensation and recognition practices that are fair and equitable in design, application, and delivery.



Definition of market

The market in which we compete for talented faculty varies by campus but includes national higher education institutions of comparable size and scope. The institutions selected for comparison are referred to as peer institutions.

The list of peer institutions for each college campus, and the logic for selection, are included in the appendix of this document.

Market data is not geographically adjusted. Previous efforts at adjusting did not significantly impact the U of M's rank ordering and were best estimations due to limited cost statistics for rural areas.

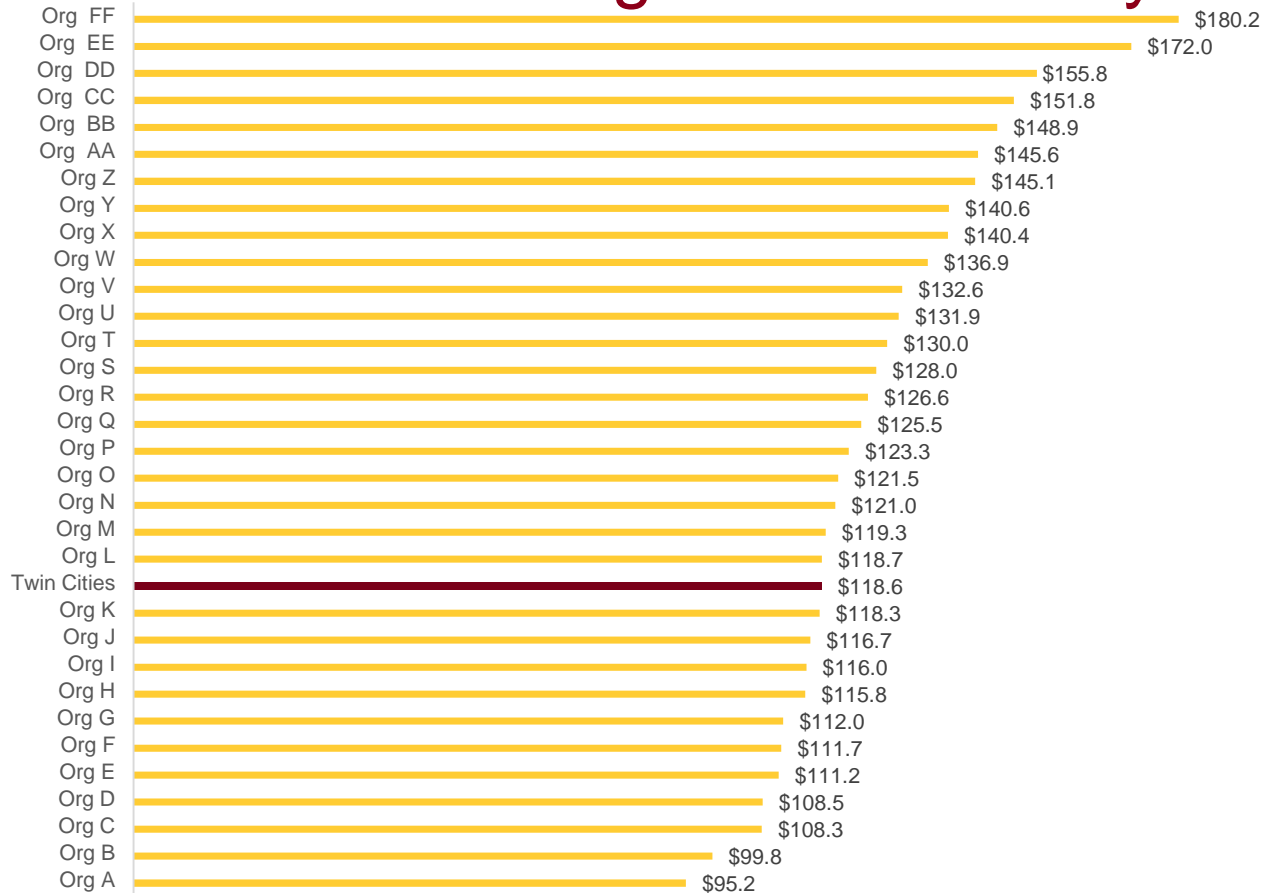


Faculty compensation

- As a result of data collected by the American Association of University Professors (AAUP), we are able to see how we are paying our entire faculty population relative to our peer institutions.
- The limitation of this data and attached charts is that illustrations are based on overall faculty salaries rather than comparisons within each area of academic study.
 - Professors are given salary offers by their college that are competitive for their unique discipline at the time of hire. Ongoing efforts to ensure market competitive pay by discipline are also conducted at the college level.
- Compa ratios tell you how you are paying an employee, or group of employees, compared to the market. They are calculated by taking the actual salary of an employee, or group of employees, and dividing it by the market or salary range midpoint.
 - Example: $\$65,000 \text{ salary} / \$60,000 \text{ midpoint} = 1.08$. The person is paid 8% higher than market median.



Twin Cities ranking of 2018 faculty salaries

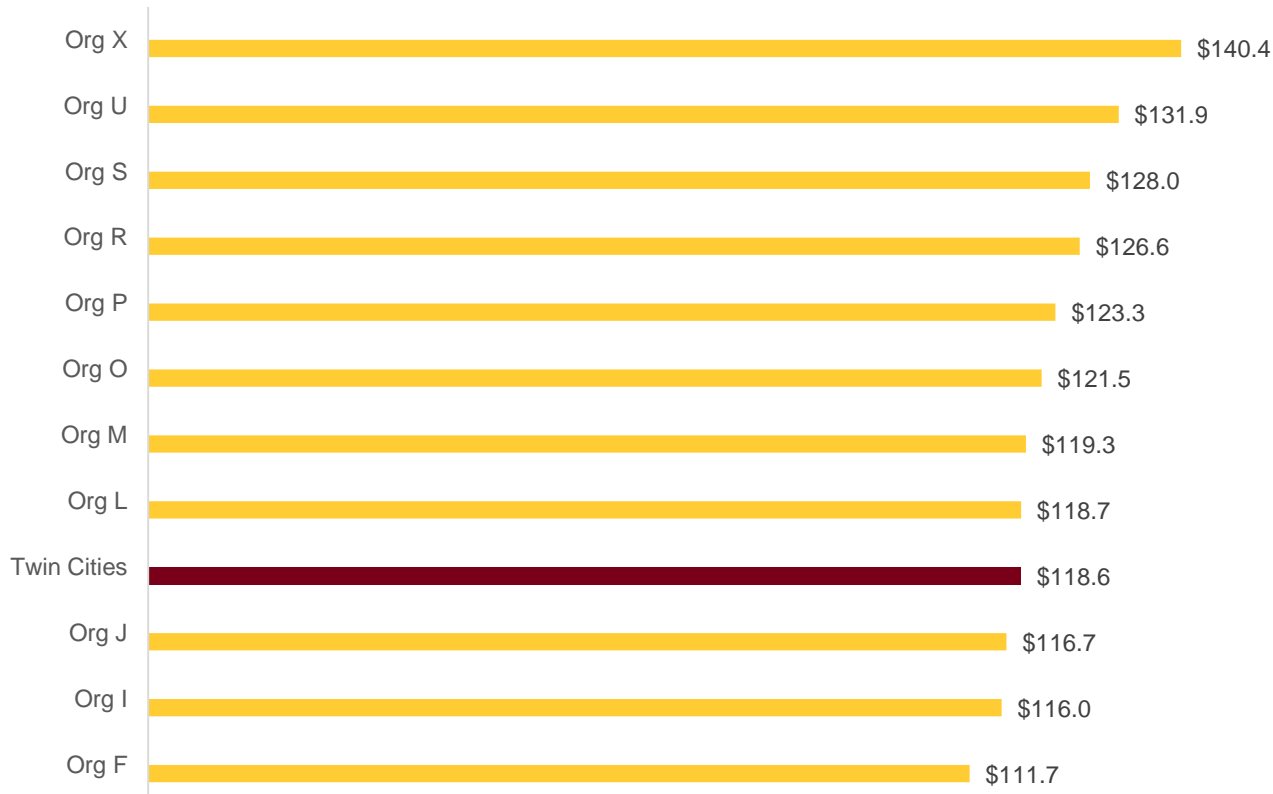


Twin Cities Average = \$118.6
Market Median = \$123.3
Compa Ratio = 0.96

Source: American Association of University Professors (AAUP)
Peer Institutions not providing data: 2



Twin Cities ranking of 2018 Big 10 faculty salaries

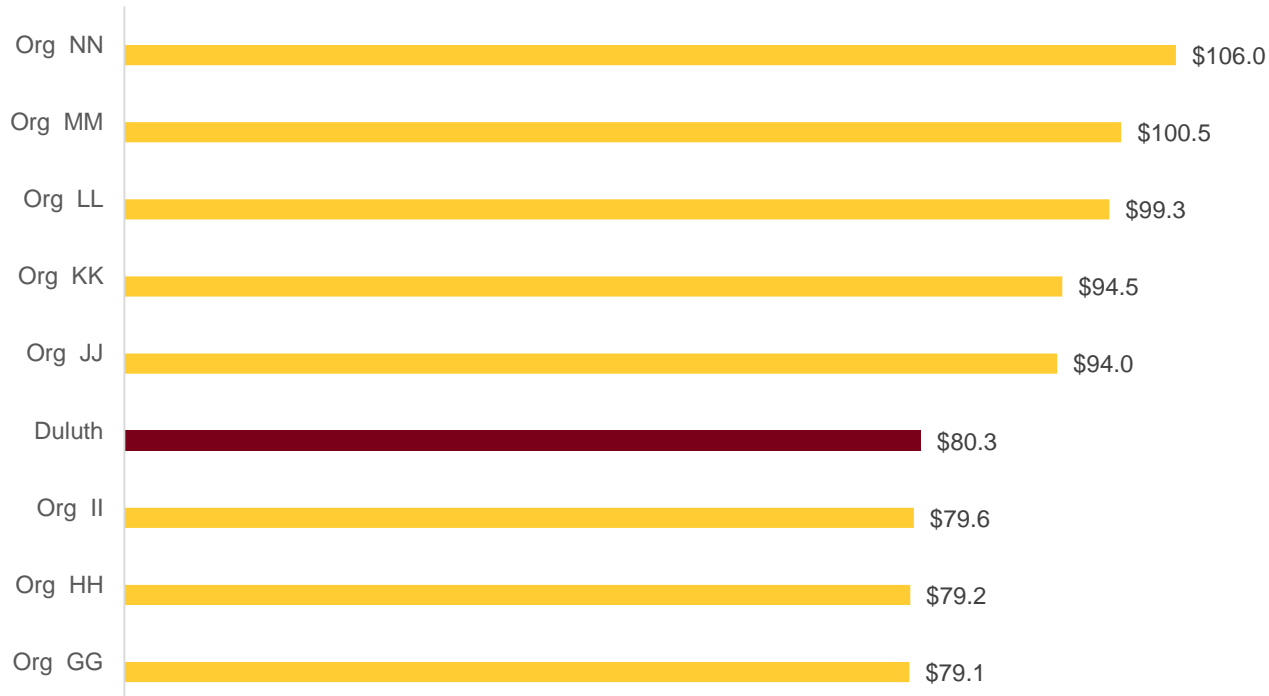


Twin Cities Average = \$118.6
Market Median = \$120.4
Compa Ratio = 0.99

Source: American Association of University Professors (AAUP)
Peer Institutions not providing data: 1



Duluth ranking of 2018 faculty salaries

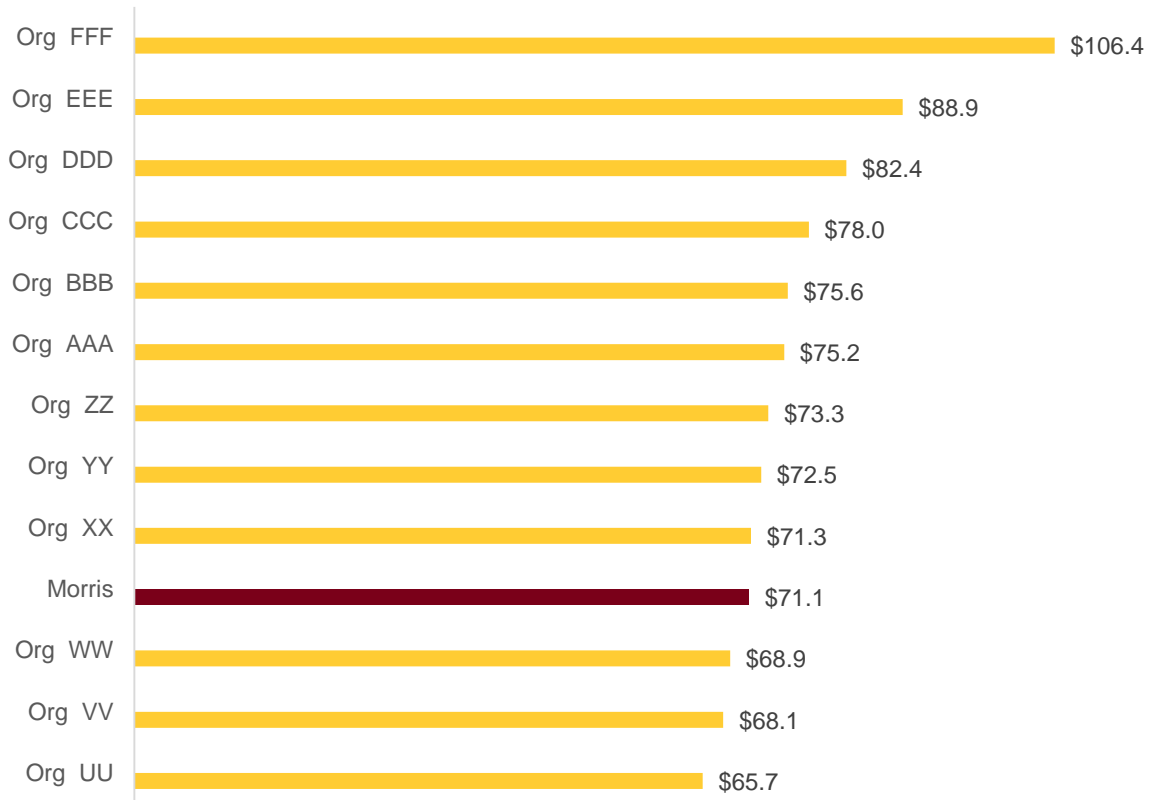


Duluth Average = \$80.3
Market Median = \$94.0
Compa Ratio = 0.85

Source: American Association of University Professors (AAUP)
Peer Institutions not providing data: 3



Morris ranking of 2018 faculty salaries

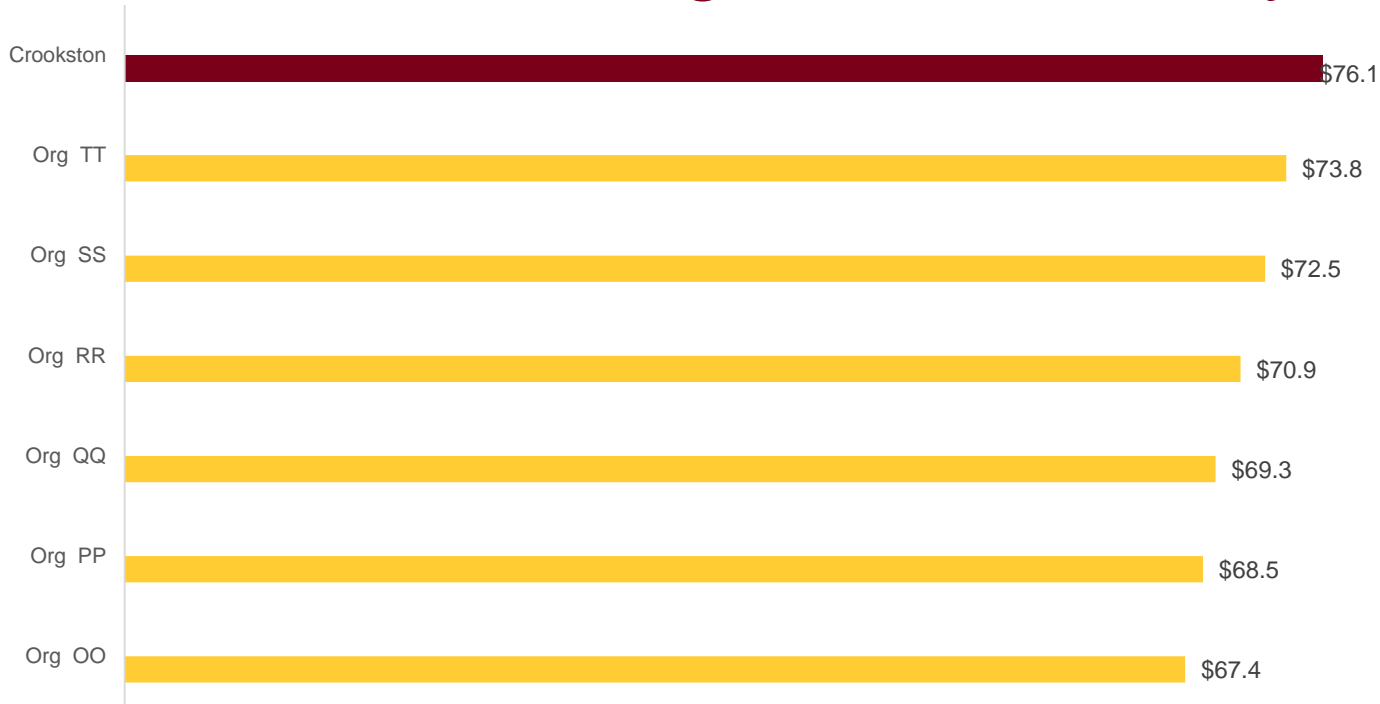


Morris Average = \$71.1
Market Median = \$73.3
Compa Ratio = 0.97

Source: American Association of University Professors (AAUP)
Peer Institutions not providing data: 3



Crookston ranking of 2018 faculty salaries



Crookston Average = \$76.1
Market Median = \$70.9
Compa Ratio = 1.07

Source: American Association of University Professors (AAUP)
Peer Institutions not providing data: 5



Appendix



Guiding Principles Used by the Office of Institutional Research for Selection of Peer Institutions

- The list of peer institutions should remain very stable from year to year.
 - Consistency in peer selection demonstrates that we have carefully identified the market for our faculty positions. This leads to reliability, validity, and transparency of our percentile rankings.
- The number of peer institutions should be large enough to ensure an adequate sampling of salaries in the marketplace.
- Each campus can have its own unique peer group.
- The same list of peer institutions should be used for the majority of faculty positions on the Twin Cities campus.
 - This will lead to greater equity, less volatility, and less administrative burden.



Peer Institutions Used for Twin Cities Faculty Benchmarking

The peer institutions identified by the Office of Institutional Research for the UMN Twin Cities campus are listed on the next page and include:

Public U.S. universities who are members of the Association of American Universities (AAU)

The AAU is composed of 62 leading public and private research universities in the United States and Canada. Membership in AAU is by invitation and is based on the high quality of programs of academic research and scholarship and education in a number of fields, as well as general recognition that a university is outstanding by reason of the excellence of its research and educational programs. Currently there are 62 schools in this organization, including both public and private universities. We choose not to compare ourselves to private universities within the AAU as this would include Ivy League schools for which the market is much more competitive for top talent. We also removed Canadian universities as comparisons. That leaves the 33 public universities who are AAU members to which we will be comparing faculty compensation.

Big 10 institutions (13 comparison universities)

Twelve of the Big 10 schools are already included in the list of public AAU institutions. Northwestern and the University of Nebraska are the other members of the Big 10 that could be considered for comparison. Northwestern is a private university, so it is not included on the comparison list. Since the University of Nebraska is a public Big 10 school that is not part of the AAU, we decided to include it in our list of peer institutions. This brings our total of comparison universities to 34.

A fair number of the UMTC peer institutions are of similar mission, Carnegie classification, and size. These organizations are noted on the chart with the code "UMTC."



Peer Institutions Used for Twin Cities Faculty Benchmarking

Institution	Peer Comparison Groups
University of Arizona	AAU
University of California-Davis	AAU
University of California-Irvine	AAU
University of California-San Diego	AAU
University of California-Santa Barbara	AAU
University of Colorado-Boulder	AAU
Georgia Institute of Technology	AAU
Iowa State University	AAU
University of Kansas	AAU
University of Missouri-Columbia	AAU
State University of New York-Buffalo	AAU
State University of New York-Stony Brook	AAU
University of North Carolina-Chapel Hill	AAU
University of Oregon	AAU
University of Pittsburgh-Main Campus	AAU
Texas A&M University	AAU
University of Virginia	AAU

Institution	Peer Comparison Groups
University of California-Berkeley	AAU, UMTC
University of California-Los Angeles	AAU, UMTC
University of Florida	AAU, UMTC
University of Texas-Austin	AAU, UMTC
University of Washington-Seattle Campus	AAU, UMTC
University of Illinois at Urbana-Champaign	AAU, Big 10, UMTC
University of Michigan-Ann Arbor	AAU, Big 10, UMTC
Ohio State University-Main Campus	AAU, Big 10, UMTC
Pennsylvania State University-Main Campus	AAU, Big 10, UMTC
University of Wisconsin-Madison	AAU, Big 10, UMTC
Indiana University-Bloomington	AAU, Big 10
University of Iowa	AAU, Big 10
University of Maryland-College Park	AAU, Big 10
Michigan State University	AAU, Big 10
Rutgers University-New Brunswick	AAU, Big 10
Purdue University-Main Campus	AAU, Big 10
University of Nebraska-Lincoln	Big 10



Peer Institutions Used for Morris Faculty Benchmarking

The institutions identified by the Office of Institutional Research and Morris leadership as Morris peer institutions are featured on the next page. In identifying its peers list, UMM designated schools as “true peers” if they had very similar profiles to UMM (size, programming, etc.) or “aspirational peers” if they had profiles and characteristics to which Morris aspires to be in direct comparison in the future. This list includes:

- Five institutions that are part of the Council of Public Liberal Arts Colleges (COPLAC) and are true peers to Morris
- Five institutions that are not part of the Council of Public Liberal Arts Colleges (COPLAC) but are currently true peers to Morris
- Five institutions that are aspirational peers



Peer Institutions Used for Morris Faculty Benchmarking

Institution	Peer Comparison Groups
University of Maine-Farmington	True peer, COPLAC
St. Mary's College of Maryland	True peer, COPLAC
Massachusetts College of Liberal Arts	True peer, COPLAC
University of North Carolina-Asheville	True peer, COPLAC
University of Virginia's College at Wise	True peer, COPLAC
Coe College	True peer
Albion College	True peer
Concordia College-Moorhead	True peer
SUNY at Purchase College	True peer
Lycoming College	True peer
DePauw University	Aspirational peer
Kalamazoo College	Aspirational peer
Gustavus Adolphus College	Aspirational peer
Macalester College	Aspirational peer
St. Olaf College	Aspirational peer



Peer Institutions Used for Crookston Faculty Benchmarking

The following universities have been identified by the Crookston campus, in partnership with the Office of Institutional Research, as peer institutions.

- It is important to note that Crookston's peer institutions have changed significantly since the previous year's report.
- Bemidji State University, Dakota State University, Delaware Valley University, University of Maine at Farmington, University of Minnesota-Morris and University of Wisconsin-Stout have been removed as peer institutions.
- The schools listed below with an asterisk have been added as peer institutions.

Institution	Peer Comparison Groups
Indiana University-Kokomo *	True Peer
Lake Superior State University *	True Peer
Northern State University - South Dakota	True Peer
Northwest Missouri State University *	True Peer
Northwestern Oklahoma State University *	True Peer
Southwest Minnesota State University *	True Peer
SUNY College of Agriculture and Technology at Cobleskill *	True Peer
The University of Tennessee-Martin *	True Peer
University of Pittsburgh-Johnstown	True Peer
University of Wisconsin-Platteville *	True Peer
University of Wisconsin-River Falls	True Peer



Peer Institutions Used for Duluth Faculty Benchmarking

The following universities have been identified by the Duluth campus, in partnership with the Office of Institutional Research, as peer institutions.

Institution	Peer Comparison Groups
California Polytechnic State University-San Luis Obispo	True peer
Southern Illinois University Edwardsville	True peer
University of Northern Iowa	True peer
University of Massachusetts-Dartmouth	True peer
University of Michigan-Dearborn	True peer
Western Michigan University	True peer
Minnesota State University-Mankato	True peer
University of North Carolina at Charlotte	True peer
College of Charleston	True peer
South Dakota State University	True peer
Western Washington University	True peer



Peer Institutions Used for Rochester Faculty Benchmarking

- Rochester is a young and unique campus, which has made it difficult to identify true peers.





UNIVERSITY OF MINNESOTA

Driven to Discover[®]

Crookston Duluth Morris Rochester Twin Cities

The University of Minnesota is an equal opportunity educator and employer.

Annual Report on Senior Leader Compensation

Kenneth E. Horstman, Interim Vice President, Office of Human Resources
Mary Rohman Kuhl, Interim Total Compensation Director

Finance & Operations Committee

June 11, 2020



Introduction

The Office of Human Resources has recently completed the attached annual review of cash compensation for executive leadership positions within the University of Minnesota. This review was conducted to ensure the compensation provided to these individuals is in alignment with the stated objectives of the Board of Regents Policy on Compensation and Recognition.

Specifically, the Board of Regents policy states that:

- The University strives to achieve and maintain a compensation structure that, when combined with benefits and other rewards, is **competitive relative to institutional peers and other appropriate labor markets** and serves to attract and retain a high-performance workforce.
- The University seeks to reward meritorious performance and employee contribution to the success of the University through compensation and other forms of recognition.
- In the setting of initial salaries and subsequent pay adjustments, the University considers the work responsibilities, market, internal equity, experience and expertise, performance, and other criteria as appropriate.
- The University adheres to compensation and recognition practices that are fair and equitable in design, application, and delivery.



Definition of market

The market in which we compete for talented senior leaders varies by campus but includes national higher education institutions of comparable size and scope. The institutions selected for comparison are referred to as peer institutions.

The list of peer institutions for each college campus, and the logic for selection, are included in the appendix of this document.

Private sector businesses, MNSCU, and the state of Minnesota are not considered our comparison market for senior leader talent:

- Successful candidates generally have a wealth of knowledge about the unique nature of business in higher education, including our tripartite mission, the research we conduct, the role of faculty, and the culture of shared governance.
- Although we may occasionally hire a senior leader from, or lose them to, the private sector, we do not seek to match the pay of our senior leaders to the private sector, given the private sector's ability to offer a wider array of compensation offerings.



Senior leader compensation strategy and scope

The total compensation strategy for senior leaders should be:

- Competitive
- Market driven
- Performance based
- Equitable
- Transparent

The board reserves to itself the authority to appoint all individuals in the following positions and approve the terms of their employment:

- Chancellor
- Chief Auditor
- Dean
- Division I Director Of Intercollegiate Athletics
- Executive Vice President and Provost
- General Counsel
- Senior Vice President for Finance and Operations
- University Librarian and Dean of Libraries
- Vice Chancellor for Academic Affairs
- Vice President
- Such other administrative positions as the Board may specify from time to time



Senior leader positions included in the 2019 study

The following positions have been included in the 2019 analysis of senior leader compensation:

Title	Incumbent
Associate Vice President and Dean International Programs	Meredith Mc Quaid
Chancellor Crookston	Mary Holz-Clause
Chancellor Duluth	Lendley Black
Chancellor Morris	Michelle Behr
Chancellor Rochester	Lori Carrell
Chief Auditor	Gail Klatt
Dean and Vice Provost Graduate Education	Scott Lanyon
Dean and Vice Provost Undergraduate Education	Robert Mc Master
Dean of Students and Vice Provost Student Affairs (Interim)	Maggie Towle
Dean, Carlson School of Management	Sri Zaheer
Dean, College of Biological Sciences	Valery Forbes
Dean, College of Continuing and Professional Studies (Interim)	Robert Stine
Dean, College of Design	Carol Strohecker
Dean, College of Education and Human Development	Jean Quam
Dean, College of Liberal Arts	John Coleman
Dean, College of Pharmacy	Lynda Welage
Dean, College of Science and Engineering	Mostafa Kaveh
Dean, College of Veterinary Medicine	Laura Molgaard
Dean, Hubert H. Humphrey School of Public Affairs	Laura Bloomberg
Dean, Law School	Garry Jenkins

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Title	Incumbent
Dean, School of Dentistry	Gary Anderson
Dean, School of Nursing	Connie Delaney
Dean, School of Public Health	John Finnegan
Dean, UMD College of Education and Human Service Professions	Jill Pinkney Pastrana
Dean, UMD College of Liberal Arts	Jeremy Youde
Dean, UMD Labovitz School of Business and Economics	Amy Hietapelto
Dean, UMD School of Fine Arts	Robert Kase
Dean, UMD Swenson College of Science and Engineering	Wendy Reed
Dean, University Extension	Beverly Durgan
Dean, College of Food, Agricultural and Natural Resource Sciences	Brian Buhr
Division I Director of Intercollegiate Athletics	Mark Coyle
Executive Vice Chancellor for Academic Affairs, UMD	Fernando Delgado
Executive Vice President and Provost	Karen Hanson
General Counsel	Douglas Peterson
President	Joan Gabel
Senior Vice President Finance and Operations	Brian Burnett
University Librarian and Dean of Libraries	Wendy Lougee
Vice Chancellor for Academic Affairs and Dean, UMM (Interim)	Janet Ericksen
Vice Chancellor for Academic Affairs and Innovation, UMR	Jeffrey Ratliff-Crain
Vice Chancellor for Academic and Student Affairs, UMC	John Hoffman
Vice President and Chief Information Officer	Bernard Gulachek
Vice President Equity and Diversity	Michael Goh
Vice President for Clinical Affairs and Dean of the Medical School	Jakub Tolar
Vice President Human Resources	Kathryn Brown
Vice President Research	Chris Cramer
Vice President University and Government Relations	Matt Kramer
Vice President University Services	Michael Berthelsen



Senior leader compensation surveys used

Surveys are selected based on the following criteria:

- Professional and timely survey methodology leading to data integrity: Standard definitions, controlled data collection, thorough analysis of market data
- Adequate matches for the majority of our positions
- Comprehensive participant list, including our peer institutions
- Strong knowledge of the higher education industry and consistent presence allowing for year-over-year comparison of results

Data for our 2019 analysis was pulled from the following formal surveys:

- CUPA-HR Administrators in Higher Education Salary Survey
- CUPA-HR Executive Compensation and Benefits in Higher Education

Data sources considered that did not meet the criteria above: Chronicle of Higher Education, university websites.



Senior leader compensation surveys used

Limitations of this year's data set:

- Total Remuneration, which typically includes retirement and deferred compensation in addition to the standard components of base and incentive compensation, is not available in the CUPA-HR surveys.
- The CUPA-HR Administrators in Higher Education Salary Survey reports only median and average data unless 10 or more institutions reported data. As a result, we often have to report insufficient data for 10th, 25th, 75th and 90th percentiles.

All external market data was aged to 7/1/2020 using an aging assumption of 2.9%. This percentage represents the annual merit pool anticipated by higher education institutions nationally, and all industries locally and nationally, for calendar year 2020. Applying this aging factor to the external survey data represents the best estimate of salaries that will be paid in the market as of 7/1/2020.



Definition of survey terms

To ensure accurate comparisons of University of Minnesota data against the published salary surveys, we arrived at the following definitions of base salary, total cash compensation, and total remuneration. These definitions are consistent with how these terms are defined and reported by the published salary surveys.

Base salary: The annual base salary paid to incumbents.

- Base salaries reported for University of Minnesota senior leaders were taken from the Fall Snapshot (9th payroll of FY2020) and also include augments paid during calendar year 2019.

Total cash compensation: The sum of base salary and bonus/incentive compensation. Does not include housing allowances or other perquisites (such as travel reimbursements, moving expenses, season tickets, etc.).

- Incentives and bonuses reported for University of Minnesota senior leaders reflect payments made in calendar year 2019. Housing allowances for campus presidents or chancellors and perquisites are excluded from total cash.

Total remuneration: The sum of total cash compensation and retirement and deferred compensation.

- Retirement contributions and deferred compensation for University of Minnesota leaders reflect contributions made in calendar year 2019.



Senior leader compensation—overall distribution and findings

- Our stated strategy is to be market competitive for senior leader compensation.
- Our senior leaders are paid, on average, at the 34th percentile of the market for base compensation.

Distribution of Percentile Rankings							% of Leaders with Insufficient Market Data
	Overall Average Percentile Ranking	<25	25-44	45-55	56-74	>75	
Base Salary	34th	41%	18%	9%	16%	2%	14%
Total Cash	36th	30%	11%	11%	9%	5%	34%
Total Remuneration	<i>not reported by CUPA</i>						100%



Senior leader compensation—change in overall distribution and findings

Base Salary		
	2018	2019
Overall Average Percentile Ranking	36th	34th
<25	39%	41%
25-44	23%	18%
45-55	14%	9%
56-74	14%	16%
>75	5%	2%
ISD	7%	14%

Total Cash		
	2018	2019
Overall Average Percentile Ranking	44th	36th
<25	16%	30%
25-44	14%	11%
45-55	14%	11%
56-74	14%	9%
>75	7%	5%
ISD	36%	34%

Overall percentile rankings for base salary are similar to 2018. Total cash compensation dropped slightly from 2018 to 2019, possibly due to the addition of new leaders which tend to be paid lower in relation to the market.



Senior leader compensation—findings by individual leader

U of MN Working Title	Base Salary from Surveys					Incumbent Data		Total Cash Comp from Surveys					Incumbent Data		Base + Incentive + Retirement + Deferred					Incumbent Data	
	10th%	25th%	50th%	75th%	90th%	Base	Percentile Ranking	10th%	25th%	50th%	75th%	90th%	Total Cash	Percentile Ranking	10th%	25th%	50th%	75th%	90th%	Base+ Incent+ Retire + Deferred	Percentile Ranking
Associate Vice President & Dean International Programs	\$105.0	\$157.2	\$206.7	\$266.1	\$303.3	\$240.9	64.4	ISD	ISD	ISD	ISD	ISD	\$240.9	ISD	ISD	ISD	ISD	ISD	ISD	\$272.3	ISD
Chancellor Crookston	\$249.5	\$255.3	\$275.0	\$291.9	\$309.6	\$260.7	31.9	ISD	ISD	ISD	ISD	ISD	\$260.7	ISD	ISD	ISD	ISD	ISD	ISD	\$286.8	ISD
Chancellor Duluth	\$336.9	\$366.7	\$396.4	\$426.2	\$483.4	\$311.0	<10th	ISD	ISD	ISD	ISD	ISD	\$311.0	ISD	ISD	ISD	ISD	ISD	ISD	\$377.4	ISD
Chancellor Morris	\$345.8	\$362.2	\$371.4	\$437.7	\$494.0	\$260.7	<10th	ISD	ISD	ISD	ISD	ISD	\$260.7	ISD	ISD	ISD	ISD	ISD	ISD	\$286.8	ISD
Chancellor Rochester	ISD	ISD	ISD	ISD	ISD	\$255.6	ISD	ISD	ISD	ISD	ISD	ISD	\$255.6	ISD	ISD	ISD	ISD	ISD	ISD	\$281.2	ISD
Chief Auditor	\$158.9	\$189.0	\$205.7	\$230.4	\$280.9	\$221.9	66.4	\$160.3	\$193.6	\$204.0	\$241.7	\$283.2	\$221.9	61.8	ISD	ISD	ISD	ISD	ISD	\$250.7	ISD
Dean and Vice Provost Graduate Education	\$223.9	\$244.6	\$280.2	\$305.9	\$351.8	\$244.1	24.6	\$224.0	\$250.2	\$280.2	\$307.4	\$347.3	\$244.1	21.5	ISD	ISD	ISD	ISD	ISD	\$275.8	ISD
Dean and Vice Provost Undergraduate Education	\$193.4	\$227.2	\$244.4	\$275.6	\$307.2	\$255.1	58.5	\$204.2	\$233.1	\$251.7	\$286.3	\$336.0	\$255.1	52.4	ISD	ISD	ISD	ISD	ISD	\$288.2	ISD
Dean, Carlson School of Management	\$393.6	\$427.1	\$456.3	\$512.3	\$556.1	\$572.6	>90th	\$406.0	\$428.6	\$456.3	\$521.0	\$555.6	\$572.6	>90th	ISD	ISD	ISD	ISD	ISD	\$609.0	ISD
Dean, College of Biological Sciences	ISD	ISD	ISD	ISD	ISD	\$284.8	ISD	ISD	ISD	ISD	ISD	ISD	\$284.8	ISD	ISD	ISD	ISD	ISD	ISD	\$312.8	ISD

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U of MN Working Title	Base Salary from Surveys					Incumbent Data		Total Cash Comp from Surveys					Incumbent Data		Base + Incentive + Retirement + Deferred					Incumbent Data	
	10th%	25th%	50th%	75th%	90th%	Base	Percentile Ranking	10th%	25th%	50th%	75th%	90th%	Total Cash	Percentile Ranking	10th%	25th%	50th%	75th%	90th%	Base+ Incent+ Retire + Deferred	Percentile Ranking
Dean, College of Design	\$234.0	\$252.0	\$292.4	\$321.2	\$337.6	\$251.5	24.6	\$230.7	\$247.2	\$293.4	\$321.7	\$336.8	\$251.5	27.4	ISD	ISD	ISD	ISD	ISD	\$276.7	ISD
Dean, College of Education and Human Development	\$236.2	\$272.1	\$304.2	\$328.5	\$358.1	\$277.6	29.2	\$236.6	\$272.5	\$307.0	\$326.2	\$352.2	\$277.6	28.7	ISD	ISD	ISD	ISD	ISD	\$313.6	ISD
Dean, College of Liberal Arts	\$275.0	\$317.7	\$362.1	\$380.8	\$398.0	\$296.0	17.4	\$237.6	\$315.7	\$361.1	\$389.0	\$410.1	\$296.0	21.2	ISD	ISD	ISD	ISD	ISD	\$324.0	ISD
Dean, College of Science and Engineering	\$347.7	\$370.1	\$394.2	\$421.7	\$473.3	\$389.9	45.5	\$359.5	\$373.4	\$393.9	\$420.0	\$445.0	\$389.9	45.1	ISD	ISD	ISD	ISD	ISD	\$426.3	ISD
Dean, Hubert H. Humphrey School of Public Affairs	\$288.7	\$314.4	\$326.7	\$374.5	\$398.7	\$251.5	<10th	\$269.5	ISD	\$321.1	ISD	\$415.3	\$251.5	<10th	ISD	ISD	ISD	ISD	ISD	\$284.2	ISD
Dean, Law School	\$324.3	\$352.6	\$393.5	\$435.0	\$483.2	\$390.6	48.2	\$340.6	\$354.2	\$400.6	\$450.6	\$503.5	\$390.6	44.6	ISD	ISD	ISD	ISD	ISD	\$418.6	ISD
Dean, School of Dentistry	\$333.6	\$349.0	\$389.4	\$445.1	\$548.1	\$327.2	<10th	\$334.1	\$352.1	\$396.9	\$455.1	\$543.9	\$327.2	<10th	ISD	ISD	ISD	ISD	ISD	\$363.6	ISD
Dean, School of Nursing	\$279.0	\$340.9	\$365.7	\$393.8	\$437.6	\$339.1	24.6	\$287.9	\$346.5	\$369.8	\$405.0	\$446.3	\$339.1	23.1	ISD	ISD	ISD	ISD	ISD	\$375.5	ISD
Dean, School of Pharmacy	\$274.6	\$324.8	\$358.4	\$385.3	\$423.9	\$313.7	21.7	\$277.1	\$322.0	\$357.4	\$382.5	\$453.8	\$313.7	22.2	ISD	ISD	ISD	ISD	ISD	\$341.7	ISD
Dean, School of Public Health	\$334.1	\$350.3	\$378.4	\$417.2	\$459.2	\$368.1	40.9	\$332.8	\$344.1	\$363.9	\$406.7	\$463.5	\$368.1	52.5	ISD	ISD	ISD	ISD	ISD	\$404.5	ISD
Dean, School of Veterinary Medicine	\$285.4	\$324.9	\$337.8	\$370.7	\$397.9	\$240.1	<10th	\$299.4	ISD	\$327.4	ISD	\$369.9	\$240.1	<10th	ISD	ISD	ISD	ISD	ISD	\$271.3	ISD
Dean, UMD College of Education and Human Service Professions	ISD	ISD	ISD	ISD	ISD	\$154.0	ISD	ISD	ISD	ISD	ISD	ISD	\$154.0	ISD	ISD	ISD	ISD	ISD	ISD	\$169.4	ISD
Dean, UMD College of Fine Arts	ISD	ISD	ISD	ISD	ISD	\$147.4	ISD	ISD	ISD	ISD	ISD	ISD	\$147.4	ISD	ISD	ISD	ISD	ISD	ISD	\$162.2	ISD
Dean, UMD College of Liberal Arts	\$188.3	\$196.0	\$239.9	\$241.0	\$248.3	\$161.1	<10th	ISD	ISD	ISD	ISD	ISD	\$161.1	ISD	ISD	ISD	ISD	ISD	ISD	\$182.1	ISD
Dean, UMD Labovitz School of Business and Economics	\$204.3	\$208.7	\$233.1	\$290.8	\$324.8	\$229.3	46.1	ISD	ISD	ISD	ISD	ISD	\$229.3	ISD	ISD	ISD	ISD	ISD	ISD	\$252.2	ISD

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U of MN Working Title	Base Salary from Surveys					Incumbent Data		Total Cash Comp from Surveys					Incumbent Data		Base + Incentive + Retirement + Deferred					Incumbent Data	
	10th%	25th%	50th%	75th%	90th%	Base	Percentile Ranking	10th%	25th%	50th%	75th%	90th%	Total Cash	Percentile Ranking	10th%	25th%	50th%	75th%	90th%	Base+ Incent+ Retire + Deferred	Percentile Ranking
Dean, UMD Swenson College of Science and Engineering	\$200.9	\$209.5	\$230.6	\$261.3	\$273.3	\$219.9	37.4	ISD	ISD	ISD	ISD	ISD	\$219.9	ISD	ISD	ISD	ISD	ISD	ISD	\$241.9	ISD
Dean, University Extension	ISD	ISD	ISD	ISD	ISD	\$258.2	ISD	ISD	ISD	ISD	ISD	ISD	\$258.2	ISD	ISD	ISD	ISD	ISD	ISD	\$291.7	ISD
Dean, College of Food, Agricultural & Natural Resource Sciences	\$291.6	\$312.0	\$349.7	\$364.2	\$402.5	\$284.4	<10th	\$313.6	ISD	\$356.3	ISD	\$427.1	\$284.4	<10th	ISD	ISD	ISD	ISD	ISD	\$320.8	ISD
Division 1 Director of Intercollegiate Athletics - Big 10 Comparison (2)	\$586.9	\$654.6	\$718.7	\$1,048.3	\$1,133.2	\$886.5	62.7	\$556.5	ISD	\$717.9	ISD	\$1,163.4	\$1,031.5	78.2	ISD	ISD	ISD	ISD	ISD	\$1,059.5	ISD
Executive Vice Chancellor for Academic Affairs, UMD	\$213.9	\$249.7	\$266.7	\$303.4	\$346.4	\$244.4	22.8	ISD	ISD	ISD	ISD	ISD	\$244.4	ISD	ISD	ISD	ISD	ISD	ISD	\$268.8	ISD
Executive Vice President & Provost	\$378.4	\$431.3	\$470.8	\$511.4	\$558.0	\$468.3	48.4	\$366.5	\$418.1	\$461.7	\$503.6	\$576.4	\$468.3	54.0	ISD	ISD	ISD	ISD	ISD	\$496.3	ISD
General Counsel	\$219.8	\$295.5	\$330.0	\$428.8	\$502.5	\$367.2	59.4	\$209.0	\$286.1	\$318.4	\$423.3	\$491.2	\$367.2	61.6	ISD	ISD	ISD	ISD	ISD	\$395.2	ISD
President (1)	\$608.3	\$701.0	\$804.4	\$859.2	\$879.8	\$640.0	15.1	\$575.0	\$683.4	\$810.5	\$859.0	\$1,097.3	\$640.0	19.0	ISD	ISD	ISD	ISD	ISD	\$668.0	ISD
Senior Vice President Finance & Operations	\$338.0	\$354.7	\$407.8	\$466.4	\$557.6	\$432.2	60.4	\$335.0	\$348.7	\$407.4	\$468.1	\$558.0	\$432.2	60.2	ISD	ISD	ISD	ISD	ISD	\$480.2	ISD
University Librarian and Dean of Libraries	\$203.9	\$230.9	\$251.7	\$284.6	\$309.7	\$259.8	56.1	\$208.3	\$233.3	\$256.0	\$295.3	\$322.7	\$259.8	52.4	ISD	ISD	ISD	ISD	ISD	\$293.5	ISD
Vice Chancellor for Academic Affairs and Innovation, UMR	ISD	ISD	ISD	ISD	ISD	\$172.8	ISD	ISD	ISD	ISD	ISD	ISD	\$172.8	ISD	ISD	ISD	ISD	ISD	ISD	\$195.3	ISD
Vice Chancellor for Academic and Student Affairs, UMC	\$169.7	\$176.0	\$192.2	\$202.2	\$205.7	\$184.5	38.1	ISD	ISD	ISD	ISD	ISD	\$184.5	ISD	ISD	ISD	ISD	ISD	ISD	\$203.0	ISD
Vice President and Chief Information Officer	\$288.1	\$311.3	\$334.3	\$360.7	\$389.1	\$279.2	<10th	\$294.2	\$312.0	\$344.8	\$365.6	\$420.0	\$279.2	<10th	ISD	ISD	ISD	ISD	ISD	\$315.5	ISD
Vice President for Clinical Affairs & Dean of the Medical School	\$467.2	\$623.7	\$769.8	\$879.9	\$940.5	\$730.1	43.2	\$316.6	\$404.5	\$653.3	\$884.3	\$978.9	\$730.1	58.3	ISD	ISD	ISD	ISD	ISD	\$816.5	ISD

(Continued on next page)



U of MN Working Title	Base Salary from Surveys					Incumbent Data		Total Cash Comp from Surveys					Incumbent Data		Base + Incentive + Retirement + Deferred					Incumbent Data	
	10th%	25th%	50th%	75th%	90th%	Base	Percentile Ranking	10th%	25th%	50th%	75th%	90th%	Total Cash	Percentile Ranking	10th%	25th%	50th%	75th%	90th%	Base+ Incent+ Retire + Deferred	Percentile Ranking
Vice President for Equity & Diversity	\$199.1	\$228.4	\$254.8	\$294.4	\$343.0	\$245.4	41.1	\$193.9	\$232.3	\$254.9	\$302.4	\$340.6	\$245.4	39.5	ISD	ISD	ISD	ISD	ISD	\$277.3	ISD
Vice President Human Resources	\$215.0	\$222.7	\$280.3	\$316.6	\$363.1	\$252.0	37.7	\$216.3	\$223.6	\$290.3	\$354.0	\$392.8	\$252.0	35.7	ISD	ISD	ISD	ISD	ISD	\$284.8	ISD
Vice President Research	\$331.2	\$352.1	\$377.8	\$423.1	\$443.5	\$350.3	23.7	\$336.6	\$356.0	\$384.7	\$416.6	\$438.3	\$350.3	20.6	ISD	ISD	ISD	ISD	ISD	\$386.7	ISD
Vice President University and Government Relations	\$273.3	\$307.8	\$333.1	\$370.0	\$375.7	\$235.8	<10th	\$266.6	ISD	\$307.8	ISD	\$376.2	\$235.8	<10th	ISD	ISD	ISD	ISD	ISD	\$266.5	ISD
Vice President University Services	\$241.9	\$311.3	\$361.0	\$385.5	\$426.3	\$282.4	18.8	\$241.9	\$311.3	\$361.0	\$385.5	\$426.3	\$282.4	18.8	ISD	ISD	ISD	ISD	ISD	\$318.8	ISD
Dean, College of Continuing and Professional Studies	\$232.2	\$241.1	\$264.9	\$302.8	\$344.3	N/A	N/A	\$232.2	ISD	\$257.2	ISD	\$356.2	N/A	N/A	ISD	ISD	ISD	ISD	ISD	N/A	N/A
Dean of Students and Vice Provost Student Affairs	\$223.8	\$276.6	\$307.5	\$332.9	\$368.7	N/A	N/A	\$233.3	\$274.6	\$297.6	\$325.1	\$366.0	N/A	N/A	ISD	ISD	ISD	ISD	ISD	N/A	N/A
Vice Chancellor for Academic Affairs and Dean, UMM	\$161.9	\$180.9	\$209.6	\$236.4	\$252.8	N/A	N/A	ISD	ISD	ISD	ISD	ISD	N/A	N/A	ISD	ISD	ISD	ISD	ISD	N/A	N/A

Notes:

Refer to the Appendix for the list of universities used for benchmark data.

ISD indicates when insufficient data existed within the salary surveys.

For the purpose of calculating summary data, when percentile ranking was <10, 10% was used, and when it was >90, 90 was used.

Incumbent data is not displayed for interim roles, which are highlighted in grey.

(1) Eligible for 415(m) supplemental retirement contribution as noted below:

- \$150,000 on 6/30/2020
- \$150,000 on 6/30/2021
- \$155,000 on 6/30/2022
- \$160,000 on 6/30/2023
- \$165,000 on 6/30/2024

(2) Eligible for 415(m) supplemental retirement contribution as noted below:

- \$400,000 415(m) contribution on 6/30/2020
- \$100,000 415(m) contribution 6/30/2021

Appendix



Guiding principles used by the Office of Institutional Research for selection of peer institutions

- The list of peer institutions should remain very stable from year to year.
 - Consistency in peer selection demonstrates that we have carefully identified the market for our senior leader positions. This leads to reliability, validity, and transparency of our percentile rankings.
- The number of peer institutions should be large enough to ensure an adequate sampling of salaries in the marketplace.
- Each campus can have its own unique peer group.
- The same list of peer institutions should be used for the majority of senior leader positions on the Twin Cities campus, with exceptions being made for only one or two roles at most.
 - This will lead to greater equity, less volatility, and less administrative burden.



Peer institutions used for Twin Cities senior leader benchmarking

The peer institutions identified by the Office of Institutional Research for the UMN Twin Cities campus are listed on the next page and include:

Public U.S. universities that are members of the Association of American Universities (AAU)

The AAU is composed of 62 leading public and private research universities in the United States and Canada. Membership in AAU is by invitation and is based on the high quality of programs of academic research and scholarship and education in a number of fields, as well as general recognition that a university is outstanding by reason of the excellence of its research and educational programs. Currently there are 62 schools in this organization, including both public and private universities. We choose not to compare ourselves to private universities within the AAU as this would include Ivy League schools for which the market is much more competitive for top talent. We also removed Canadian universities as comparisons. That leaves the 33 public universities that are AAU members to which we will be comparing senior leader compensation.

Big 10 institutions (13 comparison universities)

Twelve of the Big 10 schools are already included in the list of public AAU institutions. Northwestern and the University of Nebraska are the other members of the Big 10 that could be considered for comparison. Northwestern is a private university, so it is not included in the comparison list. Since the University of Nebraska is a public Big 10 school that is not part of the AAU, we decided to include it in our list of peer institutions. This brings our total of comparison universities to 34.

A fair number of the UMTC peer institutions are of similar mission, Carnegie classification, and size. These organizations are noted on the chart with the code "UMTC."



Peer institutions used for Twin Cities senior leader benchmarking

Institution	Peer Comparison Groups
University of Arizona	AAU
University of California-Davis	AAU
University of California-Irvine	AAU
University of California-San Diego	AAU
University of California-Santa Barbara	AAU
University of Colorado-Boulder	AAU
Georgia Institute of Technology	AAU
Iowa State University	AAU
University of Kansas	AAU
University of Missouri-Columbia	AAU
State University of New York-Buffalo	AAU
State University of New York-Stony Brook	AAU
University of North Carolina-Chapel Hill	AAU
University of Oregon	AAU
University of Pittsburgh-Main Campus	AAU
Texas A&M University	AAU
University of Virginia	AAU

Institution	Peer Comparison Groups
University of California-Berkeley	AAU, UMTC
University of California-Los Angeles	AAU, UMTC
University of Florida	AAU, UMTC
University of Texas-Austin	AAU, UMTC
University of Washington-Seattle Campus	AAU, UMTC
University of Illinois at Urbana-Champaign	AAU, Big 10, UMTC
University of Michigan-Ann Arbor	AAU, Big 10, UMTC
Ohio State University-Main Campus	AAU, Big 10, UMTC
Pennsylvania State University-Main Campus	AAU, Big 10, UMTC
University of Wisconsin-Madison	AAU, Big 10, UMTC
Indiana University-Bloomington	AAU, Big 10
University of Iowa	AAU, Big 10
University of Maryland-College Park	AAU, Big 10
Michigan State University	AAU, Big 10
Rutgers University-New Brunswick	AAU, Big 10
Purdue University-Main Campus	AAU, Big 10
University of Nebraska-Lincoln	Big 10



Peer institutions used for Crookston senior leader benchmarking

Institution	Peer Comparison Groups
Indiana University-Kokomo	True Peer
Lake Superior State University	True Peer
Northern State University - South Dakota	True Peer
Northwest Missouri State University	True Peer
Northwestern Oklahoma State University	True Peer
Southwest Minnesota State University	True Peer
SUNY College of Agriculture and Technology at Cobleskill	True Peer
The University of Tennessee-Martin	True Peer
University of Pittsburgh-Johnstown	True Peer
University of Wisconsin-Platteville	True Peer
University of Wisconsin-River Falls	True Peer



Peer institutions used for Duluth senior leader benchmarking

Institution	Peer Comparison Groups
California Polytechnic State University-San Luis Obispo	True peer
Southern Illinois University Edwardsville	True peer
University of Northern Iowa	True peer
University of Massachusetts-Dartmouth	True peer
University of Michigan-Dearborn	True peer
Western Michigan University	True peer
Minnesota State University-Mankato	True peer
University of North Carolina at Charlotte	True peer
College of Charleston	True peer
South Dakota State University	True peer
Western Washington University	True peer



Peer institutions used for Morris senior leader benchmarking

The 15 institutions identified by the Office of Institutional Research and Morris leadership as Morris peer institutions are featured on the next page. In identifying its peers list, UMM designated schools as “true peers” if they had very similar profiles to UMM (size, programming, etc.) or “aspirational peers” if they had profiles and characteristics to which Morris aspires to be in direct comparison in the future. This list includes:

- Five institutions that are part of the Council of Public Liberal Arts Colleges (COPLAC) and are true peers to Morris.
- Five institutions that are not part of the Council of Public Liberal Arts Colleges (COPLAC) but are currently true peers to Morris.
- Five institutions that are aspirational peers.



Peer institutions used for Morris senior leader benchmarking

Institution	Peer Comparison Groups
University of Maine-Farmington	True peer, COPLAC
St. Mary's College of Maryland	True peer, COPLAC
Massachusetts College of Liberal Arts	True peer, COPLAC
University of North Carolina-Asheville	True peer, COPLAC
University of Virginia's College at Wise	True peer, COPLAC
Coe College	True peer
Albion College	True peer
Concordia College-Moorhead	True peer
SUNY at Purchase College	True peer
Lycoming College	True peer
DePauw University	Aspirational peer
Kalamazoo College	Aspirational peer
Gustavus Adolphus College	Aspirational peer
Macalester College	Aspirational peer
St. Olaf College	Aspirational peer



Peer institutions used for Rochester senior leader benchmarking

- Rochester is a young and unique campus, which has made it difficult to identify true peers.
- A preliminary set of comparison institutions is in development but was not yet available for this analysis.
- Rochester senior leader salaries are outlined within this annual report but without percentile ranking statistics.





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Crookston Duluth Morris Rochester Twin Cities

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Workforce and Total Compensation: Annual Report and Related Topics

Kenneth E. Horstman, Interim Vice President, Office of Human Resources

June 11, 2020



Office of Human Resources

UNIVERSITY OF MINNESOTA

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Board of Regents Policy: *Employee Compensation and Recognition*

“... a **compensation structure** that when combined with other rewards is **competitive** with institutional peers and other appropriate labor markets and serves to **attract and retain** a **high-performance workforce**.”



How do we know if we are competitive?

1. Define the market

We define market as the types of organizations and geographic location from which we recruit people and to which we lose employees

2. Benchmark against the market

Employee Group	Geographic Area	Types of Organizations
Civil Service and P&A	Twin Cities	All employers
Faculty	National	Higher education peer institutions
Senior Leaders	National	Higher education peer institutions



Compensation Analysis

- The following charts highlight pay findings for Civil Service, P&A, Faculty, and Senior Leaders.
- Compensation for Labor Represented employees are excluded from this analysis as they are achieved via collective bargaining.



Compa ratios assess pay against market

- The compa ratio measures how an individual's salary, or a group of salaries, compares to the market median
- Calculated by taking an employee's actual salary divided by the salary range midpoint
 - Example: \$65,000 salary/\$60,000 midpoint = 1.08. The person is paid 8% higher than market median

Compa Ratio	Relation to Market Median
1.0	Exactly matches market
0.8	20% behind the market
1.2	20% ahead of market

- It is important to note that a job family can have a healthy overall compa ratio but have levels within it that are not paid to market. Likewise, a level within a job family can have a healthy overall compa ratio but have jobs or people in that level who are not paid appropriately to market.



Compa ratios are driven by performance

- To know if a compa ratio is competitive, you need to know the skills and experience of the employee group.
- Degree of job mastery is what warrants higher or lower payment relative to market

Learner	Mastery	Exceptional
<ul style="list-style-type: none"> • Bottom 1/3 of the salary range • Employees: <ul style="list-style-type: none"> • Are learning job duties and technical skills • Have not yet mastered the job duties or possess the technical skills needed to complete the job 	<ul style="list-style-type: none"> • Area just below and at the midpoint • Employees: <ul style="list-style-type: none"> • Have mastered all the technical skills and are competent performers • Understand what is needed of them • Carry out their position with little or no guidance. 	<ul style="list-style-type: none"> • Area above the midpoint of the range • Employees: <ul style="list-style-type: none"> • Meet all the criteria for full mastery • Consistently go above and beyond the expected standards • Consistently display desired behaviors • Exceptional performers
<p>Most employees in an academic or administrative unit</p>	<p>Typically reserved for a very few top performers</p>	
<p>Minimum ————— Midpoint ————— Maximum</p>		



Civil Service and P&A salaries compared to market median

- The following eight job families (out of 20) have been refined to include up-to-date market data.
- We use over 35 published salary surveys to identify what each of these markets are paying for salaries.

Job Family	Average Compa Ratio	% to Market Median
Athletics	0.88	-12%
Audit	0.92	-8%
Campus Operations	0.89	-11%
Finance	0.88	-12%
Grants & Contracts	0.90	-10%
Human Resources	0.91	-9%
Legal	0.92	-8%
Recreation	0.89	-11%
Overall Average	0.89	-11%



Compa Ratio Distribution

University salaries compare to market for these eight job families combined:

Salary Compared to Market Median	Number of Employees	Percent of Employees	
> 20% +	8	.5%	8.5% above market
16%–20% +	23	1.3%	
11%–15% +	50	3.0%	
6%–10% +	63	3.7%	
1%–5% +	123	7.3%	18.3% at market
4%–0% -/=	186	11.0%	
9%–5% -	251	14.9%	73.2% below market
14%–10% -	286	17.0%	
19%–15% -	308	18.3%	
>20% -	388	23.0%	
Total	1,686		



Senior Leader Compensation: Overall distribution and findings

- Our stated strategy for senior leader pay is to be market competitive.
- Our senior leaders are paid, on average, at the 34th percentile of the market for base compensation.

	Overall Average Percentile Ranking	Distribution of Percentile Rankings					% of Leaders with Insufficient Market Data
		<25	25-44	45-55	56-74	>75	
Base Salary	34th	41%	18%	9%	16%	2%	14%
Total Cash	36th	30%	11%	11%	9%	5%	34%
Total Remuneration	<i>not reported by CUPA</i>						100%



Faculty Compensation

- As a result of data collected by the American Association of University Professors (AAUP), we are able to see how we are paying our entire faculty population relative to our peer institutions.
- A limit within this data is that comparisons are based on overall faculty salaries rather than by academic area of study.

Campus	U of M Average Faculty Salary	Peer Institutions' Average Faculty Salary	Compa Ratio
Twin Cities	\$118.6	\$123.3	.96
Duluth	\$80.3	\$94.0	.85
Morris	\$71.1	\$73.3	.97
Crookston	\$76.1	\$70.9	1.07
Rochester	Excluded from analysis due to unspecified peer institutions		



Why HR Analytics?

- HR Analytics delivers the ability to leverage our rich workforce data in providing critical understanding and ongoing learning, allowing the University to make informed data-based decisions about people.
- HR Analytics could be used to support:
 - Identification of the best applicant pools
 - Improved recruiting effectiveness
 - Increased satisfaction of new hires
 - Increased retention of the best employees
 - Increased productivity in workforce planning
 - Identification of efficiency opportunities



Executive Dashboard View

Home / UMN HR Executive Dashboard / UMN HR Executive Leadership Dashboard ☆

Home → Redo ← Revert Refresh Pause

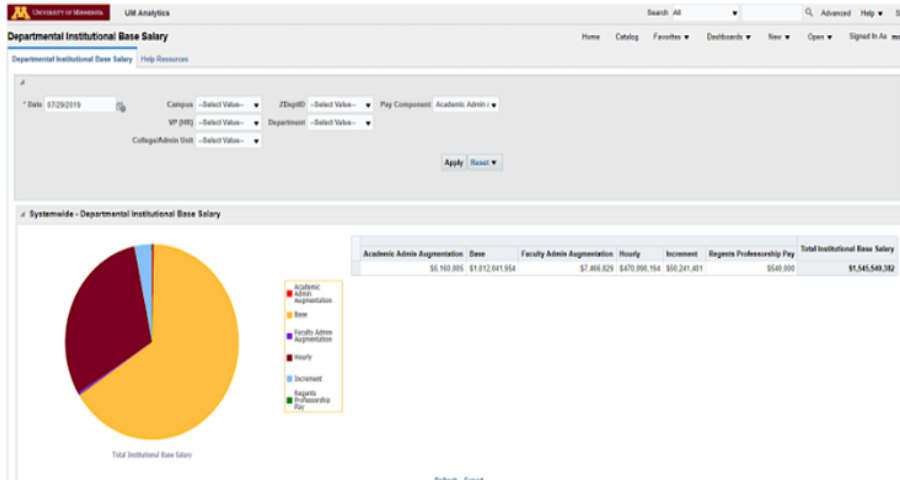
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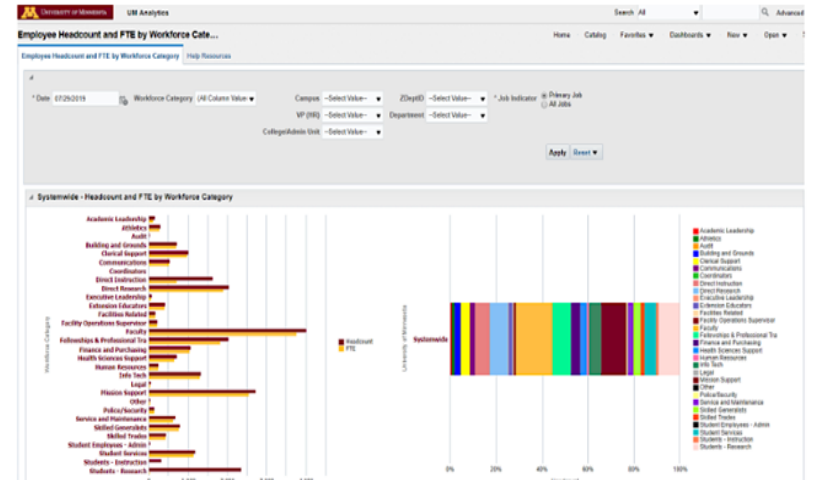
[Download the Help Document](#)

University of Minnesota HR Executive Leadership Dashboard

Departmental Institutional Base Salary Dashboard



Employee Headcount and FTE by Workforce Category Dashboard



Sample Question: *Where are there opportunities in my unit's largest expense item, employee compensation, at the Unit or Department level?*

UM Analytics

Search All [Advanced](#) [Help](#) [Sign](#)

Departmental IBS by Workforce Category

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Departmental IBS by Workforce Category
[Help Resources](#)

* Date: Campus: ZDeptID: Workforce Category:

VP (HR): Department: Pay Component:

College/Admin Unit:

[Apply](#) [Reset](#)

- ▶ Systemwide - Departmental Institutional Base Salary by Workforce Category
- ▶ Campus - Departmental Institutional Base Salary by Workforce Category
- ▶ VP (HR) - Departmental Institutional Base Salary by Workforce Category
- ▲ College/Admin Unit - Departmental Institutional Base Salary by Workforce Category

College/Admin Unit TCLA - LIBERAL ARTS, COLLEGE OF

Workforce Category	Total Institutional Base Salary (Approx.)
Academic Leadership	\$1,000,000
Clerical Support	\$500,000
Communications	\$500,000
Direct Instruction	\$1,000,000
Direct Research	\$500,000
Extension Educators	\$500,000
Facilities Related	\$500,000
Faculty Operations Supervisor	\$500,000
Faculty	\$60,000,000
Fellowships & Professional Tra	\$500,000
Finance and Purchasing	\$500,000
Health Sciences Support	\$500,000
Human Resources	\$500,000
Info Tech	\$500,000
Mission Support	\$500,000
Other	\$500,000
Skilled Generalists	\$500,000
Student Services	\$500,000
Students - Instruction	\$500,000
Students - Research	\$500,000

Academic

Admin Augmentation

Base

Faculty Admin Augmentation

Hourly

Regents Professorship Pay

College/Admin Unit	Workforce Category	Academic Admin Augmentation	Base	Faculty Admin Augmentation	Hourly	Regents Professorship Pay	Total Institutional Base Salary
Grand Total		\$168,783	\$86,983,863	\$893,298	\$15,872,198	\$140,000	\$104,058,142
TCLA - LIBERAL ARTS, COLLEGE OF	Academic Leadership	\$168,783	\$533,053				\$702,736
	Clerical Support		\$204,500		\$2,795,008		\$3,000,168
	Communications		\$928,512		\$582,672		\$1,611,183
	Direct Instruction		\$9,076,009		\$8,093		\$9,985,001
	Direct Research	\$1,167,808			\$456,617		\$1,624,425
	Extension Educators		\$265,109				\$265,109
	Facilities Related		\$82,977		\$107,328		\$190,305
	Faculty Operations Supervisor		\$83,845				\$83,845
	Faculty	\$57,304,235		\$893,298		\$140,000	\$58,397,532
	Fellowships & Professional Tra		\$875,151				\$875,151
	Finance and Purchasing		\$600,284		\$2,084,410		\$2,684,694
	Health Sciences Support		\$495,680				\$495,680
	Human Resources		\$455,510		\$273,187		\$728,697
	Info Tech		\$2,519,955		\$629,091		\$3,149,056
	Mission Support		\$3,144,388		\$3,080,002		\$6,224,390
	Other		\$87,000		\$313,914		\$380,914
	Skilled Generalists		\$2,118,858		\$1,082,104		\$3,200,962
	Student Services		\$6,019,121		\$983,185		\$7,002,305
	Students - Instruction				\$3,062,745		\$3,062,745
	Students - Research				\$313,243		\$313,243

Refresh - Export

Refresh - Export

HR Analytics: Future Includes AI

- This future focus moves the University into the realm of Artificial Intelligence (AI). This enables the possibility of data supported decision-making to solve workforce planning issues, such as:
 - Predict the risk of exit
 - Determine the quality of a hire
 - Determine top talent characteristics for specific roles
 - Determine career paths to fill future needs
 - Proactively manage future compliance needs





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BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

June 11, 2020

AGENDA ITEM: Consent Report

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

PRESENTERS: Brian Burnett, Senior Vice President

PURPOSE & KEY POINTS

General Contingency

The purpose of this item is to seek approval for allocations from General Contingency greater than \$250,000. There are no items requiring approval this period.

Purchase of Goods and Services \$1,000,000 and Over

The purpose of this item is to seek approval for purchases of goods and services of \$1,000,000 and over.

- To Fraboni Wholesale and Sausage Co., Sysco Minnesota, Twin Ports Paper and Supply, Inc., Upper Lakes Foods, Inc., and US Foodservice-Minnesota for \$19,000,000 for the purchase of food, paper, and ancillary products for University of Minnesota Duluth (UMD) Dining Services for the period July 1, 2020, through June 30, 2025. Food, paper, and ancillary items are funded by the department at the time of purchase. Fraboni Wholesale and Sausage Co., Sysco Minnesota, Twin Ports Paper and Supply, Inc., Upper Lakes Foods, Inc., and US Foodservice-Minnesota were selected as the result of a competitive Request for Proposal (RFP) process conducted by Purchasing Services. All five suppliers who responded to the RFP were awarded contracts.
- To Max Gray Construction and Johnson Wilson Constructors of Duluth for an estimated \$2,000,000 for construction services including labor, materials, and equipment for University of Minnesota Duluth Facilities Management (UMD FM) for the period of June 15, 2020 through June 14, 2021 with optional contract extensions through June 14, 2023 for an additional \$4,000,000. Total contract value, if all options are exercised, would be \$6,000,000. The source of funding for the projects program will be paid for via campus departments, R&R budgeted projects, and UMD administrative divisions requesting a small project or renovation at the time a project is initialized. Max Gray Construction and Johnson Wilson Constructors of Duluth were selected as the result of a competitive Request for Proposal (RFP) where 4 companies responded. These suppliers were rated highest in project staffing, labor costs, and delivery of projects both on campus and in the surrounding area of Northeastern Minnesota. Max Gray Construction and Johnson Wilson Constructors

of Duluth were selected as the result of a competitive Request for Proposal (RFP) process conducted by Purchasing Services. Four suppliers responded to the RFP.

Appointments to the Board of Trustees of the Minnesota Landscape Arboretum Foundation

The purpose of this item is to seek approval of three reappointments to the Board of Trustees of the Minnesota Landscape Arboretum Foundation:

- Brian Buhr
- Ron Olson
- Jenny Verner

Additional information is available in the docket.

Engagement of Credit/Liquidity Provider

The purpose of this item is to seek approval of engaging U.S. Bank N.A., St. Paul, MN, to provide a revolving line of credit (LOC) of up to \$150 million for a 364-day period, to be used for general University operating purposes and as liquidity support for University indebtedness.

U.S. Bank, N.A., is being proposed among the three banks offering their services due to its:

- current and past financial relationships with the University;
- excellent credit ratings; and
- reasonable proposed contract terms.

The annual commitment fee for maintaining the LOC is estimated to be approximately \$225,000. Any borrowing against the LOC would be at a rate that is reset monthly as a spread over the one month LIBOR rate.

Due to the unknown financial consequences that may result from the COVID-19 pandemic, the University is proposing to acquire a revolving LOC that would provide additional resources should the need arise. If the University should need to draw on this LOC, the result would be additional debt owed by the University to an external party.

The LOC proposal has been designated for use for “general corporate purposes.” This provides significant flexibility to the University to utilize any loan proceeds for capital purchases, working capital support, commercial paper (CP) remarketing liquidity backstop, or supporting other operational needs of affiliated entities.

Current outstanding CP totals \$263,095,000 with a planned paydown of outstanding balances of \$28,800,000 on July 1, 2020. On May 15, 2020, the TIP balances are approximately \$1,128,110,000, a decrease of \$120,095,000 from the \$1,248,205,000 as of March 31, 2020.

In addition to U.S. Bank, discussions were also held with Wells Fargo Bank, N.A., and JPMorgan Bank, regarding the provision of a revolving line of credit, and associated terms.

Principles for Allocating Federal CARES Act Institutional Funds

The purpose of this item is to seek review and action of principles for allocating Federal Coronavirus Aid, Relief, and Economic Security (CARES) Act institutional funds. The University was notified by the U.S. Department of Education that financial assistance under the enacted CARES Act (P.L. 116-136) is available to students and the University's campuses based on a formula applied uniformly across the country to both public and private institutions.

At the May meeting, the Board approved principles by which the student aid share of the CARES Act funding was to be awarded. The remainder of the CARES Act funding is available to the campuses to assist in institutional financial impacts of COVID-19 as described in the presentation to the Board on April 7, 2020.

These impacts can and may include the balances affected by the refund and credit plan, cost increases and expenses in maintaining employee and student workforces over the course of the spring semester, and other expenses allowed under federal law and guidance.

The President recommends that the following principles apply to the use of these one-time federal institutional aid funds:

1. Compliance with Department of Education regulations and guidance:
 - a. Cover costs associated with the systemwide comprehensive student fee refund plan approved at the April 7 special meeting of the Board (housing and residential life, voluntary meal plans, parking fees, recreation and wellness fee, student services fees, and transportation and safety fees).
 - b. Cost increases and expenses related to maintaining employee and student workforces over the course of the spring semester.
2. Conduct in-depth analyses with units across the University over the remaining period of FY 2020 to determine where there are significant COVID-19 related budgetary impacts, looking for revenue losses and expenditure increases compared to budget, ultimately finding and defining the shortfalls.
3. For each of those shortfalls, deploy the 7-step analysis to identify resource solutions:
 - a. Relevant external reimbursements for particular activities;
 - b. "Natural" spending reductions due to decreased operations;
 - c. Unit level reserves (planned specifically as a hedge against revenue risk);
 - d. Unit level available balances; and finally
 - e. The three institutional tools available to address the shortfalls: the federal CARES Act funds, available central balances, and finally Central Reserves.
4. Use the federal CARES Act funds to cover non-recurring costs or as bridge funding to revenue recovery.

The total allocations for each campus were set under Section 18004(a)(1) of the CARES Act as follows:

• Crookston	\$ 864,154
• Duluth	7,453,951
• Morris	1,434,554
• Twin Cities, including Rochester	<u>25,794,123</u>
 Total Funding Available	 \$35,546,782

As a reminder, the U.S. Department of Education did not make a separate allocation to the Rochester campus since it shares a U.S. Department of Education federal financial aid school code (OPEID) with the Twin Cities campus.

The President recommends that the emergency institutional aid component (50 percent of the total funding available) be utilized pursuant to a comprehensive plan for each campus that will meet the intent of the CARES Act and the subsequent guidance issued. Development and management of the campus plans will be coordinated by the University Budget Office to ensure consistent application of the four principles defined above. The institutional amounts available by campus under this plan will be:

• Crookston	\$ 432,077
• Duluth	3,726,975
• Morris	717,277
• Rochester	341,805
• Twin Cities	<u>12,555,256</u>
 Total Funding Available	 \$17,773,391

To receive CARES Act Funds, the University agreed to several terms, including the following:

- Funds must be distributed within one year.
- Each campus must certify that it "has continued to pay all of its employees and contractors during the period of any disruptions or closures to the greatest extent practicable" and report to the Department of Education with an explanation in detail of specific decisions and actions taken in this regard.
- As specified in the funding certification, funds will not be used for payment to contractors for the provision of pre-enrollment recruitment activities, which include marketing and advertising; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship. Nor will they be used for senior administrator and/or executive salaries, benefits, bonuses, contracts, incentives; stock buybacks, shareholder dividends, capital distributions, and stock options; and any other cash or other benefit for a senior administrator or executive.
- Examination of records and reporting on the use of the funds will be produced as required by federal Department of Education or any other federal agency in response to this act.

A copy of the Funding Certification and Agreement is included in the docket and includes the full list of terms to which the University agreed.

Real Estate Transaction

The purpose of this item is to review and act on the following real estate transaction:

- Amendment to University of Minnesota Press lease

A transaction narrative sheet is included in the docket and addresses the basis for request, lease terms, and costs of this agreement. A site map locating the facility near the Twin Cities campus is also included.

Schematic Designs

The purpose of this item is to review and act on schematic designs for the following projects:

- Masonic Institute for the Developing Brain (Twin Cities campus)
- Early Childhood Learning Center (Twin Cities campus)

A project data sheet is in the docket and addresses the basis for request, project scope, cost estimate, funding, and schedule for each project. Site maps locating the projects on the Twin Cities campus are also in the docket.

BACKGROUND INFORMATION

Approvals are sought in compliance with Board of Regents policy as follows:

- General Contingency: *Reservation and Delegation of Authority*, Article I, Section VII, Subd. 1.
- Purchase of Goods and Services \$1,000,000 and Over: *Reservation and Delegation of Authority*, Article I, Section VII, Subd. 6.
- Issuance of Debt: *Reservation and Delegation of Authority*, Article I, Section VII, Subd. 4
- Lease Transactions: *Reservation and Delegation of Authority*, Article I, Section VIII, Subd. 2
- Schematic Designs: *Reservation and Delegation of Authority*, Article I, Section VIII, Subd. 9.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the Consent Report.

General Contingency

Fiscal Year 2020
(7/1/2019-6/30/2020)

Current Activity:

Recipient	Amount	Balance	Purpose
1 FY20 General Contingency Allocation		1,000,000	
2 Carryforward from FY19 to FY20	1,912,966	2,912,966	
3 Office of the General Counsel	(228,918)	2,684,048	Support for Board-requested Athletics review. (posted in FY19 adjustment period)
4 Capital Project Management	(150,000)	2,534,048	Partial support for St Paul Campus Strategic Facilities Plan.
6 University Relations	(116,938)	2,417,110	University President inauguration activities, final. \$250,000 budget authority approved June, 2019.
6 Office of the Board of Regents	(361,635)	2,055,475	University President search & transition activities, final. \$350,000 budget authority approved October, 2018. Additional \$11,635 budget authority approved December, 2019.
5 New items this reporting period:			
6 None		2,055,475	
7 Current Balance		2,055,475	

* Items \$250,000 or more subject to Board approval.

Purchase of Goods and Services \$1,000,000 and over

To Fraboni Wholesale and Sausage Co., Sysco Minnesota, Twin Ports Paper and Supply, Inc., Upper Lakes Foods, Inc., and US Foodservice-Minnesota for \$19,000,000 for the purchase of food, paper, and ancillary products for University of Minnesota Duluth (UMD) Dining Services for the period July 1, 2020, through June 30, 2025.

UMD Dining Services, a department of Student Life, is a self-operative food service that provides goods and services to students, faculty, staff, and visitors through its residence hall dining center, food court, coffee shop, and catering department, all of which are supplied by a main production kitchen and warehouse. UMD Dining Services is the sole food service provider on a campus with a population of more than 11,000 students, faculty, staff, and guests. Food, paper, and ancillary products are purchased by the department as needed and are funded by the department at the time of purchase.

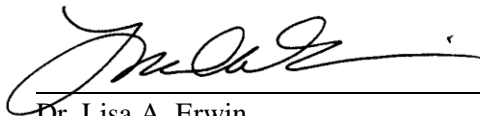
UMD Dining Services makes use of contract suppliers to obtain food, paper, and ancillary goods. This \$19,000,000 request is to purchase food, paper, and ancillary goods on behalf of UMD Dining Services to supply all Dining Services' venues.

Suppliers were selected through a competitive bid process and were chosen based on the lowest cost for goods pertaining to food, paper, and ancillary items.

Food, paper, and ancillary items are funded by the department at the time of purchase.

Submitted by: Maggie McLeod-Shovein, Assistant Director of Business and Strategy
Dining Services-University of Minnesota Duluth
245 KPlz
1208 Kirby Dr.
Duluth, MN 55812
Phone: 218-726-7317
Email: mmcleod@d.umn.edu

Approval for this item requested by:



Dr. Lisa A. Erwin
Vice Chancellor for Student Life, Dean of Students

5/18/2020

Date

Purchase of Good and Services \$1,000,000 and over

To Max Gray Construction and Johnson Wilson Constructors of Duluth for an estimated \$2,000,000 for construction services including labor, materials, and equipment for University of Minnesota Duluth Facilities Management (UMD FM) for the period of June 15, 2020 through June 14, 2021 with optional contract extensions through June 14, 2023 for an additional \$4,000,000. Total contract value, if all options are exercised, would be \$6,000,000.

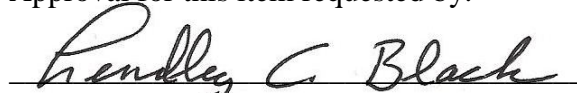
UMD FM requires additional contractors to supplement its own in-house personnel for the execution of small construction or renovation projects, up to \$500,000/project. By contracting with these suppliers, UMD FM can complete initiated projects on the UMD campus and its outlying properties in a timely and efficient manner as needed by the requesting entity. It would not be financially feasible for UMD FM to employ additional trades' personnel to be able to handle all small construction projects. These suppliers will provide UMD FM personnel cost estimation and construction services under a Guaranteed Maximum Price per assigned project and will deliver the project to its completion.

Max Gray Construction and Johnson Wilson Constructors of Duluth were selected as the result of a competitive Request for Proposal (RFP). These suppliers were rated highest in project staffing, labor costs, and delivery of projects both on campus and in the surrounding area of Northeastern Minnesota.

The source of funding for the projects program will be paid for via campus departments, R&R budgeted projects, and UMD administrative divisions requesting a small project or renovation at the time a project is initialized.

Submitted by: John Rashid
Director, UMD Facilities Management
241 Darland Administrative Bldg
1049 University Drive
Duluth, MN 55812
218-726-6930
jrashid@d.umn.edu

Approval for this item requested by:



Lendley C. Black
Chancellor, University of Minnesota Duluth

5/21/2020

Date

**Finance & Operations Committee
Consent Report
June 11, 2020**

Appointments to the Board of Trustees of the Minnesota Landscape Arboretum Foundation

Members of the Board of Trustees of the Minnesota Landscape Arboretum Foundation (Arboretum Foundation) are appointed in accordance with Board of Regents Policy: *Appointments to Organizations and Boards*. The Board of Regents appoints one-fourth of the Arboretum Foundation board's membership. The board currently has 31 members, thereby requiring eight Board of Regents-designated trustees. The Arboretum Foundation is recommending retaining a total of nine Board of Regents-designated trustees so they will remain in compliance with policy even if the total number of trustees increases during the year.

There are three recommended reappointments (three-year terms):

- Brian Buhr
- Ron Olson
- Jenny Verner

Other Board of Regents-designated trustees on the Arboretum Foundation board:

- Gordon Bailey
- Linda Cutler
- Emily Hoover
- Alison Humphrey
- Isabel Keating
- Georgia Thompson



FOUNDATION

June 2, 2020

President Joan Gabel
University of Minnesota
202 Morrill Hall
100 Church Street S.E.
Minneapolis, MN 55455

Dear President Gabel,

I am writing to you on behalf of the Nominating & Governance Committee of the Minnesota Landscape Arboretum. The Minnesota Landscape Arboretum Foundation is a “Foundation of the University” with the primary purpose of supporting the Minnesota Landscape Arboretum.

The Trustees of the Arboretum Foundation serve for staggered three-year terms, with approximately one-third of the Trustees scheduled for re-election each year. The Arboretum Foundation, like the University, is on a June 30 fiscal year, and the annual meeting at which Trustees are elected or reelected occurs in June, with terms commencing on July 1 of each year.

The Nominating and Governance Committee of the Minnesota Landscape Arboretum Foundation recommends the reappointment of the following individuals as Regent designated trustees:

Brian Buhr
Ron Olson
Jenny Verner

We look forward to your favorable response.

If you have any questions or require further information, please contact me at linda.s.cutler@gmail.com.

Thank you.

Sincerely,

Linda Cutler
Chair, Nominating & Governance Committee
Minnesota Landscape Arboretum Foundation Board of Trustees



3675 Arboretum Drive • Chaska, Minnesota 55318-9613

Tel: (612) 624-2200 • fax: (952) 443-2521 • arboretum.umn.edu

**Recipient’s Funding Certification and Agreement
for the Institutional Portion of the Higher Education Emergency Relief Fund
Formula Grants Authorized by Section 18004(a)(1) of the Coronavirus Aid, Relief, and
Economic Security (CARES) Act**

Sections 18004(a)(1) and 18004(c) of the CARES Act, Pub. L. No. 116-136 (March 27, 2020), authorizes the Secretary of Education (“Secretary”) to allocate a maximum institutional portion of the formula grant funds in the amount of \$ _____ (up to 50 percent of the amount authorized under Section 18004(a)(1) of the CARES Act) to _____ (“Recipient”).

Section 18004(c) of the CARES Act allows Recipient to use up to 50 percent of the funds received to cover any costs associated with significant changes to the delivery of instruction due to the coronavirus so long as such costs do not include payment to contractors for the provision of pre-enrollment recruitment activities, including marketing and advertising; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship (collectively referred to as “Recipient’s Institutional Costs”). Section 18004(c) also requires Recipient to use no less than fifty percent of the funds received to provide emergency financial aid grants to students for expenses related to the disruption of campus operations due to the coronavirus (including eligible expenses under a student’s cost of attendance such as food, housing, course materials, technology, health care, and child care). This Certification and Agreement solely concerns Recipient’s Institutional Costs, as defined above.

To address Recipient’s Institutional Costs, and pursuant to the Secretary’s authority under the CARES Act and associated with the coronavirus emergency, as stated in Proclamation 9994 of March 13, 2020, “Declaring a National Emergency Concerning the Novel Coronavirus Disease (COVID-19) Outbreak,” *Federal Register* Vol. 85, No. 53 at 15337-38 (hereinafter “Proclamation of National Emergency”), the Secretary and Recipient agree as follows:

1. The Secretary will provide Recipient funds for Recipient’s Institutional Costs as authorized under Sections 18004(a)(1) and 18004(c) of the CARES Act.
2. As a condition for receiving funds for Recipient’s Institutional Costs, Recipient must have entered into the Funding Certification and Agreement for Emergency Financial Aid Grants to Students under the CARES Act. Recipient may, but is not required to, use funds designated for Recipient’s Institutional Costs to provide additional emergency financial aid grants to students for expenses related to the disruption of campus operations due to coronavirus. If Recipient chooses to use funds designated for Recipient’s Institutional Costs to provide such emergency financial aid grants to students, then the funds are subject to the requirements in the Funding Certification and Agreement for the Emergency Financial Aid Grants to Students under the CARES Act, entered into between Recipient and the Secretary.
3. The Secretary urges Recipient to devote the maximum amount of funds possible to emergency financial aid grants to students, including some or all of the funds earmarked for Recipient’s Institutional Costs, especially if Recipient has significant endowment or other resources

at its disposal. The Secretary urges Recipient to take strong measures to ensure that emergency financial aid grants to students are made to the maximum extent possible.

4. In consideration for the funds and as conditions for their receipt, Recipient warrants, acknowledges, and agrees that:

(a) The funds shall be used solely for the purposes authorized in Section 18004(c) of the CARES Act. In accordance with Section 18004(c) of the CARES Act, Recipient shall not use funds for payment to contractors for the provision of pre-enrollment recruitment activities, which include marketing and advertising; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship.

(b) Recipient retains discretion in determining how to allocate and use the funds provided hereunder, provided that funds will be spent only on those costs for which Recipient has a reasoned basis for concluding such costs have a clear nexus to significant changes to the delivery of instruction due to the coronavirus. It is permissible for Recipient to use the funds for Recipient's Institutional Costs to reimburse itself for costs related to refunds made to students for housing, food, or other services that Recipient could no longer provide, or for hardware, software, or internet connectivity that Recipient may have purchased on behalf of students or provided to students.

(c) Consistent with Section 18006 of the CARES Act, Recipient agrees that to the greatest extent practicable, Recipient will pay all of its employees and contractors during the period of any disruptions or closures related to the coronavirus. The Department would not consider the following Recipient's Institutional Costs to be related to significant changes to the delivery of instruction due to the coronavirus, and therefore would not view them as allowable expenditures: senior administrator and/or executive salaries, benefits, bonuses, contracts, incentives; stock buybacks, shareholder dividends, capital distributions, and stock options; and any other cash or other benefit for a senior administrator or executive.

(d) Recipient's Institutional Costs must have been first incurred on or after March 13, 2020, the date of the Proclamation of National Emergency.

(e) Recipient will comply with all reporting requirements including those in Section 15011(b)(2) of Division B of the CARES Act and submit required quarterly reports to the Secretary, at such time and in such manner and containing such information as the Secretary may reasonably require (See also 2 CFR 200.327-200.329). The Secretary may require additional reporting in the future, including but not limited to reporting on the use of the funds for Recipient's Institutional Costs, demonstrating such use was in accordance with Section 18004(c), accounting for the amount of reimbursements to the Recipient for costs related to refunds made to students for housing, food, or other services that Recipient could no longer provide, and describing any internal controls Recipient has in place to ensure that funds were used for allowable purposes and in accordance with cash management principles.

(f) Recipient shall comply with all requirements in Attachment A to this Certification and Agreement.

(g) Recipient shall promptly and to the greatest extent practicable use the funds for Recipient's Institutional Costs by one year from the date of this Certification and Agreement, and document its efforts to do so as part of the reports specified in subsection (e) above.

(h) Recipient shall cooperate with any examination of records with respect to the funds for Recipient's Institutional Costs by making records and authorized individuals available when requested, whether by (i) the U.S. Department of Education and/or its Inspector General; or (ii) any other federal agency, commission, or department in the lawful exercise of its jurisdiction and authority.

(i) Recipient's failure to comply with this Certification and Agreement, its terms and conditions, and/or all relevant provisions and requirements of the CARES Act or any other applicable law may result in Recipient's liability under the False Claims Act, 31 U.S.C. § 3729, *et seq.*; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; 18 USC § 1001, as appropriate; and all of the laws and regulations referenced in Attachment A, which is incorporated by reference hereto.

RECIPIENT or Authorized Representative of Recipient _____

OPEID Number _____

DATE _____

Attachment A to Recipient's CARES Funding Certification and Agreement

Recipient assures and certifies the following:

- Recipient will comply with all applicable assurances in OMB Standard Forms 424B and D (Assurances for Non-Construction and Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; nondiscrimination; Hatch Act provisions; labor standards; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations.
- With respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; Recipient will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 C.F.R. Part 82, Appendix B); and Recipient will require the full certification, as set forth in 34 C.F.R. Part 82, Appendix A, in the award documents for all subawards at all tiers.
- Recipient will comply with the provisions of all applicable acts, regulations and assurances; the following provisions of Education Department General Administrative Regulations (EDGAR) 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99; the OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0842. The time required to complete this information collection is estimated to be 2,853 total burden hours. If you have any comments concerning the accuracy of the time estimate or suggestions for improving this form, please write to: Hilary Malawer, 400 Maryland Avenue, SW. Washington, D.C. 20202.

**Recipient’s Funding Certification and Agreement
for the Institutional Portion of the Higher Education Emergency Relief Fund
Formula Grants Authorized by Section 18004(a)(1) of the Coronavirus Aid, Relief, and
Economic Security (CARES) Act**

Sections 18004(a)(1) and 18004(c) of the CARES Act, Pub. L. No. 116-136 (March 27, 2020), authorizes the Secretary of Education (“Secretary”) to allocate a maximum institutional portion of the formula grant funds in the amount of \$ _____ (up to 50 percent of the amount authorized under Section 18004(a)(1) of the CARES Act) to _____ (“Recipient”).

Section 18004(c) of the CARES Act allows Recipient to use up to 50 percent of the funds received to cover any costs associated with significant changes to the delivery of instruction due to the coronavirus so long as such costs do not include payment to contractors for the provision of pre-enrollment recruitment activities, including marketing and advertising; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship (collectively referred to as “Recipient’s Institutional Costs”). Section 18004(c) also requires Recipient to use no less than fifty percent of the funds received to provide emergency financial aid grants to students for expenses related to the disruption of campus operations due to the coronavirus (including eligible expenses under a student’s cost of attendance such as food, housing, course materials, technology, health care, and child care). This Certification and Agreement solely concerns Recipient’s Institutional Costs, as defined above.

To address Recipient’s Institutional Costs, and pursuant to the Secretary’s authority under the CARES Act and associated with the coronavirus emergency, as stated in Proclamation 9994 of March 13, 2020, “Declaring a National Emergency Concerning the Novel Coronavirus Disease (COVID-19) Outbreak,” *Federal Register* Vol. 85, No. 53 at 15337-38 (hereinafter “Proclamation of National Emergency”), the Secretary and Recipient agree as follows:

1. The Secretary will provide Recipient funds for Recipient’s Institutional Costs as authorized under Sections 18004(a)(1) and 18004(c) of the CARES Act.
2. As a condition for receiving funds for Recipient’s Institutional Costs, Recipient must have entered into the Funding Certification and Agreement for Emergency Financial Aid Grants to Students under the CARES Act. Recipient may, but is not required to, use funds designated for Recipient’s Institutional Costs to provide additional emergency financial aid grants to students for expenses related to the disruption of campus operations due to coronavirus. If Recipient chooses to use funds designated for Recipient’s Institutional Costs to provide such emergency financial aid grants to students, then the funds are subject to the requirements in the Funding Certification and Agreement for the Emergency Financial Aid Grants to Students under the CARES Act, entered into between Recipient and the Secretary.
3. The Secretary urges Recipient to devote the maximum amount of funds possible to emergency financial aid grants to students, including some or all of the funds earmarked for Recipient’s Institutional Costs, especially if Recipient has significant endowment or other resources

at its disposal. The Secretary urges Recipient to take strong measures to ensure that emergency financial aid grants to students are made to the maximum extent possible.

4. In consideration for the funds and as conditions for their receipt, Recipient warrants, acknowledges, and agrees that:

(a) The funds shall be used solely for the purposes authorized in Section 18004(c) of the CARES Act. In accordance with Section 18004(c) of the CARES Act, Recipient shall not use funds for payment to contractors for the provision of pre-enrollment recruitment activities, which include marketing and advertising; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship.

(b) Recipient retains discretion in determining how to allocate and use the funds provided hereunder, provided that funds will be spent only on those costs for which Recipient has a reasoned basis for concluding such costs have a clear nexus to significant changes to the delivery of instruction due to the coronavirus. It is permissible for Recipient to use the funds for Recipient's Institutional Costs to reimburse itself for costs related to refunds made to students for housing, food, or other services that Recipient could no longer provide, or for hardware, software, or internet connectivity that Recipient may have purchased on behalf of students or provided to students.

(c) Consistent with Section 18006 of the CARES Act, Recipient agrees that to the greatest extent practicable, Recipient will pay all of its employees and contractors during the period of any disruptions or closures related to the coronavirus. The Department would not consider the following Recipient's Institutional Costs to be related to significant changes to the delivery of instruction due to the coronavirus, and therefore would not view them as allowable expenditures: senior administrator and/or executive salaries, benefits, bonuses, contracts, incentives; stock buybacks, shareholder dividends, capital distributions, and stock options; and any other cash or other benefit for a senior administrator or executive.

(d) Recipient's Institutional Costs must have been first incurred on or after March 13, 2020, the date of the Proclamation of National Emergency.

(e) Recipient will comply with all reporting requirements including those in Section 15011(b)(2) of Division B of the CARES Act and submit required quarterly reports to the Secretary, at such time and in such manner and containing such information as the Secretary may reasonably require (See also 2 CFR 200.327-200.329). The Secretary may require additional reporting in the future, including but not limited to reporting on the use of the funds for Recipient's Institutional Costs, demonstrating such use was in accordance with Section 18004(c), accounting for the amount of reimbursements to the Recipient for costs related to refunds made to students for housing, food, or other services that Recipient could no longer provide, and describing any internal controls Recipient has in place to ensure that funds were used for allowable purposes and in accordance with cash management principles.

(f) Recipient shall comply with all requirements in Attachment A to this Certification and Agreement.

(g) Recipient shall promptly and to the greatest extent practicable use the funds for Recipient's Institutional Costs by one year from the date of this Certification and Agreement, and document its efforts to do so as part of the reports specified in subsection (e) above.

(h) Recipient shall cooperate with any examination of records with respect to the funds for Recipient's Institutional Costs by making records and authorized individuals available when requested, whether by (i) the U.S. Department of Education and/or its Inspector General; or (ii) any other federal agency, commission, or department in the lawful exercise of its jurisdiction and authority.

(i) Recipient's failure to comply with this Certification and Agreement, its terms and conditions, and/or all relevant provisions and requirements of the CARES Act or any other applicable law may result in Recipient's liability under the False Claims Act, 31 U.S.C. § 3729, *et seq.*; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; 18 USC § 1001, as appropriate; and all of the laws and regulations referenced in Attachment A, which is incorporated by reference hereto.

RECIPIENT or Authorized Representative of Recipient _____

OPEID Number _____

DATE _____

Attachment A to Recipient's CARES Funding Certification and Agreement

Recipient assures and certifies the following:

- Recipient will comply with all applicable assurances in OMB Standard Forms 424B and D (Assurances for Non-Construction and Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; nondiscrimination; Hatch Act provisions; labor standards; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations.
- With respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; Recipient will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 C.F.R. Part 82, Appendix B); and Recipient will require the full certification, as set forth in 34 C.F.R. Part 82, Appendix A, in the award documents for all subawards at all tiers.
- Recipient will comply with the provisions of all applicable acts, regulations and assurances; the following provisions of Education Department General Administrative Regulations (EDGAR) 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99; the OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Paperwork Burden Statement

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**Recipient’s Funding Certification and Agreement
for the Institutional Portion of the Higher Education Emergency Relief Fund
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Section 18004(c) of the CARES Act allows Recipient to use up to 50 percent of the funds received to cover any costs associated with significant changes to the delivery of instruction due to the coronavirus so long as such costs do not include payment to contractors for the provision of pre-enrollment recruitment activities, including marketing and advertising; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship (collectively referred to as “Recipient’s Institutional Costs”). Section 18004(c) also requires Recipient to use no less than fifty percent of the funds received to provide emergency financial aid grants to students for expenses related to the disruption of campus operations due to the coronavirus (including eligible expenses under a student’s cost of attendance such as food, housing, course materials, technology, health care, and child care). This Certification and Agreement solely concerns Recipient’s Institutional Costs, as defined above.

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1. The Secretary will provide Recipient funds for Recipient’s Institutional Costs as authorized under Sections 18004(a)(1) and 18004(c) of the CARES Act.
2. As a condition for receiving funds for Recipient’s Institutional Costs, Recipient must have entered into the Funding Certification and Agreement for Emergency Financial Aid Grants to Students under the CARES Act. Recipient may, but is not required to, use funds designated for Recipient’s Institutional Costs to provide additional emergency financial aid grants to students for expenses related to the disruption of campus operations due to coronavirus. If Recipient chooses to use funds designated for Recipient’s Institutional Costs to provide such emergency financial aid grants to students, then the funds are subject to the requirements in the Funding Certification and Agreement for the Emergency Financial Aid Grants to Students under the CARES Act, entered into between Recipient and the Secretary.
3. The Secretary urges Recipient to devote the maximum amount of funds possible to emergency financial aid grants to students, including some or all of the funds earmarked for Recipient’s Institutional Costs, especially if Recipient has significant endowment or other resources

at its disposal. The Secretary urges Recipient to take strong measures to ensure that emergency financial aid grants to students are made to the maximum extent possible.

4. In consideration for the funds and as conditions for their receipt, Recipient warrants, acknowledges, and agrees that:

(a) The funds shall be used solely for the purposes authorized in Section 18004(c) of the CARES Act. In accordance with Section 18004(c) of the CARES Act, Recipient shall not use funds for payment to contractors for the provision of pre-enrollment recruitment activities, which include marketing and advertising; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship.

(b) Recipient retains discretion in determining how to allocate and use the funds provided hereunder, provided that funds will be spent only on those costs for which Recipient has a reasoned basis for concluding such costs have a clear nexus to significant changes to the delivery of instruction due to the coronavirus. It is permissible for Recipient to use the funds for Recipient's Institutional Costs to reimburse itself for costs related to refunds made to students for housing, food, or other services that Recipient could no longer provide, or for hardware, software, or internet connectivity that Recipient may have purchased on behalf of students or provided to students.

(c) Consistent with Section 18006 of the CARES Act, Recipient agrees that to the greatest extent practicable, Recipient will pay all of its employees and contractors during the period of any disruptions or closures related to the coronavirus. The Department would not consider the following Recipient's Institutional Costs to be related to significant changes to the delivery of instruction due to the coronavirus, and therefore would not view them as allowable expenditures: senior administrator and/or executive salaries, benefits, bonuses, contracts, incentives; stock buybacks, shareholder dividends, capital distributions, and stock options; and any other cash or other benefit for a senior administrator or executive.

(d) Recipient's Institutional Costs must have been first incurred on or after March 13, 2020, the date of the Proclamation of National Emergency.

(e) Recipient will comply with all reporting requirements including those in Section 15011(b)(2) of Division B of the CARES Act and submit required quarterly reports to the Secretary, at such time and in such manner and containing such information as the Secretary may reasonably require (See also 2 CFR 200.327-200.329). The Secretary may require additional reporting in the future, including but not limited to reporting on the use of the funds for Recipient's Institutional Costs, demonstrating such use was in accordance with Section 18004(c), accounting for the amount of reimbursements to the Recipient for costs related to refunds made to students for housing, food, or other services that Recipient could no longer provide, and describing any internal controls Recipient has in place to ensure that funds were used for allowable purposes and in accordance with cash management principles.

(f) Recipient shall comply with all requirements in Attachment A to this Certification and Agreement.

(g) Recipient shall promptly and to the greatest extent practicable use the funds for Recipient's Institutional Costs by one year from the date of this Certification and Agreement, and document its efforts to do so as part of the reports specified in subsection (e) above.

(h) Recipient shall cooperate with any examination of records with respect to the funds for Recipient's Institutional Costs by making records and authorized individuals available when requested, whether by (i) the U.S. Department of Education and/or its Inspector General; or (ii) any other federal agency, commission, or department in the lawful exercise of its jurisdiction and authority.

(i) Recipient's failure to comply with this Certification and Agreement, its terms and conditions, and/or all relevant provisions and requirements of the CARES Act or any other applicable law may result in Recipient's liability under the False Claims Act, 31 U.S.C. § 3729, *et seq.*; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; 18 USC § 1001, as appropriate; and all of the laws and regulations referenced in Attachment A, which is incorporated by reference hereto.

RECIPIENT or Authorized Representative of Recipient _____

OPEID Number _____

DATE _____

Attachment A to Recipient's CARES Funding Certification and Agreement

Recipient assures and certifies the following:

- Recipient will comply with all applicable assurances in OMB Standard Forms 424B and D (Assurances for Non-Construction and Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; nondiscrimination; Hatch Act provisions; labor standards; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations.
- With respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; Recipient will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 C.F.R. Part 82, Appendix B); and Recipient will require the full certification, as set forth in 34 C.F.R. Part 82, Appendix A, in the award documents for all subawards at all tiers.
- Recipient will comply with the provisions of all applicable acts, regulations and assurances; the following provisions of Education Department General Administrative Regulations (EDGAR) 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99; the OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0842. The time required to complete this information collection is estimated to be 2,853 total burden hours. If you have any comments concerning the accuracy of the time estimate or suggestions for improving this form, please write to: Hilary Malawer, 400 Maryland Avenue, SW. Washington, D.C. 20202.

**Recipient’s Funding Certification and Agreement
for the Institutional Portion of the Higher Education Emergency Relief Fund
Formula Grants Authorized by Section 18004(a)(1) of the Coronavirus Aid, Relief, and
Economic Security (CARES) Act**

Sections 18004(a)(1) and 18004(c) of the CARES Act, Pub. L. No. 116-136 (March 27, 2020), authorizes the Secretary of Education (“Secretary”) to allocate a maximum institutional portion of the formula grant funds in the amount of \$ _____ (up to 50 percent of the amount authorized under Section 18004(a)(1) of the CARES Act) to _____ (“Recipient”).

Section 18004(c) of the CARES Act allows Recipient to use up to 50 percent of the funds received to cover any costs associated with significant changes to the delivery of instruction due to the coronavirus so long as such costs do not include payment to contractors for the provision of pre-enrollment recruitment activities, including marketing and advertising; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship (collectively referred to as “Recipient’s Institutional Costs”). Section 18004(c) also requires Recipient to use no less than fifty percent of the funds received to provide emergency financial aid grants to students for expenses related to the disruption of campus operations due to the coronavirus (including eligible expenses under a student’s cost of attendance such as food, housing, course materials, technology, health care, and child care). This Certification and Agreement solely concerns Recipient’s Institutional Costs, as defined above.

To address Recipient’s Institutional Costs, and pursuant to the Secretary’s authority under the CARES Act and associated with the coronavirus emergency, as stated in Proclamation 9994 of March 13, 2020, “Declaring a National Emergency Concerning the Novel Coronavirus Disease (COVID-19) Outbreak,” *Federal Register* Vol. 85, No. 53 at 15337-38 (hereinafter “Proclamation of National Emergency”), the Secretary and Recipient agree as follows:

1. The Secretary will provide Recipient funds for Recipient’s Institutional Costs as authorized under Sections 18004(a)(1) and 18004(c) of the CARES Act.
2. As a condition for receiving funds for Recipient’s Institutional Costs, Recipient must have entered into the Funding Certification and Agreement for Emergency Financial Aid Grants to Students under the CARES Act. Recipient may, but is not required to, use funds designated for Recipient’s Institutional Costs to provide additional emergency financial aid grants to students for expenses related to the disruption of campus operations due to coronavirus. If Recipient chooses to use funds designated for Recipient’s Institutional Costs to provide such emergency financial aid grants to students, then the funds are subject to the requirements in the Funding Certification and Agreement for the Emergency Financial Aid Grants to Students under the CARES Act, entered into between Recipient and the Secretary.
3. The Secretary urges Recipient to devote the maximum amount of funds possible to emergency financial aid grants to students, including some or all of the funds earmarked for Recipient’s Institutional Costs, especially if Recipient has significant endowment or other resources

at its disposal. The Secretary urges Recipient to take strong measures to ensure that emergency financial aid grants to students are made to the maximum extent possible.

4. In consideration for the funds and as conditions for their receipt, Recipient warrants, acknowledges, and agrees that:

(a) The funds shall be used solely for the purposes authorized in Section 18004(c) of the CARES Act. In accordance with Section 18004(c) of the CARES Act, Recipient shall not use funds for payment to contractors for the provision of pre-enrollment recruitment activities, which include marketing and advertising; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship.

(b) Recipient retains discretion in determining how to allocate and use the funds provided hereunder, provided that funds will be spent only on those costs for which Recipient has a reasoned basis for concluding such costs have a clear nexus to significant changes to the delivery of instruction due to the coronavirus. It is permissible for Recipient to use the funds for Recipient's Institutional Costs to reimburse itself for costs related to refunds made to students for housing, food, or other services that Recipient could no longer provide, or for hardware, software, or internet connectivity that Recipient may have purchased on behalf of students or provided to students.

(c) Consistent with Section 18006 of the CARES Act, Recipient agrees that to the greatest extent practicable, Recipient will pay all of its employees and contractors during the period of any disruptions or closures related to the coronavirus. The Department would not consider the following Recipient's Institutional Costs to be related to significant changes to the delivery of instruction due to the coronavirus, and therefore would not view them as allowable expenditures: senior administrator and/or executive salaries, benefits, bonuses, contracts, incentives; stock buybacks, shareholder dividends, capital distributions, and stock options; and any other cash or other benefit for a senior administrator or executive.

(d) Recipient's Institutional Costs must have been first incurred on or after March 13, 2020, the date of the Proclamation of National Emergency.

(e) Recipient will comply with all reporting requirements including those in Section 15011(b)(2) of Division B of the CARES Act and submit required quarterly reports to the Secretary, at such time and in such manner and containing such information as the Secretary may reasonably require (See also 2 CFR 200.327-200.329). The Secretary may require additional reporting in the future, including but not limited to reporting on the use of the funds for Recipient's Institutional Costs, demonstrating such use was in accordance with Section 18004(c), accounting for the amount of reimbursements to the Recipient for costs related to refunds made to students for housing, food, or other services that Recipient could no longer provide, and describing any internal controls Recipient has in place to ensure that funds were used for allowable purposes and in accordance with cash management principles.

(f) Recipient shall comply with all requirements in Attachment A to this Certification and Agreement.

(g) Recipient shall promptly and to the greatest extent practicable use the funds for Recipient's Institutional Costs by one year from the date of this Certification and Agreement, and document its efforts to do so as part of the reports specified in subsection (e) above.

(h) Recipient shall cooperate with any examination of records with respect to the funds for Recipient's Institutional Costs by making records and authorized individuals available when requested, whether by (i) the U.S. Department of Education and/or its Inspector General; or (ii) any other federal agency, commission, or department in the lawful exercise of its jurisdiction and authority.

(i) Recipient's failure to comply with this Certification and Agreement, its terms and conditions, and/or all relevant provisions and requirements of the CARES Act or any other applicable law may result in Recipient's liability under the False Claims Act, 31 U.S.C. § 3729, *et seq.*; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; 18 USC § 1001, as appropriate; and all of the laws and regulations referenced in Attachment A, which is incorporated by reference hereto.

RECIPIENT or Authorized Representative of Recipient _____

OPEID Number _____

DATE _____

Attachment A to Recipient's CARES Funding Certification and Agreement

Recipient assures and certifies the following:

- Recipient will comply with all applicable assurances in OMB Standard Forms 424B and D (Assurances for Non-Construction and Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; nondiscrimination; Hatch Act provisions; labor standards; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations.
- With respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; Recipient will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 C.F.R. Part 82, Appendix B); and Recipient will require the full certification, as set forth in 34 C.F.R. Part 82, Appendix A, in the award documents for all subawards at all tiers.
- Recipient will comply with the provisions of all applicable acts, regulations and assurances; the following provisions of Education Department General Administrative Regulations (EDGAR) 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99; the OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Paperwork Burden Statement

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AMENDMENT TO UNIVERSITY OF MINNESOTA PRESS LEASE
111 Third Ave South, Minneapolis

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to execute an amendment to University of Minnesota Press Lease to extend the lease term to January 31, 2031.

2. Description of Leased Premises

The current leased premises consists of 8,486 rentable square feet of office space on the second level and approximately 2,000 rentable square feet of basement storage space for a total of 10,486 rentable square feet in the Barrel House Office Building, located at 111 Third Ave South, Minneapolis, MN. (see attached map). The amendment will reduce the size of the leased premises to approximately 8,480 rentable square feet.

3. Basis for Request

The University of Minnesota Press entered into an initial lease in October of 1994 and has executed various extensions to bring the expiration date of the current lease through September 30, 2020. The University of Minnesota Press desires to keep a presence in downtown Minneapolis and enter into a new long-term lease at Barrel House. University Press will reconfigure the leased premises and eliminate the basement storage space as it is no longer needed.

4. Details of Transaction

The amendment to the University of Minnesota Press lease will extend the term from October 1, 2020 through January 31, 2031 and will decrease their space to 8,480 rentable square feet of combined office and storage space. The amendment also contains a single, four (4) year renewal option, which if exercised would extend the term of the lease until January 31, 2035. The Landlord will provide 4 months of free base rent and will be completing the design and leasehold improvements to renovate and provide turnkey space to the University at an estimated cost of \$384,000. Of the total cost, the Landlord's contribution is \$364,000 and the balance of \$20,000 will be paid by the University for low voltage/telephone/data related work.

5. Lease Costs

The base rent for the leased premises for year one will be \$16.00 per rentable square foot (RSF) or \$135,680. Base rent will increase \$0.50 per rentable square foot during the initial term. The total base rent over the initial term is \$1,215,467.

The University will also pay as additional rent its pro-rata share of operating expenses, common area maintenance costs (CAM), utilities, and property taxes for the building. The current estimate for this additional rent is \$10.28 per rentable square foot or approximately \$87,175 per year, making the total lease cost approximately \$26.28 per rentable square foot during the first year of the term.

6. Source of Funds

The ongoing lease costs for the 8,480 rentable square feet of space will be funded through the University of Minnesota Press' operating budget.

7. Recommendations

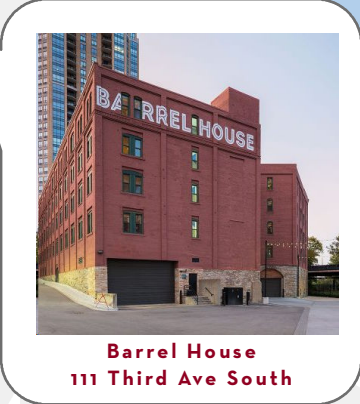
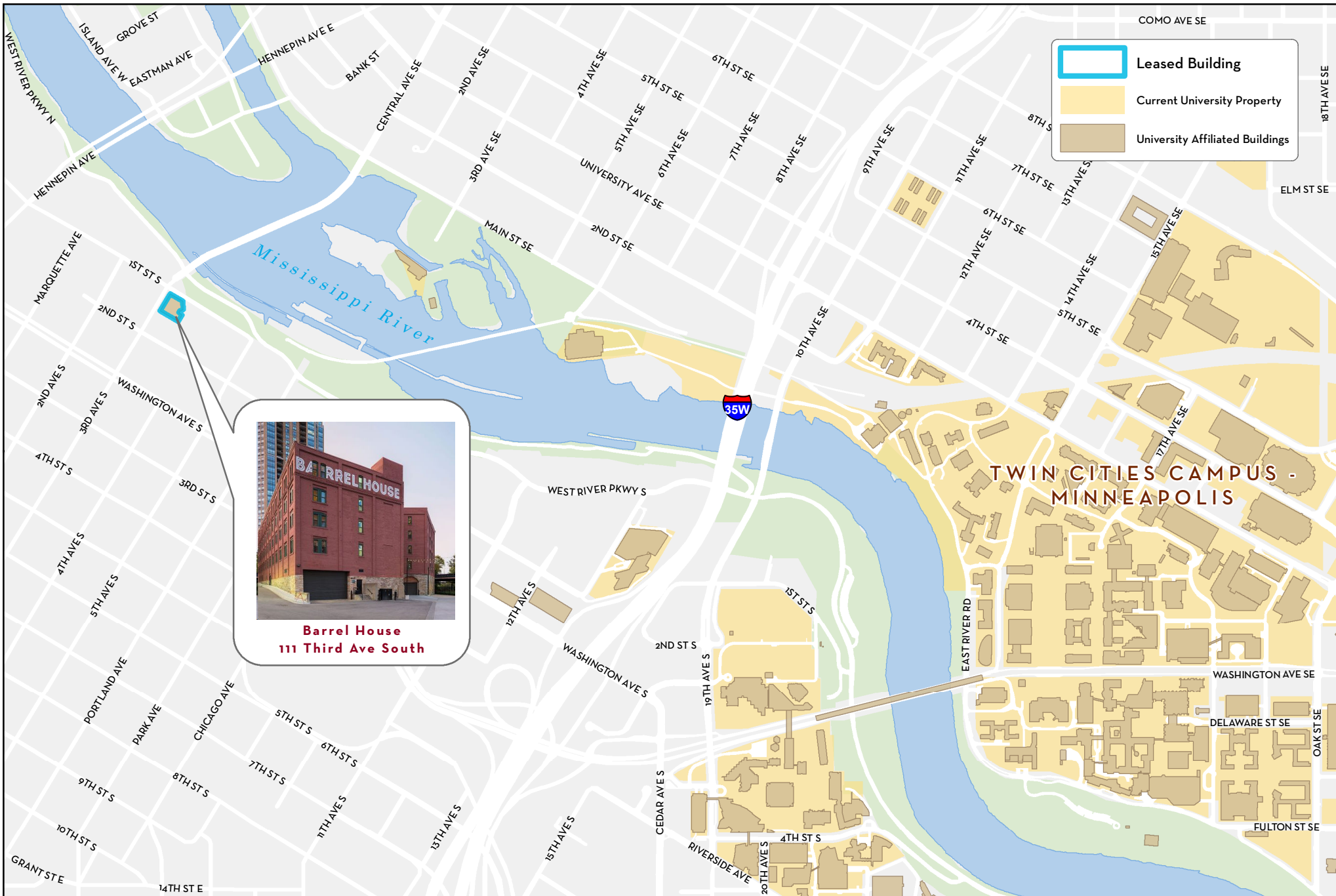
The above-described real estate transaction is appropriate:



Brian Burnett, Senior Vice President for Finance and Operations



Rachel Croson, Executive Vice President and Provost



Amendment to University of Minnesota Press Lease 111 Third Ave South, Minneapolis



This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: Real Estate Office
MNDNR, MNDOT, MetCouncil,
U Services GIS

5/27/2020

**Schematic Design: Masonic Institute for the Developing Brain (MIDB)
Twin Cities Campus
Project No. 01-000-19-1844**

1. Basis for Project:

The purpose of the project is to create a new Institute under the aegis of the Office of Academic and Clinical Affairs, the College of Education and Human Development, and the Medical School. M Health Fairview will continue their partnership with the University to extend support clinic services to this site.

The Institute will be a destination for neurodevelopmental research, community integration, education, and clinical care focused on early childhood and adolescent behavioral brain health. It will propel efforts of multiple investigators to study the brain during the first 1,000 days of life – the most critical period of brain growth and development. The Institute will build on this work to establish and maintain a trajectory towards positive life outcomes through early neurobehavioral and mental health assessments, innovative tactical intervention, policy, advocacy, and education. Finally, it will provide intersecting opportunities for scholars across disciplines, allow community partner and policy makers to share resources, integrate existing talent, foster new collaboration, and pioneer new research and service delivery approaches.

2. Scope of Project:

MIDB (formally the Institute for Child and Adolescent Brain Health) will be created by renovating the recently purchased 117,707 GSF Shriners Hospital located at 2025 East River Parkway in Minneapolis. A focus on interior renovation of the facility allows the University to maximize existing amenities while meeting Program requirements: Clinical Research, MRI Suite, Training Suites, Video Recording, Workspace, and Collaboration/Conference Areas. In addition, the University has established a series of site and infrastructure repairs based on a Facility Condition Assessment report and careful examination of long term planning considerations/ operations, ranging from select site repairs to large scale mechanical system upgrades.

Site

The existing site is bounded by East River Parkway, Minnesota Commercial Railway, Interstate Highway 94, and Cecil Street South East. The 10.2-acre site includes walking paths, storage building, screened shelter, and playground.

Architectural Design

The existing facility was built in phases with major recent renovations completed in 1993 and 2007. The original exterior architectural design will remain intact. The interior environment will be renovated to meet programmatic needs.

3. Master Plan:

Although the project site was not anticipated in the 2009 Campus Master Plan, the MIDB project aligns with important components of that planning document. Specifically, the Community Connections chapter states, "within the plan's horizon there may be additional acquisitions that are unforeseen at this time. Such activity would take advantage of specific

opportunities for strategic purposes.” The 2009 Campus Master Plan guides a number of land acquisition scenarios, including “properties that can be adapted to accommodate expanding University programs.”

4. Environmental Issues:

An engineering hazardous materials survey was completed for the site in 2008. That report concluded that no building materials sampled from the site were determined to be asbestos-containing materials per State and Federal regulations at the time of the survey. Upon construction commencement, areas of concern related to potential mold and mercury will be re-tested to assure there are no contaminants; a contingency is allotted for any work identified.

5. Cost Estimate:

Construction Cost	\$33,151,000
<u>Non-Construction Cost</u>	<u>\$ 5,349,000</u>
Total Project (Renovation) Cost	\$38,500,000
<u>Shriners Hospital Acquisition (Approved by Regents - October 2019)</u>	<u>\$21,500,000</u>
Total Project (Renovation + Acquisition) Cost	\$60,000,000

6. Capital Funding (Acquisition and Renovation):

Medical School (Predesign - Design Development)	\$ 750,000
Due Diligence Expenses (Real Estate Office)	\$ 107,000
<u>University Debt*</u>	<u>\$59,143,000</u>
Total Capital Funding	\$60,000,000

**Funding for the renovation project includes University-issued debt and fundraising.*

7. Capital Budget Approvals:

Based on the unique acquisition opportunity of the property, this project was not included in the 2019 Six-Year Plan; a Capital Budget Amendment was requested to the FY2020 Annual Capital Budget and approved on February 13, 2020.

8. Annual Operating and Maintenance Cost:

The annual operating and maintenance costs are estimated at \$2,400,000, which includes shuttle services.

9. Time Schedule:

Proposed Design Completion:	July 2020
Proposed Substantial Completion:	July 2021
Occupancy	October 2021

10. Project Team:

This project utilizes a Design-Build delivery method.

Designer:

HGA

Contractor:

Knutson Construction

11. Recommendation:

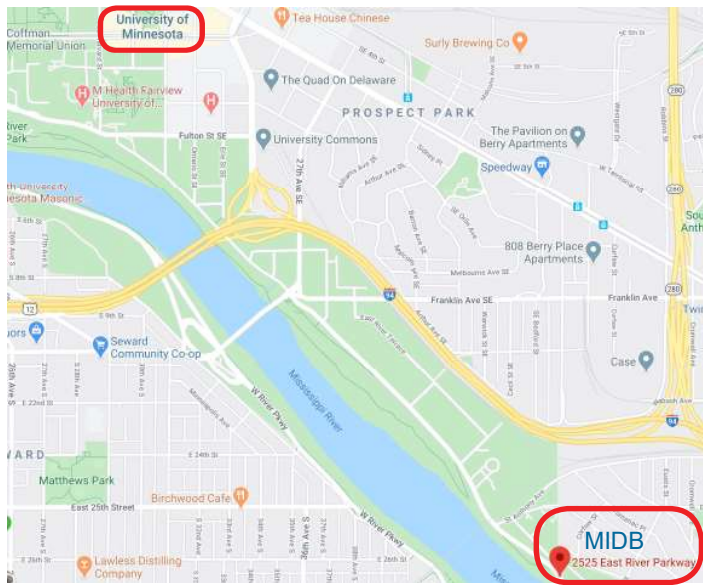
The above described project scope of work, cost, funding, and schedule is appropriate:



Rachel Croson, Executive Vice President and Provost



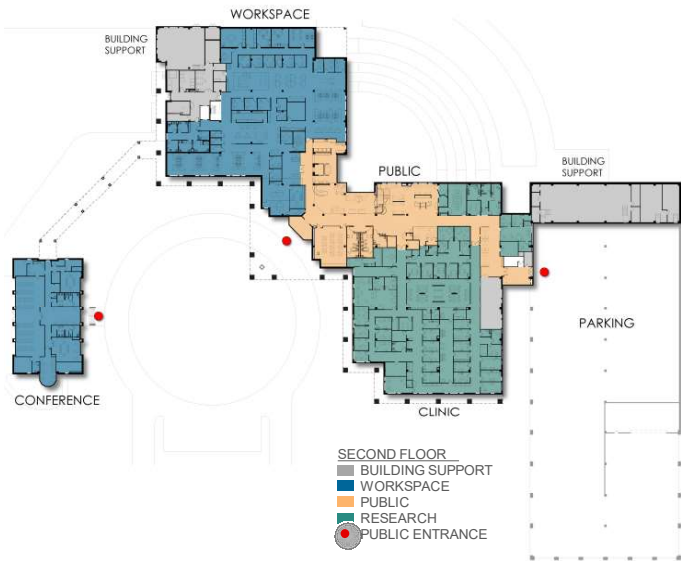
Brian Burnett, Senior Vice President for Finance and Operations



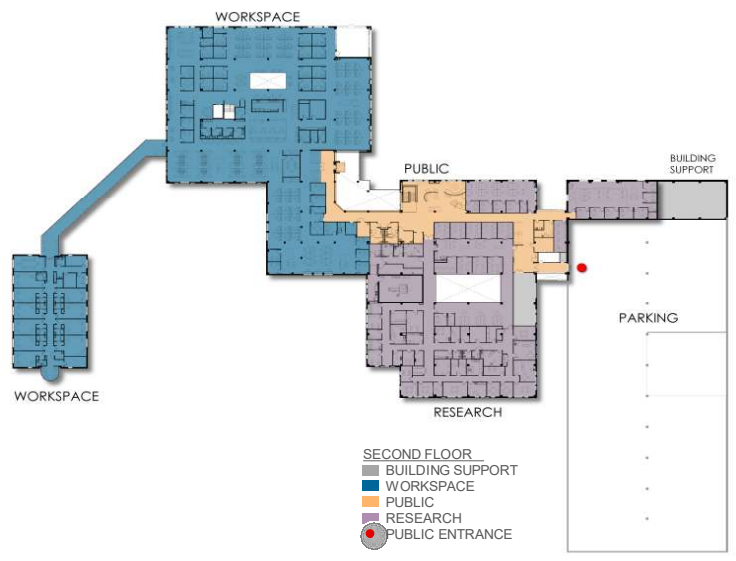
LOCATION MAP



SITE PLAN



FIRST FLOOR



SECOND FLOOR



CLINIC WAITING VIEW



LOBBY VIEW

**Schematic Design: Early Childhood Learning Center (ECLC)
Twin Cities Campus
Project No. 01-000-20-2053**

1. Basis for Project:

The University is committed to increasing the amount of high-quality childcare slots near its Twin Cities campus. The University estimates that there are 3,400 pre-school age children who are dependents of faculty and staff and at least 1,200 undergraduate and graduate students who are parenting children.

The University recently studied the demand for childcare services in this area. In the spring of 2018, then Executive Vice President and Provost Karen Hanson convened a 20-member Provost's Child Care Advisory Committee. Among other things, the group was asked to recommend to the University "the key values and goals that should guide the development of high-quality childcare to serve economically as many families as possible."

As a result of this study, the University sought proposals from qualified organizations to provide to University employees and others child care services for children. The YMCA of the Greater Twin Cities (YMCA) has been selected as the Tenant/Operator for this facility, which will accommodate 150 full-time equivalent children.

The University serves as a developer on this project, and will design, construct, and landscape the facility; costs will be recovered over a 26-year lease agreement with the YMCA, approved by the Board of Regents in February 2020.

2. Scope of Project:

This project will be new construction on two University owned parcels at the intersection of 16th Avenue SE and 4th Street SE. The sites currently consist parking lot C59 (to be decommissioned) and a previous residential unit parcel. The site has an existing stormwater retention basin along 4th Street SE which will be retained.

The building will be a 12,000 GSF single-story structure housing preschool, toddler, and infant care space. Playgrounds located south of the building will be outfitted by the YMCA at their expense. The YMCA will provide signage, to be approved by the University Sign Committee.

3. Master Plan:

The project is consistent with the 2009 Campus Master Plan based on the following guidance: "Strategically site new University and University-affiliated development in locations where they will contribute to defining, consolidating and adding to the vibrancy of campus and the surrounding community..."

4. Environmental Issues:

Geo-technical and environmental analysis was performed for the site prior to the lot C59 construction. Additional testing is planned for soil vapors, due to adjacent sites with previously contaminated groundwater.

Construction estimate includes costs for soil removal and replacement due to isolated petroleum contamination, poor fill, and debris. The project will address any soil vapor concerns within project contingency.

5. Cost Estimate:

Construction Cost	\$4,657,905
Non-Construction Cost	<u>\$342,095</u>
Total Project Cost	\$5,000,000

6. Capital Funding:

University Debt	<u>\$5,000,000</u>
Total Capital Funding	\$5,000,000

7. Capital Budget Approvals:

The project budget was approved Capital Budget Amendment to the FY2020 Annual Capital Budget in February 2020.

8. Annual Operating and Maintenance Cost:

The YMCA will cover all operating costs, including utilities, maintenance, and repairs. A Lease Agreement and a Services and Operations Agreement with the YMCA will provide for facility and program operation as well as debt and operational costs.

9. Time Schedule:

Proposed Design Completion:	July 2020
Proposed Substantial Completion:	June 2021


10. Project Team:

This project utilizes a Design-Build delivery method.


Design-Builder:	H+U Construction
With Architect:	CNH Architects

11. Recommendation:

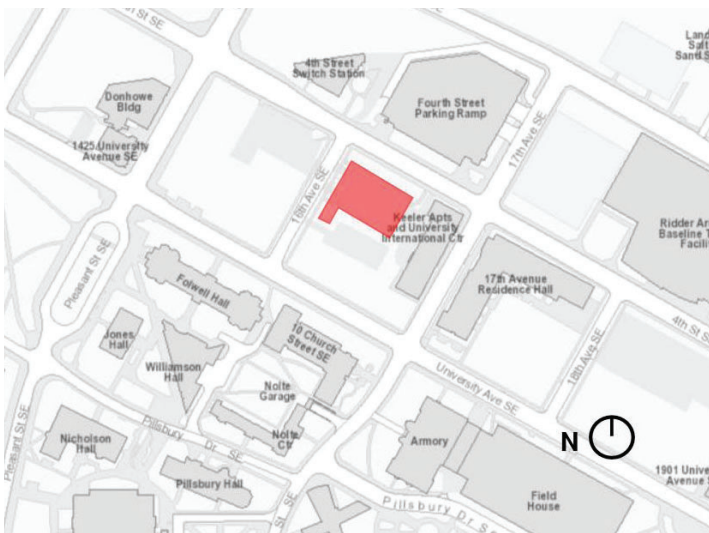
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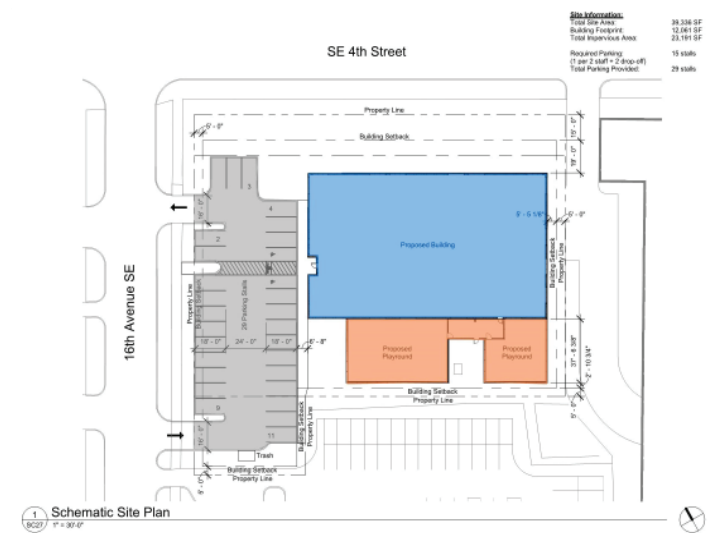
Rachel Croson, Executive Vice President and Provost



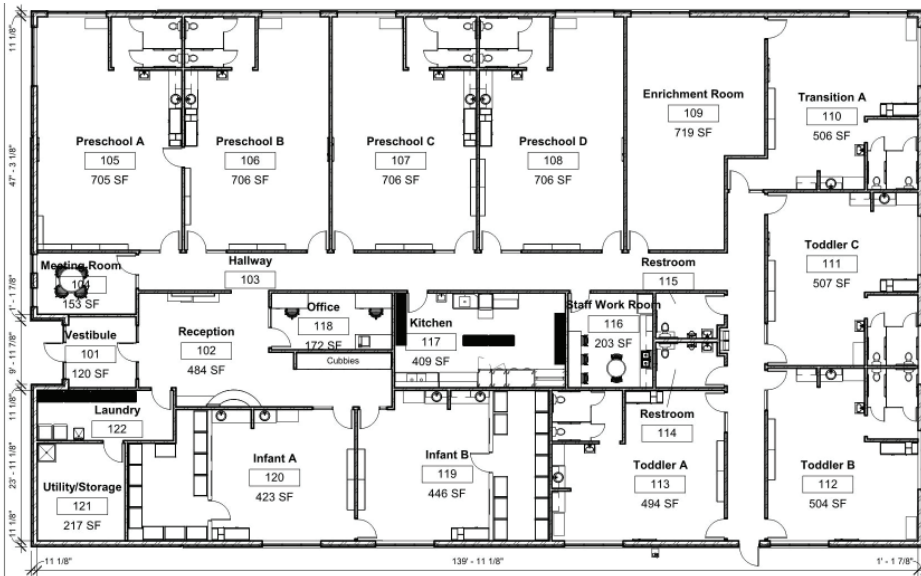
Brian Burnett, Senior Vice President for Finance and Operations



LOCATION MAP



SITE PLAN



FIRST FLOOR PLAN



NORTHWEST PERSPECTIVE

Early Childhood Learning Center (ECLC)
Project No. 01-000-20-2053

05.18.2020



BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

June 11, 2020

AGENDA ITEM: Information Items

Review **Review + Action** **Action** **Discussion**

This is a report required by Board policy.

PRESENTERS: Brian D. Burnett, Senior Vice President

PURPOSE & KEY POINTS

- A. Annual University Health Benefits and UPlan Performance Report
- B. Semi-Annual Capital Project Management Report
- C. Completed Comprehensive Review of Board Policy
- D. Debt Management Advisory Committee Update
- E. Investment Advisory Committee Update
- F. Quarterly Asset Management Report
- G. Quarterly Purchasing Report

Annual University Health Benefits and UPlan Performance Report

The purpose of this item is to provide to the Board the Annual UPlan Update, a report on the effectiveness of the University’s health benefits programs, as required by Board of Regents Policy: *Employee Health Benefits*, Section V, Subd. 3.

Board of Regents Policy: *Employee Health Benefits* guides decisions on strategy for the provision of health benefits at the University including encouraging a healthy and productive workforce; providing a plan to employees, retirees, and families that offers choice and high quality; and ensuring the plan is both comprehensive and cost-effective in the care provided.

Established in 2002 as a self-insured plan, the UPlan remains competitive with peer institutions in its structure, coverage, and cost to employees; includes measures aimed at improving the health and wellness of plan participants; and emphasizes programs that control healthcare costs. Employee groups covered by the plan are regularly consulted through the Benefits Advisory Committee as are other University governance bodies. The current Medica Elect/Essential and Medica Regional plan options are the base plan designs and are collectively bargained with the University’s labor represented employee groups.

These principles and strategies guide the UPlan, which covers over 40,000 individuals - both University employees and their families. This annual report will provide an update on the UPlan medical plan, how it is managed, what considerations are taken in decision-making, and historical trends and costs. Also included is an update on changes made due to COVID-19. The report provides

an update on the UPlan's Wellbeing Program and mental health resources for UPlan participants and employees.

The UPlan has historically been fiscally sound. Performance since 2002 reflects a well-managed plan providing several years of favorable premium experience, which has allowed for a stable plan design for employees and their families. The UPlan cost trend has increased since 2018 due to high cost complex medical claims, and the cost of drugs through the medical plan benefit and specialty drugs through our pharmacy benefit. This current trend will not be resolved by a quick plan design change but will require a complete review of the providers, networks, services, and vendors used under the UPlan. This review will be informed by University expertise and consulted and bargained throughout the development process with a goal of plan adjustments implemented by the start of calendar year 2022.

A detailed history of the UPlan is included with this report for additional background.

Semi-Annual Capital Project Management Report

This report includes projects in process that have been approved in the annual capital improvement budget and for which the Board is required to approve the schematic design. The report highlights progress and challenges in delivering the project scope of work within the approved budget and schedule. The report is presented in the summer and in the winter to provide performance information to the Board.

Completed Comprehensive Review of Board Policy

The purpose of this item is to inform the committee that the comprehensive review of the following Board policies have been completed and the policy implementer has recommended that no changes be made at this time:

- [Board of Regents Policy: *Employee Group Definitions*](#)
- [Board of Regents Policy: *Endowment Fund*](#)
- [Board of Regents Policy: *Nepotism and Personal Relationships*](#)
- [Board of Regents Policy: *Postemployment*](#)
- [Board of Regents Policy: *Sustainability and Energy Efficiency*](#)

Each policy is in the docket and can be accessed using the above hyperlinks.

If there are items that the committee would like addressed, those will be recorded and referred back to the policy implementer. If the committee raises no additional items, the comprehensive review process will be complete and the date of last comprehensive review will be noted within the policy. The president and policy implementers have the ability to recommend changes outside of the comprehensive review process as needed (e.g., changes resulting from the implementation of the Systemwide Strategic Plan).

Debt Management Advisory Committee Update

The purpose of this item is to provide a summary of the meeting of the Debt Management Advisory Committee held on May 28, 2020. The agenda for the meeting included:

- A discussion of financial implications to the University related to COVID-19
- An update on the Commercial Paper Facility activity.

- An update on potential savings related to the refunding of the Special Purpose Revenue Bonds (State Supported Biomedical Science Research Facilities Funding Program).
- A review of future debt funding required due to property acquisitions and capital projects.

Investment Advisory Committee Update

The purpose of this item is to provide a report on the quarterly meeting of the Investment Advisory Committee (IAC) held on May 6, 2020. The agenda for the meeting included:

- Portfolio Performance Review
- Portfolio and Strategy Update Amidst COVID-19
- Manager Recommendation: CRV XVIII, LP – Approved
- Manager Recommendation: DCVC Bio II, LP – Approved
- Manager Recommendation: Initialized V, LP – Approved
- Manager Recommendation: True Ventures VII, LP – Approved
- Manager Recommendation: Lake Bleu Prime Healthcare Fund – Approved
- Manager Recommendation: Oasis Japan Strategic Fund – Approved

Quarterly Asset Management Report

The purpose of this item is to report on the performance results for assets managed by the Office of Investments & Banking (OIB) for the period ending March 31, 2020. The OIB prepares this report, as required by Board policy, for review by the Board of Regents.

- The invested assets of the University totaled approximately \$2.86 billion on March 31, 2020.
- The Consolidated Endowment Fund (CEF) value decreased by \$83 million to \$1.43 billion and distributed over \$15 million to the University during the quarter. The total investment return for CEF during the quarter was -4.9 percent, compared to the portfolio's short-term benchmark at -6.9 percent.
- The market value of the Short-term Reserves (TIP) was \$1.25 billion as of March 31, 2020. The total return on the portfolio over the quarter was 0.6 percent compared to a benchmark return of 2.1 percent. The change in market value of during the quarter was largely due to the timing of cash flows as part of the University's normal business cycle.

Quarterly Purchasing Report

The purpose of this item is to provide a quarterly report of purchasing activity, including detailed reports with brief discussion on the following activity:

- Summary of Purchasing Activity
- Purchases made as Approved Exceptions to Competitive Process
- Purchases made as Preapproved Exceptions to Competitive Process

Annual UPlan Update

Kenneth Horstman, Interim Vice President, Office of Human Resources
Mary Rohman Kuhl, Interim Senior Director, Total Compensation

June 11, 2020



Office of Human Resources

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Introduction

Board of Regents Policy: *Employee Health Benefits* guides decisions on strategy for the provision of health benefits at the University including encouraging a healthy and productive workforce; providing a plan to employees, retirees, and families that offers choice and high quality; and ensuring the plan is both comprehensive and cost-effective in the care provided. The UPlan remains competitive with peer institutions in its structure, coverage, and cost to employees; includes measures aimed at improving the health and wellness of plan participants; and emphasizes programs that control healthcare costs. Employee groups covered by the Plan are regularly consulted through the Benefits Advisory Committee as are other University governance bodies.

These principles and strategies have provided a strong benefit to University employees and their families resulting in a fiscally sound self-insured health plan since its inception in 2002.

This annual report will provide an update regarding the UPlan medical plan, how it is managed, what considerations are taken in decision-making, and historical trends and costs. The UPlan is a well-managed and high-value benefit for our employees and families. Also included is an update on changes made due to COVID-19 and pharmacy trends in regard to specialty, brand, and generic drugs. Finally, the report provides an update on the UPlan's Wellbeing Program and mental health resources for UPlan participants and employees.





UNIVERSITY OF MINNESOTA
BOARD OF REGENTS POLICY

Page 1 of 2

EMPLOYEE HEALTH BENEFITS

SECTION III. GUIDING PRINCIPLES

The following principles shall guide the University's provision of health benefits.

- (a) The University, in partnership with its employees, seeks to encourage a **healthy and productive** workforce.
- (b) The University is committed to providing employees, retirees, and their families a health plan that offers choice and **high quality, comprehensive, and cost-effective care**.
- (c) The University is committed to offering a health plan that is competitive with peer institutions as to its **structure, coverage, and cost** to employees.
- (d) The University encourages the use of programs provided through its health plan to **improve the health and wellness of plan participants**, with emphasis on programs that over time **control health care costs** for the University and its employees.
- (e) The University is committed to the **consultation** of covered employee groups in the design, implementation, and evaluation of the health plan.

Human Resources

EMPLOYEE HEALTH BENEFITS

Adopted: December 8, 2006

UPlan background and trends



UPlan background

- The University of Minnesota's self-insured plan was introduced in 2002.
- The plan provides health coverage for eligible employees and dependents:
 - Over 18,000 enrolled employees
 - Over 40,000 total members, including employees and family members
- Medica is the single third-party administrator.
- Prime Therapeutics is the pharmacy benefits manager.
- Fairview Specialty Pharmacy is the specialty pharmacy manager.
- Medica/RedBrick (also known as Virgin Pulse) administers the UPlan's voluntary, incentive-driven wellbeing program



UPlan background

- Medica Elect/Essential and Medica Regional plan design are collectively bargained as the UPlan base plan design options
- University and employee premium contributions as a percent of total premium are collectively bargained
- Current eligibility rules provide the University premium contribution for appointments of 75% or higher, coverage at 50% appointment
- Employee premium contribution is set at 13% for single employee coverage and 19.5% for employee with dependents



Strategies focus on value and keeping UPlan costs down

- Eliminating cost alone through cost shifting does not impact the long-term medical trend.
 - It might provide a “time-out” for a year, but without a focus on value, the trend will return.
- Programs that focus on value will result in cost-effective care that is responsive, comprehensive, error-free, and reflects a high level of quality. Approaches include:
 - Compliance programs to ensure members complete treatment
 - Members are guided to the most efficient and effective networks
 - Ongoing clinical review of the drug formulary with an emphasis on lowest net cost
 - Medication Therapy Management for members taking several prescriptions
 - Diligent vendor negotiation across the entire plan
 - Established performance guarantees with vendors
 - Proactive case and disease management
 - Wellbeing is promoted and incentivized



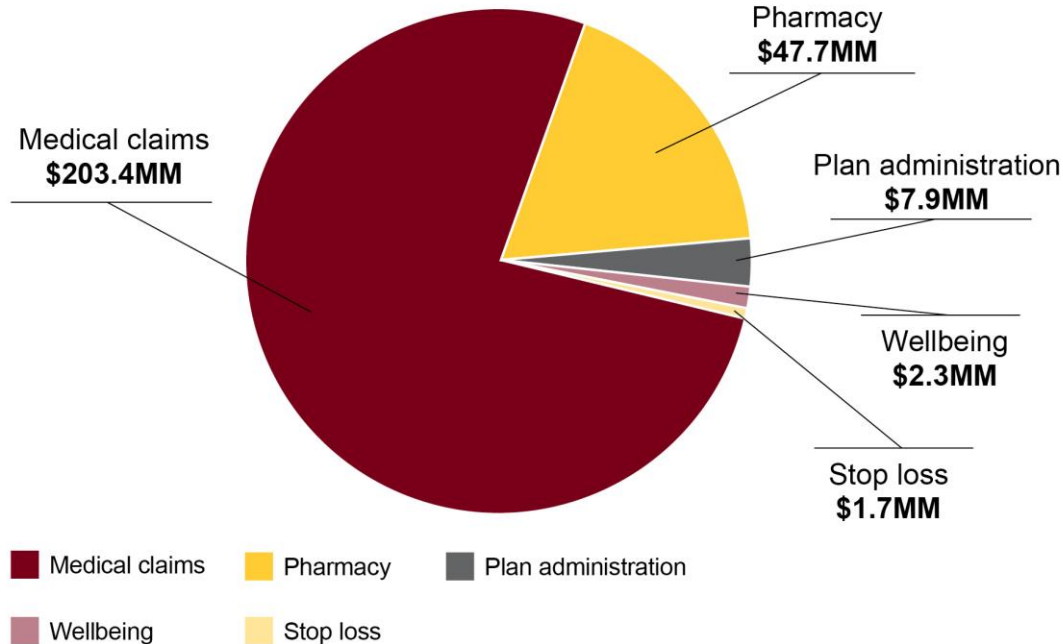
Network types and comparisons

Care System Model Medica Elect and Essential	Accountable Care Organization (ACO) Fairview/HealthEast Plus 5 others	Preferred Provider (PPO) Medica Choice National Medica HSA Direct Medica Choice Regional
<ul style="list-style-type: none"> • Includes multiple care systems • Primary care emphasis but no gatekeeper • More restricted than PPO • Family members can choose different care systems • Member can change care systems during year • Care system network can be broader than ACO network under same name • Total cost of care (TCOC), some fee for service 	<ul style="list-style-type: none"> • One ACO covers family unit • The whole family must stay in the ACO for a full year • ACO is a narrower network than the usual care system model • In addition to total cost of care contracting, there is additional shared risk between health plan and ACO in insured business, and additional targets in self-insured 	<ul style="list-style-type: none"> • Wide ranging • Many providers, systems • No gatekeeper • No primary care designation • Often national more than regional • Population not concentrated on a few systems • Discounts usually less • Flexible, but more expensive network • Fee for service, some total cost of care (TCOC)



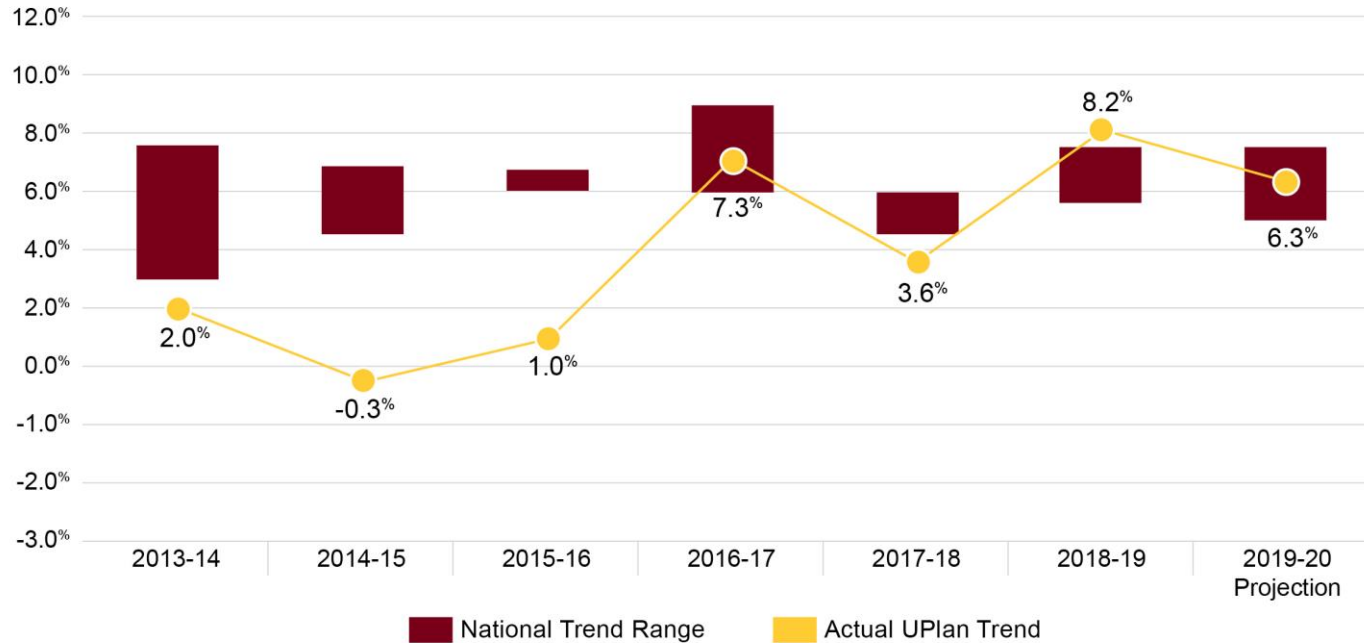
The components of medical costs

2019 Budget: \$263 million



- Medical claims
 - Includes preventive, primary, urgent care, mental health, specialty, inpatient, outpatient, emergency, medical, and medical pharmacy
- Pharmacy claims
 - Includes generic, brand, and specialty
- Plan administration
 - Includes claims adjudication and processing, customer service and support, medical management review, and case management
- Stop loss: protects the UPlan from individual claims above \$800,000
- Wellbeing Program: includes administrative costs

Aggregate national health care and UPlan cost trend from 2013-2020



- National trend range is a composite of employer national and local surveys.
- This does not reflect actual UPlan premium increases



Pharmacy trends and initiatives



The increase in the cost of specialty drugs is significant

- Our health plan defines specialty drugs as drugs that can be injected, infused, or taken orally, and typically require special handling or other specialized expertise, with a monthly cost of \$2,500 or higher.
- On the UPlan, individuals receiving a specialty drug represent less than 1.4% of total enrolled membership, yet specialty drugs account for over 46.3% of the total cost of UPlan prescriptions under the pharmacy benefit.
- How we manage specialty drugs in the future will determine the overall trend of our health plan.

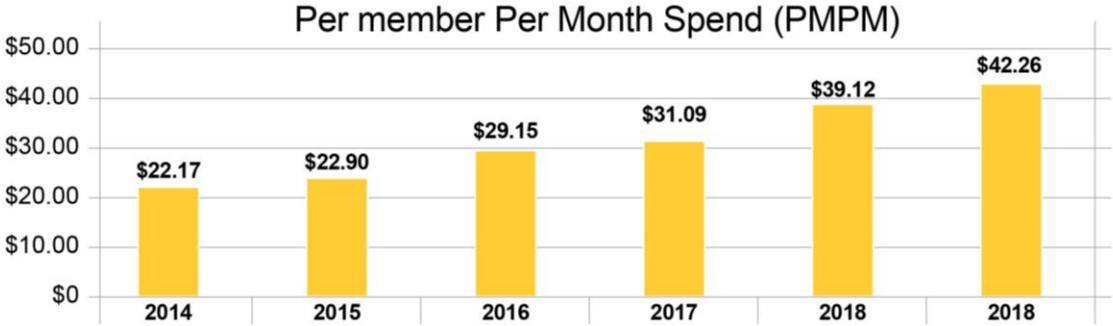
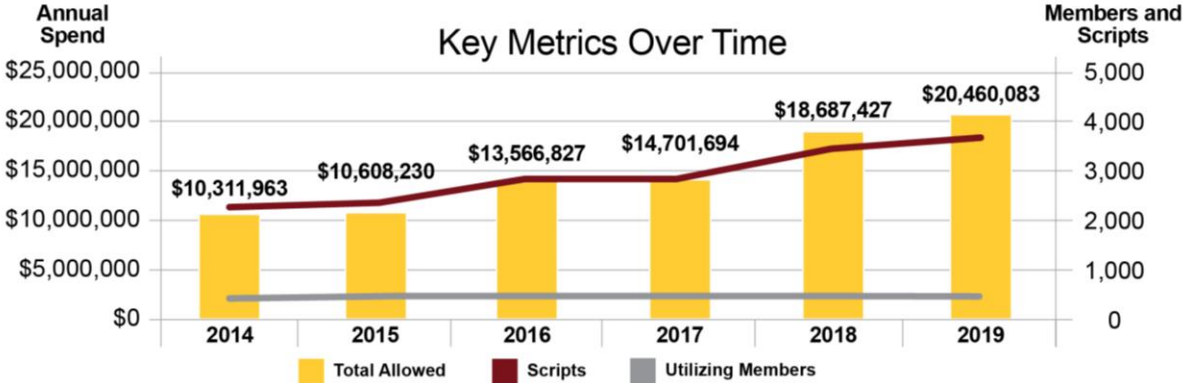


UPlan specialty pharmacy trend

Key Summary – Specialty Pharmacy Metrics 2019 vs 2018

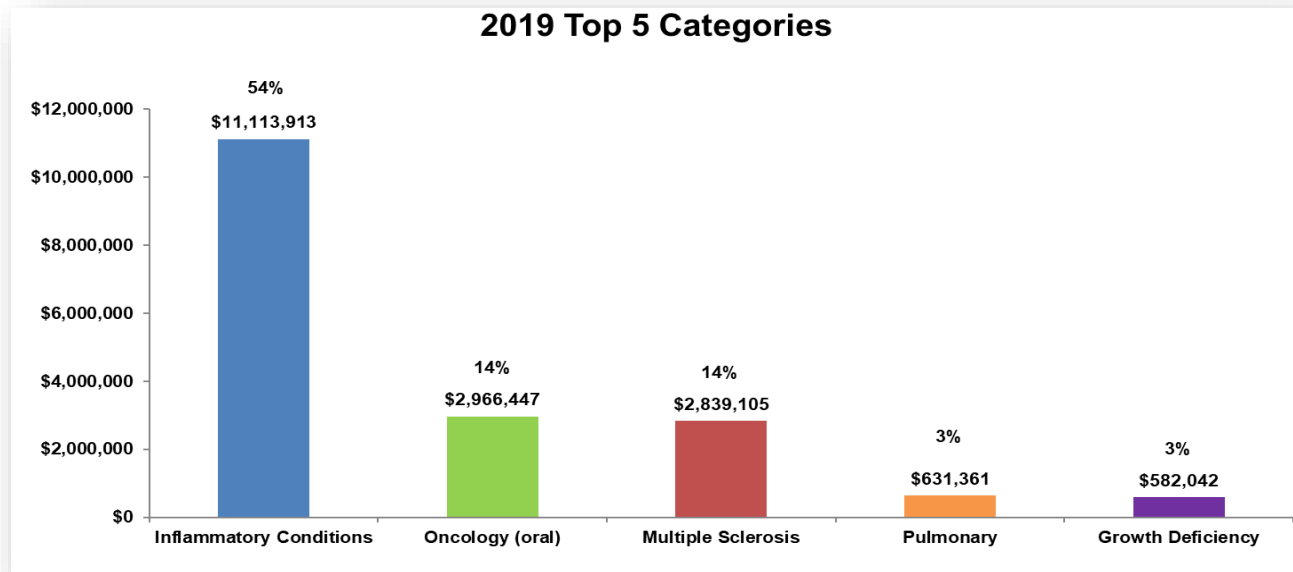
- 1.3% increase in Membership (+537)
- 9.6% Increase in Utilizing Members (+38)
- 5.2% Increase in Pharmacy Scripts (+171)
- **8.0% Increase in PMPM Trend (+\$3.14)**

Applicable plan pharmacy rebates not applied to data.

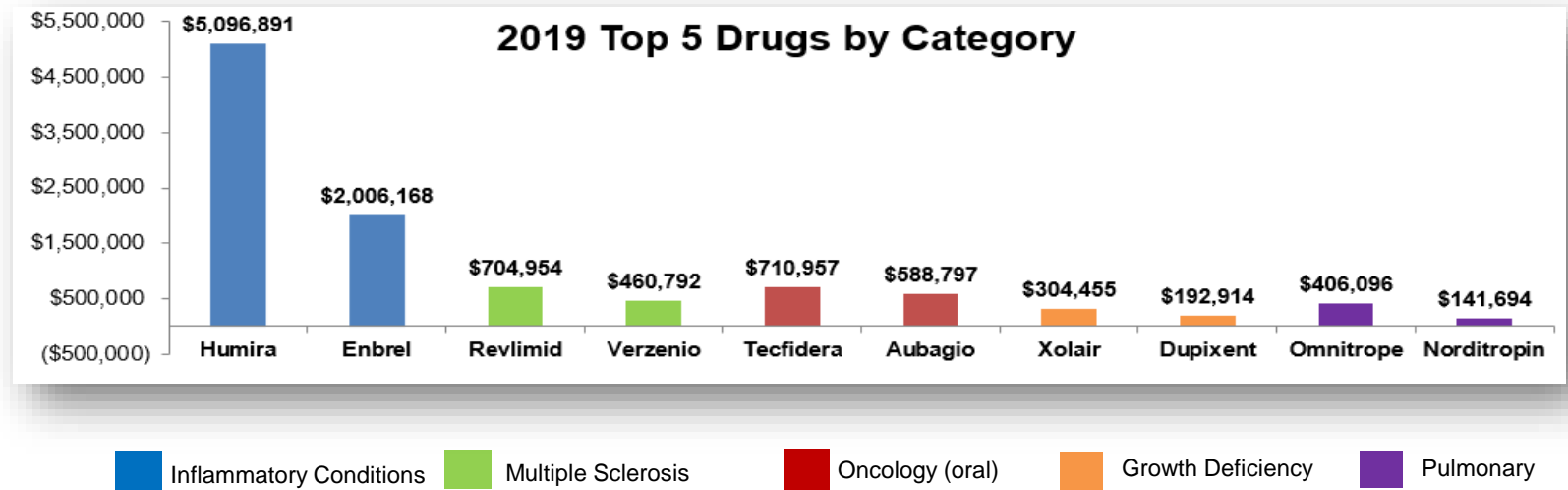


2019 UPlan specialty category overview

Top 5 Categories Represent 88% of the Total Allowed



2019 UPlan specialty drugs



Containing pharmacy costs and utilization

- Actions taken in 2019 are having an impact in 2020:
 - Moving to a standard prior authorization process
 - Retaining a brand inhaler at the generic plus copay level
 - Removing more costly Proton Pump Inhibitors from the formulary, moving from \$100 to \$10-\$20 per refill
 - Transitioning a number of drugs to more conservative Net Results formulary with very minimal issues for employees
 - Negotiating significant improvement in minimum rebate guarantees, retaining low net cost medications.
- Total estimated savings will be \$1.8 million



Wellbeing Program update



2020 year-to-date program impact for employees and participating spouses

- 28% of total participants have completed the Compass health assessment from the vendor (RedBrick/Virgin Pulse)
 - 33% of all employees and 15% of all spouses
- 50% of total participants engaged in the Wellbeing Program
 - 57% are employees and 34% are spouses
- 14% of total participants completed a health screening
 - 19% are employees and 3% are spouses
- 39% of total participants received the 2020 wellbeing health insurance premium incentive based on their 2019 participation



Health assessment identifies 15 health risks

Participants self-report using the vendor's assessment*

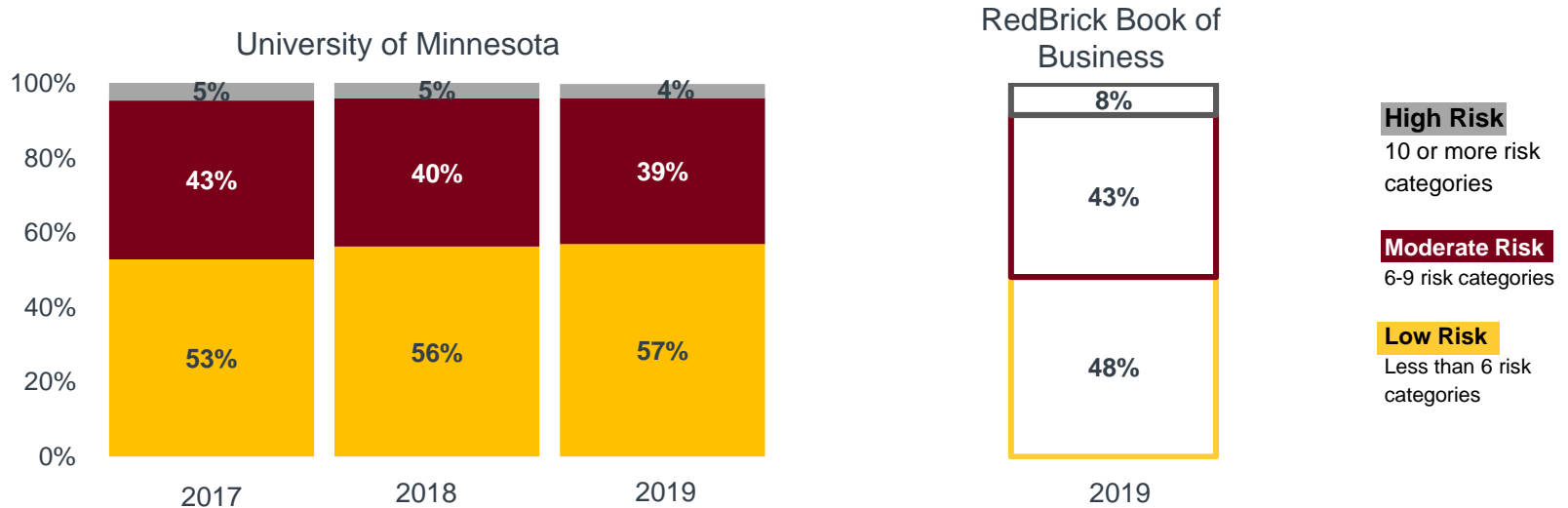
- Alcohol
- Cardiovascular disease risk
- Perceived health status
- Life outlook
- Nutrition
- Pain
- Physical activity
- Preventive care
- Safety
- Sleep
- Stress
- Social support
- Tobacco
- Weight
- Work productivity

*The assessment is optional



Wellbeing participants reduced their health risks

Health risks of individuals tracked year-over-year compared to benchmark



*Data from mid-year, trending positively.

2017 RedBrick: n = low (232,202) moderate (216,559) high (42,489)
 2017 UMN: n = low (6,660) moderate (5,300) high (621)

2018 RedBrick: n = low (228,419) moderate (191,049) high (34,679)
 2018 UMN: n = low (3,855) moderate (2,801) high (350)

2019 RedBrick: n = low (145,810) moderate (128,253) high (23,873)
 2019 UMN: n = low (4,404) moderate (3,028) high (288)



Mental health resources for employees

- Medica behavioral health: UPlan employees and dependents
 - Mental health care is covered by the UPlan medical plan. The network includes providers who practice at all levels of counseling and treatment.
 - Virtual Care Options—Employees and dependents can access mental health services via virtual care. These are provided through a computer or mobile app. Services provided include therapy and psychiatry.
 - My Advocate Service—Can be offered to benefit-enrolled employees and dependents by the OHR Total Compensation department. Supports navigating complex medical issues and concerns including mental health.
- Sand Creek Employee Assistance Program (EAP)
 - The EAP provides up to eight confidential consultations and referral services, at no cost to the employee, to address any personal or work concern that may be affecting their wellbeing.



Mental health resources for employees

- Wellbeing Program (UPlan employees and spouses)
 - Personalized phone health coaching is available on topics such stress, depression, anxiety and sleep.
 - Face-to-face health coaching is available related to stress management.
 - Journeys are available for topics such as stress, depression, sleep, and financial wellbeing.
 - *Mindfulness at Work* is a six-week online course offered through the Earl E. Bakken Center for Spirituality and Healing.
 - *Four Cornerstones of Financial Literacy/Foundations of Financial Wellness* (online) is a four-week LSS course, offered at no cost to employees, that improves personal financial skills.



Other mental health resources

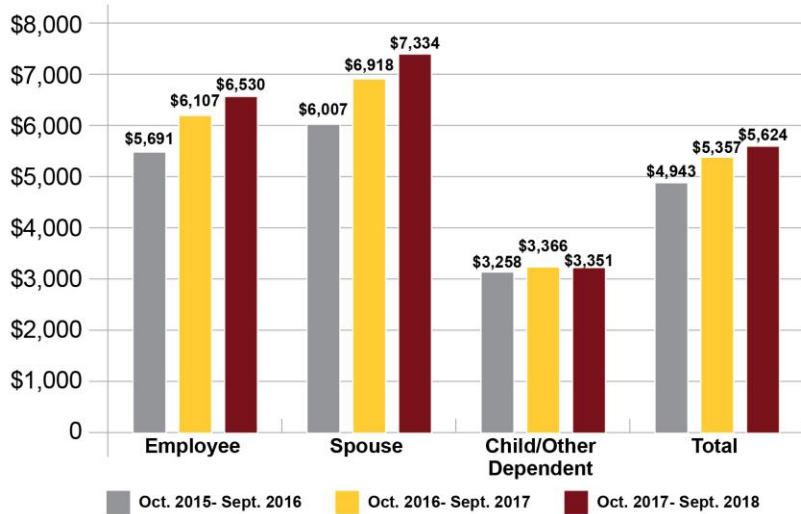
- LSS Financial Counseling Services (available for all employees and dependents)
 - One-on-one counseling services are available to address financial stress which are continually listed as one of the top five stressors for employees and spouses.
- Other resources promoted on the OHR website
 - National Alliance on Mental Illness (NAMI) - Provides information for individuals and care givers on mental health conditions.
 - National Suicide Prevention Lifeline



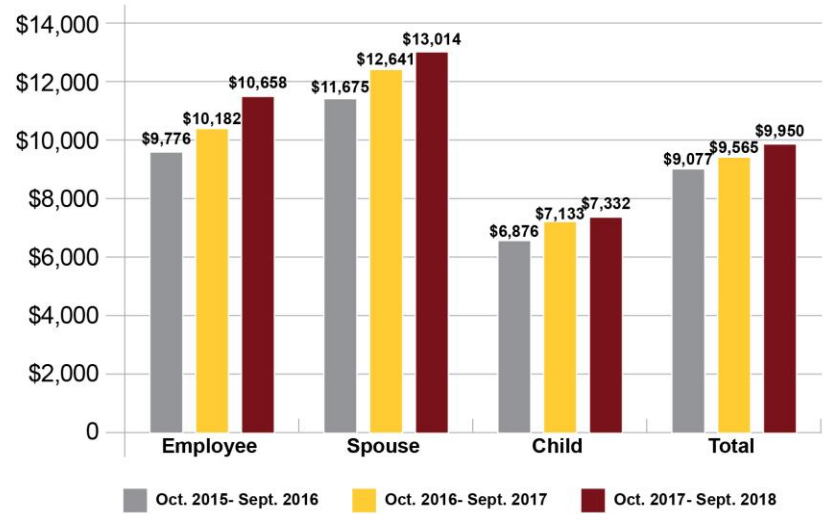
Cost comparison based on mental health diagnosis

Top patient allowed cost of care comparisons for U of M participants

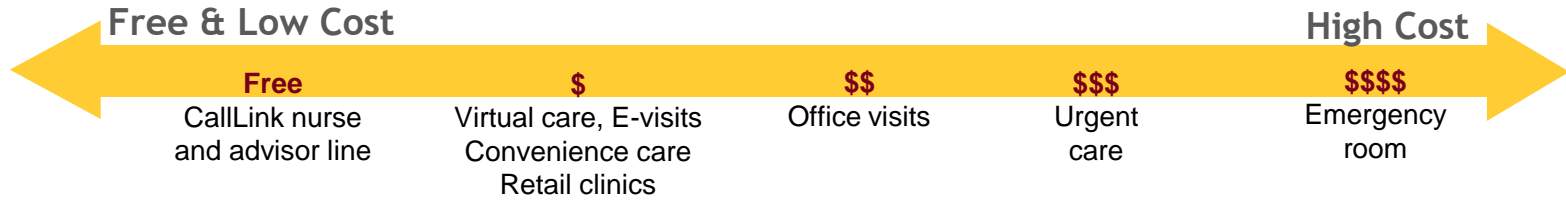
Allowed Amount per Member per Year
Patients Without Behavioral Health



Allowed Amount per Member per Year
Patients With Behavioral Health



UPlan site-of-care and cost utilization



Site of Care	Total Visits	Average Member Cost Per Visit	Average UPlan Cost Per Visit	Average Total Cost Per Visit
Virtual Care–Medical	1,519	\$18	\$37	\$55
Virtual Care–Behavioral Health	718	\$26	\$68	\$94
Convenience Care	4,274	\$13	\$71	\$84
Office Visits	121,971	\$33	\$167	\$200
Urgent Care	12,647	\$36	\$198	\$234
Emergency Room	7,798	\$85	\$3,330	\$3,415

Source: Medica

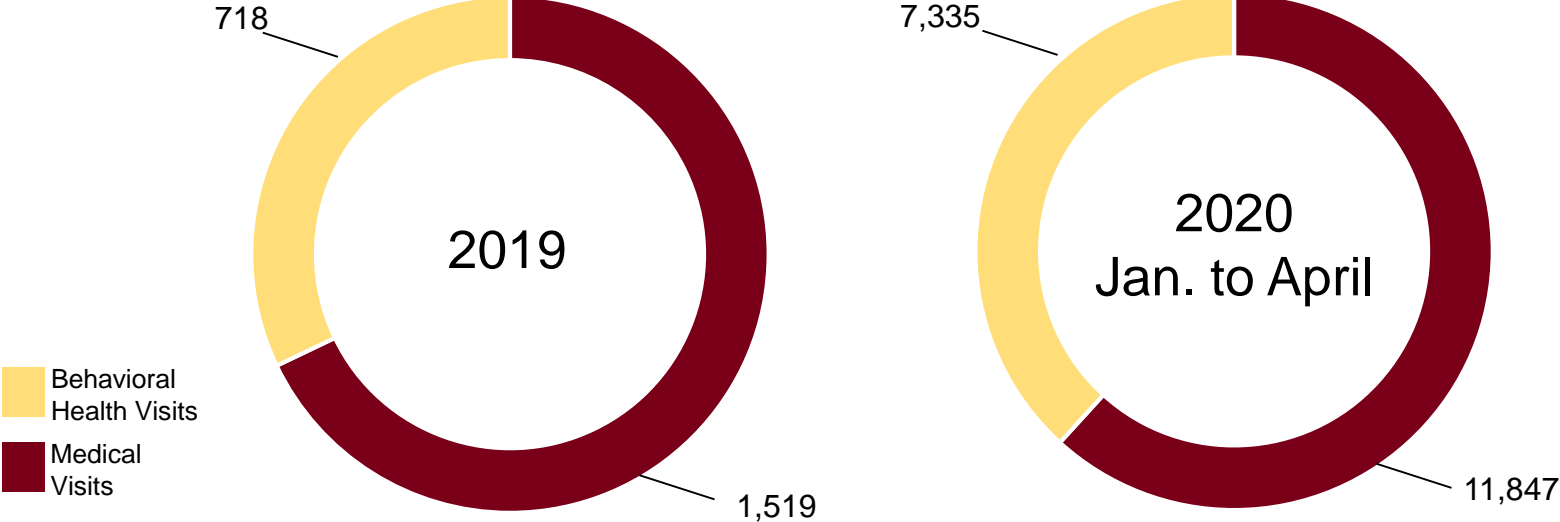
*Average cost per visit

Data Incurred 1/1/2019-12/31/2019; paid thru 2/29/2020



UPlan virtual care utilization for 2020 has already surpassed 2019 use

Virtual Care Utilization



Source: Medica *Note additional methods of delivering virtual care available in 2020 due to COVID



Changes in response to participant needs due to COVID-19

- Increased focus on mental health care
- Transitioned primary and specialty care office visits to telehealth and virtual visits; well received by patients
- Alerted Flexible Spending Account participants to important changes
 - Allowed change in elections due to qualifying events; this allows employees to adjust their contributions based on their needs
 - Reduced documentation requirements for Dependent Care due to changes in summer camps and other summer programs
- Pharmacy
 - Expanded number of days of maintenance medications offered to 90 days.
 - Covering over-the-counter medications available for COVID-19 symptoms.
 - Providing \$0 copay coverage available for medications and vaccines when available.
- Many Wellbeing offerings now available virtually



In summary

- UPlan–Medical and Dental
 - Provides quality healthcare option for members
 - Developing strategies to improve the health of members
- Pharmacy Program
 - Cost of specialty drugs is a challenge
 - Focused on providing lowest net cost to the University
- Wellbeing Program
 - Broadening program offerings to encourage continuous engagement in healthy activities

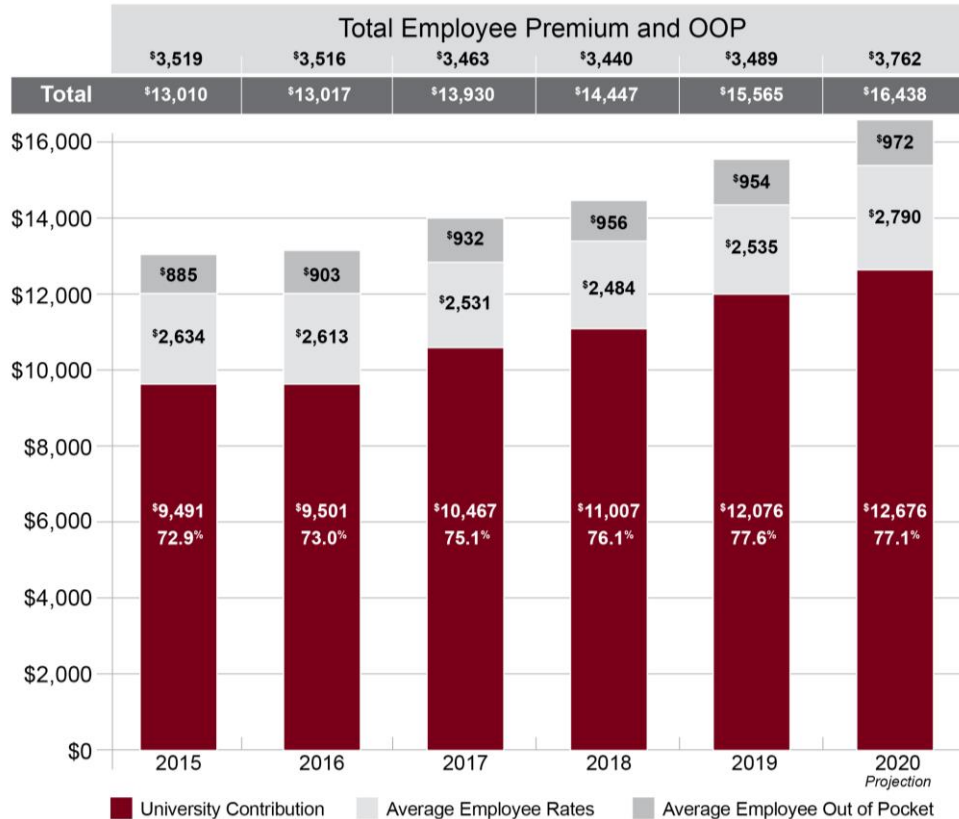


UPlan—additional context

- Well managed throughout its history
- Accurate premium projections since inception of plan
- Comparable benefit level to local large public employers
- Favorable variance to University has allowed stable plan design for employees since 2014
- Current cost trend is accelerating due to complex factors
 - Significant medical services and treatments that are high cost for a small percentage of members
 - Specialty drugs under formulary that must be offered but come with a high price tag
 - Drugs covered under the medical benefit through medical providers



Annual health care cost per employee



For 2020, the increase is driven by a premium strategy change for the Choice National Plan along with a phase out of the Medical Premium Relief Program started in 2012. These resulted in a 77.1% projected University contribution for this year.

*Data are for calendar years



2021 UPlan Rates

- Medical
 - Overall per-employee-per-year increase of 9.1%
 - .4% lower than Willis Towers Watson's claims factors due to a decrease in Wellbeing and administrative fee costs
- Dental
 - Overall per-employee-per-year increase of 3.4% (2.5% due to max increase from \$1,800 to \$2,000)
 - .7% lower than Willis Towers Watson's claims factors due to a decrease in negotiated administrative fee costs
- Note
 - These rates do not assume COVID-19 impact
 - These rates will be finalized at the end of June using additional paid claims information



UPlan: Path Forward

- Shifting plan design does not address trend
- Trend addressed through:
 - Comprehensive vendor and network review
 - Analysis of gaps in care
 - Establish centers of excellence within and beyond Minnesota
 - Review of drug formulary and drug delivery process
 - Plan design that reflects this robust review process
- This work and subsequent decisions should be informed through:
 - Collaboration with University academic health expertise
 - Current shared governance
 - Collective bargaining process
 - Faculty and staff affinity groups
 - Employee surveys and forums
- Completion of this work with a recommendation for calendar 2022 open enrollment





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History of the UPlan: 2002–2020

UPlan – 2002

UPlan Medical Program separated from State of Minnesota SEGIP program; offered on a self-insured basis following Request for Proposal Process.

- Four plan administrators with six plan options. Program included:
 - Tight network option as base plan in Minneapolis, St. Paul area – HealthPartners;
 - Tiered network, with tiers based on premiums – Choice Plus, later called PatientChoice;
 - Preferred provider option with regional and national options – Preferred One;
 - Consumer directed health plan – Definity Health.
 - Copays at \$5 (base plan), \$10 (tiered network), and \$15 (PPO) with one-year transition cap of \$200 per employee / \$400 per family.
 - Increased choice and improved out of area coverage.
 - Access to University of Minnesota providers in all plan options.
 - Same-sex domestic partner coverage.
 - Improved access to mental health services.
 - Pharmacy programs provided on integrated basis through medical plan administrators.
 - Pharmacy program out-of-pocket maximum at \$400 for base plan and tiered network; \$500 for PPO.
 - Stop loss deductible set at \$200,000.
 - Very limited wellness services available through some plan options.
 - Used Buck out of Denver office as UPlan consultant.
- Plans offered at zero cost for employees, 10% cost for dependents; approximate cost of 6% for families. Mandatory participation.
- Coverage for early retirees and disabled participants at full cost, using blended rates. COBRA rates at 102% of blended rate.
- Administration of UPlan delegated by University President to Administrative Working Group for HealthCare (AWG).
- Employee input to be provided by Benefits Advisory Committee (BAC), with representatives from four employee groups. (BAC is successor to Health Plan Taskforce implemented to consider move from state program.)



UPlan – 2003

Dental, Life, and Retiree Medical Insurance all moved from state of Minnesota on fully insured basis, following Request for Proposal process.

- Dental plan increased from 3 to 5 fully insured options with 2 plans through HealthPartners; 3 through Delta Dental.
 - Plan maximums increased to \$1,500 for two smaller network plans and for University Choice; \$1,250 for two larger network plans. Added University Choice for members seeking full provider flexibility.
 - Employee coverage at zero cost, with dependent coverage at 40%.
 - Life Insurance transferred to University, with Minnesota Life retained as carrier.
 - Basic Life benefit increased to one times salary, without maximum (previously capped at \$75,000).
 - Additional Life limits increased from \$200,000 to \$500,000 without evidence; \$1 million with medical underwriting. Spouse life also available in comparable amounts.
 - Matching AD&D included with Life benefits.
 - Voluntary AD&D eliminated for future enrollees.
 - 5 Retiree Medical programs, including Blue Cross Blue Shield of Minnesota, Medica, HealthPartners (2 options) and UCare.
 - All programs fully insured and coordinated with Medicare Parts A and B.
 - Programs fully paid by retirees and spouses.
 - Medical program changes
 - Pharmacy out of pocket maximum increased to \$500 for all plan options.
 - On-campus flu shots available at no cost to employees.
 - Stop loss deductible increased from \$200,000 to \$350,000.
 - Early Retirement Incentive Option (RIO) offered.
 - Elimination of the \$200/\$400 out-of-pocket copay maximum.
-



UPlan – 2004

Due to reduced University funding from the State of Minnesota and desire to move UPlan closer to benchmark levels, implemented significant Medical and Dental program changes, saving \$11.8 million dollars, as follows:

- Increased contributions to Medical program from 0 to 10% for employee coverage.
 - Permitted employees to waive coverage.
 - Permitted employees to be covered as dependent of other University of Minnesota employees.

- Changed Medical program benefit design as follows:
 - Increased office copays and co-insurance:
 - Base Plan – \$5 to \$10
 - Tiered Network – \$10 to \$20
 - PPO – \$15 to \$25
 - CDHP – Option 2 from 100% to 90%.
 - Eliminated lab work copays.

 - Made lifetime maximum consistent across plan options at \$5 million.

 - Set stop loss maximum at \$5 million and increased stop loss deductible to \$350,000. Following RFP, moved to two stop loss carriers – HealthPartners and NBR (United Health) for all other plan options.

 - Increased waiting period to first of month following 30 days of employment.

 - Increased pharmacy copay structure to \$15 formulary, \$25 non-formulary, \$50 lifestyle.

 - Pharmacy coordination of benefits changed to require copayment in all instances.

- First University of Minnesota strike by bargaining group (AFSCME), primarily due to elimination of zero cost Medical coverage for employees.

- Implemented convenience care clinic options:
 - Introduced MinuteClinic as convenience care option for all plan options – Twin Cities area only.



- Developed MinuteClinic site on U of M East Bank campus – in Coffman Union.
- Offered first Wellness Program initiatives:
 - Johnson & Johnson analysis indicated high interest in Wellness Program from administration, employees, and on-campus health providers.
 - Developed Wellness Program objectives. Introduced first two Health Action Programs:
 - Gopher Health Walk
 - Trek across the U (walking program with pedometers).
 - Mayo Clinic Self Care books.
- Increased employee contributions for Dental from zero to 10% and family contributions from 40% of dependent rates to 50% of family rates.
- Dental coverage changed to self-insured plan with HealthPartners and Delta Dental.
- Over-the-counter items are allowed for Health Care Reimbursement Account (HCRA) reimbursements.
- Retiree Medical coverage:
 - HealthPartners Partners for Senior product eliminated.
 - Prescription drug copay and other minor design changes

UPlan – 2005

- Changes to Medical coverage agreed to in 2004 and implemented in 2005.
 - Employee contributions increased from 10% for dependent coverage (approximately 6% for families) to 15% for family coverage.
 - Preferred One National copay increased from \$25 to \$30.
 - Pharmacy out-of-pocket maximum increased from \$500 to \$750.
- Stop loss deductible increased from \$500,000 to \$575,000.
- Introduced online, web-based open enrollment process.
- Developed QuickCare convenience care clinic option for UMD employees, through support from UMD Health Services.
- Conducted UPlan audit of all four Medical program options through Buck.



- Selected Wyatt as new UPlan actuary / consultant and initiated new UPlan Medical, Pharmacy and Wellness Request for Proposal.
- Continued Health Action Programs through UPlan Wellness:
 - Energy Quest (nutrition and exercise) program.
 - Take Time to be Active (exercise).

UPlan – 2006

University completed major UPlan request for proposal process, with the following plan changes implemented:

- HealthPartners retained as administrator, but Patient Choice, PreferredOne and Definity Health replaced by Medica.
 - Medica Elect & Essential tight network program implemented as base plan and alternative to HealthPartners.
 - Tiered-network plan changed from premium-based program to point of service program with difference in tiers shown by copay differentials.
 - Medica Choice National (PPO) copay reduced from \$30 to \$25.
 - Medica Direct HRA program coverage deductible increased from \$1,500 to \$2,000 and HRA account reduced from \$600 to \$500. Co-insurance at 90% after deductible versus 100%.
 - New Medica Direct Health Savings Account program implemented with \$2,500 deductible, 80% co-insurance and \$500 HSA account.
 - Offered out-of-network coverage with \$500 deductible, 70% co-insurance, on all program options.
 - Stop loss deductible increased from \$575,000 to \$600,000.
- Positive online open enrollment required for Medical and Dental.
 - Members electing to waive coverage reduced from 1,200 to 900.
- Pharmacy program carved out from Medical Plans and placed with RxAmerica out of Salt Lake City.
 - Pharmacy copays changed to:
 - \$10 – Generic Plus (generics plus brands if no generic in a given therapeutic class)
 - \$20 – Brand Formulary Drugs
 - \$35 – Non-Formulary Drugs
 - Lifestyle copay structure eliminated.
 - Diabetic supplies moved to Pharmacy program.



- Support from U of M School of Pharmacy in development of formulary, and other aspects of clinical program.
- Significant employee relations concern about RxAmerica program.

- Rate structure changed from Single-Family to 1) Employee, 2) Employee plus Spouse/SSDP, 3) Employee plus Child/Children, and 4) Employee plus Spouse/SSDP and Child/Children.

- FSA reimbursement period extended to 14.5 months (January 1 to March 15 of following year).

- Wellness initiatives taken to next level with implementation of Health Connections program that includes:
 - Wellness Assessment through StayWell of St. Paul, MN.
 - Phone-based health coaching for lifestyle issues through Harris HealthTrends of Toledo, Ohio.
 - Phone-based health coaching for disease management through Harris HealthTrends.
 - Web-based lifestyle programs through StayWell.
 - UPlan-wide 24-hour nurse line and information resource through *Ask Mayo Clinic*.
 - HealthPartners 10,000 Steps walking program with pedometers.

- All four Retiree Medical Programs revised to include automatic enrollment in Medicare Part D.
 - Blue Cross Blue Shield program includes U of M Medical program and separate Medicare Blue Rx Prescription Drug Program. Pharmacy program design changes include elimination of \$750 out-of-pocket maximum.
 - All Medicare product formularies became more consistent with Medicare Part D permitted designs.
 - All plans experienced reductions in premiums.

UPlan – 2007

- Following RFP process, transferred Academic Disability and Voluntary Civil Service Disability Program to Standard Life in Oregon.
 - All claims processed telephonically.
 - University self-insures first 12 (versus first 3) months of coverage on Academic Disability program.



- Wellness Program participation information for 2006 - the first year of the wellness program - showed good participation versus benchmark information:
- UPlan Wellness offerings included: Wellness Assessment, Health Coaching, online Healthy Living Programs, and 10,000 Steps.
 - Incentives also available for spouse/same sex domestic partners.
- Open enrollment for new Long-Term Care Insurance program through John Hancock held in February to April.
 - Members with CNA had option to transfer coverage to John Hancock, with transfer of reserves from CNA available to them. Members could also elect to remain with CNA.
- Completed RFP for Dental, Retiree Medical, and Life Insurance coverages. In all instances, selected current vendor with some coverage/service changes for 2008.
- Opened new Employee Health and Wellness Center at UMD, including:
 - QuickCare clinic for common acute health conditions.
 - Medication Therapy Management program, offered as a pilot to assess appropriateness of program for all UPlan members.
 - First on-site health coach focused on lifestyle management issues.
- Expansion of self-service functions for new hires and common family status changes.

UPlan – 2008

- Implemented service improvements for Life Insurance program:
 - Offered \$25,000 open enrollment with 4,025 employees electing \$98 million additional Life coverage.
 - Implemented will preparation and legal consulting feature.
 - Transitioned to online beneficiary management through Minnesota Life.
- Implemented Dental benefit improvements through HealthPartners and Delta Dental.
 - \$1,500 annual maximum on all programs.
 - Coverage for composite fillings on anterior teeth on HealthPartners Dental Choice and Delta Dental Premier.
 - In-network benefits for University Choice if member uses in-network provider.



- Added Medica Advantage Solution (Medicare private fee for service product) for +65 retirees who live out of Medica service area.
- Implemented new Dependent eligibility guidelines for 19 – 24 year old children. Per Minnesota state guidelines, coverage is available on non-tax favored basis for children who are not IRS qualified dependents.
 - Added 224 dependents who are 50% dependent, but not students.
 - Added 66 individuals who are not IRS dependents.
- UPlan Wellness offerings included: Wellness Assessment, Health Coaching, online Healthy Living Programs, 10,000 Steps.
 - Incentives also available for spouse/same sex domestic partners.
 - Added new Fitness Rewards program through Medica and HealthPartners, with up to \$20 credit for member or spouse/same-sex domestic partner who exercises 8 times per month.
- Increased Medica participation to 10,000+ employees and retirees, resulting in \$100,000+ reduction in administrative fees.
- Conducted second UPlan audit of medical program administrators, using Buck Consultants as auditor. Focused on claims and case management.
- Early Retirement Incentive Option (RIO) offered.
- Benefit waiting period changed to first of the month after hire.

UPlan – 2009

- Improved HSA Plan design and eliminated HRA.
- Conducted first Pharmacy Program and Dental Program audits, using Mercer as auditor.
- Conducted first Dental Plan claims audit using Mercer as auditor. Included claims audit.
- Administered new 65% federal COBRA and 35% state subsidy requirements permitted under the Recovery Act and extension of the subsidy and submitted requests for reimbursement.
- Implemented new Medication Therapy Management Program for all UPlan members, with 6 month reduction to \$0 cost for Generic Plus medications and \$8 reduction for Brand Formulary medications. Developed UPlan Pharmacy



network for MTM, credentialed and contracted MTM pharmacists, and set up Peer Advisory Committee and peer review process.

- Implemented new Wellness Advocates Program, with 100+ representatives from various departments across campus, for whom we provide ideas, training, and materials that they can use to encourage wellness activities within their departments.
- Stop loss deductible increased from \$600,000 to \$800,000 gradually between 2006 and 2009.
- Wellness Program 2-year ROI analysis completed with support from University School of Public Health. Savings in health care claims paid for 82% of Wellness Program costs (excluding Fitness Rewards not yet evaluated), including costs for program, staff, and incentives.
- Employee Benefits assumes responsibility for the vacation donation program administration.
- Wells Fargo provides Health Savings Account (HSA) administration / management.

UPlan – 2010

- Increased pharmacy copay for 2 of the 3 formulary tiers.
 - Generic Plus continued at \$8
 - Brand copays moved from \$20 to \$25
 - Non-formulary copays moved from \$35 to \$50
- Added High Tech Imaging copay of \$25 (MRIs and CT scans and increased Emergency Room copay from \$50 to \$75 (copay continued to be waived for admissions).
- Moved low-dose Lipitor (10 mg and 20 mg) to Non-Formulary to incent use of generic alternatives.
- Covered only one cost-effective brand of diabetic testing supplies at Generic Plus copay. Others covered at Non-Formulary copay.
- Increased Office Visit copay on base plans (Medica Elect/Essential and Medica Choice Regional) and HealthPartners from \$10 to \$11.



- Offered new Weight Management programs (Weight Watchers at Work and Fairview Create Your Weight) in Wellness Program. Members pay full cost of program up front and are reimbursed at 100% if they attend 80% of sessions.
- Wellness Program 3-year ROI analysis completed with support from University School of Public Health. Savings in health care claims paid for 109% of Wellness Program costs (excluding Fitness Rewards which was separately evaluated), including costs for program, staff, and incentives.
- Implemented new NurseLine through OptumHealth, following Request for Proposal process.
- Implemented new Dependent eligibility regulations under Health Care Reform, including coverage through age 25, coverage for married children, and elimination of imputed income requirement under federal requirements. Retained imputed income reporting requirement at state level.
- Filed applications for Early Retiree Reinsurance Program permitted under Health Care Reform, and received approval. Process developed for submitting requests for funding.
- Employee Benefits accepts credit card payments as a direct bill payment option.

UPlan – 2011

- Implemented new Pharmacy Program through Prime Therapeutics, following Request for Proposal process.
- Implemented new Specialty Pharmacy Program through Fairview Pharmacy Services. Most pharmacy medications to be placed through Fairview specialty mail program or retail locations, St. Mary's or St. Luke's in Duluth. Includes disease management for specialty conditions.
- Increased Medical Program lifetime maximum from \$5,000,000 to an unlimited amount, as required under Health Care Reform.
- Replaced Medica Advantage Solution as national plan option for 65+ retirees with HealthPartners National Plan.
- UCare and HealthPartners National Plans used "gap wrap" concept for doughnut hole coverage in retiree medical plan.



- Offered Mindfulness-Based Stress Reduction program through Center for Spirituality and Healing. Members pay in full up front and if they attend 80% of the sessions are reimbursed 2/3rds of the total cost.
- Offered Group Strength Express program through University Rec Centers. Members pay in full up front and if they attend 80% of the sessions are reimbursed in full for the cost.
- Prescriptions are required for over-the-counter items for Health Care Reimbursement Account (HCRA) reimbursements.
- Early Retirement Incentive Option (RIO) offered. Two-year retiree medical premiums covered through contribution to Health Care Savings Plan.
- Received first Early Retiree Reinsurance Program payment, in an amount of \$1.5 million. University portion of payment will be used to offset University contributions to UPlan, and employee portion of the payment will be used to reinstate MTM copay reductions.

UPlan – 2012

- Moved to Medica as single Medical Plan administrator, following Request for Proposal process.
- Included all HealthPartners Medical Group Clinics and Regions Hospital in Insights by Medica tiered network plan at Tier 1 level, and limited HPMG clinics Medica Elect/Essential and Medica Choice networks. Significant support for former HealthPartners U Classic Plus members during transition.
- Moved to StayWell as single Wellness Program provider and administrator, following Request for Proposal process.
- Implemented new Wellness Points Bank, through which UPlan participants and spouses/SSDPs can earn wellness points, which then contribute to a medical premium reduction for the following year.
 - For employee and employee plus child coverage, employee can earn 300 points, to obtain a \$300 premium reduction for 2013.
 - For employee plus spouse/SSDP or employee plus spouse/SSDP and child/children coverage, employee can earn 400 points, to obtain a \$400 premium reduction.



For 2012, also retained \$65 cash rewards for Early Retirees and other former employees.

- Increased UPlan Medical Program copays and deductibles as follows:
 - Office Visit copay for Medica Elect/Essential and Medica Choice Regional from \$11 to \$15 and for Medica Choice National from \$25 to \$30.
 - Walk-in/Convenience Clinic copay from \$5 to \$10, with coverage available for all walk-in/convenience clinics.
 - Emergency Room copay from \$75 to \$90 for ER visits that do not result in a hospital admission.
 - MRI/CT scan copay from \$25 to \$30.
 - Out-of-Network Deductible from \$500 to \$600 for an individual, and from \$1,000 to \$1,200 for a family.
- Increased Pharmacy Program copays as follows:
 - Generic Plus copay from \$8 to \$10.
 - Formulary Brand copay from \$25 to \$30.
 - Non-formulary copay from \$50 to \$60.
- Increased contribution rate for UPlan Medical coverage:
 - From 10% to 13% for Employee-Only coverage.
 - From 15% to 19.5% for three tiers of Family coverage.
- Increased contribution rate for UPlan Dental coverage:
 - From 10% to 12% for Employee-Only coverage.
 - From 40% to 48% for three tiers of Family coverage.
- Medical Premium Relief Payments available throughout 2012 for lower income employees to offset increases in Medical Premiums.
- Changed Academic Disability and Voluntary Short- and Long-Term Disability to CIGNA, with complete open enrollment on voluntary programs
- Retiree Medical Coverage
 - Office Visit copay for HealthPartners, Medica, and UCare increased from \$10 to \$15.
 - Brand Drug copay increased from \$25 to \$30.
 - Medica Group Prime Solution switched to “gap wrap” plan for doughnut hole coverage.
- Made decision to outsource FSA administration and selected SHPS as new program administrator following RFP process. (SHPs is now called ADP Benefits Solutions.) ADP provides Flexible Spending Account (FSA) Card, a



secure VISA®-branded prepaid card, to which ADP electronically applies eligible FSA expense reimbursements.

- Conducted RFP for health care consultant/actuary, data warehouse, and GASB actuary.

UPlan – 2013

- Analyzed potential excise tax liability with no benefit changes, determined it to be \$48 million. Developed proposal for reducing / eliminating excise tax.
- UPlan Medical and Pharmacy Program implements Affordable Care Act improvements with no copay for certain preventive medications including all contraceptives at the Generic Plus level.
- Completed RFPs with the following vendors selected:
 - Dental – HealthPartners and Delta Dental
 - Life Insurance – Minnesota Life
 - Retiree Medical – BCBS of MN, HealthPartners, Medica, UCare
- Health Care Flexible Spending Account maximum election amount for 2013 was limited to \$2,500, down from \$5,000.
- Medical Premium Relief Payments available up to June 30, 2013, for lower income employees to offset increases in Medical Premiums.

UPlan – 2014

- Implemented UPlan Medical and Pharmacy changes to reduce excise tax liability under Affordable Care Act, including:
 - Introduced a \$100 deductible on base plan for In- and Out-patient Hospital and Lab expenses. Raised deductible on other plan options.
 - Implemented a new ACO Plan, with Twin Cities ACOs included, through Medica.
 - Increased copays and separated the Primary and Specialty care copays.
 - Introduced higher copay for Tier 3 (Non-Formulary Brand) medications.
- Implemented accounting changes within UPlan to reduce cost of higher level plans, including
 - Removed internal administrative expenses from premium calculations.



- Combined the Employee and Spouse/SSDP and Employee with Spouse/SSDP and Child/Children cost tiers
- Combined Base Plans into a single set of rates.

- Changed Basic Life benefits as follows:
 - Moved benefit to 115% of annual base salary rounded to the next higher \$1,000 and capped at \$200,000.
 - Moved Life benefits for part-time employees to be equivalent to 115% of their part-time salary, rounded to the next higher \$1,000 and capped at \$200,000.
 - Allowed employees to transfer any Life benefits lost to Additional Life without the need for health underwriting.
 - Changed Additional Life to \$1,000 units.
 - Added new Term Life Portability benefit in addition to Whole Life Conversion policy

- Changed Retiree Medical Plan to include
 - Plan 1 programs with a higher premium but lower out-of-pocket expenses.
 - Plan 2 programs with a lower premium but higher out-of-pocket expenses.
 - Retirees need to choose a single carrier, but the retiree can join Plan 1 and the spouse Plan 2, as an example.

- RFPs conducted for the following programs, and vendors selected as shown below:
 - Long-Term Care through LifeSecure, a wholly owned subsidiary of BlueCross Blue Shield of Michigan,
 - Benefits Auditor – Claim Technologies Inc.
 - Graduate Assistant & Resident Medical Plan – Health Partners – with ability to offer new Wellness Program to these two groups.
 - Medical and Political Security and Natural Disaster Assistance and Evacuation – FrontierMEDEX
 - Stop Loss –
 - Enrollment Decision Support –

- New Long-Term Care program offered through LifeSecure. John Hancock rates increased significantly, with option to reduce benefits by adjusting rates. Payroll deductions stopped for John Hancock plan, and not offered for LifeSecure.

- Medical Plan audit of Medica conducted through Mercer.



- Pharmacy Plan audit of Prime Therapeutics and Health Improvement Plan audit of StayWell conducted through Claims Technologies Inc. (CTI)
- Conducted new excise tax calculation showing excise tax has reduced to \$0 for Medical Only, but increases to \$6 million when Dental, FSAs and EAPs are included.
- Introduced system-wide EAP services with Sand Creek
- New Financial Choice Program through LSS Financial Counseling offered on-site seminars and six free counseling sessions.

UPlan – 2015

- Insights by Medica eliminated for 2015. Members must choose a different plan.
- HealthPartners will be available in Medica Elect/Essential, Medica Choice, and Medica HSA.
- Mayo Clinic will be available in Medica Choice and Medica HSA.
- Each ACO will be a single plan in the system this year, and an employee's current election will be reflected when you open the enrollment site.
- Same-sex domestic coverage will end on Dec. 31, 2014, due to availability of same-sex marriage in Minnesota.
- Consolidation to three cost tiers completed, with new tier names as follows:
 - Employee Only
 - Employee and Children
 - Employee and Spouse with or without Children
- \$25,000 Open Enrollment, employees for Additional Life insurance without evidence of insurability regardless of whether they currently have Additional Life. Offer was not available to employees who have previously been declined for Life coverage.
- Political security and natural disaster coverage will be offered through FrontierMEDEX (now called UnitedHealthcare Global), in addition to medical evacuation, beginning in 2015.
- Minor changes made to Retiree Medical Programs.



- Third Medical Cost Relief Program for Lower Income Employees implemented for 2015 – 2016 academic year. Amounts will range from \$225 to \$450, and will be payable with the first paychecks in October, 2015 and April, 2016. Payments to be based on 2014 household income and member cost tier at the time of payments.

UPlan – 2016

- First UPlan “0” increase year for all plan options and all cost tiers.
- Added new Health Care Advocate Program to Optum Nurseline.
- Issued first 1095s documenting health care plan eligibility and participation to employees and provided 1094 for filing with IRS.
- Completed Pharmacy and Specialty Pharmacy RFPs and selected Prime Therapeutics as PBM, and Fairview Specialty Pharmacy for the second six-year contract.
- Introduced new MTM program for UPlan members with diabetes. MTM to be available for diabetic members, members with four or more medications, and members referred by their providers. Wellness points are available.
- Added new Mayo ACO for UPlan members in Rochester / southern MN and WI area, and new Altru ACO for UPlan members in Crookston / northwestern MN area.
- Offered new True Metrix Air blood glucose meters for all members with diabetes.

UPlan – 2017

- Added three additional ACOs: Altru in northwestern Minnesota, Essential in northern Minnesota and northwestern Wisconsin, and Mayo in southern Minnesota and southwestern Wisconsin.
- Medical rates increase by nominal amount, at 1.3%.
- Medical rates increase was \$900,000 less than prior year due to TRP and administration fees slightly reduced—initial 1.6% estimate



- Employers— including the University—and Health Plans are required to provide a new 1095 form for all employees or plan members. 1095-C forms document the months when each employee and dependents had health coverage. 1095-C forms will be sent by the University at the same time as (but not with) W2s.
- Wellness Program: 10 New Programs, with an increased focus on overall Health and Wellbeing:
 - Wellness My Way - Self Reported Volunteer Pledge
 - Wellness My Way - Advanced Care Directive Pledge
 - Be Active Health Trails
 - Manage Your Health Digital Workshops
 - Stress Management Coaching-Twin Cities
 - Stress Less-Morris
 - Four Cornerstones of Financial Literacy—through LSS Financial Counseling
 - Cooking for Wellness—Getting Creative
 - Cross Training Express (CTX)
 - Eat Well on Campus
- Wellness Champions Kick-off (Previously Wellness Advocates)

UPlan - 2018

- Medical rates had a 0% increase for 2018. Dental rates had no increase.
- Completed Medical and Wellness RFPs in 2017. Medical plan was awarded to Medica, effective January 1, 2018. Wellbeing program was awarded to Redbrick/Medica, effective October 1, 2017. The contract with Staywell will end on September 30, 2017.
- Completed the Voluntary and Academic Disability Program RFP. Contract was awarded to Unum. Contract with Cigna will end on December 31, 2017.
- Completed the Flexible Spending Account (FSA) RFP. Contract was awarded to Discovery Benefits. The contract with ADP ended on December 31, 2017.
- Open Enrollment offered for Short Term Disability, for 2018 effective date.
- Wellness Points for 2017 – 2018 increased by 25%, to \$500 and \$750, with a \$500 / \$750 premium reduction available for 2018.



- New Wellbeing Programs offered through RedBrick Health, including:
 - Compass Health Assessment
 - Redbrick Track
 - Redbrick Journeys
 - Redbrick Rallys (Challenges)
 - All current University wellbeing programs will continue.

UPlan – 2019

- Standard Medical Rates increased by 4.4%, with Wellbeing rate changes ranging from a high of a 2.1% increase to a low of a 20.6% decrease, depending on the member's plan selection.
- Delta Dental's University Choice program eliminated due to small enrollment and limited value for participating members versus using Delta Dental Premier.
- Completed an RFP for a move from two to one dental administrator. Delta Dental was selected. Two Delta Dental Plans, Delta PPO (narrow network) and Delta Premier (broad network), provide an improved and simpler Dental Program structure.
- Securian and Redpoint offered new emergency assistance and evacuation program, effective January 1, 2019. Program includes coverage for medical and dental emergencies and evacuations, as well as political and security evacuations. This program replaces the United Health Group program, after the University and UHG were unable to come to an agreement on key contract terms for the program.
- Benefit Plan Auditor RFP conducted in 2019, and again awarded to Claims Technology Inc. (CTI) for a six year contract period.
- Retiree Health Plan RFP to be conducted in 2020 – 2021, with intent to select program offering single Retiree Medical Plan Exchange, with variety of local and national options and one preferred local provider with 2-3 plans.
- Effective September, administration fees for active Medica HSA members as well as the average cash balance threshold have been reduced. The average cash balance threshold will change from \$5,000 to \$3,000. The fee for balances less than \$3,000 will be reduced from \$3.75/month to \$2.00/month



- The Wellbeing Program will be changed for the October 1, 2019 – August 31, 2020 program year, as follows:
 - Members will be rewarded with points as soon as they complete an activity with no minimums, and points will show up more quickly on the Wellbeing portal.
 - Members will be able to earn points at the University Rec Center for the group fitness pass.
 - Medica's Fit Choices will remain in place and be reimbursed at \$20 per month. All University fitness programs will remain in place, but will no longer be reimbursed. Members will be able to include them in the Wellness My Way option.
 - Weight Watchers and MBSR will no longer be available, but a new nutrition-focused program will likely be offered in the future.
- RFP for life insurance coverage again awarded to Minnesota Life Insurance Company for Basic Life, Employee and Spouse Life, Child Life, and Accidental Death and Dismemberment (AD & D). Significant savings in premiums. New lower rates took effect 01/01/2020 for additional employee and spouse life, with options to increase coverage without underwriting.
- The 2020 Life Insurance open enrollment option available for employees included the following:
 - Employees can select up to \$25,000 in additional life insurance, not to exceed the plan maximum, and assuming they have not been declined in the past.
 - For the first time, employees can also elect to increase their spouse life insurance by \$5,000. This offer is limited to employees with spouse life insurance of less than \$25,000, and spouses cannot have previously been declined.
 - As in previous years, employees who have not enrolled in Child Life Insurance can elect \$10,000 during open enrollment.
 - Imputed income on amounts over \$50,000 of Basic Life insurance only.
 - Tuition benefits added to basic life insurance for college-age dependent children and non-working, non-degree-holding spouse.

UPlan – 2020

- Dental Plan Maximum Benefit increased to \$2,000, effective January 1, 2020. Two Dental Plans, Delta PPO (narrow network) and Delta Premier (broad network) remain in place for member selection, providing an improved and simpler Dental Program structure.



- The Medica Choice National Plan experienced significant rate increases for 2020, due to their high experience in previous years. Standard Rate changes will range from a low of -3.8% (ACOs) to a high of 34.3% (Choice National Plan). Wellbeing Rate changes will range from a low of -10.9% (ACOs) to a high of 46.9% (Choice National Plan).
- Employees who have an HSA but are no longer enrolled in Medica HSA medical plan will be responsible for monthly admin fee of \$3.75/month, effective 1/1/2020. Fee will be deducted from employee's HSA account. No admin fee if balance is over \$5,000.
- Due to COVID-19 virus outbreak throughout the world, including Minnesota, many University employees were asked to work at home, while employees providing health care, research, and other critical services worked on site.
- Several benefit changes were made due to concerns about COVID-19, including:
 - Elimination of copays, deductibles, and coinsurance for in-patient, in-network COVID-19 related treatments, for set time period.
 - Elimination of copays, deductibles, and coinsurance for COVID-19 related testing, for set time period.
 - Elimination of copays and other member costs for COVID-19 pharmacy treatment, for set time period.
 - Expansion of virtual care offerings for all University Health Plans through Medica.
- Addition of several new Wellbeing programs, designed to help those who are working at home, or essential employees, working on site providing health care and other critical services. The Wellbeing Programs will include:
 - Online Foundations of Financial Wellness Program now offers room for an unlimited number of employees.
 - University Health Coaching appointments are all being offered via zoom meetings. RedBrick Health Coaching (now called Virgin Pulse) still available by phone.
 - Cooking for Wellness Programs will now be offered as webinars, including how to develop a "Well Stocked Pantry in a Time of Social Distancing".
 - Two new health challenges offered for balance of program year, including first challenge: "Seize the Day".
 - Mindfulness at Work program available at highly discounted rate through Bakken Center for Spirituality and Healing.



- EAP services expanded to include non-benefits eligible employees during COVID.
- Decision made to close Farmers Market for summer, 2020, for participant and farmer safety
 - RFI is being conducted for the Emergency Medical Travel Program which would combine several different travel assistance programs at the University
 - Audit for Discovery Benefits Inc., administrator for University Flexible Spending Accounts will be conducted in summer, 2020
 - Rebates through Prime Therapeutics significantly improved due to their new working relationship with Express Scripts.

Capital Project Management

Semi-Annual Report

June 2020

Projects	Scope	Schedule	Budget	Project Budget	Est. Design Completion	Est. Substantial Completion
Projects in Design						
Lab School-Child Development Center Unified Building Project, Twin Cities	●	●	●	\$ 11,000,000	June 2020	August 2021
Masonic Institute for the Developing Brain (MIDB), Twin Cities	●	●	●	\$ 38,500,000	August 2020	August 2021
Institute of Child Development, Twin Cities	●	●	●	\$ 43,800,000	September 2020	July 2022
Early Childhood Learning Center (ECLC), Twin Cities	●	●	●	\$ 5,000,000	July 2020	June 2021
New Student Housing, Duluth	●	●	●	\$ 50,000,000	May, 2020	TBD
Residence Dining Center Addition, Duluth	●	●	●	\$ 20,000,000	May, 2020	TBD
Projects in Construction						
3M Arena at Mariucci Weight Room and Office Renovation, Twin Cities	●	●	●	\$ 1,970,000	January 2019	June 2020
CMRR MDT Optical Imaging Renovation and Addition, Twin Cities	●	●	●	\$ 16,900,000	May 2019	April 2021
Dowell Hall Lab Space Reconfigurations, Crookston	●	●	●	\$ 2,700,000	June 2019	August 2020
Knoll Area Infrastructure Improvements, Twin Cities	●	●	●	\$ 12,035,600	May 2019	September 2020
Larson Football Performance Center Recovery Suite, Twin Cities	●	●	●	\$ 1,500,000	March 2020	July 2020
Ordean Court Renovation, Duluth	●	●	●	\$ 2,500,000	March 2019	June 2020
Owen Hall Repurpose Garage Warehouse into Lab Space, Crookston	●	●	●	\$ 2,100,000	September 2019	August 2020
Pillsbury Hall Rehabilitation, Twin Cities	●	●	●	\$ 36,480,000	October 2019	June 2021
UMMC Resident Fellow Health and Rest Suite, Twin Cities	●	●	●	\$ 3,972,000	April 2020	December 2020

Capital Project Management

Semi-Annual Report

June 2020

Projects	Scope	Schedule	Budget	Project Budget	Est. Design Completion	Est. Substantial Completion
Completed Projects						
Blakely Hall Instructional Classroom Improvements, Morris	●	●	●	\$ 2,600,000	May 2019	January 2020
Health Sciences Education Center, Twin Cities	●	●	●	\$ 105,068,000	October 2017	January 2020
Horticulture and Operations Headquarters, Arboretum	●	●	●	\$ 5,650,000	May 2019	March 2020
Moos Tower 2nd Floor Cancer Center Lab Expansion, Twin Cities	●	●	●	\$ 3,521,000	February 2019	January 2020
				\$ 365,296,600		

PROJECTS IN DESIGN



Lab School-Child Development Center Unified Building Project, Twin Cities

Description

The project will renovate the existing facility of 18,700 GSF and provide a new 12,000 GSF addition to combine the relocated Lab School and the Child Development Center into a unified program.

Status Design Build team selected; Schematic Design approved May 2020. Construction is scheduled to start in late June 2020 with substantial completion by August 2021.

● Scope ● Schedule ● Budget



Masonic Institute for the Developing Brain (MIDB), Twin Cities

Description

The project will renovate a newly acquired 116,000 GSF facility for the new Masonic Institute for the Developing Brain, which combines current groups from the Office of Academic and Clinical Affairs, the College of Education and Human Development, and the Medical School.

Status Schematic Design complete. Construction is scheduled to begin in August 2020.

● Scope ● Schedule ● Budget

PROJECTS IN DESIGN



Institute of Child Development, Twin Cities

Description

This project involves the renovation of an existing 1913 building, demolition of its 1968 addition, and construction of a new addition. Total renovation and new addition to be approximately 77,400 GSF.

Status Project is currently in Design Development.

● Scope ● Schedule ● Budget



New Student Housing, Duluth

Description

This project is a new 10-story, 351 bed traditional style dorm connected to the existing Griggs Hall circulation system. The building is approximately 113,000 GSF.

Status Design is 100% complete; construction is on hold.

● Scope ● Schedule ● Budget

PROJECTS IN DESIGN

Residence Dining Center Addition, Duluth

Description

An addition to the current Residence Dining Center, this project is a new 26,000 GSF dining facility that adds approximately 280 new seats on campus.

Status Design is 100% complete; construction is on hold.

Scope Schedule Budget

Early Childhood Learning Center (ECLC), Twin Cities

Description

This project is a new 12,000 GSF building development for a vendor (YMCA) to lease and operate for 25 years. The facility will accommodate 166 children and include onsite parking.

Status Design completion is expected in July 2020; construction substantial completion is expected June 1, 2021.

Scope Schedule Budget



PROJECTS IN CONSTRUCTION



3M Arena at Mariucci Arena Weight Room and Office Renovations, Twin Cities

Description

This project will construct a state-of-the-art space in 3M Arena at Mariucci for the men's and women's hockey programs, its coaches, and its supporters by updating the existing strength training area, men's hockey offices, and a new M-Club alumni room.

Status Construction is scheduled to be substantially complete in June 2020.

● Scope ● Schedule ● Budget



CMRR MDT Optical Imaging Renovation and Addition, Twin Cities

Description

This project will renovate and add to the existing CMRR facility to create space for the MDT (Minnesota Discovery Team) Optical Imaging program. Additional space will house a new magnet to enhance magnetic resonance research.

Status Construction began in July 2019 and will be substantially complete April 2021. The MRI East addition is complete and the new magnet is installed. The courtyard addition is currently underway and on schedule.

● Scope ● Schedule ● Budget

PROJECTS IN CONSTRUCTION



Dowell Hall Lab Space Reconfigurations, Crookston

Description

The project will remodel existing Chemistry, Physics, Biology, and Anatomy science classrooms on Dowell's third floor; collaboration spaces will be created in the third floor corridor.

Status The design was completed in June 2019, with substantial completion expected in August 2020.

● Scope ● Schedule ● Budget



Knoll Area Infrastructure Improvements, Twin Cities

Description

This project integrates several utility and roadway improvement needs into one coordinated construction effort. The scope of work includes chilled water, sewer, steam and telecommunications infrastructure, as well as road reconstruction. Due to the complexity, the project will be completed in two phases: Summer 2019 and Summer 2020.

Status Phase I construction was completed in October 2019. Phase II will be completed Summer 2020.

● Scope ● Schedule ● Budget

PROJECTS IN CONSTRUCTION



Larson Football Performance Center Recovery Suite, Twin Cities

Description

This project remodels the existing Larson Football Performance Center 2nd Floor to allow for a new recovery suite including an Infrared Sauna Room, Float Tank Suites, Sleep Pod Suite, and Wellness Recovery Equipment.

Status Project is currently in construction, with substantial completion expected Mid-July 2020.

● Scope ● Schedule ● Budget



Ordean Court Renovation, Duluth

Description

Ordean Court is the primary welcoming area and the face of UMD for off-campus visitors. The project includes roughly 25,000 SF of concrete sidewalk removal, 26,000 SF of landscape removal and limited asphalt pavement mill and overlay. Site electrical and lighting will also be upgraded.

Status Landscaping to take place this Spring as weather permits. Contractor punch list and warranty items underway. Project delayed due to excessive rain last fall.

● Scope ● Schedule ● Budget

PROJECTS IN CONSTRUCTION



Owen Hall: Repurpose Garage Warehouse into Lab Space, Crookston

Description

The project will repurpose existing high-bay space in Owen Hall for a chemistry and biology research laboratory.

Status Substantial completion is anticipated August 2020.

● Scope ● Schedule ● Budget



Pillsbury Hall Rehabilitation, Twin Cities

Description

This 60,100 SF project will renovate one of the Twin Cities campus' oldest and most iconic buildings to provide modern teaching, learning, and research spaces, promote collaborative learning, and bolster undergraduate education for more than 6,000 students.

Status Substantial completion is anticipated for June 2021.

● Scope ● Schedule ● Budget

PROJECTS IN CONSTRUCTION



UMMC Resident Fellow Health and Rest Suite, Twin Cities

Description

This project will renovate 8,200 square feet on the First Floor of the Phillips Wangensteen Building (PWB) into an on-call suite serving UMMC residents and fellows previously located in Mayo. The design includes sleeping rooms and hygiene, nutrition, and fitness amenities, with direct tunnel access to the hospital and adjacent buildings.

Status Construction is expected to begin in June 2020, with substantial completion in December 2020.

● Scope ● Schedule ● Budget

COMPLETED PROJECTS



Blakely Hall Instructional Classrooms, Morris

Description

The Ground floor of Blakely was remodeled to provide three new technology-rich classrooms, and support and collaboration space.

Status Project was substantially completed January 2020.

● Scope ● Schedule ● Budget

COMPLETED PROJECTS



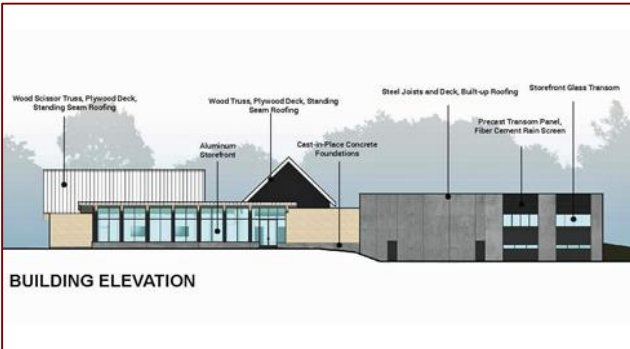
Health Sciences Education Center, Twin Cities

Description

This project involved building demolition, existing (PWB) renovation, and new construction for a 202,000 SF interdisciplinary health sciences education center on the East Bank Campus.

Status Construction is complete, building gained occupancy on April 1, 2020.

● Scope ● Schedule ● Budget



Horticulture and Operations Headquarters, Landscape Arboretum

Description

This project provides enclosed maintenance bays and shop space for ongoing repairs, and maintenance of grounds machinery and vehicles onsite. The project will also include office and support space for staff, and lockers/breakroom for the grounds crew and horticulturalists. In addition to the new building, the project will make required upgrades to deficient septic systems and site infrastructure.

Status Project is substantially complete and occupied, with some site work to be completed in the summer of 2020.

● Scope ● Schedule ● Budget

COMPLETED PROJECTS



Moos Tower 2nd Floor Cancer Center Lab Expansion, Twin Cities

Description

This project involves renovation and modifications to HVAC systems to accommodate current BSL2 lab requirements. Additional upgrades to mechanical & electrical systems were required for lab equipment, energy efficiency, and code compliance.

Status Project substantially complete in January 2020.

● Scope ● Schedule ● Budget



BOARD OF REGENTS POLICY: *Employee Group Definitions*

SECTION I. SCOPE.

This policy defines every employee group within the University of Minnesota (University) in which there exists an employer-employee relationship. Employees within each group are governed according to the policies, rules, and contracts applicable to their group. This policy does not apply to those individuals who are working at the University under independent contractual agreements and are not members of a defined employee group. The terms and conditions of employment for these individuals are specified in their employment contracts.

SECTION II. GUIDING PRINCIPLES.

- (a) The University shall seek to recruit, mentor, reward, and retain world-class faculty and staff and provide employment opportunities for students during their enrollment.
- (b) The University shall classify its positions according to (1) the nature of the work, and (2) the knowledge, skills, abilities, and levels of difficulties of duties and responsibilities assigned to and performed by employees appointed to such positions.
- (c) The University extends the safeguards of academic freedom to its employees and holds them to high standards of academic responsibility pursuant to Board of Regents (Board) policy.
- (d) The University's system of employment shall provide reasonable and fair treatment and invest in the success of its employees.

SECTION III. DEFINITIONS.

Subd. 1. Classification.

Classification shall mean the grouping that includes all positions of sufficiently similar mix or range of responsibilities or job duties.

Subd. 2. Exempt.

Exempt shall mean employees primarily performing work exempt from or not subject to the overtime provisions of the Fair Labor Standards Act and for whom overtime pay is not required by federal law.

Subd. 3. Non-exempt.

Non-exempt shall mean employees primarily performing work subject to the overtime provisions of the Fair Labor Standards Act and for whom overtime pay is required by federal law.

SECTION IV. REQUIREMENTS.

Subd. 1. Statutory Compliance.

Definitions of all employee groups and classifications shall comply with all applicable local, state, and federal labor laws or statutes.

Subd. 2. Terms and Conditions.

The University shall ascribe to each employee group the terms and conditions of employment (a) dictated by law, (b) negotiated where applicable, and (c) in accordance with sound employment practice.

Subd. 3. Compensation.

Employees shall be compensated for carrying out the responsibilities of their position. Total compensation shall include annual base salary plus fringe benefits as determined by the employee group, appointment type, percent of time worked, duration of appointment, and any other eligibility requirements specified.

SECTION V. EMPLOYEE GROUPS.

Subd. 1. Faculty.

- (a) **Definition.** Regular (tenured/tenure track) faculty are engaged in teaching, research, and service. Term faculty are engaged in one or more of these functions.
- (b) **Governing Documents.** Board policies, University policies and procedures, and collective bargaining agreements for union-represented faculty shall govern faculty.

Subd. 2. Academic Professional and Administrative.

- (a) **Definition.** The academic professional and administrative (P&A) employee group includes the following sub-groups of employees:
 - (1) **Academic Professionals.** Academic professionals parallel faculty in having the requisite preparation and specialized knowledge in an academic discipline or field and in exercising independent professional judgment. These individuals may be engaged in teaching, research, service, and a wide variety of other professional functions within the University.
 - (2) **Academic Administrators.** Academic administrators are involved in policy development and execution, and in directing, coordinating, or supervising activities of the University.
 - (3) **Professionals-in-Training.** Professionals-in-training are involved in teaching and research. They hold internships, residencies, or are otherwise involved in further training in a disciplinary field.
- (b) **Representative Body.** A representative body is elected by academic professionals and academic administrators from each college, administrative unit, and coordinate campus to (1) participate in the development and implementation of applicable policies, procedures, and compensation plans and (2) advise the administration on any matters related to their conditions, benefits, or annual salary plan.
- (c) **Governing Documents.** Board policies and University policies and procedures shall govern P&A employees.

Subd. 3. Civil Service.

- (a) **Definition.** The University civil service employee group includes exempt and non-exempt staff whose work supports the academic and administrative functions. Staff perform work that includes, but is not limited to, the following: business operations, information technology, student services, service/maintenance, purchasing, and

accounting. Administrative civil service positions typically have a span of control at the department/division level or below.

- (b) **Representative Body.** A committee shall be appointed by the president to represent all areas, campuses, and central administration to (1) review and recommend rules and (2) advise the administration on any matter related to civil service conditions, benefits, compensation, and salary ranges.
- (c) **Governing Documents.** Civil Service Rules, Board policies, and University policies and procedures govern civil service employees.

Subd. 4. Union-Represented Staff.

- (a) **Definition.** The University recognizes those groups of staff employees that have exclusive representation under law and are statutorily governed.
- (b) **Governing Documents.** Collective bargaining agreements between the Board and the designated union, Board policies, and University policies and procedures govern union-represented staff employees.

Subd. 5. Graduate Assistant.

- (a) **Definition.** Graduate assistants are student employees registered in a graduate or post-baccalaureate professional degree program at the University and employed in a position covered under this employee group. Graduate assistants may be engaged in teaching, research, or administrative functions of the University under the direct supervision of faculty, academic professionals, or administrators.
- (b) **Governing Documents.** Board policies and University policies and procedures govern graduate assistants.

Subd. 6. Student.

- (a) **Definition.** Student employees are those individuals registered for classes who hold employment in non-academic student positions and undergraduate teaching and research assistant positions.
- (b) **Governing Documents.** Board policies and University policies and procedures govern student employees.

REVISION HISTORY

Adopted: December 9, 2005

Supersedes: Academic professional and administrative staff dated December 12, 1980; civil service personnel dated November 24, 1945; faculty and academic staff dated October 8, 1993; and student employment at the university dated July 10, 1981.



BOARD OF REGENTS POLICY: *Endowment Fund*

SECTION I. SCOPE.

This policy governs the management of investments in the University of Minnesota (University) endowment fund (endowment).

SECTION II. INVESTMENT OBJECTIVES.

The investment objectives for the University endowment shall be, over the long term, to:

- (a) preserve the inflation adjusted value of the endowment;
- (b) generate investment returns that meet or exceed the annual payout rate plus direct expenses incurred by the investment program after adjusting for inflation as measured by the Consumer Price Index;
- (c) execute the investment program within acceptable risk parameters; and
- (d) provide stable distributions for annual spending purposes.

SECTION III. COMPREHENSIVE PROGRAM REVIEW.

Annually, the president or delegate shall present to the Board of Regents (Board) a comprehensive review of the investment program including a summary of the results of investment strategies employed during the previous year to achieve the investment objectives.

SECTION IV. ASSET ALLOCATION GUIDELINES.

Consistent with Board of Regents Policy: *Reservation and Delegation of Authority*, the Board reserves to itself authority to approve asset allocation ranges. Those ranges shall be:

- The long-term allocation for Stability assets shall be 5-15 percent and include Liquid Reserves (5-15 percent) and High Quality Credit (0-6 percent).
- The long-term allocation for Diversifier assets shall be 20-40 percent and include Enhanced Stability assets (5-20 percent), Idiosyncratic assets (0-15 percent), and Growth Diversifiers (5-20 percent).
- The long-term allocation for Growth assets shall be 50-70 percent and include Equity (45-70 percent) and Extended Credit (0-10 percent). Within Growth assets, the Equity allocation shall

have long-term geographic target exposures of United States markets (45 percent), Developed Markets (30 percent), and Emerging Markets (25 percent).

SECTION V. REPORTING.

The president or delegate shall make the following reports to the Board at the specified times or frequencies:

- (a) a quarterly report regarding the status of the endowment containing the following information:
 - (1) the total market value and investment performance relative to selected benchmarks for each asset class and the total portfolio;
 - (2) an attribution analysis of investment performance;
 - (3) an analysis of investment performance relative to investment objectives;
 - (4) a summary of portfolio risk;
 - (5) deviations from asset allocation ranges, if any; and
 - (6) new managers, manager terminations, and any significant changes in investment strategy or allocation.
- (b) an annual report containing the following information:
 - (1) a comparison of relative performance and asset allocation to peer institutions;
 - (2) steps taken to provide opportunities to emerging, minority-owned, and woman-owned investment management firms; and
 - (3) a description of current investments related to sustainability and renewable energy.
- (c) at the next regularly scheduled meeting of the Board a report containing the following information:
 - (1) any significant change in investment strategy and any internal or external event that has materially affected the performance of the fund; and
 - (2) any other information requested by the Board.

SECTION VI. INVESTMENT MANAGEMENT GUIDELINES.

Subd. 1. Use of Investment Managers.

Except as provided in Subds. 3 and/or 4 of this section, endowment funds shall be invested only through investment managers. The president or delegate shall choose investment managers with demonstrated expertise and engage them by written agreement to execute transactions in their discretion within stated parameters and in accordance with applicable policy. No investment manager may manage more than 20 percent of the endowment for a period of more than 12 months.

Subd. 2. Liquidity.

Illiquid investments shall be defined as those incapable of being converted to cash or cash equivalents within 12 months without material loss of market value. The sum of illiquid investments by net asset value shall not exceed 50 percent of total endowment assets in normal market environments and 75 percent in stressed market environments. Additionally, the sum of (a) the net asset value of illiquid investments, and (b) total unfunded commitments to illiquid investments shall not exceed 75 percent of total endowment assets in normal market environments and 90 percent in stressed market environments.

Subd. 3. Rebalancing.

The president or delegate shall monitor market value of endowment assets in comparison to the asset allocation ranges approved by the Board. At least quarterly, the president or delegate shall determine whether rebalancing is appropriate and, if so, act in a timely and cost-effective manner. In order to achieve rebalancing, the following investment instruments may be employed with the use of an investment manager:

- (a) futures contracts, only on a net unleveraged basis;
- (b) options contracts for purposes of hedging or the sale of covered options, provided that aggregate option exposure may not exceed 10 percent of the value of the endowment; and
- (c) investments in exchange-traded funds.

Subd. 4. Permissible Activities and Limitations.

- (a) The Economic leverage, defined as the portion of an exposure obtained through the derivatives market that is not fully collateralized by cash, may be utilized subject to the constraint that gross leverage of the total endowment fund shall not exceed 110 percent. Derivative positions utilized in implementing the rebalancing program, as described in Subd. 3(a) of this section, will not be included in the calculation of gross leverage.
- (b) Co-investments are permissible subject to the following constraints: 1) co-investment commitments shall not exceed 3 percent of total endowment assets at time of commitment, and 2) co-investments may only be executed when sponsored by investment managers with whom the endowment has invested.
- (c) No individual investment may be made for the purpose of achieving management control in any company. This provision is not intended to prohibit the use by investment managers of control strategies with respect to portfolio companies.
- (d) A maximum of ten percent of the endowment may be invested in any single fund or account.
- (e) The investment of endowment funds shall comply at all times with the restrictions on investment of amounts comprising the Permanent University Fund that are set forth in Minnesota Statutes Section 11A.24 or its successor.

Subd. 5. Environmental, Social, and Governance Responsibilities.

The University shall consider environmental, social, and governance responsibilities in its investment decisions.

SECTION VII. PAYOUT RATE.

The endowment payout rate shall be set at a level that supports University operations while enabling the endowment to grow at an inflation-adjusted rate that will provide for future distributions. Distributions shall be made quarterly. The annual payout rate shall be 4.5 percent of the average of the endowment's trailing month-end market values for the prior 60 months.

REVISION HISTORY

Adopted: September 8, 1989

Amended: May 11, 1990; July 10, 1992; November 10, 1993; January 14, 1994; April 9, 1998; November 10, 2000; December 13, 2002; March 12, 2004; February 11, 2005; May 13, 2005; July 12, 2006; May 14, 2010; June 10, 2011; May 9, 2014; May 11, 2018

Supersedes: Investment Social Concerns Dated September 13, 1991



BOARD OF REGENTS POLICY: ***Nepotism and Personal Relationships***

SECTION I. SCOPE.

This policy governs conflicts of interest that may arise due to personal relationships among members of the University of Minnesota (University) community.

SECTION II. DEFINITIONS.

Subd. 1. Nepotism.

Nepotism shall mean actions by a University member that directly influence the University employment (e.g., hiring, promotion, supervision, evaluation, and determination of salary) or academic progress (e.g., grading and advising) of any other University member with whom they have a personal relationship. This definition includes instances where there is no direct influence on employment or academics, but the relationship has a negative impact on the educational or work environment.

Subd. 2. Personal Relationship.

Personal relationship shall mean a marital or other committed relationship, significant familial relationship, including, relationships by blood, adoption, marriage, or domestic partnership; partner, parent, grandparent, child, sibling, first cousin, uncle, aunt, nephew, niece, spouse, brother- or sister-in-law, father- or mother-in-law, son- or daughter-in-law, step-parent, or step-child; consensual sexual or romantic relationship; a close personal friendship; or a significant business relationship.

Subd. 3. Member of the University Community.

Member of the University community shall mean any University faculty member, staff member, student, or other individual engaged in any University activity or program.

SECTION III. GUIDING PRINCIPLES.

The following principles shall guide the commitment of the University to govern conflicts of interest that may arise due to personal relationships among members of the University community.

- (a) Nepotism is prohibited at the University in the employment and educational context.
- (b) Faculty members and advisors are cautioned that romantic relationships with current students are unwise and may violate other University policies, even when activities prohibited by this policy have been avoided because of:

- (i) the trust accorded to faculty members and advisors by students;
- (ii) the power disparity inherent in academic associations;
- (iii) the difficulty of making alternative arrangements for grading and evaluation;
- (iv) the risk of real or perceived favoritism toward the student in the personal relationship; and
- (v) the potential harm to the student and other students.

SECTION IV. IMPLEMENTATION.

The University shall:

- (a) Require mandatory consultation for University members who are or will be in a position to engage in activity prohibited by this policy and ensure that appropriate, confidential steps that do not unreasonably disadvantage any University member, are taken to avoid the prohibited activity.
- (b) Require compliance with this policy by either structuring the conditions of the employment or academic association of the related parties to avoid or eliminate prohibited activities or avoid the personal relationship that may lead to prohibited activities. Such structuring shall occur after appropriate consultation and shall not unreasonably disadvantage either University member.
- (c) Protect the employment or academic interests of the subordinate party when structuring the association to avoid a prohibited activity.
- (d) Protect the interests of the subordinate when a power disparity exists in the employment or academic association of the individuals in the personal relationship.
- (e) Grant an exception to this policy in unusual circumstances, when eliminating a prohibited activity would unreasonably disadvantage one or both of the University members involved in a personal relationship. Safeguards will be implemented to ensure that any subsequent employment or academic decisions are made impartially.
- (f) Allow noncompetitive appointments of spouses and partners otherwise authorized by University policy and procedures.
- (g) Implement disciplinary action in response to violations of this policy up to and including termination of employment or academic dismissal. Participation in and adherence to the consultation process may mitigate disciplinary action.
- (h) Ensure a compliance monitoring process and remedy any practices that deviate from this policy.

REVISION HISTORY

Adopted: September 10, 1971

Amended: September 10, 1993; December 11, 1998; November 10, 2005; May 11, 2012



BOARD OF REGENTS POLICY: *Postemployment*

SECTION I. PHILOSOPHY STATEMENT.

Subd. 1. Decisions Made Without Conflict.

The Board of Regents believes that decisions by its officers or employees on matters relating to the University should be made without conflicts of interest, actual or perceived, in an impartial manner, without undue influence, and with only the public interest in mind.

Subd. 2. Former University Employees.

A policy restricting the utilization by former University officers or employees of information gained by them during their University service or employment for their personal benefit or the benefit of their clients or employees will further such public interests.

The Board of Regents further believes that a policy restricting the activities in which a former University officer or employee may engage after leaving University service or employment will also help ensure efficiency and promote the evenhanded exercise of administrative discretion.

Subd. 3. Balancing Public Service.

The Board of Regents also believes that in the adoption of standards for those matters intended to ensure the proper ethical conduct by its officers or employees, it is important that there be a balance between such conflict of interest rules and the public objective of encouraging public service by qualified and experienced persons.

SECTION II. POLICY.

Subd. 1. Part of Employment Relationship.

The following policy shall be considered a part of the employment relationship with the University of Minnesota.

Subd. 2. Prohibition on Contracting for Goods and Services.

No person who is an officer or employee of the University of Minnesota, as hereafter designated, shall, for a period of one year after the term of office or employment has ceased, act personally, or as agent, employee or other representative of any other person, firm or corporation in transactions involving the contracting for goods, services, or other business and financial affairs of the University of Minnesota, if the transaction were within their official area of responsibility or employment during the two years of service to the University of Minnesota next preceding their ceasing employment with the University, and in which they

participated personally and substantially through decision, approval, disapproval, recommendation, the rendering of advice, investigation or otherwise.

Subd. 3. Definition of Act.

"Act" as used in subdivision 2 herein, shall include, without limitation, representing-aiding, assisting, counseling, advising, consulting with, or representing any other person, firm, or corporation as set forth in subdivision 2.

Subd. 4. Application.

This policy shall apply to those officers and employees with titles of assistant vice president or above.

Subd. 5. Disqualification from Conducting Business.

Any person, firm or corporation employing or engaging a person in violation of this policy shall be disqualified from conducting any business with the University for a period of one year. The University further reserves any and all other remedies available to it to fulfill the purposes of this policy.

Subd. 6. Policy Review and Appeals.

The Board of Regents, or such of its committees as the chair of the board shall determine, shall review this policy as may be necessary. The board, or its committee, shall hear appeals by University officers or employees affected by this policy, and the board may grant waivers or exceptions thereto.

Subd. 7. Effective.

This policy is effective with respect to such officers and employees who leave the employ of the University after final approval by the Board of Regents.

REVISION HISTORY

Adopted: April 11, 1980



BOARD OF REGENTS POLICY: *Sustainability and Energy Efficiency*

SECTION I. COMMITMENT.

Sustainability is a continuous effort integrating environmental, social, and economic goals through design, planning, and operational organization to meet current needs without compromising the ability of future generations to meet their own needs. Sustainability requires the collective actions of the University of Minnesota (University) community and shall be guided by the balanced use of all resources, within budgetary constraints. The University is committed to incorporating sustainability into its teaching, research, and outreach and the operations that support them.

SECTION II. GUIDING PRINCIPLES.

Subd. 1. Leadership.

Through excellence in environmental education, research, outreach, and stewardship, the University shall strive to be a world leader by promoting and demonstrating sustainability and energy efficiency and by producing leaders and informed citizens.

Subd. 2. Modeling.

The University shall strive to be a model in the application of sustainability principles to guide campus operations by:

- (a) meeting and aspiring to exceed all applicable regulatory requirements;
- (b) preventing pollution at its source;
- (c) reducing emissions to the environment; and
- (d) encouraging the use of a life-cycle cost framework.

Subd. 3. Operational Improvements.

The University shall undertake a continuous improvement process that seeks to meet the operational performance targets, goals, and objectives designed to achieve sustainability.

Subd. 4. Energy Efficiency.

The University shall undertake a process to increase energy efficiency, reduce dependence on non-renewable energy, and encourage the development of energy alternatives through research and innovation.

Subd. 5. Research.

The University shall (a) promote innovative, high visibility research projects focused on sustainability and energy efficiency to inform campus operations as a whole as well as the broader community; and (b) promote collaborative projects that include faculty research undertaken in partnership with operations staff, students, public entities, community organizations, and industry.

Subd. 6. Education and Outreach.

The University shall promote educational and outreach activities that are linked to operational improvements and innovation principles.

SECTION III. IMPLEMENTATION.

Subd. 1. Administration.

The University shall have sustainability goals that inform administrative policies and procedures in the areas of planning, decision-making, execution, assessment, reporting, and alignment. These policies and procedures shall rely on scientific analysis and support the efforts described in subds. 2-4 of this section.

Subd. 2. Operations.

Each University campus shall develop specific sustainability objectives and targets in the areas of:

- (a) physical planning and development, including buildings and infrastructure;
- (b) operations;
- (c) transportation;
- (d) purchasing; and
- (e) waste management and abatement.

Subd. 3. Accountability.

The president or delegate shall develop indicators and measures of success in the implementation of the principles outlined in this policy in consultation with appropriate faculty, staff, students, and experts in the broader community.

Subd. 4. Reporting.

The president or delegate shall report to the Board annually on progress toward established targets and standards, using this information to identify opportunities for subsequent improvement.

REVISION HISTORY

Adopted: July 9, 2004

Supersedes: Pollution Prevention and Waste Abatement Dated June 12, 1992.

Quarterly Asset Management Report

For the period ending March 31, 2020

Brian D. Burnett, Senior Vice President for Finance and Operations
Stuart Mason, Associate Vice President, Chief Investment Officer

Finance & Operations

June 11, 2020

SENIOR VICE PRESIDENT FOR FINANCE AND OPERATIONS

World Class Services for a World Class University



Office of Investments & Banking

UNIVERSITY OF MINNESOTA
Page 413 of 430

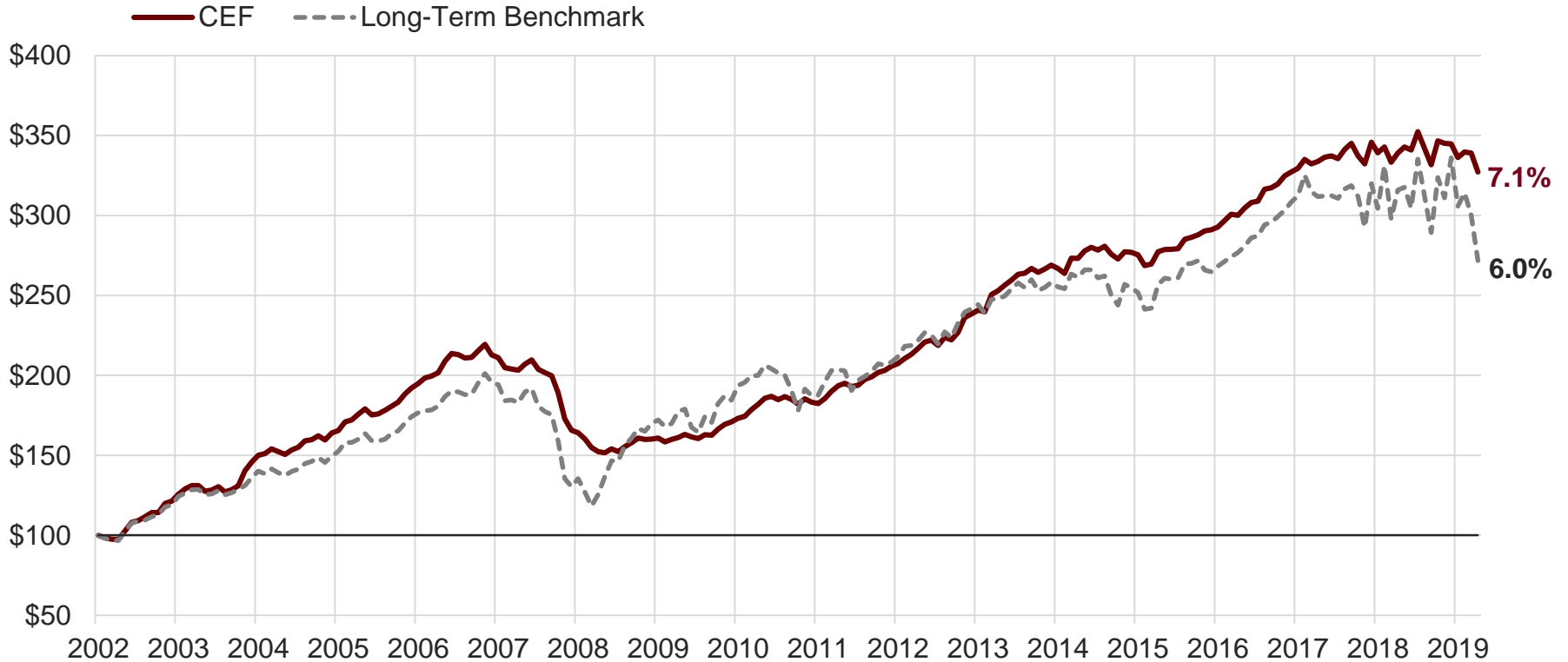
University Investment Funds

OIB Managed Funds (\$ millions)	Q1 2020	FY 2019	FY 2018	FY 2017	FY 2016	FY 2015
Consolidated Endowment Fund (CEF)	\$ 1,430.1	\$ 1,414.9	\$ 1,414.9	\$ 1,351.8	\$ 1,258.0	\$ 1,293.6
Long-Term Reserves (GIP)	82.6	70.8	70.8	71.3	65.8	52.7
Short-Term Reserves (TIP)	1,252.3	1,068.3	1,068.3	1,113.4	1,101.0	1,050.0
RUMINCO Ltd.	49.3	48.4	48.4	45.3	40.6	39.6
Invested Assets Related to Indebtedness	43.2	25.4	25.4	48.9	100.9	148.3
Total Managed Assets	2,857.5	2,627.8	2,627.8	2,630.7	2,566.3	2,584.2

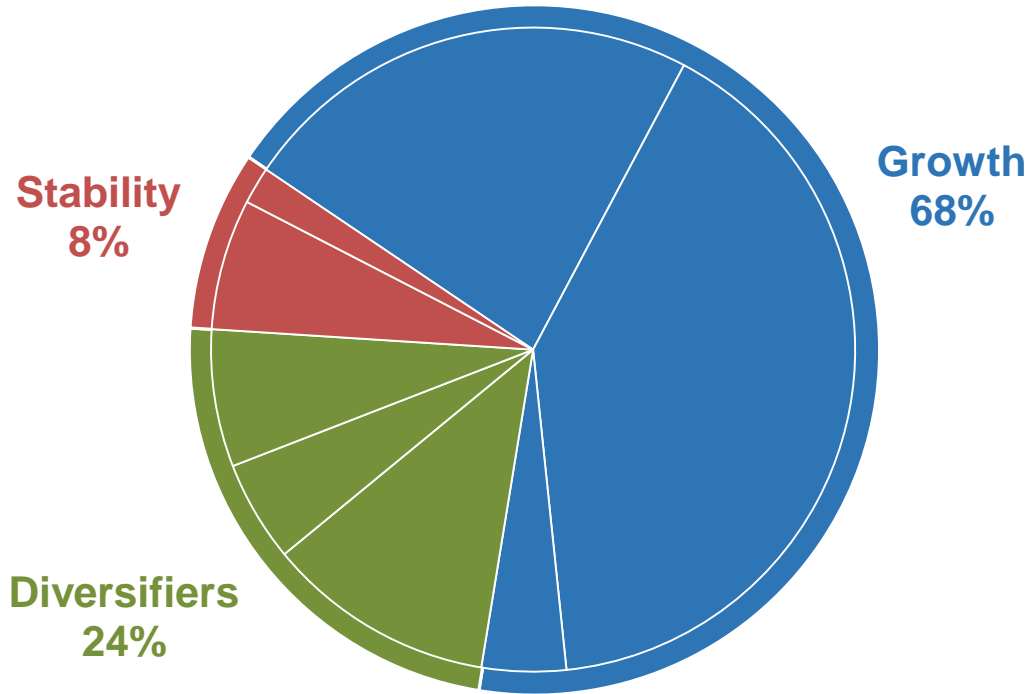


Our goal is to preserve the inflation adjusted value of the endowment

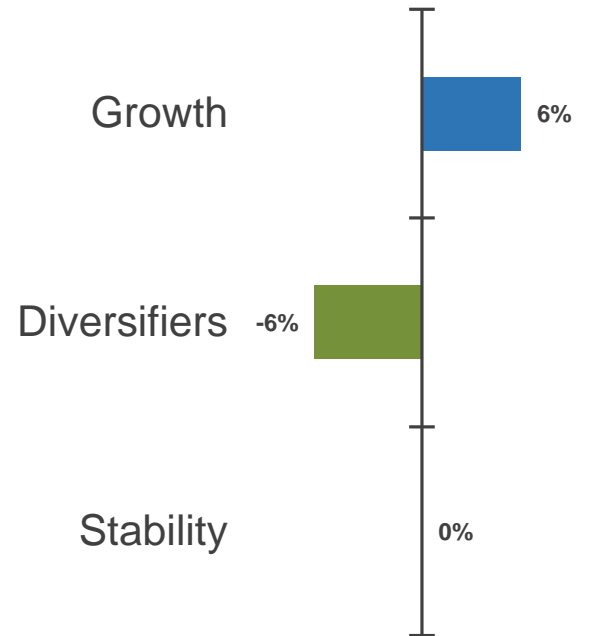
Growth of \$100



CEF Asset Allocation

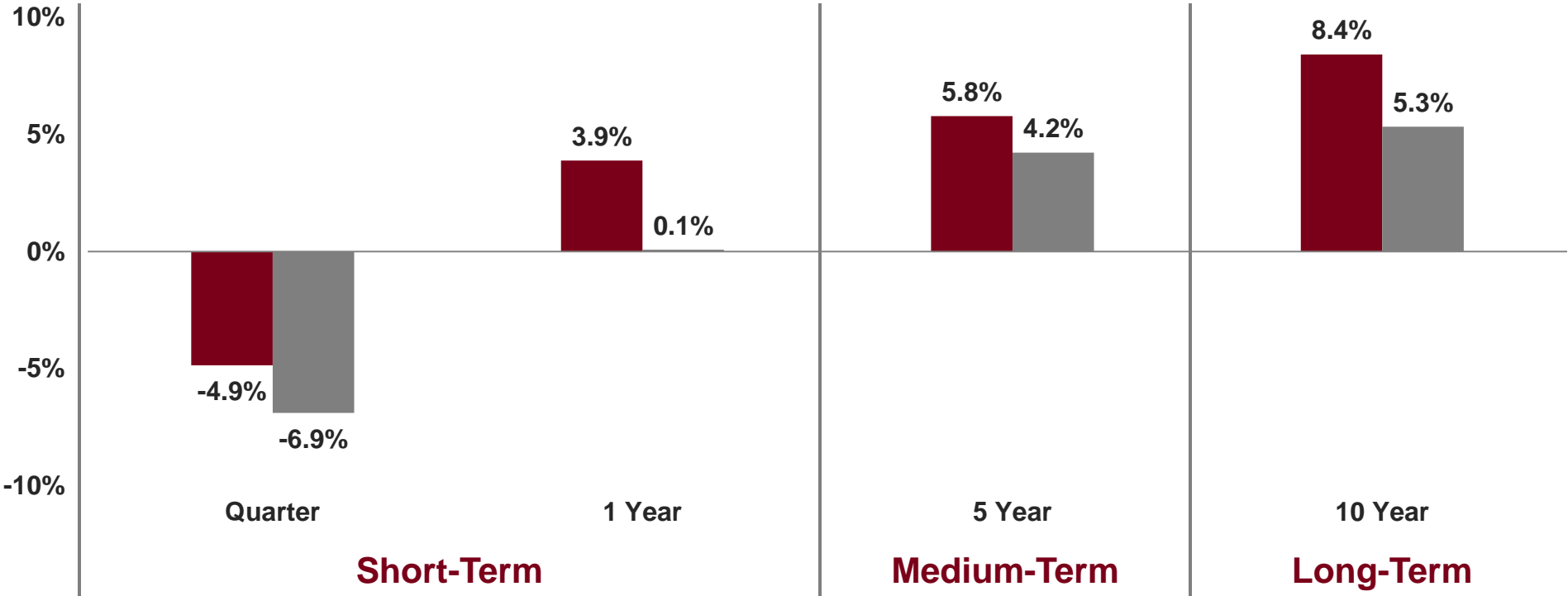


Over/Under Weight vs. Strategic Targets

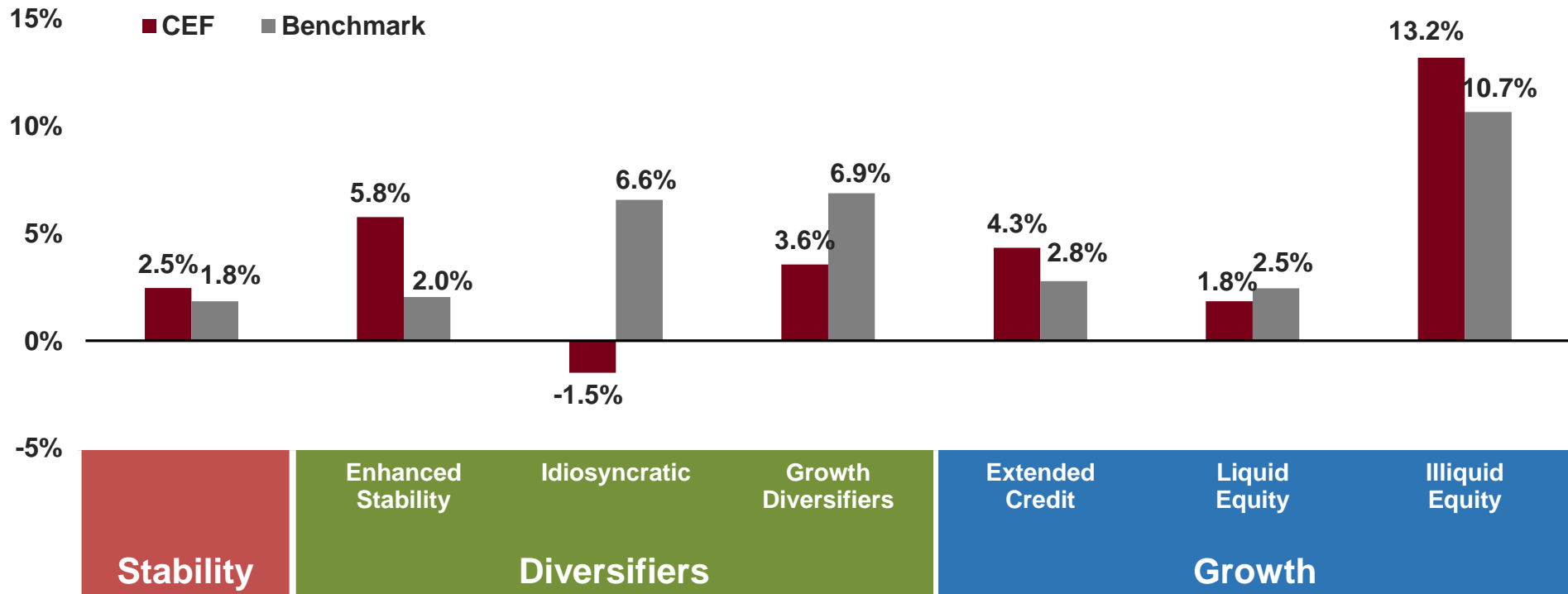


CEF Performance Summary

■ CEF ■ Benchmark

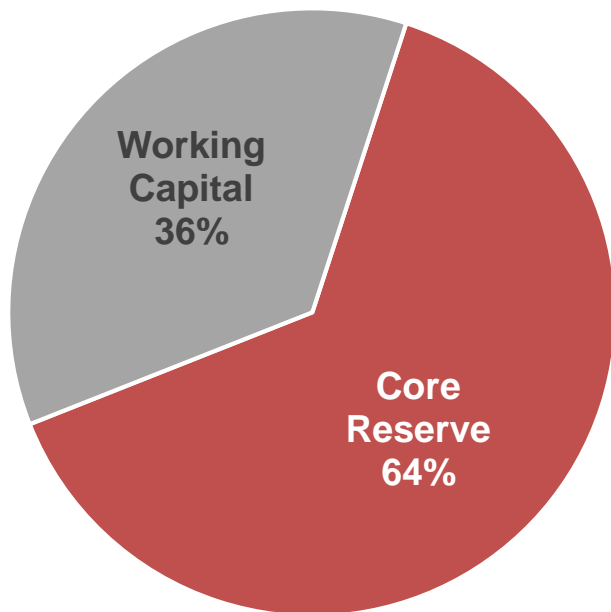


5-Year Returns for CEF Asset Classes vs. Medium-Term Objectives

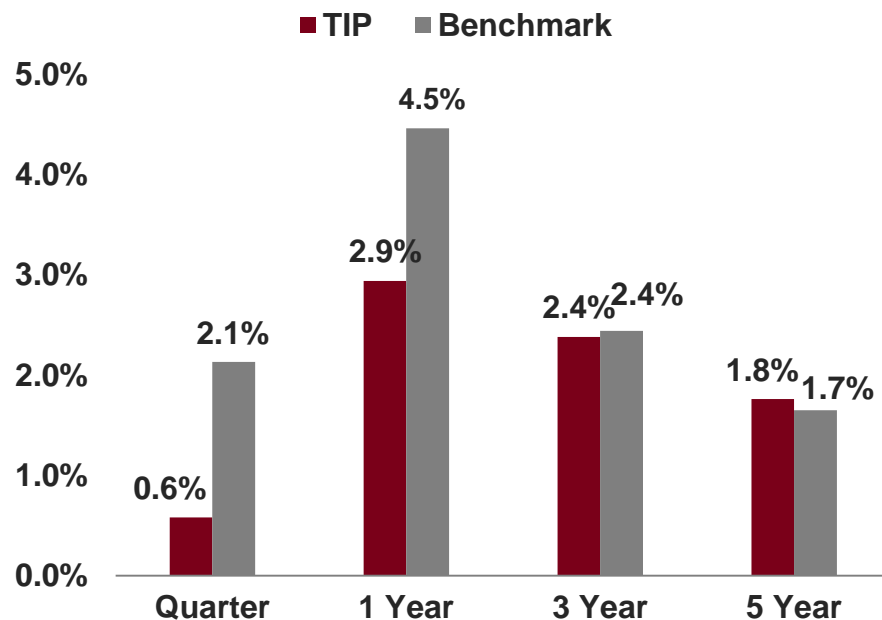


Short-Term Reserves (TIP)

Asset Allocation

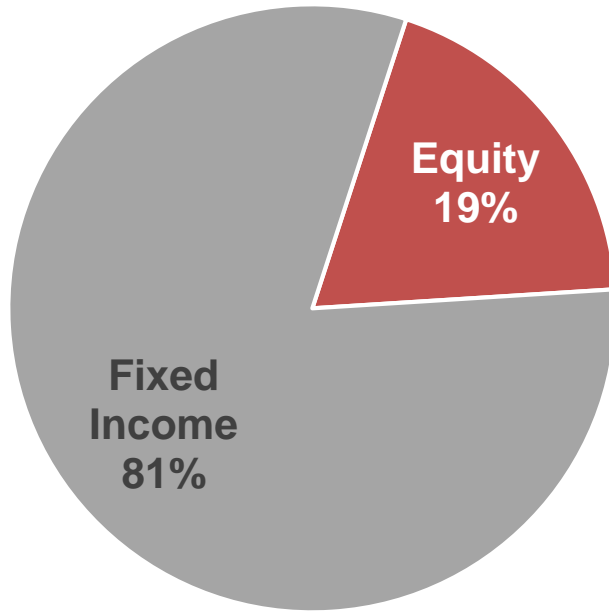


Performance Summary

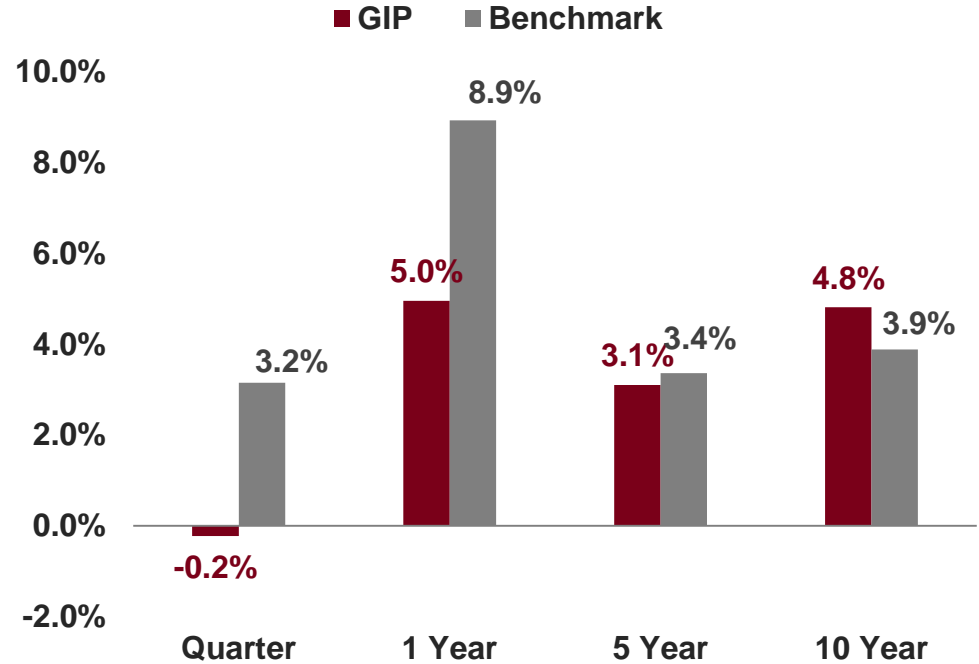


Long-Term Reserves (GIP)

Asset Allocation

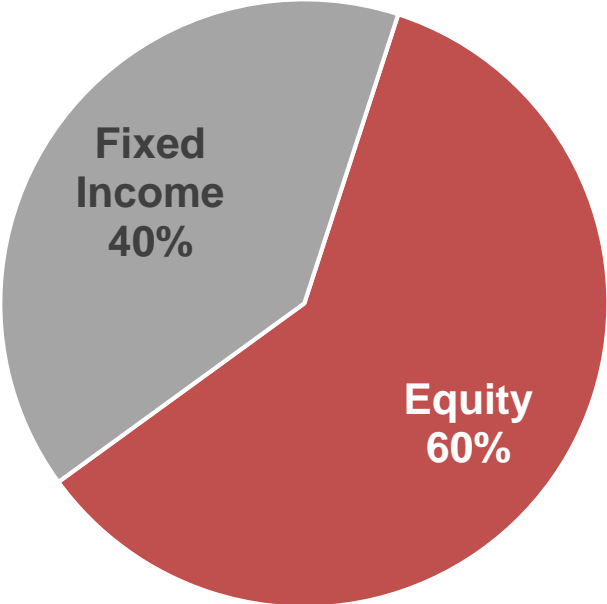


Performance Summary

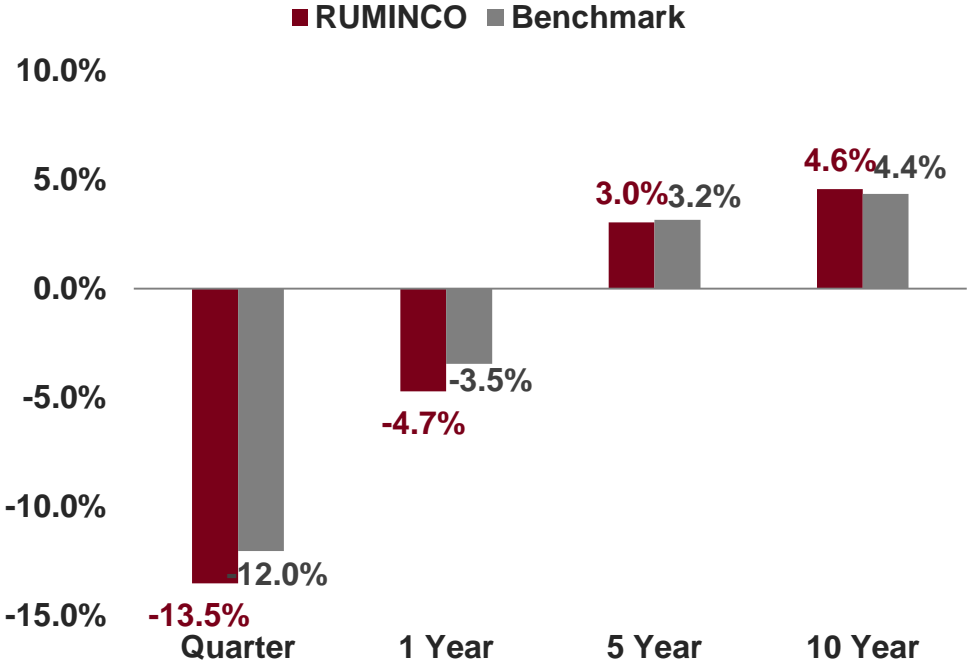


RUMINCO Ltd.

Asset Allocation



Performance Summary





UNIVERSITY OF MINNESOTA

Driven to Discover[®]

Crookston Duluth Morris Rochester Twin Cities

The University of Minnesota is an equal opportunity educator and employer.

June 11, 2020

The Honorable David McMillan, Chair
The Honorable Richard Beeson, Vice Chair
The Honorable Tom Anderson
The Honorable Mary Davenport
The Honorable Kao Ly Llean Her
The Honorable Michael Hsu
The Honorable Mike Kenyanya
The Honorable Janie Mayeron
The Honorable Kendall Powell
The Honorable Darrin Rosha
The Honorable Randy Simonson
The Honorable Steven Sviggum

Committee Members:

Enclosed are Purchasing Services' reports on purchasing activity for the third quarter, fiscal year '20. Regents policy requires that purchasing activity, including exceptions to competitive purchases, be reported to the Board of Regents. This letter provides explanatory background and brief analysis of the report and attachments that follow.

Background

The enclosed reports and attachments provide statistics, graphics and some detail on four categories of purchasing activity for the one quarter:

- Summary of Purchasing Activity
- Purchases made as Approved Exceptions to the competitive purchasing process
- Purchases made as Preapproved Exceptions to the competitive purchasing process
- Regents Purchasing Policy Violations

“Total Purchasing Activity” represents the total amount of goods and services purchased for the quarter and year-to-date across all funding sources, including construction projects.

“Approved Exceptions” refers to purchases where, following proper protocol, the vendor was not selected through a Request for Bid or Request for Proposal process. All of the approved exceptions were justified in writing by the requisitioning department, with the justification reviewed and approved by the Director of Purchasing before the purchase took place. Additionally, the appropriate Vice President and the University Controller approved all exceptions of \$250,000 and over, except pre-approved exceptions. Section II provides a listing of the transactions that followed this process and were approved as exceptions.

“Pre-approved Exceptions” are also purchases where the vendor has not been selected through a competitive process. However, they are exceptions that occur routinely with consistent reasons, so that the approval of the justification has become standardized. Refer to Section III of the report for a listing of transactions processed as pre-approved exceptions during the quarter.

“Regents Purchasing Policy Violations” refers to purchase transactions which bypassed the competitive process without following proper protocol and without the necessary approvals. Section IV provides a listing of purchasing violations.

The reports compare dollars spent on purchases in the respective quarter of the current year to dollars spent on purchases in same quarter of the previous year. The same quarter-to-quarter comparison is made for approved exceptions and for preapproved exceptions. With that in mind, the following observations are worth noting:

Summary:

- Q3 Purchasing Activity tracked closely to previous fiscal quarters
- Total Exception dollars for Q3 also tracked closely to previous fiscal quarters
- There were no Regents Purchasing Policy Violations in the third quarter of FY20

If you have any questions on the report, please do not hesitate to contact Beth Tapp, Director of Purchasing, or me.

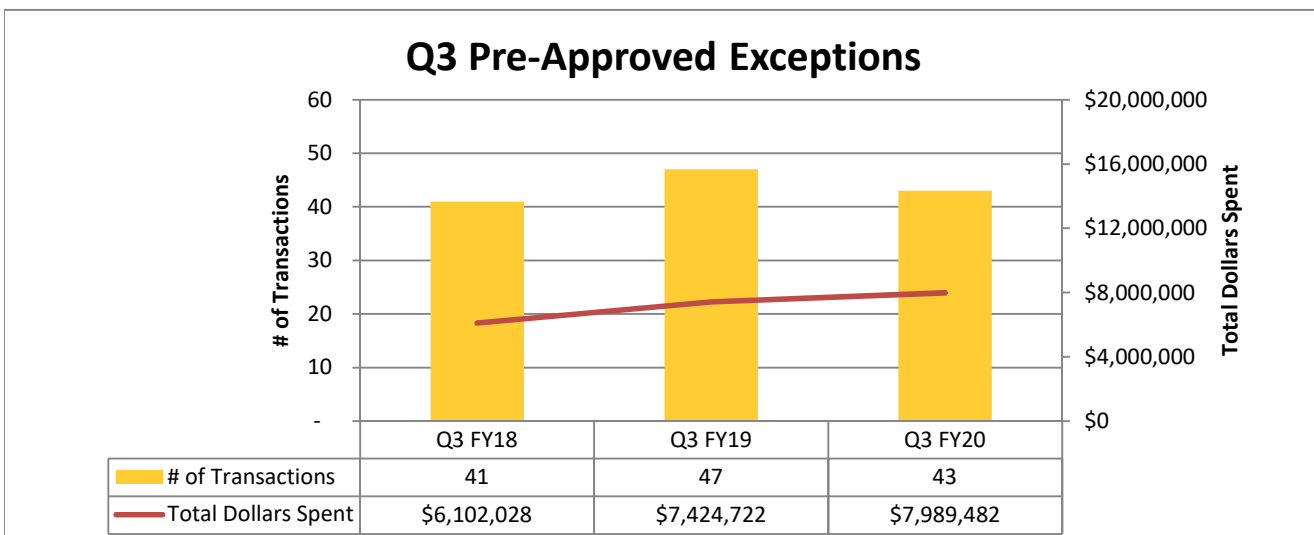
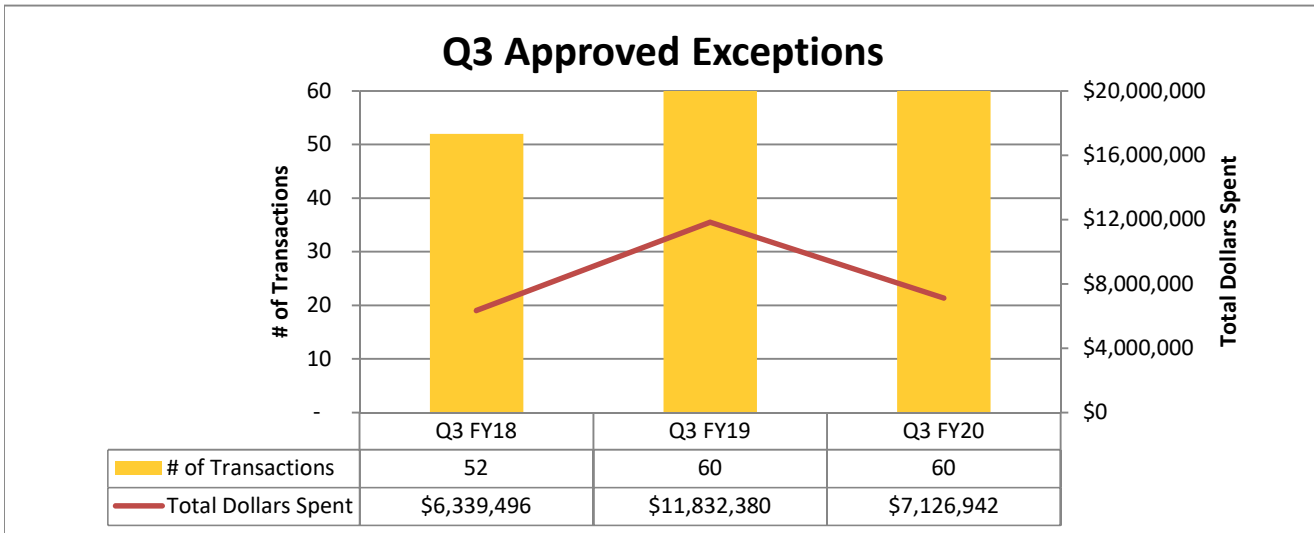
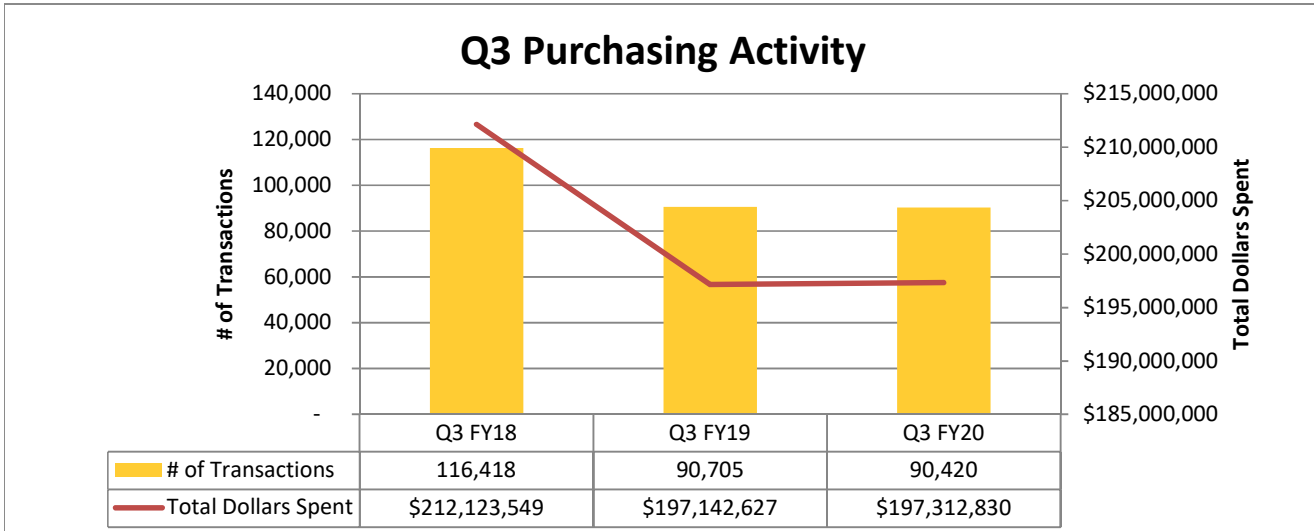
Sincerely,

A handwritten signature in black ink, appearing to read 'SP', with a long horizontal flourish extending to the right.

Suzanne Paulson
Controller

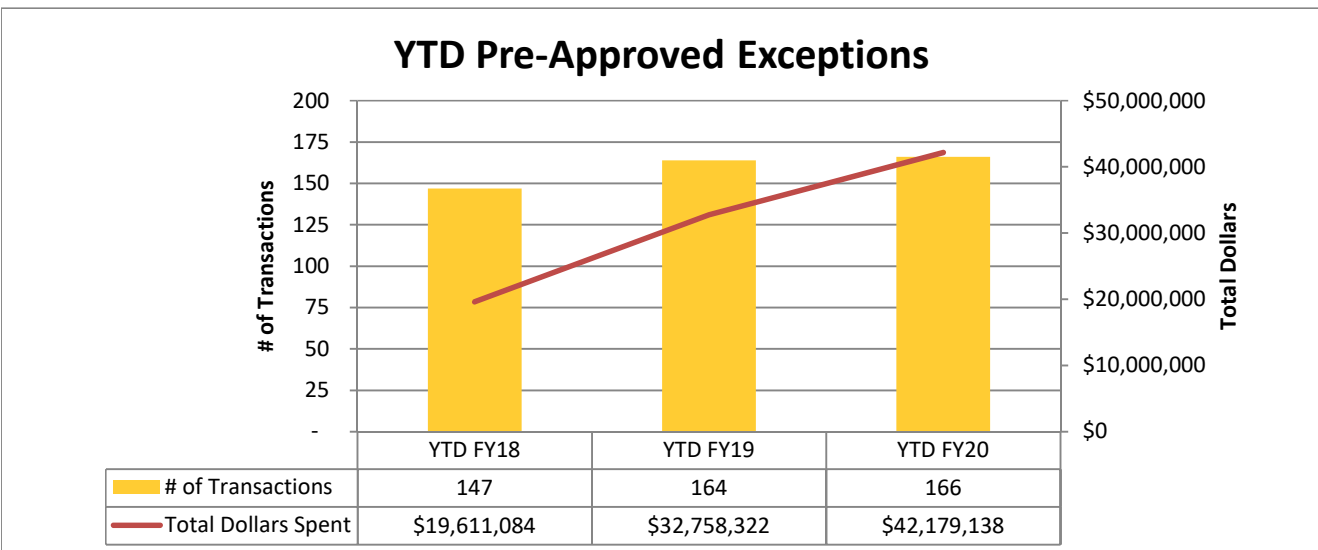
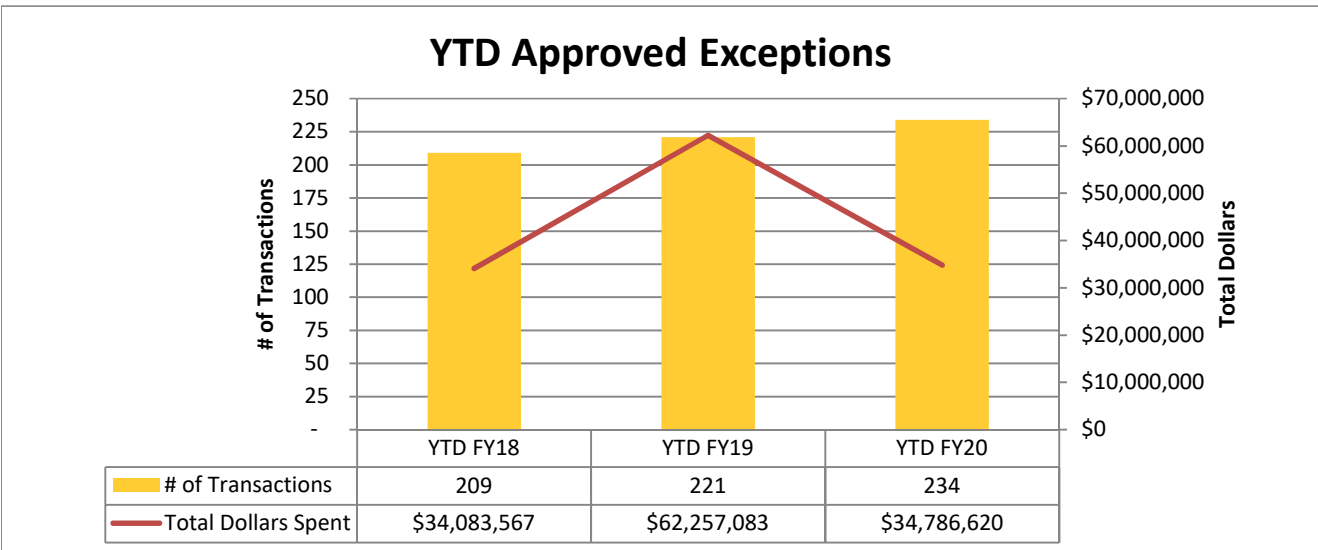
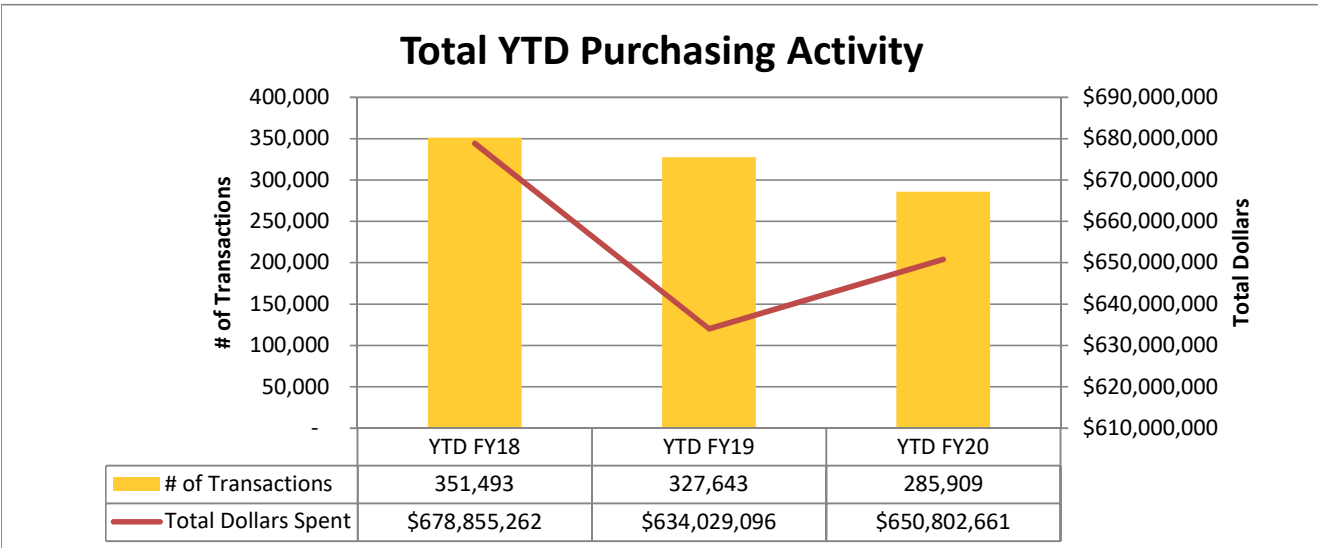
Cc: Michael Volna, Associate Vice President and Assistant Chief Financial Officer
Brian Steeves, Executive Director and Corporate Secretary, Board of Regents
Beth Tapp, Director, Purchasing Services

I. Summary of Purchasing Activity for Q3 FY20



Q3 Exceptions	93	107	103
Q3 Exception Dollars	\$12,441,524	\$19,257,102	\$15,116,424

Summary of Purchasing Activity YTD FY20



YTD Exceptions	356	385	400
YTD Exception Dollars	\$53,694,651	\$95,015,405	\$76,965,758

II. Purchases made as Approved Exceptions to Competitive Purchasing Process Q3FY20

Exception #1:	Total # of Exceptions	Total Dollars
Purchasing of research products (animal feed, serum, test equip/supplies) for clinical trials. Also purchasing from a previous supplier to ensure consistency of research results.	4	\$1,841,937

Exception #2:	Total # of Exceptions	Total Dollars
Equipment that requires brand compatibility with existing equipment and is available only from manufacturer or sole source authorized distributor.	16	\$1,617,148

Exception #3:	Total # of Exceptions	Total Dollars
Funding source or granting agency specified a single supplier.	7	\$883,258

Exception #4:	Total # of Exceptions	Total Dollars
Other	27	\$2,682,398

Emergency Exception #1:	Total # of Exceptions	Total Dollars
A threat to health, welfare, safety.	1	\$50,000

Emergency Exception #2:	Total # of Exceptions	Total Dollars
A significant loss to the University.	0	\$0

Emergency Exception #3:	Total # of Exceptions	Total Dollars
A failure to provide core services to University students/faculty/staff.	5	\$52,200

Emergency Exception #4:	Total # of Exceptions	Total Dollars
Emergency equipment repairs and parts or emergency facility repairs and parts under \$100,000.	0	\$0

TOTAL Approved Exceptions 60 \$7,126,942

III. **Pre-Approved Exceptions to Competitive Purchasing** Q3FY20

Exception #1:	Total # of Exceptions	Total Dollars
Lodging, travel (does not include group airfare or charter air).	2	\$270,640

Exception #2:	Total # of Exceptions	Total Dollars
Media advertising, purchase or access to uniquely compiled database information.	3	\$239,340

Exception #4:	Total # of Exceptions	Total Dollars
Closeout of used items which requestor or Purchasing has verified to be at least 30% below comparable new equipment (does not include refurbished or remanufactured furniture).	1	\$750,000

Exception #5:	Total # of Exceptions	Total Dollars
Subcontractors previously arranged by Sponsored Projects Administration (SPA).	3	\$131,136

Exception #6:	Total # of Exceptions	Total Dollars
Service (1) available only from another governmental agency or public entity or (2) required by law to be provided by another governmental entity.	6	\$372,949

Exception #7:	Total # of Exceptions	Total Dollars
Service/maintenance agreements with the original manufacturer/ developer for equipment and software.	10	\$2,308,041

Exception #8:	Total # of Exceptions	Total Dollars
Unique specification research animals purchased under guidelines of the Institutional Animal Care and Use Committee (IACUC).	1	\$150,000

Exception #9:	Total # of Exceptions	Total Dollars
Software license renewals and software upgrades available only from developer. This includes adding licenses to an existing license agreement.	7	\$3,006,341

Exception #11:	Total # of Exceptions	Total Dollars
Fairview purchases related to research projects.	3	\$129,725

Exception #12:	Total # of Exceptions	Total Dollars
Entertainers, lecturers, speakers and honoraria.	3	\$217,500

Exception #13:	Total # of Exceptions	Total Dollars
Purchases from University Physicians that are not part of sponsored research activities.	1	\$150,000

III. **Pre-Approved Exceptions to Competitive Purchasing (Cont.)** **Q3FY20**

Exception #14:	Total # of Exceptions	Total Dollars
Study Abroad Administrators (Does not include group airfares).	3	\$263,810

TOTAL Approved Exceptions **43** **\$7,989,482**

There are 25 categories of Pre-Approved Exceptions. Only those categories which had qualifying transactions are reported above.

IV. **Regents Policy Violations** **Q3FY20**

There are no Regents Policy Violations to report.