Board of Regents

July 2019

July 11, 2019

8:00 a.m.

Boardroom, McNamara Alumni Center
1. Approval of Minutes - Action
   Minutes - Page 3

2. Report of the President
   Docket Item Summary - Page 29

3. Report of the Chair
   Docket Item Summary - Page 30

4. Consent Report - Review/Action
   Docket Item Summary - Page 31
   Gifts Report - Page 33
   Sale of 2642 University Avenue, Saint Paul (Twin Cities campus)
     Transaction Narrative - Page 43
     Parcel Map - Page 45
   Sale of 51.72 Acres, Carver County (Landscape Arboretum)
     Transaction Narrative - Page 46
     Parcel Map - Page 49
   Sale of 40.00 Acres, Carlton County (Cloquet Forestry Center)
     Transaction Narrative - Page 50
     Parcel Map - Page 52
     Central Reserves General Contingency Allocations - Page 53
     Purchase of Goods and Services $1,000,000 and Over - Page 54

5. University of Minnesota Alumni Association Annual Report
   Docket Item Summary - Page 55
   Presentation - Page 56

6. Annual Report on Intercollegiate Athletics
   Docket Item Summary - Page 84
   Presentation - Page 87

7. NCAA Compliance Overview
   Docket Item Summary - Page 99
   Presentation - Page 100
A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, June 13, 2019 at 7:45 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Thomas Anderson, presiding; Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, David McMillan, Janie Mayeron, Kendall Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Eric Kaler; Chancellors Michelle Behr, Lendley Black, Lori Carrell, and Mary Holz-Clause; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Christopher Cramer; Michael Goh, and Bernard Gulachek; General Counsel Douglas Peterson; Executive Director Brian Steeves; Associate Vice Presidents Bill Paulus, Julie Tonneson, and Michael Volna.

Student Representatives present: Marta Dean and Mason Schlief.

The docket materials for this meeting are available here.

PRESIDENT'S RECOMMENDED FY 2020 ANNUAL CAPITAL IMPROVEMENT BUDGET

Regent Anderson invited President Kaler, Senior Vice President Burnett, and Assistant Vice President Brian Swanson to present for action the president’s recommended FY 2020 Annual Capital Improvement Budget, as detailed in the docket.

The docket materials for this item begin on page 5. The closed captioned video of this item is available here.

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to FY 2020 Annual Capital Improvement Budget.

REAL ESTATE TRANSACTION

Regent Anderson invited Assistant Vice President Leslie Krueger to present for action the exchange of land at 511 W. St. Marie Street, 515 W. St. Marie Street, 407 W. St, Marie Street, and 419 Gold Street, Duluth for the Duluth campus, as detailed in the docket.

The docket materials for this item begin on page 162. The closed captioned video of this item is available here.
A motion was made and seconded, and the committee voted unanimously to recommend approval of the exchange of land at 511 W. St. Marie Street, 515 W. St. Marie Street, 407 W. St. Marie Street, and 419 Gold Street, Duluth.

**REAL ESTATE TRANSACTION**

Regent Anderson invited Assistant Vice President Leslie Krueger to review the following real estate transactions, as detailed in the docket.

A. Sale of 2642 University Avenue, Saint Paul (Twin Cities campus)
B. Sale of 51.72 Acres, Carver County (Landscape Arboretum)
C. Sale of 40.00 Acres, Carlton County (Cloquet Forestry Center)

The docket materials for this item begin on page 166. The closed captioned video of this item is available here.

**CONSENT REPORT**

Senior Vice President Burnett presented the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 177. The closed captioned video of this item is available here.

**General Contingency:**

- $250,000 to support the University President inauguration activities.

**Purchase of Goods and Services $1,000,000 and Over:**

- To Ex Libris for an estimated $3,039,000 for an enterprise library service platform (Alma, Primo, bX Recommender, and Leganto solutions) for the Office of Information Technology (OIT) in support of the Libraries for the period of July 1, 2019 to June 30, 2024. This requirement is currently budgeted and will be funded utilizing a combination of OIT and Libraries O&M funds. See enclosed documentation for basis of supplier selection.

- To Minnesota Airlines, dba Sun Country for $1,050,000 for Air Charter Services for the Intercollegiate Athletics (ICA) football travel team and support personnel and potential other large plane needs (over 70 seats) for the period of July 1, 2019 through June 30, 2022 with the option to renew for two additional one-year terms through June 30, 2024 for an additional $700,000. Total contract value if all options are exercised would be $1,750,000. Source of funds is ICA operating and gift funds as necessary. These services have historically been included in ICA’s operating budget. Minnesota Airlines, the current supplier, was selected as the result of a competitive Request for Proposal process conducted by Purchasing Services. Six suppliers responded to the RFP.
Appointments and Employment Agreements

- Trustees to the Minnesota Landscape Arboretum Foundation Board of Trustees
- Amendment to employment agreement – Head Coach, Men’s Ice Hockey (Duluth campus)
- Amendment to employment agreement – Head Coach, Men’s Ice Hockey (Twin Cities campus)
- Appointment and employment agreement – Dean, Humphrey School of Public Affairs

Schematic Designs

- 3M Arena at Mariucci weight area and office renovation (Twin Cities campus)

Regent Rosha requested that the appointment and employment agreement for the Dean, Humphrey School of Public Affairs, be separated from the Consent Report.

A motion was made and seconded, and the committee voted unanimously to recommend approval of the Consent Report, excluding the appointment and employment agreement for the Dean, Humphrey School of Public Affairs.

A motion was made and seconded, and the committee voted 11-1 to recommend approval of the appointment and employment agreement for the Dean, Humphrey School of Public Affairs. Regent Hsu voted no.

PRESIDENT'S RECOMMENDED FY 2020 ANNUAL OPERATING BUDGET

Regent Anderson invited President Kaler, Senior Vice President Burnett, and Associate Vice President Tonneson to present for review the president’s recommended FY 2020 annual operating budget, as detailed in the docket.

The docket materials for this item begin on page 37. The closed captioned video of this item is available here.

OVERVIEW OF FAMILY STUDENT HOUSING ON THE TWIN CITIES CAMPUS

Regent Anderson announced that due to time restraints, the overview of family student housing on the Twin Cities campus would be postponed until a future meeting.

INFORMATION ITEMS

Senior Vice President Burnett referred the committee to the information items in the docket:

- Annual University Health Benefits and UPlan Performance Report
- Debt Management Advisory Committee Update
- Preliminary 2020 State Capital Request
- Quarterly Asset Management Report
- Quarterly Purchasing Report
- Semi-Annual Capital Planning and Project Management Report
The docket materials for this item begin on page 210. The closed captioned video of this item is available here.

The meeting adjourned at 10:49 a.m.

BRIAN R. STEEVES
Executive Director and
Corporate Secretary
A meeting of the Audit & Compliance Committee of the Board of Regents was held on Thursday, June 13, 2019 at 11:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Ken Powell, presiding; Mary Davenport, Michael Hsu, Mike Kenyanya, Janie Mayeron, and David McMillan.

Staff present: Executive Vice President and Provost Karen Hanson; Chancellors Michelle Behr and Mary Holz-Clause; Vice Presidents Christopher Cramer and Bernard Gulachek; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Michael Volna.

Student Representatives present: Agléška Cohen-Rencountre and Marta Dean.

The docket materials for this meeting are available here.

### INTERNAL AUDIT PLAN

Regent Powell Chief Auditor Klatt to present the fiscal year 2020 Internal Audit Plan, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is available here.

### INTERNAL AUDIT UPDATE

Regent Powell invited Chief Auditor Klatt to present the Internal Audit update, as detailed in the docket.

The docket materials for this item begin on page 36. The closed captioned video of this item is available here.

### INFORMATION ITEMS

Chief Auditor Klatt referred the committee to the information items in the docket:

- Semi-Annual Controller's Report

The docket materials for this item begin on page 60. The closed captioned video of this item is available here.
The meeting adjourned at 12:01 p.m.

BRIAN R. STEEVES
Executive Director and
Corporate Secretary

Audit & Compliance Committee
June 13, 2019
A meeting of the Litigation Review Committee of the Board of Regents was held on Thursday, June 13, 2019 at 11:00 a.m. in the West Committee Room, 600 McNamara Alumni Center.

Regents present: Richard Beeson, presiding; Thomas Anderson, Kao Ly Ilean Her, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Eric Kaler; Senior Vice President Brian Burnett; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Others present: Sarah Dirksen, Steve Keto, Daniel Piper, Jon Steadland, and Shelley Carthen Watson.

The docket materials for this meeting are available here.

RESOLUTION TO CONDUCT NON-PUBLIC MEETING OF THE LITIGATION REVIEW COMMITTEE

The meeting convened in public session at 11:03 a.m. A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents Litigation Review Committee has balanced the purposes served by the Open Meeting Law and by the attorney-client privilege, and determined that there is a need for absolute confidentiality to discuss litigation strategy in particular matters involving the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with Minn. Stat. § 13D.01, Subd. 3 and 13D.05 Subd. 3(b), a non-public meeting of Litigation Review Committee be held on June 13, 2019 at 11:00 a.m. in the West Committee Room, 600 McNamara Alumni Center, for the purpose of discussing attorney-client privileged matters including the following:

I. Potential litigation by University employees

II. Potential litigation by University student

The committee voted unanimously to adopt the resolution and the public portion of the meeting ended at 11:05 a.m.

The meeting adjourned at 11:46 a.m.
A meeting of the Mission Fulfillment Committee of the Board of Regents was held on Thursday, June 13, 2019 at 1:30 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, Ken Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Eric Kaler; Chancellors Michelle Behr, Lendley Black, Lori Carrell, and Mary Holz-Clause; Executive Vice President and Provost Karen Hanson; Vice Presidents Christopher Cramer, Michael Goh, Bernard Gulachek, and Matt Kramer; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Student Representatives present: Austin Kraft and Mason Schlief.

The docket materials for this meeting are available here.

SYSTEM UNDERGRADUATE ENROLLMENT UPDATE

Regent McMillan invited Executive Vice President and Provost Karen Hanson; Chancellors Michelle Behr, Lendley Black, Lori Carrell, and Mary Holz-Clause; and Vice Provost Robert McMaster to systemwide undergraduate enrollment, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is available here.

GRADUATE AND PROFESSIONAL EDUCATION, PART V: STUDENT EXPERIENCE

Regent McMillan invited Executive Vice President and Provost Hanson; Vice Provost Scott Lanyon; Associate Dean Yoji Shumizo; and Gills Fai, graduate student in Mechanical Engineering at the Duluth campus, to discuss the graduate and professional student experience, as detailed in the docket.

The docket materials for this item begin on page 41. The closed captioned video of this item is available here.
MISSION FULFILLMENT COMMITTEE
June 13, 2019

GENERATIONAL CHANGE IN COLLEGE STUDENTS AND THE COLLEGE EXPERIENCE

Regent McMillan invited Executive Vice President and Provost Karen Hanson; Professor Jeylan Mortimer; and LeeAnn Melin, Associate Vice Provost for Student Success, to discuss generational change in college students and the college experience, as detailed in the docket.

The docket materials for this item begin on page 95. The closed captioned video of this item is available here.

CONSENT REPORT

A motion was made and seconded, and the committee voted unanimously to recommend approval of the following, as described in the Consent Report:

- **Request for Approval of New Academic Programs**
  - College of Liberal Arts (Twin Cities campus)—Create B.A. degree in Mass Communication
  - College of Liberal Arts (Twin Cities campus)—Create B.A. degree in Strategic Communication: Advertising and Public Relations
  - College of Continuing and Professional Studies (Twin Cities campus)—Create graduate minor in Addictions
  - College of Design (Twin Cities campus)—Create undergraduate minor in Lighting Design
  - College of Liberal Arts (Twin Cities campus)—Create undergraduate minor in Islamic Studies
  - Labovitz School of Business and Economics (Duluth campus)—Create B.B.A. degree in Professional Sales
  - Crookston Campus—Create undergraduate minor in Cybersecurity & Information Assurance and deliver the program online

- **Request for Approval of Changed Academic Programs**
  - Carlson School of Management (Twin Cities campus)—Change the M.A. in Human Resources and Industrial Relations to a professional Master of Human Resources and Industrial Relations
  - College of Education and Human Development (Twin Cities campus)—Change the name of the M.A., B.A., and B.S. degrees, as well as the graduate and undergraduate minors, in Child Psychology to Developmental Psychology
  - College of Science and Engineering (Twin Cities campus)—Create integrated undergraduate/graduate option between the BISyE/MSISyE degrees
  - College of Science and Engineering (Twin Cities campus)—Create integrated undergraduate/graduate option between the B.A. or B.S. degrees in Computer Science and the M.S. in Computer Science
  - College of Design (Twin Cities campus)—Create sub-plans in Integrated Product Development and User Experience Design within the B.S. degree in Product Design
  - Crookston campus—Create sub-plans in Science and Studies and discontinue sub-plans in Agricultural and Environmental Stewardship, Environmental Ecology, Environmental Health, Environmental Toxicology and Chemistry, Individualized Environmental Sciences, and Water Quality within the B.S. degree in Environmental Sciences
• College of Liberal Arts (Twin Cities campus)—Discontinue sub-plans in Mass Communication, Professional Strategic Communication, and Professional Journalism within the B.A. degree in Journalism
• College of Education and Human Service Professions (Duluth campus)—Discontinue the Virginia delivery site within the Unified Early Childhood Studies B.A.Sc.

• Request for Departmental Name Change
  • College of Science and Engineering (Twin Cities campus)—Change the name of Earth Sciences to Earth and Environmental Sciences

• Request for Conferral of Tenure for Outside Hires
  • Gail Ferguson, associate professor with tenure, Institute of Child Development, College of Education and Human Development
  • Joan T. A. Gabel, professor with tenure, Hubert H. Humphrey School of Public Affairs
  • Laura Kalba, associate professor with tenure, Art History, College of Liberal Arts

• Correction to Promotion and Tenure Recommendations
  At the May 2019 meeting, the committee recommended approval of promotion and tenure for faculty across the University. The materials inaccurately recommended promotion from Assistant Clinical Professor to Associate Clinical Professor for two faculty members. In both cases, the recommendations are for promotion from Assistant Clinical Professor to Clinical Professor.
  • Professor Lisa Ahmann, School of Dentistry
  • Judy Danielson, School of Dentistry

The docket materials for this item begin on page 108. The closed captioned video of this item is available here.

INFORMATION ITEMS

Executive Vice President and Provost Karen Hanson referred the committee to the information items in the docket.

The docket materials for this item begin on page 117.

The meeting adjourned at 4:56 p.m.

BRIAN R. STEEVES
Executive Director and Corporate Secretary
A meeting of the Governance & Policy Committee of the Board of Regents was held on Friday, June 14, 2019 at 7:45 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Darrin Rosha, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, David McMillan, Janie Mayeron, Kendall Powell, Randy Simonson, and Steve Sviggum.

Staff present: President Eric Kaler; Chancellors Michelle Behr, Lendley Black, and Lori Carrell; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Christopher Cramer, Michael Goh, Matt Kramer, and Jakub Tolar; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Chief Auditor Gail Klatt.

The docket materials for this meeting are available here.

**UPDATE ON ADMINISTRATIVE POLICY ALIGNMENT REVIEW**

Regent Rosha invited Michele Gross, Director, University Policy Program and Jason Langworthy, Board Associate, Policy & Committees, to provide an update on the Administrative policy alignment review, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is available here.

**2019 BOARD POLICY REPORT**

Regent Rosha invited Jason Langworthy, Board Associate, Policy & Committees, to provide the 2019 Board policy report, as detailed in the docket.

The docket materials for this item begin on page 15. The closed captioned video of this item is available here.

**BOARD OF REGENTS ANNUAL PLANNING PROCESS**

Regent Rosha invited Executive Director Steeves to discuss the Board’s annual planning process, as detailed in the docket.

The docket materials for this item begin on page 19. The closed captioned video of this item is available here.
UPDATE ON POTENTIAL CHANGES TO BOARD OF REGENTS POLICY: 
CODE OF ETHICS FOR MEMBERS OF THE BOARD OF REGENTS

Regent Rosha invited Executive Director Steeves to provide an update on potential changes to Board of Regents Policy: Code of Ethics for Members of the Board of Regents, as detailed in the docket.

The docket materials for this item begin on page 27. The closed captioned video of this item is available here.

The meeting adjourned at 9:05 a.m.

BRIAN R. STEEVES
Executive Director and
Corporate Secretary
A meeting of the Board of Regents of the University of Minnesota was held on Friday, June 14, 2019 at 9:31 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, Kendall Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Eric Kaler; Chancellors Michelle Behr, Lendley Black, Lori Carrell, and Mary Holz-Clause; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Christopher Cramer, Bernard Gulachek, Matt Kramer, and Jakub Tolar; General Counsel Douglas Peterson; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Julie Tonneson.

OATH OF OFFICE

The Honorable Chief Justice Lorie Skjerven Gildea, Minnesota Supreme Court, administered the oath of office to the following Regents:

Mary A. Davenport, representing an at-large position – six-year term
Kao Ly Ilean Her, representing an at-large position – six-year term
Mike O. Kenyanya, representing a student at-large position – six-year term
Janie S. Mayeron, representing the 5th Congressional District – six-year term

The closed captioned video of this item is available here.

ANNUAL MEETING

Regent McMillan called the Annual Meeting to order at 9:31 a.m. The docket materials for this meeting are available here. McMillan noted that Regent Sviggum was absent due to a family medical emergency.

ESTABLISHMENT OF MEETING DATES FOR 2019-20

Regent McMillan outlined the proposed Board meeting dates for 2019-20. A motion was made and seconded and the Board voted unanimously to approve the following meeting schedule:

- July 11-12, 2019 (includes retreat)
- September 12-13, 2019
- October 10-11, 2019
REPORT OF THE NOMINATING COMMITTEE:
ELECTION OF BOARD OFFICERS

Regent McMillan, Chair of the Nominating Committee, presented the committee’s report.

The following individuals were recommended to serve as Board officers for the 2019-21 term:

- Chair: Kendall J. Powell
- Vice Chair: Steven A. Sviggum
- Secretary: Brian R. Steeves
- Treasurer: Brian D. Burnett

Regent Simonson nominated Regent Rosha for vice chair. The nominations were closed, and a roll call vote for each officer position was taken.

The vote for chair was as follows:

- Regent Anderson: Kendall J. Powell
- Regent Beeson: Kendall J. Powell
- Regent Davenport: Kendall J. Powell
- Regent Her: Kendall J. Powell
- Regent Hsu: Kendall J. Powell
- Regent Kenyanya: Kendall J. Powell
- Regent Mayeron: Kendall J. Powell
- Regent Powell: Kendall J. Powell
- Regent Rosha: Kendall J. Powell
- Regent Simonson: Kendall J. Powell
- Regent Sviggum: Absent
- Regent McMillan: Kendall J. Powell

The Board voted unanimously to elect Kendall J. Powell as chair of the Board of Regents for the 2019-21 term.

The vote for vice chair was as follows:

- Regent Anderson: Steven A. Sviggum
- Regent Beeson: Steven A. Sviggum
- Regent Davenport: Steven A. Sviggum

Board of Regents
June 14, 2019
Eight votes were cast in favor of Steven A. Sviggum, and three votes were cast in favor of Darrin M. Rosha. Steven A. Sviggum was elected vice chair of the Board of Regents for the 2019-21 term.

The vote for secretary was as follows:

- Regent Anderson  Brian R. Steeves
- Regent Beeson  Brian R. Steeves
- Regent Davenport  Brian R. Steeves
- Regent Her  Brian R. Steeves
- Regent Hsu  Brian R. Steeves
- Regent Kenyanya  Brian R. Steeves
- Regent Mayeron  Brian R. Steeves
- Regent Powell  Brian R. Steeves
- Regent Rosha  Brian R. Steeves
- Regent Simonson  Brian R. Steeves
- Regent Sviggum  Absent
- Regent McMillan  Brian R. Steeves

The Board voted unanimously to elect Brian R. Steeves as secretary of the Board of Regents for the 2019-21 term.

The vote for treasurer was as follows:

- Regent Anderson  Brian D. Burnett
- Regent Beeson  Brian D. Burnett
- Regent Davenport  Brian D. Burnett
- Regent Her  Brian D. Burnett
- Regent Hsu  Brian D. Burnett
- Regent Kenyanya  Brian D. Burnett
- Regent Mayeron  Brian D. Burnett
- Regent Powell  Brian D. Burnett
- Regent Rosha  Brian D. Burnett
- Regent Simonson  Brian D. Burnett
- Regent Sviggum  Absent
- Regent McMillan  Brian D. Burnett

The Board voted unanimously to elect Brian D. Burnett as treasurer of the Board of Regents for the 2019-21 term.

The annual meeting adjourned at 9:40 a.m.
MONTHLY MEETING

The regular meeting of the Board of Regents was convened at 9:40 a.m. The docket materials for this meeting are available here.

RECOGNITIONS

Student Representatives to the Board of Regents

Recognition was given to the 2018-19 Student Representatives to the Board of Regents.

Marta Dean, Chair
Crookston

Alexandra Ulland, Vice Chair
Duluth

Agléška Cohen-Rencountre
Twin Cities (COGS)

Mckenzie Dice
Morris

Jael Kerandi
Twin Cities (MSA)

Austin Kraft
Twin Cities (MSA)

James Pathoulas
Twin Cities (PSG)

Mason Schlief
Rochester

The docket materials for this item begin on page 4. The closed captioned video of this item is available here.

Civil Service Consultative Committee Outgoing Chair

Recognition was given to Becky Nelson, outgoing Faculty Consultative Committee Chair. The docket materials for this item begin on page 5. The closed captioned video of this item is available here.

Academic Professionals & Administrators Consultative Committee Outgoing Chair

Recognition was given to Ian Ringgenberg, outgoing Academic Professionals & Administrators Consultative Committee Chair. The docket materials for this item begin on page 6. The closed captioned video of this item is available here.

NCAA Champions

Recognition was given to the following individuals for winning an NCAA championship during the 2018-19 academic year.

- Danielle Beattie, Track & Field, Duluth – Laura Harmon, Coach
- Shane Wiskus, Gymnastics, Twin Cities – Mike Burns, Coach

The docket materials for this item begin on page 7. The closed captioned video of this item is available here.
President Eric W. Kaler and Karen F. Kaler

Recognition was given to Eric W. Kaler, 16th president of the University of Minnesota, and Karen F. Kaler. The docket materials for this item begin on page 8. The closed captioned video of this item is available here.

APPROVAL OF MINUTES

The Board voted unanimously to approve the following minutes as presented in the docket materials:

- Mission Fulfillment Committee – May 9, 2019
- Audit & Compliance Committee – May 9, 2019
- Litigation Review Committee – May 9, 2019
- Finance & Operations Committee – May 9, 2019
- Board of Regents – May 10, 2019
- Nominating Committee – May 30, 2019

The docket materials for this item begin on page 9. The closed captioned video of this item is available here.

REPORT OF THE PRESIDENT

President Kaler delivered the report of the President. A copy of the Report of the President is on file in the Board Office.

The docket materials for this item begin on page 30. The closed captioned video of this item is available here.

REPORT OF THE CHAIR

Regent McMillan delivered the report of the Chair. A copy of the Report of the Chair is on file in the Board Office.

The docket materials for this item begin on page 31. The closed captioned video of this item is available here.

RECEIVE AND FILE REPORTS

Regent McMillan noted the receipt and filing of reports, as described in the docket materials, including:

- Report of the Academic Professionals & Administrators Consultative Committee
- Report of the Civil Service Consultative Committee
- Quarterly Report of Grant and Contract Activity
CONSENT REPORT

Regent McMillan presented for review and action the Consent Report as described in the docket materials, including:

- Gifts
- Report of the All-University Honors Committee
- Regents Professor Recommendations

A motion was made and seconded, and the Board voted unanimously to approve the Consent Report.

The docket materials for this item begin on page 50. The closed captioned video of this item is available here.

REPORT OF THE FACULTY CONSULTATIVE COMMITTEE

Regent McMillan invited Amy Pittenger, Chair of the Faculty Consultative Committee, to present the report of the Faculty Consultative Committee, as detailed in the docket.

The docket materials for this item begin on page 60. The closed captioned video of this item is available here.

BOARD OF REGENTS POLICY: CODE OF ETHICS FOR MEMBERS OF THE BOARD OF REGENTS – ANNUAL REVIEW

Regent McMillan invited General Counsel Peterson to present the annual review of Board of Regents Policy: Code of Ethics for Members of the Board of Regents, as detailed in the docket.

The docket materials for this item begin on page 63. The closed captioned video of this item is available here.

Regent Sviggum joined the meeting.

RESOLUTION RELATED TO ALCOHOLIC BEVERAGE SALES IN WILLIAMS ARENA/MATURI PAVILION AND 3M ARENA AT MARIUCCI

Regent McMillan invited Jon Steadland, Chief of Staff, and Mark Coyle, Director of Athletics, to present for action a resolution related to alcoholic beverage sales in Williams Arena/Maturi Pavilion and 3M Arena at Mariucci, as detailed in the docket.

The docket materials for this item begin on page 68. The closed captioned video of this item is available here.
A motion was made and seconded, and the Board voted unanimously to approve the resolution related to alcoholic beverage sales in Williams Arena/Maturi Pavilion and 3M Arena at Mariucci. Regent Anderson abstained from voting. The resolution is as follows.

WHEREAS, the University of Minnesota ("University") has important policy and educational interests in controlling the consumption, use, and sale of alcoholic beverages on its campuses; and

WHEREAS, Board of Regents policy prohibits the sale of alcoholic beverages on University property except when authorized by license, approved by the Board of Regents, and sold and served in accordance with applicable law; and

WHEREAS, Minnesota Statutes Section 340A.404 authorizes the Board of Regents to hold liquor licenses for events at Northrop, TCF Bank Stadium, and at no more than seven other locations within the boundaries of the University; and

WHEREAS, the Board of Regents currently holds liquor licenses for Williams Arena and 3M Arena at Mariucci; and

WHEREAS, although the administration has traditionally treated the liquor license for Williams Arena as being limited to that venue, the license actually applies to "Williams Arena and Sports Pavilion" because the address on the license is that of both of those venues and because the State has treated the full building, which includes Williams Arena and Maturi Pavilion, as one contiguous space in accordance with applicable law; and

WHEREAS, on July 11, 2012, the Board of Regents adopted a resolution authorizing the sale of beer or beer and wine at TCF Bank Stadium during intercollegiate football games in the premium seating areas and in locations convenient to the general public and the sale and service of beer or beer and wine in Williams Arena and Mariucci Arena (now 3M Arena at Mariucci) during intercollegiate athletic events in specifically designated locations as determined by the administration; and

WHEREAS, the sale and service of beer and wine in Williams Arena and 3M Arena at Mariucci has occurred only premium seating areas; Page 71 of 73

NOW, THEREFORE, BE IT RESOLVED, that the Board of Regents exercising its constitutional authority to govern and manage University property and facilities, and consistent with Board of Regents Policy: Alcoholic Beverages on Campus, authorizes the sale and service of beer or beer and wine in both the premium areas and general public seating areas of Williams Arena/Maturi Pavilion and 3M Arena at Mariucci during intercollegiate athletic events in specifically designated locations as determined by the administration. During events other than intercollegiate athletic events, alcoholic beverages may be sold and served in accordance with the University’s state-granted licenses.

REPORT OF THE FINANCE & OPERATIONS COMMITTEE

Regent Anderson, chair of the committee, reported that the committee voted to recommend the following items.

The committee docket materials can be found here. The closed captioned video of this item is available here.
1) Approval of the resolution related to FY 2020 Annual Capital Improvement Budget. The committee docket materials for this item can be found on page 5. A motion was made, and the Board voted unanimously to approve the resolution, as follows:

WHEREAS, the Board of Regents (Board) directed the administration to annually submit a capital improvement budget and a six-year capital improvement plan; and

WHEREAS, the Board has adopted principles to guide the formulation of the capital improvement budget and six-year capital improvement plan; and

WHEREAS, the Board recognizes the importance of sustaining and improving the University’s facilities in support of teaching, research, and outreach; and

WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts toward projects that support the University’s institutional priorities within a financial strategy that is realistic.

NOW, THEREFORE, BE IT RESOLVED that the Board approves the FY 2020 Annual Capital Improvement Budget and reaffirms its prior year capital expenditure authorizations.

2) Approval of the land exchange for properties on W. Saint Marie Street and Gold Street, Duluth. The committee docket materials for this item can be found on page 162. A motion was made, and the Board voted unanimously to approve the real estate transaction.

3) Approval of the Consent Report for the Finance & Operations Committee as presented to the committee and described in the June 13, 2019 committee minutes. The committee docket materials for this item can be found on page 177. The item on approval of the appointment of, and employment agreement for, the Dean of the Humphrey School of Public Affairs was separated from the Consent Report. A motion was made, and the Board voted unanimously to approve the Consent Report.

4) Approval of the appointment of, and employment agreement for, the Dean of the Humphrey School of Public Affairs. The committee docket materials for this item can be found on page 177. A motion was made, and the Board voted 11-1 to approve the motion. Regent Hsu voted no.

REPORT OF THE AUDIT & COMPLIANCE COMMITTEE

Regent Powell, vice chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found here. The closed captioned video of this item is available here.

REPORT OF THE LITIGATION REVIEW COMMITTEE

Regent Beeson, chair of the committee, reported that pursuant to notice sent by the University, the Litigation Review Committee met on June 13, 2019. At this meeting, a resolution was considered
and adopted that authorized the closing of the meeting. In the closed meeting, discussion was held on matters subject to the attorney-client privilege.

The committee docket materials can be found here. The closed captioned video of this item is available here.

REPORT OF THE MISSION FULFILLMENT COMMITTEE

Regent Powell reported that the committee voted to recommend the following items.

The committee docket materials can be found here. The closed captioned video of this item is available here.

1) Approval of the Consent Report for the Mission Fulfillment Committee as presented to the committee and described in the June 13, 2019 committee minutes. The committee docket materials for this item can be found on page 108. A motion was made, and the Board voted unanimously to approve the Consent Report.

REPORT OF THE GOVERNANCE & POLICY COMMITTEE

Regent Rosha, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found here. The closed captioned video of this item is available here.

Regent McMillan recessed the meeting at 11:12 a.m.

PUBLIC FORUM ON THE PRESIDENT’S RECOMMENDED FY 2020 ANNUAL OPERATING BUDGET

Regent McMillan reconvened the meeting at 12:01 p.m. The following individuals addressed the Board:

- Vickie Knudtson
- Claire Thiele
- Curtis Swenson
- Cherrene Horazuk
- Meron Negussie
- Sven Erik Olsen
The meeting adjourned at 12:21 p.m.

BRIAN R. STEEVES
Executive Director and
Corporate Secretary
A special meeting of the Board of Regents of the University of Minnesota was held on Wednesday, June 19, 2019 at 1:00 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; Thomas Anderson, Richard Beeson, Mary Davenport, Kao Ly Ilean Her, Michael Hsu, Mike Kenyanya, Janie Mayeron, Randy Simonson, and Steve Sviggum. Regents Kendall Powell and Darrin Rosha participated by phone.

Staff present: President Eric Kaler, Senior Vice President Brian Burnett, Vice President Matt Kramer, General Counsel Douglas Peterson, Executive Director Brian Steeves, and Associate Vice President Julie Tenneson.

The docket materials for this meeting are available here.

**PRESIDENT’S RECOMMENDED FY 2020 ANNUAL OPERATING BUDGET**

Regent McMillan presented for action the resolution related to the President’s Recommended FY 2020 Annual Operating Budget.

The closed captioned video of this item is available here.

A motion was made and seconded to approve the resolution related to the President’s Recommended FY 2020 Annual Operating Budget, as follows.

WHEREAS, the University of Minnesota (University) as the state’s public, land grant university is charged with the responsibility to pursue knowledge and help apply that knowledge through research and discovery, teaching and learning, and outreach & public service; and

WHEREAS, the State of Minnesota, through its legislative and executive branches, has appropriated $669,666,000 in state general fund monies for fiscal year 2020 to the University; and

WHEREAS, that general fund appropriation includes a recurring increase over fiscal year 2019 of $20,880,000 for the pursuit of the University’s mission and in support of University goals and objectives; and

WHEREAS, the future of the University is premised on partnerships within the University community of faculty, staff and students, with the State of Minnesota, other educational institutions, business and industry, University alumni, local communities, and the citizens of Minnesota; and
WHEREAS, the University is committed to achieving standards of national and international excellence while maintaining efficient and effective services and processes.

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents hereby approves the University of Minnesota Fiscal Year 2020 Annual Operating Budget as follows:

The Fiscal Year 2020 Annual Operating Budget approved by the Board of Regents includes the following attachments from the President's Recommended FY 2020 Operating Budget:

Attachment 2 Resource and Expenditure Budget Plan (University Fiscal Page)
Attachment 5 University of Minnesota 2019-20 Tuition Plan: Tuition Rates (REVISED)
Attachment 7 University of Minnesota 2019-20 Tuition Plan: Course/Class Fees
Attachment 8 University of Minnesota 2019-20 Tuition Plan: Miscellaneous Fees
Attachment 9 University of Minnesota 2019-20 Tuition Plan: Academic Fees
Attachment 10 University of Minnesota 2019-20 Student Services Fees
Attachment 11 Fund Forecast - Centrally Distributed and Attributed Funds

Regent Hsu moved to amend the resolution to replace the President’s recommended 2.5 percent tuition increase for Twin Cities resident undergraduate students with a 0 percent increase funded with an allocation from the University’s central reserves fund. The amendment was seconded.

Regent Sviggum moved to amend the Hsu amendment to replace the 0 percent tuition increase for Twin Cities resident undergraduate students with a 1.5 percent increase. There was no second, and the amendment failed.

Hsu requested a roll call vote on his amendment. The vote was as follows:

<table>
<thead>
<tr>
<th>Regent</th>
<th>Vote</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regent Anderson</td>
<td>No</td>
</tr>
<tr>
<td>Regent Beeson</td>
<td>No</td>
</tr>
<tr>
<td>Regent Davenport</td>
<td>No</td>
</tr>
<tr>
<td>Regent Her</td>
<td>No</td>
</tr>
<tr>
<td>Regent Hsu</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Kenyanya</td>
<td>No</td>
</tr>
<tr>
<td>Regent Mayeron</td>
<td>No</td>
</tr>
<tr>
<td>Regent Powell</td>
<td>No</td>
</tr>
<tr>
<td>Regent Rosha</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Simonson</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Sviggum</td>
<td>No</td>
</tr>
<tr>
<td>Regent McMillan</td>
<td>No</td>
</tr>
</tbody>
</table>

Three votes were cast in favor of the motion and nine votes were cast against the motion. The Hsu amendment failed.

Regent Anderson moved to amend the President’s Recommended FY 2020 Annual Operating Budget to replace the 2.5 percent tuition increase for Twin Cities resident undergraduate students with a 2 percent increase, funded as proposed in the docket materials. There was a second.

Hsu moved to amend the Anderson amendment to replace the 2 percent tuition increase for Twin Cities resident undergraduate students with a 1.5 percent increase. There was a second.
Regent Kenyanya moved to call the question. There was a second. The Board voted in favor to end debate on the Hsu amendment to the Anderson amendment.

Hsu requested a roll call vote on his amendment. The vote was as follows:

<table>
<thead>
<tr>
<th>Regent</th>
<th>Vote</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regent Anderson</td>
<td>No</td>
</tr>
<tr>
<td>Regent Beeson</td>
<td>No</td>
</tr>
<tr>
<td>Regent Davenport</td>
<td>No</td>
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<tr>
<td>Regent Her</td>
<td>No</td>
</tr>
<tr>
<td>Regent Hsu</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Kenyanya</td>
<td>No</td>
</tr>
<tr>
<td>Regent Mayeron</td>
<td>No</td>
</tr>
<tr>
<td>Regent Powell</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Rosha</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Simonson</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Sviggum</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent McMillan</td>
<td>No</td>
</tr>
</tbody>
</table>

Five votes were cast in favor of the motion and seven votes were cast against the motion. The Hsu amendment failed.

Hsu requested a roll call vote on the Anderson amendment. The vote was as follows:

<table>
<thead>
<tr>
<th>Regent</th>
<th>Vote</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regent Anderson</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Beeson</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Davenport</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Her</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Hsu</td>
<td>No</td>
</tr>
<tr>
<td>Regent Kenyanya</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Mayeron</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Powell</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent Rosha</td>
<td>No</td>
</tr>
<tr>
<td>Regent Simonson</td>
<td>No</td>
</tr>
<tr>
<td>Regent Sviggum</td>
<td>Yes</td>
</tr>
<tr>
<td>Regent McMillan</td>
<td>Yes</td>
</tr>
</tbody>
</table>

Nine votes were cast in favor of the motion and three votes were cast against the motion. The Anderson amendment passed.

Regent McMillan called for a vote on the resolution related to the President’s Recommended FY 2020 Annual Operating Budget, as amended. The Board voted 9-3 to approve the resolution. Regents Hsu, Rosha and Simonson voted no.

The meeting adjourned at 2:23 p.m.

BRIAN R. STEEVES  
Executive Director and  
Corporate Secretary
AGENDA ITEM: Report of the President

☐ Review  ☐ Review + Action  ☐ Action  ✗ Discussion

☐ This is a report required by Board policy.

PRESENTERS: President Joan T.A. Gabel

PURPOSE & KEY POINTS

It is customary for the President to report on items of interest to the University community at each Board meeting.
Board of Regents

AGENDA ITEM: Report of the Chair

☐ Review ☐ Review + Action ☐ Action ☒ Discussion

☐ This is a report required by Board policy.

PRESENTERS: Regent Kendall J. Powell

PURPOSE & KEY POINTS

It is customary for the Chair to report on items of interest to the University community at each Board meeting.
AGENDA ITEM: Consent Report

☐ Review  X  Review + Action  ☐ Action  ☐ Discussion

☐ This is a report required by Board policy.

PRESENTERS: Regent Kendall J. Powell

PURPOSE & KEY POINTS

A. Gifts

The President recommends approval of the Summary Report of Gifts to the University of Minnesota through May 31, 2019.

B. Sale of 2642 University Avenue, Saint Paul (Twin Cities campus)

C. Sale of 51.72 Acres, Carver County (Landscape Arboretum)

D. Sale of 40.00 Acres, Carlton County (Cloquet Forestry Center)

E. Finance & Operations Consent Report

- Central Reserves General Contingency Allocations
  The purpose of this item is to seek approval for allocations from General Contingency greater than $250,000. There are no items requiring approval this period.

- Purchase of Goods and Services $1,000,000 and Over
  The purpose of this item is to seek approval for the following purchases of goods and services of $1,000,000 and over:
  - To Dell EMC for approximately $1,112,180 to provide software licensing of commonly used Microsoft products for all University of Minnesota students, faculty, and staff for the Office of Information Technology (OIT) for the period of August 1, 2019 through July 31, 2020. OIT will be utilizing O&M funds. Dell EMC was selected as a result of a competitive Request for Proposal process conducted by Purchasing Services in 2014. This is a renewal of a previous contract.

F. Report of the Regents Award Nominating Committee
The recommendation of the Regents Award Nominating Committee was forwarded to the Board in a letter dated July 3, 2019.

G. Delegation to the President to Establish the Advisory Council on Rare Diseases

As an outcome of the 2019 legislative session, a new law requests the Board of Regents or designee to establish an Advisory Council on Rare Diseases. The advisory council has been charged with advising, consulting, and cooperating with the Minnesota Department of Health, the Advisory Committee on Heritable and Congenital Disorders, and other agencies of state government. The advisory council will work with these agencies to develop information and programs for the public and the health care community relating to the diagnosis, treatment, and awareness of rare diseases. To fund this work, the University will receive an appropriation from the State of Minnesota of $150,000 per year for four years to operate the advisory council.

Board action is sought to establish the following delegation: The Board delegates to the President or delegate the authority to establish, operate, convene, and appoint members to the Advisory Council on Rare Diseases as defined by Chapter 65 of Minnesota Session Laws – 2019, Regular Session.

BACKGROUND INFORMATION

Approvals are sought in compliance with Board of Regents policy as follows:

- Purchase of Goods and Services $1,000,000 and Over: Reservation and Delegation of Authority, Article I, Section VII, Subd. 6.
- Real Estate transactions: Reservation and Delegation of Authority, Article 1, Section VIII, Subd. 1.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the Consent Report.
<table>
<thead>
<tr>
<th></th>
<th>May</th>
<th>Year-to-Date</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2019</td>
<td>2018</td>
<td>07/01/18</td>
<td>07/01/17</td>
</tr>
<tr>
<td></td>
<td>05/31/19</td>
<td>05/31/18</td>
<td></td>
<td></td>
</tr>
<tr>
<td>U of M Gift Receiving</td>
<td>$6,746,719</td>
<td>$733,229</td>
<td>$9,192,449</td>
<td>$4,321,700</td>
</tr>
<tr>
<td>Arboretum Foundation</td>
<td>607,239</td>
<td>891,004</td>
<td>9,514,210</td>
<td>23,719,544</td>
</tr>
<tr>
<td>Univ of MN Foundation</td>
<td>21,960,670</td>
<td>26,082,375</td>
<td>304,323,973</td>
<td>268,989,452</td>
</tr>
<tr>
<td>Total Gift Activity</td>
<td>$29,314,628</td>
<td>$27,706,608</td>
<td>$323,030,632</td>
<td>$297,030,696</td>
</tr>
</tbody>
</table>

*Detail on gifts of $5,000 and over is attached.

Pledges are recorded when the commitment is made. To avoid double reporting, any receipts which are payments on pledges are excluded from the report amount.
## Gifts to benefit the University of Minnesota

**Gifts received in May 2019**

<table>
<thead>
<tr>
<th>Donor</th>
<th>Gift/Pledge</th>
<th>Purpose of gift</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>$1 Million and Over</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Schlumberger Technology Corp</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>The Hormel Fdn</td>
<td>Gift</td>
<td>Hormel Institute</td>
</tr>
<tr>
<td>Best Buy Enterprise Services Inc</td>
<td>Pledge</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td><strong>$500,000 - $1,000,000</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lotus Charitable Fund-Schwab Charitable</td>
<td>Gift</td>
<td>Scholarships</td>
</tr>
<tr>
<td>Minnesota Crop Improvement Assn</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td><strong>$250,000 - $500,000</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Robert K Eddy</td>
<td>Gift/Pledge</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Regis Fdn for Breast Cancer Research</td>
<td>Gift</td>
<td>Academic Clinical Affairs</td>
</tr>
<tr>
<td>Anonymous</td>
<td>Gift</td>
<td>Libraries</td>
</tr>
<tr>
<td>Curtis L Carlson Family Fdn</td>
<td>Pledge</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Zepto Life Technology LLC</td>
<td>Pledge</td>
<td>Medical School</td>
</tr>
<tr>
<td>Frank J and Eleanor A Maslowski Charitable Trust</td>
<td>Gift</td>
<td>College of Science and Engineering, Medical School</td>
</tr>
<tr>
<td>General Mills Inc</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Timothy G and Lisa R LaLonde</td>
<td>Pledge</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Edelstein Family Fdn</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Anderson Family Fund-Vanguard Charitable</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
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<tr>
<td><strong>$100,000 - $250,000</strong></td>
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<tr>
<td>Anonymous</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>MGK</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Anonymous</td>
<td>Gift</td>
<td>College of Design</td>
</tr>
<tr>
<td>Pohlad Family Fund-Signature Fund of Mpls Fdn</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>The Sauer Family Fdn</td>
<td>Pledge</td>
<td>College of Education and Human Development</td>
</tr>
<tr>
<td>Richard P Hebert and Audrey Bergeron</td>
<td>Gift</td>
<td>University of Minnesota Crookston</td>
</tr>
<tr>
<td>3M Co</td>
<td>Pledge</td>
<td>Medical School</td>
</tr>
<tr>
<td>Lois J Johnson Estate</td>
<td>Gift</td>
<td>College of Education and Human Development</td>
</tr>
</tbody>
</table>
### $100,000 - $250,000

- **Rogue Fdn-Signature Fund the Minneapolis Fdn**
  - Gift: Academic Clinical Affairs, College of Veterinary Medicine, Intercollegiate Athletics
- **Vance K and Darin B Opperman**
  - Gift: Law School
- **John O Gilbert Estate**
  - Gift: University of Minnesota Morris
- **Anonymous**
  - Gift: College of Biological Sciences
- **Bryan J Obeidzinski**
  - Pledge: University of Minnesota Duluth
- **Martin L and Marilyn B Lipschultz**
  - Gift: Medical School
- **Ronald J and Jane E Adrian**
  - Pledge: College of Science and Engineering
- **The Head Family Fdn**
  - Pledge: Minnesota Landscape Arboretum

### $50,000 - $100,000

- **LaVerne I Colness**
  - Gift: University of Minnesota Duluth
- **Helen S Henton Trust**
  - Gift: Unrestricted
- **Horst Rechelbacher Fdn**
  - Gift: College of Food, Agricultural and Natural Resource Sciences
- **Minnesota Turf and Grounds Fdn**
  - Gift: College of Food, Agricultural and Natural Resource Sciences
- **Boston Scientific Corp**
  - Gift: Medical School
- **PepsiCo Inc**
  - Gift: College of Food, Agricultural and Natural Resource Sciences
- **Gary S and J Andrew Whitford Holey**
  - Pledge: College of Education and Human Development
- **Sonny S and Lena Gan**
  - Gift: Carlson School of Management
- **Mitsui Chemicals Inc**
  - Gift: College of Science and Engineering
- **Wanhua Chemical Group Co LTD**
  - Gift: College of Science and Engineering
- **Arnold S Leonard Cancer Research Fund**
  - Gift: Medical School
- **Katharine E Dumas**
  - Gift: University of Minnesota Duluth
- **Bradley and Jacqueline Baumgard**
  - Pledge: Intercollegiate Athletics
- **Blythe Brenden-Mann Fdn**
  - Gift: Medical School, Academic Clinical Affairs

- **Buuck Family Fdn**
  - Gift: Scholarships
- **Esri**
  - Gift: College of Design
- **IGF Oncology LLC**
  - Gift: College of Science and Engineering
- **Phibro Animal Health Corp**
  - Gift: College of Food, Agricultural and Natural Resource Sciences
- **Robert Milnichuk**
  - Gift: Intercollegiate Athletics
- **Ruth A Draut Estate**
  - Gift: University of Minnesota Extension
- **Target Corp**
  - Gift: Humphrey School of Public Affairs
- **Tom Claya**
  - Pledge: Intercollegiate Athletics
- **Veronica J Johnson**
  - Pledge: College of Education and Human Development
<table>
<thead>
<tr>
<th>Amount</th>
<th>Originator</th>
<th>Department</th>
</tr>
</thead>
<tbody>
<tr>
<td>$25,000 - $50,000</td>
<td>Pharmavite</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td></td>
<td>Michael H Baker Family Fdn</td>
<td>College of Science and Engineering, College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td></td>
<td>State Farm Mutual Automobile Insurance Co</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td></td>
<td>J and S Haugo Living Endow Fund-ELCA</td>
<td>College of Education and Human Development, Medical School</td>
</tr>
<tr>
<td></td>
<td>Valent</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td></td>
<td>Minnesota Landscape Arboretum Auxiliary</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td></td>
<td>Thomas and Jennifer Maxwell</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Shahzad and Co LLC</td>
<td>College of Veterinary Medicine</td>
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<td></td>
<td>Alvin E McQuinn</td>
<td>Minnesota Landscape Arboretum</td>
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<td></td>
<td>ICL Specialty Products Inc</td>
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<tr>
<td></td>
<td>Benevity Community Impact Fund</td>
<td>Various Colleges</td>
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<tr>
<td></td>
<td>Mark and Colleen Kahnke</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td></td>
<td>Paul A Crowell</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td></td>
<td>Arvid Olson Estate</td>
<td>Medical School</td>
</tr>
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<td></td>
<td>Susan K and Mark J Heurung</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Litton E S Field Jr and Anne H Field</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Anonymous</td>
<td>Global Programs and Strategy Alliance</td>
</tr>
<tr>
<td></td>
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<td>Academic Clinical Affairs</td>
</tr>
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<td>Anonymous</td>
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</tr>
<tr>
<td></td>
<td>Best Buy Fdn</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td></td>
<td>Christensen Farms and Feedlots</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td></td>
<td>Darwin N Patnode</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td></td>
<td>Holmes/CSM Family Fdn</td>
<td>Carlson School of Management</td>
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<td></td>
<td>Kathryn A Sikkink</td>
<td>College of Liberal Arts</td>
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<td>Mark E Carlson</td>
<td>College of Veterinary Medicine</td>
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<td></td>
<td>Middle Mississippi River Watershed Mgmt Orgn</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td></td>
<td>Nickolas B and Anny H Reimer</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Stephen F Litton</td>
<td>School of Dentistry</td>
</tr>
<tr>
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$10,000 - $25,000

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Judsen R Marquardt              Gift  College of Design
Muriel M Orcutt Estate          Gift  Libraries
Richard M Bergen                Gift  Hormel Institute
Robert C and Inez L Schwegler   Gift  School of Dentistry
Samuel K Maheswaran             Gift  College of Veterinary Medicine
Sandra Tai Inc                  Gift  School of Dentistry
Slaggie Family Fdn              Gift  Medical School
The Glenn and Tami Stolt Charitable Fund Gift  Intercollegiate Athletics

Thought Leadership and Innovation Fdn Gift  Medical School

Van Sloun Fdn                   Gift  College of Veterinary Medicine

$5,000 - $10,000

Joel and Nicole Kunza           Gift  Medical School
Jacqueline R Williams-Roll and Michael Roll Gift  Carlson School of Management

AdvancedCPR Solutions            Gift  Medical School
Benjamin J Peake                Gift  Medical School
Arnold M Ranta                  Pledge University of Minnesota Duluth
Robert H Bruininks and Susan A Hagstrum Gift  Various Colleges

Charles M Goethe Estate         Gift  College of Biological Sciences
Abbott                         Gift  College of Science and Engineering
Ruth G Bachman                  Gift  Medical School
Hurley Funeral Home PC          Gift  Intercollegiate Athletics, Medical School

Jane T and William J Berens     Gift  Medical School
Willis and Dorothy Peterson Fund-Fidelity Charitable Gift  College of Food, Agricultural and Natural Resource Sciences
Bradley L Videen                Gift  School of Dentistry
Deborah J Rozeboom              Gift  Minnesota Landscape Arboretum
Fred C and Katherine B Andersen Fdn Gift  Minnesota Landscape Arboretum
J Jay Mooreland II              Gift  Medical School
Richard N and Debra M Norrin    Gift  Medical School
Greater Twin Cities United Way  Gift  Academic Clinical Affairs
Richard J and Dorothy A Seebach Gift  College of Liberal Arts, College of Science and Engineering

Caroline J and Donald H Frenette Gift  College of Veterinary Medicine
Anonymous                        Gift  Global Programs and Strategy Alliance
Kodi and Tim Verhalan            Gift  Medical School
Jester Concepts                  Gift  Medical School
$5,000 - $10,000

Paul and Elaine Campbell Gift Medical School
Thomas R Day Gift Hormel Institute
The Kevin and Greta Family Fdn Gift Academic Clinical Affairs
Anonymous Gift College of Liberal Arts
Frank H Burton Gift Medical School
Joseph Green and Trudi Anderson Gift Law School
Luella S Shaw Estate Gift Minnesota Landscape Arboretum
Mary Lou and Donald F Klassy Gift Various Colleges
Minnesota Power Fdn Gift University of Minnesota Duluth
Patricia A Erlandson Gift Medical School
Paul F Thomas Gift College of Liberal Arts
Reginald J Clause and Mary S Holz-Clause Gift University of Minnesota Crookston

Robert and Gail Toftey Charitable Donation Fund Gift University of Minnesota Duluth
Duane and Susan Hoff Gift Medical School
Mark A and Rita M James Gift Medical School
Francis M Sauvageau Gift Medical School
Richard J and Teresa A J Devick Gift College of Liberal Arts
UMM Dance Ensemble Gift University of Minnesota Morris
Aaron and Renee Shilts Gift Medical School
Deloitte Fdn Pledge Carlson School of Management
Jane and Lyle Fahning Gift Medical School
Kathleen A O'Brien Gift College of Liberal Arts
Gerald A and Dina Leener Gift Carlson School of Management
Thrivent Financial for Lutherans Fdn Gift Various Colleges
Adam and Caitlin Thielen Gift Medical School
David D Largaespada and Sue E Amundson Gift Medical School

Dennis A and Jeanne M Lind Gift Medical School
Jennifer and Matthew Hanson Gift Medical School
Judy and Dave Hallett Gift Medical School
Kathleen M Schmidkofer Gift Medical School
Kristin and William Henak Gift Medical School
Tim C Lively Gift Medical School
Anonymous Gift Global Programs and Strategy Alliance
Heather and P J Fleck Gift Medical School
Larry A Zavadil Gift Intercollegiate Athletics
Acoustical Surfaces Inc Gift Academic Clinical Affairs
Adobe Systems Inc Gift College of Science and Engineering
Alan R Flory Charitable Fund-Amer Ctr Gift College of Biological Sciences
Philanthropy
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<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Nicole Olson</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Phyllis Reha and Ronald Erickson</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Porter Legacy Fund-Vanguard Charitable</td>
<td>Gift</td>
<td>College of Veterinary Medicine</td>
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<tr>
<td>Pulmonary Fibrosis Support Group of Minnesota</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Raphaela Scharfschwerdt</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Ronald M Hoffner</td>
<td>Pledge</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Schreiber Foods Inc</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Steven H and Jill R Gottlieb</td>
<td>Pledge</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>TCF National Bank</td>
<td>Gift</td>
<td>Academic Clinical Affairs</td>
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<tr>
<td>Terry L and C Jane Edwards</td>
<td>Gift</td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td>The Hubbard Broadcasting Fdn</td>
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<tr>
<td>Thomas R Nides</td>
<td>Gift</td>
<td>Humphrey School of Public Affairs</td>
</tr>
<tr>
<td>Valerie and Nik Larsen</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Wiswall Endodontics PC</td>
<td>Gift</td>
<td>School of Dentistry</td>
</tr>
</tbody>
</table>
SALE OF 2642 UNIVERSITY AVENUE, SAINT PAUL (TWIN CITIES CAMPUS)

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to sell the property at 2642 University Avenue, Saint Paul.

2. Location and Description of the Property

The property at 2642 University Avenue, Saint Paul is the only remaining property owned by the University in the Midway Area, St. Paul. The former Minnesota Geological Survey Building, was constructed in 1930, and was last renovated in 1983. The building is a 1 and 2-story building with a full basement which comprises a gross building area (GBA) of approximately 41,431 sq. ft. The property is situated on .63 acres, with 7 parking spaces available on site. (The property also has a leasehold interest in 37 parking spaces located off-site through July 31, 2032.) See attached map.

The legal description is: Parts of Lot 25, Auditor’s Subdivision No. 9, Ramsey County, MN Torrens Certificate of Title No. 251494

3. Basis for Request

The administration recommends the sale of this property for a number of reasons.

- The University has no known or planned use for the property. Since 2015 multiple potential uses have been explored, but none have proven to be a good functional fit or financially viable for this property.

- The property is landlocked by the surrounding area and buildings. Much development has occurred around it, so there is no room for lateral expansion (see accompanying photographs).

- Although the property is close to the Twin Cities campuses, it is geographically separated and isolated from the campus. In addition, because of the previously mentioned limitations to available land, the property has only 7 parking spaces on site.

- The facility has significant deferred maintenance and upgrade cost issues. A facilities condition assessment conducted in May 2014 estimated that the facility had a 10-year facility cost of $6,408,000 which included $4,964,000 in recurring component replacement costs, and $1,444,000 in non-recurring cost for accessibility fire/life safety, HVAC, and other equipment.

- The property has sat vacant since January 2015. Sale of the property will relieve the University of $79,000 in annual operating costs to maintain the facility in a mothballed status.

- On two separate occasions, the University reached agreement to sell the property for an agreed sales price of $2,150,000. The buyers in both cases, after completing their due diligence failed to reach a close.

- The University then went back to twelve different parties that previously expressed interest in acquiring the property. The University received presentations and written proposals from four parties.
4. Details of Transaction

The buyer, Peter Degan, sole proprietor offered $1,800,000, with $25,000 earnest money, and closing to occur on/before July 15, 2019. This offer price is slightly less than the University’s lowest appraisal of $1,850,000. However given that the building has been on the market since 2015 and previous sales at higher prices have fallen through, the University recommends the sale of the property at this price. Previously, the University had contracted with a real estate broker or to market the property. That contract has expired, and as a result no commission will be paid as part of the sale.

5. Use of Property

The buyer, Peter Degan, sole proprietor plans to use the property “as is” for office space, light assemblage, and storage in anticipation of creating jobs for area residents.

6. Environmental

The property is being sold “as is / where is”.

7. Uses of Proceeds

The net proceeds from the sale of the property will be deposited in the Twin Cities acquisition account for future land purchases.

8. Recommendations

The above-described real estate transaction is appropriate:

Karen Hanson, Executive Vice President and Provost

Brian Burnett, Senior Vice President for Finance and Operations
This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: Real Estate Office
MNDOT, Ramsey County
1/30/2015

2642 University Avenue
St. Paul, MN
SALE OF 51.72 ACRES IN CARVER COUNTY  
(LANDSCAPE ARBORETUM)

1. **Recommended Action**

The President recommends that the appropriate administrative officers receive authorization to execute the appropriate documents providing for the sale of 51.72 acres of land in Carver County to Jeff Verdoorn.

2. **Location and Description of the Property**

The subject property is located on west 82nd Street, directly south of the Landscape Arboretum (see attached map). The property consists of 51.72 acres of vacant land.

The legal description of the property to be conveyed is as follows:

- Parts of Sections 17 and 18, Township 116, Range 23, Carver County, Minnesota (extensive legal description).
- Except a 50 foot wide permanent utility easement along the easterly boundary of said property encompassing 1.654 acres.
- Except minerals and mineral rights.

3. **Basis for Request**

Arboretum Leadership including the Arboretum Foundation Board of Trustees formed a boundaries committee in 1995. Development was proceeding at a fast rate in the SW Metro and the Arboretum wanted to determine long term boundaries that met the objectives below:

1. Provide land for future expansion of plant collections, research plots, buildings, parking lots and other facilities
2. Protect the Arboretum watershed
3. Protect the Viewshed
4. Utilize existing physical or geographical elements to outline boundaries
5. Protect large blocks of native maple-basswood forest
6. Protect lakes that are used for irrigation water, wildlife habitat and as beautiful amenities

The committee proposed and a 1998 Master Plan by Ellerbee Assoc. confirmed that the surrounding public roads - State Trunk Highways 5 and 41, West 82nd Street and Bavaria Road would be ultimate boundaries (other than existing research blocks that were purchased between 1908 and 1940's for the U of M Fruit Breeding Project) The land enclosed by the public roads met all of the objectives and 305 acres were purchased between 1995 and 2013. The property purchases were funded with partial State of Minnesota funding through the Environmental and Natural Resources Trust Fund - recommended by the Legislative Citizens Commission for Minnesota Resources (LCCMR); private donations to the Minnesota Landscape Arboretum Foundation and loans from the U of M that are being repaid by the Arboretum Foundation.
The 52 parcel that is being sold was purchased with private funds in the early years of the Arboretum does not meet most of the six objectives above and is outside the road boundaries and watershed of the main Arboretum property. It would be difficult property to access once West 82nd is upgraded to County Road 18 and would require separate fencing and irrigation main line extensions under a County Road.

The subject property was identified for sale in late 2012 in conjunction with identifying funding sources for the planned purchase of 78.13 acres for expansion of the Landscape Arboretum, with native forest, wetlands and 1,300 feet of lakeshore on Lake Tamarack. This new property is used for research; protection of wildlife, wetlands and water quality; protection and restoration of Big Woods, Oakland Savanna and Upland meadow; and educational and public recreational purposes.

The parcel was then offered for sale in the spring of 2013 to the State of Minnesota, Carver County, and City of Chaska, before offering the property to the public by Invitation to Bid. No buyer was identified as a result of this sale effort, and then the parcel was listed for sale with a local real estate broker.

In December 2014, the Board of Regents approved the sale of the 51.72 acres for $1,702,500 (one half paid at closing, balance paid on a three year contract for deed) to Peachtree Arboretum LLC for residential development. In September 2015, the Board of Regents was informed that the purchase agreement with Peachtree Arboretum LLC was terminated when its funding partner, Merced Capital, and all potential replacement investment partners declined to invest in the project.

The property was then re-listed for sale with a local real estate broker.

4. Details of Transaction

The sale price is $1,500,000 with earnest money is $75,000, no financing contingency, closing to occur on/before July 15, 2019. Buyer to pay all closing costs. Seller to pay 6% brokerage commission at close.

5. Use of Property

The buyer anticipates building a single family residence on the property.

6. Environmental

The property is being sold “as is / where is”.

7. Use of Proceeds

The net proceeds from the sale of the subject 51.72 acres will be applied to a $1,312,500 internal loan issued to the Landscape Arboretum for part of the purchase price for the 78.13 acres acquired in November 2013 for expansion of the Landscape Arboretum.
8. Recommendations

The above-described real estate transaction is appropriate:

Karen Hanson, Executive Vice President and Provost

Brian Burnett, Senior Vice President for Finance and Operations
1. **Recommended Action**

The President recommends that the appropriate administrative officers receive authorization to execute the appropriate documents providing for the sale of 40.00 acres of land in Carlton County to Daniel and Gina Brethorst, husband and wife.

2. **Location and Description of the Property**

The subject property is located approximately six (6) miles southwest of the Cloquet Forestry Center (see attached map). The property consists of 40.00 acres of vacant land.

The legal description of the property to be conveyed is as follows:

The SE ¼ of the NE ¼ Section 20, Township 48, Range 18, Carlton County, Minnesota

Except minerals and mineral rights.

3. **Basis for the Request**

The subject property (Parcel B) is one of the two parcels of land (B and C) totaling 80.00 acres remaining for sale from the original planned sale of 435 acres of excess / surplus property at the Cloquet Forestry Center, approved by the Board of Regents on May 9, 2002. The 435 acres were offered to the City of Carlton, Carlton County and the State of Minnesota first and then eventually to the public. (Carlton County purchased 123.64 acres on December 6, 2002.)

Parcels B and C have been posted for sale on the University’s Real Estate Office website and have been actively marketed since 2007. The buyers for Parcel B, Daniel and Gina Brethorst, have agreed to pay the University’s asking price (and appraised value) of $8,000.

4. **Details of Transaction**

The sale price is $8,000.00 and the buyers will pay all closing costs to complete the sale transaction.

5. **Use of Property**

Parcel B is low and wet with no road access. The buyers are planning to enjoy the property “as is” and are unlikely to develop the property in the future.

6. **Environmental**

The property is being sold “as is / where is”.

---

SALE OF 40.00 ACRES IN CARLTON COUNTY
(CLOQUET FORESTRY CENTER)
7. **Use of Proceeds**

The sales proceeds will be placed in a reserve for future land purchases or potential capital equipment purchases for the Cloquet Forestry Center.

8. **Recommendations**

The above-described real estate transaction is appropriate:

Karen Hanson, Executive Vice President and Provost

Brian Burnett, Senior Vice President for Finance and Operations
Sale of 40 Acres - Carlton County
Cloquet Forestry Center

Parcel to be Sold

Cloquet Forestry Center

Parcel 'B'
40 Acres

This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: U Services GIS, Carlton County, MnGEO

5/28/2019
# General Contingency

## Fiscal Year 2019

(7/1/2018-6/30/2019)

### Current Activity:

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<th>Recipient</th>
<th>Amount</th>
<th>Balance</th>
<th>Purpose</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 FY19 General Contingency</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td></td>
</tr>
<tr>
<td>2 Carryforward from FY18 to FY19</td>
<td>913,210</td>
<td>1,913,210</td>
<td></td>
</tr>
<tr>
<td>3 <strong>New items this reporting period:</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Capital Planning &amp; Project Management</td>
<td>49,756</td>
<td>1,962,966</td>
<td>Return of unused funds - UMM Biomass Gas Scrubber ($150,000 allocated FY15)</td>
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<tr>
<td>5 University Health &amp; Safety</td>
<td>(50,000)</td>
<td>1,912,966</td>
<td>Partial support for work on UMC air permit required by MPCA</td>
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<tr>
<td>6 <strong>Current Balance</strong></td>
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<td>1,912,966</td>
<td></td>
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### Commitments*:

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<th>Amount</th>
<th>Purpose</th>
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<tbody>
<tr>
<td>7 Office of the Board of Regents</td>
<td>(350,000)</td>
<td>University President search &amp; transition activities.  Allocated October, 2018.</td>
</tr>
<tr>
<td>8 University Relations</td>
<td>(250,000)</td>
<td>University President inauguration activities.  Allocated June, 2019.</td>
</tr>
<tr>
<td>9 <strong>Projected Balance</strong></td>
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<td>1,312,966</td>
</tr>
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</table>

* Items $250,000 or more Subject to Board approval.
Purchase of Goods and Services $1,000,000 and over

To Dell EMC for approximately $1,112,180 to provide software licensing of commonly used Microsoft products for all University of Minnesota students, faculty, and staff for the Office of Information Technology (OIT) for the period of August 1, 2019 through July 31, 2020.

This is a renewal of an Enrollment for Education Solution (EES). This subscription is an annual comprehensive licensing program specially created to address the needs of higher education institutions. Utilizing this Agreement ensures that Microsoft software will be available to University of Minnesota students, faculty, and staff. This subscription is a benefit to students, faculty, and staff by supporting standardization of documents, analysis and reports.

The Agreement includes current versions and upgrade as well as downgrade rights over the course of the contract for: Microsoft Office Pro Plus for Windows, Office Standard for Macintosh, Windows Enterprise Operating System upgrades, Software Assurance benefits, all version of Windows Servers, SharePoint Servers, Exchange Servers, System Center Endpoint Protection, standard Client Access Licenses (CALs), SQL Server CALs, Microsoft Desktop Optimization Pack (MDOP), and Windows Remote Desktop Services Device CALs.

Additionally, University faculty and staff will be able to take advantage of Microsoft Office 365 Pro Plus desktop applications for personal use on up to 15 devices during their employment. Students are allowed to use Microsoft Office in University-managed computer labs and Microsoft Office 365 Pro Plus desktop applications for personal use on up to 15 devices, including mobile devices, during the term of their enrollment.

Dell was selected as the vendor for this licensing program as the result of an earlier competitive bid process among Microsoft Education Large Account Resellers.

OIT will be utilizing O&M funds.

Submitted by: Douglas Ahlgren
1300 S. 2nd St., Minneapolis MN 55455
612-301-2172

Approval for this item requested by:

[Signature]

VP of Exec. VP Name
VP or Exec. VP Title

[Signature]

06-20-19
Date
AGENDA ITEM: University of Minnesota Alumni Association Annual Report

☐ Review  ☐ Review + Action  ☐ Action  ☒ Discussion

This is a report required by Board policy.

PRESENTERS: Lisa Lewis, President and CEO, UMAA
Doug Huebsch, FY 2019 Board Chair, UMAA
Laura Moret, FY 2020 Board Chair, UMAA

PURPOSE & KEY POINTS

The purpose of this item is to update the Board on alumni relations at the University of Minnesota, Twin Cities.

BACKGROUND INFORMATION

The UMAA annual report to the Board was last delivered in July 2018.
University of Minnesota Alumni Association

2019 BOARD OF REGENTS PRESENTATION
Doug Huebsch  B.S. ’85 (CFANS)
FY19 UMAA Board Chair
1904

UMAA formed:

“To weld graduates into a single unit of influence.”
I also enjoy reading the Minnesota Alumnus very much. As in most cases with former Gophers, it is our only link with a great school which occupies a prominent place in our affections. Since last year I have been out in the Pacific. I’m now a gunnery officer aboard a fast destroyer-minesweeper which, so far this year, has been in about all the big Pacific operations.

—George C. Vaillancourt ’43, from the Pacific,
Engaged alumni foster student, alumni and University of Minnesota success
591,000 Alumni Systemwide

<table>
<thead>
<tr>
<th>Campus</th>
<th>Alumni</th>
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<tr>
<td>Twin Cities</td>
<td>493,000</td>
</tr>
<tr>
<td>Duluth</td>
<td>74,000</td>
</tr>
<tr>
<td>Crookston</td>
<td>11,000</td>
</tr>
<tr>
<td>Morris</td>
<td>16,000</td>
</tr>
<tr>
<td>Rochester</td>
<td>600</td>
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</tbody>
</table>

63% of system alumni reside in Minnesota
Alumni Engagement

360,000
76%
Connected with the U since graduation

249,000
52%
Connected to the U in FY19
HIGHLY ENGAGED ALUMNI

35x MORE LIKELY
TO GIVE TO THE U

GIVE AN AVERAGE OF
$6,000 MORE
THAN NON-ENGAGED ALUMNI
In FY18, more than 39,000 system-wide alumni gave over $170,000,000 to the University representing 54% of all donors and 49% of all gifts.
Alumni Advance the University of Minnesota

- Grow the University of Minnesota brand and the value of every degree
- Foster alumni engagement for University support
- Bolster student recruitment efforts and student success
- Lead alumni involvement in legislative advocacy
Grow the University of Minnesota brand and the value of every degree
National Networks
Alumni Engagement in Asia

Japan Minnesota Association (JMA)

Asian Alumni Leaders Breakfast
University of Minnesota Day of Service

1,785
Number of volunteers since 2015

3,666
Hours served since 2016

$90,530
Total economic impact since 2016

152%
Increase in participation since 2015
Affinity Networks Launched in FY19

International Student Alumni Network

American Indian Alumni Network

Multicultural Alumni Network
Rochester Alumni Network

Welcome to UMR!
Corporate Alumni Networks
Career Month
Support student recruitment and success
2019 Alumni Admissions Volunteer Program

Alumni Event Hosts
7 volunteers

Alumni Card Writers
93 volunteers reached 465 admitted students

Alumni Volunteers in
9 states and
12 cities

Alumni Event Ambassadors
39 volunteers
● **3,400** alumni and students

● **45** states and Washington, D.C.

● **35** countries
Lead alumni involvement in legislative advocacy
Legislative Advocacy

State should invest in 'U'

Mar 29, 2019

I am a firm supporter of all higher education institutions and opportunities in Minnesota. However, I am particularly concerned about the future of my alma mater, the University of Minnesota.

The 'U' is Minnesota's only land-grant university. Its mission extends beyond the educational borders of each campus to include extension and research. As a farmer that uses the university's ag research and outreach, I am troubled by the chronic underfunding of 'U' budget requests in recent years.

We need a strong 'U' to generate new crop practices and help farmers with the next animal health pandemic — which will happen. I want a quality 'U' to train our future ag and veterinary specialists to lead the next generation of agriculturalists. We need a successful 'U' to help solve Minnesota's water, energy and health-care challenges.

I do not believe Minnesotans want to lower the quality of education, research and outreach we depend on from the university.

I urge legislative leaders to provide the funding that is critical for the future of higher education by supporting the U's budget request. My family, our family farm business, our state economy, environment and citizens are counting on a strong University of Minnesota.

An investment in our state's flagship university system is an investment in a strong future for Minnesota.

Patrick Duncanson
Mapleton

Letter: Legislature should support University of Minnesota

Mar 23, 2019

While standing in the checkout line at my local grocery store the other day, a stranger noticed my almost worn-out Gopher jacket and hat and congratulated me on a recent University of Minnesota basketball team victory.

After our brief conversation, I left the store thinking about all of the times that jacket and hat had prompted discussions with strangers about the University on various subjects — not just the athletic programs.

It made me realize that most Minnesotans are interested in their university and want it to continue its excellence and serve the people of Minnesota. However, years of underfunding from the Legislature means there is no more fat to cut without impacting the quality of its programs.

As an alumnus of the U of M (Class of 1980), I have continued to be active in UM activities on both the Twin Cities and Rochester campuses and recognize the important role the university plays in the lives Minnesotans.

I hope to see a higher education budget that allows the U to retain expert faculty and researchers to maintain the quality of the programs that attract and graduate the best students and does not rely on a greater share of middle-class families to bear the increasing cost.

I hope the Legislature supports the U's pragmatic request for additional funds to keep Minnesota a leader in higher education.

Dick Wasterlund, Rochester
Lead through strength
THE PILLARS
OF PROSPECT PARK

SENIOR LIVING | ASSISTED LIVING | MEMORY CARE
AGENDA ITEM: Annual Report on Intercollegiate Athletics

This is a report required by Board policy.

PRESENTERS: Mark Coyle, Director of Intercollegiate Athletics, Twin Cities
            Rhonda McFarland, Deputy Athletics Director, Twin Cities
            Joi Thomas, Senior Associate Athletics Director, Twin Cities

PURPOSE & KEY POINTS

The purpose of this item is to provide the annual report on the Intercollegiate Athletics program on the Twin Cities campus. In addition to the academic and athletic achievements of Gopher student-athletes, the report will take a closer look at the University's Sport and Health Performance Unit, which is comprised of athletic medicine, strength and conditioning, sports nutrition and sports psychology.

Student-Athlete Academic Achievements

Gopher student-athletes excelled in the classroom over the past year, extending the department’s record of consecutive fall/spring terms with a 3.2 or greater cumulative GPA average to 11 straight semesters. Following spring 2019, Gopher student-athletes hold a collective GPA of 3.29, which is the highest recorded GPA in department history.

The record-setting GPA reflects hundreds of individual success stories. Gopher Athletics set a new department record this past season by collecting 373 Academic All-Big Ten honors, which is an award given to student-athletes in at least their second year of school who hold a GPA of 3.0 or higher. Gopher student-athletes also earned eight Academic All-American and 16 Academic All-District awards in the past year.

While Gopher student-athletes excel as they pursue more than 80 different majors on the University's Twin Cities campus, they are also graduating at a record rate. Gopher Athletics currently has a 93 percent graduation rate, which is the highest in the department’s history.

The University has maintained its strong record in the NCAA's Academic Progress Rate (APR) report. Six Gopher teams earned a perfect 1,000 multi-year score and received Public Recognition Awards for being among the top 10 percent nationally in their respective sports. Twelve Gopher programs scored perfect 1,000 single-year APR scores, and 15 out of 23 programs at the University either matched or exceeded their APR single-year score from the previous year.
**Student-Athlete Athletic Accomplishments**

This year, the Gophers finished in the top 20 of the Learfield Directors’ Cup standings, which is a competition that measures broad-based success across a department’s athletic programs based on performance in NCAA postseason competition. The Directors’ Cup standings include nearly 300 Division I schools, and this accomplishment places the University in roughly the top six percent nationally.

Gophers won four conference championships in 2018-19, resulting in 15 cumulative wins in the past three years. Each of these totals is among the highest in the Big Ten. Across all programs, the Gophers had the second-highest average finish in the Big Ten this year, trailing only Michigan.

Gophers also had two individual national champions, bringing the total to 10 such titles in the past six years.

**Facilities**

Gopher Athletics completed several key capital projects this past year, including the David E. Mooty Golf Facility, a wrestling practice facility, and the Minnesota Track and Field Stadium.

In addition to these facilities, Gopher Athletics is in the process of completing a new Volleyball Performance Center in Maturi Pavilion and locker room renovations at Gibson-Nagurski. An upgrade to the office space and weight room at 3M Arena at Mariucci will begin soon.

Private investment in both Athletes Village and the broader “Nothing Short of Greatness” fundraising campaign continues to be strong. As of June 1, 2019, more than $134.9 million has been raised for all facilities projects under the “Nothing Short of Greatness” campaign. Ongoing fundraising for these capital projects will continue through the coming year. There is also work being done to update the Freeman Aquatic Center.

**Department Budget**

The budget for FY 2020 is $123 million. Major expense categories include:

- Salaries and fringe – $42.9 million
- Facility operations and debt service – $20.9 million
- Sport unit operations – $17.8 million
- Scholarships – $13.5 million
- Support unit operations – $10.9 million

Major revenue categories include:

- Big Ten/NCAA allocations and media rights – $56.6 million
- Ticket sales – $20.6 million
- Fundraising – $16.2 million
- Sponsorships – $11.9 million
BACKGROUND INFORMATION

Board of Regents Policy: *Intercollegiate Athletics – Twin Cities Campus* states, “The president or delegate shall submit a report annually to the Board on matters related to student-athlete academic progress, athletic accomplishment, department budget and facilities.” The report is presented annually by the Director of Intercollegiate Athletics and was last delivered in July 2018.
OVERVIEW

- Sponsor 25 varsity sports
- 675 student athletes
- 275+ full-time employees
- FY20 budget $123M
ACADEMICS

• Cumulative GPA among student-athletes through Spring 2019: 3.29 (school record)

• 373 Academic All-Big Ten honorees in 2018-19
  • 118 Big Ten Distinguished Scholars

• Eight CoSIDA Academic All-America and 16 All-District honorees

• Program-record 93 percent graduation rate
  • Tied for first among Big Ten public institutions

• Six Gopher programs with perfect APR multi-year scores
  • 12 programs with perfect single-year APR scores
ATHLETICS

• Back-to-back top-20 finishes in the Director’s Cup
  • Second time in last 15 years
  • Fifth among Big Ten schools in 2018-19

• Four team conference titles and 13 NCAA team appearances
  • First bid to Women’s College World Series
  • Men’s basketball advances in NCAA Tournament
  • Women’s hockey NCAA runner-up
  • Two individual NCAA champions and nine individual Big Ten champions

• Border Battle victory against Wisconsin
  • Ninth win in last 11 years

• Only Power Five school with winning record in every team sport
FINANCES

FY20 Budgeted Expenses - $123,557,556

- Scholarships: 11%
- Personnel: 35%
- Sport Operations: 14%
- Support Operations: 9%
- Debt: 9%
- Facilities: 8%
- Administrative Expenses: 6%
- Cost Pool: 5%
- Other: 3%
- Cost Pool: 5%
- Administrative Expenses: 6%
- Support Operations: 9%
- Debt: 9%
- Facilities: 8%
- Other: 3%
- Scholarships: 11%
- Personnel: 35%
- Sport Operations: 14%
Big Ten Budgets FY18 & FY19

Teams

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<td>$221 M</td>
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FACILITIES

- Completed new facilities or renovations this year
  - David E. Mooty Golf Facility
  - Gopher Wrestling Facility
  - Minnesota Track & Field Stadium
  - Jean K. Freeman Aquatic Center
  - TCF Bank Stadium turf replacement

- Ongoing projects
  - Volleyball Performance Center at Maturi Pavilion
  - Locker room renovations at Gibson-Nagurski
  - 3M Arena at Mariucci improvements

- Fundraising for Athletes Village
HEALTH & PERFORMANCE

• Restructure of unit to facilitate collaboration and communication
  • Sport Performance Review Committee
  • Health and Performance Collaboration Meetings
  • Mental Health Care Meetings

• Best Practices and Evidence-based Guidelines related to Health and Performance
  • NCAA Legislation and Recommendations
  • Review and updates for policies and procedures within the unit
  • U.S. Council for Athletes’ Health (USCAH) continues to work with the Health and Performance Unit
USCAH FINDINGS

- Powerful Practices
  - Vision and Mission
  - Culture
  - Department Communication
  - Organization Structure

- Areas of Continued Improvement
  - Policies and Procedures
  - Independent Medical Care
  - Staff
  - Education
  - Ongoing Review
“What’s happening here with the Gopher athletic department is absolutely great... You have come a long way from a well-intentioned effort using big-shot outside speakers to an intentional, comprehensive grass-roots effort which is noteworthy and I believe unique.”

Dr. Alan Berkowitz
Independent Consultant – Health and Social Justice Issues

“The collaboration is happening between leadership, coaches, other staff and students - we’re all taking this on to really change the culture within the department and the University as a whole.”

Alicia Leizinger
Health Promotions Specialist – Boynton Health

“Athletics is really paving the way for this kind of goal and can be seen as exemplar.”

Sara Veblen-Mortenson
Program Manager – President’s Initiative to Prevent Sexual Misconduct
AGENDA ITEM: NCAA Compliance Overview

☐ Review ☐ Review + Action ☐ Action ☒ Discussion

☐ This is a report required by Board policy.

PRESENTERS: Jeremiah Carter, Director of Athletic Compliance

PURPOSE & KEY POINTS

The purpose of this item is to review the function of the Athletic Compliance Office and provide an overview of the University’s obligations under NCAA, Big Ten, and WCHA rules and regulations.

The Board will engage in discussion around:

- Staffing of the Athletic Compliance Office and its position within the University’s overall structure.
- Issues relating to institutional control and the role of the Athletic Compliance Office with respect to institutional control.
- Additional responsibilities of the Athletic Compliance Office.
- Information regarding prospective student-athletes.

BACKGROUND INFORMATION

The mission of the University’s Athletic Compliance Office is to maintain institutional control of Intercollegiate Athletics and protect the integrity of the University by fostering a strong culture of compliance through comprehensive educational programming, development of a service oriented proactive approach, and a vigilant self-reporting system.
Compliance Reporting Lines

Office of the General Counsel

Director of Athletic Compliance
Jeremiah Carter

Athletic Director
Mark Coyle

Office of Student Finance
Molly Nelson

Associate Director - Scholarships
Jim Praska

Associate Director – Compliance
John Wallace

Associate Director – Compliance
Andrew Nelson

Assistant Director – Compliance
Jenaye Coleman

Assistant Director – Compliance
Kevin Gomer

Intern – Compliance
Aaron Berens
Institutional Control

• Compliance efforts are designed to achieve effective “institutional control” over the University’s intercollegiate athletics program.

• It is the responsibility of each member institution to control its intercollegiate athletics program in compliance with the rules and regulations of the Association.

• The institution’s President is ultimately responsible for the administration of all aspects of the athletics program.
## Compliance Office Role

Ensure compliance with all NCAA, Big Ten and WCHA Rules and Regulations (other than playing rules)

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<thead>
<tr>
<th>Educate</th>
<th>Investigate</th>
<th>Report</th>
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<tr>
<td>Coaching Staff</td>
<td>Audits/ Monitoring</td>
<td>All NCAA, Big Ten, WCHA violations are</td>
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<td>Administrators</td>
<td>All potential rules violation allegations:</td>
<td>reported promptly</td>
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<td>Student-athletes</td>
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<td>“Thick File School”</td>
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<td>Self-reports</td>
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<td>Anonymous (UReport)</td>
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<td>Staff Members</td>
<td>Other institutions</td>
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<td>Local Businesses</td>
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Institutional Control Component: Education

2018-19: 2,827 Individual Educational Opportunities

1. Educational materials
   • Local Business letters
   • @GopherGuardian
   • Pro-active outreach (lawyers, alumni)
   • Athletic staff newsletters (Gopher Daily)

2. Other educational meetings
   • Golden Clicker Competition
   • Monthly head coaches meetings
   • Student-athlete advisory committee meetings
Specific audits are conducted by the Athletic Compliance Office in conjunction with other units on campus: Academic Reviews (Provost’s Office) – Wrestling, Annual Sport Program audits (Office of Internal Audits) – Football

Athletic Compliance office audits: Financial Aid, Practice Logs, Recruiting Logs, Student-Athlete Employment, Coaches Summer Camps, etc.

In-person monitoring at 212 events (practice and competition) during 2018-19. Includes a minimum of one in every sport, all away-from-home Football contests and select Men’s and Women’s Basketball contests
Principles of self-reporting to the NCAA and Big Ten:

The University of Minnesota seeks to maintain a reputation for comprehensive compliance and vigilance with the NCAA.

The University of Minnesota voluntarily reports all rules violations and maintains a close working relationship with NCAA staff.
Waivers of NCAA and Big Ten Rules:

- Legislative Relief Waivers (transfer exceptions)
- Medical hardship
- Academic eligibility (initial and continuing)

New Legislation:

- 2018-19 legislative cycle had 123 proposed rule changes.
- Compliance staff reviews and communicates feedback from all appropriate constituent groups.

High School Recruit Review:

- Review approximately 500 High School student records per year to determine initial academic and athletic eligibility.
Regent Education
Prospective Student-Athletes (PSA’s):

• Any individual who has started classes for the 9th Grade is defined as a “Prospective Student-Athlete” by NCAA rules and thus requires us to treat them as a possible recruit, regardless of athletics status.

• **Recruited** PSA’s not permitted in Regent’s and President’s Suite at TCF Bank Stadium unless immediate family member of Regent or President. Questions about recruited status can be sent to Compliance office for review.

• NCAA Rules prohibit recruiting contact between members of the Board of Regents and PSA’s being recruited by the University of Minnesota without regard to coaching staff involvement. This restriction includes on and off-campus contacts. Pre-existing relationships can be sent to Compliance office for review.

• Restrictions extend to phone calls and correspondence for a recruiting purpose.
Current Student-Athletes:

- All benefits provided to Student-Athletes and their family members must be expressly authorized under NCAA Legislation and must be provided directly by the University.

- Extra Benefits are defined as any item or arrangement not permitted by NCAA rules or available to the student body or general public.

- Includes: meals, lodging, suite seating, transportation, tangible items, and other "special arrangements" like discounts.
Questions?

compliance@umn.edu (email)  @gopherguardian (Twitter)