

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Mission Fulfillment Committee
September 12, 2019**

A meeting of the Mission Fulfillment Committee of the Board of Regents was held on Thursday, September 12, 2019 at 9:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Thomas Anderson presiding; Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, Ken Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum. David McMillan participated by phone.

Staff present: President Joan Gabel; Executive Vice President and Provost Karen Hanson; Chancellors Michelle Behr, Lendley Black, Lori Carrell, and Mary Holz-Clause; Senior Vice President Brian Burnett; Vice Presidents Michael Berthelsen, Christopher Cramer, Michael Goh, Bernard Gulachek, and Matt Kramer; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Student Representatives present: Spencer Basarich and Brandon King.

The docket materials for this meeting are [available here](#).

2019-20 COMMITTEE WORK PLAN

Regent Anderson and Executive Vice President and Provost Karen Hanson reviewed the 2019-20 Committee Work Plan, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

ANNUAL REPORT ON ACADEMIC PROGRAM CHANGES

Regent Anderson invited Executive Vice President and Provost Hanson and three UMTC faculty members – Daniel Griffin, Assistant Professor, Department of Geography, Environment and Society, College of Liberal Arts; Joseph Knight, Associate Professor, Department of Forest Resources, College of Food, Agricultural and Natural Resource Sciences; and Donna Whitney, Professor, Department of Earth and Environmental Sciences College of Science and Engineering – to provide the annual report on academic program changes, as detailed in the docket.

The docket materials for this item begin on page 6. The closed captioned video of this item is [available here](#).

ADDRESSING AFFORDABILITY: SUPPORTING STUDENT FINANCING OF EDUCATION

Regent Anderson invited Robert McMaster, Vice Provost and Dean of Undergraduate Education, and Tina Falkner, Director, Office of Student Finance, to discuss affordability and student financing of education, as detailed in the docket.

The docket materials for this item begin on page 39. The closed captioned video of this item is [available here](#).

THE OUTREACH MISSION: BELL MUSEUM AND GLENSHEEN PROGRAMS AND OUTCOMES

Regent Anderson invited Denise Young, Executive Director of the Bell Museum, and Daniel Hartman, Director of Glensheen, to discuss their facilities' outreach mission outcomes, as detailed in the docket.

The docket materials for this item begin on page 84. The closed caption video of this item is [available here](#).

CONSENT REPORT

A motion was made and seconded, and the committee voted unanimously to recommend approval of the following, as described in the Consent Report:

- **Request for Approval of New Academic Programs**
 - Academic Health Sciences (Twin Cities campus)—Create M.S. degree in Biomedical Laboratory Sciences
 - School of Public Health (Twin Cities campus)—Create post-baccalaureate certificate in American Indian Public Health and Wellness
 - Carlson School of Management (Twin Cities campus)—Create undergraduate minor in Strategic Management
- **Request for Approval of Changed Academic Programs**
 - College of Veterinary Medicine (Twin Cities campus)—Establish formal transfer agreement with South Dakota State University
 - College of Science and Engineering (Twin Cities campus)—Change name of the M.S. degree in Electrical Engineering to Electrical and Computer Engineering
 - School of Public Health (Twin Cities campus)—Deliver the Healthcare Administration M.H.A. degree partially online and discontinue Saudi Partnership
 - School of Public Health (Twin Cities campus)—Deliver the Public Health Administration and Policy M.P.H. degree online
- **Request for Approval of Discontinued Academic Programs**
 - College of Education and Human Service Professions (Duluth campus)—Discontinue Education Administration Specialist degree

- **Request for Conferral of Tenure for Outside Hires**

- Lars Hansen, Associate Professor with tenure, Earth Sciences, College of Science and Engineering
- Peter Nalin, Professor with tenure, Family Medicine and Biobehavioral Health, Medical School
- Maxim Pospelov, Professor with tenure, School of Physics and Astronomy, College of Science and Engineering
- Vera Pospelova, Professor with tenure, Earth Sciences, College of Science and Engineering
- Stephanie Terezakis, Professor with tenure, Radiation Oncology, Medical School
- Johan Ubbink, Professor with tenure, Food Science and Nutrition, College of Food, Agricultural, and Natural Resource Sciences
- Alvin Wee, Professor with tenure, Restorative Sciences, School of Dentistry

The docket materials for this item begin on page 130. The closed captioned video of this item is [available here](#).

INFORMATION ITEMS

Executive Vice President and Provost Karen Hanson referred the committee to the information items in the docket.

The docket materials for this item begin on page 136.

The meeting adjourned at 11:53 a.m.



BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Finance & Operations Committee
September 12, 2019**

A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, September 12, 2019 at 2:00 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Richard Beeson, presiding; Thomas Anderson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, Kendall Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum. David McMillan participated by phone.

Staff present: President Joan Gabel; Chancellors Michelle Behr, Lendley Black, Lori Carrell, and Mary Holz-Clause; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Michael Berthelsen, Christopher Cramer, Michael Goh, Bernard Gulachek, Matt Kramer, and Jakub Tolar; General Counsel Douglas Peterson; Executive Director Brian Steeves; Associate Vice Presidents Stuart Mason, Julie Tonneson, and Michael Volna; and Interim Associate Vice President Laurie McLaughlin.

Student Representatives present: Eleora DeMuth and Austin Kraft.

The docket materials for this meeting are [available here](#).

2019-20 COMMITTEE WORK PLAN

Regent Beeson and Senior Vice President Burnett reviewed the 2019-20 Committee Work Plan, as detailed in the docket.

The docket materials for this item begin on page 4. The closed captioned video of this item is [available here](#).

**PRESIDENT'S RECOMMENDED 2019 SIX-YEAR CAPITAL PLAN
AND 2020 STATE CAPITAL REQUEST**

Regent Beeson invited President Gabel, Senior Vice President Burnett, and Vice President Berthelsen to review the President's recommended 2019 Six-Year Capital Plan and 2020 State Capital Request, as detailed in the docket.

The docket materials for this item begin on page 10. The closed captioned video of this item is [available here](#).

OVERVIEW OF FAMILY STUDENT HOUSING ON THE TWIN CITIES CAMPUS

Regent Beeson invited Interim Associate Vice President McLaughlin and Monique MacKenzie, Director of Campus Planning, to provide an overview of family student housing on the Twin Cities campus, as detailed in the docket.

The docket materials for this item begin on page 58. The closed captioned video of this item is [available here](#).

RESOLUTION RELATED TO DINING SERVICES CONTRACT EXTENSION

Regent Beeson invited Vice President Berthelsen and Amy Keran, Director of Contract Administration, to review the resolution related to dining service contract extension for the Twin Cities campus, as detailed in the docket.

The docket materials for this item begin on page 73. The closed captioned video of this item is [available here](#).

Beeson recessed the meeting at 3:42 p.m.

REAL ESTATE TRANSACTION

Regent Beeson reconvened the meeting at 3:52 p.m. and invited Assistant Vice President Leslie Krueger, Vice President Tolar, and Dean Jean Quam, College of Education and Human Development, Twin Cities campus, to review the following real estate transactions, as detailed in the docket.

- A. Purchase of 2025 East River Parkway, Minneapolis, Minnesota (Twin Cities campus)
- B. Sale of 701 24th Avenue Southeast, Minneapolis, Minnesota (Twin Cities campus)

The docket materials for this item begin on page 88. The closed captioned video of this item is [available here](#).

CONSENT REPORT

Senior Vice President Burnett presented the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 115. The closed captioned video of this item is [available here](#).

General Contingency:

- There were no items requiring approval this period.

Purchase of Goods and Services \$1,000,000 and Over:

- To Academic Programs International (API), Academic Studies Abroad (ASA), American Institute for Foreign Studies (AIFS), CEA Study Abroad, Center for International Studies (CISabroad), International Studies Abroad (ISA), and University Studies Abroad Consortium (USAC) and others (see additional list below) for an estimated total of \$1,500,000 to provide services to support study abroad programs for UMD International Programs and Services' Study Abroad office for FY 2020. The program fees cover the costs of the academic program and the administrative costs for the UMD Study Abroad office. See enclosed documentation for basis of supplier selection.
- To Delta Dental for the estimated \$1,398,276 for administering a self-funded UPlan dental plan for the University of Minnesota Office of Human Resources, Employee Benefits for the period of January 1, 2020 through December 31, 2021 with optional contract extensions through December 31, 2025 for an additional \$2,778,553. Total contract value, if all options are exercised would be \$4,167,829. The administrative service fees are funded on an annual basis through the fringe pool. Delta Dental, the current supplier, was selected as a result of a competitive request for proposal (RFP) process conducted by Purchasing Services. Three suppliers responded to the RFP.
- To Minnesota Life Insurance Company for the estimated \$9,314,486 for life insurance coverage for the University of Minnesota Office of Human Resources, Total Compensation for the period of January 1, 2020 through December 31, 2021 with optional contract extensions through December 31, 2025 for an additional \$18,628,972. Total contract value, if all options are exercised would be \$27,943,458. The premium fees are funded on an annual basis through the fringe pool for basic life insurance. All other coverages are funded by employee paid premiums. Minnesota Life Insurance Company, a new supplier relationship, was selected as a result of a competitive request for proposal (RFP) process conducted by Purchasing Services. Five suppliers responded to the RFP.
- To TeamDynamix for an estimated \$1,179,381 for an IT Service Management and IT Asset Management solution for the Office of Information Technology (OIT) for the period of September 30, 2019 to September 29, 2024. This requirement is currently budgeted and will be funded utilizing OIT O&M funds. TeamDynamix, a new supplier relationship, was selected as a result of a competitive request for proposal (RFP) process conducted by Purchasing Services. Eight suppliers responded to the RFP.

A motion was made and seconded, and the committee voted unanimously to recommend approval of the Consent Report.

INFORMATION ITEMS

Senior Vice President Burnett referred the committee to the information items in the docket:

- Annual Asset Management Report
- Investment Advisory Committee Update
- Progress Report on Sustainability and Energy Efficiency Targets and Standards
- Quarterly Purchasing Report

The docket materials for this item begin on page 123. The closed captioned video of this item is [available here](#).

The meeting adjourned at 5:02 p.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive, flowing style.

BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Audit & Compliance Committee
September 13, 2019**

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Friday, September 13, 2019 at 9:45 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Darrin Rosha, presiding; Mary Davenport, Michael Hsu, Mike Kenya, Ken Powell, and Steve Sviggum.

Staff present: President Joan Gabel; Executive Vice President and Provost Karen Hanson; Chancellor Mary Holz-Clause; Vice Presidents Christopher Cramer, Michael Goh, and Bernard Gulachek; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Michael Volna.

Student Representatives present: Misk Al Zahidy and Rodrigo Togo Garcia.

The docket materials for this meeting are [available here](#).

2019-20 COMMITTEE WORK PLAN

Regent Rosha and Chief Auditor Klatt reviewed the 2019-20 Committee Work Plan, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

OVERVIEW OF FINANCIAL STATEMENTS REVIEW

Regent Rosha invited Associate Vice President Michael Volna to discuss the financial statements review, as detailed in the docket.

The docket materials for this item begin on page 8. The closed captioned video of this item is [available here](#).

UNIVERSITY CYBERSECURITY PROGRAM: OVERVIEW AND WORK PLAN

Regent Rosha invited Bernard Gulachek, Vice President and Chief Information Officer and Brian Dahlin, Chief Information Security Officer to discuss the University's cybersecurity program, as detailed in the docket.

The docket materials for this item begin on page 11. The closed captioned video of this item is [available here](#).

INTERNAL AUDIT CHARTER

Regent Rosha invited Chief Auditor Klatt to discuss the Internal Audit Charter, as detailed in the docket.

The docket materials for this item begin on page 25. The closed captioned video of this item is [available here](#).

INFORMATION ITEMS

Chief Auditor Klatt referred the committee to the information items in the docket:

- Engagements Less Than \$100,000 Requiring After-the-Fact Reporting.
- Summary of Recent Audits of Federal Sponsored Awards.

The docket materials for this item begin on page 30. The closed captioned video of this item is [available here](#).

The meeting adjourned at 10:53 a.m.



BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Litigation Review Committee
September 13, 2019**

A meeting of the Litigation Review Committee of the Board of Regents was held on Friday, September 13, 2019 at 9:45 a.m. in the West Committee Room, 600 McNamara Alumni Center.

Regents present: Richard Beeson, presiding; Thomas Anderson, Kao Ly Ilean Her, Janie Mayeron, and Randy Simonson. David McMillan participated by phone.

Staff present: Senior Vice President Brian Burnett; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Others present: Jeanett Bazis, Brent Benrud, Carrie Ryan Gallia, Timothy Pramas, Brian Slovut, and Katherine Swenson.

The docket materials for this meeting are [available here](#).

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING
OF THE LITIGATION REVIEW COMMITTEE**

The meeting convened in public session at 9:46 a.m. A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents Litigation Review Committee has balanced the purposes served by the Open Meeting Law and by the attorney-client privilege, and determined that there is a need for absolute confidentiality to discuss litigation strategy in particular matters involving the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with Minn. Stat. § 13D.01, Subd. 3 and 13D.05 Subd. 3(b), a non-public meeting of Litigation Review Committee be held on September 13, 2019 at 9:45 a.m. in the West Committee Room, 600 McNamara Alumni Center, for the purpose of discussing attorney-client privileged matters including the following:

- I. Patent Litigation
 - a. *Regents of the University of Minnesota v. Gilead Sciences, Inc.*
 - b. *Regents of the University of Minnesota v. AT&T Mobility LLC, et al.; Sprint Spectrum L.P., et al; T-Mobile USA, Inc., et al.; Cellco Partnership, d/b/a Verizon Wireless, et al.*

- c. *Regents of the University of Minnesota v. LSI Corporation and Avago Technologies U.S., Inc.*
- II. *Shannon Miller, Jen Banford and Annette Wiles. v. The Board of Regents of the University of Minnesota*
- III. *Young American's Foundation, et al. v. Eric W. Kaler, et al.*
- IV. *Paige Du Bois v. The Board of Regents of the University of Minnesota*

The committee voted unanimously to adopt the resolution and the public portion of the meeting ended at 9:48 a.m.

The meeting adjourned at 10:47 a.m.



BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Board of Regents
September 13, 2019**

A meeting of the Board of Regents of the University of Minnesota was held on Friday, September 13, 2019 at 11:30 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, Darrin Rosha, Randy Simonson, and Steve Sviggum. David McMillan participated by phone.

Staff present: President Joan Gabel; Chancellors Michelle Behr, Lendley Black, and Mary Holz-Clause; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Michael Berthelsen, Christopher Cramer, Matt Kramer, and Jakub Tolar; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Associate Vice President Michael Volna.

The docket materials for this meeting are [available here](#).

RECOGNITIONS

Regents Professors

Regent Powell and President Gabel recognized newly appointed Regents Professors Ned Mohan, David Y.H. Pui, and Marlene Zuk. The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

APPROVAL OF MINUTES

The Board voted unanimously to approve the following minutes as presented in the docket materials:

Board of Regents – July 11, 2019
Litigation Review Committee Special Meeting – August 2, 2019

The docket materials for this item begin on page 5. The closed captioned video of this item is [available here](#).

REPORT OF THE PRESIDENT

President Gabel delivered the report of the President. A copy of the Report of the President is on file in the Board Office.

The docket materials for this item begin on page 10. The closed captioned video of this item is [available here](#).

REPORT OF THE CHAIR

Regent Powell delivered the report of the Chair. A copy of the Report of the Chair is on file in the Board Office.

The docket materials for this item begin on page 11. The closed captioned video of this item is [available here](#).

RECEIVE AND FILE REPORTS

Regent Powell noted the receipt and filing of reports, as described in the docket materials, including:

- Quarterly Report of Grant and Contract Activity
- Summary of Expenditures

The docket materials for this item begin on page 12. The closed captioned video of this item is [available here](#).

CONSENT REPORT

Regent Powell presented for review and action the Consent Report as described in the docket materials, including:

- Gifts

A motion was made and seconded, and the Board voted unanimously to approve the Consent Report.

The docket materials for this item begin on page 23. The closed captioned video of this item is [available here](#).

OVERVIEW OF SYSTEMWIDE STRATEGIC PLANNING

Regent Powell invited President Gabel to provide an overview of Systemwide Strategic Planning, as detailed in the docket.

There was a brief disruption, after which President Gabel resumed her remarks.

Regent Anderson left the meeting.

The docket materials for this item begin on page 43. The closed captioned video of this item is [available here](#).

HEALTH SCIENCES STRATEGY: CLINICAL CARE

Regent Powell invited Dean Tolar and James Hereford, President and CEO, Fairview Health Services, to review the clinical care strategy for the University's health sciences.

The docket materials for this item begin on page 50. The closed captioned video of this item is [available here](#).

REPORT ON PRIVATE GIVING & UPDATE ON DRIVEN CAMPAIGN

Regent Powell invited Kathleen Schmidlkofer, UMF President and CEO, and Nancy and John Lindahl, Driven Campaign co-chairs, to present a report on private giving and update on the Driven campaign, as detailed in the docket.

The docket materials for this item begin on page 75. The closed captioned video of this item is [available here](#).

REPORT OF THE MISSION FULFILLMENT COMMITTEE

Regent Davenport, vice chair of the committee, reported that the committee voted to recommend the following items.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of the Consent Report for the Mission Fulfillment Committee as presented to the committee and described in the September 12, 2019 committee minutes. The committee docket materials for this item can be found on page 130. A motion was made, and the Board voted unanimously to approve the Consent Report.

REPORT OF THE FINANCE & OPERATIONS COMMITTEE

Regent Beeson, vice chair of the committee, reported that the committee voted to recommend the following items.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of the Consent Report for the Finance & Operations Committee as presented to the committee and described in the September 12, 2019 committee minutes. The committee docket materials for this item can be found on page 115. A motion was made, and the Board voted unanimously to approve the Consent Report.

REPORT OF THE AUDIT & COMPLIANCE COMMITTEE

Regent Rosha, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

REPORT OF THE LITIGATION REVIEW COMMITTEE

Regent Beeson, chair of the committee, reported that pursuant to notice sent by the University, the Litigation Review Committee met on September 13, 2019. At this meeting, a resolution was considered and adopted that authorized the closing of the meeting. In the closed meeting, discussion was held on matters subject to the attorney-client privilege.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

The meeting adjourned at 1:59 p.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive style with a large initial "B".

BRIAN R. STEEVES
Executive Director and
Corporate Secretary