



Finance & Operations Committee

May 2018

May 10, 2018

1:30 - 4:45 pm

Boardroom, McNamara Alumni Center

FIN - MAY 2018

1. President's Recommended FY 2019 Annual Operating Budget - Review

Docket Item Summary - Page 5

Resolution - Page 8

Recommended Operating Budget

Executive Summary - Page 9

Attachment 1: Strategic Operational Investments - Page 41

Attachment 2: Resource and Expenditure Budget Plan - University Fiscal Page - Page 43

Attachment 3: Fringe Benefit Rates by Component - Page 44

Attachment 4: Graduate and Professional Student Fringe Benefit Table - Page 45

Attachment 5: Law School Structural Imbalance Summary - Page 46

Attachment 6: U of M Tuition Rate Plan - Page 66

Attachment 7: Definitions of Current Sponsored and Nonsponsored Funds - Page 73

Attachment 8: Course and Class Fees - Page 75

Attachment 9: Miscellaneous Fees - Page 86

Attachment 10: Academic Fees - Page 92

Attachment 11: Student Service Fees - Page 94

Attachment 12: Fund Forecast - Centrally Distributed and Attributed Funds - Page 103

Presentation Materials - Page 107

Revised Slide 7 - Page 131

2. President's Recommended FY 2019 Annual Capital Improvement Budget - Review

Docket Item Summary - Page 132

Resolution - Page 134

Project Funding Report - Page 135

Project Description Report - Page 146

Potential Additions - Page 158

Presentation Materials - Page 159

3. Board of Regents Policy: Endowment Fund - Action

Docket Item Summary - Page 175

Revised Policy - Page 177

4. Real Estate Transactions - Review/Action

A. Sale of 2642 University Avenue, St. Paul, Minnesota (Twin Cities Campus)

Project Narrative - Page 181

Project Map - Page 183

Project Site Plan - Page 184

Project Building Photos - Page 185

B. Sale of 0.19 acres of isolated property located in the northwest corner of the University of Minnesota Morris

Project Narrative - Page 187

Project Map - Page 189

C. Lease of 9383 rentable square feet of collaborative classroom and laboratory space located within the Destination Medical Center, Rochester, Minnesota (Rochester Campus)

Project Narrative - Page 190

Project Map - Page 192

5. Real Estate Transactions - Review

Docket Item Summary - Page 193

A. Amendment to University Square Lease, 111 South Broadway, Rochester, Minnesota (Rochester Campus)

Project Narrative - Page 194

Project Map - Page 196

B. Relocation of Sand Plain Research Farm and New 30 Year Lease, Becker, Minnesota

Project Narrative - Page 197

Project Map - Page 198

C. Sale of 435.546 acres at UMore Park for residential, commercial, and mixed-use development, Dakota County, Minnesota

Project Narrative - Page 199

Project Map - Page 202

6. Collective Bargaining Agreement: District Council No. 1 of the Graphic Communications Conference of the International Brotherhood of Teamsters, Local 1-B - Review/Action

Docket Item Summary - Page 203

Resolution - Page 205

7. Consent Agenda - Review/Action

Docket Item Summary - Revised Version 2 - Page 206

Docket Item Summary - Revised - Page 209

Docket Item Summary - Page 212

Purchase of Goods and Services \$1,000,000 and Over

Purchasing Summaries - Page 215

Contract for Commercial Paper Dealer

CP Dealers Summary - Page 219

Amendments to the Civil Service Rules

Proposed Amendments - Page 220

Employment Agreements

Summary of Amendment to Employment Agreement - Head Men's Basketball Coach (Twin Cities Campus) - Page 222

Amended Employment Contract - Head Men's Basketball Coach (Twin Cities Campus) - Page 223

Summary of Employment Agreement - Head Men's Hockey Coach (Twin Cities Campus) - Page 225

Employment Contract - Head Men's Hockey Coach (Twin Cities Campus) - Page 227

Summary of Employment Agreement - Head Women's Basketball Coach (Twin Cities Campus) - Page 247

Employment Contract - Head Women's Basketball Coach (Twin Cities Campus) - Page 250

Amended Employment Contract - Head Women's Basketball Coach (Twin Cities Campus) - Page 279

Schematic Designs

Fieldhouse Exterior Envelope and Flooring (Twin Cities Campus)

Project Narrative - Page 282

Project Location Map and Renderings - Page 284

Plant Growth Research Facility (Twin Cities Campus)

Project Narrative - Page 286

Project Location Map and Renderings - Page 288

8. Information Items

Docket Item Summary - Page 289

Annual Investment Performance: Peer Comparisons - Page 291

Overview of Saint Paul Strategic Facilities Plan - Page 297

Quarterly Asset Management Report - Page 301

Semi-Annual Management Report - Unaudited - Page 312



BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

May 10, 2018

AGENDA ITEM: President's Recommended FY 2019 Annual Operating Budget

☒

Review

☐

Review + Action

☐

Action

☐

Discussion

☐

This is a report required by Board policy.

PRESENTERS:

President Eric W. Kaler
Brian D. Burnett, Senior Vice President
Julie Tonneson, Associate Vice President & Budget Director
Karen Hanson, Executive Vice President and Provost
Garry Jenkins, Dean, Law School

PURPOSE & KEY POINTS

The purpose of this item is review of the President's Recommended FY 2019 Annual Operating Budget (operating budget).

The operating budget reflects a commitment to providing an affordable, high-quality education; excellence in instruction, research and public engagement; and reducing administrative costs by \$90 million over six years. The operating budget reflects an added focus of directing available resources to support core operations and activities as a means to address these priorities.

The recommended budget includes an overview of a variety of specific financial issues, including information on academic and operating investments, compensation plans, unit and institutional revenue adjustments, proposed reallocations, tuition rates, and related fees. A complete description of proposed resource and expenditure plans across all funds (including an executive summary and detailed rate and fee tables) is in the docket.

The FY19 Budget Framework highlights the proposed incremental changes for balancing the primary discretionary funds of the institution recommended by the President. The framework is as follows:

University of Minnesota
FY19 Recurring Budget Framework

Incremental Resources:

Increased State Appropriations	\$0
Tuition Revenue	\$18,100,000
Unit Resource Increases and Reallocation	<u>\$28,300,000</u>
Total Incremental Resources	\$46,400,000

Incremental Expenditures:

Compensation & Benefits	\$31,700,000
Institutionally Managed Student Financial Aid	\$100,000
Core Operations and Services	\$10,800,000
Enhanced Student Services, Instructional & Financial Support	\$400,000
Program Enhancement and Compliance	\$1,100,000
Facilities and Technology Infrastructure	<u>\$2,200,000</u>
Total Incremental Expenditures	\$46,300,000

Balance \$100,000

The resource and investment plans outlined above are included in the all-funds budget for FY19. The all current funds non-sponsored budget plan for FY19, which includes state appropriations, tuition and all other sources (such as gifts, indirect cost recovery, sales and fees, and so forth), proposes total resources of \$4,349,185,394 and expenditures/transfers of \$3,440,581,514. The sponsored funds budget plan for FY19 (for externally funded research grants and contracts) is an additional \$575,000,000.

As a component of the all-funds budget, Attachment 5 in the docket contains detailed information on the history and status of the structural budget imbalance existing in the Law School. Information is provided on strategies considered for elimination of the imbalance and the proposal for how to proceed as incorporated into the recommended FY19 operating budget.

BACKGROUND INFORMATION

The Board of Regents previously reviewed information specifically related to the FY19 operating budget as follows:

- October 12, 2017: *FY 2019 Budget Assumptions*. This item included an overview of the annual budget framework with example cost and revenue components, information on trends in those components, and estimates of the value of changes in different budget variables.
- December 14, 2017: *FY 2019 Major Budget Levers and Resolution Related to FY 2019 Twin Cities Undergraduate Nonresident/Non-reciprocity Tuition Rate*. This item continued the policy discussion regarding the planning assumptions for the FY19 operating budget and included Board approval of a 15 percent increase in the Twin Cities' NRNR undergraduate tuition rate for FY19. In addition, the President recommended continuing the policy of the past several years in holding the increase for continuing NRNR students to no more than 5.5 percent (for current freshmen, sophomores and juniors).
- February 8, 2018: *FY 2019 Budget Framework*. This discussion included more refined and updated information on specific cost and resource drivers for FY19, with options for consideration.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the Resolution Related to the Fiscal Year 2019 Annual Operating Budget.



REGENTS OF THE UNIVERSITY OF MINNESOTA

RESOLUTION RELATED TO

The Fiscal Year 2019 Annual Operating Budget

WHEREAS, the University of Minnesota as the state's public, land grant university is charged with the responsibility to pursue knowledge and help apply that knowledge through research and discovery, teaching and learning, and outreach and public service; and

WHEREAS, the State of Minnesota, through its legislative and executive branches, has appropriated \$648,636,000 in state general fund monies for fiscal year 2019 to the University of Minnesota, which includes a decrease over fiscal year 2018 of \$10,050,000, for the pursuit of its mission and in support of our goals and objectives; and

WHEREAS, the \$10,050,000 reduced appropriation was used for nonrecurring during the current year; and

WHEREAS, the University of Minnesota is committed to achieving standards of national and international excellence while maintaining efficient and effective services and processes;

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents hereby approves the University of Minnesota Fiscal Year 2019 Annual Operating Budget as follows:

The Fiscal Year 2019 Annual Operating Budget approved by the Board of Regents includes the following attachments from the President's Recommended Fiscal Year 2019 Operating Budget:

- Attachment 2. Resource and Expenditure Budget Plan – University Fiscal Page
- Attachment 6. U of M Tuition Rate Plan
- Attachment 8. Course/Class Fees
- Attachment 9. Miscellaneous Fees
- Attachment 10. Academic Fees
- Attachment 11. Student Services Fees
- Attachment 12. Fund Forecast - Centrally Distributed and Attributed Funds

University of Minnesota

President's Recommended Fiscal Year 2019 Annual Operating Budget

Submitted for Review to the Board of Regents
May 10, 2018

President's Recommended Fiscal Year 2019 Annual Operating Budget

<u>Contents</u>	<u>Page</u>
I. Executive Summary	11
A. Tuition and Fees.....	11
B. Academic Priorities.....	11
C. Continuing Internal Reallocations	12
II. FY18 Budget Development – Context and Summary Framework	13
A. FY18 and FY19 State Appropriations	13
B. FY19 Budget Framework - Incremental Changes from Prior Year	13
III. FY19 Budget – Investment & Resource Plan Details	15
A. Summary - FY19 Investment Plans	15
Salaries	15
Fringe Benefit Rates.....	15
Institutionally Managed Student Financial Aid	15
Four Categories of Investment	16
Nonrecurring Items.....	17
B. Summary - FY19 Incremental Resources	18
State Appropriation.....	18
Tuition.....	19
Change in Tuition, Fees and Room and Board FY19 vs. FY18	20
Unit Level Resources	23
C. Summary – Changes in Other Rates and Fees.....	26
D. Summary – Student Services Fees.....	29
<hr/>	
IV. All Funds Budget - Summary	29
FY19 Operating Budget Overview	30
V. All Funds Budget – Revenue Summary	32
A. Non-current Funds	32
B. Current Funds	33
Centrally Distributed and Attributed Funds.....	34
Self-Sustaining Funds.....	37
Sponsored Research	37
VI. All Funds Budget – Expenditures/Allocation Summary	38
VII. All Current Funds Operating Budget Resolution	39
VIII. Conclusion	39

Contents

Page

Attachments:

1. Strategic Operational Investments	40
2. Resource and Expenditure Budget Plan – University Fiscal Page.....	42
3. Fringe Benefit Rates by Component	43
4. Graduate and Professional Student Fringe Benefit Table	44
5. Law School Structural Imbalance Summary	45
6. U of M Tuition Rate Plan.....	65
7. Definitions of Current Sponsored and Nonsponsored Funds.....	72
8. Course and Class Fees.....	74
9. Miscellaneous Fees.....	85
10. Academic Fees.....	91
11. Student Service Fees.....	93
12. Fund Forecast – Centrally Distributed and Attributed Funds.....	102

I. Executive Summary

The recommended FY19 (July 1, 2018 – June 30, 2019) annual operating budget reflects a consistent commitment to President Eric Kaler’s vision for the University: a commitment to providing an affordable high quality education; a commitment to excellence in instruction, research and public engagement; and a commitment to reducing administrative costs by \$90 million over six years. Moreover, this budget reflects an added focus of directing available resources to support of core operations and activities as a means to address these priorities.

A. Tuition and Fees

This budget is sensitive to the financial burden tuition and fees place on students and families and the need to be competitive in each market within which University campuses compete, while also addressing the President’s priority to maintain excellence. That balance is evidenced in this budget by a number of recommendations:

- A tuition increase of 2% on the resident undergraduate rate proposed for the Twin Cities campus maintains its position in the middle of the Big 10. Some or all of this \$258 increase may be offset for many students qualifying for the Minnesota State Grant Program: the Office of Higher Education intends to make formula changes that will benefit students up to \$120. In addition, the current “guidance” from the federal government is indicating a \$175 increase in the maximum Pell grant for the 2018-19 academic year.
- Flat resident undergraduate rates proposed for the Crookston, Duluth and Rochester campuses to bolster recruitment and retention opportunities, and a tuition increase of 1% (\$126) on the undergraduate rates proposed for the Morris campus to recognize both their competitive position for recruiting, but also some of the cost pressure they face related to the American Indian Tuition Waiver requirement. Again, there would be an effective tuition decrease for students on these campuses receiving Pell and Minnesota State Grants if current plans are implemented.
- For the Twin Cities campus, a 15% increase in the undergraduate non-resident/non-reciprocity (NRNR) tuition rate as approved by the Board of Regents in December. This represents a further step in a longer-term strategy to move this rate from the bottom of the Big 10, while maintaining NRNR enrollments.
- Increases in the graduate and professional tuition rates are proposed to remain market competitive, with rates for certain disciplines or professions held flat or minimally increased to specifically address concerns related to peer comparisons and student debt.
- Some increases in student fees are recommended to address strategic student support needs, or to recognize cost increases in some components of room and board services.

B. Academic Priorities

President Kaler believes that building and maintaining a strong base of operations is critical to excellence in our academic enterprise. To be excellent, this University cannot stand still, even if funding from the state remains stable. Therefore, with no increase in funding from the state, modest changes in tuition and fees, and continued internal reallocation, this budget strategically supports core operational activities. Specifically, it recommends investments in infrastructure (technology/facilities), student services and support, compliance requirements, targeted operating budgets of colleges and campuses (most notably to address the financial challenges in the Law School and on the Duluth campus), and most importantly, a competitive compensation plan centered around a merit increase pool of 2% to retain and recruit top faculty and staff.

From hundreds of proposals, only the most critical are being recommended for investment. The University is committed to working harder and smarter to improve services and instructional experiences for students, to engage and reward talented faculty and staff, and to ensure research and engagement activities serve Minnesota exceptionally and are cutting edge models for the nation. This budget addresses many of these goals. Attachment 1: Strategic Operational Investments includes a complete list of the recurring and nonrecurring strategic operational investments funded through the combined resources of tuition, other miscellaneous revenues, available balances, and reallocation.

C. Continuing Internal Reallocations

This budget continues to meet the goal to internally reallocate funds for higher spending priorities. In total, it includes \$22.3 million of internal reallocations for FY19 across all funds. There are several components to this annual exercise, each required in order to redeploy freed-up resources to address critical cost increases or investments summarized in item B above:

- Beginning in FY14, President Kaler announced a goal to reduce administrative costs throughout the institution, across all funding sources, by \$90 million over six years. FY19 is the final year of that plan, and this budget includes activities to reduce administrative costs by at least \$11.6 million - \$8.3 million will be realized in the budget framework funds of state appropriation and tuition and \$3.3 million in other non-sponsored funds such as sales, fees, gifts and endowments etc. With implementation of this budget, the University will have achieved the \$90 million goal by reducing \$91.3 million in administrative costs as part of the annual budget balancing process over the last six years.
- In addition, units have identified \$6.6 million in proposed spending reductions in mission activities, often related to restructuring or changes as a result of retirements.
- Finally, the budget includes plans for an additional \$4.1 million of unit reallocation or other revenue support that is not specifically itemized at this point, but will take shape as the year progresses.

As in past years, the majority of the identified reductions and reallocations are related to the costs of compensation: eliminating positions or realizing salary savings by restructuring and hiring at lower cost. Additional cost savings will be realized through continued changes in purchasing decisions for things such as professional services, supplies, travel, and equipment.

Summary

While it is challenging to build a budget that achieves strategic goals and advances the University's excellence in the face of rising costs and limited revenue growth, this budget successfully addresses key priorities shared by the President and the Board of Regents. It does so by investing to build and maintain excellence, by incorporating a tuition and fee plan that is responsive to the needs of students and sensitive to market conditions, and by challenging all units within the University to continuously prioritize and improve efficiency in order to move resources to areas of higher strategic impact or need.

The following sections II and III summarize the budget in the context of the decisions and impacts related to items A-C above and how they come together to balance the budget for the primary discretionary funds of the institution. Sections IV, V and VI combine the recommendations centered on those primary discretionary funds, with the projections of revenue and spending in the other funds of the institution for an "all-funds" view of the budget. Summary descriptions and displays are included for the recommended all-funds operating budget for FY19, based on total estimated revenues of \$4,026,985,419, which is an increase of 1.2% (1.4% for non-sponsored funds only)

over FY18, and total estimated expenditures/transfers out of \$3,825,581,514, which is an increase of 1.8% (1.5% for non-sponsored funds only) over FY18.

II. FY19 Budget Development – Context and Summary Framework

A. FY18 and FY19 State Appropriations

Table 1 (below) outlines the University’s financial planning parameters related to state general fund appropriations for the 2018 -2019 biennial budget. The appropriations from the Health Care Access Fund, and the appropriation to the Academic Health Center pursuant to Minnesota Statutes, section 297.10 remain stable at \$2.2 million and \$22.3 million respectively, and have been excluded from the table.

Table 1
University of Minnesota
2018 – 2019 Biennial Appropriations (\$ in Thousands)

	<u>FY2018</u>	<u>FY2019</u>	<u>Biennium</u>
Beginning Biennial Base Level Appropriation	\$626,349	\$626,349	\$1,252,698
Current Law State Funding Level	<u>\$658,686</u>	<u>\$648,636</u>	<u>\$1,307,322</u>
Change from Beginning Biennial Base Level	\$32,337	\$22,287	\$54,624
Change from Prior Year	\$32,237	(\$10,050)	
% Change from Prior Year	5.2%	-1.5%	
% Increase from Biennial Base Level Funding			4.4%

The appropriation increases in the table above for FY18 included \$18,975,000 for core mission (\$10,000,000 of which was a one-time appropriation eliminated in the FY19 base going forward) and \$13,362,000 for various targeted initiatives outlined in the approved budget for FY18. There are no increases in the appropriation for FY19. The \$10,050,000 reduction indicated above moving into FY19 does not necessitate a reduction in recurring expenditures because the approved FY18 budget plan allocated those dollars to nonrecurring expenditures. For purposes of FY19 budget planning, the state appropriation is flat.

B. FY19 Budget Framework – Incremental Changes from Prior Year

Each biennium, the University develops a budget planning framework to project the major cost drivers and potential revenue adjustments within the primary discretionary funds in the operating budget: the state appropriation and tuition. The goal of the *annual* budget process (as a correlated component of the biennial budget plan) is to balance institutional resources and spending decisions in these funds and to put in place plans for setting unit spending levels consistent with projected revenues for all the other sources of funding. This document outlines specific decisions and the resulting proposed budget for state appropriations and tuition (combined) and identifies the projected revenues and spending in all other funds. The combination is presented as an all funds budget in Attachment 2: Resource and Expenditure Budget Plan – University Fiscal Page.

The budget challenge for FY19 related to the combined state appropriation and tuition funds will be addressed through a combination of new tuition revenue and unit level budget reductions and resource adjustments. The figures shown in Table 2 are **incremental** recurring changes in revenue and expenditures compared to the prior fiscal year.

Table 2
University of Minnesota
FY19 Recurring Budget Framework

Incremental Resources:

Increased State Appropriations	\$0	
Tuition Revenue	\$18,100,000	39%
Unit Resource Increases and Reallocation	<u>\$28,300,000</u>	61%
 Total Incremental Resources	 \$46,400,000	

Incremental Expenditures:

Compensation & Benefits	\$31,700,000	69%
Institutionally Managed Student Financial Aid	\$100,000	0%
Core Operations and Services	\$10,800,000	23%
Enhanced Student Services, Instructional & Financial Support	\$400,000	1%
Program Enhancement and Compliance	\$1,100,000	2%
Facilities and Technology Infrastructure	<u>\$2,200,000</u>	5%
 Total Incremental Expenditures	 \$46,300,000	

Balance	\$100,000
---------	-----------

As noted in Table 2, the President's Recommended FY19 Operating Budget is based on incremental resources and expenditures totaling just over \$46 million. The projected increase in tuition revenue of \$18.1 million results from enrollment changes and rate increases in resident and nonresident tuition rates for FY19. In addition, unit-level resources contribute an estimated \$4.0 million of uncommitted FY18 tuition revenue above budget, and a combination of repurposing existing or recognizing new unit level revenues of roughly \$24.3 million.

Expenditures plans for FY19 include \$31.7 million for a compensation adjustment for faculty and staff (which includes \$8.0 million of increased fringe costs irrespective of any salary increase), \$100,000 in required scholarship matches, and \$14.5 million spread across four categories of investment in academic and support units.

Further details on the resource changes and highlights of the proposed investments are described in Section III that follows.

III. FY19 Budget – Investment & Resource Plan Details

A. Summary - FY19 Investment Plans

Salaries – The President’s Recommended FY19 Budget includes a modest general wage increase for all employee groups:

	<u>FY19</u>
Faculty	2%
Non-faculty Academic Employees (P&A)	2%
Civil Service	2%
Labor Represented Employees	2%
Graduate and Undergraduate Assistants	2%

For employee groups not impacted by labor contracts (largely the faculty, P&A and civil service groups), the 2% will be delivered based on merit.

Fringe Benefit Rates - Overall, the fringe benefit rates to departments are increasing for FY19. The increase is largely due to the lingering impact of a legal settlement related to the payment of pharmacy benefits which had the impact of offsetting costs in FY15, and, due to the two-year lag incorporated into the federally approved methodology for calculating fringe rates, lowered most of the rates for FY17, making increases for FY18 and FY19 inevitable. The fringe rates continue to move back to pre-FY17 levels as predicted. The employee groups and corresponding proposed rates are as follows:

	<u>Actual 2017-18</u>	<u>Proposed 2018-19</u>
Academic/Police	33.5%	34.2%
Non-Academic	27.2%	28.4%
Partial Benefits	7.7%	7.7%
Graduate Assistants- GA Health	15.0%	17.7%
Graduate Assistants – Tuition Benefit	\$19.51/hr	\$19.90/hr
Post Docs/Specialists – Uplan Health	21.4%	23.0%
Undergraduates/Professionals in Training	0.0%	0.0%

Note: At this time, the University is waiting for final approval of the proposed 2018-19 rates from the federal government, which is expected prior to action on this budget by the Board of Regents in June. The breakdown of the fringe benefit rates can be found in Attachments 3 and 4.

The FY19 increased cost for salaries and fringe benefits combined, based on the above assumptions, is \$31.7 million in O&M (which includes the state O&M appropriation and tuition) and State Special funds. An additional projected cost increase of \$18.9 million in other nonsponsored funds (gifts, sales, fees, federal appropriations, etc.), and \$8.8 million in sponsored grant funds will be paid for through increases in those revenue sources or corresponding cost reductions.

Institutionally Managed Student Financial Aid – For FY19, the President is recommending increased investment in student aid in one area: \$100,000 to meet the matching obligations associated with the President’s Scholarship Match Program. There are no recommended changes to the Promise Program for FY19.

Four Categories of Investment in Academic and Support Unit Activities

As part of the budget development process, only the highest priority initiatives are included in the recommended budget. It is noticeable, as well, that for FY19, the focus of investment is not on program expansion; it is on maintaining quality in current activities. **It is important to note that these investments are funded through a combination of tuition increases, other unit-generated revenue increases, and expense reductions/reallocation. The amounts listed in Attachment 1 should not be viewed as increased O&M allocation for each item – these should be viewed as decisions to invest in the items through the use of internal reallocation and tuition. In some units, projected tuition revenue increases combined with reallocation exceeds the cost increases for compensation and cost pools, so resources are available to address priority academic initiatives or budget challenges within those units and/or this situation allows for the movement of O&M allocations between units: from units with the excess in resources to units where the resource increases fall short of the needs.**

1. Investment in Core Operations and Services - \$10.8 million –

Across all units, the most significant investment in this category is general support for the core operations of specific campuses and colleges (\$8.7 million). A number of units need funding increases (beyond what is required to address the annual compensation and cost pool increases for FY19) to help address needs related to targeted faculty and staff salary adjustments, student programming and services, research support and so on. In addition to that, some units are in situations of “structural imbalance” in which revenues, often from tuition and sometimes from other external sources, have decreased over the last several years, or key expenses are growing significantly. In these cases, further reallocation or increased revenues are needed to replace lost revenue or cover costs, rather than fund opportunities for growth. There are two tiers of severity emerging for structural imbalances: 1) those with significant dollar imbalances and/or less budget flexibility and fewer options for resolving the situation (for FY19 this would include the Law School, MN Extension, and the Crookston, Morris and Duluth campuses); and 2) those with an identified imbalance but more flexibility and tools for addressing it over time (for FY19 this would include the School of Public Health and the College of Food, Agriculture and Natural Resource Sciences). Within the \$8.7 million investment in general support, \$1.5 million is to address FY18 tuition shortfalls compared to budget on the Morris campus (\$1.2 million) and the Crookston campus (\$335,000), and an additional \$3.7 million is specifically to help reduce structural imbalances at UMD (\$1.5 million) and in the Law School (\$1.8 million). See Attachment 5 for a detailed explanation of the Law School situation, including a summary of strategies reviewed in developing this budget recommendation.

This category of investment also includes targeted investments in areas facing significant cost increases from external vendors or due to service or compliance requirements. Significant examples include:

- Library collections/subscription cost increases
- Disability Resource Center increased service requirements
- Equal Opportunity & Affirmative Action increased investigations
- Admissions counselor and national recruiters for non-resident/non-reciprocity students

2. Enhanced Student Services, Instructional & Financial Support - \$400,000+

This category of investment includes direct financial support to targeted groups of students (e.g., graduate student funding packages in CLA, SEOG grant match requirements at UMD) as well as items that will enhance or improve services provided to or on behalf of students (e.g., a care manager position in Student Affairs on the Twin Cities campus and compensation adjustments to help retain Student Access Consultants in the Office for Equity and Diversity).

3. Program Enhancement and Compliance - \$1.1 million

The most significant incremental spending in this category is in several academic support positions to enhance distance learning capabilities in the College of Veterinary Medicine and to increase services to faculty in administering sponsored grant activity. There is also a supplement to the budget for Research Animal Resources, a top priority of the Academic Health Center. In addition, this budget proposes to leave increased tuition revenue with the Rochester Campus in anticipation of growth in student related costs as enrollment increases.

4. Facilities and Technology Infrastructure - \$2.2 million

Notable for FY19, facility related costs for debt service, utilities and new building operations are all projected to decrease from what is currently funded in the budget (increases for some facilities and locations are offset by decreases in other places). However, within this category of investment, costs are growing for the annual contractual obligations for systems software (\$2.2 million), and a further increase of \$1,000,000 is proposed to the recurring base allocation for facility repair and renovation (R&R) across all campuses, the Research and Outreach Centers, and the Itasca Biological Station. Additionally, a new recurring increase of \$320,000 is recommended specifically related to library and classroom space improvements on the Twin Cities campus.

Attachment 1 includes the complete itemized list of investments in the four categories just summarized.

Nonrecurring Items

Attachment 1 also identifies a variety of one-time items requested during the annual budget and compact meetings held with each unit, to supplement the recurring investments just outlined. The most significant items include \$1.5 million to continue the Driven to Discover media campaign managed by University Relations and \$850,000 for increased costs in Disability Resources resulting from an increase in required services.

In addition, it is anticipated that the Law School budget will face a fiscal year-end imbalance of approximately \$2.2 million (all funds). Therefore, this budget anticipates a one-time transfer of that amount to keep the school's accounts balanced for the year ending June 30, 2018.

The sources of funding for these one-time investments include quasi endowment pay-outs, and unit and University level balances.

Finally related to nonrecurring budget items for FY19, the Central Reserves budget plan explained in detail in Section V. of this document under B. Current Funds, includes the transfer from Athletics representing year two of a three year repayment plan for the \$6,800,000 in one-time funds loaned to TC Athletics as part of financing the coaches' transitions in FY17. The full amount was transferred to Athletics in FY17, with a commitment to a three year repayment plan beginning in

FY18. Repayments are on schedule, so this transfer will be reflected one final time in the FY20 budget as well.

B. Summary - FY19 Incremental Resources

State Appropriation – The recurring state appropriation to the University for FY19 is flat.

Tuition - The President's Recommended FY19 Operating Budget increases tuition revenues to the institution by an estimated \$18.1 million. This is primarily the result of three significant decisions:

- a) The President recommends a 2% increase to resident undergraduate rates on the Twin Cities campus, a 1% increase to undergraduate rates on the Morris campus and a 2% increase for most graduate and professional programs.
- b) The President is recommending a 0% increase for the resident and nonresident undergraduate tuition rates on the Crookston, Duluth, and Rochester campuses in continued recognition that the system campuses face an increasingly competitive environment for attracting students, competing with other universities both within the state and regionally.
- c) This budget also includes the previously approved increase in tuition for incoming non-resident undergraduate students on the Twin Cities campus by \$3,750 (15.0%), resulting in a difference between the resident and nonresident tuition of \$15,678. The approved increase in the NRNR rate for continuing students is 5.5%.

Some professional degree programs have requested to vary slightly from the general guidelines previously described. Some post-baccalaureate programs have elected to remain at the 2017-18 rates resulting in a 0% increase and others to increase less than the standard 2%. These programs cite a variety of factors in choosing this action, including positioning rates within their competitive market set, holding down overall cost of attendance for their students, and availability of grants or employer payments for instruction. Select others have requested to increase rates modestly faster than the guidelines. The School of Dentistry is proposing an increase of 3% based on their position in the market, their strong and stable applicant pool, and the continued strong earnings potential of graduates. Many of the other programs in this group propose adjustments to better align with similar programs within the college or at other institutions, or to reflect fluctuations in demand.

The annual dollar and percentage tuition increases for undergraduate and graduate students on all campuses and for the primary professional rates are shown in the table below. Attachment 6 (U of M Tuition Rate Plan) provides details regarding all proposed tuition rates beyond those identified in the following table.

University of Minnesota 2018-19 Undergraduate and Graduate Tuition Plan

				\$ Inc	\$ Inc	% Inc	% Inc
	Resident	Nonresident		Resident	Nonresident	Resident	Nonresident
Twin Cities Undergraduate	\$13,058	\$28,736		\$258	\$3,750	2.0%	15.0%
Duluth Undergraduate	\$12,016	\$17,134		\$0	\$0	0.0%	0.0%
Morris Undergraduate	\$12,142	\$14,170		\$126	\$134	1.0%	1.0%
Crookston Undergraduate	\$10,282	\$10,282		\$0	\$0	0.0%	0.0%
Rochester Undergraduate	\$12,016	\$12,016		\$0	\$0	0.0%	0.0%
Graduate Programs	\$17,064	\$26,412		\$336	\$528	2.0%	2.0%
Dentistry (12 mos.)	\$47,750	\$88,127		\$1,393	\$2,568	3.0%	3.0%
Law	\$42,840	\$51,528		\$840	\$1,008	2.0%	2.0%
Medical (First year students, 12 mos.)	\$38,628	\$55,437		\$756	\$2,640	2.0%	5.0%
Pharmacy	\$27,048	\$39,600		\$528	\$792	2.0%	2.0%
Veterinary Medicine	\$29,718	\$55,224		\$270	\$504	0.9%	0.9%
MBA Day (First year students)	\$39,000	\$49,968		\$792	\$1,032	2.1%	2.1%
A complete list of all tuition rates can be found in Attachment 5							

Federal, State and University Need-Based Grant Programs

Federal Pell Grant program: The U.S. Department of Education administers the Pell Grant program for the neediest students across the U.S. Currently, the Department has announced that the maximum Pell Grant award increase by \$175, to a maximum of \$6,095 for the 2018-19 academic year.

Minnesota State Grant Program: The Minnesota Office of Higher Education administers the State Grant Program, which awards need-based aid to low and moderate income students and families across the state. State Grant awards are available to Minnesota residents generally up to \$80,000 in annual income. Nearly 11,000 University of Minnesota students are assisted by this program.

The Office of Higher Education currently intends to make formula changes to the State Grant Program that will benefit University of Minnesota students that qualify. Though every student has different financial circumstances, a typical University of Minnesota student qualifying for the State Grant program will see an award increase in 2018-19, ranging up to \$120 next year.

University Promise Scholarship Program: For FY19, the University's Promise Scholarship Program for Minnesota resident students will continue to help ensure that the University remains affordable for students from low and middle-income families. The number of students eligible for the program is projected to be approximately 15,000, and they will be supported with \$28+ million in Promise scholarships.

There are no proposed changes to the University's Promise program for FY19. Minnesota resident undergraduates on all campuses with adjusted gross incomes of \$120,000 or less are automatically eligible for Promise awards between \$306 and \$4,134 annually, based on family incomes and expected family contributions.

Mitigation of non-resident tuition rate increases for continuing students:

Twin Cities campus - for *currently enrolled* students only, tuition discounting such that the effective tuition increases will be no more than 5.5% per year until the student's timely graduation (through 2020-21).

Morris campus – for students *enrolled in academic year 2016-2017*, tuition discounting such that the effective tuition increases will be reduced by \$2,000 – the full amount of the 2016-17 non-resident differential – until the student's timely graduation (through 2019-20).

These mitigations will be implemented through automatic discounting of tuition (waivers) or unique tuition rates, with no additional action required by the student.

Change in Tuition, Fees and Room & Board FY18 vs. FY19 – Resident Undergraduate Students

In addition to base tuition, students pursuing a University education incur additional fees and charges required to attend classes on a full-time basis.

- 1) The proposed rates for resident undergraduate tuition for the 2018-19 academic year will increase 2.0% over the 2017-18 academic year for the Twin Cities campus and will increase 1.0% for the Morris Campus. Resident undergraduate rates will not increase for the Crookston, Duluth, or Rochester campuses. Across all campuses tuition will range from \$10,282 to \$13,058, representing between 50.0%-56.9% of the total cost of tuition, fees and room & board, depending on the campus the student attends.
- 2) Various required fees assessed to students (increases in the range of \$0 to \$115 for FY19) represent between 2.8% - 7.5% of the total cost of tuition, fees and room & board.

By campus, the total change for tuition and required fees, on a percentage basis are: Crookston, 0.1%; Duluth, 0.2%; Morris, 1.8%; Rochester, 0.0% and Twin Cities, 1.9% - all below 2%.

- 3) Room & board, based on proposed rates for on-campus residence halls and leased apartments in Rochester, ranges from \$7,760 to \$9,910 (increases range \$152 to \$446 for FY19) and represents 36.7% - 43.4% of the total cost of tuition, fees and room & board.

So, all combined, for 2018-19 the total cost of University tuition, fees and room & board for resident undergraduates ranges from \$20,580 to \$24,603 depending on the campus the student attends. Estimated increases in total tuition, fees and room & board costs from FY18 to FY19 by campus are:

Crookston, 1.0%; Duluth, 0.8%; Morris, 2.0%; Rochester, 1.5% and Twin Cities, 3.0% (see tables below).

The cost of textbooks, supplies and personal expenses are not included in these estimated totals in order to confine the estimate to costs that are within the approval responsibilities of the Board of Regents.

The tables below present estimated total cost of tuition, fees and room & board for an undergraduate resident student living in a standard room in a residence hall (leased apartment in Rochester) for the 2018-19 academic year at each of the five University of Minnesota campuses.

University of Minnesota - Crookston, Resident - Residence Hall					
	FY 18 Academic Year	FY 19 Academic Year	\$ Increase	% Increase	FY 19 % of Total
Tuition (13-credit band)	\$10,282	\$10,282	\$0	0.0%	50.0%
Student services fee	\$533	\$539	\$6	1.2%	2.6%
Campus fee	\$1,000	\$1,000	\$0	0.0%	4.9%
Subtotal tuition and required fees	\$11,815	\$11,821	\$6	0.1%	57.4%
Room & Board (double room, 19 meal plan)	\$8,561	\$8,759	\$198	2.3%	42.6%
Total - Crookston	\$20,376	\$20,580	\$204	1.0%	100.0%

University of Minnesota - Duluth, Resident - Residence Hall					
	FY 18 Academic Year	FY 19 Academic Year	\$ Increase	% Increase	FY 19 % of Total
Tuition (13-credit band)	\$12,016	\$12,016	\$0	0.0%	56.9%
Student services fee	\$600	\$613	\$13	2.0%	2.9%
Campus fee	\$529	\$538	\$9	1.7%	2.5%
Other required fees*	\$198	\$200	\$2	1.0%	0.9%
Subtotal tuition and required fees	\$13,343	\$13,367	\$24	0.2%	63.3%
Room & Board (double room, 19 meal plan)	\$7,608	\$7,760	\$152	2.0%	36.7%
Total - Duluth	\$20,951	\$21,127	\$176	0.8%	100.0%

*Transportation Fee & Duluth Athletics/Athletic Facilities Fee

University of Minnesota - Morris, Resident - Residence Hall					
	FY 18 Academic Year	FY 19 Academic Year	\$ Increase	% Increase	FY 19 % of Total
Tuition (13-credit band)	\$12,016	\$12,142	\$126	1.0%	56.1%
Student services fee	\$840	\$871	\$31	3.7%	4.0%
Campus fee	\$216	\$300	\$84	38.9%	1.4%
Subtotal tuition and required fees	\$13,072	\$13,313	\$241	1.8%	61.5%
Room & Board (double room, 19 meal plan)	\$8,150	\$8,342	\$192	2.4%	38.5%
Total - Morris	\$21,222	\$21,655	\$433	2.0%	100.0%

University of Minnesota - Rochester, Resident - Apartment Building					
	FY 18 Academic Year	FY 19 Academic Year	\$ Increase	% Increase	FY 19 % of Total
Tuition (13-credit band)	\$12,016	\$12,016	\$0	0.0%	53.7%
Student services fee	\$336	\$336	\$0	0.0%	1.5%
Campus fee	\$300	\$300	\$0	0.0%	1.3%
Subtotal tuition and required fees	\$12,652	\$12,652	\$0	0.0%	56.6%
Room & Board (double room, self-provided meals)*	\$9,388	\$9,710	\$322	3.4%	43.4%
Total - Rochester	\$22,040	\$22,362	\$322	1.5%	100.0%

*No meal plan available. UMR Student Housing offered in leased apartment building. Board calculated based on the *Official USDA Food Plans: Cost of Food at Home at Four Levels, U.S. Average, November 2017*.

Twin Cities Campus, Undergraduate, Resident - Residence Hall					
	FY 18 Academic Year	FY 19 Academic Year	\$ Increase	% Increase	FY 19 % of Total
Tuition (13-credit band)	\$12,800	\$13,058	\$258	2.0%	53.1%
Student services fee	\$873	\$886	\$13	1.5%	3.6%
Collegiate fee*	\$532	\$532	\$0	0.0%	2.2%
Other required fees**	\$215	\$217	\$2	0.9%	0.9%
Subtotal tuition and required fees	\$14,420	\$14,693	\$273	1.9%	59.7%
Room & Board (double room, 14 meal plan)	\$9,464	\$9,910	\$446	4.7%	40.3%
Total - Twin Cities	\$23,884	\$24,603	\$719	3.0%	100.0%

*Average of all undergraduate programs, 6+ credits – based on Fall 2017 enrollment.

**MN Student Association, Capital enhancement fee, Stadium fee, Transportation fee

Unit Level Resources – As discussed previously in this document, each biennium the University develops a budget planning framework to project the major cost drivers and potential revenue adjustments within the primary discretionary funds in the operating budget: the state appropriation and tuition combined (referred to internally as O&M). The budget framework, then, as a tool to aid in balancing the annual budget, is almost exclusively focused on activities funded by those two sources. At different points in the process, revenue and cost estimates in the other nonsponsored funds intersect with the framework, which is evident in this “Unit Level Resources” category of the annual resource plan. In total, \$28.3 million of incremental unit level resources are contributing to balancing this overall budget plan. Five different types of unit level resources make up that \$28.3 million total:

- 1) increases in revenue sources outside of the appropriation and tuition budget framework (indirect cost recovery, gifts, fees, sales, etc.) - \$1.3 million
- 2) nonrecurring balances from revenues that remain unspent in one year and carry forward into the next, often as a result of delays between one employee leaving and the replacement hire, or funds reserved for an item that is subsequently decided against - \$919,000 (note – use of nonrecurring resources for recurring expense is only allowed in rare cases where the balances are significant enough to plan on their use over a period of years or where there is an identified permanent/recurring revenue source available at a known time in the future),
- 3) cancellation of prior allocations, which occur when recurring funds were set aside centrally in a previous year for a specified purpose, but are no longer needed for that purpose and can be redirected in support of this year’s budget - \$3.1 million
- 4) tuition revenue above budget in the current year, that remained uncommitted to recurring costs and is available to address recurring needs in FY19 - \$4.0 million, and
- 5) expense reductions allowing previous allocations to be redirected to higher priority needs (reallocation) - \$19.0 million.

During the budgeting process, units were given two reallocation targets to respond to:

1) to help balance the state appropriation and tuition budget for FY19, each academic and support unit was first asked to develop and submit proposals to address assigned reallocation targets of roughly 0.8% of their O&M/State Special and Tuition base (excluding certain spending items such as student aid, debt and utilities). The total target for these framework funds was \$15.0 million as part of the President's goal to reallocate administrative costs over six years, and

2) all units were asked to plan for expenditure reductions in their other non-sponsored funds (over and above the targets related to the O&M budget framework), again, as part of the President's goal to reallocate administrative costs over six years.

Submitted proposals outlined actions to be taken to reduce recurring expenditures and the projected impact on unit activities and service levels. To the extent possible, units were once again asked to focus reallocation plans on reductions to administrative operations and costs. They were given their individual results from the "cost definition and benchmarking" exercise, which included a breakdown of FY17 expenditures into the categories of Direct Mission Delivery, Mission Support & Facilities, and Leadership & Oversight, and were asked to consider that information as another tool in understanding their operations. The communicated expectation was to implement reductions (to the extent possible) that would result in a decrease in the Mission Support & Facilities and Leadership & Oversight spending categories (defined as "administrative expenses"). For most units there is a mix of expenditures within all three categories, so if their reallocation proposals impacted the Direct Mission Delivery portion of the budget, they were asked to provide rationale for this decision and indicate why the decision was made to move beyond the administrative categories.

The approved reallocation plans for FY19, resulting from a review of all the reallocation proposals and the specific budget needs of each unit, total \$22.3 million and include the following:

- A. \$8.3 million reductions to administrative expenses
- B. \$6.6 million reductions to direct mission expenses
- C. \$4.1 million reductions yet to be itemized (could be administrative or mission)

\$19.0 million total for balancing the state appropriation/tuition budget framework

- D. Plus \$3.3 million - reductions to administrative expenses in other non-sponsored funds

The total approved for administrative expense reductions in FY19 is \$11.6 million (A and D above). The majority of the actions to be taken are related to changes in personnel costs, including the elimination of positions (most often through retirements and natural attrition) and the consolidation of work among fewer employees. Lesser amounts are reductions to operating expenditures, including reducing funds budgeted for leases, supplies, travel, maintenance, etc. Examples of planned administrative reductions include:

Twenty three units plan to eliminate administrative positions in FY19 to meet their reallocation targets. Illustrative examples include:

- The elimination of a second truck mount custodial crew in Facilities Management.
- The elimination of seven positions in University Bookstores.
- The elimination of three support positions in the Carlson School of Management's centers and program offices.

- The elimination of six positions at the University of Minnesota-Morris through attrition and involuntary lay-offs.
- The elimination of administrative support positions in the Medical School by streamlining the Medical School's and University of Minnesota-Physicians' administrative structures.
- The elimination of an Office of Professional Development director position in MN Extension.
- The elimination of two director positions and one manager position in the College of Education and Human Development.

Twenty one units plan to reallocate funds by reducing staffing costs without eliminating positions. Illustrative examples include:

- The reduction of administrative position appointments (percentage time) in the Academic Health Center, Humphrey School of Public Affairs, Office of the Vice President for Health Sciences, and College of Science & Engineering.
- The reduction of staffing costs through attrition, including salary savings in units such as the College of Biological Sciences, College of Veterinary Medicine, Internal Audit, Office of the General Counsel, and University of Minnesota-Rochester.
- The reduction in staffing costs by reorganizing departments and restructuring positions into different job classifications in Academic Health Center, Auxiliary Services, Facilities Management, Law School, and University of Minnesota-Morris.

Twenty seven units also plan to reallocate funds from their general operating budgets. Illustrative examples include:

- The reduction of energy costs for Intercollegiate Athletics by updating the light fixtures in Williams Arena.
- The reduction of facilities costs for the School of Dentistry by closing its Clinics and Surgery Center location.
- The reduction of utilities and maintenance costs for the Office of Student Affairs through the closure of the Bierman Field Clubhouse.
- The reduction of professional services and marketing costs in the College of Veterinary Medicine.
- The reduction in general operations – travel, food, supplies, equipment, etc. – throughout the University.

As in previous years, all applicable reallocations will be recorded and tracked as contributing to the President's goal of reducing administrative expenditures by \$90 million over six years.

The \$6.6 million in direct mission reallocations in the O&M/tuition funds is expected to be generated primarily from the elimination of faculty positions through retirements and resignations. In addition, units are also eliminating research, education program, outreach, and other direct mission positions to reallocate resources. Nonpersonnel reallocations will be generated through a reduction in internal grants, in the recurring allocation for the UPromise grant program, and in other operating costs. These reductions are due to a reprioritization of needs, the need to re-size to lower enrollment levels, and the general need to meet budget reallocation targets in the most effective way possible.

As in previous years, all applicable reallocations will be recorded and tracked as contributing to the President's goal of reducing administrative expenditures by \$90 million over six years.

At this point in the process for FY19, the approved reallocation plans are not yet fully implemented, and sometimes not yet fully communicated within the affected units. Campuses, colleges and support units will be asked to update the reallocation information for FY19 two more times before a final itemized list can be produced: during the FY20 budget development process (fall and winter of FY19) they will be asked to verify progress toward the approved FY19 plans with estimated additions or changes, and then after FY19 fiscal year end each will be asked to provide the final implemented list of savings.

C. Summary – Changes in Other Rates and Fees

Internal Sales and Auxiliaries

There are a variety of rates charged by University units that fall under the definition of Internal Sales or Auxiliary Enterprises (see Attachment 7: Definitions of Current Sponsored and Nonsponsored Funds). The proposed internal sales rates and a review of the processes used to arrive at them are built into the cyclical rate review process carried out by the Controller's Organization. A review of the proposed auxiliary rates and fees for the upcoming year is incorporated into the annual budget development process. The all-funds Resource and Expenditure Budget Plan in this document, as displayed on Attachment 2, incorporates the proposed Internal Sales and Auxiliary rates as part of the projected revenue for FY19.

The University provides housing, dining and parking services for the convenience of its students, faculty and staff. Though the specific rates and charges for these services vary broadly, Table 3 below reflects the average anticipated increases by each service area by campus. These rates have been developed and approved after the appropriate reviews and consultation process of each campus. In addition, the room and board rates are reflected in the "change in tuition, fees and room & board" information displayed earlier.

Table 3
Auxiliary Rate Increases
Average Fee Increase for FY19

<u>Campus</u>	<u>Room & Board</u>	<u>Contract Parking</u>
Crookston	2.3%	0.0%
Duluth	2.0%	8.7%
Morris	2.4%	1.7%
Rochester	3.4%*	n/a
Twin Cities	4.7%	0.0%

**The percentage for Rochester is room only. The campus does not offer a meal plan*

The increased room and board rate for the Crookston, Duluth, and Morris campuses are driven largely by increased transportation, maintenance and labor costs in a business model that requires these activities to cover their costs.

The increased room and board rate for the Twin Cities campus (blended rate increase of 4.7% overall) includes a 3% supplemental housing rate increase as part of a planned rate ramp-up to fund the renovation of Pioneer Hall and the consolidation of Superblock Dining approved by the Board in the FY17 Annual Capital Budget. Housing and Residential Life anticipates rate increases of approximately 6.0% annually through FY21 and 4.5% in FY22 to fund normal operating cost increases as well as the additional costs associated with the Pioneer Hall project. These increases will be proposed as part of the blended “room and board” rate as is the case for FY19.

The proposed increase to the parking rate on the Duluth campus is driven by a variety of factors including: an increase in parking lot construction, repair, and maintenance expenses; operating cost increases; high utilization rates prompting the need for a proper pricing structure to encourage alternative transportation modes and control parking demand; and a strategy to finance future construction and the long-term maintenance of a parking structure.

Course Fees, Fees in Lieu of Tuition and Administrative/Misc. Term Fees

Each request for a new course or miscellaneous term fee, or any increase in an existing fee, has been reviewed through the budget process. Attachments 8 and 9 contain the lists of all such proposed fees that are recommended for approval at this time. Each of the fees meets the parameters established in Regents policy.

Course fees are supplemental to tuition for costs unique and essential to the specific course to which these apply. Administrative guidelines further outline very specific examples to aid in implementation of the policy and ensure compliance with policy intent. The most common examples of costs “unique and essential” to the specific course include transportation for field trips, items consumed during the course or produced and retained by the student as a result of course work, and special talents purchased for help in delivery of the course (e.g., art models, speakers, etc.). A course fee listed on Attachment 8 may apply to only one course, or it may be applied to multiple courses that meet the same definitions and cost structures for which the fee is proposed.

In recent years, a new group of course fees for electronic/digital materials has begun to emerge. University Bookstores, University Budget & Finance and Academic Support Resources partnered to develop a plan to manage access and bill students for the electronic/digital materials based on guidance from national organizations (including the National Association of College Stores, the US Department of Education, the National Association of Student Financial Aid Administrators, and others). Two categories of course fees were developed related to these materials:

1. Digital materials that cannot be otherwise accessed and are required by the instructor for participation in a course – these will be included in the course fee listing and charged just like other required course fees, falling under the general grouping of items consumed during the course or retained by the student as a result of course work.
2. Digital materials which the Bookstore makes available to students below competitive market rates, but which could be accessed by the student in ways other than through the Bookstore – these will be included in the course fee listing so students can benefit from the good pricing, ease of billing and inclusion in financial aid calculations, but they will be implemented with “opt-out” provisions, and communicated to students as optional. The Bookstore will manage the opt-out process for students and will coordinate with Student Finance and instructors.

Miscellaneous Term fees vary in their purpose and structure, but generally either address a benefit that is common to all students on the campus (capital enhancement fee, stadium fee) or is unique to

the circumstances of the individual (testing fees, locker rentals, late payment fees, study abroad fees, etc.).

Some of the fees in these categories are “fees in lieu of tuition”, which are charged in situations where the total program cost is packaged in a way that better lends itself to a comprehensive fee structure. These are often implemented in a split manner (tuition portion and fee portion) for tax reporting purposes, but are communicated to the students as a comprehensive fee. The two primary purposes for this type of fee are the College in the Schools Program in state law and the many study programs requiring domestic and foreign travel implemented through the colleges and the Learning Abroad Center. Fees in lieu of tuition are technically implemented either as a course fee (based on registration for a course assigned this fee) or as a term fee (registered for a term-based program assigned this fee), so they are included in either Attachment 7 or 8.

The all-funds budget recommended in this document, as displayed on Attachment 2 includes the fee increases (and decreases) as part of the projected revenues for FY19. All increases fall into one of four allowable categories:

- 1) Cases in which there is a new course in a grouping of courses, or a new activity in a new or existing course that has traditionally carried a fee for costs consistent with the Board of Regents Policy on Tuition and Fees.
- 2) Cases in which the costs for items supported through an existing fee are increasing significantly enough to warrant the increase in the fee.
- 3) Cases in which there is a planned change to a previous fee structure.
- 4) Cases in which the fee represents a pass-through of a cost to the University from an external entity, and that cost is increasing from prior years (examples – study abroad programs, testing services, etc.).

Academic Fees - Campus/Collegiate Fees and Durable Goods Fees

The definition of “Academic Fees” within the Regents Policy on Tuition and Fees categorizes the purpose of these fees into two specific types:

- Campus Collegiate Fees: for “goods and services that directly benefit students but that are not part of actual classroom instruction”. Allowable goods and services include advising, career services, computer labs, special equipment, orientation activities and other goods or activities intended to enhance the student experience outside of actual classroom instruction.
- Durable Goods Fees: fees for educational materials and equipment that will be owned by, potentially owned by, or assigned to a specific student for their use during the entire term. Durable goods fees may not be charged for services, or for use of equipment owned and retained by the University, with the exception of computer or other specialized equipment assigned for a full term to a specific student.

Attachment 10 contains the list of all proposed academic fees recommended for approval at this time. These fees, including their purpose and specific levels, have been reviewed through the budget process. This budget includes proposed increases in each of the collegiate fees on the Duluth campus, and the campus fee at Morris.

- Increases for the UMD collegiate fees are \$4-\$6 per semester, which is a percentage range of 1-2% depending on the college. The increased revenue will support cost increases related to career, technology and library services, as well as the mobile app, and U-Card.
- The UMM campus fee is proposed to increase from \$108/term to \$150/term to help address cost increases and move toward a rate more consistent with the other University of Minnesota campuses.

The all-funds budget recommended in this document, as displayed on Attachment 2 includes the Academic fee revenue as part of the projected revenues for FY19.

D. Summary - Student Services Fees

Attachment 11 outlines the recommendations to the Board regarding student service fees at all campuses for FY19. These fees have also been incorporated into the ***Change in Tuition, Fees and Room & Board FY18 vs. FY19*** information displayed above.

Proposed fee increases subject to the following legislation from 2017 are less than 2% (as indicated in Attachment 11):

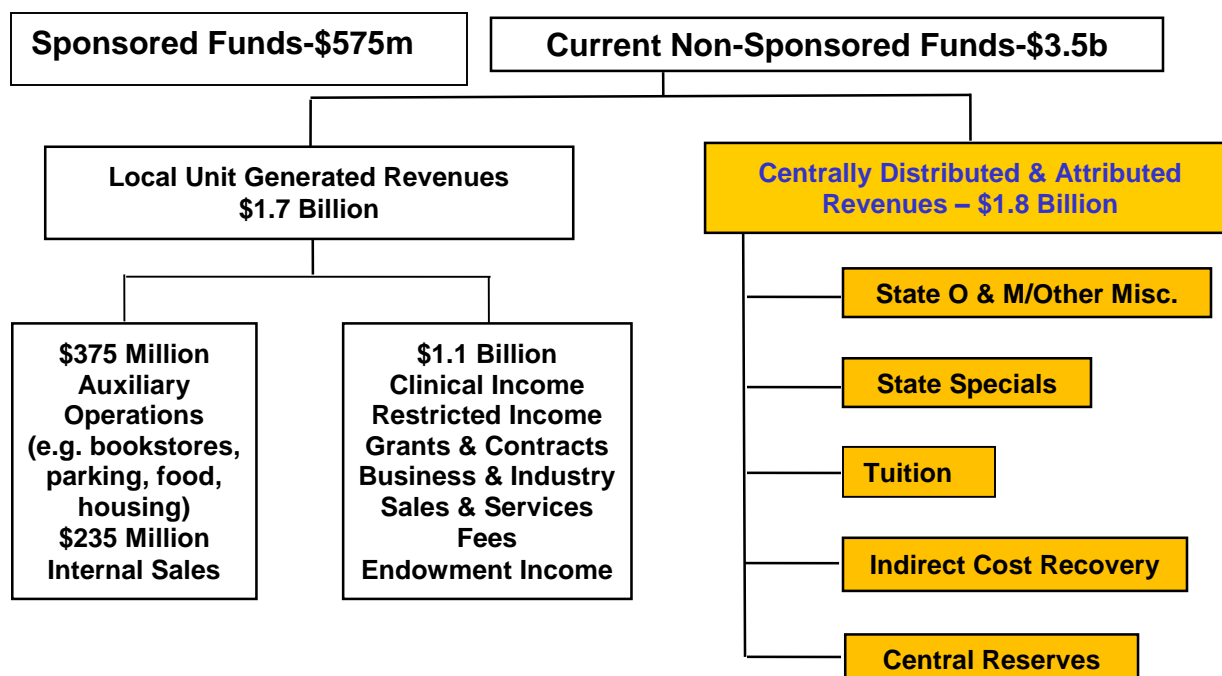
“Subdivision 1. **Referendum.** The governing body of a public postsecondary institution must not increase mandatory student activity fees by greater than two percent relative to the previous academic year unless the increase is approved by a majority of students voting in a campus referendum. This section does not apply to fees paid by students that are directly related to academic, administrative, health services, or debt obligations, including bonds issued under sections 136F.90 to 136F.98. The Board of Regents of the University of Minnesota is requested to adopt a policy implementing this section.

Subd. 2. **Penalty.** If the Board of Regents of the University of Minnesota increases mandatory student activity fees by more than two percent without approval by a vote of the student body as described in subdivision 1, the commissioner of management and budget shall deduct from the university’s appropriation base an amount equal to one percent of the university’s appropriation base in the first year of the next biennium.”

The remainder of this document is a summary of the all-funds budget for FY19.

IV. All-Funds Budget – Summary

The FY19 operating budget presented here for approval is an “all-funds” budget. Attachment 2, “Resource and Expenditure Budget Plan – University Fiscal Page”, provides the detailed budget for FY19 in an all-funds context (excluding sponsored funds). The chart below displays the fund structure included in this budget.



Sponsored funds are those provided to the University by a grant or a contract under Board policy and administered through Sponsored Project Administration (SPA) and Sponsored Financial Reporting (SFR). They are restricted funds budgeted on a multi-year, project by project basis, subject to special grant rules and reporting procedures. For FY19, the total projected sponsored funds budget (without indirect costs) is \$575 million. It is included here for the purposes of identifying the University's total annual operating budget, but it does not require Board approval for particular projects.

Those funds in the Local Unit Generated category are, by University Policy, monitored and reviewed by central administration, but are automatically attributed to the units as generated and are managed within those units. The annual budgeting of revenues and expenses in this category of funds requires estimating and decision making processes at the local unit level, but not by central administration (some auxiliary rates, such as those for student room and board and parking, are reviewed and approved by central administration for inclusion in this recommended operating budget). The primary focus of the discussion and information in this document centers on the category of funds commonly referred to as "centrally distributed and attributed" – funds requiring a decision process or formal approval by central administration and the Board of Regents on the exact amount to estimate and budget in each academic and support unit. These are the primary discretionary funds of the institution that support nearly the entire maintenance and operations of the University's core mission.

FY19 Operating Budget Overview

This recommended operating budget for FY19 has been developed in adherence with the budget principles and strategies used to guide the University budgetary framework and decisions over the last several years:

- Advance the University's quality and competitiveness through targeted and timely investment in mission-critical academic and capital priorities
- Compensate, support and retain talented faculty and staff

- Continue to prioritize financial access and affordability for students
- Take additional strategic actions to grow and stabilize revenues including state, sponsored, and private support, as well as revenue generated through educational programs
- Reduce current and projected administrative costs

The goal of budget planning is to advance the excellence, quality, productivity, and impact of the University of Minnesota while ensuring the University's long-term financial vitality and integrity. The University of Minnesota is essential to Minnesota in terms of human capital, innovation, economic growth and quality of life. Support for the University of Minnesota remains strong and a strong state partnership and strong public support remain essential to maintaining the University's quality and ability to deliver on its mission. All efforts undertaken to implement this recommended operating budget for FY19 will support the University's long-term goals of excellence. In summary, the budget for total current non-sponsored funds is proposed as follows:

**Current Non-sponsored Funds- Fiscal Year 2018-19 Operating Budget
(including internal sales activity)**

Beginning Balance	\$897,199,975
Revenue & Net Transfers	<u>\$3,261,985,419</u>
Total Net Resources	\$4,159,185,394
Expenditures	<u>\$3,250,581,514</u>
Ending Balance	\$908,603,880

Sponsored Funds – Fiscal Year 2018-19 Budget

Projected "Direct" Revenues/Spending	\$575,000,000
--------------------------------------	---------------

Within the FY19 Recommended Operating Budget, the primary funds supporting teaching, research and outreach are Operations and Maintenance (O&M), Tuition, State Specials, Indirect Cost Recovery (ICR) and Central Reserves – those described above as centrally distributed and attributed. These five funds total approximately 48% of the projected \$3.8 billion in externally generated revenues of the University (excluding internal sales) and represent the major focus of budget development and planning in support of the University's core infrastructure and academic mission. The remaining 52% of the University's budget is derived from more restricted funds including sponsored grants and contracts (15%), and fees, auxiliary enterprises, philanthropic support, education sales and services, etc. (37% combined).

**Revenue and Expenditure Plan – Centrally Distributed/Attributed Funds
(O&M, Tuition, State Specials, ICR, Central Reserves)**

		<u>Percent</u>
<i>Beginning Balance</i>	\$20,652,473	
<i>Annual Revenues</i>		
Operations & Maintenance-State	\$580,198,000	32%
Operations & Maintenance-Other*	25,700,000	1%
Tuition (after waivers)	940,717,114	52%
State Specials	92,845,000	5%
Indirect Cost Recovery	148,813,553	8%
Central Reserves	<u>27,232,000</u>	2%
<i>Total Annual Revenues</i>	\$1,815,505,667	100%
Total Net Resources	\$1,836,158,140	
Allocations to Units*	<u>\$1,807,009,166</u>	
Ending Balance	\$29,148,974	

**Includes the internal Enterprise Assessment that runs through O&M but represents a redistribution of resources.*

V. All Funds Budget – Revenue Summary

A. Non-Current Funds

Since expenditures in these funds can change significantly from one year to the next, budgets for non-current funds are less predictable than the budgets for current funds. Noncurrent funds can generally be classified into one of the following fund groups:

- 1) Plant Funds – The majority of non-current expenditures are contained within the plant funds. These funds are to account for property, plant and equipment transactions of the University. The spending pattern in this area varies depending upon capital project construction timetables and available financing from external sources such as State of Minnesota general obligation bonds. Plant fund activities (capital projects over \$500,000) are summarized in the annual capital budget to be presented to the Board of Regents for review in May and approval in June 2018.
- 2) Endowment and Similar Funds – The resources included in endowment and similar funds are a combination of gifts made to the University that contain certain stipulations as to preservation of principal, and additions to existing endowments in the form of investment income and market value fluctuations. Projections of total change in endowment and similar funds are difficult because these funds are subject to market risks as well as fluctuations in contributions.
- 3) Loan Funds – These funds are designated for student loans, which are provided by the federal government, the State of Minnesota, and private donors. The loan fund is the smallest group of non-current funds. Additions to the fund consist of interest received on outstanding loans and new contributions.

Noncurrent funds generally do not support the daily operations of the University and therefore are not detailed further within this document.

B. Current Funds

Current funds support the day-to-day activities of the University and can be explained in two categories:

- 1) Non-sponsored Funds
 - Centrally Distributed and Attributed – Funds distributed or attributed by the Board of Regents, which may be further distributed to a unit or department by a central, collegiate or administrative office (largely state appropriations and tuition).
 - Self-Sustaining – Funds in which expenditures are supported by revenues earned by the internal or external sale of goods or services, fees, federal appropriations, non-sponsored grants and contracts, or by gifts from external donors.
- 2) Sponsored Funds (sponsored research/public service) – Funds provided by a grant or contract that are administered by Sponsored Projects Administration within the Office of the Vice President for Research.

For FY17, the most recent year of actual resource and expenditure information, current fund revenues for University operations totaled approximately \$3.9 billion. Attachment 7 contains the definitions of all current non-sponsored and sponsored funds. Table 4 (below) outlines the major funding sources supporting FY17 expenditures, updated estimates of these sources for FY18 and the proposed budget for FY19.

Table 4
Current Fund Revenues
Sponsored and Non-sponsored

<u>Funding Source</u>	<u>FY2016-17 Actual Revenues</u>	<u>FY2017-18 Estimated</u>	<u>FY2018-19 Proposed Budget</u>	<u>FY19 % of Total</u>
Operations & Maintenance Approp	\$560,011,000	\$590,248,000	\$580,198,000	14.4%
Tuition (w/waivers)	947,448,229	972,606,797	990,717,114	24.6%
State Specials	90,980,547	92,845,000	92,845,000	2.3%
Indirect Cost Recovery	144,076,305	151,792,799	148,813,553	3.7%
Federal Appropriations	10,533,209	17,571,649	17,600,000	.4%
Grants & Contracts/Misc.	268,553,787	278,969,464	287,338,548	7.1%
Private Practice	99,226,307	103,190,173	105,769,927	2.6%
Internal Sales	231,775,426	230,789,267	235,405,052	5.9%
Gifts & Endowment Income	258,617,754	245,985,934	253,365,512	6.3%
Auxiliary Enterprises	354,837,802	365,231,756	376,188,709	9.3%
Sales, Fees and Misc. Income	<u>359,113,380</u>	<u>356,611,768</u>	<u>363,744,003</u>	<u>9.0%</u>
Subtotal Current Nonsponsored	\$3,325,173,745	\$3,405,842,607	\$3,451,985,419	85.7%
Sponsored Funds (direct)	<u>\$573,314,641</u>	<u>\$575,000,000</u>	<u>\$575,000,000</u>	<u>14.3%</u>
Total Revenue	\$3,898,488,386	\$3,980,842,607	\$4,026,985,419	100.0%

Revenue Summary by Fund Group

The President's recommended operating budget is composed of current, non-sponsored funds that represent 85.7% of all current fund resources. The budget also includes estimated resources for current sponsored funds, which comprise the remaining 14.3% of annual current fund resources.

What follows is a brief overview of the FY19 revenue summary for each of the current fund categories.

Centrally Distributed and Attributed Funds

Operations and Maintenance Fund

The financial plan for the Operations and Maintenance fund is based upon resources derived from state appropriations, financial services fees, the Enterprise Assessment, and transfers-in from central reserves. Resources available for FY19 are projected to be \$612,228,498. This represents a decrease in resources of \$9,547,282 as compared to FY18, comprised of the following:

- The balance available from the previous year is estimated at \$102,718 more than that available in FY18, which represents the structural balance in the O&M fund going into FY19 (recurring resources greater than recurring allocations).
- The state appropriation is decreasing \$10,050,000 based on current law.
- Resources from the Enterprise Assessment (internal assessment to support the enterprise system replacement projects) are estimated to increase \$400,000.
- The Application/Bursar/Misc. fees (that are recorded in central accounts) are expected to remain flat.
- The transfer-in from Central Reserves to support the O&M operating budget is flat.

Tuition

Estimated tuition revenue is increasing \$18,110,317 between FY18 and FY19. The majority of this growth is a result of the proposed rate increases described earlier in this document to meet the budget challenge for the year. Fluctuations related to slight increases or decreases in enrollment in some units, or proposed rate increases slightly above or below the standard 2% on graduate and professional tuition are also factored in to the overall growth in revenue. As mentioned previously, the revenue estimates for FY19 are based on:

- a 2% increase for the resident undergraduate rate on the Twin Cities campus (\$258),
- a 1% increase for the resident (\$126) and nonresident undergraduate rates on the Morris campus,
- a 0% increase for the resident and nonresident (where applicable) undergraduate rates on the Crookston, Duluth and Rochester campuses,
- a 15% increase for the nonresident undergraduate rate on the Twin Cities campus (\$3,748), and a 5.5% increase for the nonresident undergraduate rate for continuing students,
- a 2% increase for graduate and many professional rates.

The rates for some specific graduate, certificate and professional programs will vary based on market considerations (see Attachment 6).

Attachment 12, Fund Forecast – Centrally Distributed and Attributed Funds, identifies the tuition estimates for FY18 and FY19 by college, campus and support unit. Under the institutional budget model, 100% of the tuition revenue is attributed to the units that generate it.

State Specials

Revenues from the state specials available for FY19 total \$92,845,000, which remain unchanged from FY18.

The state specials by appropriation for FY19:

Agricultural Special	\$42,922,000
Health Sciences Special	9,204,000
Technology Special	1,140,000
System Special	7,181,000
Mayo/University Partnership	7,991,000
Misc. Projects	0
Cigarette Tax	22,250,000
MN Care	<u>2,157,000</u>
Total	\$92,845,000

The allocation of these appropriations by unit is included on Attachment 12: Fund Forecast - Centrally Distributed and Attributed Funds.

Indirect Cost Recovery

The financial plan for indirect cost recovery funds is based upon estimated resources derived from the reimbursements received from sponsors to cover “overhead/facilities and administrative” costs associated with sponsored research. For FY19, available indirect cost recovery resources are estimated to be \$148,813,553. This represents a decrease in resources available for distribution of \$2,939,246 compared to the estimate for the previous year – all from an estimated decrease in generated revenues based on projected grant activity. Actual indirect cost recovery revenue peaked in FY12 at approximately \$151 million, and estimates for FY18 are once again equal to that (\$151.8 million), after fluctuating between lesser amounts during the intervening years. The reduction of funding available from the federal agencies in recent years, combined with continued competition from research institutions for that reduced pool of funding, and the hesitation of campuses and colleges to budget based on applications, is responsible for the estimated decline in revenues.

A new four-year F&A (facilities and administrative cost) rate agreement was signed on September 28, 2015, which is used to assist with projecting indirect cost recovery revenue. The F&A rates are effective from FY16 through FY19, and the rates vary by year (depicted in the table below). Depending on the specific grant and the sponsor involved, units apply the applicable rate from the table below (or a lesser rate agreed to with the sponsor) to the direct budgeted expenses of the grant to estimate the F&A or Indirect Cost Recovery return.

Award Type	Previous	FY 2016	FY 2017	FY 2018	FY 2019
On-Campus Research	52%	52%	52%	53%	54%
On-Campus Public Service (a.k.a. Other Sponsored Activities)	33%	33%	33%	33%	33%
On-Campus Instruction	50%	50%	50%	50%	50%
Hormel Institute	52.5%	52.5%	55%	55%	55%
Dept. of Defense Contracts	57%	57%	57%	57%	57%
Off-Campus Projects	26%	26%	26%	26%	26%

Attachment 12, Fund Forecast – Centrally Distributed and Attributed Funds, identifies the ICR estimates for FY18 and FY19 by college, campus and support unit. Under the institutional budget model, 100% of the ICR revenue is attributed to the units that generate it.

Central Reserves

The primary revenue source for the central reserves fund is investment earnings from the temporary investment pool. The purpose of this fund is to insulate the University from potential major financial risks, including:

- Unanticipated or uninsured catastrophic events
- Temporary institutional revenue declines or expenditure gaps
- Unforeseen legal obligations and costs
- Failures in central infrastructure
- Failures of major business systems

The financial plan for FY19 central reserves is based on estimated resources derived from investment earnings. Resources available for the year are projected to be \$41,553,975 which is an increase of \$9,000,184 from FY18.

- The balance available from the previous year is estimated to be \$6,152,872 more than that available in FY18 due to gains in FY18 net revenues (earnings higher than anticipated and costs/fees lower than anticipated) without an offsetting increase in allocations from the fund in FY18.
- Gross investment income is estimated to increase \$3,695,000 over FY18 due to a larger estimated TIP balance and a higher average yield rate.
- The estimate of capital gains/losses is \$780,000 less than in FY18, which has a positive impact on available resources (estimated loss of \$1,550,000 compared to a loss of \$2,330,000 in FY18).
- The fees and operating costs associated with managing the TIP funds are estimated to increase \$95,000, and investment income to participants deducted from earnings is projected to be stable year over year.
- “Other” income is projected to be \$1,532,688 lower than in FY18 due to elimination of two one-time legal settlements recorded in FY18. Additionally, equal to FY18, the loan repayment of \$2,267,000 from Athletics will be recorded back to the fund again in FY19.
- The transfer to O&M remains flat.

The financial plan for FY19 central reserves includes allocations of \$12,646,580, which is an increase of \$146,764 over FY18. The one-time drop in allocations in FY18 due to lower than anticipated costs for the M Health legal obligations is not repeated in FY19 (lesser savings of \$195,764) and a proposed increase of \$21,000 to the University of Minnesota Alumni Association for increased compensation costs funded through their central reserves allocation are responsible for that growth. In addition, due to a projected decrease in the balance of Legislative Citizens’ Commission on MN Resources (LCCMR) project funds subject to the payment of earnings back to the state of Minnesota, the estimate of that payment is decreasing by \$70,000 for FY19 compared to FY18.

After allocations and transfers, the central reserves balance is projected to be \$28,907,395 at the end of FY19. Based on FY19 state appropriations, Board of Regents policy would set the desired balance at \$26,921,720.

Self-Sustaining Funds

Auxiliary Enterprises

The University includes a number of operations that provide goods and services predominantly to individuals in the University community and incidentally to the general public. Residence halls, food service, student unions, bookstores, parking and transit, health services and intercollegiate athletics are primary examples of these activities referred to as auxiliary enterprises.

Overall, the FY19 budget for auxiliary revenues generated by these enterprises is an estimated \$376 million which is an estimated increase of approximately \$10.9 million (3%) over FY18. Units will balance auxiliary enterprise budgets with that revenue increase as well as expense reductions in response to the expected reductions in administrative costs.

Internal Service Activities

The University conducts internal service activities for the purpose of convenience, cost or control. These activities provide goods and services predominantly to University departments and incidentally to the general public. Fleet services, UMarket Services, and the Mechanical Engineering Shop are examples of internal service activities.

Overall, the FY19 budget for revenues generated by these internal service organizations is an estimated \$235 million, which is an estimated increase of approximately \$4.6 million (2%) over FY18. Units will balance internal sales organization budgets with this revenue increase as well as targeted expense reductions as necessary.

Other Unrestricted and Other Restricted Non-sponsored Activity

The FY19 budget for other unrestricted and restricted non-sponsored funds is based on estimated resources of approximately \$1.0 billion which is an increase of approximately \$25 million (2.5%) over FY18.

The other unrestricted fund category includes resources derived from miscellaneous activity such as sales of educational goods and services, clinical income, course and campus/collegiate fees, and student payment related fees.

The other restricted fund category includes numerous restricted accounts, the funds of which may only be used in accordance with the purposes established by the source. Examples of funds included in this category are grants and contracts with business and industry, gifts receipted through the University of Minnesota Foundation, endowment earnings, and restricted government appropriations.

Units will balance budgets in these funds with the projected growth in revenue as well as expense reductions in response to the expected reductions in administrative costs.

Sponsored Research

Sponsored research consists of grants and contracts administered through the Office of Sponsored Project Administration (SPA). Sponsored funds typically represent multi-year activities surrounding research projects. Estimated expenditures for a given year will vary according to the University's ability to obtain research grants as well as the timing of expenditures related to current or pending research projects. Estimated direct expenditures for FY19 are estimated to remain stable at \$575,000,000.

VI. All Funds Budget – Expenditure/Allocation Summary

For FY17, the most recent year of actual resource and expenditure information, current fund expenditures for University operations totaled approximately \$3.7 billion. Attachment 7 contains the definitions of all current non-sponsored and sponsored funds. Table 5 below outlines (according to function) the current fund expenditures for FY17, updated estimates of these expenditures for FY18, and the proposed budget for FY19.

Table 5
Current Fund Expenditures

<u>Funding Source</u>	<u>FY2016-17 Actual Expenditures</u>	<u>FY2017-18 Estimated</u>	<u>FY2018-19 Proposed Budget</u>	<u>FY19 % of Total</u>
Instruction	\$808,692,871	\$837,214,739	\$852,451,534	22.3%
Research	318,064,978	309,683,125	315,319,168	8.2%
Public Service	187,199,503	187,183,867	190,590,499	5.0%
Academic Support	507,261,253	522,462,397	531,970,892	13.9%
Student Services	139,660,756	151,428,908	154,184,821	4.0%
Institutional Support	277,702,183	250,091,729	254,643,245	6.7%
Plant/Ops & Maintenance	312,077,258	311,046,302	316,707,154	8.6%
Scholarships/Fellowships	310,446,202	321,896,863	327,755,188	8.3%
Auxiliary Enterprises	<u>293,984,072</u>	<u>301,472,401</u>	<u>306,959,014</u>	<u>8.0%</u>
	\$3,155,089,075	\$3,192,480,332	\$3,250,581,514	85.0%
Subtotal Sponsored (direct)	\$573,314,641	\$575,000,000	\$575,000,000	15.0%
Total Expenditures	\$3,728,403,716	\$3,767,480,332	\$3,825,581,514	100.0%

Within the President's recommended operating budget, non-sponsored funds represent 85.0% of all current fund expenditures. The budget also includes estimated expenditures for current, sponsored funds, which comprise the remaining 15.0% of annual current fund expenditures.

Centrally Distributed and Attributed – Proposed Distributions

Within the context of available resources, the proposed distributions for the centrally distributed and attributed funds are as follows:

Table 6
Fiscal Year 2018-19 Proposed Distributions
Centrally Distributed and Attributed Funds

	<u>O&M</u>	<u>Tuition</u>	<u>State Specials</u>	<u>ICR</u>	<u>Central Reserves</u>
Balance Forward	\$598,498	\$0	\$0	\$0	\$20,053,975
Annual Revenue/ Net Transfers	<u>\$611,630,000</u>	<u>\$940,717,114</u>	<u>\$92,845,000</u>	<u>\$148,813,553</u>	<u>\$21,500,000</u>
Total Net Resources	\$612,228,498	\$940,717,114	\$92,845,000	\$148,813,553	\$41,553,975
Planned Distributions	<u>\$611,986,919</u>	<u>\$940,717,114</u>	<u>\$92,845,000</u>	<u>\$148,813,553</u>	<u>\$12,646,580</u>
Ending Balance	\$241,579	\$0	\$0	\$0	\$28,907,395

Details on specific distributions by campus, college and support unit can be found on Attachment 12, Fund Forecast – Centrally Distributed and Attributed Funds.

VII. All Current Funds Operating Budget – Resolution

The docket contains the budget resolution for approval by the Board of Regents.

VIII. Conclusion

The FY19 operating budget for the University of Minnesota recommended by President Kaler and senior leaders of the University represents a balanced and responsible approach to maintaining excellence at a top tier, public research University. The plan includes substantial reallocated resources along with additional funds from students to reward our faculty and staff with a modest merit pool, to address core needs in many University units, to provide a small number of strategic programmatic investments, and to maintain the physical infrastructure across the University.

Attachment 1

FY19 Recommended Incremental Investments

Funded by a Combination of Tuition Revenue Increases and Internal Reallocations

	A		B
	FY19 Incremental Investment		FY19 Incremental Investment
Recurring Categories and Items			
1 <u>Facilities and Technology Infrastructure</u>		2 <u>Enhance Student Services/Support/Instruction etc.</u>	
3 OIT - Software Licenses/Maintenance	1,214,000		
4 Canvas Learning Mgmt System Licenses/Maintenance	994,000	Student Access Consultants-Comp Adjustments	25,283
5 Destiny One Non-Credit Registration System	246,938	Graduate Student Funding Packages in CLA	230,000
6 Tableau Expanded License	79,000	Care Manager Position - Student Affairs	84,000
7 Public Data Requests-Portal Software (OGC)	20,000	SEOG Match Requirements - UMD	130,000
8 Applications Mgmt Tool and Support	250,000		
9 Repair & Renovation (R&R)	1,000,000	Subtotal Student Services & Support	469,283
10 Library & Classroom Space Maintenance	320,000		
11 Utilities	(783,638)		
12 New Building Operations	(279,183)	3 <u>Program Enhancement/Support/Compliance</u>	
13 Debt Service	(951,867)		
14 Leases	84,928	Distance Learning Position (Vet Med)	100,000
15 Subtotal Infratsructure	2,194,178	Two Senior Grant Administrators	166,400
16 <u>Core Operations and Services</u>		Nobel Peace Forum	60,000
17 Library Collections	839,000	Resource Animal Resources	350,000
18 University Hlth & Safety-Regulatory Fees & Permits	60,000	Tuition for Future Costs (UMR and passthroughs)	394,101
19 University Hlth & Safety-Technical Personnel Mrkt Adj.	45,000	Subtotal Program Enhancement	1,070,501
20 Disability Resource Center Operations	500,000		
21 EOAA Investigator Positions	330,000	4 <u>Institutionally Managed Student Aid</u>	
22 Admissions Counselor - Twin Cities	52,000		
23 Two National Recruiters - Twin Cities	210,000	President's Matching Scholarship Program	100,000
24 Senior Leader Compensation Increment	134,000	Subtotal Student Aid	100,000
25 Structural Imbalance Reduction - Law	1,700,000		
26 Structural Imbalance Reduction - UMD	1,050,000		
27 MN Extension - Costs/Flat Federal Funding	200,000		
28 Operating Budget Support - Multiple Units	5,700,087		
29 Subtotal - General Operations	10,820,087	TOTAL Non-Compensation Investments	14,654,049
30			
31			

Attachment 1

FY19 Recommended Incremental Investments

Funded by a Combination of Tuition Revenue Increases and Internal Reallocations

	<u>FY19 Incremental Investment</u>		<u>FY19 Incremental Investment</u>
32			
33	Nonrecurring Items		
34			
35	<u>Facilities and Technology Infrastructure</u>	<u>Enhance Student Services/Support/Instruction etc.</u>	
36			
37	Tableau Base License (3-years)	Crisis Intake Counselor Positions	122,200
38			
39	<u>342,000</u>		<u>122,200</u>
40			
41	<u>Core Operations and Services</u>	<u>Program Enhancement/Support/Compliance</u>	
42			
43	Disability Resource Center FY18 Shortfall	Engagement Survey - Every 2 Years	200,000
44	UMC - Tuition Shortfall/Imbalance	Driven to Discover	1,500,000
45	Law School Year End Deficit	UMAA License Plate Program	25,000
46		President's Initiative to Prevent Sexual Misconduct	281,280
47	<u>3,385,000</u>	School of Public Health-Bridge Loss of T32 Grant	350,000
48			
49			<u>2,356,280</u>
50			
51		<u>Institutionally Managed Student Aid</u>	NA
52			
53		<u>TOTAL</u>	<u>6,205,480</u>
54			
55		<u>Sources:</u>	
56		President's Quasi Endowment	1,500,000
57		Uncommitted Unit Balances Reallocated	2,200,000
58		TCF Annual Support for Student Programming/Aid	122,200
59		Central Balances	1,883,280
60		FY19 Forecast Balance for NR Items	500,000
61			
62		<u>TOTAL</u>	<u>6,205,480</u>

Resource Expenditure Budget Plan - University Fiscal Page

University of Minnesota - Resource and Expenditure Budget Plan

SUMMARY: Current Nonsponsored Funds Excluding Multi Year accounts				Budget Plan FY19
	Actual FY16	Actual FY17	Estimated FY18	
RESOURCES				
a Carry Forward	\$830,002,092	\$898,618,175	\$871,837,700	\$897,199,975
Current Revenue - Total Revenue by Fund				
State O&M Appropriation	\$559,111,000	\$560,011,000	\$590,248,000	\$580,198,000
Tuition	\$920,295,271	\$947,448,229	\$972,606,797	\$990,717,114
State Special	\$90,962,733	\$90,980,547	\$92,845,000	\$92,845,000
Sales, Fees, and Misc	\$381,135,043	\$359,113,380	\$356,611,768	\$363,744,003
Indirect Cost Recovery	\$144,441,282	\$144,076,305	\$151,792,799	\$148,813,553
Auxiliary Enterprises	\$355,296,118	\$354,837,802	\$365,231,756	\$376,188,709
Internal Sales	\$219,382,068	\$231,775,426	\$230,789,267	\$235,405,052
Private Practice	\$93,165,003	\$99,226,307	\$103,190,173	\$105,769,927
Federal Appropriations	\$17,301,964	\$10,533,209	\$17,571,649	\$17,600,000
Gifts & Endowment Income	\$264,787,572	\$258,617,754	\$245,985,934	\$253,365,512
Restricted Grants, Contracts & Misc	\$243,966,093	\$268,553,787	\$278,969,464	\$287,338,548
b Total Revenues	\$3,289,844,147	\$3,325,173,745	\$3,405,842,607	\$3,451,985,419
c TOTAL NET RESOURCES (a+b)	\$4,119,846,239	\$4,223,791,920	\$4,277,680,307	\$4,349,185,394
EXPENDITURES				
Expenditures by Function				
Instruction	\$809,481,337	\$808,692,871	\$837,214,739	\$852,451,534
Research	\$307,223,418	\$318,064,978	\$309,683,125	\$315,319,168
Public Service	\$181,871,091	\$187,199,503	\$187,183,867	\$190,590,499
Academic Support	\$483,813,362	\$507,261,253	\$522,462,397	\$531,970,892
Student Services	\$133,101,284	\$139,660,756	\$151,428,908	\$154,184,821
Institutional Support	\$250,795,343	\$277,702,183	\$250,091,729	\$254,643,245
Operations & Maintenance of Plant	\$353,831,553	\$312,077,258	\$311,046,302	\$316,707,154
Scholarships & Fellowships	\$297,298,870	\$310,446,202	\$321,896,863	\$327,755,188
Auxiliary Enterprises	\$288,148,397	\$293,984,072	\$301,472,401	\$306,959,014
Total Expenditures	\$3,105,564,655	\$3,155,089,075	\$3,192,480,332	\$3,250,581,514
Expenditures by Natural Classification				
Salaries	\$1,393,874,620	\$1,451,736,410	\$1,479,497,308	\$1,509,087,254
Fringe Benefits	\$437,486,485	\$459,876,746	\$481,648,737	\$495,440,603
Student Aid	\$301,172,698	\$318,813,252	\$325,054,502	\$331,555,592
Supplies, Services, Miscellaneous	\$400,656,138	\$389,901,548	\$392,834,922	\$396,763,271
Consulting & Professional Services	\$121,973,379	\$121,464,270	\$110,857,452	\$112,520,314
Materials for Resale	\$54,285,960	\$54,401,853	\$55,082,047	\$55,908,278
Capital Assets/Equipment	\$81,183,588	\$36,263,470	\$28,923,084	\$29,356,930
Noncapital Equipment	\$45,351,657	\$44,259,095	\$43,437,773	\$43,872,151
Rents & Leases	\$31,684,835	\$31,215,347	\$38,173,032	\$38,745,627
Repairs, Maintenance, Supplies	\$71,292,596	\$68,473,853	\$82,377,396	\$83,613,057
ICR, Subcontracts, Participant Expense	\$1,079,940	\$1,364,367	\$937,559	\$1,147,005
Other Expenses & Adjustments	\$7,074,542	\$14,068,945	\$150,076	\$0
University Assessments	\$0	(\$0)	(\$606,113)	\$0
Utilities	\$158,448,216	\$163,249,917	\$154,112,557	\$152,571,431
d Total Expenditures	\$3,105,564,655	\$3,155,089,075	\$3,192,480,332	\$3,250,581,514
TRANSFERS & ADJUSTMENTS				
e Transfers	(\$115,663,409)	(\$196,865,145)	(\$188,000,000)	(\$190,000,000)
ENDING BALANCE (c-d+e)	\$898,618,175	\$871,837,700	\$897,199,975	\$908,603,880

Attachment 3

FY18 and FY19 Fringe Benefit Rates by Component

Actual 2017-18

Fringe Component	Academic/Police	Non-Academic	Partial Benefits	Post Docs with UPlan	Graduate Assistant/GA Hlth
Retirement	12.0%	5.8%			
Income Disability	0.1%				
Unemployment	0.1%	0.1%	0.1%	0.1%	
Workers Comp	0.3%	0.3%	0.3%	0.3%	
FICA	5.3%	5.3%	5.3%	5.3%	
Medicare	1.4%	1.4%	1.4%	1.4%	
Medical	11.7%	11.7%		11.7%	
Dental	0.8%	0.8%		0.8%	
Life	0.3%	0.3%		0.3%	
Tuition	0.3%	0.3%		0.3%	
GA Health					14.4%
Internal Admin	0.6%	0.6%	0.6%	0.6%	0.6%
Vacation	0.6%	0.6%		0.6%	
Fringe Rate	33.5%	27.2%	7.7%	21.4%	15.0%

Proposed 2018-19

Fringe Component	Academic/Police	Non-Academic	Partial Benefits	Post Docs with UPlan	Graduate Assistant/GA Hlth
Retirement	10.8%	5.4%			
Income Disability	0.4%				
Unemployment	0.2%	0.2%	0.2%	0.2%	
Workers Comp	0.3%	0.3%	0.3%	0.3%	
FICA	4.8%	4.8%	4.8%	4.8%	
Medicare	1.4%	1.4%	1.4%	1.4%	
Medical	12.7%	12.7%		12.7%	
Dental	0.3%	0.3%		0.3%	
Life	0.4%	0.4%		0.4%	
Tuition	1.1%	1.1%		1.1%	
GA Health					16.7%
Internal Admin	1.0%	1.0%	1.0%	1.0%	1.0%
Vacation	0.8%	0.8%		0.8%	
Fringe Rate	34.2%	28.4%	7.7%	23.0%	17.7%

** Graduate Student tuition remission is a flat charge per hour that a student works

Attachment 4
Graduate and Professional Student Fringe Table
Tuition Fringe as Dollar per Hour Charge
Fiscal Year 2018-19

	Tuition per hour	Health	FICA & Other	Total of % Fringe
Summer Term Only				
9571 Summer Term TA	\$0.00	16.70%	1.00%	17.70%
9572 Summer Term RA	\$0.00	16.70%	1.00%	17.70%
9573 Summer Term AF	\$0.00	16.70%	1.00%	17.70%
9574 Summer Session TA w/ T. Ben	\$44.30	16.70%	1.00%	17.70%
9575 Summer Session TA w/o T. Ben	\$0.00	16.70%	1.00%	17.70%
Academic Year and Summer Term				
9510 Grad Assistant Coach	\$19.90	16.70%	1.00%	17.70%
9511 Teaching Assistant (TA)	\$19.90	16.70%	1.00%	17.70%
9515 Graduate Instructor	\$19.90	16.70%	1.00%	17.70%
9517 Ph.D. Cand. Graduate Instructor	\$3.16	16.70%	1.00%	17.70%
9518 Adv. Masters TA	\$3.16	16.70%	1.00%	17.70%
9519 Ph.D. Cand. w/24 thesis cred. TA	\$3.16	16.70%	1.00%	17.70%
9521 Research Assistant (RA)	\$19.90	16.70%	1.00%	17.70%
9526 Graduate Research Project Asst.	\$19.90	16.70%	1.00%	17.70%
9527 Ph.D. Cand. Grad Research Proj.	\$3.16	16.70%	1.00%	17.70%
9528 Adv. Masters RA	\$3.16	16.70%	1.00%	17.70%
9529 Ph.D. Cand. w24 thesis cred. RA	\$3.16	16.70%	1.00%	17.70%
9531 Admin Fellow (AF)	\$19.90	16.70%	1.00%	17.70%
9532 Adv. Masters AF	\$3.16	16.70%	1.00%	17.70%
9533 Ph.D. Cand. w/24 thesis cred. AF	\$3.16	16.70%	1.00%	17.70%
9535 Professional Program Asst.	\$0.00	16.70%	1.00%	17.70%
9538 Legal Project Assistant w/T. Ben	\$44.92	0.00%	0.00%	0.00%
9539 Legal Project Assistant w/o T.	\$0.00	0.00%	0.00%	0.00%
9553 Dental Fellow	\$19.90	0.00%	7.70%	7.70%
9554 Med Fellow, Graduate Program	\$9.95	0.00%	7.70%	7.70%
9559 Med. Resident, Graduate	\$9.95	0.00%	7.70%	7.70%
9549 Vet Resident, Graduate Program	\$13.27	0.00%	7.70%	7.70%

* This column includes Social Security, Medicare, Unemployment Insurance, Workers Compensation, and an Internal Administration Fee. All job classes, except the Legal Project Assistant classes, contribute 1.0% for the Internal Administration Fee. In addition to the Internal Administration Fee, Dental Fellow, Medical Fellow, Medical Resident, and Veterinary Resident job classes contribute 6.2% to Medicare, 0.2% to Unemployment Insurance, and 0.3% to Workers Compensation for a total of 7.70%.

Attachment 5

Law School Structural Imbalance Summary

Prepared by the Law School with input from the Office of Budget & Finance

Purpose & Key Points

The Law School is projected to have a \$4.1 million structural imbalance at the end of FY18. A brief history of this imbalance is included in Figure 1 below.

Figure 1. Structural Analysis

	FY14	FY15	FY16	FY17	FY18 est.
Entering Imbalance	\$3.8m	\$2.6m	\$3.3m	\$5.8m	\$5.0m
Budget Decisions & Actual Impacts	(\$1.2m)	\$0.7m	(\$0.8m)	(\$0.8m)	(\$0.9m)
Ending Imbalance	\$2.6m	\$3.3m ¹	\$2.5m ¹	\$5.0m	\$4.1m

It is important to note that the ending imbalance noted above does not follow the plan outlined in the February 2016 Long-Range Financial Planning for Law School report to the Board of Regent's Finance Committee, primarily due to changes in Law School enrollment. Additional information on these changes is included on pages 4-5 of this report.

To address the Law School's structural imbalance, the Joint Finance Committee was charged by the Provost to address this financial challenge. The Committee analyzed three potential scenarios and the impact those scenarios would have on the School's structural imbalance over time. These scenarios are detailed on pages 14-18.

The President's Recommendation:

The President recommends the University pursue Strategy 1, Option 2 – to maintain the quality and reputation of the Law School and eliminate the structural imbalance by the FY21 budget. This recommended plan includes an annual investment of O&M, increased enrollment/tuition, increased philanthropy, and further spending reductions/reallocations within the Law School. The President's recommended FY19 budget includes an O&M increase to the Law School of \$1.7 million and planned reallocations within the Law School of in excess of \$450,000 (roughly 40% more than the general target required of units throughout the budget). Moving forward, the plan includes incremental O&M increases to the Law School of \$1.5 million in FY20 and \$1.3 million in FY21, with required reallocations in the Law School exceeding the general budget targets in each of those years. The Joint Finance Committee will continue to meet quarterly over this time period to monitor actual financial results and to recommend changes to the plan

¹ The structural imbalances noted at the end of FY15 & FY16 were based on a cash analysis versus a structural analysis. This issue was identified after the close of FY16, and a revised, beginning structural imbalance was calculated for FY17 based on a detailed analysis of recurring revenues and expenditures.

necessitated by actual revenue and spending results. The goal will not vary from full elimination of the structural imbalance within this three-year time frame.

History of Structural Support and Year-End Transfers

In FY14, the University began providing additional recurring support to the Law School to address annual cost increases and the structural imbalance. Incremental investments have ranged from \$950,000 to \$2.0 million, and each of these investment decisions were included in the annual approved operating budgets. The investments have equated to a \$7.5 million increase in the Law School's annual recurring O&M allocation from FY14 to FY18.

In addition to the structural support, the University has provided one-time funds to cover the school's year-end deficits; since FY13, the Law School's available balances/reserves have been completely eliminated. These one-time transfers from FY13 through FY17 have ranged from \$2.6 million to \$4.1 million and cumulatively total \$17.0 million in support. Currently, the Law School projects a \$2.2 million deficit at the end of FY18.

Figure 2 below depicts the structural support and year-end transfers from FY13 through FY18 (projected).

Figure 2. Central Support

	FY13	FY14	FY15	FY16	FY17	FY18 Budget
Structural Support ²	\$0	\$950,000	\$2,000,000	\$1,000,000	\$1,500,000	\$2,000,000
Year-End Transfer	\$2,600,000	\$4,100,000	\$3,250,000	\$3,903,000	\$3,100,000	\$2,200,000

Emergence of the Structural Imbalance

The Law School's structural imbalance emerged due to three primary factors: 1) a sharp decline in matriculants, which followed a national trend; 2) a subsequent increase in scholarship expenses to continue to attract high quality students, again following national trends; and 3) despite substantial expense reductions, an inability to further reduce expenditures to match the decline in net tuition revenues resulting from new market realities. The following provides historical details on the emergence of the Law School's structural imbalance.

I. The State of Legal Education Admissions

The legal education market has shifted dramatically over the last 10-15 years. The number of J.D. applicants has declined by 44% since 2004, with the sharpest drop from 2010-2014. By 2014,

² Structural support is shown on an incremental basis to identify the separate investment decisions for each fiscal year.

national first-year law school enrollment hit its lowest level in four decades (but spread over a far larger number of law schools). These record lows created a seismic shift in the law school market. With more law schools competing for fewer applicants, law schools—responding to the laws of supply and demand—have aggressively awarded scholarships to successfully recruit qualified students, driving down net tuition revenue.

Figure 3a. J.D. Applicants Nationwide

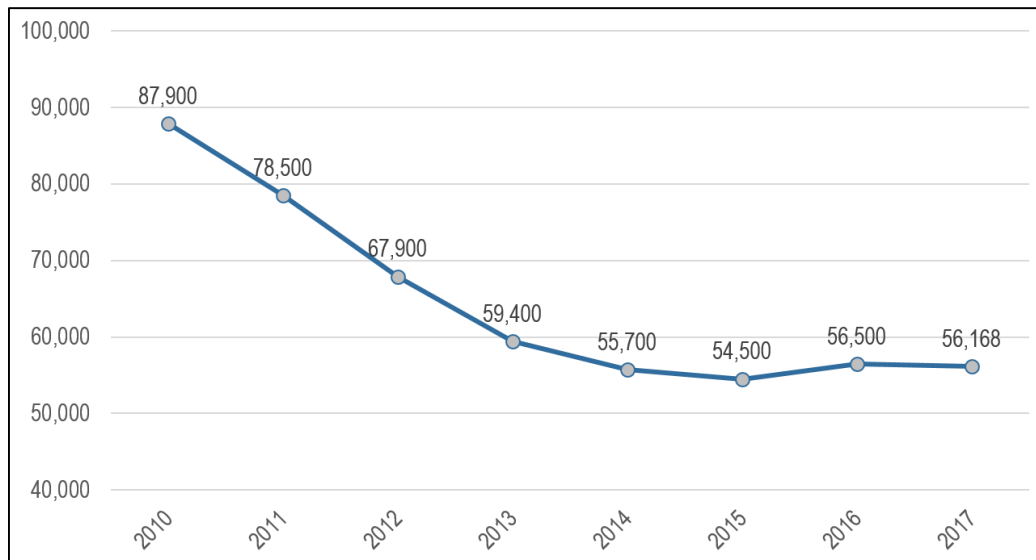
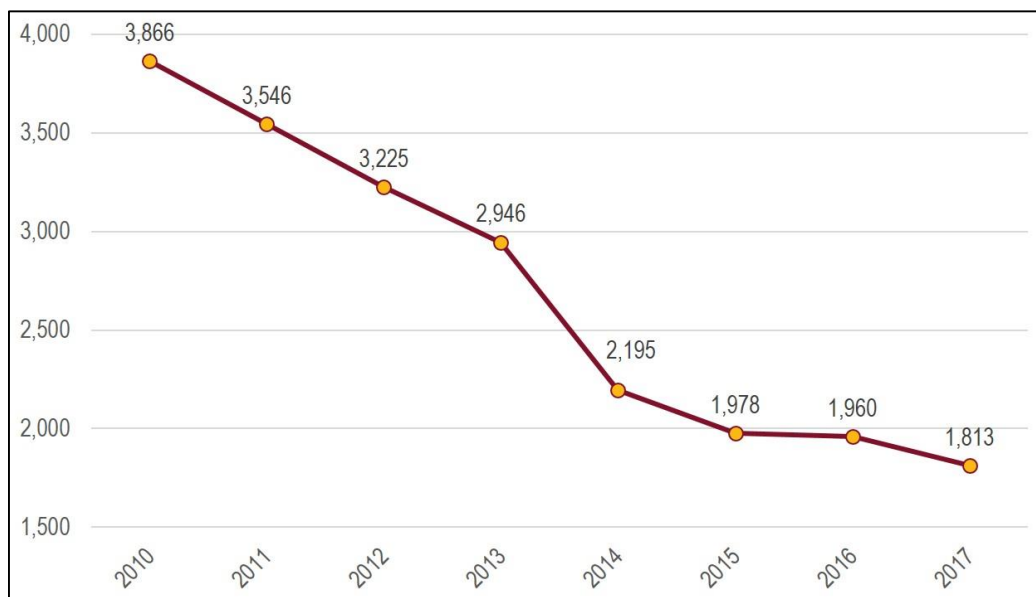


Figure 3b. J.D. Applicants to UMN Law School

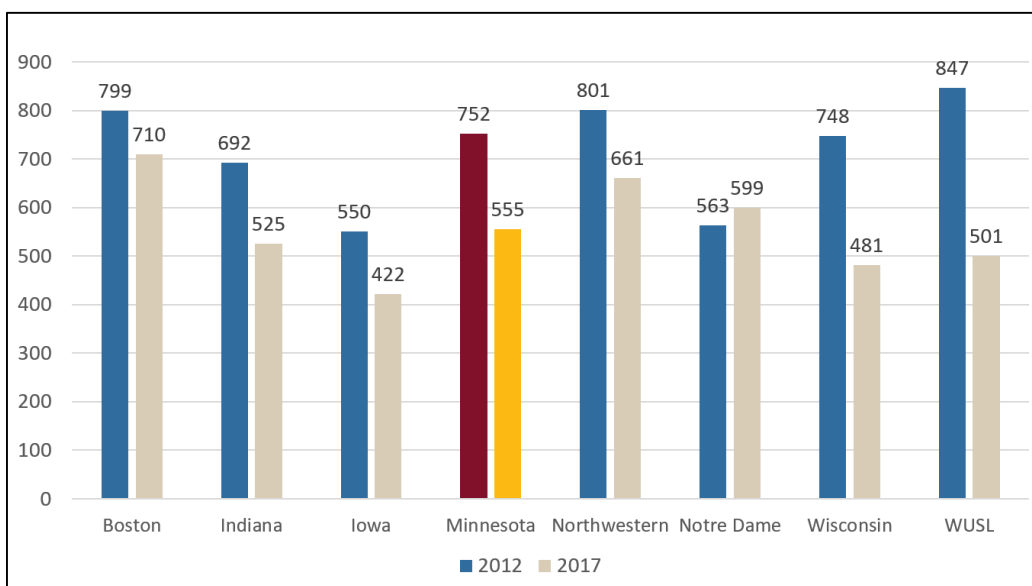


The University of Minnesota Law School (hereinafter “Law School”) has suffered a decline in applications since 2010 that mirrors the national trend (see Figs. 3a and 3b). Unfortunately, the decline in applications was especially acute among law schools in the Midwest.

Thankfully, the number of applicants has held relatively steady since 2015 and seems to have stabilized, with slow increases predicted in the coming years. This unprecedented change disrupted the financial models underpinning law schools, including our own.

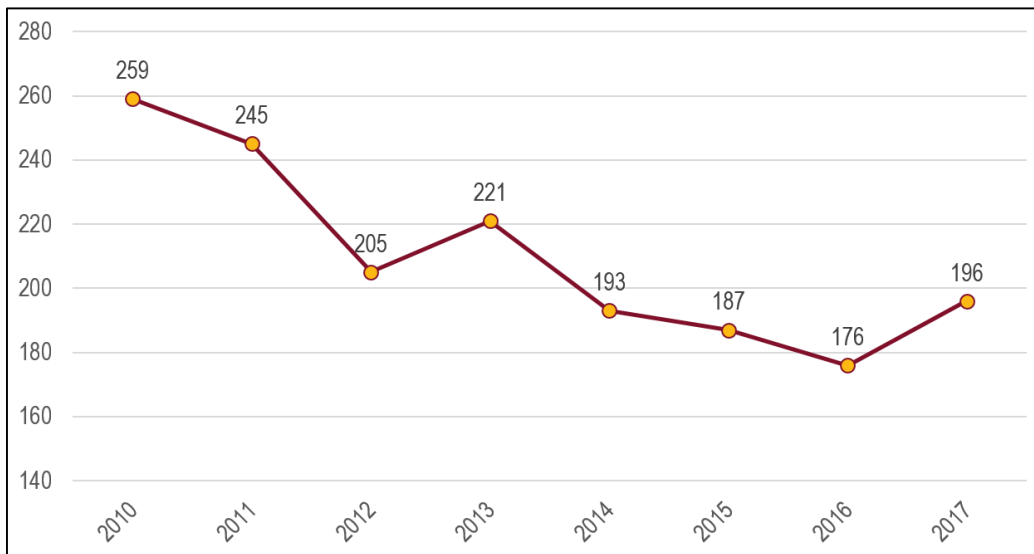
In response to a shrinking nationwide applicant pool and fewer overall matriculants, most law schools (including very strong schools like ours) began to decrease the size of incoming J.D. classes so that they could maintain their traditional standards of student quality and academic excellence. A review of the schools with which we compete most directly for students reveals a consistent trend of declining enrollment (see Fig. 4).

Figure 4. J.D. Student Populations at Peer Institutions



The Law School began enrolling significantly smaller first-year classes beginning in 2014, a trend that continues. Although the Law School successfully enrolled its largest class in four years in Fall 2017 (while maintaining student quality), current near- and mid-term application and employment projections remain smaller than the former historic highs (although larger than the recent lows). The Law School anticipates enrolling a similar class (in quality and size) in Fall 2018 as it did in Fall 2017.

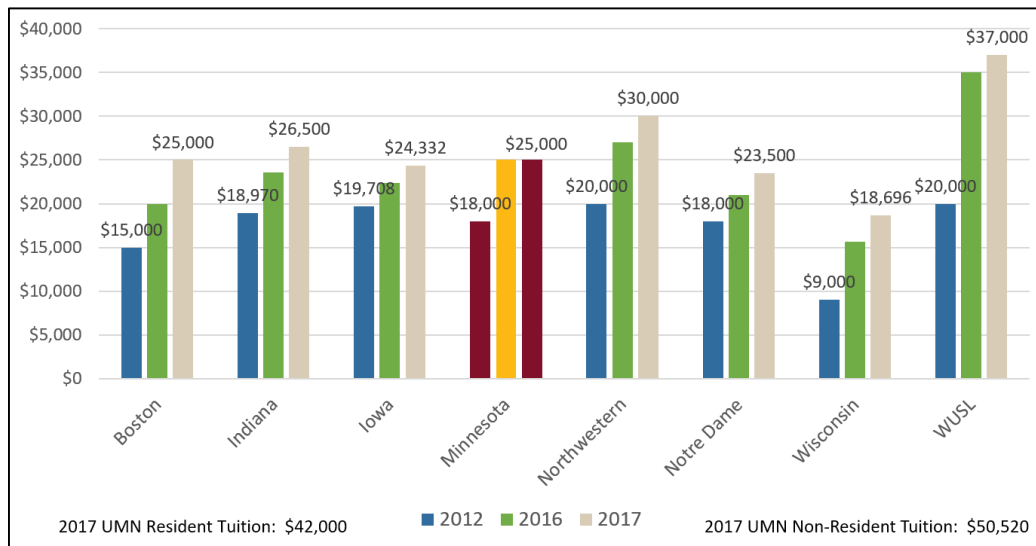
Figure 5. Univ. of MN Law School J.D. First-Year Class Size



This decline in J.D. class size as well as a decline in enrollment in other Law School programs created a variance between the Law School's February 2016 forecast for eliminating the non-recurring year-end transfers and the actual transfers required to maintain a positive year-end balance for the School. Relative to February 2016 projections (which were presented to the Finance Committee during the Long-Range Financial Planning for Law School presentation), there were 65 fewer J.D. students in FY17 and 79 fewer J.D. students in FY18 due to continued market contraction. In the Law School's L.L.M. program, there were 15 fewer students in FY17 and 24 fewer students in FY18, relative to the Law School's projections.

As noted in Figure 3, the decline in the law school applicants nationwide has led to intensified competition among law schools for students. In order to successfully recruit admitted applicants, schools began offering significantly larger scholarship aid in response to market forces. In fact, the Law School has actively managed the pressure to increase scholarship aid in recent years, in contrast to its peers (see Fig. 6), but it has not been immune from market forces that require awarding substantial scholarship aid to remain competitive and fill its classes (even at smaller enrollment levels). Nevertheless, in the most recent admissions cycle, the Law School lowered the amount of scholarship aid awarded in comparison to tuition by 10.4%, while simultaneously improving its yield (the percentage of admitted students who matriculate) by 15.3%. Both of these were very positive trends and stand out as great accomplishments, even among our peers, and position the Law School well moving forward.

Figure 6. Median Scholarship Awards at Peer Institutions



The twin forces of declining student enrollments and increased scholarship expenditures have had a stronger negative financial effect on public law schools that did not traditionally rely greatly on philanthropy to sustain operations. For institutions such as ours that had relied heavily on net tuition revenue, the sudden market shift has been especially challenging.

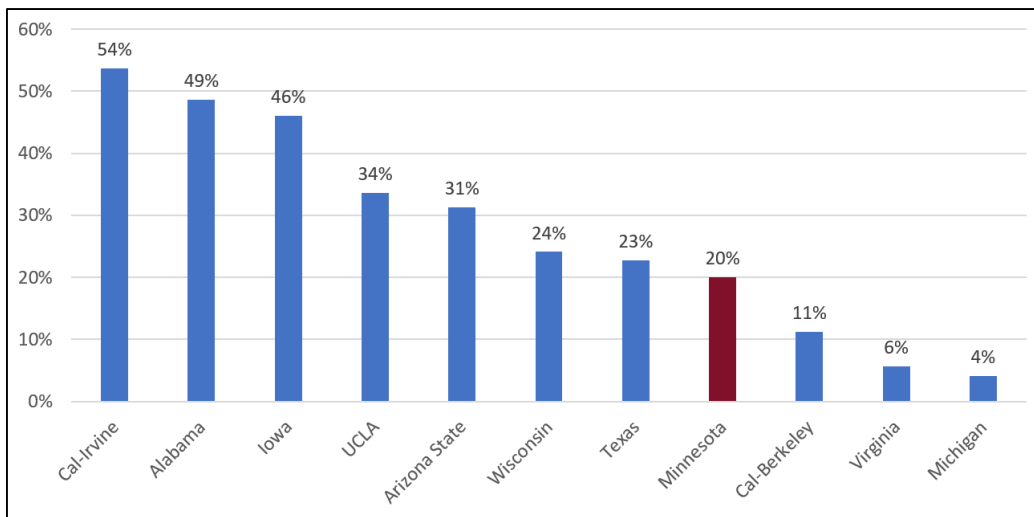
II. Financial Impact of Market Shift on the Law School

For a number of years, the O&M allocation to the Law School was held very low. Consequently, by FY12 the O&M allocation to the Law School reached a low point of \$3.6 million, 8% of total revenue. This is especially notable because the Law School is the only unit on the Twin Cities campus that pays for its own library operations. As recently as FY14, the Law School was using 100% of its O&M allocation for the Law Library. The Law Library serves a number of necessary functions that are critical to the Law School's mission; in fact, all law schools are required to maintain an adequate law library in order to satisfy ABA accreditation requirements. Despite the Law Library's ability to keep its acquisitions costs flat for the last 5 years, 53% of the Law School's FY17 O&M allocation went toward library expenses.

In response to broad changes impacting the legal profession in the past several years, the legal education market has also been directly effected; students nationwide are no longer willing or able to pay for law school at the tuition levels they once were. This reduction in the average net tuition charged by law schools has caused a commensurate reduction in the net revenue generated by the Law School, which means that the prior expectations and circumstances on which the historical O&M allocation was determined no longer appear to hold true.

In fact, in comparison to other well-regarded public law schools, the Law School trails behind in terms of its proportionate level of state appropriation, ranking 4th lowest out of 11 in the *U.S. News* Top 30. Only Michigan (ranked #8 overall in *U.S. News*), Virginia (#9-T), and California-Berkeley (#9-T) receive less state support as a percentage of total revenue (see Fig. 7).

Figure 7. State Support for Public Law Schools in *U.S. News* Top 30³



With the School's two other primary revenue sources each at less than 20% of total revenues, it was the relatively sudden, dramatic decrease in net tuition revenue due to the change in the law school admissions market – despite significant efforts to reduce expenditures – that resulted in the Law School having a structural imbalance beginning in FY13. Below is the total operating revenue and expenditures for FY17 by category. The structural imbalance at the end of FY17 was \$5.0M.

³ This data may actually underreport the amount of state support used for law school operations. Because unlike our law school, not all law schools are in a budget model in which they must pay university cost pools and/or for their law libraries from unit revenues.

Figure 8. Law School FY17 Total Operating Revenue by Category

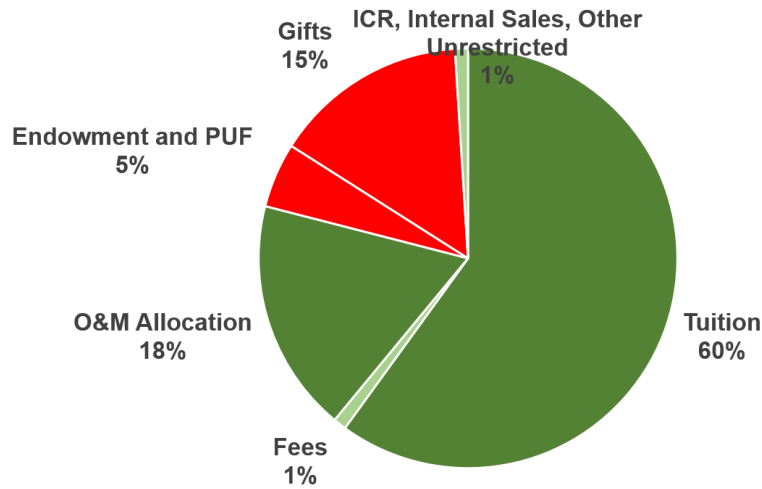
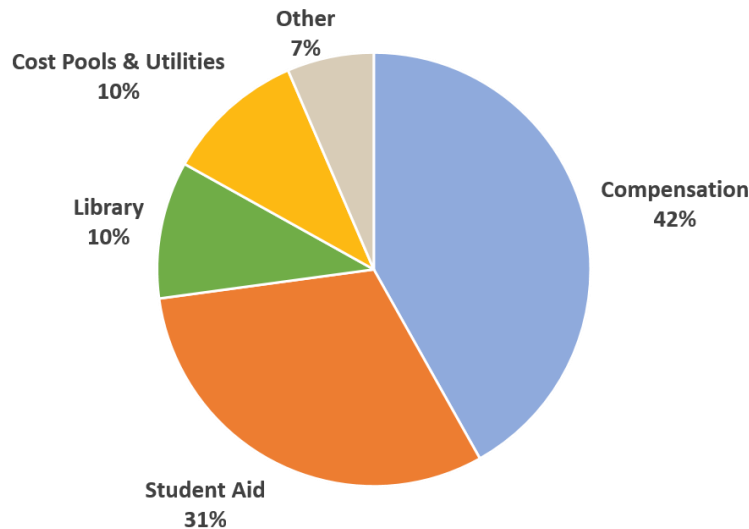


Figure 9. Law School FY17 Total Operating Expenditures by Category



Aside from student aid, which is largely driven by market forces, the only other significant expenditures somewhat within the Law School’s ability to control are its compensation and other operating expenditures. Yet there are limited levers for the Law School to reduce these expenditures due to the nature of the contracts in place for its employees and the requirements for maintaining an ABA-accredited law school. Every ABA-accredited law school must have full-time faculty to teach its core curriculum, an admissions staff to recruit students, a career services office to successfully place students into jobs after graduation, and a law library. As a small unit, the Law School has some functions (e.g. Human Resources, staffed with 1 employee) that must be carried out but cannot be downsized any further regardless of class size; however, the Law School is continuously seeking to improve efficiencies wherever possible (e.g. using

improved University systems such as the new University travel tool and implementing shared IT services with the Carlson School of Management).

III. Law School Efforts to Reduce Expenses and Raise Funds

Recognizing the severity of its situation, the Law School has made genuine and significant efforts to reduce expenditures since financial problems arose, cutting 11% of its non-student aid budget. In total, the Law School has realized over \$6.2 million in recurring savings since 2014 (first budget year of the structural imbalance), with the vast majority of those savings coming from faculty and staff compensation. Specifically, as reported in the budget process, the Law School has taken the following actions to offset cost increases as well as reduce expenditures, including:

- Eliminated 13 full-time faculty lines (21% of FY13 faculty)
- Eliminated 16.5 staff FTE (14% of FY13 staff)⁴
- Cut tenured faculty salaries
- Limited faculty compensation growth (i.e., raises below university targets in FY15, FY16, and FY18)
- Made ongoing reductions in operating expenses (e.g. eliminated various events, supplies, programs, etc.)

Total Savings: \$6,258,296 as of July 2017

In addition, the Law School has sought to strategically expand its efforts to increase annual giving and endowment support – generating additional revenue streams that are critical to the Law School’s long term financial stability. Last year, the Law School had the third-highest annual fund giving in its history, and this year it is on track to at least meet last year’s total. The Law School is also part of the larger University campaign, Driven, and it has already successfully raised over 80% toward its \$80 million dollar goal – with half of those funds raised in the last two fiscal years (making the Law School one of the most successful fundraising units on campus by percentage toward campaign goal). Of the campaign goal, more than 60% would be used to cover operating costs, such as scholarships.

It is important to recognize that over half of the salary expenditures for the Advancement team are paid from funds other than the O&M allocation, which has allowed the Law School to gain philanthropic momentum without significantly increasing its O&M expenditures. Despite these important and helpful successes, the full impact of these efforts will only be felt over time; planned gifts will not come into fruition until the future, and contributions to endowment produce steady and secure recurring payouts over time.

Although the Law School has been successful in reducing expenditures and increasing gift revenues and has received a \$7.5 million recurring increase in its O&M allocation since FY14,

⁴ These numbers do not correspond exactly to the change in the Law School staff headcount, since the Law School restructured some positions to serve critical priorities and were able to fund other positions through private philanthropy.

which thereby decrease the structural imbalance, it cannot solve the structural imbalance on its own. An additional O&M allocation in the near term is necessary as a result of the permanent changes in the legal education market. That is because the predominant source of revenue for law schools is derived from its net tuition revenue after scholarship aid, and there are negative long-term financial consequences to purposefully attempting to increase that revenue in the short term by lowering admissions standards and student quality. The next section explains the complex relationship between law school quality, rankings, and finances.

IV. U.S. News Rankings and their effect on law schools

While most professional and graduate programs pay attention to rankings, institutional success is much more closely connected with the *U.S. News and World Report* law school rankings than it is for other programs. Take for example what *Forbes* advises prospective graduate students:

“[W]hen it comes to most educational rankings, don’t worry so much about whether you’re attending the #1, #10, or even #50 school: students end up doing just as well, and there are often more important details to keep in mind. Don’t worry that is, *unless you’re going to law school, where it can make all the difference in the world.*”⁵

This is because law school rankings are closely linked with future employment prospects; in a survey of 221 law firm representatives, 72% of respondents said that the rankings affected or changed their perception of law schools.⁶ In a national survey of prospective law students, school ranking had the largest impact in determining the school the student would ultimately choose: 41% rated it as most important, even in comparison to the second most important factor, scholarships (24%).⁷ In addition, alumni donors and supporters are also motivated by rankings.

The phenomenon has even been studied by several academic researchers, who have found that ranking “affects how many students apply to a school, how many of those applicants have exceptionally high LSAT scores, the percentage of applicants accepted, and the percentage of accepted students who matriculate.”⁸

The *U.S. News* law school rankings components fall into four categories: (1) quality assessment (40%), selectivity (25%), placement success (20%), and expenditures and faculty resources (15%) (see Fig. 10).

⁵ *Forbes*, “Why Law School Rankings Matter More Than Any Other Education Rankings.”

⁶ Source: National Association of Law Placement (NALP).

⁷ Source: Blueprint LSAT Preparation and Dean Paul Caron, Pepperdine School of Law (TaxProfBlog).

⁸ *Law and Society Review*, “Do Rankings Matter? The Effects of *U.S. News & World Report* Rankings on the Admissions Process of Law Schools.”

Figure 10. U.S. News Ranking Components by Category

Category	Component	Weight
Quality Assessment (40%)	Academic Peer Assessment	25%
	Lawyer / Judge Assessment	15%
Selectivity (25%)	Median LSAT	12.5%
	Median Undergraduate GPA	10%
	Acceptance Rate	2.5%
Placement Success (20%)	Employment at 10 months	14%
	Employment at Graduation	4%
	Bar Passage	2%
Expenditures & Faculty Resources (15%)	Expenditures Per Student on Instruction and Support	9.75%
	Expenditures Per Student on Financial Aid	1.5%
	Faculty-Student Ratio	3%
	Library Resources	.75%

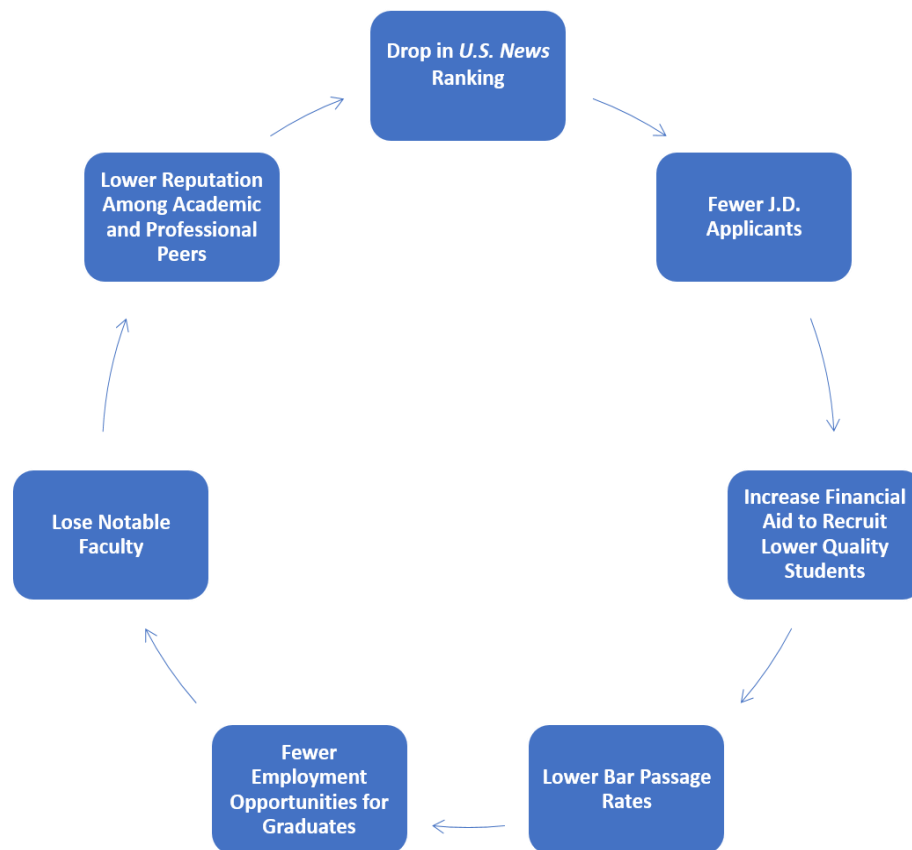
Although one can argue that these metrics should not necessarily define the quality of law schools, they are nevertheless the metrics that are used by the most dominant and prevalent ranking instrument. The Law School understands and shares many of the well-documented concerns and criticisms about the weaknesses, questionable legitimacy, false precision, and distortive influence of the rankings. To be clear, the Law School manages its operations, sets its policies, and runs its programs to benefit our students, not to achieve a rankings result. In fact, the Law School does not advertise or promote *U.S. News* (or similar rankings) in publications, because it does not endorse the notion that the complexities of American higher education can be reduced to one number. At the same time, the Law School is still keenly aware of the impact of the rankings and must be mindful of their effects on its ability to achieve its mission and to support its financial model.

An understanding of the components helps to explain the profound impact that student qualifications and scholarship aid have on rankings and the financial position of law schools. More specifically, for a school like the University of Minnesota Law School with its existing reputation, set of employment relationships, and a financial model based on its reputation and status, lowering student quality in the hopes of increasing net tuition would have detrimental effects, as it would decrease selectivity, placement success, and reputation. Each of these components alone could cause a decrease in rankings, but cumulatively they have a much greater effect.

There would also be a more dramatic – and perhaps unintuitive – consequence if a law school would attempt to raise tuition revenue by recruiting a larger number of less qualified students. In the short term, a school may be able to increase net tuition revenue in a given year by admitting less qualified students and offering less aid. But such gains would be short-lived. Once a law school reports its lower admissions metrics the following fall, the spring *U.S. News* rankings will show a lower rank reflecting the lower median LSAT, UGPA, and selectivity. The market will then adjust. Students will be less willing to pay a premium to attend a lower

ranked school, and the school will need to offer additional aid simply to maintain lower admission metrics amidst competition from other lower-ranked schools. This challenge was documented to the Board of Regents in the Finance Committee's February 2016 agenda item, Long-Range Financial Planning for Law School (see https://regents.umn.edu/sites/regents.umn.edu/files/fin_-_feb_2016.pdf). Figure 11 illustrates the self-reinforcing spiral of lower rankings:

Figure 11. Consequences of a Significant Drop in U.S. News Ranking



Each of the consequences of a drop in the *U.S. News* rankings is itself a component of the ranking instrument, meaning that they could cause a further drop in the rankings. Indeed, this downward spiral is not theoretical: it has actually been experienced by some law schools that have had meaningful and successive drops in the rankings over a period of years.

When a respected school drops in the *U.S. News* rankings, there will be fewer J.D. applicants in future years, as well as less interest among those who have already applied in a given year. Even more surprisingly, however, a law school will likely need to increase its scholarship aid even to successfully recruit the lower-quality applicants in its pool. This is because students are willing to pay less for a school in a lower ranking band, and thus a previously higher-ranked

school (that often has set a higher tuition rate) will need to discount tuition more (i.e., grant more scholarships) to be competitive in its new market. Figure 12 shows the financial impact two years after two public law schools had a precipitous drop out of the Top 25 U.S. News rankings:

Figure 12. Financial Consequences Two Years Following Drop from the U.S. News Top 25

Public Law School A	Public Law School B
J.D. Enrollment: -13%	J.D. Enrollment: -24%
Scholarship Rate: +28%	Scholarship Rate: +50%
Net Revenue Per Student: -\$7K	Net Revenue Per Student: -\$10K

Part of the reason for the increase in scholarship expenditures is because of the drop in ranking itself, as there is a perception among prospective students that the school will not provide them the same opportunities for professional success as it once did. But another part is due to the fact that there is an inverse relationship between school ranking and the price that individuals are willing to pay to attend. Students are willing to pay significantly less to attend a law school ranked out of the Top 25 than they are a school ranked in the Top 25 (and even less for a school outside of the Top 40 and so on), and they are willing to pay the most for a school ranked in the Top 14. Figure 13 provides the amount the average student pays to attend law schools located in the Midwest, grouped by *U.S. News* ranking cohort:

Figure 13. Average Tuition and Fees after Scholarship Aid for Midwest Schools

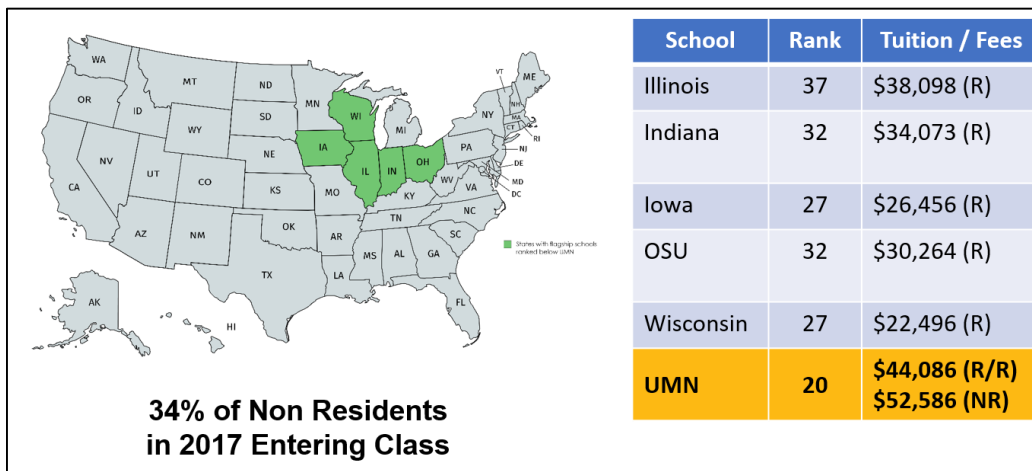
Midwest Law Schools	Average Tuition and Fees after Scholarship
Ranked in the Top 14	\$32,084
Ranked 15-25	\$23,543
Minnesota (#20)	\$23,326
Ranked 26-40	\$14,908

The admissions market is especially competitive for flagship state law schools in the Midwest. The Law School currently benefits from being higher ranked than the schools from 5 neighboring states, from which it regularly attracts over 1/3 of its student population. These students choose Minnesota, despite our considerably higher non-resident tuition, *because of* the Law School's reputation for excellence that is associated with its higher ranking. Indeed, the Law School's tuition rate has been set according to its place as an elite law school. This critical advantage would certainly be lost were Minnesota to no longer offer a perceived competitive value in quality and rank, and thus the Law School would either lose these students entirely (along with the tuition they would have paid) or need to award additional scholarship aid to students from those states—in some cases, over \$20,000 per student—to remain competitive.

Figure 14 shows the disparity between the tuition rates for residents of those neighboring states and the tuition rates at the Law School. Deviation from our current strategy would

substantially impair our ability to recruit from the region and undermine our already challenged financial model.

Figure 14. Tuition Comparison for Neighboring State Law Schools that Compete with UMN



In summary, an elite law school that lowers its admission standards does not thereby create or enhance financial stability. Instead, the school will face increased financial challenges with the added burden of a lower rank (undermining premium tuition rates) that will require awarding more scholarship aid to attract students. A strategy of maximizing short-term revenue ultimately will come at the expense of achieving long-term financial stability.

V. Law School Position and Path Forward

Despite the recent challenges, the Law School's national reputation and standing is as strong as it has ever been, and its recent successes have created positive momentum. In addition to enrolling its largest incoming J.D. class in four years while maintaining incoming credentials, the Law School has seen a 10% increase in applications in the current admissions cycle. The Law School's Career Center has also had tremendous success in ensuring that graduates secure employment, with over 90% of the 2017 graduating class having permanent, full-time employment in J.D. required or J.D. advantage jobs or continuing other graduate studies within 10 months of graduation (a 14% improvement over the last two years). The Law School was ranked #20 in the most recent *U.S. News* rankings, 3 places higher than last year. This is the 16th time in the last 20 years that the Law School was ranked #20 or higher in the rankings. Our brand identification and financial model has been built around our excellence, and it is intimately connected with our success.

On October 3, 2017, Provost Karen Hanson created a Joint Financial Oversight Committee with members from University Budget and Finance, the Office of the Provost, and the Law School. The Committee was charged with thoroughly examining the Law School's financial position and devising short- and long-term plans to address the structural budget imbalance. The

Committee has worked collaboratively toward that goal since its inception, and the strategies presented in this document were evaluated by the Committee members.

Given the state of the national law school admissions market, the Law School's current position relative to other law schools, and its current financial situation, three potential strategies to decrease the structural imbalance and ensure long-term financial stability were developed: (1) maintaining quality and reputation, (2) lowering standards in an attempt to maximize gross tuition revenue; and (3) investing in improving reputation and ranking. Each of these strategies would have different short-term and long-term effects on the Law School's financial position, which will be detailed below.

A. Strategy 1: Maintain Quality and Reputation

The first strategy is to continue to pursue efforts that maintain the outstanding quality and reputation of the Law School. Throughout its history, the Law School has been regarded by academic peers and employers as providing a world-class legal education; accordingly, the Law School's tuition is presently set according to its reputation and rank as an elite public law school. Likewise, high-performing students consider Minnesota among their top choices when applying to law school.

Building upon its recent success in recruiting its largest incoming class in four years (196), the Law School will be able to set a target of 205 for its future incoming classes while maintaining incoming student credentials. Once this plan is fully implemented, the Law School will have approximately 615 students in its three J.D. classes, nearly 60 more than the lowest level in recent years. With these additional students, the Law School will once again have an optimized faculty-student ratio. It will also see an increase in net tuition revenue as the smaller graduating classes are replaced by larger incoming classes – totaling \$1.76M in additional recurring net tuition revenue over the next five years.

Implementing this strategy will have additional positive secondary effects. First, the Law School will be able to maintain its *U.S. News* ranking, thereby allowing it to continue to successfully recruit qualified students at current tuition levels. We anticipate that this strategy could support modest 1-2% increases in tuition rate in future years. Second, it will allow the Law School to maintain stable enrollment in its other non J.D. programs (LL.M. and Patent Law), which represent a significantly smaller amount of revenue but still are necessary from a financial and reputational perspective. Third, this strategy will allow the Law School to continue its record-setting philanthropic momentum, which is essential to ensure its long-term financial stability. It should be noted that alumni donors have reacted favorably to efforts to maintain student quality and reputation.

As part of implementing this strategy, the Law School would continue efforts to reduce expenses and annually reallocate a portion of its operating expenses pursuant to the University budget compact process. There are also four faculty members currently on phased retirement (in addition to the 13 who have already left) in areas of critical need, one of whom would not be replaced and the others replaced with less senior faculty receiving lower compensation.

There are three options for implementing the first strategy that vary in their timing (see Fig. 15): solving the imbalance quickly would require \$4.3M additional O&M in FY19 but would negate the need for year-end transfers in FY19 and future years, whereas the second and third options would solve the imbalance over three and four years, respectively. The latter options, however, also would require a year-end transfer for FY19 but no year-end transfer in future years. By FY23, the Law School is projected to be in structural balance and to have reserves (as suggested by the University’s budget office) that are sufficient to cover typical fluctuations in tuition revenue (5% of net tuition revenue).

Figure 15. Options for Implementing First Strategy

Option 1: Solve Imbalance Quickly	Option 2: Solve Imbalance Over Three Years	Option 3: Solve Imbalance Over Four Years
\$4.3M additional O&M in FY19 and \$400K in FY20	\$1.7M additional O&M in FY19, \$1.5M in FY20, and \$1.3M in FY21	\$1.375 additional O&M in FY19-FY22
No year-end transfer in FY19 or beyond	Cover year-end transfer in FY19 (\$1.9M)	Cover year-end transfer in FY19 (\$2.2M)

If this strategy is adopted, the Law School’s O&M allocation would still be comparable to support for other University units. Furthermore, even with the additional O&M, the Law School would still receive less state support than most public law schools in the *U.S. News* Top 30 (moving from 4th smallest to tied for 5th smallest) (see Fig. 7 for reference).

B. Strategy 2: Lower Standards to Maximize Short Term Gross Tuition Revenue

A second strategy would be to enroll a larger class of students by lowering entering credentials and weakening the quality of the academic program. If the University were to withdraw the supplemental support it has provided to the Law School, the Law School theoretically could enroll up to 225-230 students in its incoming class – providing a modest short-term gross tuition increase, but with substantial short-term and long-term costs. At the outset there would be cost increases for additional full-time faculty to teach courses in the required curriculum as well as for additional staff to meet the Law School’s obligations to provide adequate career services assistance. Nevertheless, in the initial period of adopting this strategy, the Law School would be able to lower the scholarship aid offered to incoming students because those with lower credentials would pay more to attend our Law School given its current quality and reputation.

But after the Law School enrolls a first-year class having lower credentials, there would be a negative impact on its *U.S. News* ranking. That drop in the ranking, in turn, would put the Law School in a position in which it must offer substantial discounts to enroll future classes of students; as the case studies in the prior section demonstrated, the scholarship expenditures

required to compete with our new peers would increase by 28%-50%, resulting in lower net tuition revenue even with a larger class size (see Fig. 12). In fact, the Law School would likely be in an even more vulnerable position than those described in the case studies because it has a large out-of-state population and is situated in a competitive market with a number of neighboring law schools ranked between #26 and #40 (see Fig. 14).

Figure 16 depicts the financial effects of adopting this strategy:

Figure 16. Financial Impact of Adopting Second Strategy

Years 1-2	Years 3-4	Years 5 and beyond
Gross Tuition Revenue: ↑ \$2.9M Student Aid: ↑ \$1.2M Net Tuition Revenue: ↑ \$1.7M	Gross Tuition Revenue: ↑ \$1.6M Student Aid: ↑ \$3.7M Net Tuition Revenue: ↓ \$2.1 M	Gross Tuition Revenue: \$0M Student Aid: ↑ \$1.1M Net Tuition Revenue: ↓ \$1.1 M
Structural Imbalance: \$2.8M	Structural Imbalance: \$5.1M	Structural Imbalance: \$6.2M+

By FY23, the structural imbalance would increase to \$6.2M (well beyond where it sits today), and it would likely increase in subsequent years due to other adverse effects of lowering admissions standards. The Law School would be unable to support further tuition increases, as it is currently priced as an elite law school (i.e., consistent with those in the top 15-20). Furthermore, the Law School would see an increase in students wishing to transfer out after completing their first year of studies, especially as the Law School's ranking continues to decline. The Law School would also experience difficulties in recruiting for its non-JD programs; class sizes would likely decrease and the scholarship expenses would increase. Moreover, philanthropic support would also be jeopardized, as preparation for the Driven campaign revealed that the willingness of our prospective donors to give is closely tied to our reputation as an elite public law school.

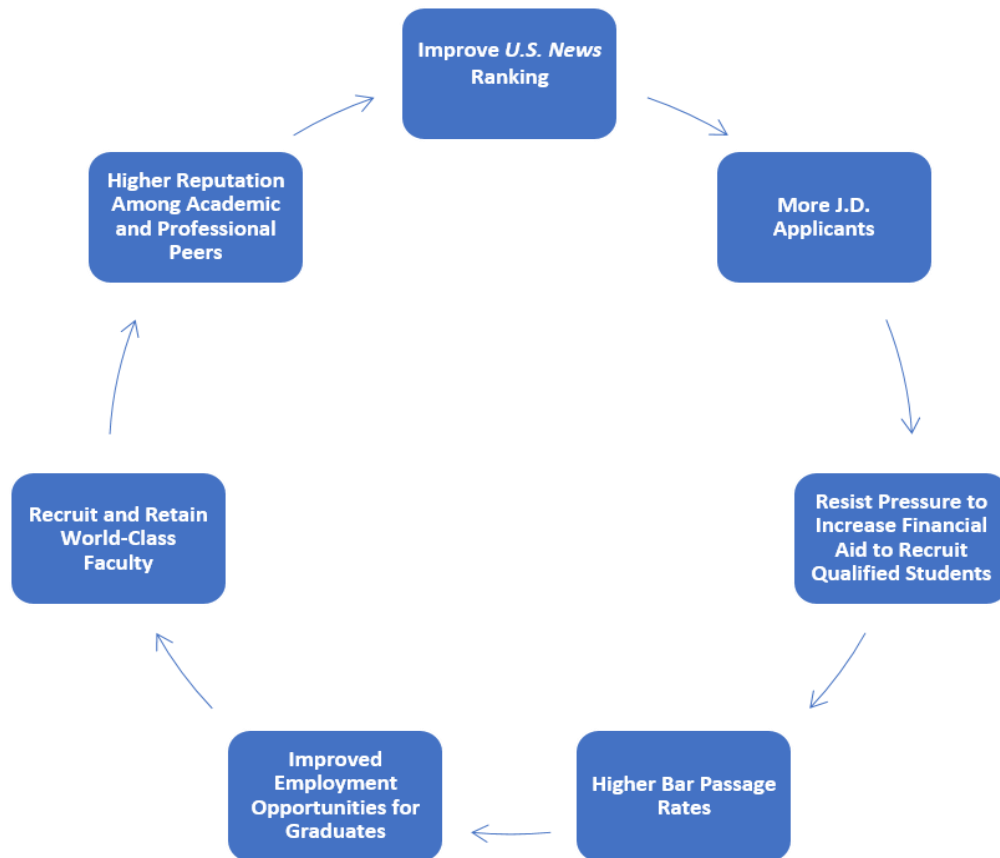
It is impossible to know exactly how far the Law School's ranking would fall were this strategy to be adopted, because the effects of adopting this strategy (e.g. less qualified students, lower reputation among academic peers and legal professionals) would further fuel subsequent drops in the rankings. In short, this approach could have devastating impacts on the Law School, its students, and our long-term financial position, so it does not appear to be a viable option.

C. Strategy 3: Invest in Improving Reputation and Ranking

As discussed in Section IV, a law school's *U.S. News* ranking impacts its ability to recruit qualified students and the amount of tuition it is able to charge. Accordingly, the Law School could strategically invest in improving its reputation so that it could solidify its standing and experience the benefits of having a higher ranking. Figure 17 details those benefits, which are

the inverse of the downward spiral described earlier:

Figure 17. Consequences of a Significant Increase in *U.S. News* Ranking



If the Law School adopted this strategy, it would actively recruit students having higher LSAT scores and GPAs than those that are in the current applicant pool; these students receive competitive offers from many other law schools as well, so the Law School would need to increase its scholarship expenditures so that it could entice students to enroll. There are also fewer of these students in the national pool, which means that the Law School would not be able to increase its incoming class size beyond 200 students. Simultaneously, the Law School would need to hire three additional faculty members to enhance its scholarly profile and decrease its faculty-student ratio.

Once this strategy is fully implemented, the Law School's reputation and rank may have a modest increase. This increase in turn could theoretically support additional tuition increases, increase the number of incoming transfer students, decrease the number of outgoing transfer students, and produce opportunities for additional recurring non-JD revenue.

Figure 18 shows the financial impact of adopting this strategy:

Figure 18. Financial Impact of Adopting Third Strategy

Years 1-2	Years 3-4	Years 5 and beyond
Gross Tuition Revenue: ↑ \$1.8M Student Aid: ↑ \$2.0M Net Tuition Revenue: ↓ \$.2M	Gross Tuition Revenue: ↑ \$2.1M Student Aid: ↑ \$2.9M Net Tuition Revenue: ↓ \$0.9 M	Gross Tuition Revenue: ↑ \$0.6M Student Aid: ↑ \$0.3M Net Tuition Revenue: ↑ \$0.4 M
Structural Imbalance: \$5.2M	Structural Imbalance: \$6.6M	Structural Imbalance: \$6.5M

Financial projections determined that if the Law School were to adopt this strategy, it would need a \$6.4M increase in O&M appropriation in FY19, along with a \$400,000 increase in O&M appropriation in FY20. There would be no year-end transfers for FY19 or beyond, and the structural imbalance would be eliminated.

VI. Strategy Comparison and Recommendation

Comparing the three strategies outlined above, we believe that the first leads to financial stability while simultaneously maintaining the quality of the Law School and effectively allowing it to carry out its mission.

In contrast, the strategy of lowering admissions standards would only lead to producing weaker graduates at higher costs, and it would lead to the largest decrease in net revenue over the next five fiscal years. The third strategy is also not recommended as the potential incremental reward does not justify the additional investment required at this time.

Figure 19. Five Year Financial Impact of Adopting Each Strategy

Strategy	Net Tuition Revenue Change by FY2023
1: Maintain Quality and Reputation	\$1.8M increase
2: Lower Standards to Increase Gross Revenue	\$1.5M decrease
3: Invest in Improving Reputation and Ranking	\$0.7M decrease

The University of Minnesota prides itself as being among the most prestigious public research universities in the nation. The Law School's national reputation enhances the University's position and prominence, and it should be steadfastly preserved. The Law School has had a number of successes in the last year, including:

- Increasing the size of its first-year class by 20 students
- Decreasing the scholarship rate for the first-year class by 10.4%
- Increasing the yield on admitted students by 15.3%

- Increasing the bar passage rate for first-time Minnesota examinees by 5.6%
- Increasing the permanent, full-time employment of graduates at 10 months by 14%
- Raising over 80% of its \$80M Driven campaign goal (with half of that amount raised in the last two fiscal years), with more than three years remaining

On the other hand, members of our internationally renowned faculty have been recruited by peer schools seeking to enhance their own reputation. While we have been able to persuade some faculty to stay, we have regrettably lost others and are at risk of additional departures if we do not solve our structural imbalance.

We recognize that the University's resources are limited, and thus any decision to increase the O&M allocation to a unit must be carefully considered. In this instance, the first strategy adjusts for the new market realities in legal education while providing a path for future success for the Law School—one in which we can thrive and begin contributing financially to the University in a positive way as we did before the market shift.

Throughout our 125 year history, the Law School has been a point of pride for the University of Minnesota and the state. It has attracted generations of talent to Minnesota and sent its graduates to all corners of the state, region, nation, and world. Our faculty and alumni are pioneering leaders addressing grand challenges in the public, private, and nonprofit sectors. The Law School regularly receives accolades from accrediting agencies and media outlets, its faculty win prestigious national and international awards and are chosen to serve in key positions in which they shape policy and decision making, and its innovative programs and world-class library are recognized as industry models. These last four years have been ones of financial hardship, but the Law School and University have partnered together to successfully make significant strides towards financial stability. With an additional O&M allocation and continued further internal reallocation, the current planning model will return the Law School to financial stability within three years while continuing to fulfill its critical mission.

Attachment 6:
University of Minnesota 2018-19 Tuition Plan: Tuition Rates

	C	D	C	D	E	F
	2017-2018		2018-2019		2018-2019	
	Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
					% Increase	% Increase
Rates listed as Year 2 (or beyond) show the percentage change for an individual student by comparing the FY18 rate the student is currently charged to the proposed FY19 rate.						
Twin Cities						
Undergraduate						
Per Credit	\$492.31	\$961.00	\$502.25	\$1,105.25	2.0%	15.0%
13 Credits or more	\$6,400.00	\$12,493.00	\$6,529.00	\$14,368.00	2.0%	15.0%
Tuition Limit Assurance Rate Per Credit (Fall 2017 start)		\$961.00		\$1,014.00		5.5%
Tuition Limit Assurance Rate 13 Credits or more (Fall 2017 start)		\$12,493.00		\$13,182.00		5.5%
Tuition Limit Assurance Rate Per Credit (Fall 2016 or earlier start)		\$884.39		\$933.00		5.5%
Tuition Limit Assurance Rate 13 Credits or more (Fall 2016 or earlier start)		\$11,497.00		\$12,129.00		5.5%
Carlson School of Management tuition surcharge						
(paid in addition to rates above; Fall, Spring & Summer; approved June, 2012)						
Per Credit (1-8 credits)	\$100.00	\$100.00	\$100.00	\$100.00	0.0%	0.0%
9 Credits or more (full-time)	\$1,000.00	\$1,000.00	\$1,000.00	\$1,000.00	0.0%	0.0%
Graduate School General Programs						
Per Credit	\$1,394.00	\$2,157.00	\$1,422.00	\$2,201.00	2.0%	2.0%
6-14 Credits	\$8,364.00	\$12,942.00	\$8,532.00	\$13,206.00	2.0%	2.0%
Each Credit over 14	\$1,394.00	\$2,157.00	\$1,422.00	\$2,201.00	2.0%	2.0%
College specific post-baccalaureate programs						
College of Continuing and Professional Studies						
Departmental Master						
Per Credit	\$805.00	\$1,207.00	\$900.00	\$900.00	11.8%	-25.4%
8-12 Credits	\$6,440.00	\$9,656.00	\$7,200.00	\$7,200.00	11.8%	-25.4%
Each Credit over 12	\$805.00	\$1,207.00	\$900.00	\$900.00	11.8%	-25.4%
Addiction Studies Certificate						
Per Credit	\$805.00	\$805.00	\$822.00	\$822.00	2.1%	2.1%
12 Credits or more	\$9,660.00	\$9,660.00	\$9,864.00	\$9,864.00	2.1%	2.1%
Human Sexuality Certificate (New)						
Per Credit			\$900.00	\$900.00	New	New
Master of Professional Studies in Horticulture						
Per Credit	\$805.00	\$1,207.00	\$822.00	\$822.00	2.1%	-31.9%
8-12 Credits	\$6,440.00	\$9,656.00	\$6,576.00	\$6,576.00	2.1%	-31.9%
Each Credit over 12	\$805.00	\$1,207.00	\$822.00	\$822.00	2.1%	-31.9%
Master of Professional Studies in Addictions Counseling						
Per Credit	\$805.00	\$805.00	\$822.00	\$822.00	2.1%	2.1%
8-12 Credits	\$6,440.00	\$6,440.00	\$6,576.00	\$6,576.00	2.1%	2.1%
Each Credit over 12	\$805.00	\$805.00	\$822.00	\$822.00	2.1%	2.1%
Master of Professional Studies in Arts and Cultural Leadership						
Per Credit	\$995.00	\$995.00	\$995.00	\$995.00	0.0%	0.0%
8-12 Credits (FY18: 7-12 credits)	\$6,965.00	\$6,965.00	\$7,960.00	\$7,960.00	14.3%	14.3%
Each Credit Over 12	\$995.00	\$995.00	\$995.00	\$995.00	0.0%	0.0%
Master of Professional Studies in Integrated Behavioral Health						
Per Credit	\$805.00	\$805.00	\$822.00	\$822.00	2.1%	2.1%
8-12 Credits	\$6,440.00	\$6,440.00	\$6,576.00	\$6,576.00	2.1%	2.1%
Each Credit over 12	\$805.00	\$805.00	\$822.00	\$822.00	2.1%	2.1%
Master of Biological Sciences						
Per Credit	\$1,477.00	\$1,477.00	\$1,477.00	\$1,477.00	0.0%	0.0%
8-12 Credits	\$11,816.00	\$11,816.00	\$11,816.00	\$11,816.00	0.0%	0.0%
Each Credit over 12	\$1,477.00	\$1,477.00	\$1,477.00	\$1,477.00	0.0%	0.0%
Master of Liberal Studies						
Per Credit	\$1,168.00	\$1,168.00	\$1,168.00	\$1,168.00	0.0%	0.0%
6-14 Credits	\$7,008.00	\$7,008.00	\$7,008.00	\$7,008.00	0.0%	0.0%
Each Credit Over 14	\$1,168.00	\$1,168.00	\$1,168.00	\$1,168.00	0.0%	0.0%

Attachment 6:
University of Minnesota 2018-19 Tuition Plan: Tuition Rates

	C	D	C	D	E	F
	2017-2018		2018-2019		2018-2019	
	Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
College of Education and Human Development						
Departmental Masters and Post-baccalaureate Certificates						
Per Credit	\$697.00	\$1,078.00	\$711.00	\$1,100.00	2.0%	2.0%
12 - 24 Credits	\$8,364.00	\$12,936.00	\$8,532.00	\$13,200.00	2.0%	2.0%
Each Credit over 24	\$697.00	\$1,078.00	\$711.00	\$1,100.00	2.0%	2.0%
College of Liberal Arts						
Master of Geographical Information System						
Per Credit	\$1,452.00	\$2,250.00	\$1,481.00	\$2,295.00	2.0%	2.0%
6-14 Credits	\$8,712.00	\$13,500.00	\$8,886.00	\$13,770.00	2.0%	2.0%
Each Credit over 14	\$1,452.00	\$2,250.00	\$1,481.00	\$2,295.00	2.0%	2.0%
Doctorate in Audiology						
Per Credit	\$1,521.00	\$2,329.00	\$1,551.00	\$2,376.00	2.0%	2.0%
6-14 Credits	\$9,126.00	\$13,974.00	\$9,306.00	\$14,256.00	2.0%	2.0%
Each Credit over 14	\$1,521.00	\$2,329.00	\$1,551.00	\$2,376.00	2.0%	2.0%
Masters in Speech-Language Pathology						
Per Credit	\$1,521.00	\$2,329.00	\$1,551.00	\$2,376.00	2.0%	2.0%
6-14 Credits	\$9,126.00	\$13,974.00	\$9,306.00	\$14,256.00	2.0%	2.0%
Each Credit over 14	\$1,521.00	\$2,329.00	\$1,551.00	\$2,376.00	2.0%	2.0%
Post-Baccalaureate Certificate in Technical Communication						
Per Credit	\$739.00	\$1,131.00	\$754.00	\$1,154.00	2.0%	2.0%
Post-Baccalaureate Certificate of Teacher Licensure in Music Education						
Per Credit	\$697.00	\$1,078.00	\$711.00	\$1,100.00	2.0%	2.0%
12 - 24 Credits	\$8,364.00	\$12,936.00	\$8,532.00	\$13,200.00	2.0%	2.0%
Each Credit over 24	\$697.00	\$1,078.00	\$711.00	\$1,100.00	2.0%	2.0%
Post-Baccalaureate Certificate in French Studies (New)						
Per Credit			\$711.00	\$1,100.00	new	new
12 - 24 Credits			\$8,532.00	\$13,200.00	new	new
Each Credit over 24			\$711.00	\$1,100.00	new	new
Humphrey School of Public Affairs						
Master of Public Policy (MPP)						
Master of Urban and Regional Planning (MURP)						
Master of Science in Science, Technology and Environmental Policy (MS-STEP)						
Master of Human Rights (MHR)						
Per Credit	\$1,588.00	\$2,315.00	\$1,620.00	\$2,362.00	2.0%	2.0%
6-15 Credits	\$9,528.00	\$13,890.00	\$9,720.00	\$14,172.00	2.0%	2.0%
Each Credit over 15	\$1,588.00	\$2,315.00	\$1,620.00	\$2,362.00	2.0%	2.0%
Master of Development Practice						
Per Credit	\$1,588.00	\$2,315.00	\$1,620.00	\$2,362.00	2.0%	2.0%
6-16 Credits	\$9,528.00	\$13,890.00	\$9,720.00	\$14,172.00	2.0%	2.0%
Each Credit over 16	\$1,588.00	\$2,315.00	\$1,620.00	\$2,362.00	2.0%	2.0%
Master of Public Affairs						
Executive Leadership Post-Bacalaureate Certificate						
Per Credit	\$1,341.00	\$2,104.00	\$1,368.00	\$2,147.00	2.0%	2.0%
Certificate Programs						
Public Affairs Leadership						
Policy Issues on Work and Pay						
Early Childhood Policy						
Human Services Leadership						
Per Credit	\$1,341.00	\$2,104.00	\$1,368.00	\$2,147.00	2.0%	2.0%
Nonprofit Management						
Per Credit	\$1,132.00	\$1,634.00	\$1,155.00	\$1,667.00	2.0%	2.0%
10-15 Credits	\$11,320.00	\$16,340.00	\$11,550.00	\$16,670.00	2.0%	2.0%
Each Credit over 15	\$1,132.00	\$1,634.00	\$1,155.00	\$1,667.00	2.0%	2.0%
Election Administration Certificate						
Per Credit	\$927.00	\$1,133.00	\$946.00	\$1,156.00	2.0%	2.0%

Attachment 6:
University of Minnesota 2018-19 Tuition Plan: Tuition Rates

	C	D	C	D	E	F
	2017-2018		2018-2019		2018-2019	
	Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
Carlson School of Management						
Master of Art in Human Resources and Industrial Relations (MAHRIR) - Day Program						
Per Credit	\$1,003.00	\$1,664.00	\$1,023.00	\$1,698.00	2.0%	2.0%
10-15 Credits	\$10,030.00	\$16,640.00	\$10,230.00	\$16,980.00	2.0%	2.0%
Each Credit over 15	\$1,003.00	\$1,664.00	\$1,023.00	\$1,698.00	2.0%	2.0%
Master of Art in Human Resources and Industrial Relations (MAHRIR) - Evening Program						
Per Credit	\$1,015.00	\$1,411.00	\$1,035.00	\$1,440.00	2.0%	2.1%
MBA Day Program - Entering (1st year) Students (guaranteed two-year rates)						
Per Credit	\$1,592.00	\$2,039.00	\$1,625.00	\$2,081.00	2.1%	2.1%
12-20 Credits	\$19,104.00	\$24,468.00	\$19,500.00	\$24,972.00	2.1%	2.1%
Each Credit over 20	\$1,592.00	\$2,039.00	\$1,625.00	\$2,081.00	2.1%	2.1%
MBA Day Program - Continuing Students (2nd year and beyond)						
Per Credit	\$1,592.00	\$2,039.00	\$1,592.00	\$2,039.00	0.0%	0.0%
12-18 Credits (different from first year at 12-20 credits)	\$19,104.00	\$24,468.00	\$19,104.00	\$24,468.00	0.0%	0.0%
Each Credit over 18 (different from first year at over 20)	\$1,592.00	\$2,039.00	\$1,592.00	\$2,039.00	0.0%	0.0%
MBA Evening Program						
Per Credit 1-11	\$1,375.00	\$1,375.00	\$1,400.00	\$1,400.00	1.8%	1.8%
Each Credit over 11	\$1,375.00	\$1,963.00	\$1,400.00	\$2,000.00	1.8%	1.9%
Master of Business Taxation (MBT) Program						
Per Credit 1-11	\$1,295.00	\$1,295.00	\$1,320.00	\$1,320.00	1.9%	1.9%
Each Credit over 11	\$1,295.00	\$1,860.00	\$1,320.00	\$1,897.00	1.9%	2.0%
Master of Accounting						
Per Credit	\$1,034.00	\$1,706.00	\$1,055.00	\$1,740.00	2.0%	2.0%
10-18 Credits	\$10,340.00	\$17,060.00	\$10,550.00	\$17,400.00	2.0%	2.0%
Each Credit over 18	\$1,034.50	\$1,706.00	\$1,055.00	\$1,740.00	2.0%	2.0%
Master of Science in Business Analytics						
(Program runs on a Summer-Fall-Spring academic year)						
Per Credit (Summer 2018-Spring 2019; approved 12/2017)	\$940.00	\$1,340.00	\$960.00	\$1,370.00	2.1%	2.2%
MS in Business Analytics Part-Time Program						
(Program runs on a Summer-Fall-Spring academic year; rates approved in December)						
Per Credit (Summer 2018-Spring 2019; approved 12/2017)	\$1,230.00	\$1,597.00	\$1,255.00	\$1,630.00	2.0%	2.1%
Master of Science in Finance						
(Program runs on a Summer-Fall-Spring academic year)						
Per Credit (Summer 2018-Spring 2019; approved 12/2017)	\$940.00	\$1,340.00	\$960.00	\$1,370.00	2.1%	2.2%
Master of Science in Supply Chain						
Per Credit	\$1,362.00	\$1,362.00	\$1,425.00	\$1,425.00	4.6%	4.6%
Executive MBA						
Entering Students (guaranteed 2 year rates)	\$29,484.00	\$29,484.00	\$30,000.00	\$30,000.00	1.8%	1.8%
Continuing Students (guaranteed 2nd year rate)	\$29,484.00	\$29,484.00	\$29,484.00	\$29,484.00	0.0%	0.0%
Online MBA (New)						
Per Credit			\$1,535.00	\$1,535.00	New	New
College of Food, Agriculture & Natural Resource Sciences						
Master of Science in Agrucultural Education (previously in CEHD)						
Per Credit	\$697.00	\$1,078.00	\$750.00	\$1,100.00	7.6%	2.0%
12-24 Credits	\$8,364.00	\$12,936.00	\$9,000.00	\$13,200.00	7.6%	2.0%
Each Credit over 24	\$697.00	\$1,078.00	\$750.00	\$1,100.00	7.6%	2.0%

Attachment 6:
University of Minnesota 2018-19 Tuition Plan: Tuition Rates

	C	D	C	D	E	F
	2017-2018		2018-2019		2018-2019	
	Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
College of Design						
Masters of Architecture						
Per Credit	\$1,074.00	\$1,074.00	\$1,096.00	\$1,096.00	2.0%	2.0%
12-17 Credits	\$12,888.00	\$12,888.00	\$13,152.00	\$13,152.00	2.0%	2.0%
Each Credit over 17	\$1,074.00	\$1,074.00	\$1,096.00	\$1,096.00	2.0%	2.0%
Masters of Landscape Architecture						
Per Credit	\$1,074.00	\$1,074.00	\$1,074.00	\$1,096.00	0.0%	2.0%
12-17 Credits	\$12,888.00	\$12,888.00	\$12,888.00	\$13,152.00	0.0%	2.0%
Each Credit over 17	\$1,074.00	\$1,074.00	\$1,074.00	\$1,096.00	0.0%	2.0%
Housing Studies Certificate						
Per Credit	\$918.00	\$918.00	\$936.00	\$936.00	2.0%	2.0%
College of Science and Engineering						
Master of Financial Mathematics & Fund of Quant Finance Certificate						
Per Credit - Year 1	\$974.00	\$1,220.00	\$974.00	\$1,220.00	0.0%	0.0%
Per Credit - Year 2	\$974.00	\$1,220.00	\$974.00	\$1,220.00	0.0%	0.0%
Master of Science in Software Engineering (comprehensive rate)						
Year 1	\$10,400.00	\$10,400.00	\$10,600.00	\$10,600.00	1.9%	1.9%
Year 2	\$10,400.00	\$10,400.00	\$10,400.00	\$10,400.00	0.0%	0.0%
Master of Science in Management of Technology						
Per Credit - Year 1	\$1,900.00	\$1,900.00	\$2,000.00	\$2,000.00	5.3%	5.3%
Per Credit - Year 2	\$1,900.00	\$1,900.00	\$1,900.00	\$1,900.00	0.0%	0.0%
Master of Science in Security Technologies						
(Program runs on a Summer-Fall-Spring academic year. Rates are approved in December during off-cycle tuition rate process.)						
Per Credit - Students entering program Summer 2018	\$1,250.00	\$1,250.00	\$1,250.00	\$1,250.00	0.0%	0.0%
Master of Science in Medical Device Innovation						
(Program runs on a Summer-Fall-Spring academic year. Rates are approved in December during off-cycle tuition rate process.)						
Per Credit - Students entering program Summer 2018	\$1,250.00	\$1,250.00	\$1,250.00	\$1,250.00	0.0%	0.0%
Law School						
1 L, 2 L, and 3 L						
Per Credit	\$1,750.00	\$2,105.00	\$1,785.00	\$2,147.00	2.0%	2.0%
12 Credits or more (Term)	\$21,000.00	\$25,260.00	\$21,420.00	\$25,764.00	2.0%	2.0%
Summer - 1 L, 2 L, and 3 L						
Per Credit	\$1,750.00	\$2,105.00	\$1,785.00	\$2,147.00	2.0%	2.0%
LLM						
Per semester		\$26,040.00		\$26,556.00		2.0%
Program Completion/special circumstances (Per Credit)		\$2,170.00		\$2,213.00		2.0%
Master of Science in Patent Law						
Per Credit	\$1,500.00	\$1,804.00	\$1,530.00	\$1,840.00	2.0%	2.0%
14 Credits or more (Term)	\$21,000.00	\$25,256.00	\$21,420.00	\$25,760.00	2.0%	2.0%
Medical School (TC & UMD)						
(All per term, including summer)						
1st year students (Fall 2018 start)	\$12,624.00	\$17,599.00	\$12,876.00	\$18,479.00	2.0%	5.0%
2nd year students (Fall 2017 start)	\$12,624.00	\$17,599.00	\$12,624.00	\$17,599.00	0.0%	0.0%
3rd year students (Fall 2016 start)	\$12,624.00	\$17,599.00	\$12,624.00	\$17,599.00	0.0%	0.0%
4th year students (Fall 2015 start)	\$12,624.00	\$17,170.00	\$12,624.00	\$17,170.00	0.0%	0.0%
5th year students (Fall 2014 start)	\$12,624.00	\$16,589.00	\$12,624.00	\$16,589.00	0.0%	0.0%
6th year students (Fall 2013 start)	\$12,624.00	\$16,589.00	\$12,624.00	\$16,589.00	0.0%	0.0%
7th year students (Fall 2012 start)	\$12,376.00	\$16,263.00	\$12,376.00	\$16,263.00	0.0%	0.0%
Medical School students pay their first year rate for the entirety of their degree program.						
Medical School Pre-admit (99PRD)						
Same as Grad School rats						
Physical Therapy (DPT)						
	\$9,163.00	\$15,332.00	\$9,163.00	\$15,332.00	0.0%	0.0%

Attachment 6:
University of Minnesota 2018-19 Tuition Plan: Tuition Rates

	C	D	C	D	E	F
	2017-2018		2018-2019		2018-2019	
	Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
School of Dentistry						
Semester	\$18,134.00	\$33,596.00	\$18,679.00	\$34,604.00	3.0%	3.0%
Summer Term	\$10,089.00	\$18,367.00	\$10,392.00	\$18,919.00	3.0%	3.0%
Program Completion & alternate curriculum (Per Credit)	\$726.00	\$1,344.00	\$741.00	\$1,371.00	2.1%	2.0%
Alternate curriculum summer (Per Credit)	\$776.00	\$1,413.00	\$792.00	\$1,442.00	2.1%	2.1%
PASS						
Semester - Year 1 (Fall 2018-Spring 2019)		\$37,425.00		\$38,548.00		3.0%
Summer 2019 - Year 1		\$20,887.00		\$21,514.00		3.0%
Semester - Year 2 (Fall 2018-Spring 2019)		\$36,335.00		\$37,426.00		3.0%
Summer 2019 - Year 2		\$20,279.00		\$20,888.00		3.0%
Program Completion & Alt Curriculum Year 1&2 Fall & Spring (Per Credit)		\$1,458.00		\$1,502.00		3.0%
Program Completion & Alt Curriculum Year 1&2 Summer (Per Credit)		\$1,517.00		\$1,563.00		3.0%
Dental Therapist Graduate Program						
Semester	\$8,364.00	\$12,942.00	\$8,532.00	\$13,201.00	2.0%	2.0%
Program Completion & Alternate Curriculum (Per Credit)	\$609.00	\$993.00	\$622.00	\$1,013.00	2.1%	2.0%
Master of Dental Hygiene - Admit prior to Fall 2014						
Semester	\$8,364.00	\$12,942.00	\$8,532.00	\$13,201.00	2.0%	2.0%
Program Completion & Alternate Curriculum Per Credit	\$621.00	\$995.00	\$634.00	\$1,013.00	2.1%	1.8%
Master of Dental Hygiene - Admit Fall 2014 or later						
Per Credit (Revised curriculum)	\$915.00	\$915.00	\$934.00	\$934.00	2.1%	2.1%
Certificate Programs						
Oral Maxillofacial Surgery	\$1,959.00	\$1,959.00	\$1,999.00	\$1,999.00	2.0%	2.0%
Endodontics	\$4,502.00	\$4,502.00	\$4,593.00	\$4,593.00	2.0%	2.0%
Orthodontics	\$4,502.00	\$4,502.00	\$4,593.00	\$4,593.00	2.0%	2.0%
Pediatrics	\$4,502.00	\$4,502.00	\$4,593.00	\$4,593.00	2.0%	2.0%
Periodontology	\$4,502.00	\$4,502.00	\$4,593.00	\$4,593.00	2.0%	2.0%
Prosthodontics	\$3,133.00	\$3,133.00	\$3,196.00	\$3,196.00	2.0%	2.0%
TMD and Orofacial	\$3,133.00	\$3,133.00	\$3,196.00	\$3,196.00	2.0%	2.0%
Oral Health Services for Older Adults	\$3,133.00	\$3,133.00	\$3,196.00	\$3,196.00	2.0%	2.0%
GPR	\$3,133.00	\$3,133.00	\$3,196.00	\$3,196.00	2.0%	2.0%
School of Nursing						
Doctor of Nursing Practice (DNP)						
Post Graduate Certificates						
Adult Health/Gerontological Clinical Nurse Specialist						
Adult Health/Gerontological Primary Care Nurse Practitioner						
Nurse Midwifery						
Pediatric Clinical Nurse Specialist						
Pediatric Nurse Practitioner						
Psychiatric Mental Health Nurse Practitioner						
Women's Health Nurse Practitioner						
Health Care Design & Innovation						
Leadership in Health Information Technology for Health Professionals						
99PRD						
Per Credit	\$973.75	\$973.75	\$973.75	\$973.75	0.0%	0.0%
9 Credits or more (previously unbanded)	\$8,763.75	\$8,763.75	\$8,763.75	\$8,763.75	0.0%	0.0%
Master of Nursing (MN)						
Per Credit	\$684.48	\$684.48	\$698.00	\$698.00	2.0%	2.0%
Occupational Therapy						
Per Credit	\$968.00	\$1,454.00	\$987.00	\$1,483.00	2.0%	2.0%
12 Credits or more (per semester)	\$11,616.00	\$17,448.00	\$11,844.00	\$17,796.00	2.0%	2.0%
Medical Laboratory Science (New)						
Per Credit			\$700.00	\$700.00	New	New
College of Pharmacy (TC & UMD)						
Per Credit: Years 1-3	\$1,105.00	\$1,617.00	\$1,127.00	\$1,650.00	2.0%	2.0%
12 or more Credits: Years 1-3 (Term)	\$13,260.00	\$19,404.00	\$13,524.00	\$19,800.00	2.0%	2.0%
Year 4: Term rates only for Summer/Fall/Spring regardless of credit load	\$13,260.00	\$19,404.00	\$13,524.00	\$19,800.00	2.0%	2.0%

Attachment 6:
University of Minnesota 2018-19 Tuition Plan: Tuition Rates

	C	D	C	D	E	F
	2017-2018		2018-2019		2018-2019	
	Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
School of Public Health						
Per Credit (Fall & Spring) (includes 99PRD)	\$961.00	\$1,262.00	\$980.00	\$1,287.00	2.0%	2.0%
Per Credit (Summer)	\$961.00	\$970.00	\$980.00	\$980.00	2.0%	1.0%
Chiang Mai University Program	\$859.00	\$859.00	\$859.00	\$859.00	0.0%	0.0%
Executive MHA (Per Credit)						
Cohort 8 (January 2017 start)	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	0.0%	0.0%
Cohort 9 (January 2018 start)	\$1,560.00	\$1,560.00	\$1,560.00	\$1,560.00	0.0%	0.0%
Cohort 10 (January 2019 start)	\$1,560.00	\$1,560.00	\$1,607.00	\$1,607.00	3.0%	3.0%
MHA Regents Cert.: Advance Mgmt Training/Clinical Leaders (Per Credit)	\$1,615.00	\$1,615.00	\$1,615.00	\$1,615.00	0.0%	0.0%
Saudi Arabia Executive MHA						
Per Credit (at King Fahad Medical City)	\$1,155.00	\$1,155.00	\$1,155.00	\$1,155.00	0.0%	0.0%
Healthcare Administration Masters						
Per Credit	\$961.00	\$1,262.00	\$980.00	\$1,287.00	2.0%	2.0%
College of Veterinary Medicine						
Per Credit	\$1,636.00	\$3,040.00	\$1,651.00	\$3,068.00	0.9%	0.9%
9 Credits or more (Term)	\$14,724.00	\$27,360.00	\$14,859.00	\$27,612.00	0.9%	0.9%
3rd Semester, Senior Year (75% of semester rate)						
Per Credit	\$1,227.00	\$2,280.00	\$1,238.00	\$2,301.00	0.9%	0.9%
9 Credits or more (Term)	\$11,043.00	\$20,520.00	\$11,142.00	\$20,709.00	0.9%	0.9%
North Dakota Rcpty (75% non-resident rate)						
Per Credit		\$2,280.00		\$2,301.00		0.9%
9 Credits or more (Term)		\$20,520.00		\$20,709.00		0.9%
North Dakota Rcpty, 3rd Semester, Senior Year (75% non-resident rate)						
Per Credit		\$1,710.00		\$1,725.75		0.9%
9 Credits or more (Term)		\$15,390.00		\$15,531.75		0.9%

Attachment 6:
University of Minnesota 2018-19 Tuition Plan: Tuition Rates

	C	D	C	D	E	F
	2017-2018		2018-2019		2018-2019	
	Semester Rates		Semester Rates		Semester Rates	
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
Duluth						
Undergraduate						
Per Credit	\$462.16	\$659.00	\$462.16	\$659.00	0.0%	0.0%
13 Credits or more	\$6,008.00	\$8,567.00	\$6,008.00	\$8,567.00	0.0%	0.0%
Midwest Student Exchange Program						
Per Credit		\$485.24		\$485.24		0.0%
13 Credits or more		\$6,308.00		\$6,308.00		0.0%
Graduate School General Programs: Same as Twin Cities						
Medical School: Same as Twin Cities						
School of Pharmacy: Same as Twin Cities						
Departmental Masters and Post-baccalaureate Certificates						
Master of Education (MEd)						
Master of Education Administration Specialist (EdS)						
Master of Environmental Education (MEEd)						
Master of Environmental Health & Safety (MEnvHlthSa)						
Master of Social Work (MSW)						
Master of Tribal Administration and Governance (MTAG)						
Master of Tribal Resource & Environmental Stewardship (MTRES)						
Per Credit	\$673.00	\$1,067.00	\$673.00	\$1,067.00	0.0%	0.0%
Certificate Programs						
Post-baccalaureate Autism Spectrum Disorders						
Post-baccalaureate Community College Teaching						
Post-baccalaureate Educational Computing and Technology						
Post-baccalaureate Environmental Education						
Post-baccalaureate Geographic Information Science						
Per Credit	\$673.00	\$673.00	\$673.00	\$673.00	0.0%	0.0%
MBA						
(degree and non-degree seeking students)						
Per Credit (Duluth)	\$941.00	\$941.00	\$941.00	\$941.00	0.0%	0.0%
Rochester MBA, Per Credit	\$1,045.00	\$1,045.00	\$1,045.00	\$1,045.00	0.0%	0.0%
Morris						
Undergraduate						
Per Credit	\$462.16	\$539.85	\$467.00	\$545.00	1.0%	1.0%
13 Credits or more	\$6,008.00	\$7,018.00	\$6,071.00	\$7,085.00	1.0%	1.0%
Midwest Student Exchange Program						
Per Credit				\$506.00		new
13 Credits or more				\$6,578.00		new
Crookston						
Undergraduate						
Per Credit	\$395.47	\$395.47	\$395.47	\$395.47	0.0%	0.0%
13 Credits or more	\$5,141.00	\$5,141.00	\$5,141.00	\$5,141.00	0.0%	0.0%
Rochester						
Undergraduate						
Per Credit	\$462.16	\$462.16	\$462.16	\$462.16	0.0%	0.0%
13 Credits or more	\$6,008.00	\$6,008.00	\$6,008.00	\$6,008.00	0.0%	0.0%

Attachment 7

DEFINITIONS OF CURRENT SPONSORED AND NONSPONSORED FUNDS

Current funds can be categorized as either sponsored or nonsponsored.

Sponsored funds consist of grants and contracts administered through the Sponsored Project Administration (SPA). All sponsored research funds are restricted and are generally subject to special grant reporting procedures. Restricted funds are subject to legally binding limits and rules established by the person or organization providing these funds for specific purposes, programs, departments, or schools.

Within the nonsponsored grouping, funds can be classified as unrestricted or restricted. Unrestricted current funds include all funds over which the University retains full control to direct their use in achieving its institutional purposes. Most current nonsponsored funds are unrestricted; exceptions include State Specials, Federal Appropriations and Other Restricted funds such as Foundations, Endowment Target, Local Governments, etc.. Externally restricted funds may be used only in accordance with the purposes established by the source of such funds.

CURRENT NONSPONSORED FUNDS

CENTRALLY DISTRIBUTED AND ATTRIBUTED

Operations and Maintenance: Operations and maintenance funds consist primarily of the general appropriation from the State of Minnesota plus tuition revenues. Other sources contributing to the fund include Application and Student Payment related fees, Enterprise Assessment fees and a transfer of funds from the central reserves fund.

State Specials: State Specials funds consist of restricted-purpose appropriations from the State. The University receives State Special allocations in seven categories: 1) Agriculture, 2) Health Sciences, 3) Technology, 4) System Specials (miscellaneous items including support for, Labor Education Service, Natural Resources Research Institute, Center for Urban and Regional Affairs, the Bell Museum of Natural History, and the Humphrey Exhibit, 5) U/Mayo Partnership, 6) Cigarette Tax proceeds, and 7) MN Care

Indirect Cost Recoveries: Indirect Cost Recovery funds consist of partial reimbursements to the University for the indirect costs of research based on a percentage of sponsored grant and contract direct costs. Indirect costs of research are those expenses (often referred to as overhead) that cannot be readily and exclusively attributed to a specific research grant or contract. Components of the indirect cost rate include departmental administration, sponsored projects general administration, building and equipment depreciation, operations and maintenance, and libraries.

Central Reserves: Central Reserve funds consist of non-dedicated investment earnings and recognized gains/(losses).

SELF-SUSTAINING

Auxiliary Enterprises: The University operates a number of self-sustaining operations called auxiliary enterprises. Their primary mission is to provide goods and/or services to individuals within the University including students, faculty, and staff. They also provide goods and services to the public. Residence halls, student unions, bookstores, parking and transit, health services, and intercollegiate athletics are the University's primary auxiliary enterprises. Auxiliary enterprises largely operate as freestanding entities, responsible for covering all of their operating costs with fees charged.

Internal Service Activities: Internal Service Activities are institutional services established for reasons of convenience, cost, or control. Their primary mission is to provide goods and/or services to other University departments. Examples of major internal service activities include U Market, Fleet Services, Printing and Graphic Arts, and Research Animal Resources.

Other Unrestricted Accounts including Central Pools: The resources in these funds are primarily miscellaneous external sales and services. The central fringe benefit recovery pools are also included in this classification.

Other Restricted Accounts: Nonsponsored restricted funds consist of funds from business and industry, foundations including the University of Minnesota Foundation and the Arboretum Foundation, federal and state work-study, SEOG and PELL grants, private practice, and restricted funds from federal, state, and local government agencies, individuals and others.

CURRENT SPONSORED FUNDS

Federal Research: These funds are composed of expendable research grants, appropriations, and contracts received from the federal government.

Other Sponsored Research: Other sponsored research funds include grants, appropriations, and contracts from non-federal sources including state and local government and private sources.

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
<u>Crookston</u>						
Crookston	Advanced Animal Evaluation - Dairy	Consumable Materials	Flat		\$42.00	new
Crookston	Analytical Chemistry/Spectroscopy	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	Anatomy & Physiology I	Consumable Materials	Flat	\$45.00	\$45.00	0.0%
Crookston	Animal Evaluation	Consumable Materials	Flat		\$35.00	new
Crookston	Animal Health & Disease	Consumable Materials	Flat		\$13.00	new
Crookston	Animal Science Field Trip	Travel/Lodging/Transport	Flat	\$15.00	\$15.00	0.0%
Crookston	Animal Science Lab Tier 1	Travel/Lodging/Transport	Flat		\$25.00	new
Crookston	Animal Science Lab Tier 2	Consumable Materials	Flat		\$30.00	new
Crookston	Animal Science Lab Tier 3	Consumable Materials	Flat		\$40.00	new
Crookston	Animal Science Lab Tier 4	Consumable Materials	Flat	\$42.00	\$69.00	64.3%
Crookston	Applied Animal Nutrition	Consumable Materials	Flat	\$19.00	\$53.00	178.9%
Crookston	Art Design & Technique	Consumable Materials	Flat	\$65.00	\$65.00	0.0%
Crookston	Aviation Flight Fees	Individual Instruction	Variable	\$500 - \$11,000	\$500 - \$11,000	0.0%
Crookston	CPR Certification	Service	Flat	\$19.00	\$19.00	0.0%
Crookston	Cultural Immersion Practicum	Travel/Lodging/Transport	Flat	\$75.00	\$75.00	0.0%
Crookston	Develop Appropriate Pre-Primary Education	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	ECE 4702 - Math, Science & Social Studies	Consumable Materials	Flat	\$17.50	\$17.50	0.0%
Crookston	Ecology	Consumable Materials	Flat	\$12.00	\$12.00	0.0%
Crookston	Ecotoxicology	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Crookston	Elementary Art	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Crookston	Elementary Student Teaching	Exam/Assessment	Flat	\$270.00	\$270.00	0.0%
Crookston	Elements of Forestry	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	Entomology (NATR)	Consumable Materials	Flat	\$35.00	\$33.00	-5.7%
Crookston	Entomology (PIM)	Consumable Materials	Flat	\$35.00	\$33.00	-5.7%
Crookston	Equine Exercise Physiology	Travel/Lodging/Transport	Flat	\$40.00	\$40.00	0.0%
Crookston	Equine Reproduction Techniques	Consumable Materials	Flat	\$38.00	\$32.00	-15.8%
Crookston	ESL Tutoring/Testing	Individual Instruction	Flat	\$168.00	\$168.00	0.0%
Crookston	Facility Maintenance/Safety	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Crookston	Farrier Science	Consumable Materials	Flat		\$55.00	new
Crookston	Fate of Chemicals in the Environment	Consumable Materials	Flat	\$59.00	\$59.00	0.0%
Crookston	Fisheries	Consumable Materials	Flat	\$32.00	\$32.00	0.0%
Crookston	Floral Design Tier 1	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Crookston	HORT 3093	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	Learning Enviroments Infants/Toddlers	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	Limnology	(multiple components)	Flat	\$90.00	\$90.00	0.0%
Crookston	Livestock Facilities & Env Sci	Travel/Lodging/Transport	Flat		\$38.00	new
Crookston	Mammalogy (BIOL))	Consumable Materials	Flat	\$78.00	\$78.00	0.0%
Crookston	Mammalogy (NATR)	Consumable Materials	Flat	\$78.00	\$78.00	0.0%
Crookston	Microsoft Certification	Exam/Assessment	PerCredit	\$75.00	\$75.00	0.0%
Crookston	On-line Course Fee	E-Learn	PerCredit	\$45.00	\$50.00	11.1%
Crookston	Ornithology (a)	Consumable Materials	Flat	\$43.00	\$43.00	0.0%
Crookston	Ornithology (b)	Consumable Materials	Flat	\$43.00	\$43.00	0.0%
Crookston	Park/Rec Management	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Crookston	Plant Taxonomy/Propogation	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Crookston	Private Music Instruction	Individual Instruction	Flat	\$50.00	\$50.00	0.0%
Crookston	Raptor Ecology	Travel/Lodging/Transport	Flat	\$75.00	\$75.00	0.0%
Crookston	Reproduction Al and Lactation	Consumable Materials	Flat	\$40.00	\$79.00	97.5%
Crookston	Science Lab - Tier 1	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Crookston	Science Lab - Tier 2	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Crookston	Science Lab - Tier 3	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Crookston	Soil Fertility & Plant Nutrition	Consumable Materials	Flat	\$33.00	\$33.00	0.0%
Crookston	Special Topics in Animal Science	Consumable Materials	Flat		\$20.00	new
Crookston	Special Topics in Animal Science	Travel/Lodging/Transport	Flat		\$30.00	new
Crookston	Special Topics in Forestry	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Crookston	Welding/Manufacturing	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Crookston	Wildlife Management - Special Topics	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Crookston	Wildlife Management - Special Topics	Travel/Lodging/Transport	Flat	\$21.00	\$21.00	0.0%
Crookston	Wildlife/Ecology Management	Consumable Materials	Flat	\$24.00	\$24.00	0.0%
Crookston	Woody Plant Materials	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
<u>Duluth</u>						
Duluth	Acting Voice Lesson	Individual Instruction	Flat	\$321.25	\$321.25	0.0%
Duluth	Advanced Field Interpretive Techniques	Travel/Lodging/Transport	Flat	\$355.00	\$355.00	0.0%
Duluth	Alpine Skiing	Access/Rent/Usage/Own	Flat	\$106.00	\$106.00	0.0%
Duluth	American Sign Language (ASL) Lab	Access/Rent/Usage/Own	Flat	\$54.00	\$55.00	1.9%
Duluth	Application Fee Study Abroad	Application	Flat	\$50.00	\$50.00	0.0%
Duluth	Applied Music Lesson - Music Majors	Individual Instruction	Flat	\$203.00	\$203.00	0.0%
Duluth	Applied Music Lesson - Music Majors	Individual Instruction	PerCredit	\$58.00	\$58.00	0.0%
Duluth	Applied Music Lesson - Non-majors	Individual Instruction	Flat	\$321.25	\$321.25	0.0%
Duluth	Aquatic Food Webs - Travel/field trip	Travel/Lodging/Transport	Flat	\$475.00	\$475.00	0.0%

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
Duluth	Assessment in the Classroom	Service	Flat	\$30.00	\$30.00	0.0%
Duluth	Biology Fee	Consumable Materials	Flat	\$87.00	\$88.75	2.0%
Duluth	Biology Field Trips	Travel/Lodging/Transport	Flat	\$26.00	\$26.00	0.0%
Duluth	Bowling	Access/Rent/Usage/Own	Flat	\$58.00	\$58.00	0.0%
Duluth	Canoe	Access/Rent/Usage/Own	Flat	\$27.00	\$27.00	0.0%
Duluth	CEHSP Background Check	Service	Flat	\$44.00	\$44.00	0.0%
Duluth	Chemical Engineering Lab	Consumable Materials	Flat	\$62.00	\$62.00	0.0%
Duluth	Chemistry/Biochemistry Fee	Consumable Materials	Flat	\$80.00	\$80.00	0.0%
Duluth	Civil Engineering Lab	Consumable Materials	Flat	\$62.00	\$62.00	0.0%
Duluth	College in the Schools UMD	Tuition	Flat	\$92.00	\$92.00	0.0%
Duluth	Computer Lab Full Access	Access/Rent/Usage/Own	Flat	\$74.00	\$75.50	2.0%
Duluth	Computer Network Access Fee - Grad/Masters Active	Access/Rent/Usage/Own	Flat	\$6.00	\$6.00	0.0%
Duluth	Communication Science Disorders (CSD) Lab	Consumable Materials	PerCredit	\$12.00	\$12.00	0.0%
Duluth	Cross Country Skiing	Access/Rent/Usage/Own	Flat	\$43.00	\$43.00	0.0%
Duluth	EDUC EdTPA	Service	Flat	\$270.00	\$270.00	0.0%
Duluth	Electrical Engineering Lab	Consumable Materials	Flat	\$47.00	\$47.00	0.0%
Duluth	English as a Second Language International (ESLI)	(multiple components)	Flat	\$1,003.54	\$1,040.00	3.6%
Duluth	English as a Second Language (ESLI) Summer	(multiple components)	Flat	\$637.13	\$647.00	1.5%
Duluth	Geography Field Techniques	Travel/Lodging/Transport	Flat	\$185.00	\$185.00	0.0%
Duluth	Geographical Information Systems (GIS) Lab	Consumable Materials	Flat	\$95.00	\$95.00	0.0%
Duluth	Geography of Soils	Travel/Lodging/Transport	Flat	\$119.00	\$121.50	2.1%
Duluth	Geological Sciences Field Trip	Travel/Lodging/Transport	Flat	\$42.00	\$40.00	-4.8%
Duluth	Group Lesson Fee (piano non-major)	Service	Flat	\$55.00	\$55.00	0.0%
Duluth	Group Voice Lesson Fee	Individual Instruction	Flat	\$158.00	\$158.00	0.0%
Duluth	Half Recital - Undergraduate Music Majors	Service	Flat	\$76.50	\$76.50	0.0%
Duluth	AHS Field Trip	Travel/Lodging/Transport	Flat	\$35.00	\$35.00	0.0%
Duluth	AHS-Americal Red Cross Curriculum	Service	Flat	\$34.00	\$34.00	0.0%
Duluth	Intercultural Communication	Travel/Lodging/Transport	Flat	\$80.00	\$120.00	50.0%
Duluth	Locker Room/Towel	Access/Rent/Usage/Own	Flat	\$9.00	\$9.00	0.0%
Duluth	Marine Biology - Chicago	Travel/Lodging/Transport	Flat	\$396.00	\$396.00	0.0%
Duluth	Marine Biology - Friday Harbor WA/Woods Hole MA	Travel/Lodging/Transport	Flat	\$2,495.00	\$2,495.00	0.0%
Duluth	Mechanical & Industrial Engineering Lab	Consumable Materials	Flat	\$42.00	\$42.00	0.0%
Duluth	Music Education Background Check	Service	Flat	\$44.00	\$44.00	0.0%
Duluth	Outdoor Education Methods	Travel/Lodging/Transport	Flat	\$81.00	\$81.00	0.0%
Duluth	Outdoor leadership	Travel/Lodging/Transport	Flat	\$286.00	\$286.00	0.0%
Duluth	PE Kayaking	Access/Rent/Usage/Own	Flat	\$74.00	\$74.00	0.0%
Duluth	PE Rock Climbing	Access/Rent/Usage/Own	Flat	\$63.00	\$63.00	0.0%
Duluth	Photo Printer Lab	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Duluth	Private Singing Lesson	Individual Instruction	Flat	\$352.00	\$352.00	0.0%
Duluth	Processing Fee - Non-UMD Study Abroad Programs	Service	Flat	\$500.00	\$500.00	0.0%
Duluth	Recital - Graduate Music Majors	Service	Flat	\$204.00	\$204.00	0.0%
Duluth	Recital - Undergraduate Music Majors	Service	Flat	\$153.00	\$153.00	0.0%
Duluth	Recreation Course Fee	Travel/Lodging/Transport	Flat	\$95.00	\$95.00	0.0%
Duluth	Ropes Course Management	Access/Rent/Usage/Own	Flat	\$187.00	\$187.00	0.0%
Duluth	Sports Injury Management	Consumable Materials	Flat	\$17.00	\$17.00	0.0%
Duluth	Wilderness Philosophy	Travel/Lodging/Transport	Flat	\$17.00	\$17.00	0.0%
<u>Morris</u>						
Morris	Concert Choir Retreat	Travel/Lodging/Transport	Flat	\$70.00	\$70.00	0.0%
Morris	Education Program Fee	Program	Flat		\$300.00	new
Morris	Elementary Ed Practicum: Cross Cultural Experience	Travel/Lodging/Transport	Flat	\$350.00	\$475.00	35.7%
Morris	Endorsement/Additional Licensure Fee	Program	Flat	\$200.00	\$200.00	0.0%
Morris	International English Language Program - STELLAR	Confirmation/Deposit	Flat	\$500.00	\$500.00	0.0%
Morris	International English Language Program - STELLAR	Travel/Lodging/Transport	Flat	\$1,289.00	\$1,289.00	0.0%
Morris	International English Language Program - STELLAR	Tuition	Flat	\$1,373.00	\$1,373.00	0.0%
Morris	Minneapolis Museum Travel	Travel/Lodging/Transport	Flat	\$25.00	\$25.00	0.0%
Morris	On-line Course Fee	E-Learn	PerCredit	\$45.00	\$45.00	0.0%
Morris	Private Music Lesson	Individual Instruction	Flat	\$375.00	\$375.00	0.0%
Morris	Student Teaching Fee	Exam/Assessment	Flat	\$400.00	\$400.00	0.0%
Morris	Studio Art Materials Fee 2	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Morris	Studio Art Materials Fee 3	Consumable Materials	PerCredit	\$25.00	\$25.00	0.0%
Morris	Studio Art Materials Fee 4	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Morris	Studio Art Materials Fee 6	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Morris	Symphonic Winds Retreat	Travel/Lodging/Transport	Flat	\$85.00	\$90.00	5.9%
Morris	Theatre Arts Design Software Fee	E-Learn	Flat	\$50.00	\$50.00	0.0%
Morris	Theatre Arts Materials Fee	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Morris	Theatre Stage Make-up	Consumable Materials	Flat		\$75.00	new
<u>Rochester</u>						
Rochester	Lab Supplies for Anatomy & Physiology	Consumable Materials	Flat	\$10.00	\$5.00	-50.0%
Rochester	Lab Supplies for Biochemistry II	Consumable Materials	Flat		\$85.00	new
Rochester	Lab Supplies for Biology	Consumable Materials	Flat	\$50.00	\$50.00	0.0%

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
Rochester	Lab Supplies for Chemistry II	Consumable Materials	Flat		\$40.00	new
Rochester	Lab Supplies for Echocardiography	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Rochester	Lab Supplies for General Chemistry I & II	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Rochester	Lab Supplies for Microbiology	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Rochester	Lab Supplies for Mollecular/Cellular Biology	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Rochester	Lab Supplies for Chemistry I	Consumable Materials	Flat	\$60.00	\$20.00	-66.7%
Rochester	NBRC Skills Assessment Exams Respiratory Care Jr	Exam/Assessment	Flat	\$40.00	\$40.00	0.0%
Rochester	NBRC Skills Assessment Exams - Written	Exam/Assessment	Flat	\$40.00	\$40.00	0.0%
Rochester	NBRC Skills Assessment Exams - Clinical Simulation	Exam/Assessment	Flat	\$60.00	\$60.00	0.0%
Rochester	Offsite Access to Anatomy Lab for Biol 2311	Access/Rent/Usage/Own	Flat	\$50.00	\$50.00	0.0%
Rochester	Offsite Access to Anatomy Lab for Biol 2332	Access/Rent/Usage/Own	Flat	\$165.00	\$165.00	0.0%
Rochester	Strategic Learning Associates LLC License fee for	Exam/Assessment	Flat	\$60.00	\$60.00	0.0%
Rochester	Strengths Quest Assessment	Exam/Assessment	Flat	\$10.00	\$10.00	0.0%
Rochester	Trajesys Online Clinical Record	Access/Rent/Usage/Own	Flat	\$150.00	\$150.00	0.0%
Rochester	Travel Experiences for Special Topics Course	Travel/Lodging/Transport	Flat	\$2,245.00	\$22,220.00	0.0%

Twin Cities

Carlson Schl of Mgmt	CGI Business Internship in Singapore or Hong Kong	(multiple components)	Flat	\$6,634.00	\$6,776.00	2.1%
Carlson Schl of Mgmt	China Exec DBA Program Cohorts 1 & 2	Tuition	Flat	\$25,578.00	\$25,578.00	0.0%
Carlson Schl of Mgmt	China Exec DBA Program Cohort 3	Tuition	Flat	\$36,540.00	\$36,540.00	0.0%
Carlson Schl of Mgmt	China Exec DBA Program Cohort 4	Tuition	Flat	\$75,110.00	\$75,110.00	0.0%
Carlson Schl of Mgmt	China Exec DBA Program Cohort 5	Tuition	Flat	\$75,110.00	\$75,110.00	0.0%
Carlson Schl of Mgmt	China Exec DBA Program Cohort 6	Tuition	Flat		\$78,326.00	new
Carlson Schl of Mgmt	CSOM Enterprise Fee - Brands	Service	PerCredit	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	CSOM Enterprise Fee - Consulting	Service	PerCredit	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	CSOM Enterprise Fee - Fixed Income	Service	PerCredit	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	CSOM Enterprise Fee - Growth	Service	PerCredit	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	CSOM Enterprise Fee - Ventures	Service	PerCredit	\$150.00	\$150.00	0.0%
Carlson Schl of Mgmt	IBUS 3002 Acct. 3001: Managerial Accounting in	Travel/Lodging/Transport	Flat	\$5,400.00	\$5,400.00	0.0%
Carlson Schl of Mgmt	IBUS 3010: Global Entrepreneurship in Cuba	Travel/Lodging/Transport	Flat	\$4,400.00	\$4,400.00	0.0%
Carlson Schl of Mgmt	IBUS 3021 (HRIR 3021): HR Management in Australia	Travel/Lodging/Transport	Flat	\$5,000.00	\$5,300.00	6.0%
Carlson Schl of Mgmt	IBUS 3033W Business Communication in Spain	Travel/Lodging/Transport	Flat	\$5,300.00	\$5,300.00	0.0%
Carlson Schl of Mgmt	IBUS 3080 Costa Rica Program	Travel/Lodging/Transport	Flat	\$4,800.00	\$4,800.00	0.0%
Carlson Schl of Mgmt	IBUS 3101 CIMBA Undergrad Semester Program	Program	Flat	\$1,000.00	\$1,000.00	0.0%
Carlson Schl of Mgmt	IBUS 3500 Program Fee for CGI Undergrad Exchange	Program	Flat	\$2,500.00	\$2,500.00	0.0%
Carlson Schl of Mgmt	IBUS 3500 Semester Exchange Undergraduate	Tuition	Flat	\$6,400.00	\$6,528.00	2.0%
Carlson Schl of Mgmt	IBUS 3700 London School of Economics	Service	Flat	\$750.00	\$750.00	0.0%
Carlson Schl of Mgmt	IBUS 3700 Sec 001 London School of Economics	Tuition	Flat	\$2,650.00	\$2,809.00	6.0%
Carlson Schl of Mgmt	IBUS 3700 Sec 002 London School of Economics	Tuition	Flat	\$4,558.00	\$4,831.00	6.0%
Carlson Schl of Mgmt	IBUS 3700 Sec 003 London School of Economics	Tuition	Flat	\$5,300.00	\$5,618.00	6.0%
Carlson Schl of Mgmt	IBUS 4050 Managing Innov and Change in Brazil	Travel/Lodging/Transport	Flat	\$5,500.00	\$5,500.00	0.0%
Carlson Schl of Mgmt	IBUS 4082W Brand Management in Italy	Travel/Lodging/Transport	Flat	\$5,400.00	\$5,400.00	0.0%
Carlson Schl of Mgmt	IBUS 5090 Independent Study	Travel/Lodging/Transport	Flat	\$6,000.00	\$6,000.00	0.0%
Carlson Schl of Mgmt	IBUS 3101 CIMBA Undergrad Semester Program	Program	Flat	\$1,000.00	\$1,000.00	0.0%
Carlson Schl of Mgmt	IBUS 3800 CIMBA Summer Program	Program	Flat	\$750.00	\$750.00	0.0%
Carlson Schl of Mgmt	IBUS 5101 Copenhagen Summer Undergrad Program	Travel/Lodging/Transport	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	IBUS 3701 Vienna Summer Undergrad Program	Travel/Lodging/Transport	Flat	\$1,800.00	\$1,800.00	0.0%
Carlson Schl of Mgmt	IBUS 3703 Norway Summer Undergrad Program	Travel/Lodging/Transport	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	IBUS 5140 Vienna Summer Graduate Program	Travel/Lodging/Transport	Flat	\$1,800.00	\$1,800.00	0.0%
Carlson Schl of Mgmt	IBUS 5150 Managing in a Global Environment in India	Travel/Lodging/Transport	Flat	\$2,600.00	\$2,600.00	0.0%
Carlson Schl of Mgmt	IBUS 5301 Copenhagen Summer Graduate Program	Travel/Lodging/Transport	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	IBUS 5400, sec 003 : Global Business Practicum	Travel/Lodging/Transport	Flat	\$2,600.00	\$2,600.00	0.0%
Carlson Schl of Mgmt	IBUS 5400, sec 004 : Global Business China	Travel/Lodging/Transport	Flat	\$2,600.00	\$2,600.00	0.0%
Carlson Schl of Mgmt	IBUS 6401: Marketing in the Mayhem in Argentina &	Travel/Lodging/Transport	Flat	\$2,800.00	\$2,800.00	0.0%
Carlson Schl of Mgmt	IBUS 6402: Moving Beyond Oil in UAE & Oman	Travel/Lodging/Transport	Flat	\$2,800.00	\$2,900.00	3.6%
Carlson Schl of Mgmt	IBUS 6997 MILI Global Valuation Lab	Travel/Lodging/Transport	Flat	\$2,500.00	\$2,600.00	4.0%
Carlson Schl of Mgmt	MGMT 4050: Managing Innovation and Change IN	Travel/Lodging/Transport	Flat		\$195.00	new
Carlson Schl of Mgmt	MILI 6997 MILI Global Valuation Lab	Travel/Lodging/Transport	Flat	\$1,000.00	\$1,000.00	0.0%
Carlson Schl of Mgmt	SCO 6291 - Leadership Development Assessment	Exam/Assessment	Flat		\$80.00	new
Carlson Schl of Mgmt	IBUS Shanghai Summer Graduate Program	Travel/Lodging/Transport	Flat	\$1,500.00	\$1,500.00	0.0%
Carlson Schl of Mgmt	IBUS Shanghai Summer Undergrad Program	Travel/Lodging/Transport	Flat	\$1,500.00	\$1,500.00	0.0%
Col of Biological Sci	Cedar Creek	Travel/Lodging/Transport	Flat	\$350.00	\$350.00	0.0%
Col of Biological Sci	Field Trips - various	Travel/Lodging/Transport	Flat	\$21.00	\$21.00	0.0%
Col of Biological Sci	Food/Lodging/Transportation - Itasca	Travel/Lodging/Transport	Flat	\$250.00	\$300.00	20.0%
Col of Biological Sci	Genetic Counseling - Internship 1 (summer)	Individual Instruction	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Biological Sci	Genetic Counseling - Internship 2&3 (fall-spring)	Individual Instruction	Flat	\$2,000.00	\$2,000.00	0.0%
Col of Biological Sci	Itasca Transportation	Travel/Lodging/Transport	Flat	\$50.00	\$50.00	0.0%
Col of Biological Sci	Lab Consumables CBS	Consumable Materials	Flat	\$88.00	\$88.00	0.0%
Col of Biological Sci	Lab Consumables Fee-Nature of Life (Itasca)	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Biological Sci	Minnesota Flora	Travel/Lodging/Transport	Flat	\$16.00	\$16.00	0.0%

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
Col of Continuing & Prof Ed	CCE Online Course Fee	E-Learn	PerCredit	\$27.00	\$27.00	0.0%
Col of Continuing & Prof Ed	College in the Schools TC	Tuition	Flat	\$145.00	\$145.00	0.0%
Col of Continuing & Prof Ed	Intensive English Program Tier 1	Program	Flat	\$795.00	\$795.00	0.0%
Col of Continuing & Prof Ed	Intensive English Program Tier 2	Program	Flat	\$1,175.00	\$1,195.00	1.7%
Col of Continuing & Prof Ed	Intensive English Program Tier 3	Program	Flat	\$1,590.00	\$1,590.00	0.0%
Col of Continuing & Prof Ed	Intensive English Program Tier 4	Program	Flat	\$2,350.00	\$2,390.00	1.7%
Col of Continuing & Prof Ed	MELP/TOEFL	Program	Flat	\$600.00	\$610.00	1.7%
Col of Continuing & Prof Ed	MLS Directed Studies - Sr Citizens	Tuition	Flat	\$1,168.00	\$1,168.00	0.0%
Col of Continuing & Prof Ed	Nanotechnology Lab	Access/Rent/Usage/Own	Flat	\$500.00	\$500.00	0.0%
Col of Continuing & Prof Ed	TRIN Proficiency	Exam/Assessment	Flat	\$40.00	\$40.00	0.0%
Col of Continuing & Prof Ed	TRIN Zero Credit	Program	Flat	\$600.00	\$600.00	0.0%
Col of Design	Analytical Modeling	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Design	Apparel Assembly	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Design	Apparel Assembly (Bobbin Case)	Access/Rent/Usage/Own	Flat	\$34.00	\$57.00	67.6%
Col of Design	Architecture in Watercolor	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Design	BDA Box Problem	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Design	BDA Workshop Fee	Consumable Materials	PerCredit	\$9.00	\$9.00	0.0%
Col of Design	Camera in Your Pocket	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Design	Color & Form	Consumable Materials	Flat	\$85.00	\$85.00	0.0%
Col of Design	Creative Problem Solving	Exam/Assessment	Flat	\$35.00	\$35.00	0.0%
Col of Design	Creativity, Idea Generation, Innovation	Personnel	Flat	\$20.00	\$20.00	0.0%
Col of Design	Creativity, Idea Generation, Innovation (previously	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Col of Design	Design Fundamentals I	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Design	Design Fundamentals II	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Design	Design Studio I, III, IV	(multiple components)	Flat	\$25.00	\$25.00	0.0%
Col of Design	Design Studio II, V	(multiple components)	Flat	\$50.00	\$50.00	0.0%
Col of Design	Designing for Manufacture	Consumable Materials	Flat		\$70.00	new
Col of Design	Drawing and Design	Personnel	Flat	\$30.00	\$30.00	0.0%
Col of Design	Ecological Design	Travel/Lodging/Transport	Flat	\$275.00	\$275.00	0.0%
Col of Design	Fashion: Trends and Communication	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Design	Furniture Design: Practice	Consumable Materials	Flat	\$165.00	\$165.00	0.0%
Col of Design	Graduate Design 1 (Chicago)	Travel Lodging/Transport	Flat	\$475.00	\$475.00	0.0%
Col of Design	LA Technologies & Systems III	Travel/Lodging/Transport	Flat	\$370.00	\$370.00	0.0%
Col of Design	Land & Dwelling	Travel/Lodging/Transport	Flat	\$370.00	\$370.00	0.0%
Col of Design	Landscape Analysis Workshop	Travel/Lodging/Transport	Flat	\$575.00	\$575.00	0.0%
Col of Design	Landscape Spaces	Consumable Materials	Flat	\$15.00	\$20.00	33.3%
Col of Design	Product Development: Softlines	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Design	Product Development: Softlines	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Design	Product Form and Model Making	Consumable Materials	Flat	\$10.00	\$80.00	700.0%
Col of Design	Professional Practice	Exam/Assessment	Flat	\$15.00	\$20.00	33.3%
Col of Design	Technology 1: Structures for Building	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Design	Technology 2: Intro to Building Technology	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Design	Technology 3-Advance Building Technology	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Design	Technology 4: Building Structural Systems	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Design	Text & Image	(multiple components)	Flat	\$70.00	\$70.00	0.0%
Col of Design	Textile Analysis	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Design	Toy Production & Design	Consumable Materials	Flat	\$160.00	\$160.00	0.0%
Col of Design	Travels in Typography	Personnel	Flat	\$34.00	\$34.00	0.0%
Col of Design	Type Design	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Design	Undergrad Studio I	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Design	Undergrad Studio I	Travel/Lodging/Transport	Flat	\$7.00	\$7.00	0.0%
Col of Design	Undergrad Studio II	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Design	Undergrad Studio II	Travel/Lodging/Transport	Flat	\$7.00	\$7.00	0.0%
Col of Design	Undergrad Studio III	Consumable Materials	Flat	\$12.00	\$30.00	150.0%
Col of Design	Undergrad Studio III	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Design	Undergrad Studio IV	Travel/Lodging/Transport	Flat	\$55.00	\$400.00	627.3%
Col of Design	Undergrad Studio V	Consumable Materials	Flat	\$15.00	\$18.00	20.0%
Col of Design	Undergrad Studio V	Travel/Lodging/Transport	Flat	\$7.00	\$4.00	-42.9%
Col of Design	Urban Options Studio	Travel/Lodging/Transport	Flat	\$350.00	\$350.00	0.0%
Col of Ed & Human Devel	Assessment Materials	Exam/Assessment	Flat	\$15.00	\$15.00	0.0%
Col of Ed & Human Devel	Assessment Materials for CSPP	Access/Rent/Usage/Own	Flat	\$35.00	\$35.00	0.0%
Col of Ed & Human Devel	Biomechanics Equipment	Access/Rent/Usage/Own	Flat	\$45.00	\$45.00	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 1	Tuition	PerCredit	\$400.00	\$400.00	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 2	Tuition	PerCredit	\$472.00	\$472.00	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 3	Tuition	PerCredit	\$500.00	\$500.00	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 4	Tuition	PerCredit	\$533.33	\$533.33	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 5	Tuition	PerCredit	\$600.00	\$600.00	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 6	Tuition	PerCredit	\$640.00	\$640.00	0.0%
Col of Ed & Human Devel	CEHD Practitioner Prof Dev Tier 9	Tuition	PerCredit	\$800.00	\$800.00	0.0%
Col of Ed & Human Devel	Creating Identities - Learning In and Through the Arts	Consumable Materials	Flat	\$24.00	\$24.00	0.0%

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
Col of Ed & Human Devel	Cultural Assessment	Exam/Assessment	Flat	\$71.00	\$71.00	0.0%
Col of Ed & Human Devel	DiSC Assessment	Exam/Assessment	Flat		\$30.00	new
Col of Ed & Human Devel	Engaged Arts Learning in Elem Classrooms	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Ed & Human Devel	Exercise Physiology Equipment	Access/Rent/Usage/Own	Flat	\$110.00	\$110.00	0.0%
Col of Ed & Human Devel	Experiential Learning	Personnel	Flat	\$17.00	\$17.00	0.0%
Col of Ed & Human Devel	Golf Facilities Use Fee	Access/Rent/Usage/Own	Flat	\$75.00	\$75.00	0.0%
Col of Ed & Human Devel	Human Anatomy for Kinesiology Equipment &	Access/Rent/Usage/Own	Flat	\$30.00	\$30.00	0.0%
Col of Ed & Human Devel	Human Physiology Equipment	Access/Rent/Usage/Own	Flat	\$30.00	\$30.00	0.0%
Col of Ed & Human Devel	Initial Teacher Licensure Ed TPA Fee	Exam/Assessment	Flat	\$270.00	\$270.00	0.0%
Col of Ed & Human Devel	Intermediate Golf Facilities Use Fee	Access/Rent/Usage/Own	Flat	\$125.00	\$125.00	0.0%
Col of Ed & Human Devel	Marathon Class Supplies and Testing Fees	Service	Flat	\$100.00	\$100.00	0.0%
Col of Ed & Human Devel	Nature in the City	Travel/Lodging/Transport	Flat	\$85.00	\$85.00	0.0%
Col of Ed & Human Devel	Office of Professional Development (OPD) Practitioner	Tuition	PerCredit	\$680.00	\$680.00	0.0%
Col of Ed & Human Devel	Office of Professional Development (OPD) Practitioner	Tuition	PerCredit	\$775.00	\$775.00	0.0%
Col of Ed & Human Devel	Orientation to Leisure & Recreation field trip	Travel/Lodging/Transport	Flat	\$36.00	\$16.00	-55.6%
Col of Ed & Human Devel	Outdoor Recreation & Camp Leadership field trip	Travel/Lodging/Transport	Flat	\$215.00	\$215.00	0.0%
Col of Ed & Human Devel	Outdoor Recreation Activities Fee (equipment)	Access/Rent/Usage/Own	Flat	\$65.00	\$65.00	0.0%
Col of Ed & Human Devel	Outdoor Recreation Freshman Seminar	Travel/Lodging/Transport	Flat	\$45.00	\$45.00	0.0%
Col of Ed & Human Devel	Outdoor Recreation Special Topics Activities Fee	Travel/Lodging/Transport	Flat	\$75.00	\$75.00	0.0%
Col of Ed & Human Devel	PE Equipment & Facility Tier 4	Access/Rent/Usage/Own	Flat	\$20.00	\$20.00	0.0%
Col of Ed & Human Devel	PE Equipment & Facility Tier 5	Access/Rent/Usage/Own	Flat	\$13.00	\$13.00	0.0%
Col of Ed & Human Devel	PE Equipment Fee Tier 7	Access/Rent/Usage/Own	Flat	\$65.00	\$65.00	0.0%
Col of Ed & Human Devel	PE Equipment Tier 1	Access/Rent/Usage/Own	Flat	\$2.00	\$2.00	0.0%
Col of Ed & Human Devel	PE Fee Tier 6 - Lifeguards	Personnel	Flat	\$18.00	\$18.00	0.0%
Col of Ed & Human Devel	Prevent Athletic Injuries Course Materials	Consumable Materials	Flat	\$12.00	\$12.00	0.0%
Col of Ed & Human Devel	School Psych Resource & Assessment Protocols 2nd	Access/Rent/Usage/Own	Flat	\$25.00	\$45.00	80.0%
Col of Ed & Human Devel	Scuba facilities	Access/Rent/Usage/Own	Flat	\$120.00	\$120.00	0.0%
Col of Ed & Human Devel	Skiing/Snowboarding	Access/Rent/Usage/Own	Flat	\$110.00	\$110.00	0.0%
Col of Ed & Human Devel	Social Work Fieldwork	Service	PerCredit	\$17.00	\$18.00	5.9%
Col of Ed & Human Devel	Teaching Elem School PE	Access/Rent/Usage/Own	Flat	\$10.00	\$10.00	0.0%
Col of Ed & Human Devel	Testing/Intervention Materials	Access/Rent/Usage/Own	Flat	\$40.00	\$45.00	12.5%
Col of Ed & Human Devel	Wilderness & Adventure Educ travel	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Ed & Human Devel	Youth Studies Field Trip	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Ed & Human Devel	Youth Studies Theatre Activities	Access/Rent/Usage/Own	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Horticulture Materials / HORT 3005W	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Adv Plant Propagation	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	AFEE 1001 Intro/Ag Educ, Comm & Marketing	Travel/Lodging/Transport	Flat	\$25.00	\$30.00	20.0%
Col of Food,Ag & Nat Rsrc Sci	AFEE 2051 Current Technical Competencies	Consumable Materials	Flat	\$20.00	\$38.00	90.0%
Col of Food,Ag & Nat Rsrc Sci	AFEE 3112 Bldg Construction Technology	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	AGRO 1101 Bio of Plant System	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Agroforestry Field Trip	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Agronomy & Plant Genetics Materials	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Agronomy Special Topics	Travel/Lodging/Transport	Flat	\$200.00	\$200.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Animal Science Field Trip	Travel/Lodging/Transport	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Animal Science Transportation & Lab Fee	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Animal Science Transportation & Lab Fee	Travel/Lodging/Transport	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 1101 Dairy Cattle	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 2012 - Swine/Sheep/Beef	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 2012 - Swine/Sheep/Beef	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4601 Pork Prod Systems Mgmt	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4601 Pork Prod Systems Mgmt	Travel/Lodging/Transport	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4602 - Sheep Production Systems	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC 4602 - Sheep Production Systems	Travel/Lodging/Transport	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC2012 Livestock & Carcass Evaluation	Access/Rent/Usage/Own	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ANSC3509 Animal Biotechnology	Consumable Materials	Flat		\$70.00	new
Col of Food,Ag & Nat Rsrc Sci	APEC 1001 Orientation to Appl Econ	Travel/Lodging/Transport	Flat	\$25.00	\$30.00	20.0%
Col of Food,Ag & Nat Rsrc Sci	Aquatic Insects	Consumable Materials	Flat	\$35.00	\$45.00	28.6%
Col of Food,Ag & Nat Rsrc Sci	BBE 3013 Eng Prin Cell Proc	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 3023 Ecological Eng Principles	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 4402 / 5402 Eng Lab	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 4533 Sustainable Waste Mgmt Engr	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	BBE 4535 / 5535	Travel/Lodging/Transport	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Bioproducts & Biosystems Engineering Consumables	Consumable Materials	Flat	\$21.00	\$21.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Companion & Wild Species Reproduction	Consumable Materials	Flat	\$35.00	\$40.00	14.3%
Col of Food,Ag & Nat Rsrc Sci	Crops, Environment, & Society	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Dairy Cattle Judging	Travel/Lodging/Transport	Flat	\$110.00	\$110.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ENT 4251 Forest Shade Tree Entomology	Consumable Materials	Flat	\$15.00	\$20.00	33.3%
Col of Food,Ag & Nat Rsrc Sci	ENT 5021 / Insect Taxonomy and Phylogeny	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ENT 5025 / Field methods in Insect Taxonomy	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Entomology Materials & Equipment Tier 1	Consumable Materials	Flat	\$30.00	\$30.00	0.0%

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
Col of Food,Ag & Nat Rsrc Sci	Environmental Change	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ESPM 2021 Integrated Problem Solving	Travel/Lodging/Transport	Flat	\$6.00	\$6.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ESPM 3111 / ESPM 5111	Travel/Lodging/Transport	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	ESPM 4096 Prof Experience Program - SWC	Consumable Materials	Flat	\$34.50	\$34.50	0.0%
Col of Food,Ag & Nat Rsrc Sci	FDSY 1016W	Travel/Lodging/Transport	Flat	\$45.00	\$45.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FDSY 4101 Food System Sustainability	Travel/Lodging/Transport	Flat	\$35.00	\$35.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Field Ecology Equipment	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Field Silviculture	Travel/Lodging/Transport	Flat	\$60.00	\$60.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Field Study of Soils	Travel/Lodging/Transport	Flat	\$93.00	\$93.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Field Timber Harvesting	Travel/Lodging/Transport	Flat	\$60.00	\$60.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	First-Year Colloquium / Student Learning Initiative /	Travel/Lodging/Transport	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FMR< 5153 Marcell Experiment Forest	Travel/Lodging/Transport	Flat	\$124.00	\$124.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 3104 / 5104 Forest Ecology	Consumable Materials	Flat	\$70.00	\$70.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FNRM 5161 Northern Forest Field Course	Consumable Materials	Flat	\$21.00	\$21.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Food Sci - Cultural Aspects of Food	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Forestry Assessent & Modeling	Travel/Lodging/Transport	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Forestry Field Session Cloquet - Field Remote Sensing	Travel/Lodging/Transport	Flat	\$70.00	\$70.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Forestry Field Session Cloquet Lodging	Travel/Lodging/Transport	Flat	\$84.00	\$84.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Forestry Field Trip	Travel/Lodging/Transport	Flat	\$45.00	\$45.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 1011 Science of Food & Cooking	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 1090 Topics	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 2001 / 3480	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 2002 Cooking	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 2021 Introductory Microbiology	Consumable Materials	Flat	\$70.00	\$70.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 3102	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 3612 Life Cycle Nutritrion	Consumable Materials	Flat		\$5.00	new
Col of Food,Ag & Nat Rsrc Sci	FSCN 4121 Food Microbiology	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4311 Chemical Reactions in Food Systems	Consumable Materials	Flat	\$80.00	\$80.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4312 Food Analysis	Consumable Materials	Flat	\$85.00	\$85.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4332: Food Processing Operations	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4349 Food Science Capstone	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4481 Sensory Eval of Food Quality	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4613 Experimental Nutrition	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 4614W Community Nutrition	Consumable Materials	Flat		\$10.00	new
Col of Food,Ag & Nat Rsrc Sci	FSCN 5312 Food Analysis	Consumable Materials	Flat	\$85.00	\$85.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN 5521 Flavor Technology	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FSCN Food Science Materials & Services	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FW 3106/3108 Cloquet & Itasca	Travel/Lodging/Transport	Flat	\$700.00	\$700.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FW 4136 Ichthyology	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	FWBC Cloquet Lodging & Meals (2 weeks)	Travel/Lodging/Transport	Flat	\$350.00	\$350.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 1014 Edible Landscapes	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 3131/5131 Student Organic Farming	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 4015 / 4141W / 5071	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 4071W / 5011	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Hort 4601 Aquaponics	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 5012 Med Plants	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	HORT 5059 Plant Cytogenetics Lab	Consumable Materials	Flat	\$90.00	\$90.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Horticulture Materials Floral Design	Consumable Materials	Flat	\$200.00	\$200.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Horticulture Organic Food Field Trip	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Important Plants/Habitats Field Methods Crookston	Travel/Lodging/Transport	Flat	\$964.00	\$964.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Live Animal Access / ANSC 4603 and ANSC 4613	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Live Animal Access / ANSC 4603 and ANSC 4613	Travel/Lodging/Transport	Flat	\$100.00	\$100.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Lodging / Meals at Summer Field Session held at	Travel/Lodging/Transport	Flat	\$640.00	\$640.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Managing for Ecosystems: Silviculture	Travel/Lodging/Transport	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Managing Recreational Lands	Travel/Lodging/Transport	Flat	\$17.00	\$17.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Northern Forests/Cloquet - Identifying Forest Plants	Travel/Lodging/Transport	Flat	\$160.00	\$160.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Organic Vegetation Production	Travel/Lodging/Transport	Flat	\$25.00	\$25.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Orientation and Information Systems / FNRM 1001	Travel/Lodging/Transport	Flat	\$65.00	\$65.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Park & Protected Mgmt - BWCA	Travel/Lodging/Transport	Flat	\$674.00	\$674.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	PIPA Intro to Fungal Biology	Consumable Materials	Flat	\$32.00	\$32.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Plant Pathology Fee	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Plant Production	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Plant Production	Travel/Lodging/Transport	Flat	\$34.00	\$34.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Plant Propagation	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	PLSC 3005W Intro to Plant Physiology	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Sensory Evaluation of Food Quality	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Soil Conservation & Land Use Management	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Soil Formation	Travel/Lodging/Transport	Flat	\$35.00	\$35.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Soil Science Field Trips	Travel/Lodging/Transport	Flat	\$217.00	\$217.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	SSM 1004 Orientation	Travel/Lodging/Transport	Flat	\$65.00	\$65.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	SSM Sustainable Manufacturing	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Stream & River Ecology	Travel/Lodging/Transport	Flat		\$30.00	new

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
Col of Food,Ag & Nat Rsrc Sci	Student Learning Communities Initiative / Freshmen	Travel/Lodging/Transport	Flat	\$55.00	\$55.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Student Learning Communities Initiative BBE	Travel/Lodging/Transport	Flat	\$75.00	\$80.00	6.7%
Col of Food,Ag & Nat Rsrc Sci	Student Learning Communities Initiative FW	Travel/Lodging/Transport	Flat	\$70.00	\$70.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	SUST 4004 Sustainable Communities	Travel/Lodging/Transport	Flat	\$80.00	\$60.00	-25.0%
Col of Food,Ag & Nat Rsrc Sci	Timber Harvesting & Road Planning - Lodging	Travel/Lodging/Transport	Flat	\$30.00	\$30.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Timber Harvesting & Road Planning - Transportation	Travel/Lodging/Transport	Flat	\$60.00	\$60.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Usage fee / horses	Access/Rent/Usage/Own	Flat	\$150.00	\$150.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Vines & Wines	Consumable Materials	Flat	\$80.00	\$80.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Wetland Soils Fee	Travel/Lodging/Transport	Flat	\$55.00	\$55.00	0.0%
Col of Food,Ag & Nat Rsrc Sci	Wildlife Handling / Carlos Avery Wildlife Ctr., Forest	Service	Flat	\$500.00	\$500.00	0.0%
Col of Liberal Arts	Anthropology Fee - Tier 1	(multiple components)	Flat	\$10.00	\$10.00	0.0%
Col of Liberal Arts	Anthropology Fee - Tier 2	(multiple components)	Flat	\$20.00	\$20.00	0.0%
Col of Liberal Arts	Anthropology Fee - Tier 3	(multiple components)	Flat	\$60.00	\$60.00	0.0%
Col of Liberal Arts	Anthropology-Archaeology Field School Summer Fee	(multiple components)	Flat	\$385.00	\$385.00	0.0%
Col of Liberal Arts	ARTS - 3D Modeling	(multiple components)	Flat	\$95.00	\$95.00	0.0%
Col of Liberal Arts	ARTS - Body Electric & New Media	Consumable Materials	Flat	\$150.00	\$150.00	0.0%
Col of Liberal Arts	ARTS - Ceramics Tier 1	(multiple components)	Flat	\$115.00	\$115.00	0.0%
Col of Liberal Arts	ARTS - Ceramics Tier 2	(multiple components)	Flat	\$170.00	\$170.00	0.0%
Col of Liberal Arts	ARTS - Critical Theories	(multiple components)	Flat	\$30.00	\$20.00	-33.3%
Col of Liberal Arts	ARTS - Digital Drawing	(multiple components)	Flat	\$85.00	\$55.00	-35.3%
Col of Liberal Arts	ARTS - Dimensional Painting	(multiple components)	Flat		\$45.00	new
Col of Liberal Arts	ARTS - DPP Zines, Comics and books	(multiple components)	Flat	\$120.00	\$145.00	20.8%
Col of Liberal Arts	ARTS - Drawing & Painting Tier 1	(multiple components)	Flat	\$65.00	\$60.00	-7.7%
Col of Liberal Arts	ARTS - Drawing & Painting Tier 2	(multiple components)	Flat	\$80.00	\$65.00	-18.8%
Col of Liberal Arts	ARTS - Drawing & Painting Tier 3	(multiple components)	Flat	\$100.00	\$85.00	-15.0%
Col of Liberal Arts	ARTS - Exhibition Fee	Consumable Materials	Variable	\$2.00 - \$350.00	\$2.00 - \$350.00	0.0%
Col of Liberal Arts	ARTS - Filmmaking	(multiple components)	Flat	\$110.00	\$110.00	0.0%
Col of Liberal Arts	ARTS - Grad Practice, thesis and theoretical	Personnel	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	ARTS - IASP Art and Ecology	(multiple components)	Flat	\$70.00	\$70.00	0.0%
Col of Liberal Arts	ARTS - IASP Interdisciplinary Media Collaborations	(multiple components)	Flat	\$80.00	\$80.00	0.0%
Col of Liberal Arts	ARTS - IASP The performative in art	(multiple components)	Flat	\$45.00	\$20.00	-55.6%
Col of Liberal Arts	ARTS - MFA Studio & Creative Thesis	Consumable Materials	PerCredit		\$30.00	new
Col of Liberal Arts	ARTS - Nash Internship	Personnel	Flat	\$25.00	\$10.00	-60.0%
Col of Liberal Arts	ARTS - Photography - Tier 1	(multiple components)	Flat	\$60.00	\$62.00	3.3%
Col of Liberal Arts	ARTS - Photography - Tier 2	(multiple components)	Flat	\$50.00	\$105.00	110.0%
Col of Liberal Arts	ARTS - Photography - Tier 3	(multiple components)	Flat	\$55.00	\$55.00	0.0%
Col of Liberal Arts	ARTS - PMI Tier 4	(multiple components)	Flat	\$100.00	\$115.00	15.0%
Col of Liberal Arts	ARTS - Printmaking Tier 1	(multiple components)	Flat	\$115.00	\$110.00	-4.3%
Col of Liberal Arts	ARTS - Printmaking Tier 2	(multiple components)	Flat	\$125.00	\$125.00	0.0%
Col of Liberal Arts	ARTS - Professional practices in art	(multiple components)	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	ARTS - Sculpture - Tier 1	(multiple components)	Flat	\$85.00	\$85.00	0.0%
Col of Liberal Arts	ARTS - Sculpture - Tier 3	(multiple components)	Flat	\$120.00	\$130.00	8.3%
Col of Liberal Arts	ARTS - Sculpture - Tier 4	(multiple components)	Flat	\$195.00	\$195.00	0.0%
Col of Liberal Arts	ARTS - Sculpture - Tier 4	Access/Rent/Usage/Own	Flat		\$2-\$350	new
Col of Liberal Arts	ARTS - Studio Arts	(multiple components)	Flat	\$15.00	\$10.00	-33.3%
Col of Liberal Arts	ARTS - Studio Critique Guest Artist Fee	Personnel	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	ARTS - Watercolor painting	(multiple components)	Flat	\$50.00	\$55.00	10.0%
Col of Liberal Arts	ARTS DPP advanced painting	(multiple components)	Flat	\$65.00	\$50.00	-23.1%
Col of Liberal Arts	ARTS DPP Figure Drawing	(multiple components)	Flat	\$70.00	\$70.00	0.0%
Col of Liberal Arts	BFA Costumes and Props	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	BFA Voice Equipment	Access/Rent/Usage/Own	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	Comm Studies - Tier 1	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Col of Liberal Arts	Comm Studies - Tier 2	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Liberal Arts	Dance - Survival Strategies in Dance	Service	Flat	\$100.00	\$100.00	0.0%
Col of Liberal Arts	Dance Accompanist Tier 1	Personnel	Flat	\$60.00	\$60.00	0.0%
Col of Liberal Arts	Dance Accompanist Tier 2	Personnel	Flat	\$70.00	\$70.00	0.0%
Col of Liberal Arts	Dance Accompanist Tier 3	Personnel	Flat	\$90.00	\$90.00	0.0%
Col of Liberal Arts	Dance Accompanist Tier 4	Personnel	Flat	\$100.00	\$100.00	0.0%
Col of Liberal Arts	FREN 3650 Travel Fee French/Francophone Cultures	Travel/Lodging/Transport	Flat		\$1,115.00	new
Col of Liberal Arts	Interdept Study - Career Plan	Exam/Assessment	Flat	\$45.00	\$45.00	0.0%
Col of Liberal Arts	Interdept Study - Career Readiness for CLA Students	Exam/Assessment	Flat	\$20.00	\$20.00	0.0%
Col of Liberal Arts	Interdept Study - Major & Career Exploration	Exam/Assessment	Flat	\$35.00	\$20.00	-42.9%
Col of Liberal Arts	Interdept'l Study - Assessment	Exam/Assessment	Flat	\$10.00	\$10.00	0.0%
Col of Liberal Arts	Journalism/Mass Communications Digital Lab	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Col of Liberal Arts	Latino Immigration on the US/Mexican Border	Travel/Lodging/Transport	Flat	\$1,352.00	\$1,345.00	-0.5%
Col of Liberal Arts	Lives Worth Living - 1 Week Option	Confirmation/Deposit	Flat	\$250.00	\$250.00	0.0%
Col of Liberal Arts	Lives Worth Living - 1 Week Option	Tuition	Flat	\$750.00	\$1,010.00	34.7%
Col of Liberal Arts	Lives Worth Living - 4 Week Option	Confirmation/Deposit	Flat	\$500.00	\$380.00	-24.0%
Col of Liberal Arts	Lives Worth Living - 4 Week Option	Travel/Lodging/Transport	Flat	\$500.00	\$500.00	0.0%
Col of Liberal Arts	Lives Worth Living - 4 Week Option	Tuition	Flat	\$1,500.00	\$2,020.00	34.7%

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
Col of Liberal Arts	Marching/Pep Band - Program	Program	Flat	\$100.00	\$100.00	0.0%
Col of Liberal Arts	Music - Applied Music Lessons - Elective	Individual Instruction	PerCredit	\$175.00	\$182.00	4.0%
Col of Liberal Arts	Music - Applied Music Lessons - Majors	Individual Instruction	PerCredit	\$55.00	\$57.25	4.1%
Col of Liberal Arts	Music - Applied Music Lessons - Music Education	Individual Instruction	PerCredit	\$110.00	\$114.00	3.6%
Col of Liberal Arts	Music - Applied Music Lessons - Secondary	Individual Instruction	PerCredit	\$80.00	\$83.25	4.1%
Col of Liberal Arts	Music - Piano Course Fee	Access/Rent/Usage/Own	Flat	\$40.00	\$40.00	0.0%
Col of Liberal Arts	Music Education - Brass	Access/Rent/Usage/Own	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Music Education - Percussion	Access/Rent/Usage/Own	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	Music Education - Strings	Access/Rent/Usage/Own	Flat	\$45.00	\$45.00	0.0%
Col of Liberal Arts	Music Education - Woodwinds	Access/Rent/Usage/Own	Flat	\$110.00	\$110.00	0.0%
Col of Liberal Arts	Music Scores for Ensembles	Access/Rent/Usage/Own	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	Nash Gallery Exhibition	Travel/Lodging/Transport	Flat	\$6.00	\$6.00	0.0%
Col of Liberal Arts	SLHS - Clinical Education	(multiple components)	PerCredit	\$15.00	\$15.00	0.0%
Col of Liberal Arts	Spanish - Latino Immigration	Consumable Materials	Flat	\$5.00	\$5.00	0.0%
Col of Liberal Arts	Spanish - Latino Immigration	Personnel	Flat	\$15.00	\$15.00	0.0%
Col of Liberal Arts	Spanish - Latino Immigration	Service	Flat	\$5.00	\$5.00	0.0%
Col of Liberal Arts	Theater Arts - Accompanist - Tier 2	Personnel	Flat	\$90.00	\$90.00	0.0%
Col of Liberal Arts	Theatre Arts - Accompanist - Tier 1	Personnel	Flat	\$70.00	\$100.00	42.9%
Col of Liberal Arts	Theatre Arts - Acting for Camera	Access/Rent/Usage/Own	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	Theatre Arts - Acting for Camera	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	Theatre Arts - BFA Collaboration	Access/Rent/Usage/Own	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Theatre Arts - BFA Collaboration	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Col of Liberal Arts	Theatre Arts - BFA Collaboration	Personnel	Flat	\$40.00	\$40.00	0.0%
Col of Liberal Arts	Theatre Arts - Circus	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	Theatre Arts - Collaboration	Access/Rent/Usage/Own	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	Theatre Arts - Collaboration	Consumable Materials	Flat		\$35.00	new
Col of Liberal Arts	Theatre Arts - Costume	Consumable Materials	Flat	\$175.00	\$175.00	0.0%
Col of Liberal Arts	Theatre Arts - Design & Tech	Consumable Materials	Flat		\$15.00	new
Col of Liberal Arts	Theatre Arts - Makeup	Consumable Materials	Flat	\$90.00	\$90.00	0.0%
Col of Liberal Arts	Theatre Arts - Performance Ticket Fee (Artshare)	Travel/Lodging/Transport	Flat	\$48.00	\$48.00	0.0%
Col of Liberal Arts	Theatre Arts - Performance Ticket Fee (Various)	Travel/Lodging/Transport	Flat	\$75.00	\$75.00	0.0%
Col of Liberal Arts	Theatre Arts - Puppetry	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Liberal Arts	Theatre Arts - Stage Materials	Consumable Materials	Flat	\$75.00	\$75.00	0.0%
Col of Pharmacy	APPE Germany - TC	Travel/Lodging/Transport	Flat	\$4,000.00	\$4,000.00	0.0%
Col of Pharmacy	APPE Germany - UMD	Travel/Lodging/Transport	Flat	\$4,000.00	\$4,000.00	0.0%
Col of Pharmacy	Pharm Practice & Hlth Care in MN & Portugal	Program	Flat	\$1,350.00	\$1,350.00	0.0%
Col of Pharmacy	SAAMII: Modeling, Simulating & Analyzying Data	Access/Rent/Usage/Own	Flat	\$65.00	\$65.00	0.0%
Col of Sci & Engineering	Aerospace Design Problems	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Col of Sci & Engineering	Aerospace Vehicle Design	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Sci & Engineering	Biomed Engineering Course 10	Consumable Materials	Flat	\$30.00	\$35.00	16.7%
Col of Sci & Engineering	Biomed Engineering Course 11	Consumable Materials	Flat		\$75.00	new
Col of Sci & Engineering	Biomed Engineering Course 9	Consumable Materials	Flat	\$60.00	\$30.00	-50.0%
Col of Sci & Engineering	Biomed Engineering Lab 2	Consumable Materials	Flat	\$20.00	\$15.00	-25.0%
Col of Sci & Engineering	Biomed Engineering Lab 5	Consumable Materials	Flat	\$17.50	\$17.50	0.0%
Col of Sci & Engineering	Biomed Engineering Lab 6	Consumable Materials	Flat	\$50.00	\$45.00	-10.0%
Col of Sci & Engineering	Biomed Engineering Lab 7	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Col of Sci & Engineering	Biomedical Engineering Tissue Engineering Course 8	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Col of Sci & Engineering	CEGE 1501 - Environmental Issues & Solutions	Consumable Materials	Flat	\$53.00	\$50.00	-5.7%
Col of Sci & Engineering	CEGE 3402W Civil Engineering Materials	Consumable Materials	Flat	\$53.00	\$50.00	-5.7%
Col of Sci & Engineering	CEGE 3541 Environmental Engineering Laboratory	Consumable Materials	Flat	\$53.00	\$53.00	0.0%
Col of Sci & Engineering	CEGE 4561 - Solid Hazardous Wastes	Travel/Lodging/Transport	Flat	\$10.00	\$10.00	0.0%
Col of Sci & Engineering	CEMS Lab Fe CHEN 3401	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Col of Sci & Engineering	CEMS Lab Fee CHEN 4401	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Col of Sci & Engineering	CEMS Lab Fee MATS 2002	Consumable Materials	Flat	\$50.00	\$40.00	-20.0%
Col of Sci & Engineering	CEMS Lab Fee MATS 3801	Access/Rent/Usage/Own	Flat	\$200.00	\$200.00	0.0%
Col of Sci & Engineering	CEMS Lab Fee MATS 3851	Access/Rent/Usage/Own	Flat	\$100.00	\$100.00	0.0%
Col of Sci & Engineering	CEMS Lab Fee MATS 4221	Access/Rent/Usage/Own	Flat	\$30.00	\$30.00	0.0%
Col of Sci & Engineering	CHEM 1017 - Chemistry Lab Fee	Consumable Materials	Flat	\$63.00	\$63.00	0.0%
Col of Sci & Engineering	CHEM 1065 - Chemistry Lab Fee	Consumable Materials	Flat	\$61.00	\$61.00	0.0%
Col of Sci & Engineering	CHEM 1066 - Chemistry Lab Fee	Consumable Materials	Flat	\$62.00	\$62.00	0.0%
Col of Sci & Engineering	CHEM 1075H - Chemistry Lab Fee	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Col of Sci & Engineering	CHEM 1076H - Chemistry Lab Fee	Consumable Materials	Flat	\$61.00	\$61.00	0.0%
Col of Sci & Engineering	CHEM 1086 - Life Sciences II Chemistry Lab Fee	Consumable Materials	Flat	\$83.00	\$83.00	0.0%
Col of Sci & Engineering	CHEM 2085 - Chemistry Lab Fee	Consumable Materials	Flat	\$133.00	\$133.00	0.0%
Col of Sci & Engineering	CHEM 2111 - Chemistry Lab Fee	Consumable Materials	Flat	\$71.00	\$71.00	0.0%
Col of Sci & Engineering	CHEM 2121 - Chemistry Lab Fee	Consumable Materials	Flat	\$71.00	\$71.00	0.0%
Col of Sci & Engineering	CHEM 2311 - Chemistry Lab Fee	Consumable Materials	Flat	\$98.00	\$98.00	0.0%
Col of Sci & Engineering	CHEM 2312H - Chemistry Lab Fee	Consumable Materials	Flat	\$259.00	\$259.00	0.0%
Col of Sci & Engineering	CHEM 4111W - Chemistry Lab Fee	Consumable Materials	Flat	\$117.00	\$117.00	0.0%

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
Col of Sci & Engineering	CHEM 4223W - Polymer Chemistry Lab Fee	Consumable Materials	Flat	\$99.00	\$99.00	0.0%
Col of Sci & Engineering	CHEM 4311W - Chemistry Lab Fee	Consumable Materials	Flat	\$205.00	\$205.00	0.0%
Col of Sci & Engineering	CHEM 4423 - Chemistry Chem Bio Lab Fee	Consumable Materials	Flat	\$215.00	\$215.00	0.0%
Col of Sci & Engineering	CHEM 4511W - Chemistry Lab Fee	Consumable Materials	Flat	\$64.00	\$64.00	0.0%
Col of Sci & Engineering	CHEM 4711W - Chemistry Lab Fee	Consumable Materials	Flat	\$143.00	\$143.00	0.0%
Col of Sci & Engineering	CHEN 4223 - Polymer Chemistry Lab Fee	Consumable Materials	Flat	\$99.00	\$99.00	0.0%
Col of Sci & Engineering	EE 1301 - Introduction to Computing Systems	Consumable Materials	Flat	\$54.00	\$51.00	-5.6%
Col of Sci & Engineering	EE 2002 - Basic Circuits and Electronics Lab	Consumable Materials	Flat	\$46.00	\$47.00	2.2%
Col of Sci & Engineering	EE 2301 - Introduction to Digital System Design	Consumable Materials	Flat	\$88.00	\$91.00	3.4%
Col of Sci & Engineering	EE 2361 - Introduction to Microcontrollers	Consumable Materials	Flat	\$52.00	\$50.00	-3.8%
Col of Sci & Engineering	EE 3006 - Fundamentals of Electrical Engineering	Consumable Materials	Flat	\$34.00	\$45.00	32.4%
Col of Sci & Engineering	EE 3102 - Circuits and Electronics Lab II	Consumable Materials	Flat	\$22.00	\$22.00	0.0%
Col of Sci & Engineering	ESCI 1902 Field Trips Fee - Geology of Minnesota	Travel/Lodging/Transport	Flat	\$15.00	\$7.00	-53.3%
Col of Sci & Engineering	ESCI 1907, Caves and Karst Field Trip fees	Travel/Lodging/Transport	Flat	\$40.00	\$50.00	25.0%
Col of Sci & Engineering	ESCI 2201 Field Trip	Travel/Lodging/Transport	Flat	\$18.00	\$14.00	-22.2%
Col of Sci & Engineering	ESCI 2203 Lab	Travel/Lodging/Transport	Flat	\$30.00	\$17.00	-43.3%
Col of Sci & Engineering	ESCI 2302 Field Trip	Travel/Lodging/Transport	Flat	\$20.00	\$20.00	0.0%
Col of Sci & Engineering	ESCI 3911 Field Camp Intro	Travel/Lodging/Transport	Flat	\$1,400.00	\$1,400.00	0.0%
Col of Sci & Engineering	ESCI 4501/8501 Field Trip	Travel/Lodging/Transport	Flat	\$75.00	\$82.00	9.3%
Col of Sci & Engineering	ESCI 4701, Geomorphology	Travel/Lodging/Transport	Flat	\$55.00	\$55.00	0.0%
Col of Sci & Engineering	ESCI 4702 Field Trip	Travel/Lodging/Transport	Flat	\$180.00	\$180.00	0.0%
Col of Sci & Engineering	ESCI 4703 Glacial Field Trips	Travel/Lodging/Transport	Flat	\$75.00	\$100.00	33.3%
Col of Sci & Engineering	ESCI 4911 Field Camp Advanced	Travel/Lodging/Transport	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Sci & Engineering	ESCI 4971W/5971 Field Camp Hydrogeo	Travel/Lodging/Transport	Flat	\$1,240.00	\$1,240.00	0.0%
Col of Sci & Engineering	MATS 4223 - Polymer Chemistry Lab Fee	Consumable Materials	Flat	\$99.00	\$99.00	0.0%
Col of Sci & Engineering	ME 3222 - Design and Manufacturing II	Consumable Materials	Flat	\$15.00	\$15.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Design & Manufac - ME 3221	Consumable Materials	Flat	\$55.00	\$55.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Design Projects - ME 4054W	Consumable Materials	Flat	\$47.00	\$47.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Fluid Power Control - ME	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Measurements Lab -	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Mot Con Lab - ME 4231	Consumable Materials	Flat	\$20.00	\$20.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Robot Course - ME 2011	Consumable Materials	Flat	\$45.00	\$45.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Therm Envir Eng Lab - ME	Consumable Materials	Flat	\$45.00	\$45.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Thermal Engr Lab - ME 4331	Consumable Materials	Flat	\$45.00	\$45.00	0.0%
Col of Sci & Engineering	Mechanical Engineering - Vibration Eng Lab - ME 4233	Consumable Materials	Flat	\$45.00	\$45.00	0.0%
Col of Sci & Engineering	MOT Study Abroad Fee (Middle East)	Travel/Lodging/Transport	Flat	\$6,500.00	\$6,500.00	0.0%
Col of Sci & Engineering	UNITE Fee - Section 881	E-Learn	PerCredit	\$100.00	\$100.00	0.0%
Col of Sci & Engineering	UNITE Fee - Sections 883 & 885	E-Learn	PerCredit	\$100.00	\$100.00	0.0%
Col of Veterinary Med	Companion Animal Anatomy Lab Fee	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Col of Veterinary Med	Engaging Intergovernmental Organizations	Travel/Lodging/Transport	Flat	\$915.00	\$915.00	0.0%
Col of Veterinary Med	Equine Reproductive Management	Travel/Lodging/Transport	Flat	\$50.00	\$50.00	0.0%
Col of Veterinary Med	Farm to Table	Travel/Lodging/Transport	Flat	\$575.00	\$575.00	0.0%
Col of Veterinary Med	Food Production	Travel/Lodging/Transport	Flat	\$185.00	\$185.00	0.0%
Col of Veterinary Med	General Microbiology	Consumable Materials	Flat	\$90.00	\$90.00	0.0%
Col of Veterinary Med	Veterinary & Biomedical Services Lab Fee	Consumable Materials	Flat	\$125.00	\$125.00	0.0%
Health Sciences	5112 Application of Diagnostic Microbiology	Consumable Materials	Flat	\$250.00	\$250.00	0.0%
Health Sciences	5212 Application of Hematology & Hemostasis	Consumable Materials	Flat	\$70.00	\$70.00	0.0%
Health Sciences	5311-Fundamental Biomedical Laboratory	Access/Rent/Usage/Own	Flat	\$25.00	\$25.00	0.0%
Health Sciences	5311-Fundamental Biomedical Laboratory	Consumable Materials	Flat	\$186.00	\$190.00	2.2%
Health Sciences	5312 Body Fluid Analysis TC	Consumable Materials	Flat	\$131.00	\$131.00	0.0%
Health Sciences	5514 Application of Transfusion Medicine Principles	Consumable Materials	Flat	\$180.00	\$184.00	2.2%
Health Sciences	5701 Clinical Experience Microbiology-TC	Consumable Materials	Flat	\$7.00	\$7.00	0.0%
Health Sciences	Appl Therapeutic Horticulture	Consumable Materials	Flat	\$60.00	\$60.00	0.0%
Health Sciences	CSH Food Matters	Access/Rent/Usage/Own	Flat	\$120.00	\$135.00	12.5%
Health Sciences	CSH Food Matters	Consumable Materials	Flat	\$35.00	\$40.00	14.3%
Health Sciences	CSPH Hawaii Lodging	Travel/Lodging/Transport	Variable	\$400 - \$4,500	\$400 - \$4,500	0.0%
Health Sciences	CSPH Healing Imagery	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Health Sciences	CSPH Healing Imagery	Personnel	Flat	\$435.00	\$435.00	0.0%
Health Sciences	CSPH Healing Imagery	Travel/Lodging/Transport	Flat	\$97.00	\$97.00	0.0%
Health Sciences	CSPH Indigenous Hawaiian Healing	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Health Sciences	CSPH Indigenous Hawaiian Healing	Personnel	Flat	\$435.00	\$435.00	0.0%
Health Sciences	CSPH Indigenous Hawaiian Healing	Travel/Lodging/Transport	Flat	\$138.00	\$138.00	0.0%
Health Sciences	CSPH one-credit Hawaii courses	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Health Sciences	CSPH one-credit Hawaii courses	Personnel	Flat	\$435.00	\$435.00	0.0%
Health Sciences	CSPH one-credit Hawaii courses	Travel/Lodging/Transport	Flat	\$73.00	\$73.00	0.0%
Health Sciences	CSPH Wellbeing/Resiliency for Health Professionals	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Health Sciences	CSPH Wellbeing/Resiliency for Health Professionals	Personnel	Flat	\$435.00	\$435.00	0.0%
Health Sciences	CSPH Wellbeing/Resiliency for Health Professionals	Travel/Lodging/Transport	Flat	\$97.00	\$97.00	0.0%
Health Sciences	Health Coaching	Consumable Materials	Flat	\$300.00	\$300.00	0.0%

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
Health Sciences	Health Coaching Group Sessions	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Health Sciences	Microscope Fee I	Access/Rent/Usage/Own	Flat	\$25.00	\$25.00	0.0%
Health Sciences	Microscope Fee II	Access/Rent/Usage/Own	Flat	\$50.00	\$50.00	0.0%
Health Sciences	OT 7596-Level II Fieldwork I	Consumable Materials	Flat	\$27.00	\$28.00	3.7%
Health Sciences	OT 6100-Pub & Prof Engagement 1	Consumable Materials	Flat	\$21.00	\$21.00	0.0%
Health Sciences	OT 6102-Professional Identity - Behaviors and	Consumable Materials	Flat	\$12.00	\$13.00	8.3%
Health Sciences	OT 6111-Occupations as Therapy	Consumable Materials	Flat	\$8.00	\$8.00	0.0%
Health Sciences	OT 6201-Functional Anatomy/Kinesiology	Consumable Materials	Flat	\$13.00	\$14.00	7.7%
Health Sciences	OT 6202-OTPI: Compensation	Consumable Materials	Flat	\$66.00	\$67.00	1.5%
Health Sciences	OT 6301-Neuroscience	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Health Sciences	OT 6402-Neurorehab Approaches	Consumable Materials	Flat	\$6.00	\$6.00	0.0%
Health Sciences	OT 6412-Orthotics & Prosthetics	Consumable Materials	Flat	\$36.00	\$36.00	0.0%
Health Sciences	OT 6422-Occupational Therapy: Group Context	Consumable Materials	Flat	\$30.00	\$31.00	3.3%
Health Sciences	OT 6432-OT Process for Individuals: Education	Consumable Materials	Flat	\$7.00	\$7.00	0.0%
Health Sciences	OT 7494 Scholarly Project	Consumable Materials	Flat	\$30.00	\$30.00	0.0%
Health Sciences	Practical Botanicals	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Health Sciences	Shamanism & Shamanic Healing	Consumable Materials	Flat	\$35.00	\$50.00	42.9%
Health Sciences	Shamanism & Shamanic Healing (off-site facility)	Access/Rent/Usage/Own	Flat	\$165.00	\$250.00	51.5%
Health Sciences	Summer Institute Meals	Travel/Lodging/Transport	Flat	\$120.00	\$175.00	45.8%
Health Sciences	Therapeutic Landscapes	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Law School	Law Summer Legal Study - China - LAW7701	Tuition	Flat	\$4,460.00	\$5,560.00	24.7%
Medical School	Microscope Fee (LAMP)	Access/Rent/Usage/Own	Flat	\$5.00	\$5.00	0.0%
Medical School	ANAT5999/7999 Head and Neck	Consumable Materials	Flat	\$8.00	\$8.00	0.0%
Medical School	ANAT6050 Gross Dental Anatomy	Consumable Materials	Flat	\$8.00	\$8.00	0.0%
Medical School	Course Fee - LAMP	Consumable Materials	Flat	\$5.00	\$8.00	60.0%
Medical School	Human AnatomyLabs:	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Medical School	INMD 6801 - Human Struc & Func (Histology lab fee)	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Medical School	INMD6801 Gross Anatomy	Consumable Materials	Flat	\$8.00	\$8.00	0.0%
Medical School	INMD6813 Neuroscience	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Medical School	Lab Supplies/Services - MICB	Consumable Materials	Flat	\$88.00	\$88.00	0.0%
Medical School	MED 6566 - Cardiovascular System (Duluth)	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Medical School	MED 6728 - Respiratory System (Duluth)	Consumable Materials	Flat	\$25.00	\$25.00	0.0%
Medical School	MED 6788 - Skin/Musculoskeletal (Duluth)	Consumable Materials	Flat	\$40.00	\$40.00	0.0%
Medical School	MORT 3151 - RA Lab	Consumable Materials	Flat	\$45.00	\$45.00	0.0%
Medical School	MORT 3161 - Embalming Laboratory	Consumable Materials	Flat	\$205.00	\$205.00	0.0%
Medical School	MORT 3171 - Human Anatomy	Consumable Materials	Flat	\$110.00	\$110.00	0.0%
Medical School	MORT 3379 - Clinical Rotation	Consumable Materials	Flat	\$70.00	\$70.00	0.0%
Medical School	NSC 5540 Biomedical Neuroscience Section 1	Service	Flat	\$1,600.00	\$1,600.00	0.0%
Medical School	NSC 5540 Biomedical Neuroscience Section 2	Service	Flat	\$1,000.00	\$1,000.00	0.0%
Medical School	NSC 5540 Biomedical Neuroscience Section 3	Service	Flat	\$800.00	\$800.00	0.0%
Medical School	NSC 5540 Biomedical Neuroscience Section 4	Service	Flat	\$200.00	\$200.00	0.0%
Medical School	NSCI 1100 Human Neuroanatomy	Consumable Materials	Flat	\$82.00	\$82.00	0.0%
Medical School	NSCI 5111 Medical Neuroscience	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Medical School	NSCI 6112 Medical Neuroscience	Consumable Materials	Flat	\$50.00	\$50.00	0.0%
Medical School	Pelvis & Urinary Symptoms	Consumable Materials	Flat	\$326.00	\$326.00	0.0%
Medical School	PHCL 4100-Lab Fee	Consumable Materials	Flat	\$100.00	\$100.00	0.0%
Medical School	PHSL 5510 Advanced Cardiac Physiology	Consumable Materials	Flat	\$525.00	\$525.00	0.0%
Medical School	PHSL3051 Human Physiology	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Medical School	PHSL3701 Physiology Lab	Consumable Materials	Flat	\$35.00	\$35.00	0.0%
Schl of Dentistry	DDS4 Advanced Practice Management Simulation	E-Learn	Flat	\$37.00	\$37.00	0.0%
Schl of Dentistry	Dent Clinic (for Non-Residents)	Tuition	PerCredit	\$1,344.00	\$1,344.00	0.0%
Schl of Dentistry	Dent Clinic (for Residents)	Tuition	PerCredit	\$726.00	\$726.00	0.0%
Schl of Dentistry	Dental Practice Readines DDS 1,2,3,4	E-Learn	Flat	\$74.00	\$74.00	0.0%
Schl of Dentistry	Dental Practice Readines DH2, DT2	E-Learn	Flat	\$37.00	\$37.00	0.0%
Schl of Dentistry	Drake P3 Personality Profile	Consumable Materials	Flat	\$10.00	\$10.00	0.0%
Schl of Dentistry	DT Principles of Exodontia and Minor Oral Surgery	Consumable Materials	Flat	\$55.00	\$55.00	0.0%
Schl of Dentistry	Endo lec-Typodonts	Consumable Materials	Flat	\$263.00	\$263.00	0.0%
Schl of Dentistry	Intro to Clinical Dentistry PASS (DDS6130)	Consumable Materials	Flat	\$545.00	\$545.00	0.0%
Schl of Dentistry	Operative Dentistry I	Consumable Materials	Flat	\$243.00	\$243.00	0.0%
Schl of Dentistry	Operative Dentistry II & III	Consumable Materials	Flat	\$310.00	\$310.00	0.0%
Schl of Dentistry	Operative-PASS (dds 6130)	Consumable Materials	Flat	\$750.00	\$750.00	0.0%
Schl of Dentistry	Oral Anatomy Lab	Consumable Materials	Flat	\$27.00	\$27.00	0.0%
Schl of Dentistry	Oral Anatomy -Manual and Supplies	Access/Rent/Usage/Own	Flat	\$19.00	\$19.00	0.0%
Schl of Dentistry	Ortho I	Consumable Materials	Flat	\$148.00	\$148.00	0.0%
Schl of Dentistry	PASS-DDS 6486 Preclinical Tech Lab-	Consumable Materials		\$867.00	\$840.00	-3.1%
Schl of Dentistry	Pre- Clinical Pros Lab II	Access/Rent/Usage/Own	Flat	\$814.00	\$840.00	3.2%
Schl of Dentistry	Pre-Clinical Pros Tech Lab IV	Consumable Materials	Flat	\$542.00	\$542.00	0.0%
Schl of Dentistry	Preclinical Prosth Tech Lab II	Consumable Materials	Flat	\$69.00	\$72.00	4.3%

Attachment 8:
University of Minnesota 2018-19 Tuition Plan: Course and Class Fees

Campus/College	Fee Name	Dimension	Rate type	2018 Amount	2019 Amount	Percent Change
Schl of Dentistry	Pros Lab V Partial Dentures	Consumable Materials	Flat	\$195.00	\$195.00	0.0%
Schl of Dentistry	Pros Tech lab Fee -PASS	Consumable Materials	Flat	\$203.00	\$221.00	8.9%
Schl of Dentistry	Pros Tech Lab IV- Complete Dentures	Consumable Materials	Flat	\$266.00	\$266.00	0.0%
Schl of Dentistry	Pros Tech Lab VI- Implants	Consumable Materials	Flat	\$328.00	\$345.00	5.2%
Schl of Dentistry	Pros topics in Dental Therapy (DT 5471)	Consumable Materials	Flat	\$164.00	\$172.00	4.9%
Schl of Nursing	Global Health through Study Abroad - Guatemala clinical	Travel/Lodging/Transport	Flat	\$120.00	\$116.00	-3.3%
Schl of Nursing	Global Health through Study Abroad - Iceland	Travel/Lodging/Transport	Flat	\$665.00	\$600.00	-9.8%
Schl of Nursing	Global Health through Study Abroad - Uganda	Travel/Lodging/Transport	Flat	\$745.00	\$450.00	-39.6%
Schl of Public Health	Executive PHAP Campus Learning Fee	Program	Flat	\$178.00	\$178.00	0.0%
Schl of Public Health	Public Health Institute	Program	PerCredit	\$25.00	\$25.00	0.0%
Schl of Public Health	Public Health Institute Field Trip Fee 1 (restructured)	Travel/Lodging/Transport	PerCredit	\$30.00	\$30.00	0.0%
Schl of Public Health	Public Health Institute Field Trip Fee 2 (restructured)	Travel/Lodging/Transport	PerCredit	\$50.00	\$50.00	0.0%
Schl of Public Health	Public Health Institute Field Trip Fee 3 (restructured)	Travel/Lodging/Transport	PerCredit	\$80.00	\$80.00	0.0%
Schl of Public Health	Public Health Institute Field Trip Fee 4 (restructured)	Travel/Lodging/Transport	PerCredit	\$100.00	\$100.00	0.0%
Senior VP & Provost	Leadership Minor Field Experience Internship	Tuition	Flat	\$6,220.00	\$6,220.00	0.0%
Senior VP & Provost	HECUA - Internship (4 credit)	Tuition	PerCredit	\$440.00	\$447.50	1.7%
Senior VP & Provost	HECUA - Internship (8 credit)	Tuition	PerCredit	\$440.00	\$446.25	1.4%
Senior VP & Provost	HECUA - Program Fee	Tuition	PerCredit	\$537.50	\$543.75	1.2%
Senior VP & Provost	HECUA - Program w/Opt Internship	Tuition	PerCredit	\$635.00	\$641.25	1.0%
Senior VP & Provost	HECUA - Summer Program	Tuition	Flat	\$1,585.00	\$1,685.00	6.3%
Senior VP & Provost	HECUA - Summer Program	Travel/Lodging/Transport	PerCredit	\$1,815.00	\$1,815.00	0.0%

Attachment 9:
University of Minnesota 2018-19 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2018 Amount	2019 Amount	Percent Change
Crookston	Application Fee - UMC	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Crookston	Application Fee - UMC Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Confirmation/Orientation Fee - New Students -	Fall/spring	6.0 - 999.99	Flat	\$100.00	\$100.00	0.0%
Crookston	Credit by Exam (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Crookston	Installment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Crookston	Late Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Crookston	NSF Check	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Crookston	Stop Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Crookston	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Late Registration - Week 3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Crookston	Transcript Request	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Crookston	Transcript Request - Priority National	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Crookston	Transcript Request - Priority Internation	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Crookston	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Crookston	Lost/Damaged Equipment (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$10.00 - \$50.00	\$10.00 - \$50.00	0.0%
Crookston	Technology Access - Late Return	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Crookston	Technology Access - Weekly Rental	Fall/spring/summer	0.05 - 999.99	Flat	\$31.00	\$31.00	0.0%
Crookston	Technology Access - Daily Rental	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Crookston	Technology Access - Insurance Deduction	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Crookston	Confirmation Deposit - Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$400.00	\$400.00	0.0%
Crookston	International Student Academic Fee *	Fall/spring	0.05 - 5.99	Flat	\$200.00	\$125.00	-37.5%
Crookston	International Student Academic Fee *	Fall/spring	6.00 - 999.99	Flat	\$200.00	\$250.00	25.0%
Crookston	International Student Academic Fee *	Summer	0.05 - 2.99	Flat		\$62.50	new
Crookston	International Student Academic Fee *	Summer	3.00 - 5.99	Flat		\$125.00	new
Crookston	International Student Academic Fee *	Summer	6.00 - 999.99	Flat		\$250.00	new
Crookston	* UMC International Student Academic Fee previously charged at \$200 for 0.00-999.99 credits for fall and spring. Fee now aligns with other campuses.						

Duluth

Duluth	Application Fee - UMD Undergrad Domestic	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Duluth	Application Fee - UMD Undergrad International	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Application for Non-Degree and Certificate	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Duluth	Confirmation/Orientation Fee - NAS & IUT	Fall/spring	0.05 - 999.99	Flat	\$80.00	\$100.00	25.0%
Duluth	Confirmation/Orientation Fee - Freshman (NHS)	Fall/spring	0.05 - 999.99	Flat	\$80.00	\$150.00	87.5%
Duluth	Credit by Exam (per credit)	Fall/spring/summer	1.0 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Duluth	Installment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Duluth	Late Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Duluth	NSF Check	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Duluth	Stop Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Duluth	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Duluth	Late Registration - Week3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Duluth	Transcript Request	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Duluth	Transcript Request - Priority National	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Duluth	Transcript Request - Priority International	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Duluth	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Duluth	Transportation Sustainability Fee	Fall/spring	6.00 - 999.99	Flat	\$15.00	\$16.00	6.7%
Duluth	CEHSP Post Baccalaureate Evaluation	Fall/spring/summer	0.05 - 999.99	Flat	\$31.00	\$31.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	0.05 - 2.99	Flat	\$103.00	\$103.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	3.0 - 5.99	Flat	\$206.00	\$206.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	6.0 - 8.99	Flat	\$309.00	\$309.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	9.0 - 11.99	Flat	\$412.00	\$412.00	0.0%
Duluth	Student Teaching Outside Area	Fall/spring/summer	12.0 - 999.99	Flat	\$618.00	\$618.00	0.0%
Duluth	Social Work Field Placement - Outside Duluth	Fall/spring/summer	0.05 - 999.99	Flat	\$480.00	\$480.00	0.0%
Duluth	Chemical Screening	Fall/spring/summer	0.05 - 999.99	Flat	\$125.00	\$125.00	0.0%
Duluth	Duluth Athletics/Athletic Facilities Fee	Fall/Spring	6.0 - 999.99	Flat	\$84.00	\$84.00	0.0%
Duluth	International Student Support Services	Fall/Spring	0.05 - 999.99	Flat	\$152.00	\$152.00	0.0%
Duluth	International Student Support Services	Summer	0.05 - 999.99	Flat	\$76.00	\$76.00	0.0%
Duluth	International Student Academic Fee	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Duluth	International Student Academic Fee	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Duluth	International Student Academic Fee	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Duluth	International Student Academic Fee	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Duluth	International Student Academic Fee	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%

Morris

Morris	Application Fee - UMM Electronic	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Morris	Application Fee - UMM Paper	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Morris	Application Fee - UMM Global Student Teaching	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Morris	Placement Fee - UMM Global Student Teaching	Fall/spring/summer	0.05-999.99	Flat	\$300.00	\$300.00	0.0%
Morris	Confirmation/Orientation Fee - Freshmen - UMM	Fall/spring/summer	0.05 - 999.99	Flat	\$175.00	\$175.00	0.0%

Attachment 9:
University of Minnesota 2018-19 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2018 Amount	2019 Amount	Percent Change
Morris	Confirmation/Orientation Fee - Transfers - UMM	Fall/spring/summer	0.05 - 999.99	Flat	\$175.00	\$175.00	0.0%
Morris	New Student Orintation Fee	Fall	0.05-999.99	Flat		\$100.00	new
Morris	Credit by Exam (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Morris	Installment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Morris	Late Payment	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Morris	NSF Check	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Morris	Stop Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Morris	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Morris	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Morris	Late Registration - Week 3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Morris	Transcript Request	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Morris	Transcript Request - Priority National	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Morris	Transcript Request - Priority Internation	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Morris	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Morris	MN Education Job Fair Pre-Registration	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Morris	MN Education Job Fair On-Site Registration	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Morris	Rental of Musical Instrument	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Morris	Rental of Space/Purchase of Storage Container	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Morris	Chemistry Lab Equipment Breakage Fee (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$150.00	\$5.00 - \$150.00	0.0%
Morris	UMM Orchestra Tour (location changes)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Morris	GST 1-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Morris	GST 2-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$300.00	\$300.00	0.0%
Morris	GST 3-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$450.00	\$450.00	0.0%
Morris	GST 4-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$600.00	\$600.00	0.0%
Morris	GST 5-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$750.00	\$750.00	0.0%
Morris	GST 6-Week Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$900.00	\$900.00	0.0%
Morris	Airfare Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00 - \$1600.00	\$100.00 - \$600.00	-62.5%
Morris	International Student Support Services	Fall/spring/summer	0.05 - 999.99	Flat	\$240.00	\$290.00	20.8%
Morris	National Student Exchange Program - UMM	Fall/spring/summer	0.05 - 999.99	Flat	\$230.00	\$230.00	0.0%
Morris	Nonaffiliated Study Abroad Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$200.00	\$500.00	150.0%
Morris	International Student Academic Fee	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Morris	International Student Academic Fee	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Morris	International Student Academic Fee	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Morris	International Student Academic Fee	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Morris	International Student Academic Fee	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%

Rochester

Rochester	Application Fee Online UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Rochester	Confirmation/Housing Deposit Fee - All Students - UMR (\$100 applied to rent)	Fall/spring/summer	0.05 - 999.99	Flat	\$125.00	\$50.00-\$125.00	0.0%
Rochester	Credit by Exam Fee UMR (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Rochester	Transcript Request	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Rochester	Transcript Request - Priority National	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Rochester	Transcript Request - Priority International	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Rochester	U Card Replacement Fee UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Rochester	UMR Laptop Non-return (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$50.00 - \$2,000.00	\$50.00 - \$2,000.00	0.0%
Rochester	Loss/Damage - UMR Student Housing (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$1,000.00	\$5.00 - \$1,000.00	0.0%
Rochester	Semester Bus Pass - UMR (previously a range)	Fall/spring/summer	0.05 - 999.99	Variable	\$60.00 - \$100.00	\$80.00	-20.0%
Rochester	Capstone Program Background Check (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$25.00 - \$60.00	\$25.00 - \$60.00	0.0%
Rochester	National Student Exchange Program - UMR	Fall/spring/summer	0.05 - 999.99	Flat	\$200.00	\$200.00	0.0%
Rochester	BSHP Respiratory Care Program Track	Fall/spring/summer	0.05 - 999.99	Flat	\$802.00	\$802.00	0.0%
Rochester	BSHP Echocardiography Program Track	Fall/spring/summer	0.05 - 999.99	Flat	\$737.00	\$737.00	0.0%
Rochester	BSHP Sonography Program Track	Fall/spring/summer	0.05 - 999.99	Flat	\$635.00	\$635.00	0.0%
Rochester	BSHP Radiography Program Track	Fall/spring/summer	0.05 - 999.99	Flat	\$749.00	\$749.00	0.0%
Rochester	Math Placement Assessment	Fall/spring/summer	0.05 - 999.99	Flat		\$25.00	new
Rochester	GRE preparation	Fall/spring/summer	0.05 - 999.99	Flat		\$749.00	new
Rochester	PCAT preparation	Fall/spring/summer	0.05 - 999.99	Flat		\$899.00	new
Rochester	MCAT Preparation	Fall/spring/summer	0.05 - 999.99	Flat		\$1,499.00	new

Twin Cities

Auxiliary Services	U Card Replacement Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Auxiliary Services	UMTC Transportation and Safety Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$24.00	\$25.00	4.2%
Carlson Schl of Mgmt	CSOM Application Fee - MBA - International	Fall/spring	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Carlson Schl of Mgmt	IBUS Application Fee - IBUS Study Abroad	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Carlson Schl of Mgmt	IBUS Application Fee - IBUS Self-Designated	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Carlson Schl of Mgmt	CSOM Confirmation Fee - Exec MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$750.00	\$750.00	0.0%
Carlson Schl of Mgmt	CSOM Confirmation Fee - Online MBA	Fall/spring/summer	0.05 - 999.99	Flat		\$1,200.00	new
Carlson Schl of Mgmt	CSOM Deposit - Full Time MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$1,500.00	\$1,500.00	0.0%

Attachment 9:
University of Minnesota 2018-19 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2018 Amount	2019 Amount	Percent Change
Carlson Schl of Mgmt	CSOM Deposit - HRIR	Fall/spring/summer	0.05 - 999.99	Flat	\$250.00	\$250.00	0.0%
Carlson Schl of Mgmt	CSOM Deposit - Part Time MBA	Fall/spring/summer	0.05 - 999.99	Flat	\$200.00	\$200.00	0.0%
Carlson Schl of Mgmt	CSOM Credit by Exam (pre credit)	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Carlson Schl of Mgmt	LeaderShape Participation Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Carlson Schl of Mgmt	Women in Business Membership Fee/Dues	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Carlson Schl of Mgmt	IBUS Cancellation Fee - Carlson Study Abroad Program	Fall/spring/summer	0.05 - 999.99	Flat	\$5,500.00	\$5,500.00	0.0%
Carlson Schl of Mgmt	IBUS - Romania Residency	Summer	0.05 - 999.99	Variable	1,400.00 - \$1,900.00	1,400.00 - \$1,900.00	0.0%
Carlson Schl of Mgmt	IBUS China Exec MBA - CHEMBA	Fall/spring/summer	0.05 - 999.99	Flat	\$9,178.00	\$9,840.00	7.2%
Carlson Schl of Mgmt	IBUS Vienna Executive MBA (VEMBA)	Fall/spring/summer	0.05 - 999.99	Flat	\$8,537.00	\$8,750.00	0.0%
Col of Continuing & Prof Studies	English Language Proficiency Testing	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Col of Continuing & Prof Studies	IBH/ADDC Background Check Fee	Fall/spring/summer	0.05 - 999.99	Flat		\$20.00	new
Col of Design	Studio Usage - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Col of Design	Key Deposit - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Col of Design	Locker Rental - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Col of Design	Bobbin Case Replacement Fee - DESGN	Fall/spring/summer	0.05 - 999.99	Flat	\$38.00	\$57.00	50.0%
Col of Ed & Human Devel	Confirmation Fee - Master of Education Initial Licensure	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Col of Ed & Human Devel	OLPD Admin Licensure - Initial	Fall/spring/summer	0.05 - 999.99	Flat	\$550.00	\$550.00	0.0%
Col of Ed & Human Devel	OLPD Admin Licensure - Additional	Fall/spring/summer	0.05 - 999.99	Flat	\$275.00	\$275.00	0.0%
Col of Ed & Human Devel	Initial Teacher Licensure Ed TPA	Fall/spring	0.05 - 999.99	Flat	\$270.00	\$270.00	0.0%
Col of Ed & Human Devel	Failure to Return Technology Equipment - CEHD	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$1,500.00	\$5.00 - \$1,500.00	0.0%
Col of Food, Ag & Nat Rsrc Sci	Dietetic Internship	Fall/spring	0.05 - 999.99	Flat	\$8,500.00	\$8,500.00	0.0%
Col of Liberal Arts	Credit by Exam (per credit)	Fall/spring/summer	0.05 - 999.99	PerCredit	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Art- Regis Center Locker Rental (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$10.00 - \$50.00	\$10.00 - \$50.00	0.0%
Col of Liberal Arts	Art - Equipment Repair & Replacement	Fall/spring/summer	0.05 - 999.99	Variable	\$10.00 - \$3,500.00	\$10.00 - \$3,500.00	0.0%
Col of Liberal Arts	Art - Late Equipment	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$25.00	\$5.00 - \$25.00	0.0%
Col of Liberal Arts	Marching Band - Instrument & Uniform Rental	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Col of Liberal Arts	Marching Band - Instrument & Uniform - Repairs over Allowance	Fall/spring/summer	0.05 - 999.99	Variable	\$2.00 - \$200.00	\$2.00 - \$200.00	0.0%
Col of Liberal Arts	Marching/Pep Band - Non-Uniform Apparel	Summer	0.05 - 999.99	Variable	\$2.00 - \$50.00	\$2.00 - \$50.00	0.0%
Col of Liberal Arts	Marching/Pep Band - Late Return Instrument/Uniform	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	Music - Locker Rental (range)	Fall/spring	0.05 - 999.99	Variable	\$25.00 - \$55.00	\$25.00 - \$55.00	0.0%
Col of Liberal Arts	Music - Locker Late Checkout & Cleaning	Fall/spring	0.05 - 999.99	Variable	\$15.00 - \$45.00	\$15.00 - \$45.00	0.0%
Col of Liberal Arts	Music - Practice Rm Rental (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$25.00 - \$255.00	\$25.00 - \$255.00	0.0%
Col of Liberal Arts	Music - Ultan Recital Hall Rental	Fall/spring/summer	0.05 - 999.99	Flat	\$35.00	\$35.00	0.0%
Col of Liberal Arts	Music - Instrument Rental	Fall/spring/summer	0.05 - 999.99	Variable	\$9.00 - \$140.00	\$9.00 - \$140.00	0.0%
Col of Liberal Arts	Music - Instrument Rental Late Return Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	Music - Lost Ensemble Music (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$25.00 - \$200.00	\$25.00 - \$200.00	0.0%
Col of Liberal Arts	Music - Lost/late Keycard	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00 - \$50.00	\$20.00 - \$50.00	0.0%
Col of Liberal Arts	Music - Performance duplication (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$10.00 - \$12.00	\$10.00 - \$12.00	0.0%
Col of Liberal Arts	Music - Recital Fee	Fall/spring/summer	0.05 - 999.99	Variable	\$35.00 - \$140.00	\$35.00 - \$140.00	0.0%
Col of Liberal Arts	Music - Choir Apparel Fee (range)	Fall/spring/summer	0.05 - 999.99	Flat	\$9.00 - \$65.00	\$9.00 - \$65.00	0.0%
Col of Liberal Arts	Music - Application/Admission Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$45.00	\$45.00	0.0%
Col of Liberal Arts	Key Deposit - English	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Col of Liberal Arts	Key Deposit - Anthropology	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Col of Liberal Arts	Key Deposit Statistics	Fall/spring/summer	0.05 - 999.99	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	ACTFL Exam (range)	Fall/spring/summer	0.05 - 999.99	Variable	\$30.00 - \$200.00	\$30.00 - \$200.00	0.0%
Col of Liberal Arts	Individual Language Assessment (ILA)/ LPE Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Col of Liberal Arts	Language Proficiency Exam - Screening	Fall/spring/summer	0.1 - 999.0	Flat	\$25.00	\$25.00	0.0%
Col of Liberal Arts	CLA - OIT Late Equipment	Fall/spring/summer	0.05 - 999.99	Variable	\$5.00 - \$25.00	\$5.00 - \$25.00	0.0%
Col of Pharmacy	PharmD Application	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Col of Pharmacy	Confirmation Deposit Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Col of Sci & Engineering	Confirmation Deposit - MOT	Fall/spring/summer	0.05 - 999.99	Flat	\$2,000.00	\$2,000.00	0.0%
Col of Sci & Engineering	Confirmation Deposit - MSST	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Col of Sci & Engineering	Confirmation Deposit - MDI	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Col of Sci & Engineering	CEGE Deposit - Clicker	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Col of Sci & Engineering	CEGE Locker Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Col of Sci & Engineering	Background Check-MSST	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Col of Sci & Engineering	Late Capstone - MOT	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Sci & Engineering	Late Capstone - MDI	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Sci & Engineering	Late Capstone - MSST	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Col of Sci & Engineering	Program Fee - MOT Year 1	Fall/spring	0.05 - 999.99	Flat	\$1,700.00	\$1,750.00	2.9%
Col of Sci & Engineering	Program Fee - MOT Year 2	Fall/spring	0.05 - 999.99	Flat	\$1,700.00	\$1,700.00	0.0%
Col of Sci & Engineering	CSE Anderson Labs Materials Fee	Fall/spring/summer	0.05 - 999.99	Flat		\$5.00	new

Attachment 9:
University of Minnesota 2018-19 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2018 Amount	2019 Amount	Percent Change
Col of Veterinary Med	Application Fee - DVM Program	Fall/spring/summer	0.05 - 999.99	Flat	\$85.00	\$85.00	0.0%
Col of Veterinary Med	Confirmation Fee - DVM Program	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Executive VP & Provost	Application Fee - TC Undergrad	Fall/spring	0.05 - 999.99	Flat	\$55.00	\$55.00	0.0%
Executive VP & Provost	Confirmation/Orientation Fee - TC Freshman	Fall/spring	0.05 - 999.99	Flat	\$280.00	\$280.00	0.0%
Executive VP & Provost	Confirmation/Orientation Fee - TC Transfer	Fall/spring/summer	0.05 - 999.99	Flat	\$95.00	\$95.00	0.0%
Executive VP & Provost	Installment/Rebilling Fee	Fall/spring	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Executive VP & Provost	Late Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Executive VP & Provost	Returned Payment Fee (NSF)	Fall/spring/summer	0.05 - 999.99	Flat	\$20.00	\$20.00	0.0%
Executive VP & Provost	Stop Payment Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Executive VP & Provost	Late Registration - Week 1	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Executive VP & Provost	Late Registration - Week 2	Fall/spring	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Executive VP & Provost	Late Registration - Week 3	Fall/spring	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Executive VP & Provost	Transcript Request - TC	Fall/spring/summer	0.05 - 999.99	Flat	\$15.00	\$15.00	0.0%
Executive VP & Provost	Transcript Request - TC Priority Natl	Fall/spring/summer	0.05 - 999.99	Flat	\$30.00	\$30.00	0.0%
Executive VP & Provost	Transcript Request - TC Priority Intl	Fall/spring/summer	0.05 - 999.99	Flat	\$40.00	\$40.00	0.0%
Executive VP & Provost	International Student Academic Fee	Fall/spring	0.05 - 5.99	Flat	\$125.00	\$125.00	0.0%
Executive VP & Provost	International Student Academic Fee	Fall/spring	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Executive VP & Provost	International Student Academic Fee	Summer	0.05 - 2.99	Flat	\$62.50	\$62.50	0.0%
Executive VP & Provost	International Student Academic Fee	Summer	3.00 - 5.99	Flat	\$125.00	\$125.00	0.0%
Executive VP & Provost	International Student Academic Fee	Summer	6.00 - 999.99	Flat	\$250.00	\$250.00	0.0%
Executive VP & Provost	HECUA - Administrative Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$225.00	\$225.00	0.0%
Executive VP & Provost	National Student Exchange Orientation	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Executive VP & Provost	National Student Exchange Program	Fall/spring/summer	0.05 - 999.99	Flat	\$225.00	\$225.00	0.0%
Global Prog & Strategy All	MN Semester Study Abroad Confirmation Deposit	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Global Prog & Strategy All	MN Semester Study Abroad	Fall/spring	0.05 - 999.99	Flat	\$11,205.00	\$11,765.00	5.0%
Global Prog & Strategy All	MN Semester Study Abroad	Summer	0.05 - 999.99	Flat	\$6,510.00	\$6,970.00	7.1%
Global Prog & Strategy All	International Sponsored Student Fee	Fall/spring	0.05 - 999.99	Flat	\$300.00	\$300.00	0.0%
Global Prog & Strategy All	International Sponsored Student Fee	Summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%
Global Prog & Strategy All	International Student Support Services	Fall/spring	0.05 - 999.99	Flat	\$165.00	\$165.00	0.0%
Global Prog & Strategy All	International Student Support Services	Summer	0.05 - 999.99	Flat	\$80.00	\$80.00	0.0%
Global Prog & Strategy All	International Student Aid	Fall/spring	0.05 - 999.99	Flat	\$14.00	\$14.00	0.0%
Global Prog & Strategy All	International Student Aid	Summer	0.05 - 999.99	Flat	\$8.00	\$8.00	0.0%
Graduate School	Application Fee - GRAD - Re-Admission/Change of Status	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Graduate School	Application Fee - GRAD (domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Graduate School	Application Fee - GRAD (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$95.00	\$95.00	0.0%
Health Sciences	Application Processing Fee - Occ Therapy/Clinical Lab Sci	Fall/spring/summer	0.05 - 999.99	Flat	\$50.00	\$50.00	0.0%
Health Sciences	Admission Confirmation Fee - Occupational Therapy Program	Fall/spring/summer	0.05 - 999.99	Flat	\$250.00	\$250.00	0.0%
Health Sciences	CSPH Health Coaching	Fall/spring/summer	0.05 - 999.99	Flat	\$300.00	\$300.00	0.0%
Health Sciences	CSH Hawaii Deposit	Fall/spring	0.05 - 999.99	Variable	\$100.00 - \$600.00	\$100.00 - \$600.00	0.0%
Health Sciences	The Medical Laboratory Sciences Equipment Loss/Damage Fee	Fall/spring/summer	0.05 - 999.99	Variable	\$50.00 - \$1,000.00	\$50.00 - \$1,000.00	0.0%
Humphrey Schl of Public Affr	International Fellow Orientation/First Year	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Law School	Application - LAW	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Law School	Application - LLM - Law School	Fall/spring/summer	0.05 - 999.99	Flat	\$70.00	\$70.00	0.0%
Law School	Confirmation - LAW	Fall/spring/summer	0.05 - 999.99	Flat	\$750.00	\$750.00	0.0%
Law School	Confirmation - LLM	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Law School	Transcript - Law	Fall/spring/summer	0.05 - 999.99	Flat	\$12.00	\$12.00	0.0%
Law School	Locker Rental - Law	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00	\$10.00	0.0%
Medical School	Application Fee - MED (Duluth)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Medical School	Application Fee - MED (Twin Cities)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Medical School	Confirmation Fee - MED - Duluth	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$110.00	10.0%
Medical School	Confirmation Fee - MED - TC	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$110.00	10.0%
Medical School	Confirmation Fee - MED - Mortuary Science	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$100.00	0.0%
Schl of Dentistry	Application - DENT - DDS	Fall/spring/summer	0.05 - 999.99	Flat	\$85.00	\$85.00	0.0%
Schl of Dentistry	Application - DENT - Dental Therapy	Fall/spring/summer	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Schl of Dentistry	Application - DENT - Endodontics	Fall/spring/summer	0.05 - 999.99	Flat	\$55.00	\$55.00	0.0%
Schl of Dentistry	Application - DENT - Endodontics (International)	Fall/spring/summer	0.05 - 999.99	Flat	\$65.00	\$65.00	0.0%
Schl of Dentistry	Application - DENT - Orthodontics (Domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$100.00	\$125.00	25.0%
Schl of Dentistry	Application - DENT - Orthodontics	Fall/spring/summer	0.05 - 999.99	Flat	\$125.00	\$150.00	20.0%
Schl of Dentistry	Application - DENT - PASS	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$150.00	0.0%

Attachment 9:
University of Minnesota 2018-19 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2018 Amount	2019 Amount	Percent Change
Schl of Dentistry	Application - DENT - Periodontology (Domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$65.00	\$100.00	53.8%
Schl of Dentistry	Application - DENT - Periodontology	Fall/spring/summer	0.05 - 999.99	Flat	\$70.00	\$125.00	78.6%
Schl of Dentistry	Application - DENT - Prosthodontics (Domestic)	Fall/spring/summer	0.05 - 999.99	Flat	\$60.00	\$60.00	0.0%
Schl of Dentistry	Application - DENT - Prosthodontics	Fall/spring/summer	0.05 - 999.99	Flat	\$65.00	\$70.00	7.7%
Schl of Dentistry	Confirmation Fee - DENT - DDS	Fall/spring/summer	0.05 - 999.99	Flat	\$1,500.00	\$1,500.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - Dental Hygiene	Fall/spring/summer	0.05 - 999.99	Flat	\$150.00	\$15.00	-90.0%
Schl of Dentistry	Confirmation Fee - DENT - Dental Therapy	Fall/spring/summer	0.05 - 999.99	Flat	\$1,000.00	\$1,000.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - Endontic	Fall/spring/summer	0.05 - 999.99	Flat	\$2,000.00	\$2,000.00	0.0%
Schl of Dentistry	Confirmation Fee - DENT - PASS	Fall/spring/summer	0.05 - 999.99	Flat	\$25,000.00	\$5,000.00	-80.0%
Schl of Dentistry	Transfer Evaluation - DENT - DDS	Fall/spring/summer	0.05 - 999.99	Flat	\$1,500.00	\$1,500.00	0.0%
Schl of Dentistry	Summer Instrument Usage/Materials - Undergrad DT, Dental Therapy- YR1 & YR2	Summer	0.05 - 999.99	Flat	\$1,062.00	\$1,062.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Hygiene	Fall/spring	0.05 - 999.99	Flat	\$861.00	\$861.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Hygiene	Summer	0.05 - 999.99	Flat	\$517.00	\$517.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Therapy -	Fall/spring	0.05 - 999.99	Flat	\$2,137.00	\$2,137.00	0.0%
Schl of Dentistry	Instrument Usage/Material - Dental Therapy -	Summer	0.05 - 999.99	Flat	\$1,062.00	\$1,062.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - DDS1,2,3,4,5,PASS 3,4, DT undergrad YR 2 & YR 3	Fall/spring	0.05 - 999.99	Flat	\$2,137.00	\$2,137.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - Endo(Grad & Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$1,424.00	\$1,424.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - Peds(Grad & Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$858.00	\$858.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - Perio(Grad & Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$636.00	\$636.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - Prosth(Grad & Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$1,028.00	\$1,028.00	0.0%
Schl of Dentistry	Instrument Usage/Materials - TMJ(Grad & Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$525.00	\$525.00	0.0%
Schl of Dentistry	Overgarments - Oral Surgery (Grad and	Fall/spring/summer	0.05 - 999.99	Flat	\$68.00	\$68.00	0.0%
Schl of Dentistry	Overgarments - Ortho (Grad and Certificate)	Fall/spring/summer	0.05 - 999.99	Flat	\$102.00	\$102.00	0.0%
Schl of Dentistry	Summer Instrument Usage/Materials -	Summer	0.05 - 999.99	Flat	\$1,062.00	\$1,062.00	0.0%
Schl of Dentistry	Residency Tranfer Fee-Dental Res (range)	Fall/spring/summer	0.05 - 999.99	Flat	\$2,800 - \$13,000	\$2,800 - \$13,000	0.0%
Schl of Nursing	Confirmation - NURSG - MN, PhD, DNP	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Schl of Nursing	Confirmation - NURSG - Baccalaureate	Fall/spring/summer	0.05 - 999.99	Flat	\$500.00	\$500.00	0.0%
Schl of Nursing	Nursing BSN Testing NCLEX-RN and module	Fall/spring	0.05 - 999.99	Flat	\$75.00	\$75.00	0.0%
Schl of Nursing	Lab, Simulation & Practicum - MN & DNP	Fall/spring/summer	0.05 - 999.99	Flat	\$700.00	\$700.00	0.0%
Schl of Nursing	Lab, Simulation & Practicum - BSN	Fall/spring	0.05 - 999.99	Flat	\$700.00	\$700.00	0.0%
Schl of Nursing	Nurse Anesthesia Program	Fall/spring/summer	0.05 - 999.99	Flat	\$457.00	\$457.00	0.0%
Schl of Public Health	SPH Admission Deposit	Fall/spring/summer	0.05 - 999.99	Flat	\$250.00	\$250.00	0.0%
Schl of Public Health	ASU Program Fee	Fall/spring/summer	0.05 - 999.99	Flat	\$337.00	\$337.00	0.0%
Student Affairs	Career Assessments (range)	Fall/spring/summer	0.05 - 999.99	Flat	\$10.00 - \$30.00	\$10.00 - \$34.00	13.3%
Student Affairs	Dental Insurance - TC - Advanced Dental Care	Fall/spring	0.05 - 999.99	Flat	\$138.00	\$163.00	18.1%
Student Affairs	Dental Insurance - TC - Voluntary Student Dental	Fall/spring	0.05 - 999.99	Flat	\$228.00	\$248.00	8.8%
Student Affairs	Dental Insurance - UMC - Advanced Dental Care	Fall/spring	0.05 - 999.99	Flat	\$138.00	\$163.00	18.1%
Student Affairs	Dental Insurance - UMC - Voluntary Student	Fall/spring	0.05 - 999.99	Flat	\$228.00	\$248.00	8.8%
Student Affairs	Dental Insurance - UMD - Advanced Dental Care	Fall/spring	0.05 - 999.99	Flat	\$138.00	\$163.00	18.1%
Student Affairs	Dental Insurance - UMD - Voluntary Student	Fall/spring	0.05 - 999.99	Flat	\$228.00	\$248.00	8.8%
Student Affairs	Dental Insurance - UMM - Advanced Dental Care	Fall/spring	0.05 - 999.99	Flat	\$138.00	\$163.00	18.1%
Student Affairs	Dental Insurance - UMM - Voluntary Student	Fall/spring	0.05 - 999.99	Flat	\$228.00	\$248.00	8.8%
Student Affairs	Health Plan - TC	Fall/spring	6.0 - 999.99	Flat	\$1,050.00	\$1,116.00	6.3%
Student Affairs	Health Plan - TC	Summer	3.0 - 999.99	Flat	\$575.00	\$612.00	6.4%
Student Affairs	Health Plan - TC - AHC	Fall/spring	0.05 - 999.99	Flat	\$1,050.00	\$1,116.00	6.3%
Student Affairs	Health Plan - TC - AHC	Summer	0.05 - 999.99	Flat	\$575.00	\$612.00	6.4%
Student Affairs	Health Plan - TC - Dental Res/Fellow	Fall/spring	0.05 - 999.99	Flat	\$202.70	\$228.75	12.9%
Student Affairs	Health Plan - TC - Dental Res/Fellow	Summer	0.05 - 999.99	Flat	\$81.08	\$91.50	12.9%
Student Affairs	Health Plan - TC - GA	Fall/spring	0.05 - 999.99	Flat	\$121.38	\$131.10	8.0%
Student Affairs	Health Plan - TC - Group Extended Coverage	Fall/spring/summer	0.05 - 5.99	Flat	\$136.43	\$139.16	2.0%
Student Affairs	Health Plan - TC -International Students	Fall/spring	0.05 - 999.99	Flat	\$1,050.00	\$1,116.00	6.3%
Student Affairs	Health Plan - TC -International Students	Summer	0.05 - 999.99	Flat	\$575.00	\$612.00	6.4%
Student Affairs	Health Plan - UMC	Fall/spring	6.0 - 999.99	Flat	\$1,050.00	\$1,116.00	6.3%
Student Affairs	Health Plan - UMC	Summer	3.0 - 999.99	Flat	\$575.00	\$612.00	6.4%
Student Affairs	Health Plan - UMC - International Students	Fall/spring	0.05 - 999.99	Flat	\$1,050.00	\$1,116.00	6.3%
Student Affairs	Health Plan - UMC - International Students	Summer	0.05 - 999.99	Flat	\$575.00	\$612.00	6.4%
Student Affairs	Health Plan - UMD	Fall/spring	6.0 - 999.99	Flat	\$1,050.00	\$1,116.00	6.3%
Student Affairs	Health Plan - UMD	Summer	3.0 - 999.99	Flat	\$575.00	\$612.00	6.4%
Student Affairs	Health Plan - UMD - AHC	Fall/spring	0.05 - 999.99	Flat	\$1,050.00	\$1,116.00	6.3%
Student Affairs	Health Plan - UMD - AHC	Summer	0.05 - 999.99	Flat	\$575.00	\$612.00	6.4%
Student Affairs	Health Plan - UMD - Graduate Assistant	Fall/spring/summer	0.05 - 999.99	Flat	\$121.38	\$131.10	8.0%
Student Affairs	Health Plan - UMD - International Students	Fall/spring	0.05 - 999.99	Flat	\$1,050.00	\$1,116.00	6.3%

Attachment 9:
University of Minnesota 2018-19 Tuition Plan: Miscellaneous Fees

Campus/College	Fee Name	Terms	Credit range	Rate type	2018 Amount	2019 Amount	Percent Change
Student Affairs	Health Plan - UMD - International Students	Summer	0.05 - 999.99	Flat	\$575.00	\$612.00	6.4%
Student Affairs	Health Plan - UMM	Fall/spring	6.0 - 999.99	Flat	\$1,050.00	\$1,116.00	6.3%
Student Affairs	Health Plan - UMM	Summer	3.0 - 999.99	Flat	\$575.00	\$612.00	6.4%
Student Affairs	Health Plan - UMM - International Students	Fall/spring	0.05 - 999.99	Flat	\$1,050.00	\$1,116.00	6.3%
Student Affairs	Health Plan - UMM - International Students	Summer	0.05 - 999.99	Flat	\$575.00	\$612.00	6.4%
Student Affairs	Long-Term Disability - TC	Fall/spring	0.05 - 999.99	Flat	\$43.50	\$43.50	0.0%
Student Affairs	Long-Term Disability - TC -DENT	Fall/spring	0.05 - 999.99	Flat	\$51.05	\$51.05	0.0%
Student Affairs	Long-Term Disability - TC -DENT	Summer	0.05 - 999.99	Flat	\$20.42	\$20.42	0.0%
Student Affairs	Long-Term Disability - UMD - AHC	Fall/spring	0.05 - 999.99	Flat	\$43.50	\$43.50	0.0%
University Finance	Capital Enhancement Fee for Student Life	Fall/spring	6.0 - 999.99	Flat	\$75.00	\$75.00	0.0%
University Finance	Stadium Fee	Fall/spring	6.0 - 999.99	Flat	\$6.00	\$6.00	0.0%

Attachment 10:
University of Minnesota 2018-19 Tuition Plan: Academic Fees

Campus/College	Fee Name	Terms	Credit range	2018 Amount	2019 Amount	Percent Change
<u>Crookston</u>						
Crookston	Campus Fee - UMC - Technology Access	Fall/spring	0.05 - 999.99	\$250.00	\$250.00	0.00%
Crookston	Durable Goods - Computer/Notebook	Fall/spring	6.0 - 999.99	\$250.00	\$250.00	0.00%
<u>Duluth</u>						
Duluth	Collegiate Fee - UMD - CEHSP	Fall/spring	0.05 - 5.99	\$124.00	\$126.00	1.61%
Duluth	Collegiate Fee - UMD - CEHSP	Fall/spring	6.0 - 999.99	\$248.00	\$252.00	1.61%
Duluth	Collegiate Fee - UMD - CEHSP	Summer	0.05 - 2.99	\$62.00	\$63.00	1.61%
Duluth	Collegiate Fee - UMD - CEHSP	Summer	3.0 - 999.99	\$124.00	\$126.00	1.61%
Duluth	Collegiate Fee - UMD - CLA	Fall/spring	0.05 - 5.99	\$141.00	\$143.00	1.42%
Duluth	Collegiate Fee - UMD - CLA	Fall/spring	6.0 - 999.99	\$282.00	\$286.00	1.42%
Duluth	Collegiate Fee - UMD - CLA	Summer	0.05 - 2.99	\$70.50	\$71.50	1.42%
Duluth	Collegiate Fee - UMD - CLA	Summer	3.0 - 999.99	\$141.00	\$143.00	1.42%
Duluth	Collegiate Fee - UMD - LSBE	Fall/spring	0.05 - 5.99	\$111.50	\$113.50	1.79%
Duluth	Collegiate Fee - UMD - LSBE	Fall/spring	6.0 - 999.99	\$223.00	\$227.00	1.79%
Duluth	Collegiate Fee - UMD - LSBE	Summer	0.05 - 2.99	\$55.75	\$56.75	1.79%
Duluth	Collegiate Fee - UMD - LSBE	Summer	3.0 - 999.99	\$111.50	\$113.50	1.79%
Duluth	Collegiate Fee - UMD - SCSE	Fall/spring	0.05 - 5.99	\$138.00	\$141.00	2.17%
Duluth	Collegiate Fee - UMD - SCSE	Fall/spring	6.0 - 999.99	\$276.00	\$282.00	2.17%
Duluth	Collegiate Fee - UMD - SCSE	Summer	0.05 - 2.99	\$69.00	\$70.50	2.17%
Duluth	Collegiate Fee - UMD - SCSE	Summer	3.0 - 999.99	\$138.00	\$141.00	2.17%
Duluth	Collegiate Fee - UMD - SFA	Fall/spring	0.05 - 5.99	\$186.50	\$188.50	1.07%
Duluth	Collegiate Fee - UMD - SFA	Fall/spring	6.0 - 999.99	\$373.00	\$377.00	1.07%
Duluth	Collegiate Fee - UMD - SFA	Summer	0.05 - 2.99	\$93.25	\$94.25	1.07%
Duluth	Collegiate Fee - UMD - SFA	Summer	3.0 - 999.99	\$186.50	\$188.50	1.07%
Duluth	Collegiate Fee - UMD - UStu	Fall/spring	0.05 - 5.99	\$84.00	\$86.00	2.38%
Duluth	Collegiate Fee - UMD - UStu	Fall/spring	6.0 - 999.99	\$168.00	\$172.00	2.38%
Duluth	Collegiate Fee - UMD - UStu	Summer	0.05 - 2.99	\$42.00	\$43.00	2.38%
Duluth	Collegiate Fee - UMD - UStu	Summer	3.0 - 999.99	\$84.00	\$86.00	2.38%
<u>Morris</u>						
Morris	Campus Fee - UMM	Fall/spring	0.05 - 5.99	\$54.00	\$75.00	38.89%
Morris	Campus Fee - UMM	Fall/spring	6.0 - 999.99	\$108.00	\$150.00	38.89%
Morris	Campus Fee - UMM	Summer	0.05 - 999.99	\$54.00	\$75.00	38.89%
<u>Rochester</u>						
Rochester	Campus Fee - UMR	Fall/spring/summer	0.05 - 5.99	\$75.00	\$75.00	0.00%
Rochester	Campus Fee - UMR	Fall/spring/summer	6.0 - 999.99	\$150.00	\$150.00	0.00%
Rochester	Durable Goods - Laptop Program UMR	Fall/spring	0.5 - 999.99	\$350.00	\$350.00	0.00%
<u>Twin Cities</u>						
Academic Health Center	Collegiate Fee - Occupational Therapy	Fall/spring/summer	0.05 - 5.99	\$92.50	\$92.50	0.00%
Academic Health Center	Collegiate Fee - Occupational Therapy	Fall/spring/summer	6.0 - 999.99	\$185.00	\$185.00	0.00%
Carlson Schl of Mgmt	CSOM Collegiate Fee	Fall/spring	0.05 - 8.99	\$290.00	\$290.00	0.00%
Carlson Schl of Mgmt	CSOM Collegiate Fee	Fall/spring	9.0 - 999.99	\$580.00	\$580.00	0.00%
Carlson Schl of Mgmt	CSOM Collegiate Fee	Summer	0.05 - 5.99	\$145.00	\$145.00	0.00%
Carlson Schl of Mgmt	CSOM Collegiate Fee	Summer	6.0 - 999.99	\$290.00	\$290.00	0.00%
Col of Biological Sci	Collegiate Fee - CBS	Fall/spring	3.0 - 5.99	\$115.00	\$115.00	0.00%
Col of Biological Sci	Collegiate Fee - CBS	Fall/spring	6.0 - 999.99	\$230.00	\$230.00	0.00%
Col of Biological Sci	Collegiate Fee - CBS	Summer	3.0 - 999.99	\$115.00	\$115.00	0.00%
Col of Continuing & Prof Studies	Collegiate Fee - CCAPS	Fall/spring	0.05 - 5.99	\$75.00	\$75.00	0.00%
Col of Continuing & Prof Studies	Collegiate Fee - CCAPS	Fall/spring	6.0 - 999.99	\$150.00	\$150.00	0.00%
Col of Continuing & Prof Studies	Collegiate Fee - CCAPS	Summer	0.05 - 5.99	\$37.50	\$37.50	0.00%
Col of Continuing & Prof Studies	Collegiate Fee - CCAPS	Summer	6.0 - 999.99	\$75.00	\$75.00	0.00%
Col of Design	Collegiate Fee - DESGN	Fall/spring	0.05 - 5.99	\$175.00	\$175.00	0.00%
Col of Design	Collegiate Fee - DESGN	Fall/spring	6.0 - 999.99	\$350.00	\$350.00	0.00%
Col of Design	Collegiate Fee - DESGN	Summer	0.05 - 5.99	\$87.50	\$87.50	0.00%
Col of Design	Collegiate Fee - DESGN	Summer	6.0 - 999.99	\$175.00	\$175.00	0.00%

Attachment 10:
University of Minnesota 2018-19 Tuition Plan: Academic Fees

Campus/College	Fee Name	Terms	Credit range	2018 Amount	2019 Amount	Percent Change
Col of Ed & Human Devel	Collegiate Fee - CEHD	Fall/spring/summer	1.0 - 5.99	\$95.00	\$95.00	0.00%
Col of Ed & Human Devel	Collegiate Fee - CEHD	Fall/spring/summer	6.0 - 999.99	\$190.00	\$190.00	0.00%
Col of Food, Ag & Nat Rsrc Sci	Collegiate Fee - CFANS	Fall/spring	0.05 - 5.99	\$110.00	\$110.00	0.00%
Col of Food, Ag & Nat Rsrc Sci	Collegiate Fee - CFANS	Fall/spring	6.0 - 999.99	\$220.00	\$220.00	0.00%
Col of Food, Ag & Nat Rsrc Sci	Collegiate Fee - CFANS	Summer	0.05 - 2.99	\$55.00	\$55.00	0.00%
Col of Food, Ag & Nat Rsrc Sci	Collegiate Fee - CFANS	Summer	3.0 - 999.99	\$110.00	\$110.00	0.00%
Col of Liberal Arts	Collegiate Fee - CLA	Fall/spring/summer	0.05 - 5.99	\$100.00	\$100.00	0.00%
Col of Liberal Arts	Collegiate Fee - CLA	Fall/spring/summer	6.0 - 999.99	\$200.00	\$200.00	0.00%
Col of Pharmacy	Collegiate Fee - PHARM - Grad Prog Term Fee	Fall/spring	0.05 - 5.99	\$105.00	\$105.00	0.00%
Col of Pharmacy	Collegiate Fee - PHARM - Grad Prog Term Fee	Fall/spring	6.0 - 999.99	\$210.00	\$210.00	0.00%
Col of Pharmacy	Collegiate Fee - PHARM - Grad Prog Term Fee	Summer	0.05 - 5.99	\$52.50	\$52.50	0.00%
Col of Pharmacy	Collegiate Fee - PHARM - Grad Prog Term Fee	Summer	6.0 - 999.99	\$105.00	\$105.00	0.00%
Col of Pharmacy	Collegiate Fee - PHARM - PharmD - TC	Fall/spring	0.05 - 999.99	\$425.00	\$425.00	0.00%
Col of Pharmacy	Collegiate Fee - PHARM - PharmD - TC	Summer	0.05 - 999.99	\$212.50	\$212.50	0.00%
Col of Pharmacy	Collegiate Fee - PHARM - PharmD - UMD	Fall/spring	0.05 - 999.99	\$425.00	\$425.00	0.00%
Col of Pharmacy	Collegiate Fee - PHARM - PharmD - UMD	Summer	0.05 - 999.99	\$212.50	\$212.50	0.00%
Col of Sci & Engineering	Collegiate Fee - CSENG	Fall/spring	0.05 - 5.99	\$165.00	\$165.00	0.00%
Col of Sci & Engineering	Collegiate Fee - CSENG	Fall/spring	6.0 - 999.99	\$330.00	\$330.00	0.00%
Col of Sci & Engineering	Collegiate Fee - CSENG	Summer	0.05 - 2.99	\$82.50	\$82.50	0.00%
Col of Sci & Engineering	Collegiate Fee - CSENG	Summer	3.0 - 999.99	\$165.00	\$165.00	0.00%
Col of Veterinary Med	Collegiate Fee - VETMD	Fall/spring/summer	2.0 - 999.99	\$525.00	\$525.00	0.00%
Humphrey Schl of Public Affr	Collegiate Fee - HHH	Fall/spring	0.05 - 5.99	\$125.00	\$125.00	0.00%
Humphrey Schl of Public Affr	Collegiate Fee - HHH	Fall/spring	6.0 - 999.99	\$250.00	\$250.00	0.00%
Humphrey Schl of Public Affr	Collegiate Fee - HHH	Summer	0.05 - 2.99	\$37.50	\$37.50	0.00%
Humphrey Schl of Public Affr	Collegiate Fee - HHH	Summer	3.0 - 999.99	\$50.00	\$50.00	0.00%
Law School	Collegiate Fee - LAW	Fall/spring	0.05 - 5.99	\$237.50	\$237.50	0.00%
Law School	Collegiate Fee - LAW	Fall/spring	6.0 - 999.99	\$475.00	\$475.00	0.00%
Law School	Collegiate Fee - LAW	Summer	0.05 - 999.99	\$180.00	\$180.00	0.00%
Medical School	Collegiate Fee - MED - Mortuary Science Program	Fall/spring/summer	0.05 - 999.99	\$50.00	\$50.00	0.00%
Medical School	Collegiate Fee - MED - Duluth - Medical Students	Fall/spring	0.05 - 999.99	\$175.00	\$175.00	0.00%
Medical School	Collegiate Fee - MED - TC Medical Students	Fall/spring	0.05 - 999.99	\$175.00	\$175.00	0.00%
Schl of Dentistry	Collegiate Fee - DENT	Fall/spring/summer	0.05 - 999.99	\$207.00	\$207.00	0.00%
Schl of Dentistry	Interactive Audio Tools	Fall/spring	0.05 - 999.99	\$32.00	\$32.00	0.00%
Schl of Dentistry	Durable Goods - CD-ROM -Dent OA Teeth	Fall/spring	0.05 - 999.99	\$25.00	\$25.00	0.00%
Schl of Dentistry	Durable Goods - Oper/Pros Typodont-PASS	Summer	0.05 - 999.99	\$245.00	\$245.00	0.00%
Schl of Nursing	Collegiate Fee - NURSG	Fall/spring/summer	0.05 - 999.99	\$210.00	\$210.00	0.00%
Schl of Public Health	Collegiate Fee - PUBHL	Fall/spring	0.05 - 5.99	\$110.00	\$110.00	0.00%
Schl of Public Health	Collegiate Fee - PUBHL	Fall/spring	6.0 - 999.99	\$145.00	\$145.00	0.00%
Schl of Public Health	Collegiate Fee - PUBHL	Summer	0.05 - 5.99	\$55.00	\$55.00	0.00%
Schl of Public Health	Collegiate Fee - PUBHL	Summer	6.0 - 999.99	\$75.00	\$75.00	0.00%

University of Minnesota 2018-19 Tuition Plan: Student Services Fees

UNIVERSITY OF MINNESOTA

Office of the Executive Vice President and Provost

234 Morrill Hall
100 Church Street S.E.
Minneapolis MN 55455-0110Office: 612-625-0051
Fax: 612-624-3814

April 18, 2018

To: President Eric W. Kaler
Brian Burnett, Senior Vice President for Finance and Operations

From: Karen Hanson, Executive Vice President and Provost



Re: Student Services Fees Recommendations for 2018-19

Attached are the 2018-19 Student Services Fees recommendations for the University of Minnesota campuses. Each of the five campuses has its own autonomous review and recommendation process, and each is governed by a student-majority committee appointed by the student association on that campus. Each campus Student Services Fees committee then forwards its recommendations to the appropriate chancellor or vice provost, who in turn reviews and comments on these recommendations and then submits them to my office.

I am forwarding these recommendations to you to forward to the Board of Regents as a section of the University budget for their review and action. The recommendations for the mandatory 2018-19 Student Services Fees for each campus are as follows:

Campus	2017-18 Semester Fee	2018-19 Semester Fee	Percentage Increase
UMC	266.25	269.44	1.20%
UMD	300.21	306.25	2.00%
UMM	420.00	435.50	3.69%
UMR	168.00	168.00	0.00%
UMTC	436.60	443.00	1.47%

The details of the Student Services Fees recommendations submitted by each campus are attached. The student services fees recommendation for the University of Minnesota Crookston has increased by 1.2%, primarily due to an increase in the Student Center Budget and the Crookston Student Association. The student services fees recommendation for the University of Minnesota Duluth has increased by 2%, to provide increased funding for capital projects associated with SSF-funded areas that continue to need attention, repair and replacement. The student services fees recommendation for the University of Minnesota Morris has increased by 3.69%, with the largest increases going to the Office of Community Engagement and the Intramurals and Recreation program to support new programs and initiatives to enhance the Morris student experience. Student services fees at the University of Minnesota Rochester will remain the same, with no increase. The student services fees proposed for the University of Minnesota Twin Cities is an increase of 1.47%, showing the committee's efforts to keep increases as low as possible while still providing for a wide variety of programs and services for student groups and campus health and wellness.

I want to take this opportunity to thank the Fees Committee members on each campus for their dedication and service on this very important and demanding project. There is a big responsibility, one affecting nearly all students at the University, and we appreciate the commitment of the committee members to recommending fair and equitable fees that will best serve our student body.

University of Minnesota 2018-19 Tuition Plan: Student Services Fees

University of Minnesota Student Service Fee Summary - FY18 Actual, FY19 Proposed

Mandatory Student Fees per Semester (Fall & Spring) by Campus

[135A.0434] Mandatory Student Activity Fees Referendum limits student activity fee increases to 2% or less unless approved by a majority student vote.

Excluded from Statute:

- Academic
- Administrative
- Health Service
- Debt Obligations

	UMC			UMD			UMM			UMR			UMTC		
	FY18	FY19	FY18-19 % Δ	FY18	FY19	FY18-19 % Δ	FY18	FY19	FY18-19 % Δ	FY18	FY19	FY18-19 % Δ	FY18	FY19	FY18-19 % Δ
FEE TOTALS: (TC Undergrad)	\$266.25	\$269.44	1.2%	\$399.21	\$405.75	1.6%	\$420.00	\$435.50	3.7%	\$168.00	\$168.00	0.0%	\$544.04	\$551.44	1.4%
Not Subject to 135A.0434 Student Activity Fees Legislation															
Administrative															
Administrative Units	\$14.75	\$16.75		\$105.18	\$105.94		\$107.12	\$119.50		\$2.50	\$2.50		\$158.56	\$159.88	
Duluth Athletics/Athletic Facilities				\$84.00	\$84.00										
Intercollegiate Athletics	\$69.00	\$69.00					\$55.00	\$55.00							
Fitness/Recreation/Sports	\$88.00	\$88.00		\$76.98	\$75.63		\$97.00	\$99.00		\$90.00	\$90.00		\$114.17	\$114.02	
Capital Improvement Reserve Fee				\$6.05	\$11.90										
Transportation Sustainability/Safety				\$15.00	\$15.50								\$24.00	\$25.00	
Stadium Fee													\$6.00	\$6.00	
Capital Enhancement Fee for Student Life													\$75.00	\$75.00	
Health Services															
Health Services/Wellness	\$35.00	\$35.00		\$90.58	\$91.05		\$103.00	\$103.00		\$55.50	\$55.50		\$132.66	\$137.60	
Subtotal: Not Subject to 135A.0434:	\$206.75	\$208.75	1.0%	\$377.79	\$384.02	1.6%	\$362.12	\$376.50	4.0%	\$148.00	\$148.00	0.0%	\$510.39	\$517.50	1.4%
Subject to 135A.0434-Student Activity Fees Legislation															
Student Activities/Organizations	\$52.50	\$53.00		\$8.82	\$9.25		\$50.55	\$50.34					\$18.54	\$18.91	
Media organizations (print, radio, etc.)				\$6.94	\$6.60		\$5.50	\$5.95					\$12.67	\$12.59	
Student Governance (fees apply based on student status)															
Crookston Student Assn (CSA)	\$7.00	\$7.69													
UMD Student Assoc				\$5.66	\$5.88										
Morris Campus Student Org (MCSA)							\$1.83	\$2.71							
Rochester Student Association (RSA)										\$20.00	\$20.00				
MN Student Association *													\$2.44	\$2.44	
Council of Graduate Students *													\$10.39	\$10.33	
Professional Student Government *													\$16.23	\$16.56	
Subtotal: Subject to 135A.0434 (TC Undergrad)	\$59.50	\$60.69	2.0%	\$21.42	\$21.73	1.4%	\$57.88	\$59.00	1.9%	\$20.00	\$20.00	0.0%	\$33.65	\$33.94	0.9%
(TC Graduate)													\$41.60	\$41.83	0.6%
(TC Professional)													\$47.44	\$48.06	1.3%

* Council of Graduate Students (COGS) and Professional Student Government (PSG) fees apply only to students in graduate or professional programs and are not included in the totals on line 3.
UMTC student governance fees pending final ratification.

Attachment 11:

University of Minnesota 2018-19 Tuition Plan: Student Services Fees

2018-2019 Student Services Fees Recommendations

University of Minnesota - Crookston Campus

Mandatory Student Fees <i>assessed on all students registered for 6 or more credits</i>	FY 2017-18 Approved by Administration			FY 2018-19 Requested by Organization			FY 2018-19 Recommended by Fees Committee		FY 2018-19 Recommended by Administration		
Organization Name	Semester Students	Semester Income	Semester Fee	Semester Students	Semester Income	Semester Fee	Semester Income	Semester Fee	Semester Income	Semester Fee	
Clubs and Organizations	1,600	\$12,800	\$8.00	1,550	\$12,400	\$8.00	\$12,400	\$8.00	\$12,400	\$8.00	Stu Act/Org
Concerts and Lectures	1,600	\$8,000	\$5.00	1,550	\$7,750	\$5.00	\$7,750	\$5.00	\$7,750	\$5.00	Stu Act/Org
Crookston Student Association (CSA)	1,600	\$11,200	\$7.00	1,550	\$12,400	\$8.00	\$12,400	\$8.00	\$11,920	\$7.69	Stu Gov
Student Experience	1,600	\$2,000	\$1.25	1,550	\$1,938	\$1.25	\$1,938	\$1.25	\$1,938	\$1.25	Admin
Health Service	1,600	\$56,000	\$35.00	1,550	\$54,250	\$35.00	\$54,250	\$35.00	\$54,250	\$35.00	Hlth Svcs
Intercollegiate Athletics	1,600	\$110,400	\$69.00	1,550	\$106,950	\$69.00	\$106,950	\$69.00	\$106,950	\$69.00	Athl
Intramurals	1,600	\$12,800	\$8.00	1,550	\$12,400	\$8.00	\$12,400	\$8.00	\$12,400	\$8.00	Fitness/Rec
NACTA	1,600	\$4,800	\$3.00	1,550	\$4,650	\$3.00	\$4,650	\$3.00	\$4,650	\$3.00	Stu Act/Org
Student Activities (SPACE)	1,600	\$46,400	\$29.00	1,550	\$44,950	\$29.00	\$44,950	\$29.00	\$44,950	\$29.00	Stu Act/Org
Student Center	1,600	\$12,800	\$8.00	1,550	\$15,500	\$10.00	\$15,500	\$10.00	\$15,500	\$10.00	Admin
Student Center Equipment Renewal	1,600	\$4,800	\$3.00	1,550	\$4,650	\$3.00	\$4,650	\$3.00	\$4,650	\$3.00	Admin
Study Abroad	1,600	\$4,000	\$2.50	1,550	\$3,875	\$2.50	\$3,875	\$2.50	\$3,875	\$2.50	Admin
CSSD	1,600	\$6,400	\$4.00	1,550	\$6,200	\$4.00	\$6,200	\$4.00	\$6,200	\$4.00	Stu Act/Org
Wellness Center	1,600	\$128,000	\$80.00	1,550	\$124,000	\$80.00	\$124,000	\$80.00	\$124,000	\$80.00	Fitness/Rec
Ag-Arama	1,600	\$800	\$0.50	1,550	\$1,550	\$1.00	\$1,550	\$1.00	\$1,550	\$1.00	Stu Act/Org
Golden Eagle Pep Band	1,600	\$4,800	\$3.00	1,550	\$4,650	\$3.00	\$4,650	\$3.00	\$4,650	\$3.00	Stu Act/Org
Total Student Fees		\$426,000	\$266.25		\$418,113	\$269.75	\$418,113	\$269.75	\$417,632	\$269.44	

University of Minnesota 2018-19 Tuition Plan: Student Services Fees

2018-19 UMD SSF Recommendation to Provost Hanson

(Total student transactions)	2016-17 Allocation (17,200)	2017-18 Allocation (17,300)	2018-19 Request (18,200)	2018-19 Recommend (18,200)	PER STUDENT	Percent Change
Access for All	\$4,000	\$4,000	\$4,000	\$4,000	\$ 0.22	0.00%
Asian Pacific American Student Association	\$16,000	\$16,000	\$16,000	\$16,000	\$ 0.88	0.00%
Black Student Association	\$17,000	\$17,000	\$17,000	\$17,000	\$ 0.93	0.00%
Cru	\$3,200	\$3,200	\$10,040	\$4,800	\$ 0.26	50.00%
Chinese Student & Scholar Association	\$8,000	\$8,000	\$10,000	\$8,000	\$ 0.44	0.00%
Hmong Living in Unity & Balance	\$0	\$5,200	\$8,500	\$8,500	\$ 0.47	63.46%
International Club	\$12,000	\$14,000	\$14,000	\$14,000	\$ 0.77	0.00%
InterVarsity	\$3,000	\$5,000	\$4,000	\$3,750	\$ 0.21	-25.00%
KUMD The Basement	\$90,000	\$85,000	\$110,000	\$90,000	\$ 4.95	5.88%
Latinx/Chicanx Student Association	\$16,000	\$16,000	\$16,000	\$16,000	\$ 0.88	0.00%
MPIRG	\$45,000	\$45,000	\$25,000	\$25,000	\$ 1.37	-44.44%
Queer and Allied Student Union	\$14,000	\$14,000	\$14,000	\$14,000	\$ 0.77	0.00%
SERVE	\$4,300	\$4,500	\$4,500	\$4,300	\$ 0.24	-4.44%
Student Association (pre-2018)	\$98,000	\$120,000	\$0	\$0	\$ -	N/A
Student Association - Org. Grants (SOG)	\$0	\$0	\$107,100	\$107,100	\$ 5.88	N/A
SOG Reserves				\$32,900	\$ 1.81	N/A
The Bark (Statesman)	\$33,500	\$35,000	\$35,000	\$30,000	\$ 1.65	-14.29%
Total Activity Based Organizations	\$364,000	\$391,900	\$395,140	\$395,350	\$ 21.73	0.88%
Bulldog Taxi	\$15,000	\$30,000	\$45,000	\$45,000	\$ 2.47	50.00%
Glensheen	\$10,000	\$15,000	\$20,000	\$20,000	\$ 1.10	33.33%
Green Fund	\$6,200	\$44,700	\$38,250	\$38,250	\$ 2.10	-14.43%
Health Services	\$1,410,000	\$1,452,300	\$1,542,000	\$1,542,000	\$ 84.73	6.18%
Health Services Capital Imp	\$115,000	\$115,000	\$115,000	\$115,000	\$ 6.32	0.00%
Intercollegiate Athletics (pre-2017)	\$1,000,000	\$0	\$0	\$0	\$ -	N/A
Kirby Program Board	\$181,000	\$190,000	\$200,000	\$200,000	\$ 10.99	5.26%
Kirby Student Center	\$934,000	\$960,000	\$993,500	\$993,500	\$ 54.59	3.49%
Kirby Capital Improvement	\$400,000	\$415,000	\$515,000	\$515,000	\$ 28.30	24.10%
Library Communication and Events Team	\$2,300	\$3,000	\$5,000	\$5,000	\$ 0.27	66.67%
Music Organizations	\$55,000	\$55,000	\$55,000	\$15,500	\$ 0.85	-71.82%
Rec Sports Outdoor Program	\$1,100,000	\$1,135,000	\$1,173,590	\$1,173,590	\$ 64.48	3.40%
RSOP Capital Improvement	\$190,000	\$197,000	\$202,910	\$202,910	\$ 11.15	3.00%
Student Association Admin	\$0	\$0	\$22,900	\$22,900	\$ 1.26	N/A
Theatre	\$55,000	\$55,000	\$60,000	\$43,000	\$ 2.36	-21.82%
Tweed Museum	\$18,000	\$18,000	\$18,000	\$18,000	\$ 0.99	0.00%
Womens Resource Action Center	\$12,000	\$12,000	\$12,000	\$12,000	\$ 0.66	0.00%
TOTAL ALLOCATIONS =	\$ 5,867,500	\$ 5,088,900	\$ 5,413,290	\$ 5,357,000	\$ 294.35	
STUDENT FEE =	\$ 341.13	\$ 294.16	\$ 297.43		\$ 294.35	
FACILITIES CAPITAL IMPROVEMENT	\$ 7	\$ 6.05			\$ 11.90	
STUDENT FEE & CAPT FEE=	\$ 348.13	\$ 300.21	\$ 297.43		\$ 306.25	
TOTAL AFTER BUYDOWN	\$ 348.13	\$ 300.21	\$ 297.43	\$ -	\$ 306.25	2.01%
One-Time Allocations						
MC remodel (Reserves)	\$350,000					
Ice Rink (Capital)	\$300,000					
Music (Reserves)	\$39,500					
Theatre (Reserves)	\$12,000					
Bulldog Mobile App (Reserves)	\$10,000					

University of Minnesota 2018-19 Tuition Plan: Student Services Fees

Morris Student Service Fee Recommendations		
2018-2019 Academic Year		
All fees are per student per semester		
	2017-2018 Actual	2018-2019 Recommendation
Administrative Unit fees	\$259.12	\$273.50
Health and Wellness fee	\$103.00	\$103.00
Activity Fee	\$57.88	\$59.00
Total	\$420.00	\$435.50

Administrative Service Units Detail

	2017-2018		2018-2019		% change
Administrative Unit		\$259.12		\$273.50	6%
Student Center	\$50.00		\$50.00		
Office of Student Activities	\$11.85		\$14.00		
Campus Activities Council	\$28.58		\$32.50		
Community Engagement	\$9.02		\$13.25		
World Touch Cultural Heritage Week	\$7.67		\$9.75		
Intercollegiate Athletic	\$55.00		\$55.00		
Regional Fitness Center	\$92.50		\$92.50		
Intramurals and Recreation	\$4.50		\$6.50		
Health Services/Wellness:		\$103.00		\$103.00	0%
Activity Fee		\$57.88		\$59.00	2%
Total		\$420.00		\$435.50	4%

Activity Fee Detail

Funded Organization:	2018-2019 ALLOC.
Campus Life Programs:	
Big Friend / Little Friend (BFLF)	\$4,300
Mock Trial / Pre-Law Society	\$9,500
Peer Health Educators (PHE)	\$3,600
University Recognized Organization:	
Asian Student Association (ASA)	\$7,000
Black Student Union (BSU)	\$6,134
Circle of Nations Indian Assoc. (CNIA)	\$18,225
Equality/MQSIE	\$6,100
International Student Association	\$5,000
KUMM	\$6,786
Morris Campus Student Association (MCSA)	\$7,400
The University Register (UF)	\$9,465
Voices Unidas	\$1,015
Independent Student Group:	
American Chemical Society (ACS)	\$2,985
American Indian Science and Engineering Society (AISES)	\$5,500
French Club (Entre Nous)	\$3,000
Men's Rugby Team	\$8,000
Minnesota, Public Interest Research Group (MPIRG)	\$10,000
Women's Rugby	\$12,130
Assistance to Student Group:	
Assistance to Student Groups (ASG)	\$35,000
Total Activity Fees Allocated from \$59/semester Activity Fee	\$161,140

Attachment 11:
University of Minnesota 2018-19 Tuition Plan: Student Services Fees

UNIVERSITY OF MINNESOTA

Rochester

*300 University Square
111 South Broadway
Rochester, MN 55904
507-258-8009*

TO: Karen Hanson
Senior Vice President Academic Affairs/Provost

FROM: Gail Sauter
Associate Vice Chancellor, Finance and Campus Resources

DATE: April 9, 2018

RE: UMR Student Services Fees

The UMR Student Services Fee funds student services, activities, and continues to trigger eligibility for UM student health insurance for UMR students. The eligibility for student health insurance includes the UMR students in the University's system-wide Student Health Benefit Plan (SHBP) provided by Blue Cross and Blue Shield of Minnesota.

The proposed student services fee for FY19 is \$168.00, no increase since FY13. Julie Thornton, Director of Student Engagement attended the Monday, March 7, 2018 meeting of the Rochester Student Association to discuss the UMR Student Services Fee. The fee and its components were discussed and approved to remain the same at \$168 by a unanimous vote on Monday, March 7, 2018 by the Rochester Student Association, the student governing body at UMR. Only degree-seeking students taking six or more credits will be assessed this fee during fall and spring semesters.

Components:

\$90.00 – Student Recreation

\$50.50 – Student Health Services

\$20.00 – Student Organizations (no increase in this component subject to the 2% ceiling)

\$4.00 -- International Programming

\$3.50 -- Diversity Programming

Attachment 11:
University of Minnesota 2018-19 Tuition Plan: Student Services Fees

Twin Cities Student Service Fees Committee
Recommendations for 2018-19 Funding

4/20/2018

	2017-18 Final Rec.		2018-19 Stu Fees Requested		2018-19 Stu Fees Final Rec.	
	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem
Student Activity Fee:						
Student Activity Fee*	\$ 1,400,000	\$ 18.54	\$ 1,428,000	\$ 18.75	\$ 1,440,000	\$ 18.91
- Funding details attached						
Total Student Activity Fee:	\$ 1,400,000	\$ 18.54	\$ 1,428,000	\$ 18.75	\$ 1,440,000	\$ 18.91

	2017-18 Final Rec.		2018-19 Stu Fees Requested		2018-19 Stu Fees Final Rec.	
	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem
Media Fee:						
MN Daily	\$ 502,000	\$ 6.36	\$ 512,040	\$ 6.44	\$ 512,040	\$ 6.44
Radio K - One-time Requirement to Paint Tower	\$ 53,000	\$ 0.70	\$ -	\$ -	\$ -	\$ -
Radio K DJ Equipment Replacement	\$ -	\$ -	\$ 30,000	\$ 0.39	\$ 30,000	\$ 0.39
Radio K-Operating	\$ 271,317	\$ 3.43	\$ 288,100	\$ 3.63	\$ 284,400	\$ 3.58
Students for a Conservative Voice	\$ 103,810	\$ 1.32	\$ 107,830	\$ 1.36	\$ 107,830	\$ 1.36
StudioU	\$ 23,891	\$ 0.32	\$ 31,606	\$ 0.42	\$ 20,000	\$ 0.26
Wake Student Magazine	\$ 41,000	\$ 0.54	\$ 45,416	\$ 0.60	\$ 42,216	\$ 0.55
Total Media Fee Groups:	\$ 995,018	\$ 12.67	\$ 1,014,992	\$ 12.83	\$ 996,486	\$ 12.59

	2017-18 Final Rec.		2018-19 Stu Fees Requested		2018-19 Stu Fees Final Rec.	
	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem
Student Life, Health and Wellbeing Fee:						
Aurora Center	\$ 315,513	\$ 4.01	\$ 371,735	\$ 4.70	\$ 379,118	\$ 4.80
Boynton Health Facility Support Fee	\$ 612,755	\$ 8.42	\$ 612,755	\$ 8.35	\$ 737,225	\$ 10.07
Boynton Health Operational Fee	\$ 9,026,504	\$ 124.24	\$ 9,274,904	\$ 126.49	\$ 9,350,544	\$ 127.53
Student Conflict Resolution Center	\$ 390,585	\$ 4.98	\$ 408,621	\$ 5.14	\$ 411,109	\$ 5.17
Student Fee Administration	\$ 170,000	\$ 2.16	\$ 170,000	\$ 2.14	\$ 171,229	\$ 2.15
Student Legal Services	\$ 1,300,746	\$ 16.47	\$ 1,300,746	\$ 16.34	\$ 1,332,275	\$ 16.75
Student Parent Grants	\$ 150,000	\$ 1.99	\$ 150,000	\$ 1.97	\$ 150,000	\$ 1.97
Student Unions & Activities - Bond Repayment	\$ 3,559,021	\$ 45.00	\$ 3,559,021	\$ 44.71	\$ 3,559,021	\$ 44.71
Student Unions & Activities - Facility Support Fee	\$ 4,211,092	\$ 53.26	\$ 4,211,092	\$ 52.90	\$ 4,219,325	\$ 53.01
Student Unions & Activities - Operating Fee	\$ 2,422,223	\$ 30.71	\$ 2,483,223	\$ 31.23	\$ 2,489,007	\$ 31.30
University Recreation and Wellness -Facility Support Fee	\$ 5,931,475	\$ 74.99	\$ 5,931,475	\$ 74.52	\$ 5,928,690	\$ 74.48
University Recreation and Wellness - Operational Fee	\$ 3,098,780	\$ 39.18	\$ 3,098,780	\$ 38.93	\$ 3,144,875	\$ 39.54
Total Student Life, Health and Wellbeing Fee	\$ 31,188,694	\$ 405.39	\$ 31,572,352	\$ 407.43	\$ 31,872,418	\$ 411.50

Total Student Services Fees w/o Special Assessments	\$ 33,583,712	\$ 436.60	\$ 34,015,344	\$ 439.01	\$ 34,308,904	\$ 443.00
--	---------------	-----------	---------------	-----------	---------------	-----------

	2017-18 Final Rec.		2018-19 Stu Fees Requested		2018-19 Stu Fees Final Rec.	
	Revenue	Per Sem	Revenue	Per Sem	Revenue	Per Sem
Special Assessment Groups:						
Council of Graduate Students	\$ 124,420	\$ 10.39	\$ 124,180	\$ 10.33	\$ 124,180	\$ 10.33
Professional Student Government	\$ 201,815	\$ 16.23	\$ 204,825	\$ 16.56	\$ 204,825	\$ 16.56
MN Student Association	\$ 137,450	\$ 2.44	\$ 139,260	\$ 2.44	\$ 139,260	\$ 2.44
Total Special Assessments	\$ 463,685	\$ 29.07	\$ 468,265	\$ 29.33	\$ 468,265	\$ 29.33

Total for All Groups	\$ 34,047,397	\$ 465.67	\$ 34,483,609	\$ 468.34	\$ 34,777,169	\$ 472.33
-----------------------------	---------------	-----------	---------------	-----------	---------------	-----------

Note: Final recommendations for Student Life, Health and Wellbeing units were adjusted for compensation and cost pool changes.

University of Minnesota 2018-19 Tuition Plan: Student Services Fees

FY19 Student Activity Fee - Twin Cities

Total Allocation for FY19 = \$1,440,000

Twin Cities Student Activity Fee

Recommendations for 2018-19 through Fall

FY19 Spring Programming will be allocated in Fall 2018

	Operations Request 2018-2019	Operations Allocation 2018-2019	Programming Request - Fall 2018	Programming Allocation - Fall 2018	Programming Request - Spring 2019	Programming Allocation - Spring 2019	Total Request 2018- 2019	Total Allocation 2018-2019*
7 Days A Cappella	\$ 499.95	\$ -	\$ 5,296.00	\$ 5,031.00			\$ 5,795.95	\$ 5,031.00
African Student Association (ASA)	\$ 2,500.00	\$ -	\$ 10,024.00	\$ 9,274.00			\$ 12,524.00	\$ 9,274.00
Al-Madinah Cultural Center (AMCC)	\$ 8,816.00	\$ 6,321.00	\$ 10,984.00	\$ 10,209.00			\$ 19,800.00	\$ 16,530.00
Alpine Ski Team	\$ 10,379.00	\$ 10,379.00	\$ -	\$ -			\$ 10,379.00	\$ 10,379.00
American Indian Student Cultural Center (AISCC)	\$ 7,643.89	\$ 5,564.00	\$ 4,755.00	\$ 4,370.00			\$ 12,398.89	\$ 9,934.00
Anthropology Club	\$ 1,000.00	\$ 1,000.00	\$ -	\$ -			\$ 1,000.00	\$ 1,000.00
Argentine Tango Club, U-Tango	\$ 3,910.00	\$ -	\$ 5,078.00	\$ -			\$ 8,988.00	\$ -
Art of Living Club, The	\$ 6,985.00	\$ 1,800.00	\$ 12,174.00	\$ 3,924.00			\$ 19,159.00	\$ 5,724.00
Asian American Student Union	\$ 1,021.00	\$ 1,021.00	\$ 7,419.00	\$ 2,222.00			\$ 8,440.00	\$ 3,243.00
Association for Computing Machinery (ACM)	\$ 1,835.99	\$ 1,640.00	\$ 3,054.00	\$ 3,054.00			\$ 4,889.99	\$ 4,694.00
Be the Match on Campus	\$ 1,397.95	\$ 1,175.00	\$ 2,356.00	\$ 2,340.00			\$ 3,753.95	\$ 3,515.00
Black Graduate & Professional Student Association	\$ 5,452.04	\$ 3,243.00	\$ 11,182.00	\$ 11,182.00			\$ 16,634.04	\$ 14,425.00
Black Motivated Women*	\$ -	\$ -	\$ 8,305.00	\$ 8,125.00			\$ 8,305.00	\$ 8,125.00
Black Student Union (BSU)	\$ 3,170.00	\$ 3,170.00	\$ 9,989.00	\$ 9,989.00			\$ 13,159.00	\$ 13,159.00
Campus Atheists, Skeptics, and humanists	\$ -	\$ -	\$ 1,460.00	\$ -			\$ 1,460.00	\$ -
Catholic Students United	\$ 7,280.00	\$ 4,500.00	\$ 15,213.00	\$ 14,163.00			\$ 22,493.00	\$ 18,663.00
Chabad U of MN	\$ 25,000.00	\$ 18,950.00	\$ 15,700.00	\$ 13,400.00			\$ 40,700.00	\$ 32,350.00
Chess Club	\$ 9,435.00	\$ 6,095.00	\$ 19,358.00	\$ 18,858.00			\$ 28,793.00	\$ 24,953.00
Chung Gong	\$ 3,950.04	\$ 2,791.00	\$ -	\$ -			\$ 3,950.04	\$ 2,791.00
CLA Business Club	\$ -	\$ -	\$ 1,927.00	\$ -			\$ 1,927.00	\$ -
Colleges Against Cancer	\$ 1,127.50	\$ 903.00	\$ 1,762.00	\$ -			\$ 2,889.50	\$ 903.00
Collegians For A Constructive Tomorrow	\$ 24,994.00	\$ 22,744.00	\$ 15,550.00	\$ 15,550.00			\$ 40,544.00	\$ 38,294.00
Collegiate DECA	\$ 824.07	\$ -	\$ 6,048.00	\$ 6,048.00			\$ 6,872.07	\$ 6,048.00
Community Child Care Center Inc.	\$ 25,000.00	\$ 25,000.00	\$ 15,000.00	\$ 2,025.00			\$ 40,000.00	\$ 27,025.00
Como Early Learning Center (CELC)	\$ 25,000.00	\$ 25,000.00	\$ 15,000.00	\$ -			\$ 40,000.00	\$ 25,000.00
Compassionate Action for Animals	\$ 12,650.00	\$ 6,400.00	\$ 17,540.00	\$ 640.00			\$ 30,190.00	\$ 7,040.00
Council of International Graduate Students (CIGS)	\$ -	\$ -	\$ 8,388.00	\$ 8,088.00			\$ 8,388.00	\$ 8,088.00
Cru	\$ 8,279.00	\$ 2,679.00	\$ 10,334.00	\$ 9,534.00			\$ 18,613.00	\$ 12,213.00
Cryptocurrency Club	\$ -	\$ -	\$ 4,795.00	\$ -			\$ 4,795.00	\$ -
Curriculum and Instruction Graduate Student Association	\$ -	\$ -	\$ 1,535.00	\$ -			\$ 1,535.00	\$ -
Dance Marathon at the University of Minnesota	\$ 1,140.00	\$ 550.00	\$ 2,353.00	\$ 2,353.00			\$ 3,493.00	\$ 2,903.00
Disabled Student Cultural Center	\$ 4,092.00	\$ 4,092.00	\$ 6,304.00	\$ 5,070.00			\$ 10,396.00	\$ 9,162.00
Economics Student Organization	\$ -	\$ -	\$ 2,195.00	\$ 2,171.00			\$ 2,195.00	\$ 2,171.00
Education Minnesota Student Chapter (EMSP - UMN Twin Cities)	\$ -	\$ -	\$ 2,213.00	\$ 2,213.00			\$ 2,213.00	\$ 2,213.00
Engineering World Health	\$ 3,199.00	\$ 2,489.00	\$ 14,719.00	\$ 967.00			\$ 17,918.00	\$ 3,456.00
Engineers Without borders (SWB) - UMN Chapter	\$ -	\$ -	\$ 1,750.00	\$ -			\$ 1,750.00	\$ -
Feminist Student Activist Collective	\$ 5,024.00	\$ 3,724.00	\$ 2,181.00	\$ 2,181.00			\$ 7,205.00	\$ 5,905.00
Fisheries, Wildlife, and Conservation Biology Club	\$ 24,248.00	\$ 10,510.00	\$ 18,270.00	\$ -			\$ 42,518.00	\$ 10,510.00
GLITCH	\$ 25,000.00	\$ -	\$ -	\$ -			\$ 25,000.00	\$ -
GO FIRST Robotics	\$ 25,000.00	\$ 24,400.00	\$ 16,463.00	\$ 13,764.00			\$ 41,463.00	\$ 38,164.00
Graduate Society for Human Resources Leaders	\$ -	\$ -	\$ 2,154.00	\$ 2,154.00			\$ 2,154.00	\$ 2,154.00
Grad SEHD	\$ 5,329.84	\$ 2,830.00	\$ 9,396.00	\$ 9,396.00			\$ 14,725.84	\$ 12,226.00
Gymnastics Club	\$ 4,340.00	\$ 4,340.00	\$ -	\$ -			\$ 4,340.00	\$ 4,340.00
Hellenic Student Association at the University of Minnesota	\$ 2,740.00	\$ 700.00	\$ 1,792.00	\$ -			\$ 4,532.00	\$ 700.00
Hmong Minnesota Student Association (HMSA)	\$ 2,811.00	\$ 2,811.00	\$ 12,020.00	\$ 11,070.00			\$ 14,831.00	\$ 13,881.00
Human Rights Student Association			\$ 3,078.00	\$ 3,078.00			\$ 3,078.00	\$ 3,078.00
Indian Student Association			\$ 9,000.00	\$ 9,000.00			\$ 9,000.00	\$ 9,000.00
Interdisciplinary Perspectives on International Development (IPID)			\$ 3,416.00	\$ 3,416.00			\$ 3,416.00	\$ 3,416.00
International Buddy Program	\$ -	\$ -	\$ 5,665.00	\$ 5,665.00			\$ 5,665.00	\$ 5,665.00
International Justice Mission UMN			\$ 2,571.00	\$ -			\$ 2,571.00	\$ -

Attachment 11:

University of Minnesota 2018-19 Tuition Plan: Student Services Fees

	Operations Request 2018-2019	Operations Allocation 2018-2019	Programming Request - Fall 2018	Programming Allocation - Fall 2018	Programming Request - Spring 2019	Programming Allocation - Spring 2019	Total Request 2018- 2019	Total Allocation 2018-2019*
Korean Student Association			\$ 3,895.00	\$ 3,895.00			\$ 3,895.00	\$ 3,895.00
La Raza Student Cultural Center	\$ 1,734.38	\$ -	\$ 8,370.00	\$ 7,520.00			\$ 10,104.38	\$ 7,520.00
Lutheran Campus Ministry	\$ 6,869.13	\$ 6,370.00	\$ 8,744.00	\$ 8,624.00			\$ 15,613.13	\$ 14,994.00
Malaysian Student Association (PERSISMA)	\$ 1,100.00	\$ -	\$ -	\$ -			\$ 1,100.00	\$ -
Marine Biology Club	\$ 2,420.00	\$ 2,170.00	\$ 6,536.00	\$ -			\$ 8,956.00	\$ 2,170.00
Minnesota Equestrian	\$ 6,540.36	\$ 5,104.00	\$ 19,321.00	\$ 18,355.00			\$ 25,861.36	\$ 23,459.00
Minnesota Hillel	\$ -	\$ -	\$ 15,033.00	\$ 15,033.00			\$ 15,033.00	\$ 15,033.00
Minnesota International Student Association (MISA)	\$ 2,155.00	\$ 2,155.00	\$ 13,774.00	\$ 13,034.00			\$ 15,929.00	\$ 15,189.00
Minnesota Public Interest Research Group (MPIRG)	\$ 22,215.00	\$ 19,558.00	\$ 14,853.00	\$ -			\$ 37,068.00	\$ 19,558.00
Minnesota Quidditch	\$ 1,065.00	\$ 1,065.00	\$ 5,507.00	\$ 4,757.00			\$ 6,572.00	\$ 5,822.00
Minnesota Student for Liberty	\$ 23,580.00	\$ 23,580.00	\$ 13,080.00	\$ 13,080.00			\$ 36,660.00	\$ 36,660.00
Minnesota Triathlon Team	\$ 3,780.00	\$ 3,780.00					\$ 3,780.00	\$ 3,780.00
MinnesoTap	\$ 1,290.00	\$ 1,090.00	\$ 2,174.00	\$ 1,923.00			\$ 3,464.00	\$ 3,013.00
National Association of Black Accountants (NABA)	\$ 1,070.00	\$ -	\$ 3,300.00	\$ 2,470.00			\$ 4,370.00	\$ 2,470.00
Oromia Student Union	\$ -	\$ -	\$ 3,335.00	\$ 3,168.00			\$ 3,335.00	\$ 3,168.00
Pakistani Student Association	\$ -	\$ -	\$ 15,698.00	\$ 15,698.00			\$ 15,698.00	\$ 15,698.00
Philippine Student Association	\$ -	\$ -	\$ 4,350.00	\$ 4,350.00			\$ 4,350.00	\$ 4,350.00
Phillips Neighborhood Clinic	\$ 19,761.00	\$ 19,761.00	\$ -	\$ -			\$ 19,761.00	\$ 19,761.00
Queer Student Cultural Center (QSCC)	\$ 10,523.56	\$ 6,824.00	\$ 13,009.00	\$ 9,309.00			\$ 23,532.56	\$ 16,133.00
Rocket Team	\$ 22,250.00	\$ -	\$ 3,708.00	\$ 3,523.00			\$ 25,958.00	\$ 3,523.00
Saint Paul's Outreach (SPO)	\$ 10,811.00	\$ 9,175.00	\$ 10,072.00	\$ 9,505.00			\$ 20,883.00	\$ 18,680.00
SIAM (Society for Industrial and Applied Mathematics)	\$ -	\$ -	\$ 3,798.00	\$ 3,798.00			\$ 3,798.00	\$ 3,798.00
Sigma Psi Zeta	\$ -	\$ -	\$ 2,719.00	\$ -			\$ 2,719.00	\$ -
Small World Coffee Hour	\$ 1,954.55	\$ 1,836.00	\$ 10,650.00	\$ 10,650.00			\$ 12,604.55	\$ 12,486.00
Society of Asian Scientists and Engineers	\$ -	\$ -	\$ 5,582.00	\$ 5,582.00			\$ 5,582.00	\$ 5,582.00
Society of Automotive Engineers	\$ 24,794.00	\$ 24,794.00	\$ 2,375.00	\$ 2,375.00			\$ 27,169.00	\$ 27,169.00
Somali Student Association	\$ 500.00	\$ -	\$ 3,568.00	\$ 3,228.00			\$ 4,068.00	\$ 3,228.00
Student National Medical Association	\$ -	\$ -	\$ 625.00	\$ -			\$ 625.00	\$ -
Student Veteran Association (SVA)	\$ 350.00	\$ -	\$ 4,818.00	\$ 4,818.00			\$ 5,168.00	\$ 4,818.00
Students Supporting Isreal	\$ 4,850.00	\$ 2,030.00	\$ 6,998.00	\$ 6,423.00			\$ 11,848.00	\$ 8,453.00
Tesla Works	\$ 15,669.00	\$ 15,669.00	\$ 3,390.00	\$ 3,390.00			\$ 19,059.00	\$ 19,059.00
U-Finance			\$ 2,041.00	\$ 1,891.00			\$ 2,041.00	\$ 1,891.00
United Nations Student Association - Model United Nations (UNSA-MUN)			\$ 13,439.00	\$ 13,439.00			\$ 13,439.00	\$ 13,439.00
University Forensic Speaking	\$ 4,289.00	\$ -	\$ 5,918.00	\$ 5,918.00			\$ 10,207.00	\$ 5,918.00
University of Minnesota Clean Snowmobile Team	\$ 6,391.00	\$ 6,391.00					\$ 6,391.00	\$ 6,391.00
University of Minnesota Parliamentary Debate	\$ 24,350.00	\$ 11,350.00	\$ 15,000.00	\$ 14,250.00			\$ 39,350.00	\$ 25,600.00
University of Minnesota Solar Vehicle Project	\$ 25,000.00	\$ -	\$ 3,750.00	\$ 3,750.00			\$ 28,750.00	\$ 3,750.00
University of Minnesota Undergraduate Mock Trial Association (UMTA)	\$ 13,925.00	\$ 1,625.00	\$ 11,285.00	\$ 11,285.00			\$ 25,210.00	\$ 12,910.00
University Running Club	\$ 2,558.00	\$ 2,058.00	\$ 7,450.00	\$ 7,450.00			\$ 10,008.00	\$ 9,508.00
University Transfer Student Board	\$ -	\$ -	\$ 2,761.00	\$ 2,623.00			\$ 2,761.00	\$ 2,623.00
Vietnamese Student Association of Minnesota (VSAM)	\$ -	\$ -	\$ 5,650.00	\$ 5,650.00			\$ 5,650.00	\$ 5,650.00
WAM Collective			\$ 14,100.00	\$ 12,700.00			\$ 14,100.00	\$ 12,700.00
Wesley Foundation	\$ 24,850.04	\$ -	\$ 7,141.00	\$ 6,676.00			\$ 31,991.04	\$ 6,676.00
Women for Political Change	\$ 2,461.98	\$ -					\$ 2,461.98	\$ -
Young Americans for Liberty (YAL)							\$ -	\$ -

Total

\$ 590,181.27 \$ 373,206.00 \$ 653,583.00 \$ 490,664.00 \$ - \$

\$ - \$ 1,243,764.27 \$ 863,870.00

*Total allocation pending appeals

Total FY19 Allocation \$ 1,440,000.00

Remaining Balance \$ 576,130.00

Attachment 12
Fund Forecast - Centrally Distributed and Attributed Funds

	Updated with Actual Carryforward F.Y. 2018								Approved Budget F.Y. 2019							
	O & M	Tuition	State Special	I C R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations	O & M	Tuition	State Special	I C R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations
<i>U of M SUMMARY</i>																
Resources																
Balance Forward - Systemwide	495,780	0	0	0	13,901,103	14,396,883	0	14,396,883	598,498	0	0	0	20,053,975	20,652,473	0	20,652,473
Annual Revenue:																
State Legislative Appropriations	590,248,000	0	92,845,000	0	0	683,093,000	0	683,093,000	580,198,000	0	92,845,000	0	0	673,043,000	0	673,043,000
Tuition and Fees	3,600,000	922,606,797	0	0	0	926,206,797	0	926,206,797	3,600,000	940,717,114	0	0	0	944,317,114	0	944,317,114
Indirect Cost Recovery	0	0	0	151,752,799	0	151,752,799	0	151,752,799	0	0	0	148,813,553	0	148,813,553	0	148,813,553
Investment Income (net)	0	0	0	0	20,585,000	20,585,000	0	20,585,000	0	0	0	0	24,965,000	24,965,000	0	24,965,000
Enterprise Assessment	21,200,000	0	0	0	0	21,200,000	0	21,200,000	21,600,000	0	0	0	0	21,600,000	0	21,600,000
Other	500,000	0	0	0	3,799,688	4,299,688	532,718,100	537,017,788	500,000	0	0	0	2,267,000	2,767,000	544,285,632	547,052,632
Subtotal - Annual Revenue	615,548,000	922,606,797	92,845,000	151,752,799	24,384,688	1,807,137,284	532,718,100	2,339,855,384	605,898,000	940,717,114	92,845,000	148,813,553	27,232,000	1,815,505,667	544,285,632	2,359,791,299
Net Interfund Transfers	5,732,000	0	0	0	(5,732,000)	0	0	0	5,732,000	0	0	0	(5,732,000)	0	0	0
Total Resources Available	621,775,780	922,606,797	92,845,000	151,752,799	32,553,791	1,821,534,167	532,718,100	2,354,252,267	612,228,498	940,717,114	92,845,000	148,813,553	41,553,975	1,836,158,140	544,285,632	2,380,443,772
Allocations																
Board of Regents	0	0	0	0	90,000	90,000	904,937	994,937	0	0	0	0	90,000	90,000	918,937	1,008,937
President's Office	389,419	0	0	0	167,000	556,419	4,807,663	5,364,082	389,419	0	0	0	167,000	556,419	4,778,663	5,335,082
Athletics	6,892,158	0	0	0	0	6,892,158	0	6,892,158	6,837,169	0	0	0	0	6,837,169	0	6,837,169
University Relations	0	0	0	0	5,658,580	5,658,580	5,342,872	11,001,452	0	0	0	0	5,679,580	5,679,580	6,374,585	12,054,165
General Counsel	0	0	0	0	0	0	5,938,958	5,938,958	0	0	0	0	0	0	6,065,958	6,065,958
Audits	0	0	0	0	0	0	2,035,534	2,035,534	0	0	0	0	0	0	2,076,534	2,076,534
Chief Financial Officer	0	0	0	0	0	0	26,410,431	26,410,431	0	0	0	0	0	0	26,911,571	26,911,571
University Services	8,568,622	0	0	0	1,000,000	9,568,622	176,641,677	186,210,299	228,949	0	0	0	1,000,000	1,228,949	179,517,072	180,746,021
Debt Service	16,739,190	0	0	0	0	16,739,190	33,737,208	50,476,398	14,675,749	0	0	0	0	14,675,749	34,848,782	49,524,531
Senior VP/Provost Acadmic Affairs	252,539,244	610,285,469	44,758,986	59,926,784	0	967,510,483	160,993,966	1,128,504,449	260,700,496	625,309,734	44,758,986	58,875,960	0	989,645,176	166,179,728	1,155,824,904
Senior VP/System Academic Adm	0	0	0	18,880	0	18,880	0	18,880	0	0	0	0	0	0	0	0
Senior VP Health Sciences	179,196,028	161,481,716	34,488,385	83,795,531	0	458,961,660	12,351,431	471,313,091	184,577,258	164,170,901	34,488,385	82,738,798	0	465,975,342	11,580,435	477,555,777
Human Resources	0	0	0	0	0	0	7,446,733	7,446,733	0	0	0	0	0	0	7,376,277	7,376,277
Information Technology	0	0	0	500	4,000,000	4,000,500	68,214,295	72,214,795	0	0	0	0	4,000,000	4,000,000	71,200,295	75,200,295
Research	21,851,920	0	703,683	5,781,400	0	28,337,003	23,987,395	52,324,398	22,106,527	0	703,683	4,997,686	0	27,807,896	24,346,795	52,154,691
Duluth	43,756,549	113,803,976	4,452,946	2,114,617	350,000	164,478,088	0	164,478,088	44,513,401	113,937,110	4,452,946	2,114,617	350,000	165,368,074	0	165,368,074
Morris	22,306,994	14,559,090	0	83,884	0	36,949,968	0	36,949,968	22,652,681	14,213,686	0	75,698	0	36,942,065	0	36,942,065
Crookston	11,637,153	16,186,500	0	20,309	0	27,843,962	0	27,843,962	11,847,937	16,273,250	0	383	0	28,121,570	0	28,121,570
Rochester	7,808,023	6,290,046	450,000	10,894	0	14,558,963	0	14,558,963	7,833,545	6,812,433	450,000	10,411	0	15,106,389	0	15,106,389
Contingencies and Reserves	0	0	0	0	1,000,000	1,000,000	0	1,000,000	0	0	0	0	1,000,000	1,000,000	0	1,000,000
Special Allocations	49,491,982	0	7,991,000	0	234,236	57,717,218	3,905,000	61,622,218	35,623,788	0	7,991,000	0	360,000	43,974,788	1,905,000	45,879,788
Subtotal - Allocations	621,177,282	922,606,797	92,845,000	151,752,799	12,499,816	1,800,881,694	532,718,100	2,333,599,794	611,986,919	940,717,114	92,845,000	148,813,553	12,646,580	1,807,009,166	544,080,632	2,351,089,798
Total Allocations	621,177,282	922,606,797	92,845,000	151,752,799	12,499,816	1,800,881,694	532,718,100	2,333,599,794	611,986,919	940,717,114	92,845,000	148,813,553	12,646,580	1,807,009,166	544,080,632	2,351,089,798
Change in allocations/Transfers																
Ending Balance	598,498	0	0	0	20,053,975	20,652,473	0	20,652,473	241,579	0	0	0	28,907,395	29,148,974	205,000	29,353,974
Required Reserve					27,323,720								26,921,720			

Attachment 12
Fund Forecast - Centrally Distributed and Attributed Funds

	Updated with Actual Carryforward F.Y. 2018								Approved Budget F.Y. 2019							
	O & M	Tuition	State Special	I C R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations	O & M	Tuition	State Special	I C R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations
ANNUAL RESOURCES																
State Legislative Appropriations																
General Appropriation	590,248,000	0	0	0	0	590,248,000	0	590,248,000	580,198,000	0	0	0	0	580,198,000	0	580,198,000
MinnesotaCare	0	0	2,157,000	0	0	2,157,000	0	2,157,000	0	0	2,157,000	0	0	2,157,000	0	2,157,000
Cigarette Tax	0	0	22,250,000	0	0	22,250,000	0	22,250,000	0	0	22,250,000	0	0	22,250,000	0	22,250,000
Miscellaneous Special	0	0	7,991,000	0	0	7,991,000	0	7,991,000	0	0	7,991,000	0	0	7,991,000	0	7,991,000
Agriculture Special	0	0	42,922,000	0	0	42,922,000	0	42,922,000	0	0	42,922,000	0	0	42,922,000	0	42,922,000
Health Sciences Special	0	0	9,204,000	0	0	9,204,000	0	9,204,000	0	0	9,204,000	0	0	9,204,000	0	9,204,000
Technology Special	0	0	1,140,000	0	0	1,140,000	0	1,140,000	0	0	1,140,000	0	0	1,140,000	0	1,140,000
System Special	0	0	7,181,000	0	0	7,181,000	0	7,181,000	0	0	7,181,000	0	0	7,181,000	0	7,181,000
Subtotal - State Appropriations	590,248,000	0	92,845,000	0	0	683,093,000	0	683,093,000	580,198,000	0	92,845,000	0	0	673,043,000	0	673,043,000
Tuition																
Tuition/U Fee - Twin Cities	0	771,767,185	0	0	0	771,767,185	0	771,767,185	0	789,480,635	0	0	0	789,480,635	0	789,480,635
Tuition/U Fee - Duluth	0	113,803,976	0	0	0	113,803,976	0	113,803,976	0	113,937,110	0	0	0	113,937,110	0	113,937,110
Tuition/U Fee - Morris	0	14,559,090	0	0	0	14,559,090	0	14,559,090	0	14,213,686	0	0	0	14,213,686	0	14,213,686
Tuition/U Fee - Rochester	0	6,290,046	0	0	0	6,290,046	0	6,290,046	0	6,812,433	0	0	0	6,812,433	0	6,812,433
Tuition/U Fee - Crookston	0	16,186,500	0	0	0	16,186,500	0	16,186,500	0	16,273,250	0	0	0	16,273,250	0	16,273,250
Subtotal - Tuition/U Fee	0	922,606,797	0	0	0	922,606,797	0	922,606,797	0	940,717,114	0	0	0	940,717,114	0	940,717,114
Tuition Adjustment	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Application/Bursar Fees	3,600,000	0	0	0	0	3,600,000	0	3,600,000	3,600,000	0	0	0	0	3,600,000	0	3,600,000
Subtotal - Tuition and Fees	3,600,000	0	0	0	0	3,600,000	0	3,600,000	3,600,000	0	0	0	0	3,600,000	0	3,600,000
Indirect Cost Recovery	0	0	0	151,752,799	0	151,752,799	0	151,752,799	0	0	0	148,813,553	0	148,813,553	0	148,813,553
Investment Income (net)																
Gross Investment Income	0	0	0	0	24,930,000	24,930,000	0	24,930,000	0	0	0	0	28,625,000	28,625,000	0	28,625,000
Realized Capital Gains/Loss	0	0	0	0	(2,330,000)	(2,330,000)	0	(2,330,000)	0	0	0	0	(1,550,000)	(1,550,000)	0	(1,550,000)
Fees & Operating Deduction	0	0	0	0	(1,885,000)	(1,885,000)	0	(1,885,000)	0	0	0	0	(1,980,000)	(1,980,000)	0	(1,980,000)
Inv. Income - To Participants	0	0	0	0	(130,000)	(130,000)	0	(130,000)	0	0	0	0	(130,000)	(130,000)	0	(130,000)
Subtotal - Investment Income (net)	0	0	0	0	20,585,000	20,585,000	0	20,585,000	0	0	0	0	24,965,000	24,965,000	0	24,965,000
Other																
Internal Cost Pool Receipts	0	0	0	0	0	0	532,718,100	532,718,100	0	0	0	0	0	0	544,285,632	544,285,632
Legal Settlements	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Enterprise Assessment	21,200,000	0	0	0	0	21,200,000	0	21,200,000	21,600,000	0	0	0	0	21,600,000	0	21,600,000
Other Income/Misc. Adj	500,000	0	0	0	3,799,688	4,299,688	0	4,299,688	500,000	0	0	0	2,267,000	2,767,000	0	2,767,000
Subtotal - Other	21,700,000	0	0	0	3,799,688	25,499,688	532,718,100	558,217,788	22,100,000	0	0	0	2,267,000	24,367,000	544,285,632	568,652,632
Annual Revenue	615,548,000	922,606,797	92,845,000	151,752,799	24,384,688	1,807,137,284	532,718,100	2,339,855,384	605,898,000	940,717,114	92,845,000	148,813,553	27,232,000	1,815,505,667	544,285,632	2,359,791,299
Net Interfund Transfers																
Into O&M from Central Reserves	5,732,000	0	0	0	(5,732,000)	0	0	0	5,732,000	0	0	0	(5,732,000)	0	0	0
Subtotal - Net Transfers	5,732,000	0	0	0	(5,732,000)	0	0	0	5,732,000	0	0	0	(5,732,000)	0	0	0

Attachment 12
Fund Forecast - Centrally Distributed and Attributed Funds

Updated with Actual Carryforward F.Y. 2018									Approved Budget F.Y. 2019							
	O & M	Tuition	State Special	I C R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations	O & M	Tuition	State Special	I C R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations
ALLOCATIONS																
Board of Regents	0	0	0	0	90,000	90,000	904,937	994,937	0	0	0	0	90,000	90,000	918,937	1,008,937
President's Office	389,419	0	0	0	167,000	556,419	4,807,663	5,364,082	389,419	0	0	0	167,000	556,419	4,778,663	5,335,082
General Counsel	0	0	0	0	0	0	5,938,958	5,938,958	0	0	0	0	0	0	6,065,958	6,065,958
Audits	0	0	0	0	0	0	2,035,534	2,035,534	0	0	0	0	0	0	2,076,534	2,076,534
Chief Financial Officer																
Sr. VP Finance & Operations	0	0	0	0	0	0	14,796,386	14,796,386	0	0	0	0	0	0	1,753,670	1,753,670
Assoc VP Finance	0	0	0	0	0	0	11,614,045	11,614,045	0	0	0	0	0	0	25,157,901	25,157,901
Total - Chief Financial Officer	0	0	0	0	0	0	26,410,431	26,410,431	0	0	0	0	0	0	26,911,571	26,911,571
University Services																
University Services - VP	0	0	0	0	0	0	5,985,790	5,985,790	0	0	0	0	0	0	6,608,775	6,608,775
Facilities Management	8,366,000	0	0	0	1,000,000	9,366,000	150,245,798	159,611,798	0	0	0	0	1,000,000	1,000,000	152,549,193	153,549,193
Capital Planning/Project Mgmt	0	0	0	0	0	0	1,680,212	1,680,212	0	0	0	0	0	0	1,709,212	1,709,212
University Health & Safety	0	0	0	0	0	0	6,608,345	6,608,345	0	0	0	0	0	0	6,263,360	6,263,360
Public Safety	0	0	0	0	0	0	9,496,649	9,496,649	0	0	0	0	0	0	9,722,649	9,722,649
Auxiliary Services	202,622	0	0	0	0	202,622	2,624,883	2,827,505	228,949	0	0	0	0	228,949	2,663,883	2,892,832
Total - University Services	8,568,622	0	0	0	1,000,000	9,568,622	176,641,677	186,210,299	228,949	0	0	0	1,000,000	1,228,949	179,517,072	180,746,021
Debt Service	16,739,190	0	0	0	0	16,739,190	33,737,208	50,476,398	14,675,749	0	0	0	0	14,675,749	34,848,782	49,524,531
Human Resources	0	0	0	0	0	0	7,446,733	7,446,733	0	0	0	0	0	0	7,376,277	7,376,277
Information Technology	0	0	0	500	4,000,000	4,000,500	68,214,295	72,214,795	0	0	0	0	4,000,000	4,000,000	71,200,295	75,200,295
University Relations	0	0	0	0	5,658,580	5,658,580	5,342,872	11,001,452	0	0	0	0	5,679,580	5,679,580	6,374,585	12,054,165
Sr. VP System Administration	0	0	0	18,880	0	18,880	0	18,880	0	0	0	0	0	0	0	0
Athletics	6,892,158	0	0	0	0	6,892,158	0	6,892,158	6,837,169	0	0	0	0	6,837,169	0	6,837,169
Research	21,851,920	0	703,683	5,781,400	0	28,337,003	23,987,395	52,324,398	22,106,527	0	703,683	4,997,686	0	27,807,896	24,346,795	52,154,691
Sr. VP Academic Affairs																
Exec VP & Provost	11,934,119	0	985,497	108,000	0	13,027,616	13,675,761	26,703,377	11,901,582	0	985,497	75,764	0	12,962,843	14,327,961	27,290,804
Undergraduate Education	2,964,175	3,737,332	0	0	0	6,701,507	71,800,345	78,501,852	3,008,906	3,812,079	0	0	0	6,820,985	73,299,523	80,120,508
Equity & Diversity	1,120,500	0	0	55,083	0	1,175,583	10,641,488	11,817,071	1,120,500	0	0	0	0	1,120,500	12,060,785	13,181,285
Global Prog Strategy Alliance	392,200	166,883	0	44,344	0	603,427	4,942,587	5,546,014	392,910	170,220	0	26,109	0	589,239	4,988,587	5,577,826
Graduate School	0	428,925	0	0	0	428,925	15,102,304	15,531,229	0	437,503	0	0	0	437,503	14,953,304	15,390,807
Student Affairs	2,482,421	0	0	0	0	2,482,421	4,119,149	6,601,570	2,110,354	0	0	0	0	2,110,354	4,336,236	6,446,590
University Libraries	0	0	0	49,000	0	49,000	40,712,332	40,761,332	0	0	0	46,000	0	46,000	42,213,332	42,259,332
Total - Sr. Vice President	18,893,415	4,333,140	985,497	256,427	0	24,468,479	160,993,966	185,462,445	18,534,252	4,419,802	985,497	147,873	0	24,087,424	166,179,728	190,267,152
Provost																
Education & Human Dev.	21,092,839	64,447,993	987,657	6,482,033	0	93,010,522	0	93,010,522	21,466,388	65,470,520	987,657	6,100,000	0	94,024,565	0	94,024,565
Carlson School of Management	5,793,353	85,220,959	806,526	147,124	0	91,967,962	0	91,967,962	6,626,222	86,753,218	806,526	14,724	0	94,200,690	0	94,200,690
Humphrey Inst. of Public Affairs	5,927,168	7,937,469	91,942	986,402	0	14,942,981	0	14,942,981	6,030,141	7,772,370	91,942	880,107	0	14,774,560	0	14,774,560
Law School	10,978,097	29,331,274	0	45,627	0	40,354,998	0	40,354,998	12,728,421	29,532,602	0	45,627	0	42,306,650	0	42,306,650
Design	7,670,876	19,738,400	451,444	389,332	0	28,250,052	0	28,250,052	7,742,944	20,310,316	451,444	361,600	0	28,866,304	0	28,866,304
Food., Ag., Nat. Res. Sciences	33,877,121	31,816,112	21,993,054	6,574,229	0	94,260,516	0	94,260,516	34,786,310	33,248,916	21,993,054	6,574,229	0	96,602,509	0	96,602,509
Ag Experiment Station	3,280,185	0	2,107,329	0	0	5,387,514	0	5,387,514	3,276,648	0	2,107,329	0	0	5,383,977	0	5,383,977
MN Extension Service	11,714,615	0	15,835,736	1,600,156	0	29,150,507	0	29,150,507	12,235,448	0	15,835,736	1,500,000	0	29,571,184	0	29,571,184
Continuing & Professional Ed	5,634,595	14,883,395	0	1,800	0	20,519,790	0	20,519,790	5,791,820	15,584,642	0	1,800	0	21,378,262	0	21,378,262
Biological Sciences	23,752,131	25,770,252	359,801	6,750,000	0	56,632,184	0	56,632,184	24,729,274	26,500,000	359,801	6,750,000	0	58,339,075	0	58,339,075
Liberal Arts	46,981,707	189,861,225	0	4,193,654	0	241,036,586	0	241,036,586	46,928,836	194,717,348	0	4,000,000	0	245,646,184	0	245,646,184
Science & Engineering	56,943,142	136,945,250	1,140,000	32,500,000	0	227,528,392	0	227,528,392	59,823,792	141,000,000	1,140,000	32,500,000	0	234,463,792	0	234,463,792
Total - Provost	233,645,829	605,952,329	43,773,489	59,670,357	0	943,042,004	0	943,042,004	242,166,244	620,889,932	43,773,489	58,728,087	0	965,557,752	0	965,557,752

Attachment 12
Fund Forecast - Centrally Distributed and Attributed Funds

	Updated with Actual Carryforward F.Y. 2018								Approved Budget F.Y. 2019							
	O & M	Tuition	State Special	I C R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations	O & M	Tuition	State Special	I C R	Central Reserves	Total	Support Unit Pools	Budgeted Allocations
Academic Health Center																
Dentistry	14,674,171	28,303,835	244,000	1,600,000	0	44,822,006	0	44,822,006	14,349,086	29,134,907	244,000	1,500,000	0	45,227,993	0	45,227,993
Senior VP-Hlth Sciences	0	0	0	0	0	0	12,351,431	12,351,431	0	0	0	0	0	0	11,580,435	11,580,435
Academic Hlth Center - Shared	35,798,047	7,110,670	10,984,373	10,700,000	0	64,593,090	0	64,593,090	39,819,170	7,356,073	10,984,373	11,283,173	0	69,442,789	0	69,442,789
Medical School	95,465,981	46,679,226	17,670,644	48,640,324	0	208,456,175	0	208,456,175	95,658,609	46,905,599	17,670,644	48,640,324	0	208,875,176	0	208,875,176
Nursing	5,437,654	13,274,648	444,000	1,510,783	0	20,667,085	0	20,667,085	5,386,982	14,430,000	444,000	1,000,000	0	21,260,982	0	21,260,982
Pharmacy	3,616,493	26,189,328	1,444,000	4,312,790	0	35,562,611	0	35,562,611	3,521,744	26,713,114	1,444,000	4,312,790	0	35,991,648	0	35,991,648
Public Health	6,890,537	22,105,920	340,743	13,027,844	0	42,365,044	0	42,365,044	7,429,066	21,807,408	340,743	11,822,511	0	41,399,728	0	41,399,728
Veterinary Medicine	17,313,145	17,818,089	3,360,625	4,003,790	0	42,495,649	0	42,495,649	18,412,601	17,823,800	3,360,625	4,180,000	0	43,777,026	0	43,777,026
Total - Academic Health Center	179,196,028	161,481,716	34,488,385	83,795,531	0	458,961,660	12,351,431	471,313,091	184,577,258	164,170,901	34,488,385	82,738,798	0	465,975,342	11,580,435	477,555,777
Duluth	43,756,549	113,803,976	4,452,946	2,114,617	350,000	164,478,088	0	164,478,088	44,513,401	113,937,110	4,452,946	2,114,617	350,000	165,368,074	0	165,368,074
Morris	22,306,994	14,559,090	0	83,884	0	36,949,968	0	36,949,968	22,652,681	14,213,686	0	75,698	0	36,942,065	0	36,942,065
Crookston	11,637,153	16,186,500	0	20,309	0	27,843,962	0	27,843,962	11,847,937	16,273,250	0	383	0	28,121,570	0	28,121,570
Rochester	7,808,023	6,290,046	450,000	10,894	0	14,558,963	0	14,558,963	7,833,545	6,812,433	450,000	10,411	0	15,106,389	0	15,106,389
Contingencies and Reserves																
General Contingency	0	0	0	0	1,000,000	1,000,000	0	1,000,000	0	0	0	0	1,000,000	1,000,000	0	1,000,000
Total - Contingencies & Reserves	0	0	0	0	1,000,000	1,000,000	0	1,000,000	0	0	0	0	1,000,000	1,000,000	0	1,000,000
Special Allocations (TINA)																
Reserve - Initiatives	4,113,187	0	0	0	0	4,113,187	0	4,113,187	1,415,089	0	0	0	0	1,415,089	0	1,415,089
Strategic Planning	4,725,900	0	0	0	0	4,725,900	0	4,725,900	4,475,900	0	0	0	0	4,475,900	0	4,475,900
MnDRIVE	9,131,103	0	0	0	0	9,131,103	0	9,131,103	31,103	0	0	0	0	31,103	0	31,103
Strategic Investment Pool	47,300	0	0	0	0	47,300	0	47,300	47,300	0	0	0	0	47,300	0	47,300
Graduate Assistant Support	3,600,000	0	0	0	0	3,600,000	0	3,600,000	3,600,000	0	0	0	0	3,600,000	0	3,600,000
Enterprise Project	19,162,818	0	0	0	0	19,162,818	0	19,162,818	19,562,818	0	0	0	0	19,562,818	0	19,562,818
Law Bridge	1,000,000	0	0	1,000,000	0	1,000,000	0	1,000,000	1,000,000	0	0	0	0	1,000,000	0	1,000,000
Promise Scholarship	2,000,000	0	0	0	0	2,000,000	2,825,000	4,825,000	2,000,000	0	0	0	0	2,000,000	825,000	2,825,000
Interdisciplinary Pool	0	0	0	0	0	0	1,080,000	1,080,000	0	0	0	0	0	0	1,080,000	1,080,000
Utility Reserve	1,000,000	0	0	0	0	1,000,000	0	1,000,000	1,000,000	0	0	0	0	1,000,000	0	1,000,000
Holding Debt Balance	1,167,578	0	0	0	0	1,167,578	0	1,167,578	1,167,578	0	0	0	0	1,167,578	0	1,167,578
New Bldg Operations/Utilities	3,544,096	0	0	0	0	3,544,096	0	3,544,096	824,000	0	0	0	0	824,000	0	824,000
Rochester/Mayo Appropriation	0	0	7,991,000	0	0	7,991,000	0	7,991,000	0	0	7,991,000	0	0	7,991,000	0	7,991,000
NR Investment Pool	0	0	0	0	0	0	0	0	500,000	0	0	0	0	500,000	0	500,000
LCCMR Appropriations/TIP	0	0	0	0	190,000	190,000	0	190,000	0	0	0	0	120,000	120,000	0	120,000
Legal Costs-Settlements-NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ATHL-Transition Loan-NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CBS Dean Commitment-NR	0	0	0	0	240,000	240,000	0	240,000	0	0	0	0	240,000	240,000	0	240,000
Outside Legal - Athletics NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
M Health Legal/Contractual NR	0	0	0	0	(195,764)	(195,764)	0	(195,764)	0	0	0	0	0	0	0	0
Total - Special Allocations	49,491,982	0	7,991,000	0	234,236	57,717,218	3,905,000	61,622,218	35,623,788	0	7,991,000	0	360,000	43,974,788	1,905,000	45,879,788
ALLOCATIONS	621,177,282	922,606,797	92,845,000	151,752,799	12,499,816	1,800,881,694	532,718,100	2,333,599,794	611,986,919	940,717,114	92,845,000	148,813,553	12,646,580	1,807,009,166	544,080,632	2,351,089,798

President's Recommended FY 2019 Annual Operating Budget

Brian D. Burnett
Julie Tonneson

Finance & Operations Committee

May 10, 2018

SENIOR VICE PRESIDENT FOR FINANCE AND OPERATIONS

World Class Services for a World Class University



UNIVERSITY BUDGET

UNIVERSITY OF MINNESOTA

Agenda:

PRESENTATION

- Resource Plan for FY19 – Incremental Changes
 - Tuition and Fees
 - Internal Reallocations
- Spending Plan for FY19 – Incremental Changes
 - Compensation
 - Other Costs and Investments
- Law School Budget Summary and Strategy

30
minutes

DISCUSSION

60minutes



This FY19 proposed budget focuses on two goals:

Maintain Excellence



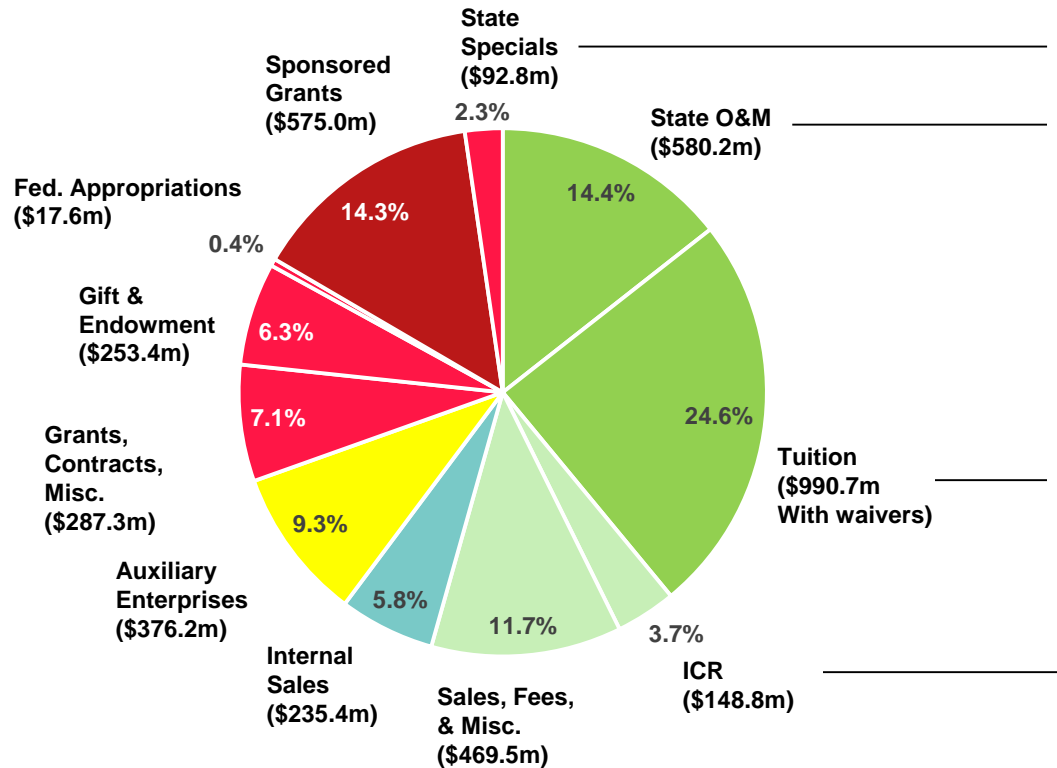
Maintain the Core

Budget Priorities Include:

- Minimize Tuition Increases for Resident Students
- Direct Other Available Revenues to Spending Priorities
- Recruit and Retain Excellent Staff Through Competitive Compensation
- Address Compliance and Infrastructure Requirements
- Continue to Prioritize the Use of Existing Resources through Reallocation

University of Minnesota Revenue Sources

All Funds FY19: \$4.0Billion



Centrally Distributed and Attributed Funds – 44% of \$4.0b
(O&M, Tuition, State Specials, ICR, Central Reserves)

FY19 Recommended	
Beginning Balance	\$20,652,473
Revenues & Net Transfers	\$1,815,505,667
Allocations to Units	<u>(\$1,807,009,166)</u>
Ending Balance	\$29,148,974



Budget Development Process

Defining the Budget Framework & Balancing the Budget

Part 1: Available Resources

Part 2: Costs & Investments

Part 3: Balancing the Budget

FY19 Budget Framework – Incremental Change – Primarily State Appropriation & Tuition Funds

Resources:

Tuition Revenue Increase	18,100,000	39%
Unit Resources	<u>28,300,000</u>	<u>61%</u>
Total Incremental Resources	\$46,400,000	100%

Expenditures:

Compensation	\$31,700,000	69%
Institutional Financial Aid	100,000	0%
Core Operations & Support	10,800,000	23%
Enhanced Student Serv., Instructional/Financial Support	400,00	1%
Program Enhancement & Compliance	1,100,000	2%
Facilities & Technology Infrastructure	<u>2,200,000</u>	<u>5%</u>
Total Incremental Expenditures	\$46,300,000	100%

Balance

\$100,000



University of Minnesota 2018-19 Tuition Plan

(Primary Rate Changes)

			\$ Inc	\$ Inc	% Inc	% Inc
	Resident	Nonresident	Resident	Nonresident	Resident	Nonresident
Twin Cities Undergraduate	\$13,058	\$28,736	\$258	\$3,750	2.0%	15.0%
Duluth Undergraduate	\$12,016	\$17,134	\$0	\$0	0.0%	0.0%
Morris Undergraduate	\$12,142	\$14,170	\$126	\$134	1.0%	1.0%
Crookston Undergraduate	\$10,282	\$10,282	\$0	\$0	0.0%	0.0%
Rochester Undergraduate	\$12,016	\$12,016	\$0	\$0	0.0%	0.0%
Graduate General Programs	\$17,064	\$26,412	\$336	\$528	2.0%	2.0%
Dentistry (12 mos.)	\$47,750	\$88,127	\$1,393	\$2,568	3.0%	3.0%
Law (1st Year Students)	\$42,840	\$51,528	\$840	\$1,008	2.0%	2.0%
Medicine (1st Year Students)	\$38,628	\$55,437	\$756	\$2,640	2.0%	5.0%
Pharmacy	\$27,048	\$39,600	\$528	\$792	2.0%	2.0%
Veterinary Medicine	\$29,718	\$55,224	\$270	\$504	0.9%	0.9%
MBA Day (1st Year Students)	\$39,000	\$49,968	\$792	\$1,032	2.1%	2.1%

* Other graduate and professional levels aligned with market conditions and peer institutions



Undergraduate Resident Tuition Rates Have Been Relatively Stable

Campus	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19 Proposed	Average annual increase
	Flat			1.5%	2.5%	2.0% 1.0%	2.0%/1.0%/0.0%	
Twin Cities	\$12,060	\$12,060	\$12,060	\$12,240	\$12,546	\$12,800	\$13,056	1.2%
Duluth	\$11,720	\$11,720	\$11,720	\$11,896	\$11,896	\$12,016	\$12,016	0.4%
Morris	\$11,720	\$11,720	\$11,720	\$11,896	\$11,896	\$12,016	\$12,142	0.4%
Rochester	\$11,720	\$11,720	\$11,720	\$11,896	\$11,896	\$12,016	\$12,016	0.4%
Crookston	\$10,030	\$10,030	\$10,030	\$10,180	\$10,180	\$10,282	\$10,282	0.4%
				Flat		Flat		



Twin Cities Undergraduate Non-Resident Tuition Rates Have Been Increasing

Approved
Dec. 2017

Campus	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19
Twin Cities	\$17,310	\$18,310	\$19,310	\$20,660	\$22,210	\$24,986	\$28,734
Dollar change		+\$1,000	+\$1,000	+\$1,350	+\$1,550	+\$2,776	\$3,748
% change		+5.8%	+5.5%	+7.0%	+7.5%*	+12.5%*	+15.0%*

*Continuing students that were enrolled the prior year will see no more than a 5.5% annual increase through their timely graduation.



Limited Changes Recommended in the Three Fee Categories for FY19

Course Fees

for costs “unique and essential” to the specific course – consumable materials, travel & special talents

74 listed last year are eliminated for FY19, and of the remaining:

79% - no change
5% - decreasing
12% - increasing
4% - new (30)

Misc. Term Fees

for a benefit common to a large set of students (stadium fee, confirmation fees, the athletics fee at UMD) or very unique to an individual (testing fees, locker rentals etc.) – or a fee in lieu of tuition

26 listed last year are eliminated for FY19, and of the remaining:

79% - no change
2% - decreasing
16% - increasing
3% - new (11)

Academic Fees

the campus/collegiate and “durable goods” fees

Campus/Collegiate Fees

- Crookston, Morris, Rochester
- UMD – 6 collegiate fees
- TC – 17 collegiate fees

Increases for FY19:
UMD-all colleges
UMM



Unit Level Resources

\$28.3 Million Available to Invest in FY19 Budget:

\$1.3 million = increases in other revenues (ICR, fees, etc.)

\$4.0 million = uncommitted FY18 tuition over budget

\$0.9 million = nonrecurring balances as transitions to future plans

\$3.1 million = cancellation of prior allocations

AND

\$19.0 million = reallocation of resources to higher priority needs

- Position eliminations - consolidation of work among fewer employees or fewer tasks
- Reductions in general operations – travel, food, professional services, supplies, etc.
- \$8.3 million identified as reductions to mission support/leadership & oversight/"Admin"
- \$6.6 million identified as reductions to direct mission activities
- \$4.1 million - units finalizing plans so the mission and "Admin" reduction totals will increase



The FY19 Budget achieves the goal to reduce administrative costs \$90 million over 6 Years:

1.	FY14 Implemented - <i>(Final list compiled & communicated during 2015 session)</i>	→	\$18.8m
2.	FY15 Implemented - <i>(Final list compiled and communicated to the Board of Regents)</i>	→	\$19.6m
3.	FY16 Implemented - <i>(Final list compiled & communicated during 2017 session)</i>	→	\$15.4m
4.	FY17 Implemented - <i>(Final list compiled & communicated to the Board of Regents)</i>	→	\$14.9m
5.	FY18 – In Process - Approved budget included unit level reallocations to support the costs and investments in the O&M/Tuition/State Specials portion of the budget and cost increases in other nonsponsored funds <i>(Final results to be compiled & communicated after fiscal year close)</i>	→	\$11.1m
6.	The FY19 proposed budget includes unit level reallocations to support the costs and investments in the O&M/Tuition/State Specials portion of the budget.	→	\$8.3m
7.	The FY19 proposed budget also includes unit level reallocations in the other non-sponsored funds to reduce administrative costs – pay for cost increases and reduce the pressure on increased revenues in those funds (sales, fees, etc.)	→	\$3.3m

Total : \$91.4m



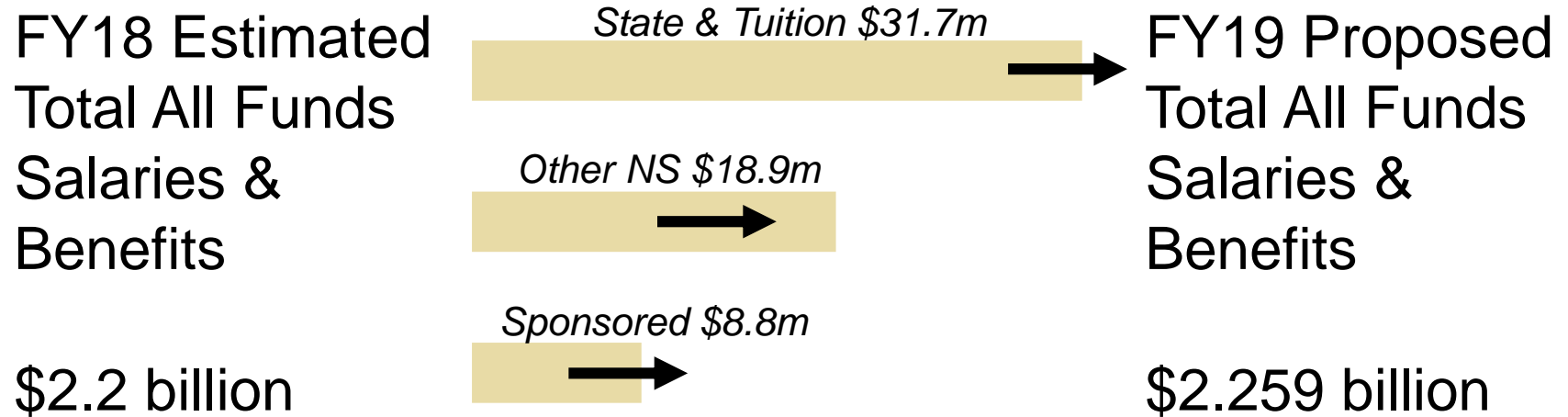
FY19 Incremental Costs & Investments = \$46.3 million

Maintain Excellence and Maintain the Core

Compensation & Benefits		\$31,700,000	68.4%
Core Operations & Services		\$10,800,000	23.3%
Facilities & Technology Infrastructure		\$2,200,000	4.8%
Program Enhancement & Compliance		\$1,100,000	2.4%
Enhanced Student Services, Instructional & Financial Support		\$400,000	0.9%
Institutionally Managed Student Financial Aid		\$100,000	0.2%



Maintain Excellence: Compensation is the Top Priority



The annual compensation pool is distributed at the local-level by colleges, units, and departments to individual faculty or staff with consideration of **meritorious performance** and relevant **market data**.



Maintain the Core: Unit Operations is the Top Priority

\$10.8 million to address operating needs:

- \$5.2 million dedicated to structural imbalance issues
 - Including \$1.8m in Law School; \$1.5m in UMD; \$1.5m in UMM and UMC (combination of O&M allocation changes and unit internal resources)
- \$3.5 million dedicated to basic faculty/student/research needs in various units
- \$1.0 million to compliance
- \$839k to Library collections

\$2.2 million to address technology/facility needs: R&R, software licenses and maintenance

\$1.6 million for Enhanced Services/Support: student service positions, student aid, research support



FY19 Operating Budget Projected Spending

Trend is below the rate of inflation

ALL FUNDS COMBINED:

Total Projected Revenues = \$4.0 billion + \$46.1 million or 1.2%

Total Projected Expenditures = \$3.8 billion + \$58.1 million or 1.8%

- 75% of the growth due to salaries & fringe
- salaries and fringe up 2.2% from FY18
- spending in all other categories increasing only 1.2%



FY2019 Annual Operating Budget Resolution

- WHEREAS, the University of Minnesota as the state's public, land grant university is charged with the responsibility to pursue knowledge and help apply that knowledge through research and discovery, teaching and learning, and outreach and public service; and
- WHEREAS, the State of Minnesota, through its legislative and executive branches, has appropriated \$648,636,000 in state general fund monies for fiscal year 2019 to the University of Minnesota, which includes a decrease over fiscal year 2018 of \$10,050,000, for the pursuit of its mission and in support of our goals and objectives; and
- WHEREAS, the \$10,050,000 reduced appropriation was used for nonrecurring during the current year; and
- WHEREAS, the University of Minnesota is committed to achieving standards of national and international excellence while maintaining efficient and effective services and processes;
- NOW, THEREFORE, BE IT RESOLVED, that the Board of Regents hereby approves the University of Minnesota Fiscal Year 2019 Annual Operating Budget as follows:

The Fiscal Year 2019 Annual Operating Budget approved by the Board of Regents includes the following attachments from the President's Recommended Fiscal Year 2019 Operating Budget:

- Attachment 2. Resource and Expenditure Budget Plan – University Fiscal Page
- Attachment 6. U of M Tuition Rate Plan
- Attachment 8. Course/Class Fees
- Attachment 9. Miscellaneous Fees
- Attachment 10. Academic Fees
- Attachment 11. Student Services Fees
- Attachment 12. Fund Forecast - Centrally Distributed and Attributed Funds



President's Recommendation on the Law School Budget

Karen Hanson
Garry Jenkins
Julie Tonneson

Finance & Operations Committee

May 10, 2018

SENIOR VICE PRESIDENT FOR FINANCE AND OPERATIONS

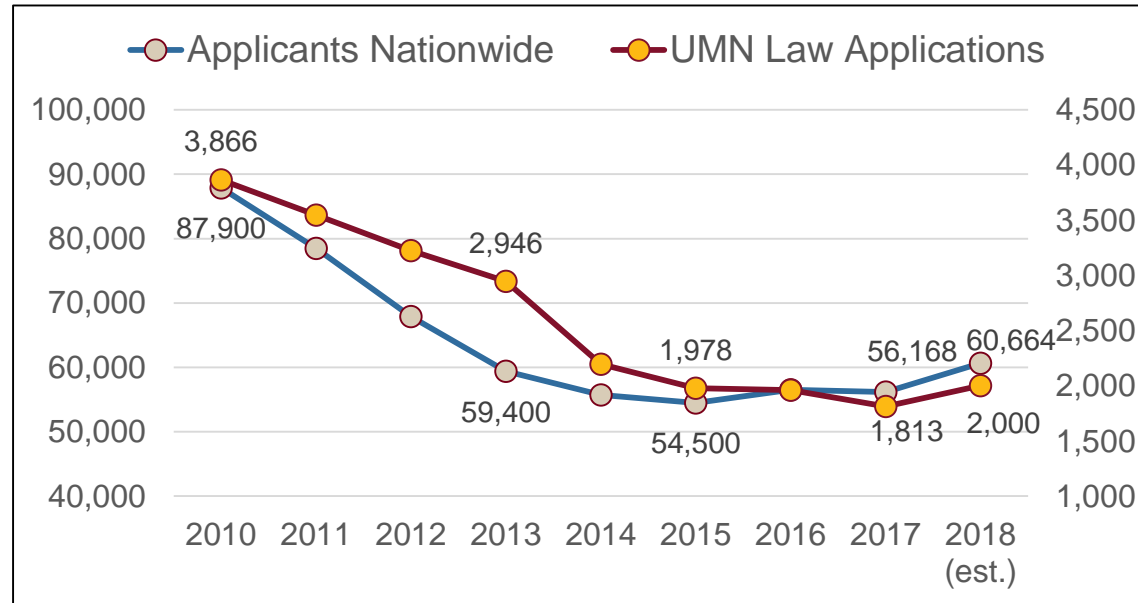
World Class Services for a World Class University



UNIVERSITY BUDGET

UNIVERSITY OF MINNESOTA

Decline in J.D. Applicants & Market Response



Peer Schools
2012-2017:

J.D Student Population
↓ 23%

Median Scholarship
↑ 51%



Assumptions for Board of Regents Finance Forecast (Feb. 2016)

	Assumption	Met?	FY17 Actual Results	FY18 Actual Results
Institutional Actions	1 Faculty Terminal Agreement (FY17)	Yes		
	5 Faculty Retirements by 2020	Yes		
	FY17 Tuition Increase 2.5% R & NR	Yes		
	FY17 Salary and Fringe Increase 2%	Yes		
Market Determined	J.D. Enrollments Stable at FY16 Level	No	65 Fewer students than FY16 (38 fewer regular JD students, 27 fewer transfer students)	79 Fewer students than FY16 (24 fewer regular JD students, 55 fewer transfer students)
	LL.M. Enrollments Stable at FY16 Level	No	15 Fewer students than FY16	24 Fewer students than FY16
			\$2.5 M lower gross tuition revenue	\$2.9 M lower gross tuition revenue



Strategies Analyzed By Joint Financial Oversight Committee

Lower Standards to Increase Gross Tuition Revenue

- Offer less competitive scholarships to recruit lower-credentialed students
- Increase student population at the expense of student quality, educational experience, faculty retention, and institutional reputation

Estimated Recurring
Net Tuition Revenue Change
by FY23

\$1.5M decrease

Invest in Improving Reputation and Ranking

- Offer more competitive scholarships to recruit students with even higher credentials
- Augment world-class faculty with additional academic superstars to raise scholarly profile

\$0.7M decrease

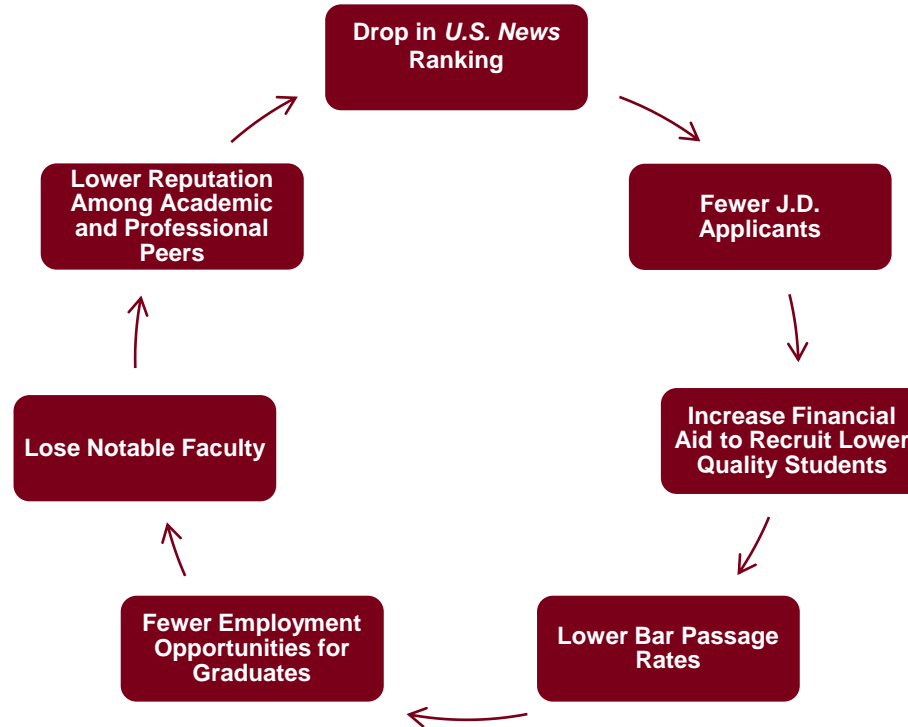
Maintain Quality and Reputation

- Offer scholarships at current levels to continue to recruit highly-credentialed students
- Preserve tuition premium by retaining world-class faculty, maintaining institutional reputation, and maximizing student outcomes

\$1.8M increase



Consequences of a Significant Drop in *U.S. News* Ranking



The Path Forward

President's Recommendation

Solve Imbalance Over Three Years

Additional O&M:
\$1.7M in FY19,
\$1.5M in FY20, and
\$1.3M in FY21

Required Law School
internal reallocations at
levels > standard targets

Cover year-end transfer
in FY19 (estimated
\$1.9M)

- President's recommendation for additional O&M in FY19-FY21
- Required internal reallocations above University targets
- Ongoing analysis and implementation of continued efforts to achieve enrollment stability and contain costs
- Joint Financial Oversight Committee to monitor progress and make adjustments as necessary



Thoughts, Questions, & Input



UNIVERSITY OF MINNESOTA
Driven to Discover®

The University of Minnesota is an equal opportunity educator and employer.



Undergraduate Resident Tuition Rates Have Been Relatively Stable

Campus	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19 Proposed	Average annual increase
	Flat			1.5%	2.5%	2.0% 1.0%	2.0%/1.0%/0.0%	
Twin Cities	\$12,060	\$12,060	\$12,060	\$12,240	\$12,546	\$12,800	\$13,058	1.2%
Duluth	\$11,720	\$11,720	\$11,720	\$11,896	\$11,896	\$12,016	\$12,016	0.4%
Morris	\$11,720	\$11,720	\$11,720	\$11,896	\$11,896	\$12,016	\$12,142	0.4%
Rochester	\$11,720	\$11,720	\$11,720	\$11,896	\$11,896	\$12,016	\$12,016	0.4%
Crookston	\$10,030	\$10,030	\$10,030	\$10,180	\$10,180	\$10,282	\$10,282	0.4%
				Flat		Flat		





BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

May 10, 2018

AGENDA ITEM: President's Recommended FY 2019 Annual Capital Improvement Budget

☒ **Review** ☐ **Review + Action** ☐ **Action** ☐ **Discussion**

☐ *This is a report required by Board policy.*

PRESENTERS: President Eric W. Kaler
Brian D. Burnett, Senior Vice President
Michael Berthelsen, Vice President, University Services

PURPOSE & KEY POINTS

The purpose of this item is review of the President's Recommended FY 2019 Annual Capital Improvement Budget (capital budget).

The University's capital budgets authorize projects to begin design and construction during the upcoming fiscal year. The FY 2019 capital budget authorizes projects totaling \$367,480,000. Approximately 65 percent of the capital budget is State of Minnesota supported debt from the University's 2018 State Capital Request (\$238,500,000.) The remaining 35 percent supports the University's share of state-supported projects as well as University-funded capital improvements. This capital budget includes the University's full 2018 State Capital Request and will be updated in June to reflect the outcome of the legislative session.

The capital budget reflects the planning priorities established by the Six-Year Capital Plan, which the Board acts on annually. Additionally, funding pools categorized as Repair and Replacement (R&R) or Higher Education Asset Preservation and Replacement (HEAPR) include multiple projects intended to preserve and renew existing campus facilities. Projects funded by these pool dollars include projects intended to extend the life and functionality of existing University facilities and infrastructure.

BACKGROUND INFORMATION

Board of Regents policy directs the administration to conduct capital planning with a "six-year time horizon, updated annually." This annual capital planning process is completed in two parts:

- Part 1 is the Six-Year Capital Plan, which is updated annually and establishes the institution's capital priorities. This plan is the basis for continued capital and financial planning. The Six-Year Capital Plan is presented to the Board annually in the fall.
- Part 2, approved by the Board in June, is the Annual Capital Improvement Budget for the coming fiscal year in which projects with completed predesigns and financing plans are approved to proceed with design and construction.

The University requires that all capital projects spending more than \$1,000,000 on either design or construction be included in the capital budget. In order to be included in the capital budget, the project must be approved by the respective vice president or chancellor, have completed an appropriate level of planning (typically a predesign), have all the required funding identified, and be ready to proceed if approved by the Board. These requirements lead to better projects, but also exclude from the capital budget some important projects still in development. As these projects meet the Board's criteria, they will be presented as capital budget amendments.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the Resolution Related to the Fiscal Year 2019 Annual Capital Improvement Budget and reaffirmation of its prior year capital expenditure authorization.



REGENTS OF THE UNIVERSITY OF MINNESOTA

RESOLUTION RELATED TO

The Fiscal Year 2019 Annual Capital Improvement Budget

WHEREAS, the Board of Regents (Board) directed the administration to annually submit a capital improvement budget and a six-year capital improvement plan; and

WHEREAS, the Board has adopted principles to guide the formulation of the capital improvement budget and six-year capital improvement plan; and

WHEREAS, the Board recognizes the importance of sustaining and improving the University's facilities in support of teaching, research, and outreach; and

WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is realistic.

NOW, THEREFORE, BE IT RESOLVED, that the Board approves the FY 2019 Capital Improvement Budget and reaffirms its prior year capital expenditure authorizations.

FY2019 ANNUAL CAPITAL BUDGET

University of Minnesota

Annual Capital Budget Definitions by Funding Source

Local Funds

These funds have been allocated to or generated by campus-level, collegiate-level or departmental-level units. These funds include state appropriations, tuition, internal sales, external sales and other unrestricted funds.

Grants / Gifts

Grant and gift funds are provided to the University to support specific construction projects.

Institutional Funds

This category of resources represents a broad array of funds from within the University including but not limited to central budget allocations for specific projects and purposes.

State Debt

These funds are provided from State sold bond proceeds for use on legislatively authorized projects.

U of M Debt

These funds come from both the sale of bonds issued by the University as well as commercial paper and internal loans. The source of the debt service payment varies by project.

UNIVERSITY OF MINNESOTA

Annual Capital Improvement Budget

Project Funding Report

UNIVERSITY OF MINNESOTA

Funding Report

Academic Affairs

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>Academic Affairs, Provost</i>									
3410	Systemwide	Greater MN Academic Renewal	\$15,800	\$0	\$0	\$0	\$10,500	\$5,300	FY18 State Request
<i>College of Biological Sciences</i>									
3427	Biological Sciences	3rd & 4th Floor HVAC Upgrades	\$1,700	\$0	\$0	\$0	\$0	\$1,700	
3429	ROC's	R&R - CBS Research & Outreach Ctrs.	\$116	\$116	\$0	\$0	\$0	\$0	
<i>College of Food, Agriculture & Natural Resources</i>									
3394	Bost Red Barn	Arboretum Bost Red Barn Renovation	\$1,987	\$0	\$1,987	\$0	\$0	\$0	
3430	ROC's	R&R - CFANS Research & Outreach Ctrs.	\$278	\$278	\$0	\$0	\$0	\$0	
<i>College of Liberal Arts</i>									
3411	Pillsbury Hall	Renovation	\$36,000	\$0	\$0	\$0	\$24,000	\$12,000	FY18 State Request
<i>Student Affairs, Vice Provost</i>									
3418	Aquatic Center	HVAC Replacement	\$8,400	\$4,200	\$0	\$1,000	\$0	\$3,200	
3433	TC Campus	R&R - Student Affairs	\$1,150	\$1,150	\$0	\$0	\$0	\$0	
<i>Undergrad Ed, Vice Provost & Dean</i>									
3431	TC Campus	R&R - Office of Classroom Management	\$1,030	\$1,030	\$0	\$0	\$0	\$0	
			\$66,461	\$6,774	\$1,987	\$1,000	\$34,500	\$22,200	

UNIVERSITY OF MINNESOTA

Funding Report

Health Sciences

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>AHC Shared Units</i>									
3389	Moos Tower	2nd Floor Cancer Center Lab Expansion	\$4,465	\$0	\$4,465	\$0	\$0	\$0	
3391	PWB	8th Floor Renovation	\$1,280	\$1,280	\$0	\$0	\$0	\$0	
3393	CMRR	MDT Optical Imaging Renovation	\$25,000	\$7,200	\$2,000	\$0	\$0	\$15,800	
<i>Health Sciences Administration</i>									
3428	Clinics & Surgery	MRI Unit Installation	\$2,025	\$0	\$0	\$2,025	\$0	\$0	
			\$32,770	\$8,480	\$6,465	\$2,025	\$0	\$15,800	

UNIVERSITY OF MINNESOTA

Funding Report

Intercollegiate Athletics

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>Intercollegiate Athletics</i>									
3420	TC Campus	R&R -Athletics	\$2,000	\$2,000	\$0	\$0	\$0	\$0	
			\$2,000	\$2,000	\$0	\$0	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Crookston Campus

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>UM Crookston</i>									
3425	Crookston	R&R - UMC Campus	\$319	\$319	\$0	\$0	\$0	\$0	
			\$319	\$319	\$0	\$0	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Duluth Campus

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>UM Duluth</i>									
3402	Duluth	R&R - Student Life	\$3,500	\$3,500	\$0	\$0	\$0	\$0	
3403	Duluth	R&R - UMD Campus	\$5,000	\$5,000	\$0	\$0	\$0	\$0	
3404	Sports & Health	Ice Rink Refrigerant Replacement	\$1,200	\$1,200	\$0	\$0	\$0	\$0	
3413	Glensheen Estate	Capital Renewal	\$8,000	\$0	\$4,000	\$0	\$4,000	\$0	FY18 State Request
3434	Coleraine	Production and Testing Facility	\$2,600	\$0	\$0	\$2,600	\$0	\$0	
			\$20,300	\$9,700	\$4,000	\$2,600	\$4,000	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Morris Campus

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>UM Morris</i>									
3424	Morris	R&R- UMM Campus	\$194	\$194	\$0	\$0	\$0	\$0	
			\$194	\$194	\$0	\$0	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

University Services

File	Facility	Project Title	Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt	Comments
<i>Facilities Management</i>									
3421	TC Campus	R&R - Twin Cities	\$12,834	\$10,315	\$0	\$2,519	\$0	\$0	
3422	TC Campus	R&R - Utility Infrastructure	\$7,092	\$7,092	\$0	\$0	\$0	\$0	
3423	TC Campus	R&R - Energy Conservation	\$4,400	\$1,900	\$0	\$2,500	\$0	\$0	
3432	TC Campus	R&R - Security Infrastructure	\$675	\$675	\$0	\$0	\$0	\$0	
<i>Housing & Residential Life</i>									
3414	TC Campus	R&R Housing & Residential Life	\$3,882	\$3,882	\$0	\$0	\$0	\$0	
<i>Parking & Transportation Services</i>									
3416	TC Campus	R&R Parking Infrastructure	\$5,675	\$5,675	\$0	\$0	\$0	\$0	
3417	TC Campus	R&R Transportation Infrastructure	\$9,073	\$9,073	\$0	\$0	\$0	\$0	
<i>University Dining Services</i>									
3415	TC Campus	R&R Dining Services	\$1,805	\$1,805	\$0	\$0	\$0	\$0	
<i>University Services</i>									
3412	Systemwide	HEAPR	\$200,000	\$0	\$0	\$0	\$200,000	\$0	FY18 State Request
			\$245,436	\$40,417	\$0	\$5,019	\$200,000	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Report Summary

Total	Local Funds	Grants / Gifts	Institutional Funds	State Debt	University Debt
\$367,480	\$67,884	\$12,452	\$10,644	\$238,500	\$38,000

FY2019 ANNUAL CAPITAL BUDGET

University of Minnesota

Project Description Report

The following project information sheets, ordered by file number, provide brief descriptions of each project.

UNIVERSITY OF MINNESOTA

Project Description Report

3389 2nd Floor Cancer Center Lab Expansion

Vice President: Health Sciences

Campus: Twin Cities

Facility: Moos Tower

Total Cost: \$4,465

Description: This project will remodel existing Moos 2FL lab space to allow for 4 new PI's, equipment and staff to occupy the space. This will include updates to finishes, HVAC, MEP, code review, abatement, emergency power, PSECC, cold room repairs, door hardware, request to add doors in corridor for added security, equipment replacements for autoclave, dishwasher, etc. This space will support investigators who will be hired for the Translational Genomics In Solid Tumor cancer research team, comprised of clinicians and researchers, proximity to the hospital and CSC, as well as the Masonic Cancer Center Research Building is ideal.

RRC: AHC Shared Units

RRC Contact: Jakub Tolar

Project Manager: Blake Bartelma

3391 8th Floor Renovation

Vice President: Health Sciences

Campus: Twin Cities

Facility: PWB

Total Cost: \$1,280

Description: This project will renovate approximately 3,500 sq ft of space adjacent to the newly renovated Institute for Health Informatics office space on the southwest side of PWB 8. This new project will use the same design and construction standards as the initial project to provide efficient, predominately open office space to accommodate approx. 24 employees, plus conference space.

RRC: AHC Shared Units

RRC Contact: Jakub Tolar

Project Manager: Julee Taylor

3393 MDT Optical Imaging Renovation

Vice President: Health Sciences

Campus: Twin Cities

Facility: CMRR

Total Cost: \$25,000

Description: This project will renovate and add approximately 18,606 sq ft of laboratory, office and conference room space at the Center for Magnetic Resonance Research (CMRR) Building. The project area includes 14,689 sf of renovation on the main level and a 6,368 sf two-story addition to the east. New spaces will support the Minnesota Discovery Teams (MDT) Optical Imaging program as well as support the original mission of CMRR in magnetic resonance research. This project was included in the 2018 Six Year Plan as a project under consideration.

RRC: AHC Shared Units

RRC Contact: Jakub Tolar

Project Manager: Multiple

UNIVERSITY OF MINNESOTA

Project Description Report

3394 Arboretum Bost Red Barn Renovation

Vice President: Academic Affairs

RRC: College of Food, Agriculture and Natural Sciences

Campus: Landscape Arboretum - Excelsior

RRC Contact: Peter Moe

Facility: Bost Red Barn

Project Manager: Multiple

Total Cost: \$1,987

Description: This project will renovate the iconic Bost Farm Red Barn as centerpiece of the developing Red Barn Farm campus of the Arboretum. This will preserve the historic building while accommodating adaptive reuse of its space. The renovation will allow for public 3-season use of its space for educational exhibits and events.

3402 R&R - Student Life

Vice President: Duluth Campus

RRC: Duluth Campus

Campus: Duluth

RRC Contact: Lendley Black

Facility: Duluth

Project Manager: John Rashid

Total Cost: \$3,500

Description: Funding authorized in this request will be used for structure improvements and repair and replacement projects in the residence halls, apartments, and other student service facilities on the Duluth campus.

3403 R&R - UMD Campus

Vice President: Duluth Campus

RRC: Duluth Campus

Campus: Duluth

RRC Contact: Lendley Black

Facility: Duluth

Project Manager: John Rashid

Total Cost: \$5,000

Description: Funding authorized in this request will be used for facility and infrastructure improvements and repair and replacement projects on the Duluth campus.

UNIVERSITY OF MINNESOTA

Project Description Report

3404 Ice Rink Refrigerant Replacement

<i>Vice President:</i>	Duluth Campus	<i>RRC:</i>	Duluth Campus
<i>Campus:</i>	Duluth	<i>RRC Contact:</i>	Lendley Black
<i>Facility:</i>	Sports & Health	<i>Project Manager:</i>	John Rashid
<i>Total Cost:</i>	\$1,200		
<i>Description:</i>	This project will replace discontinued refrigerant in the UMD Sports and Health Center.		

3410 Greater MN Academic Renewal

<i>Vice President:</i>	Academic Affairs	<i>RRC:</i>	Academic Affair and Provost
<i>Campus:</i>	Systemwide	<i>RRC Contact:</i>	Mike Berthelsen
<i>Facility:</i>	Systemwide	<i>Project Manager:</i>	Multiple
<i>Total Cost:</i>	\$15,800		
<i>Description:</i>	This project will renovate and renew obsolete classrooms and laboratories on the University's Crookston, Duluth, and Morris campuses to support new learning opportunities in science, technology, engineering, and mathematics (STEM), humanities, and teacher education.		

3411 Renovation

<i>Vice President:</i>	Academic Affairs	<i>RRC:</i>	College of Liberal Arts
<i>Campus:</i>	Twin Cities	<i>RRC Contact:</i>	John Coleman
<i>Facility:</i>	Pillsbury Hall	<i>Project Manager:</i>	Michael Kisch
<i>Total Cost:</i>	\$36,000		
<i>Description:</i>	This project will renovate one of the Twin Cities campus's oldest and most iconic buildings to provide modern teaching, learning, and research spaces, promote collaborative learning, and bolster undergraduate education for more than 6,000 students.		

UNIVERSITY OF MINNESOTA

Project Description Report

3412 HEAPR

Vice President: University Services

Campus: Systemwide

Facility: Systemwide

Total Cost: \$200,000

Description: This project will maximize the effectiveness and life of the University's 29 million square feet of infrastructure. The University allocates HEAPR funding system wide in four categories: health, safety, and accessibility; building systems; utility infrastructure; and energy efficiency.

RRC: University Services

RRC Contact: Brian Swanson

Project Manager: Andrew Chan

3413 Capital Renewal

Vice President: Duluth Campus

Campus: Duluth

Facility: Glensheen Estate

Total Cost: \$8,000

Description: This project will repair Glensheen, Minnesota's historic estate, to stabilize current structures and minimize additional deterioration.

RRC: Duluth Campus

RRC Contact: Lendley Black

Project Manager: John Rashid

3414 R&R Housing & Residential Life

Vice President: University Services

Campus: Twin Cities

Facility: TC Campus

Total Cost: \$3,882

Description: Funding authorized in this request will be used for facility and infrastructure improvements, and repair and replacement projects in the residence halls, apartments and family student housing units on the Twin Cities campus. Notable investments in facilities for FY19 include improvements to the Como Student Community.

RRC: Auxiliary Services

RRC Contact: Laurie Scheich

Project Manager: Multiple

UNIVERSITY OF MINNESOTA

Project Description Report

3415 R&R Dining Services

Vice President: University Services

Campus: Twin Cities

Facility: TC Campus

Total Cost: \$1,805

Description: Funding authorized in this request will be used for facility and infrastructure improvements, and repair and replacement projects in food venues on the Twin Cities campus.

RRC: Auxiliary Services

RRC Contact: Laurie Scheich

Project Manager: Multiple

3416 R&R Parking Infrastructure

Vice President: University Services

Campus: Twin Cities

Facility: TC Campus

Total Cost: \$5,675

Description: Funding authorized in this request will be used for parking facility and infrastructure improvements and repair and replacement projects on the Twin Cities campus, including improvements to surface parking, structured parking, emergency generators, and elevators.

RRC: Auxiliary Services

RRC Contact: Laurie Scheich

Project Manager: Multiple

3417 R&R Transportation Infrastructure

Vice President: University Services

Campus: Twin Cities

Facility: TC Campus

Total Cost: \$9,073

Description: Funding authorized in this request will be used for transportation infrastructure improvements and repair and replacement projects on the Twin Cities campus, including improvements to streets, sidewalks, bridges, building linkages, and safety systems. Notable investments include the Knoll Area Infrastructure, Knoll Pedestrian Bridge replacement, and WBOB Front Drive repair.

RRC: Auxiliary Services

RRC Contact: Laurie Scheich

Project Manager: Multiple

UNIVERSITY OF MINNESOTA

Project Description Report

3418 HVAC Replacement

Vice President: Academic Affairs

RRC: Student Affairs

Campus: Twin Cities

RRC Contact: Maggie Towle

Facility: Aquatic Center

Project Manager: Jim Litsheim

Total Cost: \$8,400

Description: This project will construct a new mechanical room and replace the failing HVAC system in the University Aquatic Center. The current HVAC system is original to the facility (1990) and has surpassed life expectancy. The original system was undersized and has numerous design issues that limit to ability to replace the existing equipment in place. If the system is not replaced Intercollegiate Athletics and Recreational Sports will no longer be able to host large-scale aquatic events and the operation and success of the swimming and diving programs will be drastically affected. The total project cost is \$12.5 million. \$4.2 million is included in the FY19 Facilities Management Repair & Replacement funding pool.

3420 R&R -Athletics

Vice President: Intercollegiate Athletics

RRC: Intercollegiate Athletics

Campus: Twin Cities

RRC Contact: Scott Ellison

Facility: TC Campus

Project Manager: Multiple

Total Cost: \$2,000

Description: Funding authorized in this request will be used for facility and infrastructure improvements, and repair and replacement projects in athletics venues on the Twin Cities campus.

3421 R&R - Twin Cities

Vice President: University Services

RRC: Facilities Management

Campus: Twin Cities

RRC Contact: Bill Paulus

Facility: TC Campus

Project Manager: Multiple

Total Cost: \$12,834

Description: Funding authorized in this request will be used for facility and infrastructure improvements, and repair and replacement projects on the Twin Cities campus.

UNIVERSITY OF MINNESOTA

Project Description Report

3422 R&R - Utility Infrastructure

Vice President: University Services

Campus: Twin Cities

Facility: TC Campus

Total Cost: \$7,092

Description: Funding authorized in this request will be used for utility infrastructure improvements, and repair and replacement projects on the Twin Cities campus.

RRC: Facilities Management

RRC Contact: Bill Paulus

Project Manager: Multiple

3423 R&R - Energy Conservation

Vice President: University Services

Campus: Twin Cities

Facility: TC Campus

Total Cost: \$4,400

Description: Funding authorized in this request will be used on the Twin Cities campus to enhance the energy efficiency of the buildings and infrastructure on the Twin Cities campus. Facilities Management maintains a revolving internal loan (debt) fund with the Budget Office to fund and repay conservation projects.

RRC: Facilities Management

RRC Contact: Bill Paulus

Project Manager: Multiple

3424 R&R- UMM Campus

Vice President: Morris Campus

Campus: Morris

Facility: Morris

Total Cost: \$194

Description: Funding authorized in this request will be used for facility and infrastructure improvements and repair and replacement projects in the residence halls, apartments, and other student service facilities on the Morris campus.

RRC: Morris Campus

RRC Contact: Michelle Behr

Project Manager: Bryan Hermann

UNIVERSITY OF MINNESOTA

Project Description Report

3425 R&R - UMC Campus

Vice President: Crookston Campus

Campus: Crookston

Facility: Crookston

Total Cost: \$319

Description: Funding authorized in this request will be used for facility and infrastructure improvements and repair and replacement projects in the residence halls, apartments, and other student service facilities on the Crookston campus.

RRC: Crookston Campus

RRC Contact: Barbara Keinath

Project Manager: Dave Danforth

3427 3rd & 4th Floor HVAC Upgrades

Vice President: Academic Affairs

Campus: Twin Cities

Facility: Biological Sciences

Total Cost: \$1,700

Description: This project will replace and upgrade HVAC equipment serving approx. 14,000 sq ft on the 3rd and 4th Floors of the Biological Sciences Center. New HVAC systems will include Smart Lab concepts including decoupled cooling, lower ventilation rates and automated controls. Total project budget of \$2,200,000 includes \$500,000 of R&R and HEAPR funding previously approved in the FY18 Annual Capital Budget.

RRC: College of Biological Sciences

RRC Contact: Valerie Forbes

Project Manager: Blake Bartelma

3428 MRI Unit Installation

Vice President: Health Sciences

Campus: Twin Cities

Facility: Clinics & Surgery

Total Cost: \$2,025

Description: This project will renovate approximately 1,710 sq ft and install a new MRI in existing interior space adjacent to existing MRIs.

RRC: Health Sciences, VP

RRC Contact: Jakub Tolar

Project Manager: Julee Taylor

UNIVERSITY OF MINNESOTA

Project Description Report

3429 R&R - CBS Research & Outreach Ctrs.

Vice President: Academic Affairs

Campus: Twin Cities

Facility: ROC's

Total Cost: \$116

Description: Funding authorized in this request will be used for facility and infrastructure improvements and repair and replacement projects in the CBS Research & Outreach Centers.

RRC: College of Biological Sciences

RRC Contact: Christina Kramer

Project Manager: Multiple

3430 R&R - CFANS Research & Outreach Ctrs.

Vice President: Academic Affairs

Campus: Twin Cities

Facility: ROC's

Total Cost: \$278

Description: Funding authorized in this request will be used for facility and infrastructure improvements and repair and replacement projects in the CFANS Research & Outreach Centers.

RRC: College of Food, Agriculture and Natural Sciences

RRC Contact: Ben Fink

Project Manager: Multiple

3431 R&R - Office of Classroom Management

Vice President: Academic Affairs

Campus: Twin Cities

Facility: TC Campus

Total Cost: \$1,030

Description: Funding authorized in this request will be used for facility and infrastructure improvements and repair and replacement projects in the University classrooms as designated by the Office of Classroom Management.

RRC: Undergraduate Education

RRC Contact: Jeremy Todd

Project Manager: Multiple

UNIVERSITY OF MINNESOTA

Project Description Report

3432 R&R - Security Infrastructure

Vice President: University Services

Campus: Twin Cities

Facility: TC Campus

Total Cost: \$675

Description: Funding authorized in this request will be used for facility and infrastructure improvements and repair and replacement projects related to Security Infrastructure in the Twin Cities.

RRC: Facilities Management

RRC Contact: Bill Paulus

Project Manager: Chuck Way

3433 R&R - Student Affairs

Vice President: Academic Affairs

Campus: Twin Cities

Facility: TC Campus

Total Cost: \$1,150

Description: Funds authorized in this request will be used for facility infrastructure improvement and repair and replace projects including for Boynton fire alarms, Recreation and Wellness gym & court floor maintenance and West Bank skyway water infiltration repair

RRC: Student Affairs

RRC Contact: Maggie Towle

Project Manager: Multiple

3434 Production and Testing Facility

Vice President: Duluth Campus

Campus: Duluth

Facility: Coleraine

Total Cost: \$2,600

Description: This project will design, install and commission an iron processing simulator unit, for fabrication and testing purposes, to advance the conversion of iron ore to higher value metallic iron products at the Coleraine Research Facility.

RRC: Duluth Campus

RRC Contact: Lendley Black

Project Manager: John Rashid

University of Minnesota
Potential Additions
FY 2019 Annual Capital Improvement Budget

Campus	Unit	Facility	Title
UMD	UM Duluth	New Facility	Residence Hall & Dining Service Expansion
UMTC	AHC Shared Units	Phillip Wangenstein Building	CTSI Service Center
UMTC	AHC Shared Units	Cancer Cardio Research Building	2nd Fl Cancer Ctr Mass Spectrometer Buildout
UMTC	College of Education/Human Development	Child Development	Institute for Child Development, Design Funding
ARBORETUM	College of Food, Agriculture & Natural Resources	New Facility	Horticulture Maintenance and Operations Facilities
UMTC	College of Food, Agriculture & Natural Resources	Learning & Environmental Sciences	CHS Active Learning Classroom & Lab
UMTC	Health Sciences Administration	Clinics & Surgery	1st Floor Facility Improvements
UMTC	Information Technology, Ofc of	2218 University	OIT Data Center renovations
UMTC	Intercollegiate Athletics	Maturi Pavilion	HVAC Improvements
UMTC	University Libraries	New Facility	Library Collections Storage

President's Recommended FY 2019 Annual Capital Improvement Budget

Senior Vice President Brian Burnett
Vice President Michael Berthelsen

Board of Regents Finance and Operations Committee

May 10, 2018

SENIOR VICE PRESIDENT FOR FINANCE AND OPERATIONS

World Class Services for a World Class University

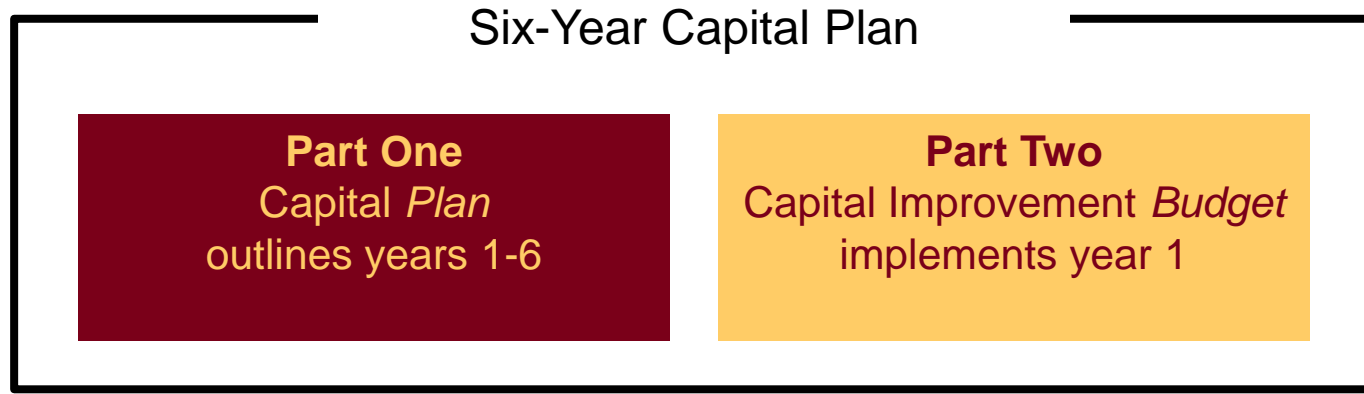


University Services

Stewardship • Integrity
Respect • Innovation • Safety

Capital Plan

- Board of Regents policy directs the administration to develop a capital budget with a “six-year time horizon, updated annually”



Annual Capital Improvement Budget

- Year 1 of the Six-Year Capital Plan
- Includes individual projects over \$1,000,000
- Projects need to have a completed predesign
- Projects must be fully funded
- Approved projects move into design and/or construction



FY2019 Capital Budget: \$367.4 million

(dollars in millions)

Category	State Financed	U of MN Financed	Total Cost
State Capital Request: HEAPR	\$200.0	\$0.0	\$200.0
State Capital Request: Projects	\$38.5	\$21.3	\$59.8
University Funded Projects	\$0.0	\$107.6	\$107.6
Total FY2019 Capital Improvement Budget	\$238.5	\$128.9	\$367.4



2018 State Capital Request Update

- The capital improvement budget information in the docket material reflects the full value of the University's state request
- The capital improvement budget will be updated for the June meeting to reflect the final session outcome

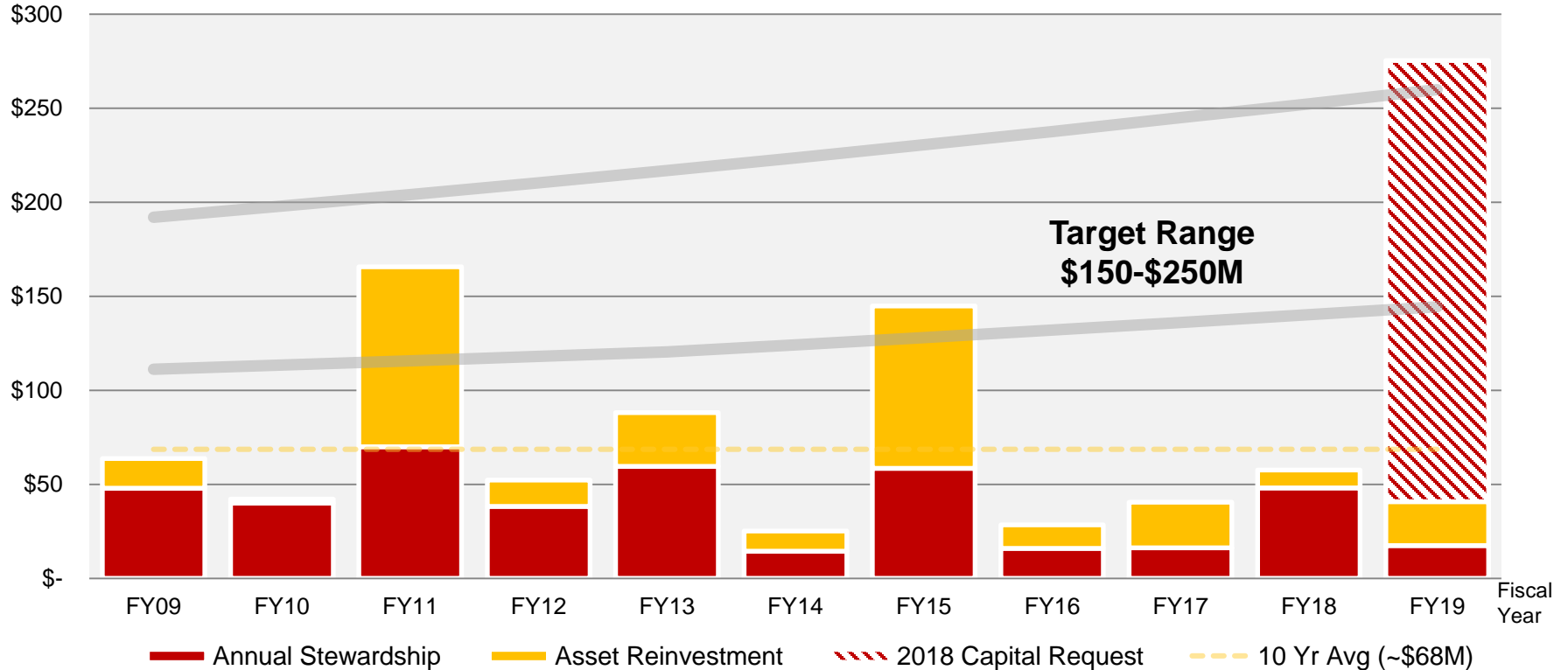


Included State Capital Request Projects

		Request (dollars in millions)		
Location	Project	State	U of MN	Total
SYSTEM	HEAPR	\$200.0	\$0.0	\$200.0
SYSTEM	Greater MN Academic Renewal	\$10.5	\$5.3	\$15.8
UMTC	Pillsbury Hall Renovation	\$24.0	\$12.0	\$36.0
UMD	Glensheen Capital Renewal	\$4.0	\$4.0	\$8.0
Total:		\$238.5	\$21.3	\$259.8



Facility Investment vs. Target



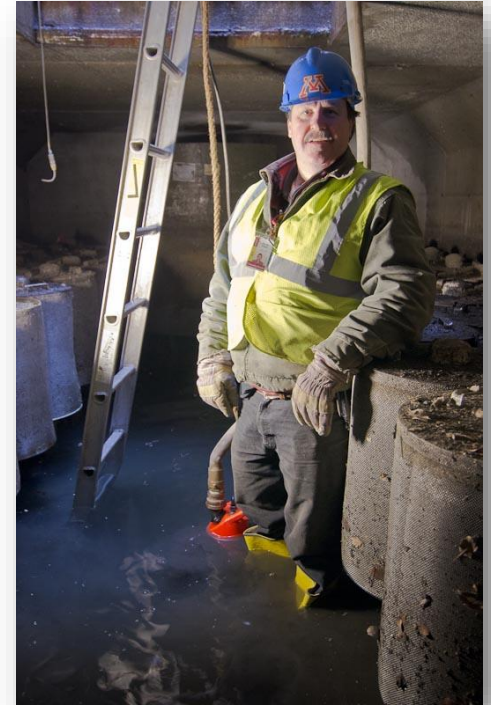
HEAPR Projects

- HEAPR legislation provides very strict guidelines on the type of projects that can be funded
 - Intended to give the University and Minnesota State flexibility to operate within these strict guidelines
- Funds are intended to preserve and renew existing campus facilities by funding five kinds of projects
 - Accessibility, Building Systems, Energy Efficiency, Health and Safety, Infrastructure



HEAPR Projects

- The 2018 request of \$200 million will fund over 175 projects in more than 100 buildings system-wide
- The preliminary project list will be adjusted to align project priorities with final state funding
- Projects include:
 - UMC Electrical and Natural Gas Infrastructure
 - UMD Chemistry Building Renewal
 - UMM Multi-building Window Replacement
 - UMTC Boss Lab Infrastructure Renewal
 - UMTC Mechanical Engineering: Phase 3
 - WCROC Critical Infrastructure and Safety



Line Item Projects

(dollars in millions)

Location	Project	Total
UMTC	Pillsbury Hall Renovation	\$36.00
UMTC	CMRR MDT Optical Imaging Renovation	\$25.00
SYSTEM	Academic and Student Experience Investments	\$15.80
UMTC	Aquatic Center HVAC Replacement	\$8.20
UMD	Glensheen Estate Capital Renewal	\$8.00
UMTC	Moos Tower 2nd Floor Cancer Center Lab Expansion	\$4.46
UMD	Coleraine Production and Testing Facility	\$2.60
UMTC	Clinics and Surgery MRI Unit Installation	\$2.03
ARBORETUM	Bost Red Barn Renovation	\$1.99
UMTC	Biological Sciences 3rd and 4th Floor HVAC Upgrades	\$1.70
UMTC	PWB 8th Floor Renovation	\$1.28
UMD	Ice Rink Refrigerant Replacement	\$1.20
Total:		\$108.26



Repair and Replacement Pools *(dollars in millions)*

Location	Project	Total
SYSTEM	HEAPR	\$200.00
UMTC	Facilities Management	\$12.83
UMTC	Transportation Infrastructure	\$9.07
UMTC	Utility Infrastructure	\$7.09
UMTC	Parking Infrastructure	\$5.68
UMD	Facilities Management	\$5.00
UMTC	Energy Conservation	\$4.40
UMTC	Housing & Residential Life	\$3.88
UMD	Student Life	\$3.50
UMTC	Athletics	\$2.00
UMTC	Dining Services	\$1.80
UMTC	Student Affairs	\$1.15
UMTC	Office of Classroom Management	\$1.03
SYSTEM	Other	\$1.58
Total:		\$259.01

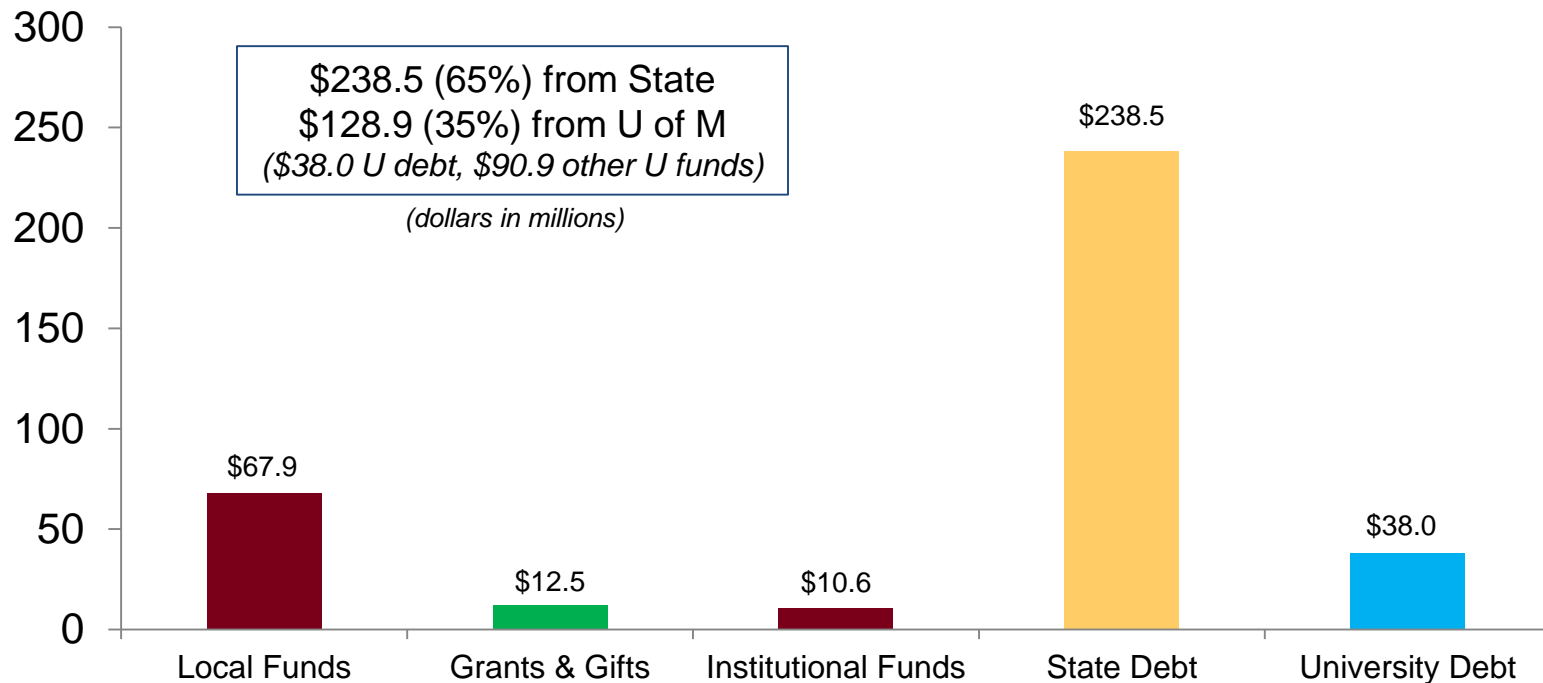


Potential FY 2019 Additions

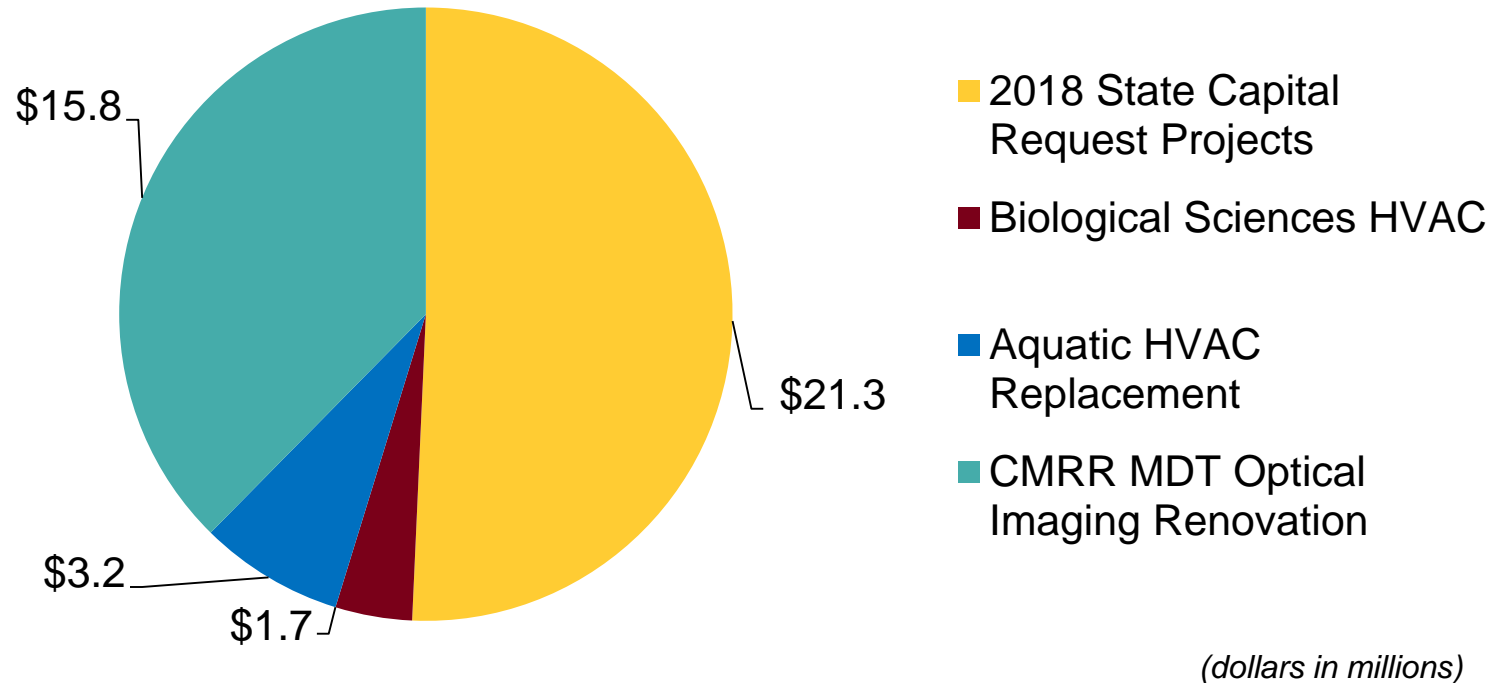
Location	Facility	Project
Arboretum	New Facility	Horticulture Maintenance and Operations Facilities
UMD	New Facility	Residence Hall and Dining Service Expansion
UMTC	2218 University	OIT Data Center Renovations
UMTC	Cancer Cardio Research Building	Mass Spectrometer Buildout - 2nd Floor
UMTC	Child Development	Institute for Child Development, Design Funding
UMTC	Clinics and Surgery Center	Facility Improvements - 1st Floor
UMTC	Learning and Environmental Sciences	CHS Active Learning Classroom and Lab
UMTC	Maturi Pavillion	HVAC Improvements
UMTC	New Facility	Library Collections Storage
UMTC	Phillips Wangenstein Building	CTSI Service Center



FY2019 Capital Budget: \$367.4 million



University Debt Component: \$38 million





UNIVERSITY OF MINNESOTA

Driven to Discover®

Crookston Duluth Morris Rochester Twin Cities

The University of Minnesota is an equal opportunity educator and employer.

FY2019 Annual Capital Improvement Budget Resolution

- WHEREAS, the Board of Regents directed the administration to annually submit a capital improvement budget and a six-year capital plan; and
- WHEREAS, the Board has adopted principles to guide the formulation of the capital improvement budget and six-year capital plan; and
- WHEREAS, the Board recognizes the importance of sustaining and improving the University's facilities in support of teaching, research, and outreach; and
- WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is realistic; and
- NOW, THEREFORE, BE IT RESOLVED, that the Board of Regents approves the FY 2019 Capital Improvement Budget and reaffirms its prior year capital expenditure authorizations.





BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

May 10, 2018

AGENDA ITEM: Board of Regents Policy: *Endowment Fund*

☐

Review

☐

Review + Action

☒

Action

☐

Discussion

☐

This is a report required by Board policy.

PRESENTERS:

Stuart Mason, Associate Vice President & Chief Investment Officer
Andrew Parks, Senior Director, Office of Investments and Banking

PURPOSE & KEY POINTS

The purpose of this item is action on proposed off-cycle amendments to Board of Regents Policy: *Endowment Fund*, which governs the activities of the University's endowment. The proposed amendments seek to align the policy with the activities and strategies sought to be employed in implementing the new asset allocation framework approved by the Board in October 2017.

The proposed amendments have been consulted with the Senate Committee on Finance and Planning and the Senate Consultative Committee. The Investment Advisory Committee was also consulted before drafting the proposed amendments.

No changes have been made to the proposed amendments since the Finance & Operations Committee reviewed them in February 2018.

Recommended Revisions

Liquidity (Section VI. Subd 2):

The asset allocation strategy relies meaningfully on gaining exposure to the illiquidity and complexity premiums available in the private markets. Modeling was conducted to determine what the appropriate exposure to illiquid investments should be in order to harvest this premium without jeopardizing the liquidity objectives of the fund. If approved, the proposed revisions would allow for up to 50 percent in illiquid assets in normal market environments and up to 75 percent in stressed market environments. Furthermore, the market value of illiquid investments plus undrawn capital commitments to illiquid funds shall not exceed 75 percent in normal market environments and 90 percent in stressed environments. Illiquid investments are defined as those incapable of being converted to cash or cash equivalents within 12 months without material loss of market value.

Leverage (Section VI. Subd 4(a)):

The Office of Investment Banking (OIB) believes having the ability to utilize a modest amount of economic leverage, defined as the portion of an exposure obtained through the derivatives

market that is not fully collateralized by cash, will be a useful tool to have as we aim to improve capital efficiency, enhance flexibility and generate marginally higher returns. If approved, the proposed revisions would allow for up to 110 percent of fund-level gross leverage.

Co-Investments (Section VI. Subd 4(b)):

Co-investments are minority investments made into operating entities alongside a financial sponsor, like a private equity fund, usually through a syndication of equity needed to acquire the asset. Such transactions can provide another tool in the toolkit to reduce fees, eliminate J-curve headwinds, increase exposure to high conviction managers and/or deals, and more effectively manage cash flow. If approved, the proposed revisions would allow for up to three percent of the endowment fund to be invested in such transactions and would require those transactions be executed only through investment managers with whom the endowment fund has invested.

Other non-substantive revisions to the policy are included with the proposed amendments.

BACKGROUND INFORMATION

At its October 2017 meeting, the Board approved revised asset allocation guidelines for the University's approximately \$1.4 billion endowment fund. The approval was the culmination of OIB's year-long review of the endowment fund's strategy and asset allocation framework, a process that involved consultation with the Investment Advisory Committee, Senior Vice President, and the President.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the proposed amendments to Board of Regents Policy: *Endowment Fund*.



UNIVERSITY OF MINNESOTA BOARD OF REGENTS POLICY

Page 1 of 3

Financial

ENDOWMENT FUND

Adopted: September 8, 1989

Amended: May 11, 1990; July 10, 1992; November 10, 1993; January 14, 1994; April 9, 1998; November 10, 2000; December 13, 2002; March 12, 2004; February 11, 2005; May 13, 2005; July 12, 2006; May 14, 2010; June 10, 2011; May 9, 2014

Supersedes: (see end of policy)

DRAFT for Action

ENDOWMENT FUND

SECTION I. SCOPE.

This policy governs the management of investments in the University of Minnesota (University) endowment fund (endowment).

SECTION II. INVESTMENT OBJECTIVES.

The investment objectives for the University endowment shall be, over the long term, to:

- (a) preserve the inflation adjusted value of the endowment;
- (b) generate investment returns that meet or exceed the annual payout rate plus direct expenses incurred by the investment program after adjusting for inflation as measured by the Consumer Price Index;
- (c) execute the investment program within acceptable risk parameters; and
- (d) provide stable distributions for annual spending purposes.

SECTION III. COMPREHENSIVE PROGRAM REVIEW.

Annually, the president or delegate shall present to the Board of Regents (Board) a comprehensive review of the investment program including a ~~discussion of the role~~ summary of the results of investment strategies employed during the previous year to achieve the investment objectives.

SECTION IV. ASSET ALLOCATION GUIDELINES.

Consistent with Board ~~policies of Regents Policy: Reservation and Delegation of Authority~~, the Board reserves ~~the to itself~~ authority to approve asset allocation ranges. ~~The president or delegate shall recommend asset allocation ranges and the Board shall act on them by resolution. Those ranges shall be:~~

- The long-term allocation for Stability assets shall be 5-15 percent and include Liquid Reserves (5-15 percent) and High Quality Credit (0-6 percent).
- The long-term allocation for Diversifier assets shall be 20-40 percent and include Enhanced Stability assets (5-20 percent), Idiosyncratic assets (0-15 percent), and Growth Diversifiers (5-20 percent).
- The long-term allocation for Growth assets shall be 50-70 percent and include Equity (45-70 percent) and Extended Credit (0-10 percent). Within Growth assets, the Equity allocation shall have long-term geographic target exposures of United States markets (45 percent), Developed Markets (30 percent), and Emerging Markets (25 percent).

SECTION V. REPORTING.



UNIVERSITY OF MINNESOTA BOARD OF REGENTS POLICY

Page 2 of 3

Financial

ENDOWMENT FUND

Adopted: September 8, 1989

Amended: May 11, 1990; July 10, 1992; November 10, 1993; January 14, 1994; April 9, 1998; November 10, 2000; December 13, 2002; March 12, 2004; February 11, 2005; May 13, 2005; July 12, 2006; May 14, 2010; June 10, 2011; May 9, 2014

Supersedes: (see end of policy)

DRAFT for Action

The president or delegate shall make the following reports to the Board at the specified times or frequencies:

- (a) a quarterly report regarding the status of the endowment containing the following information:
 - (1) the total market value and investment performance relative to selected benchmarks for each asset class and the total portfolio;
 - (2) an attribution analysis of investment performance;
 - (3) an analysis of investment performance relative to investment objectives;
 - (4) ~~an evaluation and discussion a summary~~ of portfolio risk;
 - (5) deviations from asset allocation ranges, if any; and
 - (6) new managers, manager terminations, and any significant changes in investment strategy or allocations ~~to existing managers~~.
- (b) an annual report containing the following information:
 - (1) a comparison ~~to peer institutions~~ of relative performance and asset allocation to peer institutions;
 - (2) steps taken to provide opportunities to emerging, minority-owned, and woman-owned investment management firms; and
 - (3) ~~an evaluation a description~~ of current investments related to sustainability and renewable energy.
- (c) at the next regularly scheduled meeting of the Board a report containing the following information:
 - (1) any significant change in investment strategy and any internal or external event that has ~~the potential to~~ materially affected the performance of the fund; and
 - (2) any other information requested by the Board.

SECTION VI. INVESTMENT MANAGEMENT GUIDELINES.

Subd. 1. Use of Investment Managers. Except as provided in Subd. 3 ~~and/or 4 below of this section~~, endowment funds shall be invested only through investment managers. The president or delegate shall choose investment managers with demonstrated expertise and engage them by written agreement to execute transactions in their discretion within stated parameters and in accordance with applicable policy. No investment manager may manage more than 20 percent of the endowment for a period of more than 12 ~~consecutive~~ months.

Subd. 2. Liquidity. ~~Between 60 and 70 percent of total endowment assets shall be capable illiquid investments shall be defined as those incapable~~ of being converted to cash or cash equivalents within 12 months without material loss of market value. The sum of illiquid investments by net asset value shall not exceed 50 percent of total endowment assets in normal market environments and 75 percent in stressed market environments. Additionally, The sum of (a) ~~assets that do not meet this liquidity criterion the net asset value of illiquid investments~~, and (b) total unfunded commitments to ~~limited partnerships illiquid investments shall not at any time exceed 55 percent shall not exceed 75 percent~~ of total endowment assets in normal market environments and 90 percent in stressed market environments.



UNIVERSITY OF MINNESOTA BOARD OF REGENTS POLICY

Page 3 of 3

Financial

ENDOWMENT FUND

Adopted: September 8, 1989

Amended: May 11, 1990; July 10, 1992; November 10, 1993; January 14, 1994; April 9, 1998; November 10, 2000; December 13, 2002; March 12, 2004; February 11, 2005; May 13, 2005; July 12, 2006; May 14, 2010; June 10, 2011; May 9, 2014

Supersedes: (see end of policy)

DRAFT for Action

Subd. 3. Rebalancing. The president or delegate shall monitor market value of endowment assets in comparison to the asset allocation ranges approved by the Board. At least quarterly, the president or delegate shall determine whether rebalancing is appropriate and, if ~~necessary~~so, act in a timely and cost-effective manner. In order to achieve rebalancing, the following investment instruments may be employed with the use of an investment manager:

- (a) futures contracts, only on a net unleveraged basis;
- (b) options contracts for purposes of hedging or the sale of covered options, provided that aggregate option exposure may not exceed 10 percent of the value of the endowment; and
- (c) investments in exchange-traded funds.

Subd. 4 **Permissible Activities and Limitations.**

- (a) ~~The use of derivatives for speculative purposes is prohibited. Economic leverage, defined as the portion of an exposure obtained through the derivatives market that is not fully collateralized by cash, may be utilized subject to the constraint that gross leverage of the total endowment fund shall not exceed 110 percent. Derivative positions utilized in implementing the rebalancing program, as described in Subd. 3(a) of this section, will not be included in the calculation of gross leverage.~~
- (b) ~~Co-investments are permissible subject to the following constraints: 1) co-investment commitments shall not exceed 3 percent of total endowment assets at time of commitment, and 2) co-investments may only be executed when sponsored by investment managers with whom the endowment has invested.~~
- (c) No individual investment may be made for the purpose of ~~exercising~~ achieving management control in any company. This provision is not intended to prohibit the use by investment managers of control strategies with respect to portfolio companies.
- (d) A maximum of ten percent of the endowment may be invested in any single fund or account.
- (e) The investment of endowment funds shall comply at all times with the restrictions on investment of amounts comprising the Permanent University Fund that are set forth in Minnesota Statutes Section 11A.24 or its successor.

Subd. 5. Environmental, Social, and Governance Responsibilityies. The University shall consider environmental, social, and governance responsibilityies in its investment decisions.

SECTION VII. PAYOUT RATE.

The endowment payout rate shall be set at a level that supports University operations while enabling the endowment to grow at an inflation-adjusted rate that will provide for future distributions. Distributions shall be made quarterly. The annual payout rate shall be 4.5 percent of the average of the endowment's trailing month-end market values for the prior 60 months.

~~See Board of Regents Resolution Relating To Board of Regents Policy: Endowment Fund Dated May 13, 2005.~~

Supersedes: Investment Social Concerns Dated September 13, 1991.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

May 10, 2018

AGENDA ITEM: Real Estate Transactions

☐

Review

☒

Review + Action

☐

Action

☐

Discussion

☐

This is a report required by Board policy.

PRESENTERS:

Michael Berthlesen, Vice President, University Services

Michael Volna, Associate Vice President, Finance & Assistant CFO

PURPOSE & KEY POINTS

The purpose of this item is review and action on the following real estate transactions:

- A. Sale of 2642 University Avenue, St. Paul, Minnesota (Twin Cities Campus)
- B. Sale of 0.19 acres of isolated property located in the northwest corner of the University of Minnesota Morris
- C. Lease of 9,383 rentable square feet of collaborative classroom and laboratory space located within the Destination Medical Center, Rochester, Minnesota (Rochester Campus)

BACKGROUND INFORMATION

Board of Regents Policy: *Reservation and Delegation of Authority* states that “the Board reserves to itself authority to approve the purchase or sale of real property (a) with a value greater than \$1,000,000; (b) located on or within 2 miles of a University campus; or (c) larger than ten (10) acres,” and “leases of real property, easements, and other interests in real property if the initial term amount to be paid by or to the University exceeds \$1,000,000.”

These proposed real estate transactions, and the supporting materials, were included as information items in the March 2018 Board docket.

PRESIDENT’S RECOMMENDATION

The President recommends approval of the real estate transactions as presented.

Sale of 2642 University Avenue, St. Paul (Twin Cities Campus)

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to sell the property at 2642 University Avenue, St. Paul.

2. Location and Description of the Property

The property at 2642 University Avenue, St. Paul is the only remaining property owned by the University in the Midway Area, St. Paul. The former Minnesota Geological Survey Building, it was constructed in 1930, and was last renovated in 1983. The building is a 1 and 2-story building with basement which comprises a gross building area (GBA) of approximately 41,431 sq. ft. The property is situated on .63 acres, with 7 parking spaces available on site. (The property also has a leasehold interest in 37 parking spaces located off-site through July 31, 2032.) See attached map.

The legal description is: Parts of Lot 25, Auditor's Subdivision No. 9, Ramsey County, MN
Torrens Certificate of Title No. 251494

3. Basis for Request

The administration recommends the sale of this property for a number of reasons.

- The University has no known or planned use for the property. Since 2015 multiple potential uses have been explored, but none have proven to be a good functional fit or financially viable for this property.
- This property is landlocked by the surrounding area and buildings. Much development has occurred around it, so that there is no room for lateral expansion (see accompanying photographs).
- Although the property is close to the Twin Cities campuses, it is nevertheless geographically separated and isolated from the campus. In addition, because of the previously mentioned limitations to available land, the property has only 7 parking spaces.
- This facility has significant deferred maintenance and upgrade cost issues. A facilities condition assessment conducted in May 2014 estimated that the facility had a 10-year facility cost of \$6,408,000, which included \$4,964,000 in recurring component replacement costs, and \$1,444,000 in non-recurring cost for accessibility fire/life safety, HVAC, and other equipment.
- The proposed sale price of \$2,150,000 is in line with appraisals performed on the property (recent MAI appraisals of the property ranged from \$1.85 million to \$2.15 million).

- The property has sat vacant since January 2015. Sale of the property will relieve the University of \$79,000 in annual operating costs to maintain the facility in a mothballed status.

4. Details of Transaction

In February 2018, the University received the first 'bona fide' written offer to purchase the property since it was first put on the market. The University has agreed to sell the property to Siesta Properties, LLC for \$2,150,000. The buyer has deposited \$35,000 earnest money, the effective date of the transaction is February 23, 2018. The buyer has 150 days to complete the transaction, unless agreed to otherwise by buyer and University. Upon closing, University agrees to pay Grand Real Estate Advisors a sum of \$53,750 (2.5 % of purchase price) from the proceeds of the sale.

Use of Properties

The buyer, Siesta Properties LLC, has hired two (2) architectural firms to fully evaluate the proper use and layout of the property. They hope to have the layout finalized in the next 60 to 90 days.

5. Environmental

The property is being sold "as is / where is".

6. Uses of Funding

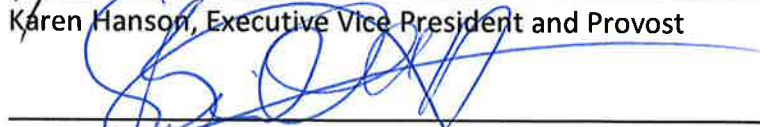
The net proceeds from the sale of the property will be deposited in the Twin Cities acquisition account for future land purchases.

7. Recommendations

The above-described real estate transaction is appropriate:



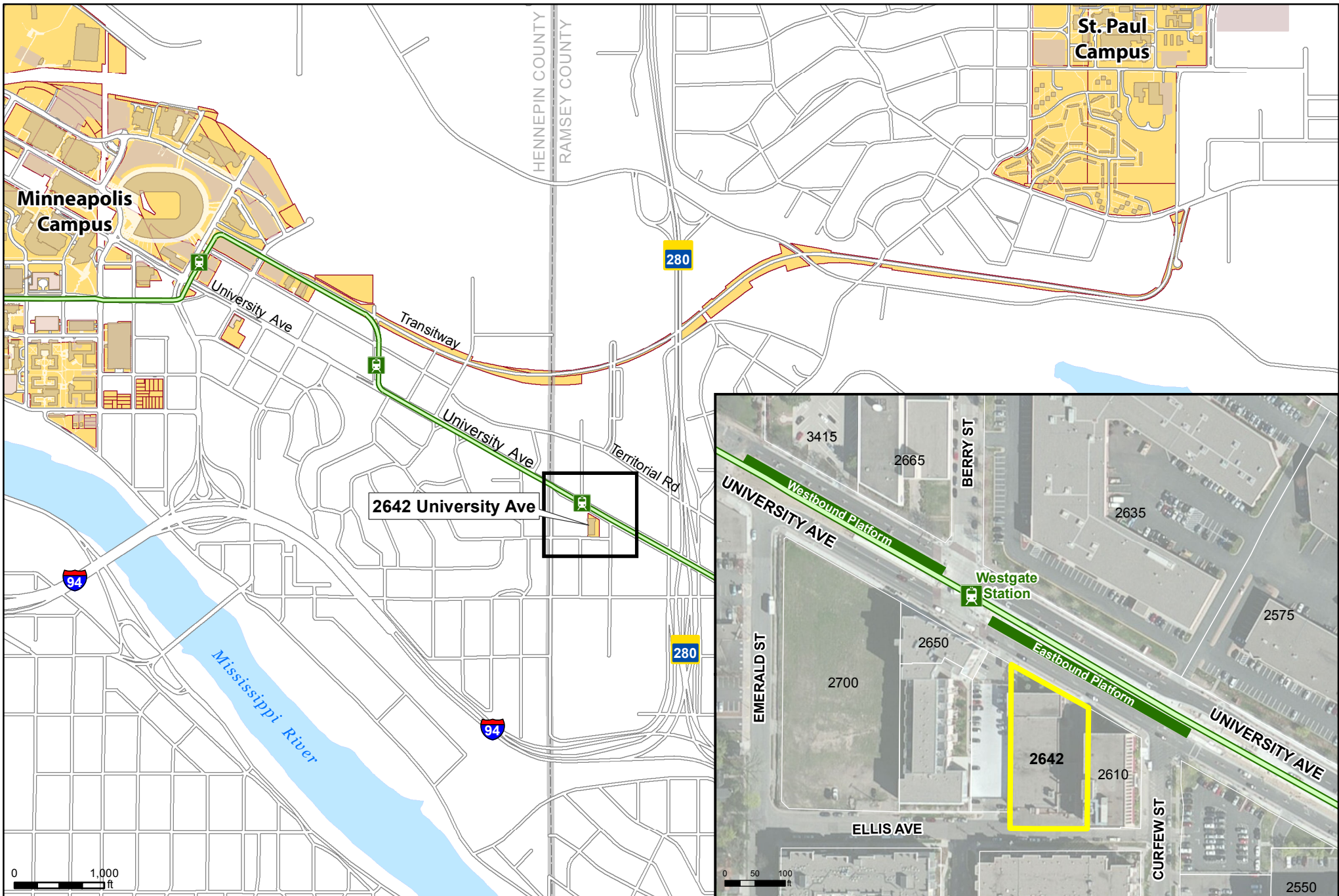
Karen Hanson, Executive Vice President and Provost



Brian Burnett, Senior Vice President, Finance and Operations



Michael D. Volna, Associate Vice President, Finance & Assistant CFO



Real Estate Office



University of Minnesota

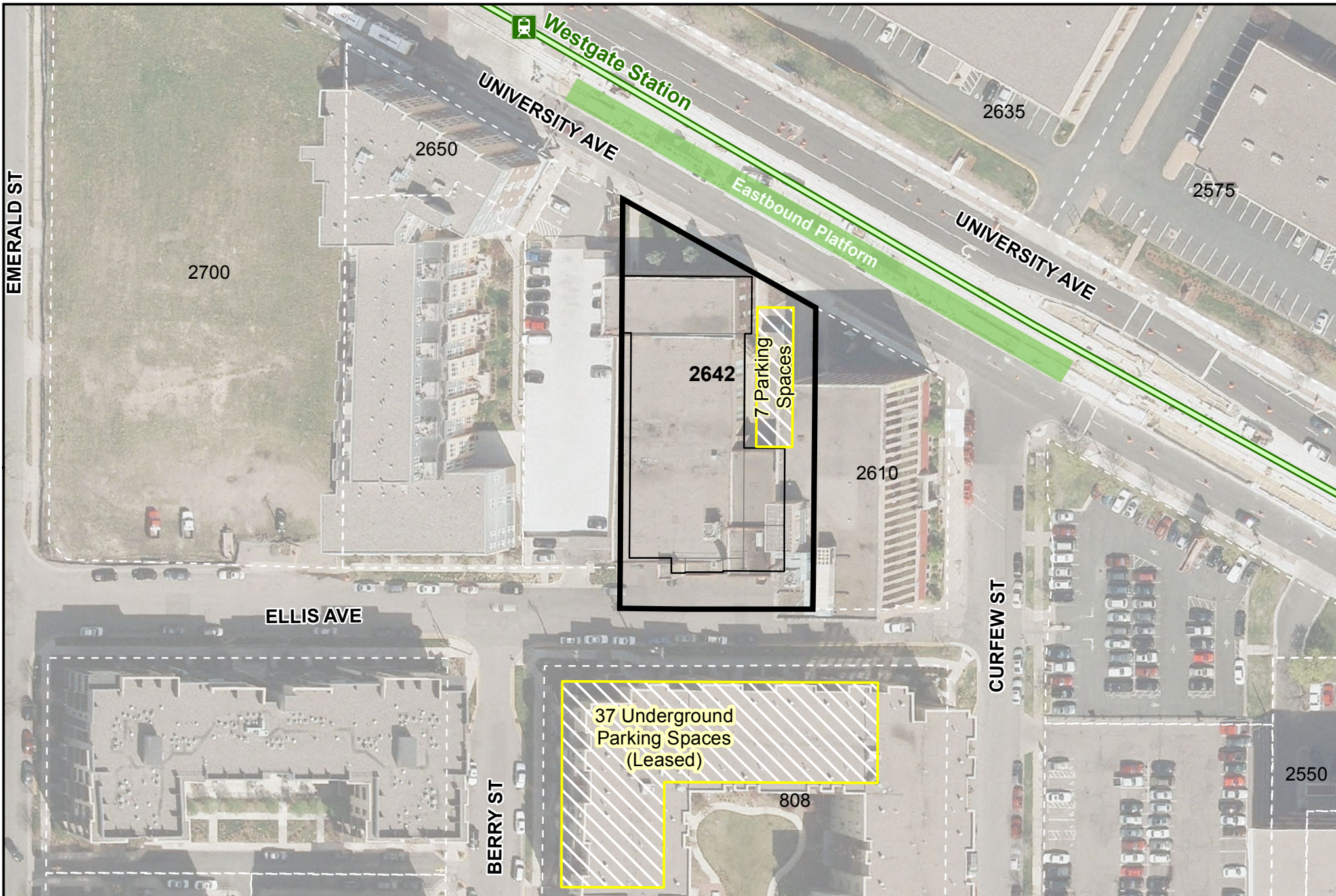
Planned Sale
2642 University Avenue
St. Paul, MN



This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: Real Estate Office
 MNDOT, Hennepin County

11/21/2014



Real Estate Office



University of Minnesota

**2642 University Avenue
St. Paul, MN**



This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: Real Estate Office
MNDOT, Ramsey County

1/30/2015



Subject Photo No. 1: The north elevation of the subject building facing University Avenue.



Subject Photo No. 2: The east elevation of the subject building.

Riley Real Estate, Inc.



Northwest Elevation



Southeast Elevation



Facing East on University Avenue West



Facing West on University Avenue West



Main Floor Office space



Mezzanine Office Space

Sale of .19 Acres, University of Minnesota – Morris Campus

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to sell .19 acres of property adjacent to the University of Minnesota - Morris Campus, Stevens County, Minnesota.

2. Location and Description of the Property

The subject property consists of approximately .19 acres of land located in the Northwest corner of the University of Minnesota – Morris Campus. The legal description of the property: Lot O of the Southwest Quarter of the Northwest Quarter (SW1/4 of NW1/4) of Section 36, Township 125 North, Range 42 West, Stevens County, MN.

3. Basis for Request

The sale of this property is an opportunity to sell property that currently sits unused. There are a number of reasons why this proposed sale is in the best interests of the University, as noted below.

- The property is situated across the street from the Morris campus, 7th Street on the North end. The University only owns the .19 acres on that side of the street from campus. The property was separated from the campus when 7th street was added. Due to its isolation from the campus and small size, it sits unused, and it would be impossible to develop anything on it given the setbacks from the road and limitations imposed by the lot size.
- The property is so small that it has very little value to anyone but the individual (John Timmerman, a developer) who is combining several lots together around it to construct a townhome complex.
- The UM-Morris staff spend valuable resources maintaining the property, mowing it once per week in the spring, summer, fall, and trimming the trees that are on the property a couple times per year. The annual maintenance expense is estimated to be \$800 - \$1,000 per year.
- There are also concerns about the safety of staff who cross the road to mow, as 7th street is the main street of Morris and people are typically traveling through at 30 mph.

4. Details of Transaction

After negotiations, the University agreed to sell the .19 acres for \$4,888.20 (\$.59 sf), in an "as is, where is" condition with all faults, but reserving minerals and mineral rights to the Board of Regents.

5. Use of Properties

The buyer, Timmerman Properties, LLC, approached the University about the possibility of purchasing the .19 acres. The buyer will likely utilize the property for "green space" in conjunction with a residential development.

6. Environmental

The property is being sold "as is / where is".

7. Uses of Funding

The net proceeds from the sale of the property will be deposited in the University of Minnesota - Morris acquisition account for future land purchases.

8. Recommendations

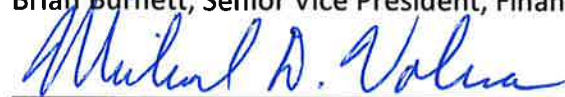
The above-described real estate transaction is appropriate:



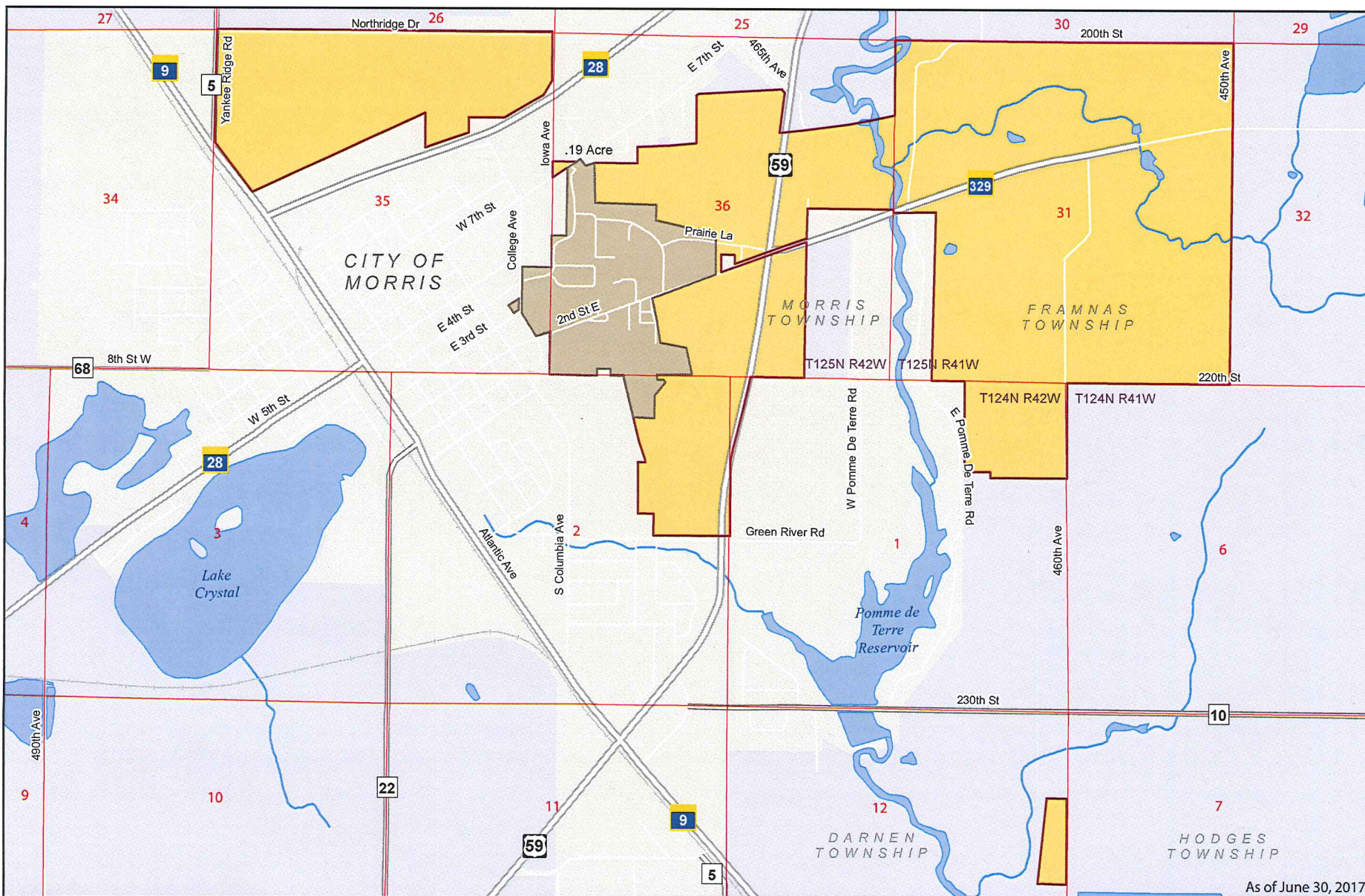
Karen Hanson, Executive Vice President and Provost



Brian Burnett, Senior Vice President, Finance and Operations



Michael D. Volna, Associate Vice President, Finance & Assistant CFO



As of June 30, 2017

Real Estate Inventory



University of Minnesota

West Central Research and Outreach Center / Morris Campus Stevens County



This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: Real Estate Office
UServices Enterprise GIS

**LEASE FOR A TEN-YEAR TERM FOR COLLABORATIVE/CLASSROOM SPACE
AND A FIFTEEN-YEAR TERM FOR LAB SPACE,
DISCOVERY SQUARE, ROCHESTER
(ROCHESTER CAMPUS)**

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to execute a lease for 9,383 rentable square feet (RSF) of collaborative, classroom and laboratory space located within the Destination Medical Center, Rochester, MN for the University of Minnesota Rochester campus (UMR).

2. Description of Leased Premises

The leased premises will consist 9,383 rentable square feet (RSF) of space (6,249 RSF to be used for collaboration/classroom purposes and 3,134 RSF to be used for science teaching laboratory purposes) in the Discovery Square Phase 1 Building. The four-story 90,000 square foot building is currently under construction and is located at the southwest corner of 4th Avenue SW and 2nd Street SW within the Discovery Square sub-district of the Destination Medical Center, Rochester (see attached map)

3. Basis for Request

The University of Minnesota currently leases and occupies space in several buildings in downtown Rochester making up the University of Minnesota Rochester campus. There are no options for expansion of hooded lab space at current campus locations, which puts a constraint on UMR's ability to meet student laboratory demands and also limits future enrollment growth.

The leased space at Discovery Square will allow for a much needed second chemistry lab and lab prep area to support enrollment growth in the Bachelor of Science in Health Sciences degree program. In addition to this laboratory space, there will be two classrooms and student/faculty collaborative space in close proximity to the other primary tenants in the building (Mayo Clinic researchers). The collaborative space will include a designated, staffed area to connect UMR students with undergraduate research opportunities. In the future, based on enrollment demand, one of the classrooms would be converted to another science lab, keeping UMR's options flexible.

4. Details of Transaction

The subject lease will commence July 1, 2019 and continue for a fifteen-year period, with three successive five-year renewal options thereafter (potentially through June 30, 2049) for the Laboratory Space. The Collaborative/Classroom space has a term of ten-years, with four successive five-year renewal options thereafter (potentially through June 30, 2049).

The Landlord will be completing leasehold improvements to build out the leased premises for occupancy by the University at an estimated cost of \$3,600,000. Of the total leasehold

improvement cost, \$1,500,000 will be financed by the City of Rochester via the city's sales tax revenue. The balance will be paid by the University of Minnesota Rochester (see Source of Funds below). The \$3,600,000 project cost includes design and construction of the leased premises. Leasehold improvements for the premises include but are not limited to: lab casework, fume hoods, mechanical equipment, fiber/low voltage work and interior finishes. Capital Planning and Project Management has been engaged by UMR to provide oversight for the planning and construction for the leasehold improvement project (though the landlord will hire the design, construction, and project/construction management professionals).

5. Lease Costs

The base rent for the leased premises for year one will be \$21.87 per rentable square foot (RSF), or \$205,206. Rent will increase approximately 1.7% per year during the initial term. The total base rent for this lease over the initial term is \$2,643,544.00.

The University will also pay as additional rent its pro-rata share of operating expenses, common area maintenance costs (CAM), utilities and property taxes for the building. Once stabilized, these costs are estimated to be \$23.00 per RSF or approximately \$215,800 per year, consisting of:

- Operating expenses/CAM/taxes are estimated at \$17.50 per RSF
- Tenant expenses for separately-metered utilities, custodial services and repairs and maintenance for the leased premises are estimated to be \$5.50 per RSF.

Therefore, the total lease cost, once the building is stabilized (approximately year 3 and thereafter), is estimated to be approximately \$45.00 per RSF.

6. Source of Funds

UMR will fund the on-going lease costs through UMR's Campus Operating Budget.

The University's payment for the leasehold improvement project \$3,600,000 will be funded using \$1,500,000 from the City of Rochester sales tax revenue dedicated for higher education purposes and \$2,100,000 through an internal loan which will be repaid by UM Rochester.

7. Recommendations

The above-described real estate transaction is appropriate:



Brian D. Burnett, Senior Vice President and CFO



Lori J. Carrell, Chancellor



Michael D. Volna, Associate Vice President, Finance & Assistant CFO



BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

May 10, 2018

AGENDA ITEM: Real Estate Transactions

☒

Review

☐

Review + Action

☐

Action

☐

Discussion

☐

This is a report required by Board policy.

PRESENTERS:

Michael Berthlesen, Vice President, University Services

Michael Volna, Associate Vice President, Finance & Assistant CFO

PURPOSE & KEY POINTS

The purpose of this item is to review the following real estate transactions.

- A. Amendment to University Square Lease, 111 South Broadway, Rochester, Minnesota (Rochester Campus)
- B. Relocation of Sand Plain Research Farm and New 30 Year Lease, Becker, Minnesota
- C. Sale of 435.546 acres at UMore Park for residential, commercial, and mixed-use development, Dakota County, Minnesota

BACKGROUND INFORMATION

Board of Regents Policy: *Reservation and Delegation of Authority* states that “the Board reserves to itself authority to approve the purchase or sale of real property (a) with a value greater than \$1,000,000; (b) located on or within 2 miles of a University campus; or (c) larger than ten (10) acres,” and “leases of real property, easements, and other interests in real property if the initial term amount to be paid by or to the University exceeds \$1,000,000.”

In February 2015, the Board approved the Resolution Related to the Reorganization of UMore Park Development Project. The resolution included market-based development at UMore Park led by business, commercial, and residential real estate developers to produce the highest potential financial return to the University over time. The resolution also included sale of land at UMore Park through public processes, at competitive prices, benchmarked to market rates.

The Board approved the sale of 158.881 acres at UMore Park in October 2016 for industrial-business park development to Opus Development Company LLC, which was the first sale of land under the new strategy for the development of UMore Park.

PRESIDENT’S RECOMMENDATION

The President recommends approval of the real estate transactions as presented.

**AMENDMENT TO UNIVERSITY SQUARE LEASE
111 SOUTH BROADWAY, ROCHESTER
(ROCHESTER CAMPUS)**

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to execute an amendment to the lease to add one successive renewal option of three (3) years followed by two successive renewal options of two (2) years which if exercised could extend the term of the lease until August 31, 2032.

2. Description of Leased Premises

The Leased Premises for the University of Minnesota Rochester's (UMR) lease at 111 South Broadway, Rochester, consists of 55,726 rentable square feet (RSF) of Classroom and Office space on the 3rd & 4th floor and Bookstore space on the lower level of University Square (formerly known as the Galleria Mall).

3. Basis for Request

On April 23, 2007 the University entered into an initial lease agreement with BGD5 Limited Partnership for space within the Galleria Mall in conjunction with the establishment of the University of Minnesota Rochester Campus. After significant build out to retrofit the space to accommodate the University's use the lease commenced on September 1, 2007. The initial lease term was for six years and contained three successive renewal options of three (3) years each which if all exercised could extend the lease term until August 31, 2022.

A number of agreements followed throughout the years which provided, among other things, another three (3) year renewal option to extend the term from September 1, 2022 through August 31, 2025. The current lease term expires on August 31, 2019 and contains two remaining options of three (3) years each to renew. UMR now desires to add additional renewal options in order to provide maximum flexibility which would give them the ability to secure the space on a longer term basis.

4. Details of Transaction

The amendment to the University's lease for University Square will exercise the two remaining three (3) year renewal options which extends the term of the lease until August 31, 2025. In exchange for exercising the remaining renewal options in advance, the landlord will grant the University additional renewal options consisting of one successive renewal option of three (3) years followed by two successive renewal options of two (2) years which if exercised could extend the term of the lease until August 31, 2032.

The landlord will also provide the University an allowance of \$2.00 per RSF effective September 1, 2022 that can be used for alterations requested by the University during the extended term. The landlord has also agreed to provide another allowance of \$3.00 per RSF if the University exercises the first extension option which can be used for alterations at any time during the first or any subsequent renewals.

5. Lease Costs

The current base rent for the leased premises is \$9.75 per RSF for the office & classroom space on the 3rd & 4th floor and \$18.00 per RSF for the bookstore space on the lower level which equates to \$566,445.00 per year or \$47,203.75 per month.

The University also pays as additional rent its pro-rata share of operating expenses, common area maintenance costs (CAM), utilities and property taxes for the building.

The current Operating/CAM/Real Estate expenses for the leased premises are \$12.52 per RSF for the office & classroom space on the 3rd & 4th floor and \$13.78 per RSF for the bookstore space on the lower level which equates to \$701,220.04 per year or \$58,435.00 per month.

Total current annual rent is \$1,267,665.00 or \$105,638.75 per month.

Base rent has been fixed since the inception of the lease. The classroom and office space base rent will increase to \$13.00 per RSF on 9/1/22 and then \$15.00 per RSF for the 2nd and 3rd renewal options, if exercised (2nd renewal option date: 9/1/2028). The bookstore space base rent will increase to \$20.00 per RSF on 9/1/22 and then \$22.00 per RSF for the 2nd and 3rd renewal options, if exercised (2nd renewal option date: 9/1/2028).

6. Source of Funds

UMR will fund the on-going lease costs through UMR's Campus Operating Budget.

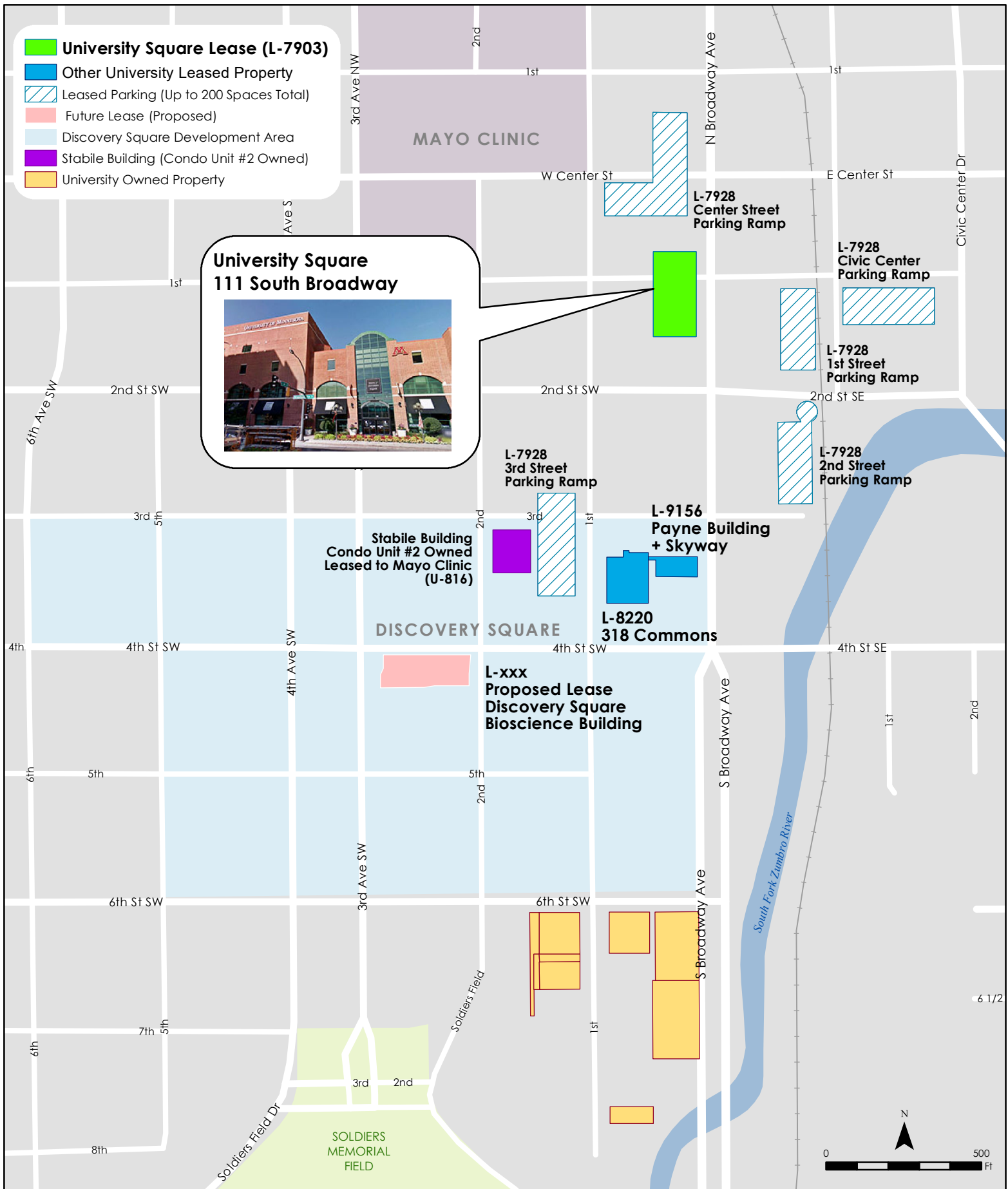
7. Recommendations

The above-described real estate transaction is appropriate:

Brian D. Burnett, Senior Vice President and CFO

Lori J. Carrell, Chancellor

Michael D. Volna, Associate Vice President, Finance & Assistant CFO



Real Estate Office



University of Minnesota

Extension of University Square Lease University of Minnesota - Rochester Campus

This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: Real Estate Office
MNDNR, MNDOT, Olmsted County

4/18/2018

RELOCATION OF SAND PLAIN RESEARCH FARM AND NEW 30 YEAR LEASE (BECKER, MN)

Since 1972 the University has leased approximately 280 acres in Becker, MN from Northern States Power Company (d.b.a Xcel Energy) for the Sand Plain Research Farm, which is managed by the College of Food, Agricultural and Natural Resource Sciences (CFANS). Its primary mission at the site is to conduct research on agronomic and horticultural crops under both irrigated and non-irrigated conditions. The lease was terminated by Xcel Energy in order to market the property for sale for future development. Upon termination of the Lease, Xcel Energy made approximately 400 acres available for lease to the University approximately 3 miles south of the current location. The new site is vacant agricultural land. CFANS has been allowed to continue its occupancy of the original site, until portions are sold, as it transitions its research and operations to the new site.

The University is currently in negotiations with Xcel Energy for a new lease which will include construction of new operation facilities and supporting infrastructure at the new site. Xcel Energy will execute the agreement/s for the design and construction of the new facilities, planned to include 3 buildings, well & septic system, wildlife fences, irrigation systems including another well, potential grain dryer with bins, and interior farm roads. The University will pay the cost of the leasehold improvements incurred. The University will own and insure the leasehold improvements and shall be responsible for all costs associated with the operations of the research farm.

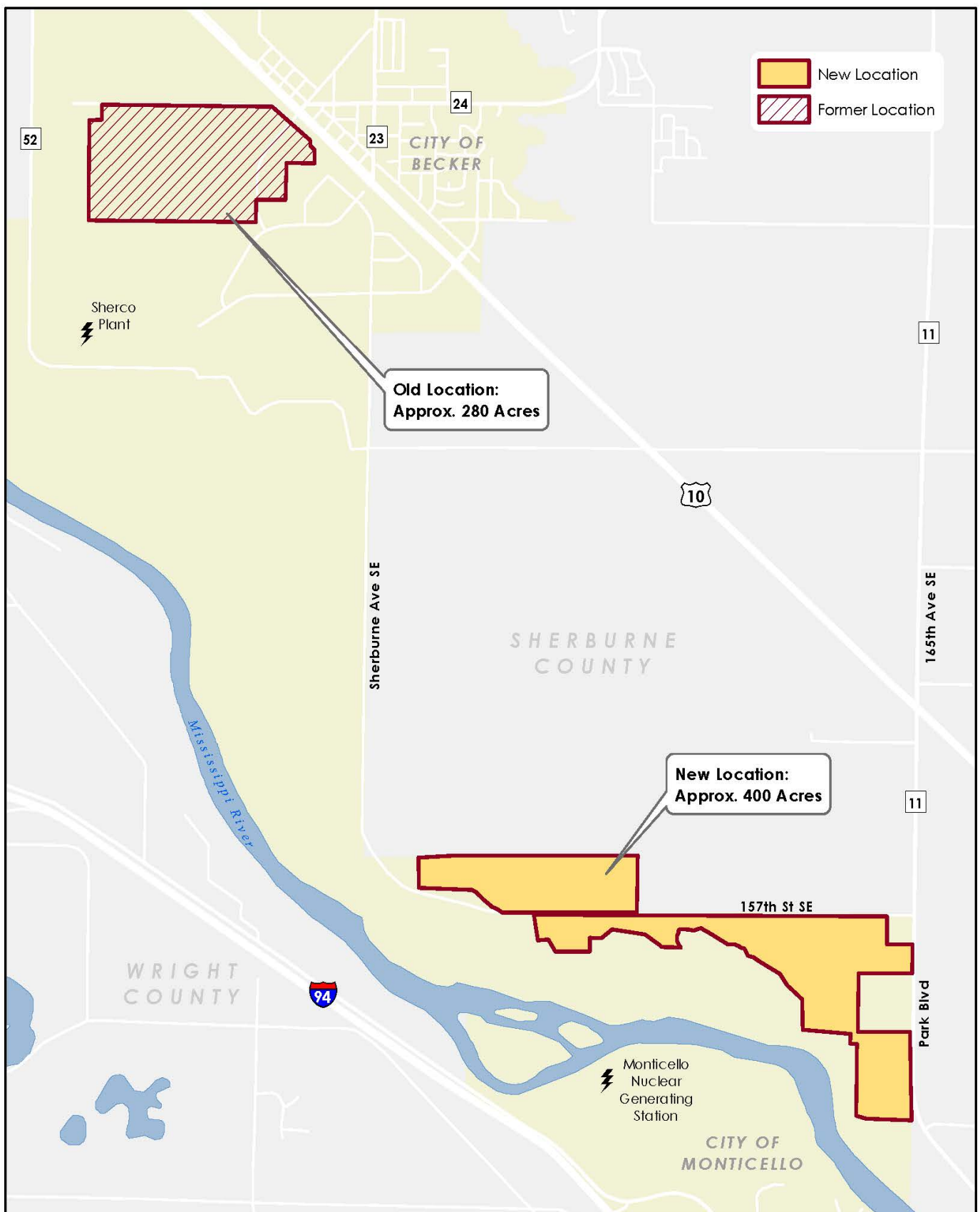
Details of New Lease Transaction

The new lease initial term is thirty (30) years, with three (3) successive ten (10) year extension options thereafter which if exercised could extend the lease term until 2/28/2078. Rent will be structured as follows:

Inception - 2/28/2020:	N/A (Rent Waived)
3/1/2020 - 2/28/2025:	\$10/Acre (\$4,000) on an annual basis
3/1/2025 - 2/28/2030:	\$20/Acre (\$8,000) on an annual basis
3/1/2030 - end of term:	\$30/Acre (\$12,000) on an annual basis

Leasehold improvements for facilities and infrastructure on the site are currently estimated in excess of \$3,000,000. The University's Capital Project Management will provide oversight of the project to ensure the new facilities and infrastructure are designed to meet the University's needs and constructed as designed, and will assess its customary project management fees.

The University will fund the cost of leasehold improvements via University debt or an internal loan assigned to CFANS. Repayment of the loan and the ongoing operational costs will be the responsibility of CFANS, financed from the research farm's revenues from operations and researcher user fees.



Real Estate Office



University of Minnesota

Sand Plain Research Farm Relocation Becker, Sherburne County



This map is intended to be used for planning purposes only and should not be relied upon where a survey is required.

Base Data: Real Estate Office
MnDOT, MnDNR

4/3/2018

**SALE OF 435.546 ACRES AT UMORE PARK
FOR RESIDENTIAL, COMMERCIAL AND MIXED USE DEVELOPMENT
(U MORE PARK)**

1. Recommended Action

The President recommends that the appropriate administrative officers receive authorization to sell 435.546 acres at UMORE Park for residential, commercial and mixed use development.

2. Location and Description of the Property

The subject property consists of approximately 435.546 acres located in the northwest portion of UMORE Park. The property abuts County Road 42 on the north, the Dakota County Technical College campus to the east and northeast along Akron Avenue, and 155th Street on the south. The Dakota Aggregate Mining Lease Area abuts to the west and southwest. The property is undeveloped, fairly level, and currently has an agricultural zoning (see included graphic).

An abbreviated summary of the legal description of the property to be conveyed is: Southwest Quarter of Section 27, Township 115, Range 19 and that part of the Southeast Quarter of Section 28, Township 115, Range 19, Dakota County, Minnesota; excluding minerals and mineral rights.

3. Background / Basis for Request

In February 2015 the Board of Regents approved a resolution related to the reorganization of UMORE Park development process that included:

- (a) Market-based development of UMORE Park led by business, commercial, and residential real estate developers to produce the highest potential financial return to the University over time, Incorporating opportunities of the original Concept Master Plan vision when there is private market demand for such elements and such concepts serve to protect or enhance the development value of the remaining site;
- (b) Maximizing financial return to the University by selling land through public processes, at competitive prices, benchmarked to market rates;
- (c) Active University engagement with local jurisdictions and private parties to ensure development projects at UMORE Park protect and enhance the value of subsequent development stages;
- (d) All land sale proposals to be approved by the Board of Regents; sale and development proposals that are economically sound, compatible with the vision for UMORE Park becoming a vibrant, market-driven community for residents and business, reflective of private sector demand, and in alignment with adjacent community needs, desires, and standards to be advanced by the University; and

- (e) Net proceeds derived from land sale transactions to be deposited into the Legacy Endowment as directed by the Board of Regents in 2009.

The University offered this property for sale for residential, commercial and mixed use development by Request for Proposal (RFP) in September, 2017. The proposal from Newland Land Acquisition, LLC, a Delaware limited liability company, was chosen by the University's review team.

4. Summary of Transaction Terms

Newland Land Acquisition, LLC ("Newland" or "Buyer") has offered \$13,100,000.00 cash at closing. (This equates to approximately \$.69 per square foot or \$30,077 per gross acre, and \$.76 per square foot or \$33,182 per net acre.) The average of two (2) independent MAI appraisal reports was \$11,000,000 for the subject property.

Newland will deposit \$50,000 earnest money within five (5) business days following execution of the Purchase and Sale Agreement. Newland will deposit an additional \$250,000 earnest money at the end of the hundred twenty (120) business day due diligence period. The earnest money and any accrued interest will apply to the purchase price at closing. Newland has up to two years from the contract date to obtain municipal approvals and permits prior to closing.

Newland will be solely responsible for the cost of all infrastructure and utilities necessary for development within the boundaries of the property, including, for example, the extension of a lateral sewer line and water mains to serve the property. In addition, Newland will be responsible for finalizing the cost sharing arrangements with the City, County, and / or other applicable governmental authorities for the cost of regional property infrastructure that will serve both the property and greater property area. Newland's potential cost-sharing arrangements allow for an equitable, proportional share of the cost of any regional property infrastructure benefiting other University property to be paid pursuant to a deferred arrangement, which will be due at the time of development of the other University property. The University estimated the value of the regional property infrastructure at approximately \$4 million.

Should the University decide in the future to sell additional land for residential development, the University agreed to grant the Buyer a limited right of first negotiation (ROFN) on that portion of UMore Park property identified on the graphic depiction as "Right of First Negotiation Area". The ROFN begins at the date of closing and continues for a period of seven (7) years thereafter.

5. Use of Properties

The property will be developed by Newland Land Acquisition, LLC, (Buyer) for residential, commercial and mixed use development. The property will be sold to Buyer within two (2) years of contract date; the property will then be developed over a 7 to 10 year period as market conditions determine.

The University has developed a Declaration of Covenants (to be recorded on / before conveyance of the deed to Buyer is recorded) and Design Guidelines for residential, commercial and mixed use development at UMORE Park to ensure the development of the property will reflect the goals of the

University for high-quality residential, commercial and mixed uses incorporating as appropriate the concepts of sustainability, environmental innovations, and social- cultural innovations that will the enhance the value of future development of UMORE Park.

6. Environmental

The property is being sold “as is / where is”. The University does not believe there are any environmental issues with the 435.546 acres, but expects that the buyer will complete environmental investigation of the property the buyer deems necessary and appropriate.

7. Uses of Funding

The net proceeds from the sale of the property will be deposited to the U MORE Park Legacy Endowment as directed by the Regents in 2009.

8. Recommendations

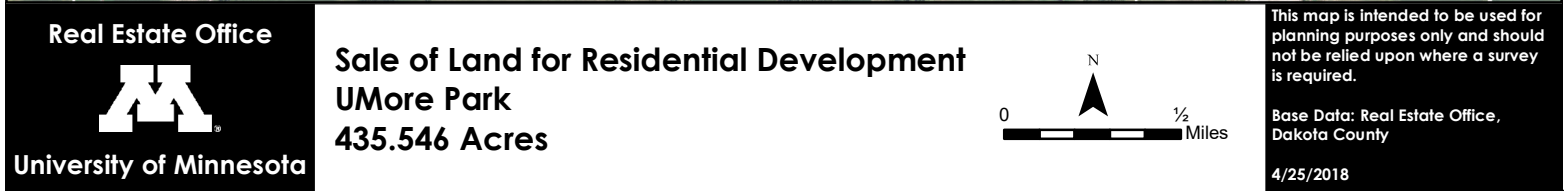
The above-described real estate transaction is appropriate:

Karen Hanson, Executive Vice President and Provost

Brian Burnett, Senior Vice President Finance and Operations

Michael Volna, Associate Vice-President & Assistant CFO

Michael Berthelsen, Vice President for University Services





BOARD OF REGENTS

DOCKET ITEM SUMMARY

Finance & Operations

May 10, 2018

AGENDA ITEM: Collective Bargaining Agreement: District Council No. 1 of the Graphic Communications Conference of the International Brotherhood of Teamsters, Local 1-B

☐

Review

☒

Review + Action

☐

Action

☐

Discussion

☐

This is a report required by Board policy.

PRESENTERS: Kathryn F. Brown, Vice President, Office of Human Resources

PURPOSE & KEY POINTS

The purpose of this item is review and action on a Collective Bargaining Agreement (CBA) between the Regents of the University of Minnesota and District No. 1 of the Graphic Communications Conference of the International Brotherhood of Teamsters, Local 1-B. Once approved, the CBA will define the terms and conditions of employment for covered employees between the date of signing and October 31, 2020.

Covered Employees

The employees covered by this CBA are bindery employees as defined by the Minnesota Public Employee Labor Relations Act Unit 2. The total number of employees is 6.

Summary Economic Highlights

During the contract year November 1, 2017 through October 31, 2018, employees in all classifications will receive a 2 percent salary range adjustment effective November 1, 2017.

During the contract year November 1, 2018 through October 31, 2019, employees in all classifications will receive a 2 percent salary range adjustment effective November 1, 2018.

Financial Impact - Recurring Costs

Base annual Payroll	\$ 291,351
Base Salary Adjustments	\$ 11,770
Total Recurring Cost	\$ 303,121

This is a three-year agreement, November 1, 2017 through October 31, 2020. For the contract period November 1, 2019 through October 31, 2020, the parties agreed that the employees in this bargaining unit will receive the same increase as that approved for Civil Service employees in the Spring 2020 compensation memorandum.

BACKGROUND INFORMATION

Negotiations began on October 30, 2017. A tentative agreement was reached on January 31, 2018. The Union's contract ratification process was completed on January 31, 2018. Board approval is required before this CBA can be implemented.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the Collective Bargaining Agreement between the University of Minnesota and District Council No. 1 of the Graphic Communications Conference of the International Brotherhood of Teamsters, Local 1-B.



REGENTS OF THE UNIVERSITY OF MINNESOTA

RESOLUTION RELATED TO

**The Proposed Labor Agreement with
District Council No. 1 of the Graphic Communications Conference of the
International Brotherhood of Teamsters, Local 1-B**

WHEREAS, the parties have met and negotiated and have reached agreement regarding terms and conditions of employment regarding the employees of this bargaining unit; and

WHEREAS, Local 1-B has ratified acceptance of this agreement; and

WHEREAS, according to Board of Regents Policy: *Reservation and Delegation of Authority*, approval of labor agreements by the Board of Regents is required.

NOW, THEREFORE, BE IT RESOLVED that that on the recommendation of the President, the Board of Regents approves this labor agreement as outlined in the docket for May 10, 2018.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

May 10, 2018

AGENDA ITEM: Consent Report – **REVISED VERSION 2**

☐

Review

☒

Review + Action

☐

Action

☐

Discussion

☐

This is a report required by Board policy.

PRESENTERS: Brian D. Burnett, Senior Vice President

PURPOSE & KEY POINTS

General Contingency

The purpose of this item is to seek approval for allocations from General Contingency greater than \$250,000. There are no items requiring approval this period.

Purchase of Goods and Services \$1,000,000 and Over

The purpose of this item is to seek approval for purchases of goods and services of \$1,000,000 and over.

- To Charles River Laboratories, Inc., Taconic Biosciences, Inc., Envigo RMS, Inc., and The Jackson Laboratory for an estimated \$16,000,000 for bulk research animal orders for Research Animal Resources for the period of July 1, 2018 through June 30, 2023. The bulk animal orders will be purchased with centralized departmental funds currently available for these purchases. Suppliers were selected through a competitive process.
- To Lemer Pax for an estimated \$1,919,720 for fabrication of the low-background shield for the SuperCDMS experiment for the School of Physics and Astronomy. Funding for the SuperCDMS project is provided by the subcontract from SLAC National Lab. See documentation in the docket for basis of supplier selection.
- To Walman Optical for \$1,000,000 for optical lenses, contact lenses and eyeglass frames for Boynton Health Eye Clinic for the period of June 1, 2018 through May 31, 2023. The items included in the contract will be ordered through Boynton but the cost of the items will be the responsibility of the patient. Supplier was selected through a competitive process.

Contract for Commercial Paper Dealer

The purpose of this item is to seek approval of qualified investment banking firms to serve as dealers for the sales and remarketing of the University's commercial paper (CP) under a revolving commercial paper facility.

The University issued an RFP to identify investment banking firms that have the capabilities, experience and qualifications to serve as CP dealers for the University's revolving CP facility for transactions to occur for fiscal 2018 through fiscal 2024. Merrill Lynch has served as the University's sole CP dealer since 2005. Adding a second CP dealer to provide sales and remarketing services mitigates the risk of nonperformance by a sole CP dealer, broadens the investor market potential, and allows for competitive pricing.

The following is presented for approval:

To Bank of America Merrill Lynch and J.P. Morgan Securities to serve as commercial paper (CP) dealers for the sale and remarketing of CP Notes under the University's revolving \$400 million CP Facility for fiscal 2018 through fiscal 2024. The vendors were chosen through a competitive bidding process.

Amendments to Civil Service Rules

As required by Board of Regents Policy: *Reservation and Delegation of Authority*, the administration seeks approval of amendments to the Civil Service Rules as included in the docket.

Employment Agreements

The purpose of this item is to seek approval for the following employment agreements:

- Amendment to employment agreement - Head Men's Basketball Coach, Twin Cities Campus
- [Employment Agreement – Head Men's Hockey Coach, Twin Cities Campus](#)
- [Employment Agreement – Head Women's Basketball Coach, Twin Cities Campus](#)

Schematic Designs

The purpose of this item is review and action on a schematic design for the following projects:

- Field House Exterior Envelope and Flooring (Twin Cities Campus)
- Plant Growth Research Facility (Twin Cities Campus)

A project data sheet is included in the docket and addresses the basis for request, project scope, cost estimate, funding, and schedule for each project. A map locating the projects on the Twin Cities campus is also included.

BACKGROUND INFORMATION

Approvals are sought in compliance with Board of Regents policy as follows:

- Purchase of Goods and Services \$1,000,000 and Over: *Reservation and Delegation of Authority*, Article I, Section VII, Subd. 6.
- Schematic Designs: *Reservation and Delegation of Authority*, Article I, Section VIII, Subd. 9.
- Appointments: *Reservation and Delegation of Authority*, Article I, Section IV, Subd. 1.
- Amendments to Civil Service Rules: *Reservation and Delegation of Authority*, Article I, Section XI, Subd. 2.

- Purchase of Underwriting/Investment Banking Services: *Debt Transactions*, Section III, Subd. 2. On October 13, 2017, the Board of Regents approved the Resolution Related to the Issuance of Commercial Paper and the Establishment of a Commercial Paper Facility.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the Consent Report.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

May 10, 2018

AGENDA ITEM: Consent Report - **REVISED**

☐

Review

☒

Review + Action

☐

Action

☐

Discussion

☐

This is a report required by Board policy.

PRESENTERS: Brian D. Burnett, Senior Vice President

PURPOSE & KEY POINTS

General Contingency

The purpose of this item is to seek approval for allocations from General Contingency greater than \$250,000. There are no items requiring approval this period.

Purchase of Goods and Services \$1,000,000 and Over

The purpose of this item is to seek approval for purchases of goods and services of \$1,000,000 and over.

- To Charles River Laboratories, Inc., Taconic Biosciences, Inc., Envigo RMS, Inc., and The Jackson Laboratory for an estimated \$16,000,000 for bulk research animal orders for Research Animal Resources for the period of July 1, 2018 through June 30, 2023. The bulk animal orders will be purchased with centralized departmental funds currently available for these purchases. Suppliers were selected through a competitive process.
- To Lemer Pax for an estimated \$1,919,720 for fabrication of the low-background shield for the SuperCDMS experiment for the School of Physics and Astronomy. Funding for the SuperCDMS project is provided by the subcontract from SLAC National Lab. See documentation in the docket for basis of supplier selection.
- To Walman Optical for \$1,000,000 for optical lenses, contact lenses and eyeglass frames for Boynton Health Eye Clinic for the period of June 1, 2018 through May 31, 2023. The items included in the contract will be ordered through Boynton but the cost of the items will be the responsibility of the patient. Supplier was selected through a competitive process.

Contract for Commercial Paper Dealer

The purpose of this item is to seek approval of qualified investment banking firms to serve as dealers for the sales and remarketing of the University's commercial paper (CP) under a revolving commercial paper facility.

The University issued an RFP to identify investment banking firms that have the capabilities, experience and qualifications to serve as CP dealers for the University's revolving CP facility for transactions to occur for fiscal 2018 through fiscal 2024. Merrill Lynch has served as the University's sole CP dealer since 2005. Adding a second CP dealer to provide sales and remarketing services mitigates the risk of nonperformance by a sole CP dealer, broadens the investor market potential, and allows for competitive pricing.

The following is presented for approval:

To Bank of America Merrill Lynch and J.P. Morgan Securities to serve as commercial paper (CP) dealers for the sale and remarketing of CP Notes under the University's revolving \$400 million CP Facility for fiscal 2018 through fiscal 2024. The vendors were chosen through a competitive bidding process.

Amendments to Civil Service Rules

As required by Board of Regents Policy: *Reservation and Delegation of Authority*, the administration seeks approval of amendments to the Civil Service Rules as included in the docket.

Employment Agreements

The purpose of this item is to seek approval for the following employment agreements:

- Amendment to employment agreement - Head Men's Basketball Coach, Twin Cities Campus
- [Employment Agreement – Head Men's Hockey Coach, Twin Cities Campus](#)

Schematic Designs

The purpose of this item is review and action on a schematic design for the following projects:

- Field House Exterior Envelope and Flooring (Twin Cities Campus)
- Plant Growth Research Facility (Twin Cities Campus)

A project data sheet is included in the docket and addresses the basis for request, project scope, cost estimate, funding, and schedule for each project. A map locating the projects on the Twin Cities campus is also included.

BACKGROUND INFORMATION

Approvals are sought in compliance with Board of Regents policy as follows:

- Purchase of Goods and Services \$1,000,000 and Over: *Reservation and Delegation of Authority*, Article I, Section VII, Subd. 6.
- Schematic Designs: *Reservation and Delegation of Authority*, Article I, Section VIII, Subd. 9.
- Appointments: *Reservation and Delegation of Authority*, Article I, Section IV, Subd. 1.
- Amendments to Civil Service Rules: *Reservation and Delegation of Authority*, Article I, Section XI, Subd. 2.
- Purchase of Underwriting/Investment Banking Services: *Debt Transactions*, Section III, Subd. 2. On October 13, 2017, the Board of Regents approved the Resolution Related to the Issuance of Commercial Paper and the Establishment of a Commercial Paper Facility.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the Consent Report.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

May 10, 2018

AGENDA ITEM: Consent Report

☐

Review

☒

Review + Action

☐

Action

☐

Discussion

☐

This is a report required by Board policy.

PRESENTERS: Brian D. Burnett, Senior Vice President

PURPOSE & KEY POINTS

General Contingency

The purpose of this item is to seek approval for allocations from General Contingency greater than \$250,000. There are no items requiring approval this period.

Purchase of Goods and Services \$1,000,000 and Over

The purpose of this item is to seek approval for purchases of goods and services of \$1,000,000 and over.

- To Charles River Laboratories, Inc., Taconic Biosciences, Inc., Envigo RMS, Inc., and The Jackson Laboratory for an estimated \$16,000,000 for bulk research animal orders for Research Animal Resources for the period of July 1, 2018 through June 30, 2023. The bulk animal orders will be purchased with centralized departmental funds currently available for these purchases. Suppliers were selected through a competitive process.
- To Lemer Pax for an estimated \$1,919,720 for fabrication of the low-background shield for the SuperCDMS experiment for the School of Physics and Astronomy. Funding for the SuperCDMS project is provided by the subcontract from SLAC National Lab. See documentation in the docket for basis of supplier selection.
- To Walman Optical for \$1,000,000 for optical lenses, contact lenses and eyeglass frames for Boynton Health Eye Clinic for the period of June 1, 2018 through May 31, 2023. The items included in the contract will be ordered through Boynton but the cost of the items will be the responsibility of the patient. Supplier was selected through a competitive process.

Contract for Commercial Paper Dealer

The purpose of this item is to seek approval of qualified investment banking firms to serve as dealers for the sales and remarketing of the University's commercial paper (CP) under a revolving commercial paper facility.

The University issued an RFP to identify investment banking firms that have the capabilities, experience and qualifications to serve as CP dealers for the University's revolving CP facility for transactions to occur for fiscal 2018 through fiscal 2024. Merrill Lynch has served as the University's sole CP dealer since 2005. Adding a second CP dealer to provide sales and remarketing services mitigates the risk of nonperformance by a sole CP dealer, broadens the investor market potential, and allows for competitive pricing.

The following is presented for approval:

To Bank of America Merrill Lynch and J.P. Morgan Securities to serve as commercial paper (CP) dealers for the sale and remarketing of CP Notes under the University's revolving \$400 million CP Facility for fiscal 2018 through fiscal 2024. The vendors were chosen through a competitive bidding process.

Amendments to Civil Service Rules

As required by Board of Regents Policy: *Reservation and Delegation of Authority*, the administration seeks approval of amendments to the Civil Service Rules as included in the docket.

Employment Agreements

The purpose of this item is to seek approval for the following employment agreement amendment:

- Amendment to employment agreement - Head Men's Basketball Coach, Twin Cities Campus

Schematic Designs

The purpose of this item is review and action on a schematic design for the following projects:

- Field House Exterior Envelope and Flooring (Twin Cities Campus)
- Plant Growth Research Facility (Twin Cities Campus)

A project data sheet is included in the docket and addresses the basis for request, project scope, cost estimate, funding, and schedule for each project. A map locating the projects on the Twin Cities campus is also included.

BACKGROUND INFORMATION

Approvals are sought in compliance with Board of Regents policy as follows:

- Purchase of Goods and Services \$1,000,000 and Over: *Reservation and Delegation of Authority*, Article I, Section VII, Subd. 6.
- Schematic Designs: *Reservation and Delegation of Authority*, Article I, Section VIII, Subd. 9.
- Appointments: *Reservation and Delegation of Authority*, Article I, Section IV, Subd. 1.
- Amendments to Civil Service Rules: *Reservation and Delegation of Authority*, Article I, Section XI, Subd. 2.
- Purchase of Underwriting/Investment Banking Services: *Debt Transactions*, Section III, Subd. 2. On October 13, 2017, the Board of Regents approved the Resolution Related to the Issuance of Commercial Paper and the Establishment of a Commercial Paper Facility.

PRESIDENT'S RECOMMENDATION

The President recommends approval of the Consent Report.

Purchase of Goods and Services \$1,000,000 and over

To Charles River Laboratories, Inc., Taconic Biosciences, Inc., Envigo RMS, Inc., and The Jackson Laboratory for an estimated \$16,000,000 for bulk research animal orders for Research Animal Resources for the period of July 1, 2018 through June 30, 2023.

Research Animal Resources (RAR) is the University centralized research service center for purchasing all Twin Cities laboratory animals. RAR is the conduit to third-party research animal suppliers and the initial point of entry for such animals into the University of Minnesota and the 300+ research teams we serve. RAR also interfaces daily with the Institutional Animal Care & Use Committee (IACUC) protocol database to facilitate the monitoring of authorized animal usage.

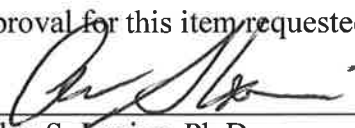
A consistent high quality supply of laboratory animals with specific unique genotype background is required for the reliability, validity, and quality of research projects and their specific findings.

Supplier selection was through an RFP where responding suppliers were scored according to their ability to fulfill the needs of the principle investigators and research teams supported by RAR at a price and under terms that were most beneficial to the University of Minnesota.

The bulk animal orders will be purchased with centralized departmental funds currently available for these purchases.

Submitted by: Gordon Willard, RAR Business Operations Manager
MMC 351
612.626.4401 (p)
612.624.8149 (f)

Approval for this item requested by:



Allen S. Levine, Ph.D.
Vice President for Research



Date

Purchase of Goods and Services \$1,000,000 and over

To Lerner Pax for an estimated \$1,919,720 for fabrication of the low-background shield for the SuperCDMS experiment for the School of Physics and Astronomy.

The SuperCDMS (Cryogenic Dark Matter Search) collaboration is building the next-generation (G2) experiment that will operate in the deepest underground laboratory in North America, which is SNOLAB in Sudbury, Ontario.


This will be the successor to the CDMS experiment, which was located at the Soudan Underground Lab. The lab was closed as of October 1, 2017. New detectors are being fabricated now and a new shielded facility is being built at SNOLAB for the next-generation detectors. The new detectors are being tested at the University of Minnesota in the cryolab in the basement of the Physics and Nanotechnology building as well as at Stanford University and University of California, Berkeley, and will need to be operated inside the shield and underground in order to be sensitive enough to detect dark matter.

The SuperCDMS SNOLAB project was approved at the national level as a joint project between the National Science Foundation (NSF) and the Department of Energy (DOE). The DOE uses two National Labs to manage the project and subcontract to Universities in their area of expertise. These National Labs are Fermilab (Fermi National Accelerator Lab) and SLAC. The University of Minnesota is responsible for shield design and background mitigation. There are similar agreements in place at Stanford (detector design) for \$1.3M over four years and at Berkeley (detector testing) for \$600K over three years.

Funding for the SuperCDMS project is provided by the subcontract from SLAC National Lab.

Submitted by: Dr. Priscilla Cushman, Professor of Physics
Physics and Nanotechnology Bldg, Room 327
Phone: 612-626-8917
Fax: 612-301-3434

Approval for this item requested by:



Karen Hanson
Executive Vice President/Provost
Academic Affairs & Provost, Sr. VP Ofc

20 April 2018
Date

Rationale for Exception to Competitive Process

A competitive process for the design of the SNOLAB shielding system under a firm-fixed price subcontract was followed by the purchasing office at Fermi National Laboratory. RFP #270755SC was sent to 7 suppliers and only 2 suppliers bid on the package: Lemer Pax and Dufrane. A 5-person review committee, which included Fermilab engineers and the Super CDMS managers for this subsystem, graded the technical aspects of the bids individually and then compared the final grades. Lemer Pax was graded highest by all, primarily because of their expertise with low-radioactivity lead and building large shields for the nuclear industry. The engineering design is now complete and the engineering drawings are in the hands of the collaboration. The National Lab designated by DOE for the fabrication subcontract is SLAC rather than Fermilab. The design is approved for fabrication by the collaboration. There are aspects of the design that are unique to Lemer Pax and cannot be duplicated by another vendor. They must therefore do the fabrication.

The University determined reasonableness of the price through the competitive process conducted by Fermilab. Lemer Pax's bid for the design work was significantly lower at \$115,000 versus the only other design bid at \$500,000.

Purchase of Goods and Services \$1,000,000 and over

To Walman Optical for \$1,000,000 for optical lenses, contact lenses and eyeglass frames for Boynton Health Eye Clinic for the period of June 1, 2018 through May 31, 2023.

Boynton Health Eye Clinic provides optometry services to students, staff and faculty. We strive to provide the best lenses and the widest range of choice from frames at the most reasonable cost to our patients.

Walman Optical was chosen through a competitive bidding process. A total of 6 suppliers submitted bids for some or all of the products included in the RFP. Walman Optical was awarded based on total cost, discounts, and timeliness of delivery among other specifications.

The items included in the contract will be ordered through Boynton but the cost of the items will be the responsibility of the patient.

Submitted by: Jan Kukowski
Director of Clinical Operations
Boynton Health
Phone: (612) 625-4420
Fax: (612) 625-1434

Approval of this Item is requested by:



VP or Exec. VP Name

4-11-18

Date

Purchase of Underwriting/Investment Banking Services

- To Bank of America Merrill Lynch (Merrill Lynch) and J.P. Morgan Securities (JPM) to serve as commercial paper (CP) dealers for the sale and remarketing of CP Notes under the University's revolving \$400 million CP Facility for fiscal 2018 through fiscal 2024.

Merrill Lynch has been the University's sole CP dealer since 2005 and has provided exemplary service during this time. Based on their comprehensive response to the RFP and our previous experience with them as CP dealer, we know of no reason to discontinue the existing relationship.

JPM provided a strong, comprehensive proposal and has significant experience with selling and remarketing CP.

In summary, these two firms are being recommended due to the following strengths:

- Significant experience with both tax-exempt and taxable CP programs, including programs with self-liquidity and programs for higher education institutions
- A focus on their commitment to the University and to the State of Minnesota with a perspective toward a long-term partnership with the University
- Capital strength and stability, and their ability and willingness to take unsold paper into inventory if necessary

The vendors were chosen through a competitive bidding process, with responses evaluated against specific weighted criteria as outlined in the RFP.

There is no cost for the initial placement of CP. The remarketing fee of .05% is based on the aggregate outstanding balance of all series of CP and length of time outstanding. The maximum fee possible per year assuming the full \$400 million facility outstanding would be \$200,000, split among the two firms based on their respective participation in the aggregate program.

The remarketing fee is part of the all-in cost of variable rate financing and is funded through debt service charges to the units ultimately responsible for the capital projects – typically the units that will be occupying the buildings being renovated or constructed.

Submitted by: Carole J Fleck, Director of Debt Management

Approval is requested by:



Michael D. Volna, Associate Vice President and Assistant CFO

Civil Service Employment Rules

2018 Proposed Amendments

Revised: March 23, 2018

Amendment #1.

Effective March 2018 - New Parental Leave for Employees Policy was published for 30 day review. Policy provides both parents, who meet eligibility requirements, with six weeks paid leave; expands coverage to include gestational surrogacy; removes the nine-month employee eligibility requirement; and replaces the current policy: Parental Leave for Academic Employees. Changes to the Civil Service Employment Rules to align with the policy.

11.7 Parental Leave

11.7.1 Eligibility for Parental Leave

~~11.7.1.1 Employees must have completed nine (9) consecutive months of employment at an average of 20 hours or more paid work time per week. This policy applies regardless of the percentage time of appointment and the two (2) year requirement for certain other benefits that are provided in these Rules. The University provides parental leave for eligible employees related to the birth, adoption, or gestational surrogacy of children in accordance with Minnesota statutes and the provisions of this policy. Parental leave provided by this policy is available to an employee on a 50% appointment or greater and is becoming a parent through birth, adoption, or gestational surrogacy or to an employee who is a gestational carrier.~~

~~11.7.1.2 The employee must give notice of intent to use parental leave and other leaves used in conjunction with parental leave to their supervisor at least four (4) weeks in advance, except under unusual circumstances. Employees are encouraged to talk to the responsible administrator/supervisor regarding taking parental leave as soon as reasonably practical.~~

11.7.2 Paid and Unpaid Leaves

~~11.7.2.1 Upon request, eligible employees may take six weeks paid leave related to the birth, adoption, or gestational surrogacy of a child. The parental leave will begin at a time requested by the employee, although the leave may not begin more than two weeks prior to the due date or adoption event. For an employee who gives birth, the leave must begin no later than the birth or adoption event. For all other employees, the leave must begin no later than six weeks after the birth or adoption event. In the case where the child must remain in the hospital longer than the birth parent, the leave must begin no later than six weeks after the child leaves the hospital.~~

~~This leave must be consecutive and without interruption and must be taken during the term of appointment.~~

~~All new birth or adoptive parents, regardless of gender, shall be granted a two-week paid parental leave. A birth mother shall also be granted an additional four weeks of paid parental leave, for a total of six weeks, for the recovery from the act of giving birth. This~~

parental leave shall not be charged against the employee's accumulated vacation or sick leave. ~~The parental leave shall begin at a time requested by the employee, although the leave may not begin more than six weeks after the birth or adoption. In the case where the child must remain in the hospital longer than the birth mother, the leave must begin no later than six (6) weeks after the child leaves the hospital. This leave must be consecutive and without interruption and must be taken during the term of appointment.~~

11.7.2.2 The ~~employee birth mother or adoptive parent~~ may use accumulated vacation leave immediately following the ~~sick leave or~~ parental leave. These leaves must be consecutive and without interruption and must be taken during the term of appointment. An unpaid leave of absence shall be granted to an employee for a period of up to six months, when requested in conjunction with the birth or adoption of the employee's child. This leave of absence without pay may be extended up to an additional six months upon the employee's request and with responsible administrator approval.

11.7.2.3 During the parental leave, medical coverage will continue to be available for the employee and any dependents under any group insurance policy, group subscriber contract, or health care plan in existence at the time of leave. While on paid leave, the continued coverage will be provided on the same basis as available to the employee during the course of employment. While on unpaid leave, the continued coverage will be available at the employee's expense.

Definitions

Adoption event. Adoption of a child up to 18 years of age who is adopted through public, private, domestic, international or independent means and who is not the stepchild of the adoptive parent.

Foster parent. One who has undergone a foster care placement proceeding to assume child-rearing responsibilities but not full legal responsibility for a child.

Gestational Surrogacy. A legal agreement between intended parent(s) and a gestational carrier to carry an embryo to term as a means for the intended parent(s) to become parent(s) of a child. Under this policy, both the intended parent(s) and the gestational carrier would be eligible for paid parental leave.

Finance & Operations Committee
Consent Report
May 10, 2018

Amendment to Employment Agreement

Pending approval by the Board of Regents, Richard Pitino's employment agreement as Head Men's Basketball Coach, University of Minnesota, Twin Cities, will be amended.

Amended Contract Overview

The fourth amendment to Richard Pitino's employment agreement replaces paragraph 2.9, which deals with private aircraft use. The proposed language stipulates that private aircraft use shall not exceed forty hours in any one fiscal year unless fund-raised dollars ear-marked for recruiting expenses are used to cover the costs of additional private flights, subject to approval by the Athletic Director. A similar stipulation was proposed and passed within Coach Fleck's contract during the December 2017 Board of Regents meeting.

Recommendation

The president recommends approval of the amendment to the employment agreement for Richard Pitino as Head Men's Basketball Coach, University of Minnesota, Twin Cities.

FOURTH AMENDMENT TO EMPLOYMENT AGREEMENT

This is a fourth contractual amendment to the Employment Agreement between the University of Minnesota ("the University"), on behalf of its Department of Intercollegiate Athletics on the Twin Cities campus ("the Department"), and Richard Pitino ("Coach"), entered into effective April 14, 2013 ("Employment Agreement"), as amended effective August 14, 2015 ("First Amendment"), amended again effective January 1, 2017 ("Second Amendment"), and amended again effective May 13, 2017 ("Third Amendment"). The University and Coach do now mutually desire to amend certain terms of the Employment Agreement by entering into this fourth amendment to the Employment Agreement ("Fourth Amendment"), effective April 1, 2018.

NOW, THEREFORE, in consideration of the mutual promised and covenants contained in this Third Amendment, and such other good and valuable consideration, the receipt and sufficiency of which the parties hereby acknowledge, the parties agree to amend their Employment Agreement as follows:


1. **Paragraph 2.9 is deleted and replaced with the following:**

2.9. Private Aircraft Use. Subject to availability, the University agrees to fly Coach by private (non-commercial) aircraft when Coach is making recruiting visits and for other, mutually agreed upon University business that is located more than two hundred (200) miles from the Twin Cities campus. Coach's use of such private aircraft shall not exceed forty (40) hours (including dead-head legs) in any one fiscal year, unless fund-raised dollars (enhancement funds) ear-marked for recruiting expenses are used to cover costs of additional private flights. Any use beyond the forty (40) hours threshold is subject to approval by the Athletic Director, which can be withheld at the University's sole discretion.

2. Except as expressly provided in this Third Amendment, each and every term and condition of the Employment Agreement, as previously amended, shall remain unchanged.
3. The parties acknowledge and agree that this Fourth Amendment is subject to formal approval by the University's Board of Regents (BOR). This Fourth Amendment shall not be final or binding until formally approved by the BOR.


IN WITNESS WHEREOF, the undersigned have caused this Amendment to be effective as of the date first shown above.

Date: 4/18/18


Richard Pitino
Head Men's Basketball Coach


**REGENTS OF THE UNIVERSITY OF
MINNESOTA**

Date: 4/30/18

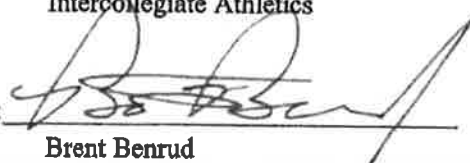
By: 
Eric Kaler
President

Recommended for Approval:

Date: 4/12/18

By: 
Mark Coyle, Director
Intercollegiate Athletics

Date: 4/25/18

By: 
Brent Benrud
Senior Associate General Counsel

Finance & Operations Committee
Consent Report
May 10, 2018

Position Overview – Head Coach, Men’s Hockey

Head men’s hockey coach is a leadership position reporting to a senior associate athletics director and the athletics director. The head men’s hockey coach must be skilled at building and maintaining a program that achieves at high levels academically, athletically and socially. As one of the most visible head coach among the more than 20 head coaches within Intercollegiate Athletics (ICA), the head men’s hockey coach must also be a strong collaborator, working with ICA staff and campus leadership as an advocate for the men’s hockey program and to advance the missions of ICA and the University.

It is expected the head men’s hockey coach will oversee all aspects of the men’s hockey program and its operations. These expectations include:

- developing and maintaining a competitive intercollegiate men’s hockey program within the NCAA and Big Ten Conference;
- leading the recruitment/hiring, goal setting, coaching and performance review of all men’s hockey staff;
- instructing and teaching student-athletes in fundamentals of hockey technique and strategy;
- directing all aspects of men’s hockey staff in recruiting, practice and game competitions;
- planning and executing practice and competition plans, including the evaluation of opponents;
- evaluating and recruiting qualified student-athletes to the University of Minnesota whose athletic skills make them highly competitive in the Big Ten and whose academic abilities make them good candidates for a meaningful academic experience and graduation from the University of Minnesota;
- performing significant administrative responsibilities, including budget planning and management, scheduling of competitions and planning and executing team travel;
- providing opportunities for student-athletes to develop life and leadership skills;
- assisting in monitoring the academic performance of student-athletes to help them achieve full potential;
- establishing and maintaining effective relationships within the athletics department, the University, community, booster clubs, alumni, high school coaches, media and the general public;
- assisting with departmental or University fund raising and public relations;
- representing the men’s hockey program in fulfilling contacts with or requests from, the news media, including appearances on radio and television;
- assisting in advancing the department's mission, vision, and strategic plan, including its commitment to equity and diversity;
- adhering to all rules and regulations of the department, the University, the Big Ten Conference, and the NCAA; and,

- representing in a positive fashion the University and its athletic programs in private and public forums.

Appointee Background and Qualifications

Bob Motzko returns to the University of Minnesota, where he was an assistant coach for the men's hockey program from 2001 to 2005, after spending the past 13 years as the head coach at St. Cloud State University. Coach Motzko built St. Cloud State into a championship program that regularly competed with the best teams in the nation. The Huskies advanced to the NCAA tournament eight times under his leadership, including five times in the past six years. His 2013 team advanced to the NCAA Frozen Four. While in St. Cloud, Coach Motzko helped develop Hobey Baker Award winner Drew LeBlanc, along with eight All-Americans.

Prior to taking over in St. Cloud, Coach Motzko was an assistant coach at Minnesota for four seasons. During his time in the Twin Cities, the Gophers captured back-to-back national championships in 2002 and 2003 and advanced to three Frozen Fours (2002, '03, '05). He worked with Hobey Baker Award winner Jordan Leopold, as well as six All-Americans while at Minnesota. He was also on the bench as Minnesota claimed back-to-back WCHA tournament championships in 2003 and 2004.

Prior to 2001, he coached collegiate hockey at Miami (OH) and Denver, helping Miami win the CCHA title as associate head coach in 1993. Coach Motzko has twice led teams in the United States Hockey League (USHL) as head coach and general manager, leading the North Iowa Huskies to the 1989 Junior A national championship. He was named the league's Coach of the Year that season. He was also named the league's GM of the Year in 2000 for his work with the Sioux Falls Stampede.

During his college head coaching career, Coach Motzko has been recognized as a conference coach of the year four times, most recently this past season. He won the award twice in the Western Collegiate Hockey Association (2006, 2007) and twice again in the National Collegiate Hockey Conference (2014, 2018).

USA Hockey has twice tasked Coach Motzko with leading the U.S. National Junior Team at the World Junior Championships. In 2017, he led Team USA to a gold medal – the fourth all-time for the U.S. He returned as head coach in 2018, leading the squad to a bronze medal.

Coach Motzko graduated from St. Cloud State in 1987 as a two-time men's hockey letter winner. He also served as an assistant under Herb Brooks at his alma mater for one season.

EMPLOYMENT AGREEMENT

This EMPLOYMENT AGREEMENT ("Agreement") is entered into by and between Regents of the University of Minnesota ("University"), on behalf of its Department of Intercollegiate Athletics ("Department"), and Robert Motzko ("Coach"). Effective March 30, 2018, this Agreement supersedes all prior agreements and governs the relationship between the parties.

WHEREAS, subject to the terms and conditions of this Agreement, the University desires to employ Coach as head coach of its intercollegiate men's hockey team at the University's Twin Cities campus ("Team"), and Coach is willing to accept the position and perform the services and duties as head coach for the Team;

NOW, THEREFORE, in consideration of the mutual promises and covenants contained in this Agreement, and such other good and valuable consideration the receipt and sufficiency of which the parties hereby acknowledge, the parties agree as follows:

I. EMPLOYMENT TERM AND DUTIES

1.1. Employment Term. Subject to the terms and conditions of this Agreement, the University hereby employs Coach as head coach of the Team, and Coach agrees to be so employed by the University, for a term commencing on March 30, 2018, and ending on March 29, 2023 ("Term of Employment"). As used in this Agreement, "contract year" refers to the period from March 30 through the following March 29 (e.g., contract year one is March 30, 2018, through March 29, 2019; contract year two is March 30, 2019, through March 29, 2020; and so on).

1.2. Duties.

1.2.1. During the Term of Employment, Coach shall diligently and conscientiously devote his full time, attention, and efforts in performing and discharging the usual and customary duties of a head coach of a National Collegiate Athletic Association ("NCAA") Division I hockey team, including, but not limited to, the following duties:

- a.** Conducting usual and customary coaching activities;
- b.** Recruiting, and managing the recruitment of, student-athletes;
- c.** Supervising, evaluating, training, and coaching student-athletes in an effort to develop their character, and compete against major college competition;
- d.** Being responsible for all customary coaching decisions, including systems and strategies used on the ice (both in practice and actual game play),

conduct of practice and training, selection of team members, deployment of players, and all other matters involving hockey operations;

- e. Diligently seeking to foster the academic progress of the student-athletes in the program;
- f. Diligently seeking to maintain conduct (both on and off the ice) and enforce disciplinary rules and sanctions fairly and uniformly for all student-athletes in the program in order to encourage academic and moral integrity and excellence;
- g. Representing the University, the Department, and the Team, and cooperating with the Department in fulfilling contacts with or requests from the news media, including appearances on radio and television, but recognizing the greater importance of coaching activities;
- h. Assisting with Department or University fund raising and public relations;
- i. Representing in a positive fashion the University and its athletic programs at all times, in all forums;
- j. Consistent with University policy and the authority of the University's Director of Intercollegiate Athletics ("Director"), supervising assistant coaches and support staff for the hockey program;
- k. Preparing and administering the budget for the hockey program, subject to the authority and approval of the Director; and
- l. Performing such other duties normally associated with those of a head coach of a high-level NCAA Division I hockey team, as reasonably requested by the Director or the Director's designee.

1.2.2. Unless otherwise expressly permitted in this Agreement, Coach shall not engage in any other business activity or be employed by any other person, firm, or entity, whether or not such activity is pursued for gain, profit, or other pecuniary benefit, without the University's prior written consent; provided, however, subject to NCAA and University rules, during each year of the Term of Employment, Coach may conduct summer hockey camps. The University's consent will not be unreasonably withheld.

1.2.3. Coach shall not undertake commercial endorsements without the prior written consent of the University (not to be unreasonably withheld, delayed, or unreasonably conditioned). Coach shall not engage in any activity, if identified as the head coach of the Team, that directly implies approval or endorsement of any good or service, including, but not limited to, the wearing of garments which display a manufacturer's trademark, name, or other logo, unless such activity is first approved in writing by the Director. For example, Coach acknowledges that the University has entered into a MultiSport Agreement with Nike USA Inc. that includes the Team. Coach shall comply with the University's obligations under the Nike Agreement. The

University will use good faith efforts to obtain an allotment for Coach under its agreement with Bauer Hockey.

1.2.4. Coach shall not appear on radio, television, or any other media in return for a fee, in cash or in kind, without the prior written consent of the University (not to be unreasonably withheld, delayed, or unreasonably conditioned).

1.3. Classification. Coach's employment is a professional appointment subject to the policies and procedures applicable to University Academic Professional and Administrative Employees ("Policies and Procedures"), as amended from time to time. In the event of a conflict between the terms of this Agreement and the terms of the Policies and Procedures, the terms of this Agreement shall govern.

1.4. Compliance. Throughout the term of this Agreement, in conjunction with the University's compliance office, Coach shall use his diligent efforts to comply with the current and hereafter enacted or promulgated laws, policies, rules, and regulations governing the University and its employees, and the current and hereafter enacted or promulgated constitution, bylaws, rules, and regulations of the NCAA, the Big Ten Conference ("Big Ten"), and any other conference or organization with which the University becomes associated or which affects intercollegiate athletics (individually or collectively, "Governing Associations"). Coach shall use his best efforts to ensure that all assistant coaches of the Team, any other University employee for whom Coach is administratively responsible, and representatives of the University's athletic interests comply with the foregoing laws, policies, rules, regulations, constitutions, and bylaws. Coach shall diligently seek to promote an atmosphere of compliance within the hockey program and shall monitor the activities of all assistant coaches and administrators involved with the program who report, directly or indirectly, to Coach.

1.5. Other Employment. During the term of this Agreement, Coach agrees not to personally, or through any agent or other representation, seek, negotiate, or accept other full-time employment without first having provided at least forty-eight (48) hours advance written notice to the Director. The parties acknowledge that unsolicited contacts or communications received by Coach or Coach's agent or other representative do not violate this Section 1.5.

1.6. Hiring Authority. Coach understands and acknowledges that he will not have authority to unilaterally make or accept offers of employment for assistant coaches or other support staff, and that ultimate authority over such hiring decisions rests with the Director. Coach further understands and acknowledges that all hockey program hires, including Coach's hire, are subject to and contingent upon a review of the applicant's background and experience, including any history of NCAA violations, if any, to be conducted by the University. Coach agrees not to make any representation to potential hires, applicants, or anyone else that is contrary to the provisions of this paragraph.

II. COMPENSATION

2.1. Base Salary.

2.1.1. Subject to the terms of this Agreement, for all services rendered by Coach on behalf of the University, the University shall pay Coach annualized base salary of five

hundred twenty five thousand dollars (\$525,000) for the 2018-2019 contract year. Subject to review and approval by the Director, Coach's base salary will increase to five hundred sixty five thousand dollars (\$565,000) for the 2019-2020 contract year. Subject to review and approval by Director, Coach's base salary will increase by five percent (5%) each year for the remaining three years of the Term of Employment. Coach may elect to receive the increases set forth in this Section 2.1.1. as supplemental retirement contributions instead of base salary increases. Coach will provide written notice of any such election no later than thirty (30) days prior to the effective date of the increase. The Parties will execute a written amendment to this Agreement reflecting the election.

2.1.2. Coach's base salary is subject to furloughs, pay freezes, salary reductions or other similar or related adjustments to the same extent they may be required from time to time of other employees in the Department.

2.2. Incentive Compensation.

2.2.1. The University shall pay Coach incentive compensation as provided in the Schedule of Incentives attached hereto as Exhibit A.

2.2.2. The University shall make payment to Coach for competition-related bonuses within thirty (30) days of being earned, and for all other bonuses on or before August 1 of each contract year. No bonus under this section will be earned or paid unless/until the University has determined that the conditions related to the payment have been met, including Coach's compliance with the material terms of this Agreement and any other conditions set forth in the Schedule of Incentives. Further, no competition-related bonus will be earned or paid unless Coach is employed as head coach of the Team on the final day of the regular season or any post-season play for each season, whichever is later; and no other bonus will be earned or paid unless Coach is employed on the final day of classes for the University's spring semester each academic year.

2.3. Relocation Expenses. The University will reimburse Coach for direct, actual, reasonable expenses incurred through August 30, 2019, associated with the relocation of Coach and Coach's family to the Twin Cities, up to an amount equal to one month of Coach's base salary. Any reimbursement for temporary housing will be limited to ninety (90) days of expenses. There will be no reimbursement for closing costs.

2.4. Benefits. Unless inconsistent with the terms of this Agreement, the University shall provide Coach with a benefit program as provided generally for its professional and administrative employees, as described in and in accordance with the Policies and Procedures. Notwithstanding any provision of this Agreement or the Policies and Procedures to the contrary, the amount of the benefits shall be determined based upon Coach's annual base salary, and shall not take into account any other compensation provided under this Agreement.

2.5. Automobile. Subject to University policy applicable generally to its coaches of intercollegiate athletics, the University shall provide Coach the use of an automobile throughout the Term of Employment.

2.6. Travel. The University shall cover reasonable travel and lodging expenses for Coach's spouse and children to accompany Coach for any NCAA Hockey Tournament in which the Team is playing, and any conference tournament in which the Team is playing. The University shall cover reasonable travel and lodging expenses for Coach's spouse to accompany Coach to the annual hockey coaches' convention. Subject to advance approval from the Director, the University shall cover reasonable travel and lodging expenses for Coach's spouse and children to accompany Coach for away regular season hockey games in which the Team is playing. It is understood that any expenses under this section must be paid out of the hockey service fund.

2.7. Tickets. The University shall provide Coach up to twelve (12) complimentary men's hockey season tickets each season during the Term of Employment.

2.8. Tax Consequences. All compensation under this Agreement shall be paid in accordance with the University's regular payroll procedures for professional and administrative employees, and shall be subject to withholding for applicable federal, state, and local income taxes, federal social security taxes, and other applicable taxes and deductions. It is understood that there may be personal tax consequences attributable to Coach as a result of additional compensation, benefits, and amenities provided under this Agreement or otherwise associated with Coach's employment as head coach, and that Coach is personally responsible for any and all such taxes.

2.9. Exclusive Compensation. The compensation, cash and otherwise, provided to Coach under this Article II shall constitute the total and exclusive compensation owed by the University to Coach.

III. TERMINATION

3.1. The University's Right to Terminate for Cause. Upon written notice to Coach, and subject to applicable due process requirements generally applicable to University employees in Coach's job classification, the University may, for cause, terminate this Agreement or take other appropriate disciplinary action as permitted herein. "Cause" as used in this Agreement means:

- a. A Level I or II violation of a rule of a Governing Association by or involving Coach as reasonably determined by the University, and for which the University has submitted notice to the Governing Association;
- b. A Level I or II violation of a rule of a Governing Association by an assistant coach of the Team or other individual related to the Team which, in the reasonable judgment of the University, Coach knew or should have known about with reasonable diligence and oversight, and for which the University has submitted notice to the Governing Association;
- c. Multiple Level III or IV violations of the rules of a Governing Association that, taken together, constitute a Level I or II violation as contemplated under NCAA Bylaws, Art. 19.02, as reasonably determined by the University, and for which the

University reasonably determines Coach had actual knowledge or should have known about with reasonable diligence and oversight;

- d. Failure to report any and all Level I, II, III, or IV violations of the rules of a Governing Association related to the Team, when the University reasonably determines that Coach knew or should have known about such failure with reasonable diligence and oversight;
- e. A substantial failure to perform material responsibilities under this Agreement following written notice from the Director specifying such failure and providing, where practicable, a thirty (30) day opportunity to cure such failure;
- f. Material fraud or dishonesty of Coach, as reasonably determined by the University, in the performance of his duties or responsibilities under this Agreement and/or during the hiring process;
- g. Falsifying or altering documents or records of the University or a Governing Association; or any other documents or records required to be prepared, maintained, or submitted by law, Governing Association rules, or University rules; or any other documents or records pertaining to any recruit or student-athlete; and/or assisting in such acts by any other person; as reasonably determined by the University;
- h. Failure by Coach to respond accurately and fully, within a reasonable time, to any request or inquiry relating to the performance of Coach's duties hereunder or at any other institution, propounded by the University, a Governing Association, or any other body having oversight or jurisdiction; or as required by law, Governing Association rules, or University rules; as reasonably determined by the University;
- i. Coach's instruction to any coach, student or student athlete, or other person to respond inaccurately or incompletely to any request or inquiry; including any instruction to destroy or conceal any evidence or information concerning a matter relevant to the University's students or athletic programs, or those of any other institution of higher learning; propounded by the University, a Governing Association, or any other body having oversight or jurisdiction; or as required by law, Governing Association rules or University rules; as reasonably determined by the University;
- j. Coach's soliciting, placing, or accepting a bet on any intercollegiate or professional athletic contest; Coach's expressly permitting, condoning, or encouraging any illegal gambling, bookmaking, or illegal betting involving any intercollegiate or professional athletic contest; or Coach's furnishing information or data relating in any manner to hockey or any other sport to any individual known by Coach or whom Coach should reasonably know to be involved in gambling, betting, or bookmaking;

- l.** Sale, use, or possession by Coach of any narcotics, drugs, controlled substances, steroids, or other chemicals (excluding any such substances which are prescribed by Coach's physician and taken consistent with the instructions provided by said physician) in violation of law, Governing Association rules, or University rules; or Coach's encouraging or condoning such sale, use, or possession by a student-athlete, assistant coach, Department staff member, or any other person; as reasonably determined by the University.
- m.** Use or consumption by Coach of alcoholic beverages, drugs, controlled substances, or other chemicals (excluding any such substances which are prescribed by Coach's physician, and taken consistent with the instructions provided by said physician), so as to materially impair Coach's ability to perform Coach's duties hereunder, as reasonably determined by the University;
- n.** Failure by Coach to cooperate in the enforcement of any drug testing program established by the University;
- o.** Failure by Coach to obtain prior approval for outside activities, or to accurately report all sources and amounts of income and benefits, as required by this Agreement, Governing Association rules, or University rules, as reasonably determined by the University;
- p.** Coach's refusal to obey and/or carry out any reasonable assignment or directive from the Director following written notice from the Director specifying such failure and providing, where practicable, a ten (10) day opportunity to cure such failure; or
- q.** Coach's commission of or participation in any act, situation, or occurrence involving moral turpitude or significant misconduct, which, in the University's judgment, brings Coach or the University into public disrepute, embarrassment, contempt, scandal, or ridicule to the material detriment of the University.

The University shall not be responsible to Coach for any compensation, benefit, or other payment of any kind after the date of any termination for cause under this Section 3.1.

3.2. The University's Right to Terminate Without Cause.

3.2.1. The University may terminate this Agreement at any time without cause upon thirty (30) days written notice to Coach. In such event, the University shall pay Coach a Termination Fee equal to the following: two million dollars (\$2,000,000) if the termination occurs in contract year one; one million seven hundred fifty thousand dollars (\$1,750,000) if the termination occurs in contract year 2; one million four hundred thousand dollars (\$1,400,000) if the termination occurs in contract year 3; one million dollars (\$1,000,000) if the termination occurs in contract year four; and six hundred fifty thousand dollars (\$650,000) if the termination occurs in contract year five. If the University imposes a salary reduction under Section 2.1.2 that lasts for more than ninety (90) days, the parties will meet and discuss a resolution of salary reduction. If the parties are not able to agree upon a resolution for the salary reduction, then the situation will be

considered a termination of the contract without cause under this Section 3.2. An agreement by the University to promptly end the salary reduction for Coach will be considered a resolution of the matter.

3.2.2. Any Termination Fee paid under this Section 3.2 shall be subject to withholding for applicable federal and state income taxes, federal social security taxes, and all other applicable taxes and deductions. The parties agree to discuss in good faith and use reasonable efforts to reach an understanding regarding a payment schedule for the Termination Fee that allows Coach and the University to fulfill their obligations, under the Internal Revenue Code of 1986, as amended, (the "Internal Revenue Code") and otherwise, with the goal of minimizing the tax consequences associated with the Termination Fee. In the event those discussions do not result in an agreement, then the Termination Fee will be paid as follows:

a. The first installment will be equal to the amount of federal, state, and local income tax and the amount of FICA withholding that would have been remitted by the University if there had been a payment of wages to Coach on the date of his termination equal to the income includible by Coach on the Termination Fee under Section 457(f) of the Internal Revenue Code (IRC). This installment will be paid by the University within sixty (60) days following the date of Coach's notice of termination.

b. The second and remaining installments will be paid over the remaining Term of Employment in substantially equal amounts by pay period.

3.2.3. If the University makes full payment under this Section 3.2, Coach waives the right to seek additional compensation or damages from the University. Termination under this Section 3.2 shall supersede all rights Coach may have under the Policies and Procedures including but not limited to any rights to notice or layoff programs.

3.2.4. As a condition of receipt of any payment under this Section 3.2., Coach is required to mitigate the University's obligations by making reasonable and diligent efforts, under the circumstances and opportunities then prevailing, to obtain a comparable employment position as soon as practicable following termination of employment. Coach shall notify the University promptly upon accepting any such comparable employment.

3.2.5. If Coach is employed post termination in a comparable position during what would have been the Term of Employment had this Agreement continued in effect, then any payments under this Section 3.2 shall be decreased by the amount Coach earns in the comparable position, or by the market average compensation for the comparable position, whichever is greater. Comparable positions include the following: head or assistant coach for a professional hockey program, head coach for a national hockey program (e.g., team USA, team Canada, etc.), or head coach for a Division I NCAA hockey program. The University reserves the right to seek repayment of any Excess Termination Fee. Excess Termination Fee means the excess of Termination Fee actually paid over the Termination Fee that would have been paid had payments under this

Section 3.2 been made ratably from the date of Coach's termination through the Term of Employment. This includes, but is not limited to, the right to recover any tax overpayments resulting from compliance with the IRC requirements referenced above in Section 3.2.2.a.

3.2.6. Coach agrees that, as a condition of receiving any portion of the Termination Fee under this Section 3.2, Coach or, in the case of any amounts due after Coach's death, the person to whom those amounts are payable (collectively, the "Payee") and the University must execute a release agreement in the format attached as Exhibit B.

3.2.7. For purposes of this Section 3.2, any reference to Coach's "termination of employment" by the University (or any form of the phrase "termination of employment") shall mean Coach's "separation from service" within the meaning of Section 409A of the Internal Revenue Code and Treasury Regulation Section 1.409A-1(h).

3.3. NCAA Enforcement Provisions (NCAA Bylaw 11.2.1). Notwithstanding any other provision of this Agreement to the contrary, if Coach is found in violation of any NCAA rule or regulation (following all timely appeals), Coach is subject to disciplinary or corrective actions as provided by NCAA enforcement procedures.

3.4. Disciplinary Procedure. In the event of any proposed disciplinary action, the Director shall give Coach, orally and in writing, notice of the allegations and an opportunity to present, in person, information relating to the allegations. If the Director then determines that discipline is appropriate, the Director shall, in writing, notify coach of the discipline and the reasons therefore.

3.5. Limited Liability. Subject to the terms of this Agreement, in no event shall the University be liable for the loss by Coach of any bonuses, benefits, perquisites, or income, including, but not limited to, those arising out of or relating to consulting relationships, camps, clinics, media appearances, or from any other sources whatsoever, that may ensue as a result of the University's termination of this Agreement, unless otherwise expressly stated herein. The terms of this Section 3.5 shall not release the University from its obligations to Coach under Section 3.2 of this Agreement.

3.6. Coach's Right to Terminate Without Just Cause. In the event Coach terminates this Agreement during the Term of Employment without just cause in order to accept a position of employment with an organization in the National Hockey League (NHL) (which is hereby permitted), Coach shall pay the University a Termination Fee as follows: two million dollars (\$2,000,000) if termination occurs in contract year one; one million seven hundred fifty thousand dollars (\$1,750,000) if termination occurs in contract year two; one million dollars (\$1,000,000) if termination occurs in contract year 3; and Coach's current annual salary at the time of termination if termination occurs in contract year 4 or 5. In the event Coach terminates this Agreement during the Term of Employment without just cause in order to accept a position of employment at another college or university, or to accept a comparable position of the type described above in Section 3.2.5, (which is hereby permitted), Coach shall pay the University a Termination Fee as follows: two million dollars (\$2,000,000) if termination occurs in contract year one; one million seven hundred fifty thousand dollars (\$1,750,000) if termination occurs in

contract year two; one million four hundred thousand dollars (\$1,400,000) if termination occurs in contract year three; one million dollars (\$1,000,000) if termination occurs in contract year four; and six hundred fifty thousand dollars (\$650,000) if termination occurs in contract year five. Any payment under this Section 3.6 shall be made no later than sixty (60) days from the date of notice of termination. The University will not be responsible for any payments or benefits under this Agreement after the date of any termination under this Section 3.6. The University and Coach agree to execute a release agreement in the form attached as Exhibit C in connection with this payment.

IV. REVIEW

The Parties agree that within sixty (60) days of the end of contract year one they will engage in a good faith review of the contract terms and provisions, including but not limited to the Term of Employment and contract guarantee provisions. Neither Party is obligated to make or accept any changes to the Agreement in connection with this review.

V. BOARD APPROVAL AND BACKGROUND CHECK

The parties acknowledge and agree that this Agreement is subject to formal approval by the University's Board of Regents (BOR), and the completion of a background check and NCAA review by the University. This Agreement shall not be final or binding until formally approved by the BOR, and until the background check and NCAA review are completed. If the BOR does not approve the Agreement, or if the background check or NCAA review reveal significant negative information, as determined by the University, then there will be no agreement between the parties, and the parties will have no further rights or obligations towards one another, under this Agreement or otherwise (e.g., no right to any additional notice, no right to employment, no right to any ongoing compensation or benefits, no termination rights, and no right to any Termination Fee or payout.).

VI. PROVISIONS OF GENERAL APPLICATION

6.1. Agreement Renewal. Prior to the end of the Term of Employment, Coach will be given notice of the renewal or nonrenewal of this Agreement and the terms of any renewal. If the Agreement is not renewed, Coach shall be given ninety (90) days' notice of non-renewal and if such notice is not given ninety (90) days before the end of the term, the Agreement shall be extended to cover the notice period. This provision shall supersede all rights under University Policies and Procedures including but not limited to any notice requirements or layoff programs.

6.2. Report of Athletically Related Income (NCAA Bylaw 11.2.2.). The University and Coach hereby stipulate that Coach shall annually provide to the Director a written detailed account of all Coach's athletically related income and benefits from sources outside the University including, but not limited to, the following:

- a. Annuity income related in any way to Coach's coaching, recruiting, or educational duties at the University;
- b. Sports camps;

- c. Housing benefits (including preferential housing arrangements);
- d. Country club memberships;
- e. Complimentary ticket sales;
- f. Television and radio programs; or
- g. Endorsement or consultation contracts with athletic shoe, apparel, or equipment manufacturers.

In addition, Coach shall comply with University of Minnesota policy and procedures regarding "Outside Consulting and Commitments by Intercollegiate Athletic Staff." The policies and procedures include, but are not limited to, receiving prior approval for any endorsement of a product or service, use of University trademarks and outside consulting commitments.

6.3. Notices/Administration. All notices, requests, and other communications from one of the parties to the other shall be in writing and, except as otherwise provided herein, shall be considered to have been duly given or served if sent by United States mail, first-class, certified or registered, postage pre-paid, return receipt requested, to the respective party at his or its address set forth below or to such other address set forth below or to such other address as such party may hereafter designate by notice to the other:

As to Coach:	Robert Motzko 3M Arena at Mariucci 1901 4 th Street SE Office #121 Minneapolis, MN 55455
As to the University:	University of Minnesota Department of Intercollegiate Athletics Attention: Director of Athletics 516 15th Avenue S.E. Minneapolis, MN 55455
With copy to:	University of Minnesota Office of the General Counsel Attention: General Counsel 360 McNamara Alumni Center 200 Oak Street S.E. Minneapolis, MN 55455-2006

6.4. Amendment. Any amendment to this Agreement shall be in writing executed and delivered by the parties.

6.5. Parties in Interest/Assignment. This Agreement shall be binding upon and the benefits and obligations provided for herein shall inure to the parties hereto and their respective

heirs, legal representatives, successors, assigns, transferees, or donees, as the case may be. No portion of this Agreement shall be assignable without the prior written consent of the other party.

6.6. Effect of Prior Agreements. This Agreement is intended by the parties as the final and binding expression of their contract and agreement and as the complete and exclusive statement of the terms thereof. This Agreement supersedes and revokes all prior negotiations, representations, and agreements, whether oral or written, relating to the subject matter hereof, except as expressly provided herein.

6.7. Enforceability. If any provision contained herein shall be deemed or declared unenforceable, invalid, or void, the same shall not impair any of the other provisions contained herein, which shall be enforced in accordance with their respective terms.

6.8. Construction. The headings preceding and labeling the sections of this Agreement are for the purpose of identification only and shall not in any event be employed or used for the purpose of construction or interpretation of any portion of this Agreement. No waiver by any party of any default or nonperformance hereunder shall be deemed a waiver of any subsequent default or nonperformance. As used herein and where necessary, the singular shall include the plural and vice versa, and masculine, feminine, and neuter expressions shall be interchangeable.

6.9. Applicable Law. The laws of the state of Minnesota shall govern this Agreement and any construction or interpretation thereof.

IN WITNESS WHEREOF, the undersigned have caused this Agreement to be executed as of the date first shown above.

COACH

Date:

5/4/18


Robert Motzko
Coach

**REGENTS OF THE UNIVERSITY OF
MINNESOTA**

Date:

5/8/18

By:

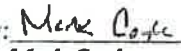

Eric W. Kaler
President

Recommended for Approval:

Date:

5/4/18

By:


Mark Coyle
Director of Athletics

Approved as to Form and Legality:

Date:

May 8, 2018

By:


Doug Peterson
General Counsel

EXHIBIT A

SCHEDULE OF INCENTIVES

In lieu of any other performance-based bonus plan the University may adopt for sports coaches or other University employees, the University shall pay Coach the following annual incentive bonuses, consistent with the requirements of Section 2.3 and all other terms of this Agreement:

I. Incentive compensation for achieving athletic performance goals as follows:

A. NCAA Tournament. For each year the Team shall play in the NCAA Championship Tournament during the Term of Employment, the University shall pay Coach as follows:

- i. Winning the NCAA National Championship – Seventy Five Thousand Dollars (\$75,000).
- ii. Playing in the NCAA Frozen Four/National Semi-Final - Fifty Thousand Dollars (\$50,000).
- iii. Playing in the NCAA Regional Final – Thirty Thousand Dollars (\$30,000).
- iv. An invitation to play in the NCAA Championship Tournament – Fifteen Thousand Dollars (\$15,000).

Coach shall receive the highest single bonus amount achieved under this Section I.A. Bonus amounts under this Section I.A. are not cumulative.

B. Conference Finish. The University shall pay Coach a bonus based upon the Team's Conference finish for the season that concludes during each year of the Term of Employment as follows:

- i. Conference Regular Season Champion – Thirty Thousand Dollars (\$30,000).
- ii. Conference Tournament Champions – Fifteen Thousand Dollars (\$15,000).

Bonus amounts under this Section I.B. are cumulative.

C. Coach of the Year Honors. The University shall pay coach a bonus for being named Coach of the Year for any season that concludes during the Term of Employment as follows:

- i. For being named National Coach of the Year by the American Hockey Coaches Association - Ten Thousand Dollars (\$10,000).
- ii. For being named Big Ten Coach of the Year by the Big Ten Conference – Five Thousand Dollars (\$5,000).

Bonus amounts under this Section I.C. are cumulative

II. Incentive compensation for achieving academic goals as follows:

A. Academic Performance - APR. The University shall pay Coach a bonus based upon the annual Academic Progress Rate (“APR”) for the Team as established each year during the Term of Employment by the NCAA, beginning with the 2018-2019 academic year, as follows:

- i. APR greater than or equal to 960 – Fifteen Thousand Dollars (\$15,000).
- ii. APR greater than or equal to 980 – Twenty Thousand Dollars (\$20,000).
- iii. APR greater than or equal to 1000 – Twenty Five Thousand Dollars (\$25,000).

Coach shall receive the highest single bonus amount achieved under this Section II.A. Bonus amounts under this Section II.A. are not cumulative.

B. Cumulative Grade Point Average. The University shall pay Coach a bonus based upon the Cumulative Grade Point Average (GPA) for the Team as determined each year by the University, beginning with the 2018-2019 academic year, as follows:

- i. Cumulative Team GPA of 3.0 or above – Five Thousand Dollars (\$5,000)
- ii. Cumulative Team GPA of 3.2 or above – Seven Thousand Five Hundred Dollars (\$7,500)

Coach shall receive the highest single bonus amount achieved under this Section II.B. Bonus amounts under this Section II.B. are not cumulative.

EXHIBIT B

RELEASE AGREEMENT

THIS RELEASE AGREEMENT (the "Agreement") is entered into and made effective on this ____ day of _____ 20__ between Regents of the University of Minnesota ("University"), on behalf of its Department of Intercollegiate Athletics ("Department"), and Robert Motzko ("Coach"). The parties identified above may be referred to herein collectively as the "Parties," and any individual party identified above may be referred to herein as a "Party."

WITNESSETH

WHEREAS, the Parties entered into an agreement entitled, "Employment Agreement," made effective as of _____, 2018 (the "Employment Agreement"); and

WHEREAS, the University formerly employed Coach as the head coach of the University's intercollegiate men's hockey team; and

WHEREAS, the University has terminated Coach without cause under the terms of the Employment Agreement; and

WHEREAS, pursuant to the Employment Agreement, the University is obligated, subject to the terms and conditions found therein, to make certain payments to Coach; and

WHEREAS, as one condition precedent to the University's payment obligations to Coach, Coach must execute a release in favor of the University;

NOW, THEREFORE, in consideration of the promises herein and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

1. Release: Coach hereby irrevocably and unconditionally releases, acquits, and forever discharges the University and each of its trustees, officers, representatives, and divisions, (collectively, the "Releasees"), from any and all charges, complaints, claims, liabilities, obligations, promises, agreements, controversies, damages, actions, causes of action, suits, rights, demands, costs, losses, debts, and expenses of any nature whatsoever, known or unknown, suspected or unsuspected, including, but not limited to, rights arising out of alleged violations or breaches of any contracts, express or implied, or any tort, or any legal restrictions on the University's rights to terminate employees, or any federal, state or other governmental statute, regulation, or ordinance, including but not limited to the Minnesota Human Rights Act, Title VII of the Civil Rights Act of 1964, the Americans with Disabilities Act, the Age Discrimination in Employment Act, and any other law (the "Claim" or "Claims"), which Coach now has, owns or holds, or claims to have, own or hold, or which Coach at any time heretofore had, owned or held, or claimed to have, had, owned or held, against each or any of the Releasees at any time up to and including the date of this Agreement, which is stated above. It is Coach's express intent that

this release be as broad and general as the law permits. However, it does not include claims for worker's compensation.

2. Prohibition Against Litigation. Except as prohibited by law, in consideration of the benefits conferred by this Agreement and by the Employment Agreement, Coach will not sue any of the Releasees on any of the released Claims or join as a party with others who may sue on any such Claims.

3. Representations and Warranties. Coach hereby represents and warrants that he has not filed, nor has he assigned to others the right to file, any complaints, charges, or lawsuits against any of the Releasees with any governmental agency or any court, and that Coach will not file, assign to others the right to file, or make any further claims against the Releasees at any time hereafter for actions taken up to and including the effective date of this Agreement, which is stated above.

4. Representations Regarding Existing Claims. Coach acknowledges and represents that he has no knowledge of any actions or inactions by any of the Releasees that he believes would constitute basis for a claimed violation of any federal, state, or local law, any common law, or any rule promulgated by an administrative body.

5. Governing Law. The laws of the state of Minnesota shall govern this Agreement and any construction or interpretation thereof.

6. MHRA Rescission Period. As required by law, this paragraph provides Coach with notice that the release contained in this agreement applies to claims arising under the Minnesota Human Rights Act (MHRA). Coach may rescind the release of MHRA claims within fifteen (15) calendar days of the date of Coach's signature on this Agreement. To be effective, the rescission must be in writing and delivered to: Douglas R. Peterson, General Counsel, Office of the General Counsel, 360 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, MN 55455. The rescission may be delivered by hand or by mail. If delivered by mail, the rescission must be postmarked within the 15-day period; properly addressed; and sent by certified mail, return receipt requested. Otherwise, the rescission will not be effective. If Coach rescinds the release, or any portion of the release, each and every provision of this agreement shall immediately become null and void.

7. ADEA Rescission Period. As required by law, this paragraph provides Coach with notice that the release contained in this Agreement applies to claims arising under the Age Discrimination in Employment Act (ADEA). Coach may rescind the release of ADEA claims within seven (7) calendar days of the date of Coach's signature on this Agreement. To be effective, the rescission must be in writing and delivered to: Douglas R. Peterson, General Counsel, Office of the General Counsel, 360 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, MN 55455. The rescission may be delivered by hand or by mail. If delivered by mail, the rescission must be postmarked within the 7-day period; properly addressed; and sent by certified mail, return receipt requested. Otherwise, the rescission will not be effective. If Coach rescinds the release, or any portion of the release, each and every provision of this Agreement shall immediately become null and void.

8. 21-Day Consideration Period. Coach understands that, by law, Coach may take up to twenty-one (21) days to consider the terms of this Agreement before signing it. Coach further understands that Coach may choose to sign the Agreement prior to the expiration of the twenty-one (21) day consideration period. If Coach chooses to sign the Agreement prior to the expiration of the twenty-one (21) day consideration period, Coach will waive the remainder of the twenty-one (21) day consideration period.

9 Right to Consult with Legal Counsel. Coach is notified by this paragraph that he has the right to consult with legal counsel regarding this Agreement before signing. Coach affirms that he has executed that right to the full extent Coach deemed necessary prior to signing.

10. Counterparts; Facsimiles. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. For purposes of executing the Agreement, a document signed and transmitted by facsimile machine, electronic mail, or other commercially accepted electronic or mechanical means is to be treated as an original document.

11. Entire Agreement. This Agreement contains the entire agreement of the Parties with respect to the matters set forth herein, except the agreement contained in Section 3.2 of the Employment Agreement requiring Coach to mitigate his damages and/or to show good-faith efforts to mitigate, which provision is hereby incorporated by reference as if fully set forth word-for-word herein. Coach shall report on a regular basis, which shall be no less frequent than once per calendar quarter, a detailed description of his efforts to mitigate, and, if Coach obtains any other type of employment, the quarterly reports shall show the dates of employment and the total compensation level. Other than the matters set forth in this Agreement, including this Paragraph 11, there are no agreements, either written or oral, other than those set forth herein with regard to the subject matter of this Agreement.

12. Severability. Each provision of this Agreement is severable from all other provisions of the Agreement. If any governmental authority having jurisdiction over the matters herein determines, during or at the conclusion of any litigation, that any provision of the Agreement will be invalid or unenforceable, the provision will be deemed modified only to the extent necessary to render it valid and enforceable, and all remaining provisions of the Agreement will remain in full force and effect.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement, as of the day and year first above written.

Regents of the University of Minnesota

Coach

By: _____

Robert Motzko

(print name and title)

EXHIBIT C

RELEASE AGREEMENT

THIS RELEASE AGREEMENT (the "Agreement") is entered into and made effective on this ____ day of _____ 20__ between Regents of the University of Minnesota ("University"), on behalf of its Department of Intercollegiate Athletics ("Department"), and Robert Motzko ("Coach"). The parties identified above may be referred to herein collectively as the "Parties," and any individual party identified above may be referred to herein as a "Party."

WITNESSETH

WHEREAS, the Parties entered into an agreement entitled, "Employment Agreement," made effective as of _____, 2018 (the "Employment Agreement"); and

WHEREAS, the University formerly employed Coach as the head coach of the University's intercollegiate men's hockey team; and

WHEREAS, Coach has terminated the Employment Agreement without just cause under the terms of the Employment Agreement; and

WHEREAS, pursuant to the Employment Agreement, Coach is obligated, subject to the terms and conditions found therein, to make certain payments to the University; and

WHEREAS, as one condition precedent to Coach's payment obligations to the University, the University must execute a release in favor of Coach;

NOW, THEREFORE, in consideration of the premises herein and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

1. Release. The University hereby irrevocably and unconditionally releases, acquits, and forever discharges Coach, his estate, and each of their representatives (collectively, the "Releasees") from any and all charges, complaints, claims, liabilities, obligations, promises, agreements, controversies, damages, actions, causes of action, suits, rights, demands, costs, losses, debts, and expenses of any nature whatsoever, known or unknown, suspected or unsuspected, including, but not limited to, rights arising out of alleged violations or breaches of any contracts, express or implied, or any tort, or any legal restrictions on the Coach's right to terminate the Employment Agreement (the "Claim" or "Claims"), which the University now has, owns or holds, or claims to have, own or hold, or which the University at any time heretofore had, owned or held, or claimed to have, had, owned or held, against each or any of the Releasees at any time up to and including the effective date of this Agreement, which is stated above.

2. Prohibition Against Litigation. Except as prohibited by law, in consideration of the benefits conferred by this Agreement and by the Employment Agreement, the University will

not sue any of the Releasees on any of the released Claims or join as a party with others who may sue on any such Claims.

3. Representations and Warranties. The University hereby represents and warrants that it has not filed, nor has it assigned to others the right to file, any complaints, charges, or lawsuits against any of the Releasees with any governmental agency or any court, and that the University will not file, assign to others the right to file, or make any further claims against the Releasees at any time hereafter for actions taken up to and including the effective date of this Agreement, which is stated above.

4. Representations Regarding Existing Claims. The University acknowledges and represents that it has no knowledge of any actions or inactions by any of the Releasees that it believes would constitute basis for a claimed violation of any federal, state, or local law, any common law, or any rule promulgated by an administrative body.

5. Governing Law. The laws of the state of Minnesota shall govern this Agreement and any construction or interpretation thereof.

6. Counterparts; Facsimiles. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. For purposes of executing the Agreement, a document signed and transmitted by facsimile machine, electronic mail, or other commercially accepted electronic or mechanical means is to be treated as an original document.

7. Entire Agreement. This Agreement contains the entire agreement of the Parties with respect to the matters set forth herein, except the agreement contained in Section 3.6 of the Employment Agreement, which provision is hereby incorporated by reference as if fully set forth word-for-word herein, and cannot be altered or modified except by an agreement in writing signed by both Parties.

8. Severability. Each provision of this Agreement is severable from all other provisions of the Agreement. If any governmental authority having jurisdiction over the matters herein determines, during or at the conclusion of any litigation, that any provision of the Agreement will be invalid or unenforceable, the provision will be deemed modified only to the extent necessary to render it valid and enforceable, and all remaining provisions of the Agreement will remain in full force and effect.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement, as of the day and year first above written.

Regents of the University of Minnesota

Coach

By: _____

Robert Motzko

(print name and title)

Finance & Operations Committee
Consent Report
May 10, 2018

Position Overview – Head Coach, Women’s Basketball

Head women’s basketball coach is a leadership position reporting directly to the executive associate athletics director/senior woman administrator and the athletics director. The head women’s basketball coach must be able to build and maintain a women’s basketball program that achieves at high levels academically, athletically and socially. As one of the most visible head coaches among the more than 20 head coaches within Intercollegiate Athletics (ICA), the head women’s basketball coach must also be a strong collaborator, working with ICA staff and campus leadership as an advocate for the women’s basketball program and to advance the missions of ICA and the University.

It is expected the head women’s basketball coach will oversee all aspects of the women’s basketball program and its operations. These expectations include:

- developing and maintaining a competitive intercollegiate women’s basketball program within the NCAA and Big Ten Conference;
- leading the recruitment/hiring, goal setting, coaching and performance review of all women’s basketball staff;
- instructing and teaching student-athletes in fundamentals of basketball technique and strategy;
- directing all aspects of women’s basketball staff in recruiting, practice and game competitions;
- planning and executing practice and competition plans, including the evaluation of opponents;
- evaluating and recruiting qualified student-athletes to the University of Minnesota whose athletic skills make them highly competitive in the Big Ten and whose academic abilities make them good candidates for a meaningful academic experience and graduation from the University of Minnesota;
- performing significant administrative responsibilities, including budget planning and management, scheduling of competitions and planning and executing team travel;
- providing opportunities for student-athletes to develop life and leadership skills;
- assisting in monitoring the academic performance of student-athletes to help them achieve full potential;
- establishing and maintaining effective relationships within the athletics department, the University, community, booster clubs, alumni, high school coaches, media and the general public;
- assisting with departmental or University fund raising and public relations;
- representing the women’s basketball program in fulfilling contacts with or requests from, the news media, including appearances on radio and television;
- assisting in advancing the department's mission, vision, and strategic plan, including its commitment to equity and diversity;

- adhering to all rules and regulations of the department, the University, the Big Ten Conference, and the NCAA; and,
- representing in a positive fashion the University and its athletic programs in private and public forums.

Appointee Background and Qualifications

Lindsay Whalen returns to the University of Minnesota as the head women's basketball coach 14 years after she led the Gopher women's basketball program to its first (and only) Final Four in 2004 as the team's starting point guard. In the time since that magical run, she has established herself as one of the greatest players in the world while playing for (and learning from) some of the most heralded coaches in the sport.

When Coach Whalen graduated from the University, she had destroyed the women's basketball program's record books, finishing her career as Minnesota's all-time points leader, along with being second all-time in assists and third all-time in steals. Her abilities as a player and a leader were catalysts for the most successful seasons in the history of Gopher women's basketball. She was the first three-time All-American in program history, a three-time All-Big Ten selection and a three-time Academic All-Big Ten honoree. She led the team not only to the Final Four in 2004, but also the Sweet Sixteen the prior year (2003). Coach Whalen's jersey, No. 13, hangs in the rafters of Williams Arena.

As a professional and as a member of the U.S Women's National team, Coach Whalen has collected numerous honors and championships. After playing five seasons with the Connecticut Sun, Coach Whalen returned to her home state with the Minnesota Lynx and has since won four WNBA championships (2011, '13, '15, '17). She has been named All-WNBA five times and is currently third in WNBA history in assists, to go along with being in the top-20 in career points and steals. Last year, she became the winningest player in WNBA history, having now been a part of more than 300 wins in the league. She also played professionally in Turkey, Russia and the Czech Republic.

In international competition, Coach Whalen has been a key piece to dominating performances by Team USA in world championship and Olympic competition. Whalen played for two gold medal teams at the World Championships (2010, '14) and has also won two Olympic gold medals (2012, '16).

During her career, Coach Whalen has played for and learned directly from legendary coaches Geno Auriemma (four gold medals, 11 women's basketball national titles), Cheryl Reeve (six-time WNBA champion as coach, two-time WNBA Coach of the Year) and Mike Thibault (two-time NBA champion and gold medal winner as assistant, three-time WNBA Coach of the Year).

As part of her agreement to become head women's basketball coach that is pending the Board of Regents approval, Coach Whalen will continue to play for the WNBA's Minnesota Lynx. She announced her retirement from international competition in February.

EMPLOYMENT AGREEMENT

This EMPLOYMENT AGREEMENT ("Agreement") is entered into by and between Regents of the University of Minnesota ("University"), on behalf of its Department of Intercollegiate Athletics ("Department"), and Lindsay Whalen ("Coach"). Effective April 13, 2018, this Agreement supersedes all prior agreements and governs the relationship between the parties.

WHEREAS, subject to the terms and conditions of this Agreement, the University desires to employ Coach as head coach of its intercollegiate women's basketball team at the University's Twin Cities campus ("Team"), and Coach is willing to accept the position and perform the services and duties as head coach for the Team;

NOW, THEREFORE, in consideration of the mutual promises and covenants contained in this Agreement, and such other good and valuable consideration the receipt and sufficiency of which the parties hereby acknowledge, the parties agree as follows:

I. EMPLOYMENT TERM AND DUTIES

1.1. Employment Term. Subject to the terms and conditions of this Agreement, the University hereby employs Coach as head coach of the Team, and Coach agrees to be so employed by the University, for a term commencing on April 13, 2018, and ending on April 12, 2023 ("Term of Employment"). As used in this Agreement, "contract year" refers to the period from April 12 through the following April 11 (e.g., contract year one is April 12, 2018, through April 11, 2019; contract year two is April 12, 2019, through April 11, 2020; and so on).

1.1.1. From April 13, 2018, through the end of the Minnesota Lynx 2018 season or September 16, 2018, whichever is sooner, Coach's head coach position will be part-time, at the rate of effort of .625 FTE (the "Part-Time Period"). During the Part-Time Period, Coach will continue to play as a member of the Minnesota Lynx in the Women's National Basketball Association (WNBA).

1.1.2. The parties acknowledge and understand that Coach will have duties and obligations to the Minnesota Lynx during the Part-Time Period. The Parties also acknowledge that Coach will remain obligated to fulfill her obligations to the University as head coach of the Team under this Agreement during the Part-Time Period, including obligations arising under University policy, Department policy, NCAA rules and regulations, and other applicable policies, provisions, rules, and regulations. It is Coach's responsibility to understand and abide by applicable rules, regulations, provisions, and policies. If at any time Coach is not sure of her obligations under a particular rule, regulation, provisions, or policy; or has questions regarding the relationship between her duties and obligations under this Agreement and her duties and obligations as a member of the Minnesota Lynx, it is Coach's responsibility to consult with the University regarding the situation.

1.1.3. After the conclusion of the Part-Time Period, through the remainder of the Term of Employment, Coach will devote her full-time effort to her duties as head coach of the Team.

1.2. Duties.

1.2.1. Throughout the Term of Employment, including the Part-Time Period, Coach shall diligently and conscientiously devote Coach's attention and efforts, part-time during the Part-Time Period and full-time after the Part-Time Period, to performing and discharging the usual and customary duties of a head coach of a National Collegiate Athletic Association ("NCAA") Division I women's basketball team, including, but not limited to, the following duties:

- a. Conducting usual and customary coaching activities;
- b. Recruiting and managing the recruitment of student-athletes;
- c. Supervising, evaluating, training, and coaching student-athletes in an effort to develop their character, and compete against major college competition;

- d. Being responsible for all customary coaching decisions, including systems and strategies used on the court (both in practice and actual game play), conduct of practice and training, selection of team members, deployment of players, and all other matters involving women's basketball operations;
- e. Diligently seeking to foster the academic progress of the student-athletes in the program;
- f. Diligently seeking to maintain conduct (both on and off the court) and enforce disciplinary rules and sanctions fairly and uniformly for all student-athletes in the program in order to encourage academic and moral integrity and excellence;
- g. Representing the University, the Department, and the Team, and cooperating with the Department in fulfilling contacts with or requests from the news media, including appearances on radio and television, but recognizing the greater importance of coaching activities;
- h. Assisting with Department or University fund raising and public relations;
- i. Representing in a positive fashion the University and its athletic programs at all times, in all forums;
- j. Consistent with University policy and the authority of the University's Director of Intercollegiate Athletics ("Director"), supervising assistant coaches and support staff for the women's basketball program;
- k. Preparing and administering the budget for the women's basketball program, subject to the authority and approval of the Director; and
- l. Performing such other duties normally associated with those of a head coach of a high-level NCAA Division I women's basketball team, as reasonably requested by the Director or the Director's designee.

1.2.2. Except as otherwise expressly permitted in this Agreement, Coach shall not engage in any other business activity or be employed by any other person, firm, or entity, whether or not such activity is pursued for gain, profit, or other pecuniary benefit, without the University's prior written consent; provided, however, subject to NCAA and University rules,

during each year of the Term of Employment, Coach may conduct summer basketball camps. The University's consent will not be unreasonably withheld.

1.2.3. Coach shall not undertake commercial endorsements without the prior written consent of the University (not to be unreasonably withheld, delayed, or unreasonably conditioned). Coach shall not engage in any activity, if identified as the head coach of the Team, that directly implies approval or endorsement of any good or service, including, but not limited to, the wearing of garments which display a manufacturer's trademark, name, or other logo, unless such activity is first approved in writing by the Director. For example, Coach acknowledges that the University has entered into a MultiSport Agreement with Nike USA Inc. that includes the Team. Coach shall comply with the University's obligations under the Nike Agreement.

1.2.4. Coach shall not appear on radio, television, or any other media in return for a fee, in cash or in kind, without the prior written consent of the University (not to be unreasonably withheld, delayed, or unreasonably conditioned). If an opportunity presents itself in her capacity as Coach and time is of the essence, Coach will immediately contact the Athletic Director. If Athletic Director is not available for approval, Coach will contact the Executive Associate Athletic Director to seek written approval.

1.3. Classification. Coach's employment is a professional appointment subject to the policies and procedures applicable to University Academic Professional and Administrative Employees ("Policies and Procedures"), as amended from time to time. In the event of a conflict between the terms of this Agreement and the terms of the Policies and Procedures, the terms of this Agreement shall govern.

1.4. Compliance. Throughout the term of this Agreement, in conjunction with the University's compliance office, Coach shall use Coach's diligent efforts to comply with the current and hereafter enacted or promulgated laws, policies, rules, and regulations governing the University and its employees, and the current and hereafter enacted or promulgated constitution, bylaws, rules, and regulations of the NCAA, the Big Ten Conference ("Big Ten"), and any other

conference or organization with which the University becomes associated or which affects intercollegiate athletics (individually or collectively, "Governing Associations"). Coach shall use Coach's best efforts to ensure that all assistant coaches of the Team, any other University employee for whom Coach is administratively responsible, and representatives of the University's athletic interests comply with the foregoing laws, policies, rules, regulations, constitutions, and bylaws. Coach shall diligently seek to promote an atmosphere of compliance within the women's basketball program and shall monitor the activities of all assistant coaches and administrators involved with the program who report, directly or indirectly, to Coach.

1.5. Other Employment. During the term of this Agreement, Coach agrees not to personally, or through any agent or other representation, seek, negotiate, or accept other full-time employment without first having provided at least forty eight (48) hours advance written notice to the Director.

1.6. Hiring Authority. Coach understands and acknowledges that Coach will not have authority to unilaterally make or accept offers of employment for assistant coaches or other support staff, and that ultimate authority over such hiring decisions rests with the Director. Coach further understands and acknowledges that all women's basketball program hires, including Coach's hire, are subject to and contingent upon a review of the applicant's background and experience, including any history of NCAA violations, to be conducted by the University. Coach agrees not to make any representation to potential hires, applicants, or anyone else that is contrary to the provisions of this paragraph.

II. COMPENSATION

2.1. Base Salary.

2.1.1. Subject to the terms of this Agreement, for all services rendered by Coach on behalf of the University, Coach's annual full-time equivalent base salary shall be:

- Four hundred thousand dollars (\$400,000) for the 2018-2019 contract year;

- Four hundred fifty seven thousand five hundred dollars (\$457,500) for the 2019-2020 contract year;
- Four hundred ninety six thousand five hundred dollars (\$496,500) for the 2020-21 contract year;
- Five hundred twenty one thousand three hundred twenty five dollars (\$521,325) for the 2021-2022 contract year; and
- Five hundred forty seven thousand three hundred ninety one dollars (\$547,391) for contract year 2022-2023.

2.1.2. Coach's base salary is subject to furloughs, pay freezes, salary reductions or other similar or related adjustments to the same extent they may be required from time to time of other employees in the Department.

2.2. Incentive Compensation.

2.2.1. The University shall pay Coach incentive compensation as provided in the Schedule of Incentives attached hereto as Exhibit A.

2.2.2. The University shall make payment to Coach for competition-related bonuses within thirty (30) days of being earned, and for all other bonuses on or before August 1 of each contract year. No bonus under this section will be earned or paid unless/until the University has determined that the conditions related to the payment have been met, including Coach's compliance with the material terms of this Agreement and any other conditions set forth in the Schedule of Incentives. Further, no competition-related bonus will be earned or paid unless Coach is employed as head coach of the Team on the final day of the regular season or any post-season play for each season, whichever is later; and no other bonus will be earned or paid unless Coach is employed on the final day of classes for the University's spring semester each academic year.

2.3. Benefits. After the conclusion of the Part-Time Period, and unless inconsistent with the terms of this Agreement, the University shall provide Coach with a benefit program as

provided generally for its professional and administrative employees, as described in and in accordance with the Policies and Procedures. Notwithstanding any provision of this Agreement to the contrary, the amount of the benefits shall be determined based upon Coach's annual base salary, and shall not take into account any other compensation provided under this Agreement.

2.4. Automobile. Subject to University policy applicable generally to its coaches of intercollegiate athletics, the University shall provide Coach the use of an automobile throughout the Term of Employment.

2.5. Travel. The University shall cover reasonable travel and lodging expenses for Coach's spouse to accompany Coach for any NCAA Women's Basketball Tournament in which the Team is playing, and any conference tournament in which the Team is playing. It is understood that any expenses under this section must be paid out of the women's basketball service fund.

2.6. Tickets. The University shall provide Coach access to the following tickets each season to University of Minnesota Gopher athletic events: up to ten (10) complimentary Women's Basketball season tickets; up to four (4) season tickets to home Men's Basketball games; up to four (4) season tickets to home Football games; and up to four (4) season tickets to home Women's and Men's Hockey games. Coach shall be entitled to up to twenty (20) tickets to Big Ten Tournament games; NCAA Tournament games, including the Final Four; and any other post-season basketball tournament games in which the Team participates. The University shall provide to each of the three assistant coaches and the director of operations for the Team four (4), or a quantity to accommodate the immediate family members of the coach or director, season tickets to Women's Basketball, four (4) season tickets to home Football games, and eight (8) tickets to Big Ten Tournament games; NCAA Tournament games, including the Final Four; and any other post-season basketball tournament games in which the Team participates.

2.7. Tax Consequences. All compensation under this Agreement shall be paid in accordance with the University's regular payroll procedures for professional and administrative employees, and shall be subject to withholding for applicable federal, state, and local income

taxes, federal social security taxes, and other applicable taxes and deductions. It is understood that there may be personal tax consequences attributable to Coach as a result of additional compensation, benefits, and amenities provided under this Agreement or otherwise associated with Coach's employment as head coach, and that Coach is personally responsible for any and all such taxes.

2.8. Exclusive Compensation. The compensation, cash and otherwise, provided to Coach under this Article II shall constitute the total and exclusive compensation owed by the University to Coach.

III. TERMINATION

3.1. The University's Right to Terminate for Cause. Upon written notice to Coach, the University may, for cause, terminate this Agreement or take other appropriate disciplinary action as permitted herein. "Cause" as used in this Agreement shall include, but not be limited to, the following conduct:

a. A Level I or II violation of a rule of a Governing Association by or involving Coach as reasonably determined by the University, and for which the University has submitted notice to the Governing Association;

b. A Level I or II violation of a rule of a Governing Association by an assistant coach of the Team or other individual related to the Team which, in the reasonable judgment of the University, Coach knew or should have known about with reasonable diligence and oversight, and for which the University has submitted notice to the Governing Association;

c. Multiple Level III or IV violations of the rules of a Governing Association, as reasonably determined by the University, and for which the University reasonably determines Coach had actual knowledge or should have known about with reasonable diligence and oversight;

d. Failure to report any and all Level I, II, III, or IV violations of the rules of a Governing Association related to the Team, when the University reasonably determines

that Coach knew or should have known about such failure with reasonable diligence and oversight;

e. A substantial failure to perform material responsibilities under this Agreement following written notice from the Director specifying such failure and providing, where practicable, a twenty (20) day opportunity to cure such failure;

f. Material fraud or dishonesty of Coach, as reasonably determined by the University, in the performance of Coach's duties or responsibilities under this Agreement and/or during the hiring process;

g. Falsifying or altering documents or records of the University or a Governing Association; or any other documents or records required to be prepared, maintained, or submitted by law, Governing Association rules, or University rules; or any other documents or records pertaining to any recruit or student-athlete; and/or assisting in such acts by any other person; as reasonably determined by the University;

h. Failure by Coach to respond accurately and fully, within a reasonable time, to any request or inquiry relating to the performance of Coach's duties hereunder or at any other institution, propounded by the University, a Governing Association, or any other body having oversight or jurisdiction; or as required by law, Governing Association rules, or University rules; as reasonably determined by the University;

i. Coach's instruction to any coach, student or student athlete, or other person to respond inaccurately or incompletely to any request or inquiry; including any instruction to destroy or conceal any evidence or information concerning a matter relevant to the University's students or athletic programs, or those of any other institution of higher learning; propounded by the University, a Governing Association, or any other body having oversight or jurisdiction; or as required by law, Governing Association rules or University rules; as reasonably determined by the University;

j. Coach's soliciting, placing, or accepting a bet on any intercollegiate or professional athletic contest; Coach's expressly permitting, condoning, or encouraging any illegal gambling, bookmaking, or illegal betting involving any intercollegiate or professional athletic contest; or Coach's furnishing information or data relating in any manner to women's basketball or any other sport to any individual known by Coach or

whom Coach should reasonably know to be involved in gambling, betting, or bookmaking;

k. Sale, use, or possession by Coach of any narcotics, drugs, controlled substances, steroids, or other chemicals (excluding any such substances which are prescribed by Coach's physician and taken consistent with the instructions provided by said physician) in violation of law, Governing Association rules, or University rules; or Coach's encouraging or condoning such sale, use, or possession by a student-athlete, assistant coach, Department staff member, or any other person; as reasonably determined by the University.

l. Use or consumption by Coach of alcoholic beverages, drugs, controlled substances, or other chemicals (excluding any such substances which are prescribed by Coach's physician, and taken consistent with the instructions provided by said physician), so as to materially impair Coach's ability to perform Coach's duties hereunder, as reasonably determined by the University;

m. Failure by Coach to cooperate in the enforcement of any drug testing program established by the University;

n. Failure by Coach to obtain prior approval for outside activities, or to accurately report all sources and amounts of income and benefits, as required by this Agreement, Governing Association rules, or University rules, as reasonably determined by the University;

o. Coach's refusal to obey and/or carry out any reasonable assignment or directive from the Director following written notice from the Director specifying such failure and providing, where practicable, a five (5) day opportunity to cure such failure; or

p. Coach's commission of or participation in any act, situation, or occurrence, which, in the University's judgment, brings Coach or the University into public disrepute, embarrassment, contempt, scandal, or ridicule.

If Coach disagrees with any of the findings of the University, Coach has the right to dispute the matter through the University's conflict resolution process, the same as any other University employee in Coach's job classification. If it is determined that the termination is for cause, the

University shall not be responsible to Coach for any compensation, benefit, or other payment of any kind after the date of any termination for cause under this Section 3.1.

3.2. The University's Right to Terminate Without Cause.

3.2.1. The University may terminate this Agreement at any time without cause upon thirty (30) days written notice to Coach. In such event, the University shall pay Coach a Termination Fee for the remaining Term of Employment based upon the following total amount available for each contract year: four hundred thousand (\$400,000) for contract year one; four hundred fifty seven thousand five hundred (\$457,500) for contract year two; three hundred thirty thousand (\$330,000) for contract year three; two hundred twenty five thousand (\$225,000) for contract year four; and two hundred fifteen thousand (\$215,000) for contract year 5. So, for example, if termination occurred half-way through the end of contract year 3, the payment would be six hundred five thousand dollars (\$605,000), i.e., one hundred sixty five thousand dollars \$165,000 for the remaining one-half of contract year 3, two hundred twenty five thousand dollars (\$225,000) for contract year four, and two hundred fifteen thousand dollars (\$215,000) for contract year five. Except for the termination fee, the University will not be responsible for any payments or benefits after the date of such a termination.

3.2.2. Any Termination Fee paid under this Section 3.2 shall be subject to withholding for applicable federal and state income taxes, federal social security taxes, and all other applicable taxes and deductions. The parties agree to discuss in good faith and use reasonable efforts to reach an understanding regarding a payment schedule for the Termination Fee that allows Coach and the University to fulfill their obligations, under the Internal Revenue Code of 1986, as amended, (the "Internal Revenue Code") and otherwise, without significantly increasing or accelerating the tax consequences associated with the Termination Fee. In the event those discussions do not result in an agreement, then the Termination Fee will be paid as follows:

- a. The first installment will be equal to the amount of federal, state, and local income tax and the amount of FICA withholding that would have been remitted by the University if there had been a payment of wages to Coach on the

date of Coach's termination equal to the income includible by Coach on the Termination Fee under Section 457(f) of the Internal Revenue Code (IRC). This installment will be paid within sixty (60) days following the date of Coach's notice of termination.

b. The second and remaining installments will be paid over the remaining Term of Employment in substantially equal amounts by pay period.

3.2.3. If the University makes full payment under this Section 3.2, Coach waives the right to seek additional compensation or damages from the University. Termination under this Section 3.2 shall supersede all rights Coach may have under the Policies and Procedures including but not limited to any rights to notice or layoff programs. The University reserves the right to seek repayment of any Excess Termination Fee.

3.2.4. Coach agrees that, as a condition of receiving any portion of the Termination Fee under this Section 3.2, Coach or, in the case of any amounts due after Coach's death, the person to whom those amounts are payable (collectively, the "Payee") and the University must execute a release agreement in the format attached as Exhibit B.

3.2.5. For purposes of this Section 3.2, any reference to Coach's "termination of employment" by the University (or any form of the phrase "termination of employment") shall mean Coach's "separation from service" within the meaning of Section 409A of the Internal Revenue Code and Treasury Regulation Section 1.409A-1(h).

3.3. NCAA Enforcement Provisions (NCAA Bylaw 11.2.1). Notwithstanding any other provision of this Agreement to the contrary, if Coach is found in violation of any NCAA rule or regulation (following all timely appeals), Coach is subject to disciplinary or corrective actions as provided by NCAA enforcement procedures.

3.4. Disciplinary Procedure. In the event of any proposed disciplinary action, the Director shall give Coach, orally or in writing, notice of the allegations and an opportunity to present, in person, information relating to the allegations. If the Director then determines that

discipline is appropriate, the Director shall, in writing, notify coach of the discipline and the reasons therefore.

3.5. Limited Liability. Subject to the terms of this Agreement, in no event shall the University be liable for the loss by Coach of any bonuses, benefits, perquisites, or income, including, but not limited to, those arising out of or relating to consulting relationships, camps, clinics, media appearances, or from any other sources whatsoever, that may ensue as a result of the University's termination of this Agreement, unless otherwise expressly stated herein. The terms of this Section 3.5 shall not release the University from its obligations to Coach under Section 3.2 of this Agreement.

3.6. Coach's Right to Terminate Without Just Cause. In the event Coach terminates this Agreement during the Term of Employment without just cause (which is hereby permitted), Coach shall pay the University a Termination Fee for the remaining Term of Employment based upon the following total amount available for each contract year: four hundred thousand (\$400,000) for contract year one; four hundred fifty seven thousand five hundred (\$457,500) for contract year two; three hundred thirty thousand (\$330,000) for contract year three; two hundred twenty five thousand (\$225,000) for contract year four; and two hundred fifteen thousand (\$215,000) for contract year five. Except for the Termination Fee, the University will not be responsible for any payments or benefits after the date of such a termination. Any payment under this Section 3.6 shall be made no later than sixty (60) days from the date of notice of termination. The University and Coach agree to execute a release agreement in the form attached as Exhibit C in connection with this payment.

IV. REVIEW

The Parties agree that within sixty (60) days of the end of contract years one and two they will engage in a good faith review of the contract terms and provisions, including but not limited to the Term of Employment and contract guarantee provisions. Neither Party is obligated to make or accept any changes to the Agreement in connection with this review.

V. BOARD APPROVAL AND BACKGROUND CHECK

The parties acknowledge and agree that this Agreement is subject to formal approval by the University's Board of Regents (BOR), and the completion of a background check and NCAA review by the University. This Agreement shall not be final or binding until formally approved by the BOR, and until the background check and NCAA review are completed. If the BOR does not approve the Agreement, or if the background check or NCAA review reveal significant negative information, as determined by the University, then there will be no agreement between the parties, and the parties will have no further rights or obligations towards one another, under this Agreement or otherwise (e.g., no right to any additional notice, no right to employment, no right to any ongoing compensation or benefits, no termination rights, and no right to any Termination Fee or payout.).

VI. PROVISIONS OF GENERAL APPLICATION

6.1. Agreement Renewal. Prior to the end of the Term of Employment, Coach will be given notice of the renewal or nonrenewal of this Agreement and the terms of any renewal. If the Agreement is not renewed, Coach shall be given thirty (30) days' notice of non-renewal and if such notice is not given thirty (30) days before the end of the term, the Agreement shall be extended to cover the notice period. This provision shall supersede all rights under University Policies and Procedures including but not limited to any notice requirements or layoff programs.

6.2. Report of Athletically Related Income (NCAA Bylaw 11.2.2.). The University and Coach hereby stipulate that Coach shall annually provide to the Director a written detailed account of all Coach's athletically related income and benefits from sources outside the University including, but not limited to, the following:

- a. Annuity income related in any way to Coach's coaching, recruiting, or educational duties at the University;
- b. Sports camps;
- c. Housing benefits (including preferential housing arrangements);
- d. Country club memberships;

- e. Complimentary ticket sales;
- f. Television and radio programs; or
- g. Endorsement or consultation contracts with athletic shoe, apparel, or equipment manufacturers.

In addition, Coach shall comply with University of Minnesota policy and procedures regarding "Outside Consulting and Commitments by Intercollegiate Athletic Staff." The policies and procedures include, but are not limited to, receiving prior approval for any endorsement of a product or service, use of University trademarks and outside consulting commitments.

6.3. Notices/Administration. All notices, requests, and other communications from one of the parties to the other shall be in writing and, except as otherwise provided herein, shall be considered to have been duly given or served if sent by United States mail, first-class, certified or registered, postage pre-paid, return receipt requested, to the respective party at their address set forth below or to such other address set forth below or to such other address as such party may hereafter designate by notice to the other:

As to Coach:

Lindsay Whalen
Women's BBPC, Suite 660
516 15th Avenue S.E.
Minneapolis, MN 55455

As to the University:

University of Minnesota
Department of Intercollegiate Athletics
Attention: Director of Athletics
516 15th Avenue S.E.
Minneapolis, MN 55455

With copy to:

University of Minnesota
Office of the General Counsel
Attention: General Counsel
360 McNamara Alumni Center

200 Oak Street S.E.

Minneapolis, MN 55455-2006

6.4. Amendment. Any amendment to this Agreement shall be in writing executed and delivered by the parties.

6.5. Parties in Interest/Assignment. This Agreement shall be binding upon and the benefits and obligations provided for herein shall inure to the parties hereto and their respective heirs, legal representatives, successors, assigns, transferees, or donees, as the case may be. No portion of this Agreement shall be assignable without the prior written consent of the other party.

6.6. Effect of Prior Agreements. This Agreement is intended by the parties as the final and binding expression of their contract and agreement and as the complete and exclusive statement of the terms thereof. This Agreement supersedes and revokes all prior negotiations, representations, and agreements, whether oral or written, relating to the subject matter hereof, except as expressly provided herein.


6.7. Enforceability. If any provision contained herein shall be deemed or declared unenforceable, invalid, or void, the same shall not impair any of the other provisions contained herein, which shall be enforced in accordance with their respective terms.

6.8. Construction. The headings preceding and labeling the sections of this Agreement are for the purpose of identification only and shall not in any event be employed or used for the purpose of construction or interpretation of any portion of this Agreement. No waiver by any party of any default or nonperformance hereunder shall be deemed a waiver of any subsequent default or nonperformance. As used herein and where necessary, the singular shall include the plural and vice versa, and masculine, feminine, and neuter expressions shall be interchangeable.

6.9. Applicable Law. The laws of the state of Minnesota shall govern this Agreement and any construction or interpretation thereof.

IN WITNESS WHEREOF, the undersigned have caused this Agreement to be executed
as of the date first shown above.

COACH



Lindsay Whalen

Date: 5/9/18

REGENTS OF THE UNIVERSITY OF MINNESOTA

Eric W. Kaler
President

Date: _____

Recommended for Approval:

Mark Coyle
Director of Athletics

Date: _____

Approved as to Form and Legality:

Doug Peterson
General Counsel

Date: _____

IN WITNESS WHEREOF, the undersigned have caused this Agreement to be executed as of the date first shown above.

COACH

Lindsay Whalen

Date: _____

REGENTS OF THE UNIVERSITY OF MINNESOTA



Eric W. Kaler
President

Date: 5/9/18

Recommended for Approval:



Mark Coyle
Director of Athletics

Date: 5/11/2018

Approved as to Form and Legality:



Doug Peterson
General Counsel

Date: May 9, 2018

EXHIBIT A

SCHEDULE OF INCENTIVES

In lieu of any other performance based bonus plan the University may adopt for sports coaches or other University employees, the University shall pay Coach the following incentive Bonuses, consistent with the requirements of all other terms of this Agreement:

I. NCAA Tournament. For each year the Team shall play in the NCAA Championship Tournament during the Term of Employment, the University shall pay Coach as follows:

- a. Winning the National Championship, One Hundred Thousand and No/100 Dollars (\$100,000);
- b. Playing in the Final Four, Seventy-Five Thousand and No/100 Dollars (\$75,000);
- c. Playing in the Elite Eight, Fifty Thousand and No/100 Dollars (\$50,000);
- d. Playing in the Sweet Sixteen, Twenty-Five Thousand and No/100 Dollars (\$25,000);
- e. An invitation to play in the NCAA Championship Tournament (either an opening round or second round game), Ten Thousand and No/100 Dollars (\$10,000)

Coach shall receive the highest single bonus amount achieved under this schedule I. Bonus amounts under this schedule I are not cumulative.

II. Big Ten Finish. The University shall pay Coach a bonus based upon the Team's Big Ten finish that concludes during each year of the Term of Employment, as follows:

<u>Finish</u>	<u>Amount of Bonus</u>
Big Ten Regular Season Champion	\$30,000
Big Ten Tournament Champion	\$15,000

Coach is eligible to receive either or both amounts under this schedule II.

III. Academic Performance. The University shall pay Coach a bonus based on the single year Annual Academic Progress Rate (“APR”) for the Team as established each year by the NCAA, beginning at the end of the 2018-2019 academic year, as follows:

APR greater than or equal to 960	\$5,000
APR greater than or equal to 980	\$10,000
APR equal to 1000	\$15,000

Coach shall receive the highest single bonus amount achieved under bonus schedule III. Bonus amounts on this schedule III are not cumulative.

IV. Coach of the Year Honors. The University shall pay Coach a bonus for any year in which Coach is named Coach of the Year, as follows:

Big Ten Coach of the Year	\$10,000
Werner Ladder Naismith Women’s Coach of the Year	\$25,000

Coach is eligible to receive either or both amounts under this schedule IV.

V, Annual Team Cumulative Grade Point Average (“GPA”). The University shall pay Coach a bonus for any academic year in which the Team earns a cumulative gradepoint average (GPA) above specific thresholds, beginning at the end of the 2018-2019 academic year, as follows:

Cumulative Team GPA of 3.0 or above	\$5,000
Cumulative Team GPA of 3.25 or above	\$10,000
Cumulative Team GPA of 3.5 or above	\$15,000

Coach shall receive the highest single bonus amount achieved under this bonus schedule V.
Bonus amounts on this schedule V are not cumulative.

EXHIBIT B

RELEASE AGREEMENT

THIS RELEASE AGREEMENT (the "Agreement") is entered into and made effective on this ____ day of _____ 20__ between Regents of the University of Minnesota ("University"), on behalf of its Department of Intercollegiate Athletics ("Department"), and Lindsay Whalen ("Coach"). The parties identified above may be referred to herein collectively as the "Parties," and any individual party identified above may be referred to herein as a "Party."

WITNESSETH

WHEREAS, the Parties entered into an agreement entitled, "Employment Agreement," made effective as of _____, 2018 (the "Employment Agreement"); and

WHEREAS, the University formerly employed Coach as the head coach of the University's intercollegiate women's basketball team; and

WHEREAS, the University has terminated Coach without cause under the terms of the Employment Agreement; and

WHEREAS, pursuant to the Employment Agreement, the University is obligated, subject to the terms and conditions found therein, to make certain payments to Coach; and

WHEREAS, as one condition precedent to the University's payment obligations to Coach, Coach must execute a release in favor of the University;

NOW, THEREFORE, in consideration of the promises herein and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

1. Release. Coach hereby irrevocably and unconditionally releases, acquits, and forever discharges the University and each of its trustees, officers, representatives, and divisions, (collectively, the "Releasees"), from any and all charges, complaints, claims, liabilities, obligations, promises, agreements, controversies, damages, actions, causes of action, suits, rights, demands, costs, losses, debts, and expenses of any nature whatsoever, known or unknown, suspected or unsuspected, including, but not limited to, rights arising out of alleged violations or breaches of any contracts, express or implied, or any tort, or any legal restrictions on the University's rights to terminate employees, or any federal, state or other governmental statute, regulation, or ordinance, including but not limited to the Minnesota Human Rights Act, Title VII of the Civil Rights Act of 1964, the Americans with Disabilities Act, the Age Discrimination in Employment Act, and any other law (the "Claim" or "Claims"), which Coach now has, owns or holds, or claims to have, own or hold, or which Coach at any time heretofore had, owned or held, or claimed to have, had, owned or held, against each or any of the Releasees at any time up to and including the date of this Agreement, which is stated above. It is Coach's express intent that this release be as broad and general as the law permits. However, it does not include claims for worker's compensation.

2. Prohibition Against Litigation. Except as prohibited by law, in consideration of the benefits conferred by this Agreement and by the Employment Agreement, Coach will not sue any of the Releasees on any of the released Claims or join as a party with others who may sue on any such Claims.

3. Representations and Warranties. Coach hereby represents and warrants that Coach has not filed, nor has Coach assigned to others the right to file, any complaints, charges, or lawsuits against any of the Releasees with any governmental agency or any court, and that Coach will not file, assign to others the right to file, or make any further claims against the Releasees at any time hereafter for actions taken up to and including the effective date of this Agreement, which is stated above.

4. Representations Regarding Existing Claims. Coach acknowledges and represents that he has no knowledge of any actions or inactions by any of the Releasees that he believes

would constitute basis for a claimed violation of any federal, state, or local law, any common law, or any rule promulgated by an administrative body.

5. Governing Law. The laws of the state of Minnesota shall govern this Agreement and any construction or interpretation thereof.

6. MHRA Rescission Period. As required by law, this paragraph provides Coach with notice that the release contained in this agreement applies to claims arising under the Minnesota Human Rights Act (MHRA). Coach may rescind the release of MHRA claims within fifteen (15) calendar days of the date of Coach's signature on this Agreement. To be effective, the rescission must be in writing and delivered to: Douglas R. Peterson, General Counsel, Office of the General Counsel, 360 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, MN 55455. The rescission may be delivered by hand or by mail. If delivered by mail, the rescission must be postmarked within the 15-day period; properly addressed; and sent by certified mail, return receipt requested. Otherwise, the rescission will not be effective. If Coach rescinds the release, or any portion of the release, each and every provision of this agreement shall immediately become null and void.

7. ADEA Rescission Period. As required by law, this paragraph provides Coach with notice that the release contained in this Agreement applies to claims arising under the Age Discrimination in Employment Act (ADEA). Coach may rescind the release of ADEA claims within seven (7) calendar days of the date of Coach's signature on this Agreement. To be effective, the rescission must be in writing and delivered to: Douglas R. Peterson, General Counsel, Office of the General Counsel, 360 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, MN 55455. The rescission may be delivered by hand or by mail. If delivered by mail, the rescission must be postmarked within the 7-day period; properly addressed; and sent by certified mail, return receipt requested. Otherwise, the rescission will not be effective. If Coach rescinds the release, or any portion of the release, each and every provision of this Agreement shall immediately become null and void.

8. 21-Day Consideration Period. Coach understands that, by law, Coach may take up to twenty-one (21) days to consider the terms of this Agreement before signing it. Coach further understands that Coach may choose to sign the Agreement prior to the expiration of the twenty-one (21) day consideration period. If Coach chooses to sign the Agreement prior to the expiration of the twenty-one (21) day consideration period, Coach will waive the remainder of the twenty-one (21) day consideration period.

9 Right to Consult with Legal Counsel. Coach is notified by this paragraph that he has the right to consult with legal counsel regarding this Agreement before signing. Coach affirms that he has executed that right to the full extent Coach deemed necessary prior to signing.

10. Counterparts; Facsimiles. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. For purposes of executing the Agreement, a document signed and transmitted by facsimile machine, electronic mail, or other commercially accepted electronic or mechanical means is to be treated as an original document.

11. Entire Agreement. This Agreement contains the entire agreement of the Parties with respect to the matters set forth herein, except the agreement contained in Section 3.2 of the Employment Agreement which is incorporated herein by this reference. Other than the matters set forth in this Agreement, including this Paragraph 11, there are no agreements, either written or oral, other than those set forth herein with regard to the subject matter of this Agreement.

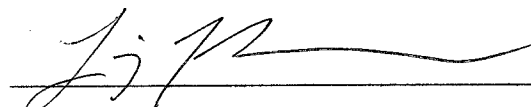
12. Severability. Each provision of this Agreement is severable from all other provisions of the Agreement. If any governmental authority having jurisdiction over the matters herein determines, during or at the conclusion of any litigation, that any provision of the Agreement will be invalid or unenforceable, the provision will be deemed modified only to the extent necessary to render it valid and enforceable, and all remaining provisions of the Agreement will remain in full force and effect.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement, as of the day and year first above written.

Regents of the University of Minnesota

Coach

By: _____

A handwritten signature in black ink, appearing to read 'Lj Whalen', is written over a horizontal line.

Lindsay Whalen

(print name and title)

EXHIBIT C

RELEASE AGREEMENT

THIS RELEASE AGREEMENT (the "Agreement") is entered into and made effective on this ____ day of _____ 20__ between Regents of the University of Minnesota ("University"), on behalf of its Department of Intercollegiate Athletics ("Department"), and Lindsay Whalen ("Coach"). The parties identified above may be referred to herein collectively as the "Parties," and any individual party identified above may be referred to herein as a "Party."

WITNESSETH

WHEREAS, the Parties entered into an agreement entitled, "Employment Agreement," made effective as of _____, 2018 (the "Employment Agreement"); and

WHEREAS, the University formerly employed Coach as the head coach of the University's intercollegiate women's basketball team; and

WHEREAS, Coach has terminated the Employment Agreement without just cause under the terms of the Employment Agreement; and

WHEREAS, pursuant to the Employment Agreement, Coach is obligated, subject to the terms and conditions found therein, to make certain payments to the University; and

WHEREAS, as one condition precedent to Coach's payment obligations to the University, the University must execute a release in favor of Coach;

NOW, THEREFORE, in consideration of the premises herein and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

1. Release. The University hereby irrevocably and unconditionally releases, acquits, and forever discharges Coach, Coach's estate, and each of their representatives (collectively, the "Releasees") from any and all charges, complaints, claims, liabilities, obligations, promises, agreements, controversies, damages, actions, causes of action, suits, rights, demands, costs, losses, debts, and expenses of any nature whatsoever, known or unknown, suspected or unsuspected, including, but not limited to, rights arising out of alleged violations or breaches of any contracts, express or implied, or any tort, or any legal restrictions on the Coach's right to terminate the Employment Agreement (the "Claim" or "Claims"), which the University now has, owns or holds, or claims to have, own or hold, or which the University at any time heretofore had, owned or held, or claimed to have, had, owned or held, against each or any of the Releasees at any time up to and including the effective date of this Agreement, which is stated above.

2. Prohibition Against Litigation. Except as prohibited by law, in consideration of the benefits conferred by this Agreement and by the Employment Agreement, the University will not sue any of the Releasees on any of the released Claims or join as a party with others who may sue on any such Claims.

3. Representations and Warranties. The University hereby represents and warrants that it has not filed, nor has it assigned to others the right to file, any complaints, charges, or lawsuits against any of the Releasees with any governmental agency or any court, and that the University will not file, assign to others the right to file, or make any further claims against the Releasees at any time hereafter for actions taken up to and including the effective date of this Agreement, which is stated above.

4. Representations Regarding Existing Claims. The University acknowledges and represents that it has no knowledge of any actions or inactions by any of the Releasees that it believes would constitute basis for a claimed violation of any federal, state, or local law, any common law, or any rule promulgated by an administrative body.

5. Governing Law. The laws of the state of Minnesota shall govern this Agreement and any construction or interpretation thereof.

6. Counterparts; Facsimiles. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. For purposes of executing the Agreement, a document signed and transmitted by facsimile machine, electronic mail, or other commercially accepted electronic or mechanical means is to be treated as an original document.

7. Entire Agreement. This Agreement contains the entire agreement of the Parties with respect to the matters set forth herein, except the agreement contained in Section 3.6 of the Employment Agreement, which provision is hereby incorporated by reference as if fully set forth word-for-word herein, and cannot be altered or modified except by an agreement in writing signed by both Parties.

8. Severability. Each provision of this Agreement is severable from all other provisions of the Agreement. If any governmental authority having jurisdiction over the matters herein determines, during or at the conclusion of any litigation, that any provision of the Agreement will be invalid or unenforceable, the provision will be deemed modified only to the extent necessary to render it valid and enforceable, and all remaining provisions of the Agreement will remain in full force and effect.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement, as of the day and year first above written.

Regents of the University of Minnesota

Coach

By: _____



Lindsay Whalen

(print name and title)

AMENDMENT TO EMPLOYMENT AGREEMENT

This is a contractual amendment to correct a clerical error in the Employment Agreement between the University of Minnesota ("the University"), on behalf of its Department of Intercollegiate Athletics on the Twin Cities campus ("the Department"), and Lindsay Whalen ("Coach"), entered into effective April 13, 2018 ("Employment Agreement"). The University and Coach do now mutually desire to amend certain terms of the Employment Agreement by entering into this amendment (the "Amendment"), effective May 10, 2018.

NOW, THEREFORE, in consideration of the mutual promised and covenants contained in this Amendment, and such other good and valuable consideration, the receipt and sufficiency of which the parties hereby acknowledge, the parties agree to amend their Employment Agreement as follows:

1. Paragraph 3.6 is deleted and replaced with the following:

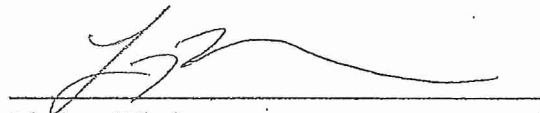
3.6 Coach's Right to Terminate Without Just Cause. In the event Coach terminates this Agreement during the Term of Employment without just cause (which is hereby permitted), Coach shall pay the University a Termination Fee for the remaining Term of Employment based upon the following total amount available for each contract year: four hundred thousand (\$400,000) for contract year one; four hundred fifty seven thousand five hundred (\$457,500) for contract year two; three hundred thirty thousand (\$330,000) for contract year three; two hundred twenty five thousand (\$225,000) for contract year four; and two hundred fifteen thousand (\$215,000) for contract year five. The University will not be responsible for any payments or benefits after the date of such a termination. Any payment under this Section 3.6 shall be made no later than sixty (60) days from the date of notice of termination. The University and Coach agree to execute a release agreement in the form attached as Exhibit C in connection with this payment.

2. Except as expressly provided in this Amendment, each and every term and condition of the Employment Agreement, as previously amended, shall remain unchanged.

IN WITNESS WHEREOF, the undersigned have caused this Amendment to be effective as of the date first shown above.

Date:

5/10/18



Lindsay Whalen
Women's Head Basketball Coach

REGENTS OF THE UNIVERSITY OF
MINNESOTA

Date: 5/10/18

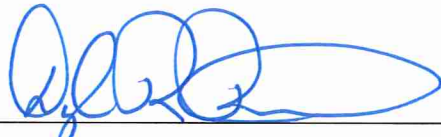
By: 
Eric Kaler
President

Recommended for Approval:

Date: _____

By: _____
Mark Coyle, Director
Intercollegiate Athletics

Date: May 10, 2018

By: 
Douglas Peterson
General Counsel

**REGENTS OF THE UNIVERSITY OF
MINNESOTA**

Date: _____

By: _____
Eric Kaler
President

Recommended for Approval:

Date: 5/6/10

By: Mark Coyle
Mark Coyle, Director
Intercollegiate Athletics

Date: _____

By: _____
Douglas Peterson
General Counsel

**Schematic Design: Field House Exterior Envelope and Flooring
Twin Cities Campus, East Bank
Project No. 01-067-16-1472**

1. Basis for Project

The Field House Exterior Envelope and Flooring project will abate an existing exterior panel system, enhance visual and pedestrian experience along University Avenue and Pillsbury Drive, address ongoing maintenance and facility performance issues, and provide new track and turf flooring.

The facility currently houses Recreation and Wellness, University of Minnesota Athletics Track and Field, and School of Kinesiology programs. The existing building is located at 1800 University Avenue SE in Minneapolis.

2. Scope of Project

The Field House Exterior Envelope and Flooring project will revitalize the Field House and extend the useful life of the building. Existing Cemesto (asbestos) exterior panels will be abated and replaced with a new exterior insulated metal panel and translucent glazing system. Existing mechanical and ventilation system will be enhanced and code upgrades completed to support a capacity of 1,500 occupants. This project also adds 80,000 sf of new track and turf flooring suitable for both Recreation programs and NCAA level competition indoor track and field events.

3. Master Plan

The project is in compliance with the Twin Cities Campus Master Plan, dated March 2009.

4. Environmental Issues

The project budget accounts for full removal of existing Cemesto Panels.

5. Cost Estimate:

Construction Cost	\$6,650,000
<u>Non-Construction Cost</u>	<u>\$750,000</u>
Total Project Cost	\$7,400,000

6. Capital Funding:

HEAPR	\$5,200,000
Recreation and Wellness	\$1,200,000
<u>Facilities Management</u>	<u>\$1,000,000</u>
Total Capital Funding	\$7,400,000

7. Capital Budget Approvals:

Exterior envelope scope was included in the approved FY 2018 HEAPR pool; flooring scope was approved in the FY 2018 Annual Capital Budget.

8. Annual Operating and Maintenance Cost:

No anticipated change.

9. Time Schedule:

Proposed Design Completion:

July 2018

Proposed Substantial Completion:

October 2019

10. Project Team:

Architect: Cuningham Group

Construction Manager at Risk: Mortenson

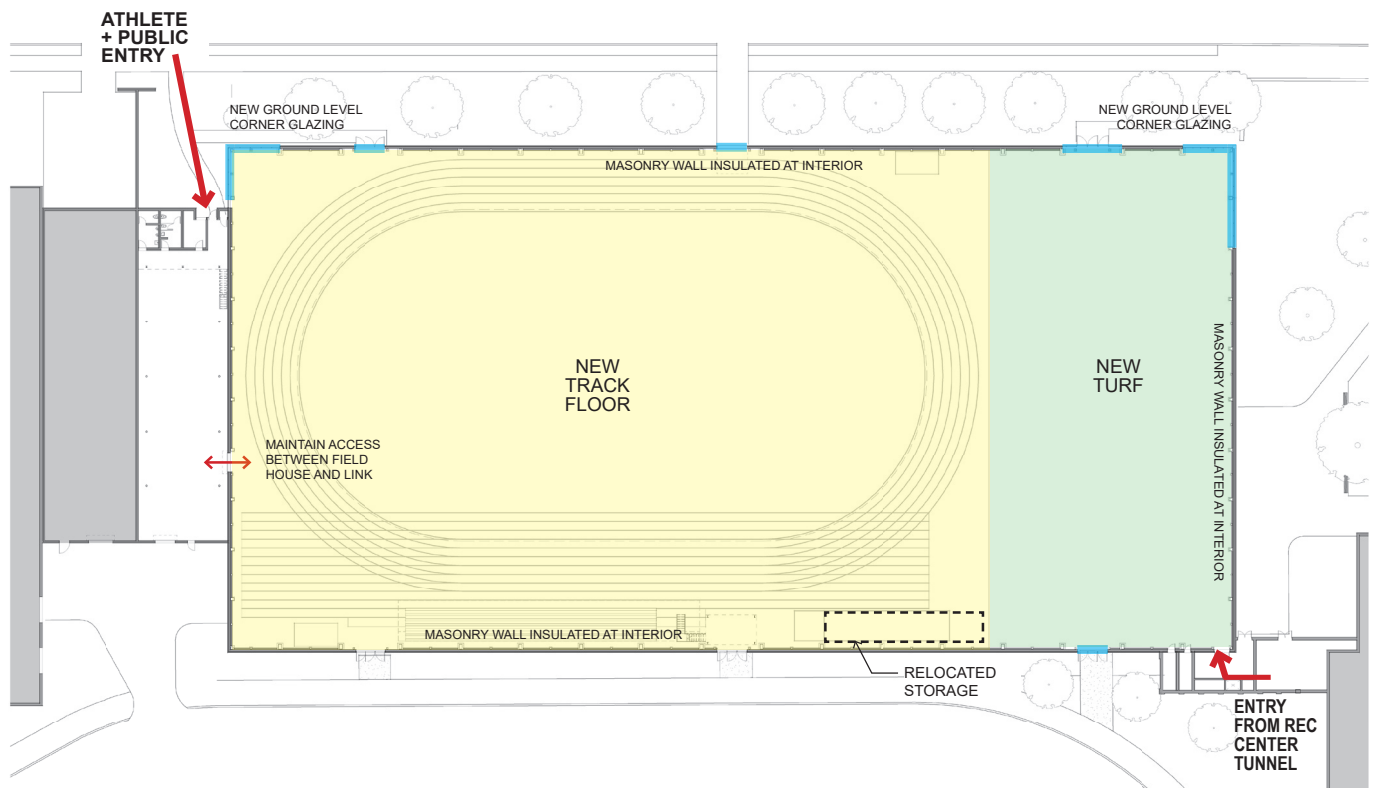
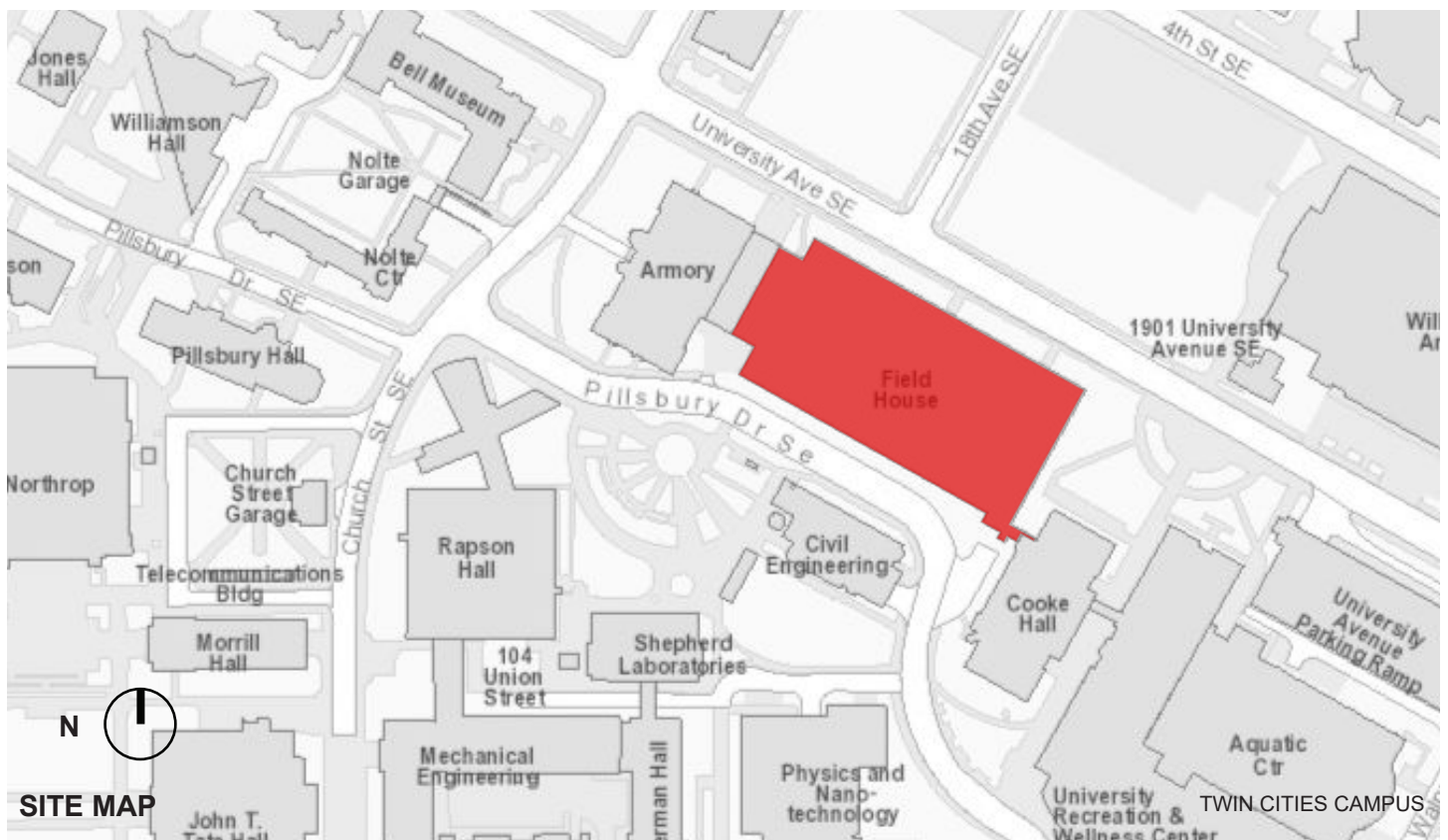
11. Recommendation:

The above described project scope of work, cost, funding, and schedule is appropriate:



Karen Hanson, Executive Vice President and Provost

Brian Burnett, Senior Vice President for Finance and Operations





VIEW FROM UNIVERSITY AVENUE



INTERIOR VIEW

**Schematic Design: Plant Growth Research Facility
Twin Cities Campus, St Paul
Project No. 02-369-14-1926**

1. Basis for Project:

This project involves new greenhouse construction and demolition of the subsequent vacated facility for the Plant Growth Research Facility located on the Twin Cities campus in Saint Paul. The Biological Sciences Conservatory houses a biodiverse collection of plant species from around the world. Conservatory specimens serve the needs of not only College of Biological Sciences course work to examine and learn about the wide variety of plant species, but also K-12 classes which use the collection for tours and instruction in plant biology. Researchers and the surrounding community also use the facility as an experimental and community outreach resources. The collection is one of the most diverse in the upper midwestern United States, containing over 1,200 species of plants. Upon construction completion, the plant collection will relocate to this new greenhouse of slightly larger size and greatly improved energy efficiency and environmental control.

2. Scope of Project:

The project will construct a new 12,091 gross square foot greenhouse addition to the Plant Growth Facilities West Greenhouse District of in Saint Paul. The new greenhouse will be furnished with modern temperature, humidity, and lighting controls. Four separate biome environments will be created to include conservatory space for student, research, and public access; each will be monitored via the master greenhouse campus control system. Once complete, plant specimens and program activities currently housed in the existing Biological Sciences Greenhouse will be moved to the new greenhouse, and the old facility will be demolished.

3. Master Plan:

The project is in compliance with the Twin Cities Campus Master Plan dated March 2009.

4. Environmental Issues:

The existing greenhouse to be demolished contains asbestos; costs to abate are included.

5. Cost Estimate:

Construction Cost	\$ 5,607,705
Non-Construction Cost	\$ 992,295
Total Project Cost	\$ 6,600,000

6. Capital Funding:

2017 State Appropriation	\$ 4,400,000
College of Biological Sciences	\$ 28,000
Agricultural Experiment Station	\$ 8,000
University Debt	\$ 2,164,000
Total Capital Funding	\$ 6,600,000

7. Capital Budget Approvals:

This project was approved in FY 2018 following a 2017 state appropriation.

8. Annual Operating and Maintenance Cost:

There is no change in cost profile as a replacement of similar square footage and use.

9. Time Schedule:

Proposed Design Completion:	August 2018
Proposed Substantial Completion:	April 2019

10. Project Team:


Architect: RSP Architects, Ltd.
Construction Manager at Risk: Loeffler Construction & Consulting

11. Recommendation:

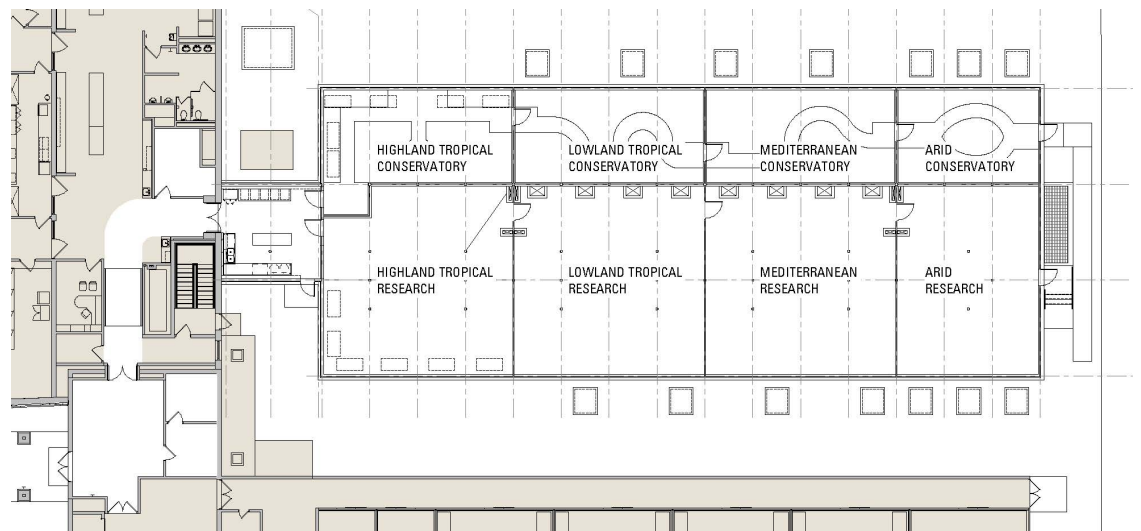
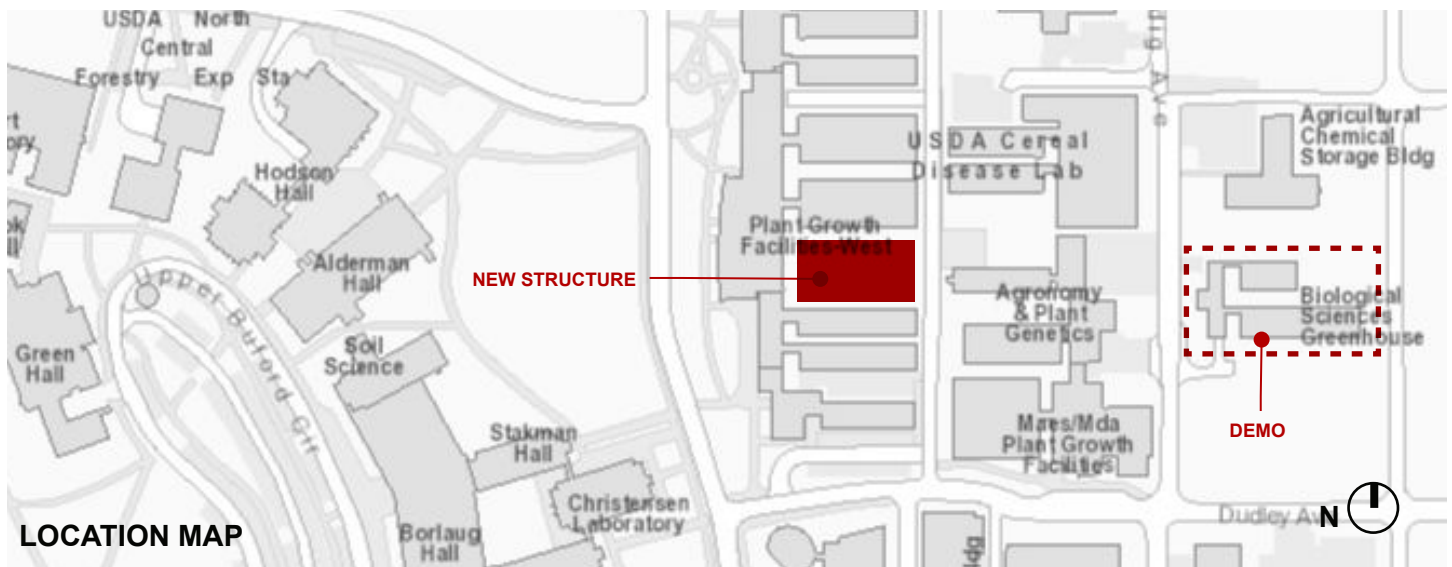
The above described project scope of work, cost, funding, and schedule is appropriate:



Karen Hanson, Executive Vice President and Provost



Brian Burnett, Senior Vice President for Finance and Operations





BOARD OF REGENTS DOCKET ITEM SUMMARY

Finance & Operations

May 10, 2018

AGENDA ITEM: Information Items

☐

Review

☐

Review + Action

☐

Action

☒

Discussion

☒ *This is a report required by Board policy.*

PRESENTERS: Brian D. Burnett, Senior Vice President

PURPOSE & KEY POINTS

Annual Investment Performance: Peer Comparisons

The purpose of this item is to report on investment performance and asset allocation data related to peer institutions for the fiscal year ending June 30, 2017. The Office of Investment Banking (OIB) prepares this report for review, as required by Board policy, by the Investment Advisory Committee and the Board of Regents.

- Endowment Fund investment performance of 12.6 percent ranked above the median return of all peer institutions in the country.
- Drivers of performance included Venture Capital managers, who produced greater than 14 percent returns.
- Increased portfolio liquidity and targeted asset class strategies position the Endowment Fund for continued success in coming years.

Investment Advisory Committee Update

The quarterly meeting of the Investment Advisory Committee was held on February 7, 2018. The agenda for the meeting included:

- Portfolio Performance Review
- Defensive Posturing Discussion
- Returning Manager Recommendations
- New Manager Recommendation: Platinum Small Cap I – Approved
- New Manager Recommendation: LS Power Equity Partners IV – Approved
- New Manager Recommendation: Patria Private Equity Fund VI – Approved

Overview of St. Paul Strategic Facilities Plan

The purpose of this item is an update on the St Paul Strategic Facilities Plan. The plan will define the future users of the campus, what functions and activities will be found in St. Paul, and the features and destinations the University can expect to see on the campus. The St. Paul deans and University

administrative leaders have been charged with developing a vision of the future of the Twin Cities campus in St. Paul (academic and support services); charting a course for the campus to be financially, academically, and physically sustainable; and engaging stakeholders from all sectors of the campus community.

Quarterly Asset Management Report

The purpose of this item is to report on the annual performance results for assets managed by the OIB for the quarter ending December 31, 2017. The OIB prepares this report, as required by Board policy, for review by the Board of Regents.

- The invested assets of the University totaled approximately \$2.69 billion on December 31, 2017.
- The Consolidated Endowment Fund (CEF) value increased by \$33 million to \$1.41 billion and distributed \$14 million to the University during the quarter. The total investment return for CEF during the quarter was 3.05 percent, compared to its short-term benchmark at 3.1 percent.
- The market value of the Short-term Reserves (TIP) was \$1.02 billion as of December 31, 2017. The total return on the portfolio over the quarter was 0.2 percent compared to a benchmark return of -0.1 percent. The change in market value of during the quarter was largely due to the timing of cash flows as part of the University's normal business cycle.

Semi-Annual Management Report - Unaudited

The semi-annual management report provides the Board of Regents with an overview of the University's unaudited financial statements as of December 31, 2017. The report includes:

- Consolidated Statement of Net Position.
- Consolidated Statement of Revenues, Expenses and Changes in Net Position.
- Consolidated Statement of Cash Flows.
- Commentary on the major fluctuations in balances, revenues and expenses.
- A summary of six-month unaudited results for the University's reportable component units.

This report is presented in accordance with Board of Regents Policy: *Board Operations and Agenda Guidelines*.

FY 2017 Annual Peer Benchmarking

Brian D. Burnett, Senior Vice President for Finance and Operations

Stuart Mason, Associate Vice President, Chief Investment Officer

Finance and Operations Committee

April 10, 2018

SENIOR VICE PRESIDENT FOR FINANCE AND OPERATIONS

World Class Services for a World Class University



Office of Investments & Banking

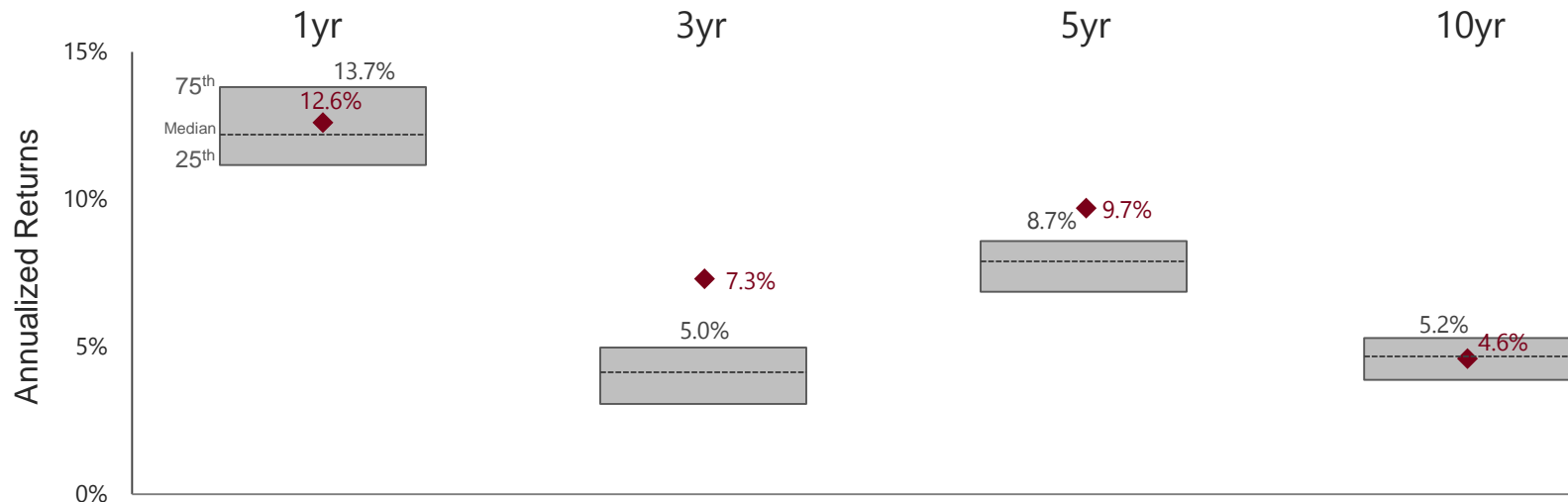
UNIVERSITY OF MINNESOTA
Page 291 of 320

NACUBO/Commonfund Study

- 809 total participating institutions ranging in size from \$25M to over \$20B.
- Participating institutions returned an average of 12.2% for the 2017 fiscal year.

Participant Quartile Rankings for 6/30 YE

◆ UMN Endowment



Effective Spending Rates

Size of Endowment	FY'17 (%)	FY'16 (%)	FY'15 (%)	FY'14 (%)	FY'13 (%)	FY'12 (%)
Over \$1 Billion	4.8	4.4	4.3	4.6	4.8	4.7
\$501 Million to \$1 Billion	4.6	4.3	4.1	4.3	4.6	4.7
\$101 Million to \$500 Million	4.5	4.3	4.1	4.3	4.4	4.3
\$51 Million to \$100 Million	4.5	4.4	4.4	4.4	4.4	4.3
\$25 Million to \$50 Million	4.2	4.1	4.0	4.2	4.3	3.8
Under \$25 Million	4.0	3.8	4.5	4.6	4.1	3.7
University of Minnesota	4.1	4.0	3.8	4.2	4.6	5.0



NACUBO Asset Allocation Detail

Size of Endowment	University of Minnesota	Over \$1 Billion	\$500 Million - \$1 Billion
Domestic Equity	17%	13%	20%
International Equity	18%	7%	9%
Fixed Income	19%	19%	22%
Alternative Strategies	46%	57%	42%
Private Equity	4%	12%	7%
Marketable Alternatives	10%	20%	20%
Venture Capital	18%	7%	3%
Global Real Estate	5%	6%	3%
Natural Resources	5%	8%	5%
Distressed Debt	3%	2%	1%
Other	0%	2%	3%
Short Term Securities	1%	4%	7%



NACUBO Asset Allocation Detail

Institution	Market Value (\$mil)	1 Year Return (%)	3 Year Return (%)	5 Year Return (%)	10 Year Return (%)
Michigan State University	\$2,680	16.00	4.70	8.50	5.10
University of Illinois Foundation	\$1,635	14.95	4.73	8.36	4.34
The Ohio State University	\$4,253	14.46	4.69	7.95	3.35
University of Wisconsin Foundation	\$2,746	14.15	4.33	8.40	4.40
University of Michigan	\$11,245	13.84	5.12	8.86	5.62
University of Iowa	\$393	13.70	5.40	8.70	4.40
Indiana University Foundation	\$2,088	13.50	3.60	8.20	4.00
Pennsylvania State University	\$4,000	12.60	4.80	8.60	5.60
Rutgers University Investment Pool	\$1,108	12.30	4.80	8.30	4.90
University of Illinois	\$671	12.10	4.60	8.70	5.60
Purdue University	\$2,425	11.70	3.60	7.80	4.50
University of Minnesota Endowment	\$1,352	10.68	5.50	9.78	3.81
University of Iowa Foundation	\$1,004	10.30	4.20	8.10	4.70
University of Minnesota Foundation	\$2,328	8.60	5.20	8.30	4.80





UNIVERSITY OF MINNESOTA
Driven to Discover®

The University of Minnesota is an equal opportunity educator and employer.



Information Item

St. Paul Strategic Facilities Plan

Twin Cities Campus, St Paul

The Saint Paul Strategic Facilities Plan will define who the future users of the campus will be, what functions and activities will be found in Saint Paul, and what the features and destinations we can expect to see on the campus.

Charge Letter

The strategic facilities plan is undertaken based on the charge authored by the Provost and President in summer 2017. The defining elements of that direction are:

1. Develop a vision of the future of the Twin Cities campus in Saint Paul (academic and support services).
2. Chart a course for the campus to be financially, academically, and physically sustainable.
3. Engage stakeholders from all sectors of the campus community.

Themes

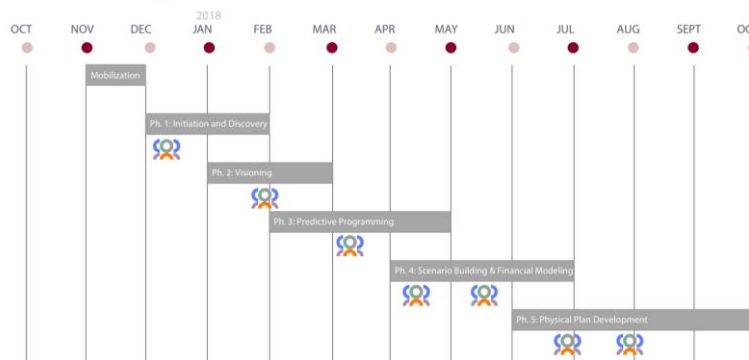
The themes noted below will organize academic programs, promote campus identity, partnerships, and capital investment. They are drawn from the strengths of the Twin Cities campus in St Paul.

1. Saint Paul's activities are focused on interactions that occur between natural ecosystems and technology.
2. Food, agriculture, environment, human development, and service professions, across multiple disciplines, define most of Saint Paul's learning, research, and outreach activity.
3. The University's land grant mission responds to social, environmental, and economic challenges at the local, national, and global scale.
4. Saint Paul investments support partnerships and consortium efforts that maximize capacity and advance aligned areas of research.

Timeline

The planning effort was initiated in late fall 2017 and is expected to be complete in Fall 2018.

St. Paul Strategic Facilities Plan Schedule



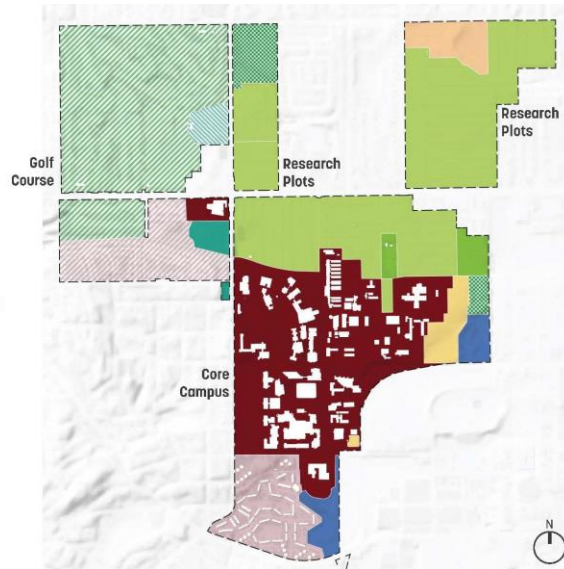
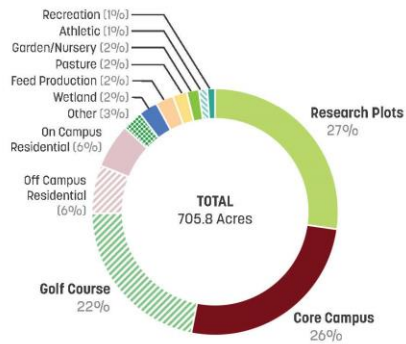
Current State:

The following are a few of the key points of data and mapping that have been used by the planning team to inform their work.

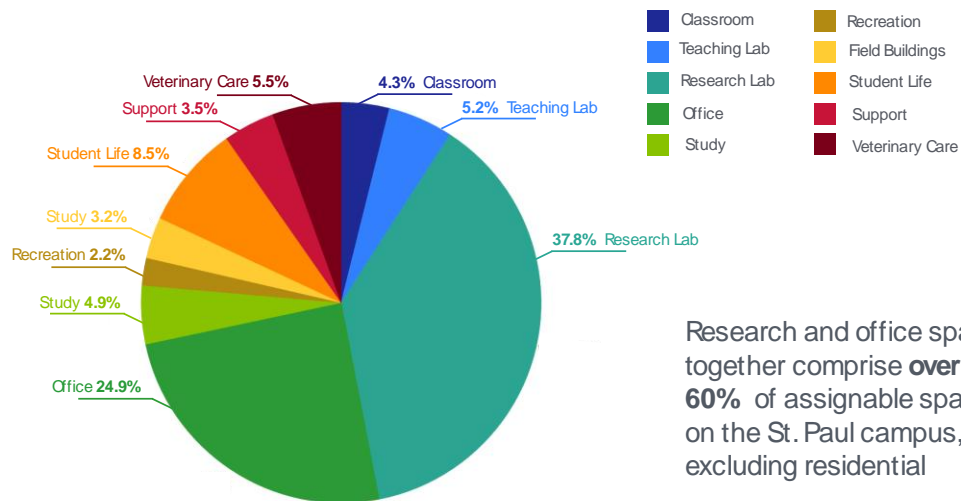
Campus Land Uses

What future land uses need to be considered?

- Three major land uses: Research Plots, Core Campus, and the Golf Course

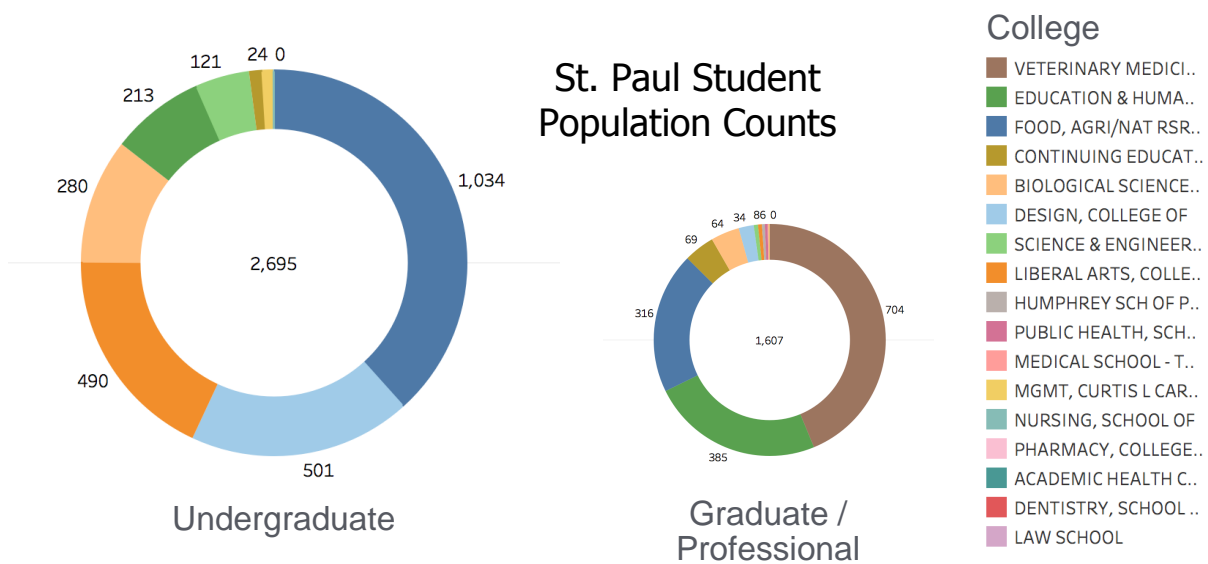
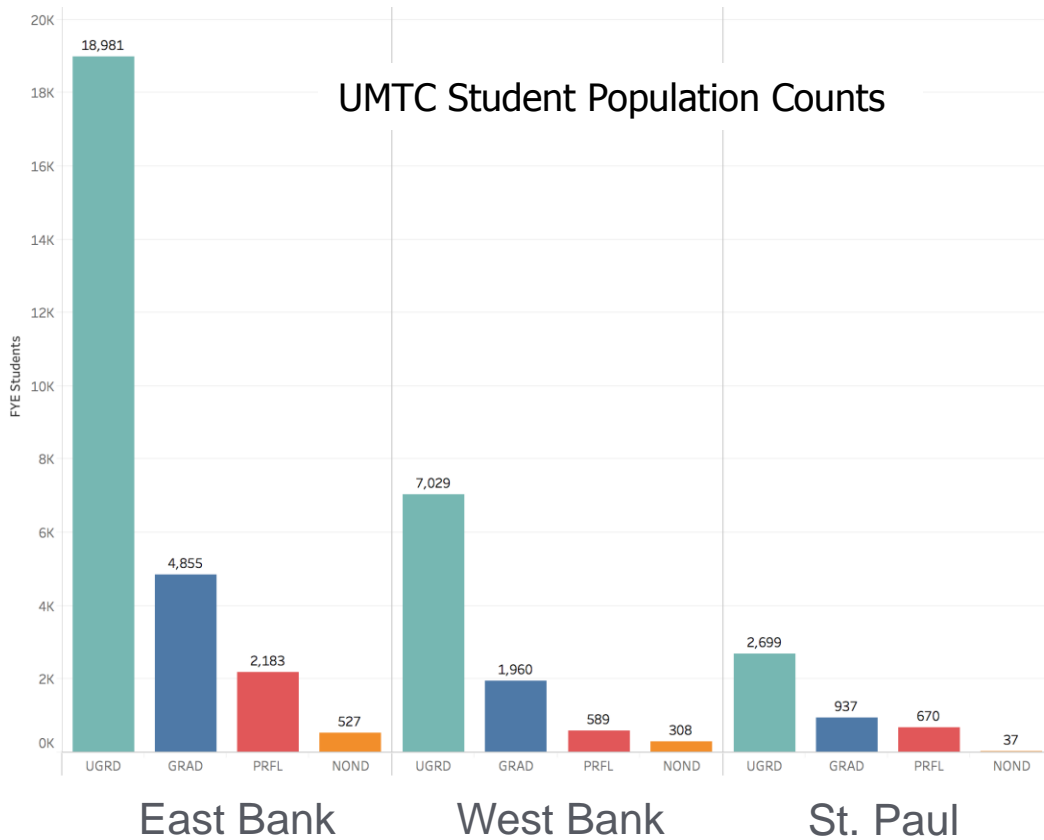


Distribution of Space By Use Type



Student Counts

The following student population counts are represented in Full Year Equivalent, or 15 credits per semester. The result is higher actual head count.



DRAFT

Engagement to Date

The planning process is guided by the input of the Executive Committee and the Planning Committee. Membership in these groups represents collegiate units, academic leadership, and support units. Student input is sought in participation with the Senate- appointed Student Advisory Committee at key milestones in the process. In addition, a campus-wide survey about existing physical conditions and future opportunities garnered 2,000 responses from a wide variety of colleges, representing students, staff, and faculty, as well as community members. Additional engagement with members of the broader community is anticipated June/July 2018 once a recommended plan is ready for discussion.

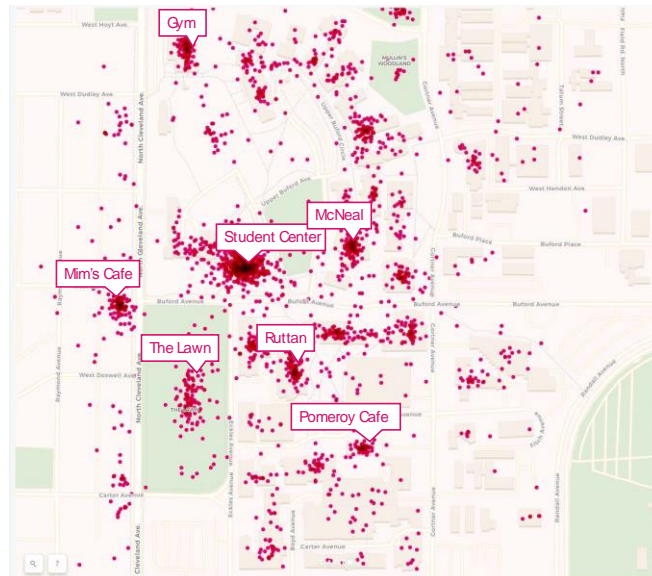
Key findings from the Survey include the following:

Campus Life Socializing

Where do you socialize on campus?

No strong consensus on a particular place, most cited a handful of different halls, cafes, or their residence halls.

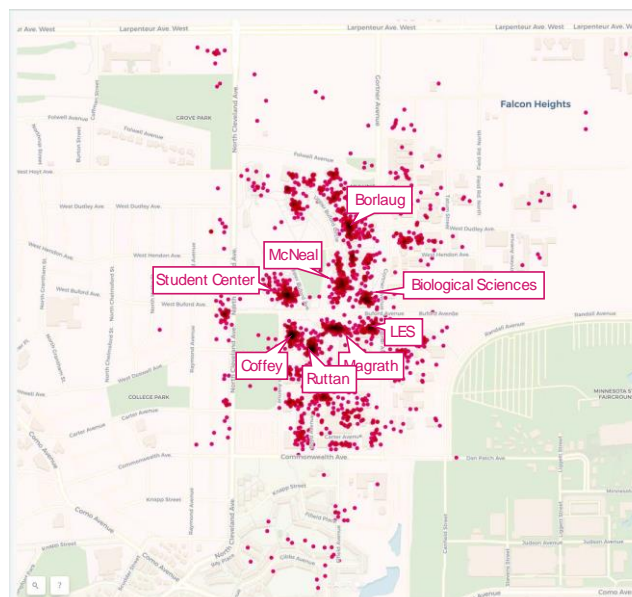
- "There is **no good place** to socialize on campus. That's one of the problems ...Why isn't there a place to have a beer, like UW-Madison?"
- "**Stouts** is the de-facto campus club for our faculty."
- "90% on my socializing happens in studio, during or after classes."
- "I socialize most often in my own department. Or on the **East Bank**."



Academic Life Collaboration

Where do you collaborate with others?

- "Most **collaboration** takes place in **Borlaug**, where there are more meeting rooms than in Soils and the architecture doesn't look like it was designed by people who thought Stalinism was the wave of the future."
- "The **library in Haecker** is a wonderful place to get together for group work"
- "**Ruttan** is a great spot for meeting together in groups"
- "**Lori's Coffee Shop**"



Quarterly Asset Management Report

Brian D. Burnett, Senior Vice President for Finance and Operations

Stuart Mason, Associate Vice President, Chief Investment Officer

Finance and Operations Committee

April 10, 2018

SENIOR VICE PRESIDENT FOR FINANCE AND OPERATIONS

World Class Services for a World Class University



Office of Investments & Banking

UNIVERSITY OF MINNESOTA

Page 301 of 320

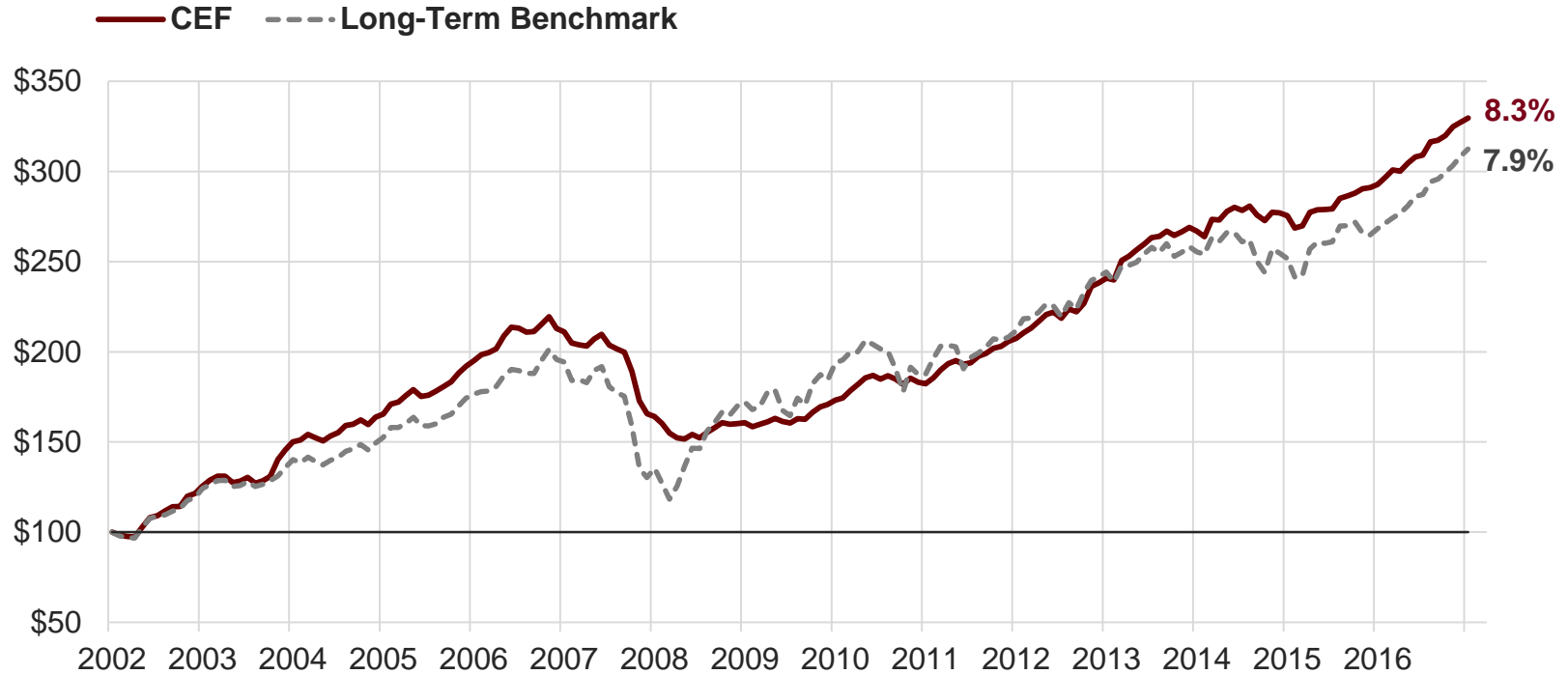
University Investment Funds

OIB Managed Funds (\$ millions)	Q4 2017	FY 2017	FY 2016	FY 2015	FY 2014	FY 2013
Consolidated Endowment Fund (CEF)	\$ 1,413.0	\$ 1,351.8	\$ 1,258.0	\$ 1,293.6	\$ 1,272.5	\$ 1,079.7
Long-Term Reserves (GIP)	87.9	71.3	65.8	52.7	45.9	46.4
Short-Term Reserves (TIP)	1,024.1	1,113.4	1,101.0	1,050.0	1,054.6	1,031.4
RUMINCO Ltd.	50.2	45.3	40.6	39.6	39.2	35.8
Invested Assets Related to Indebtedness	124.3	48.9	100.9	148.3	66.9	87.0
Total Managed Assets	2,699.5	2,630.7	2,566.3	2,584.2	2,479.1	2,280.3

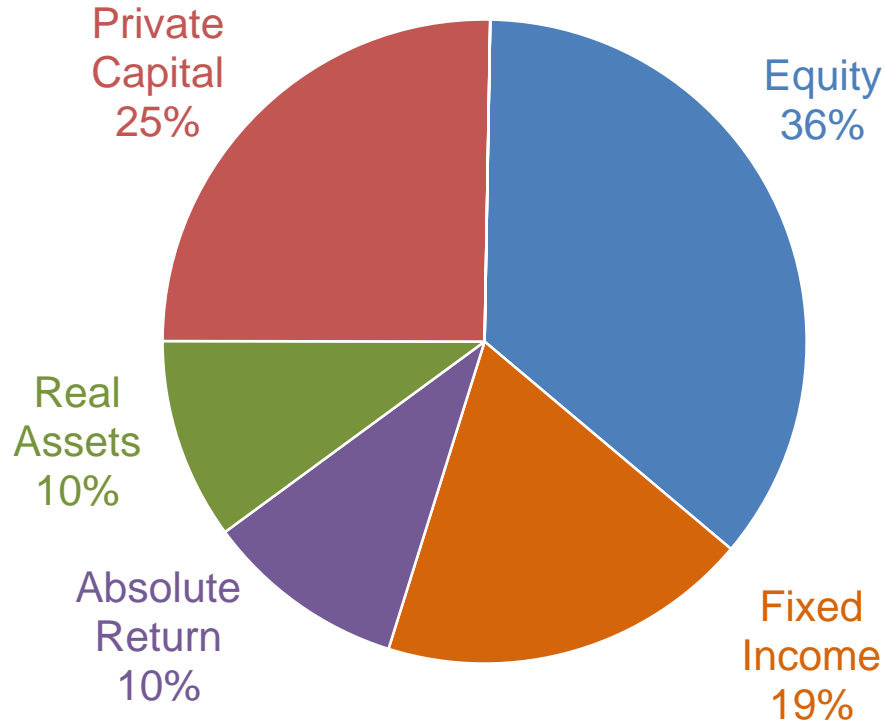


Our goal is to preserve the inflation adjusted value of the endowment

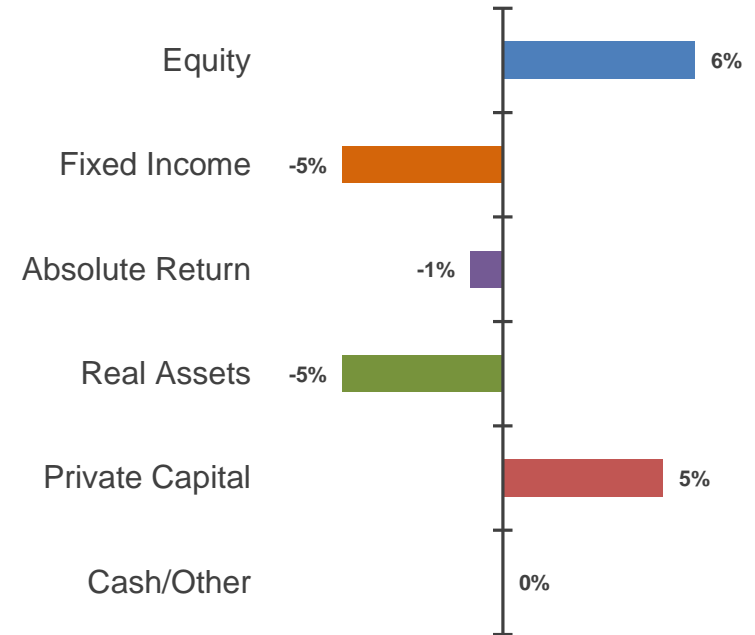
Growth of \$100



CEF Asset Allocation

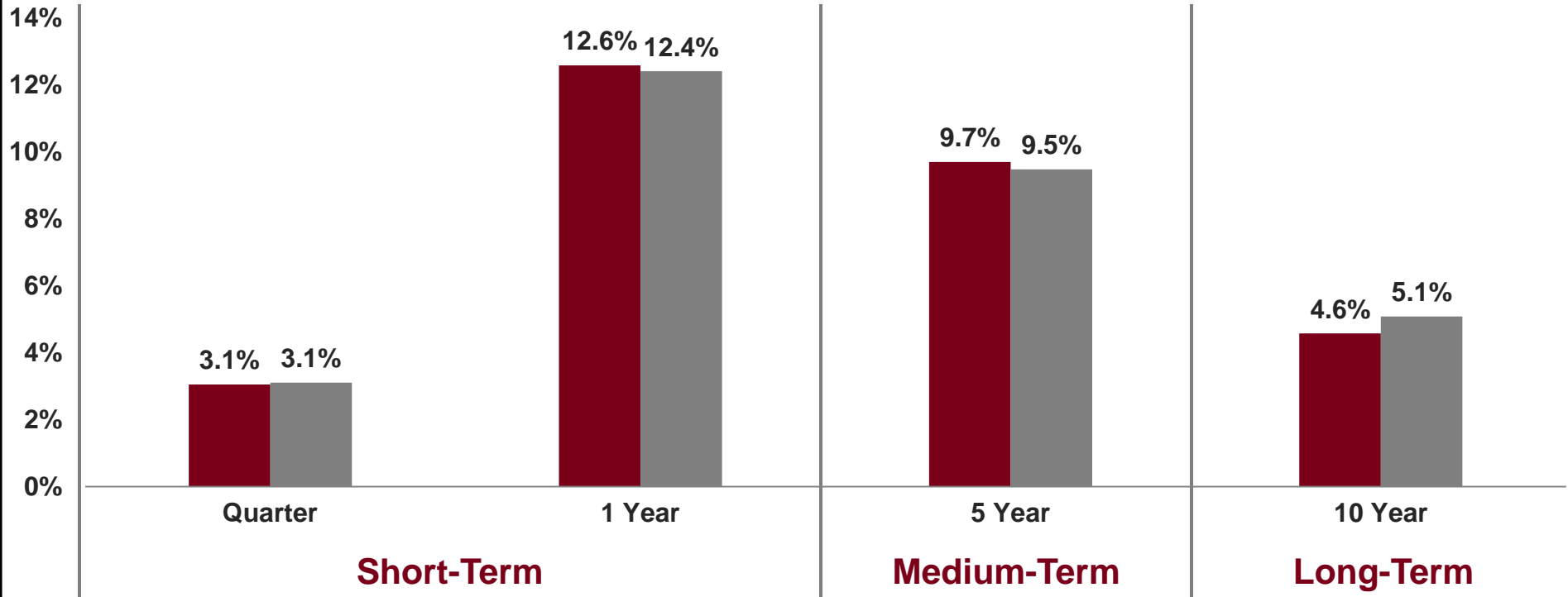


Over/Under Weight vs. Strategic Targets

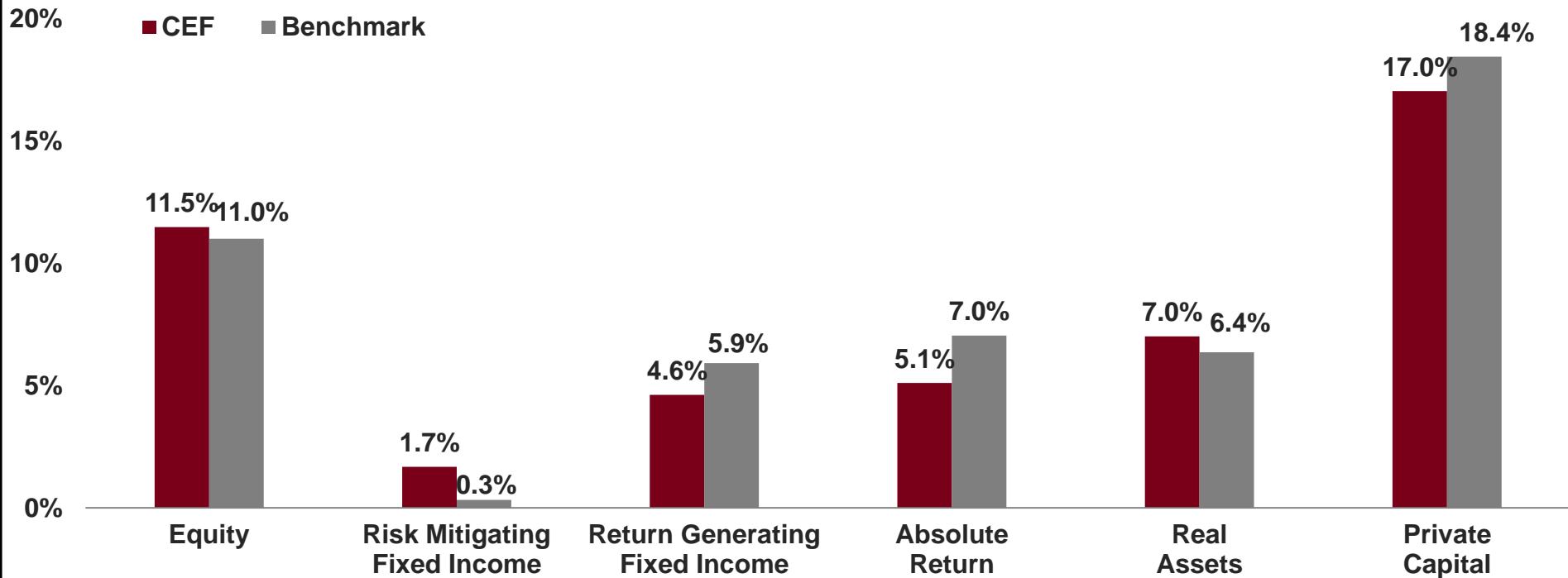


CEF Performance Summary

■ CEF ■ Benchmark

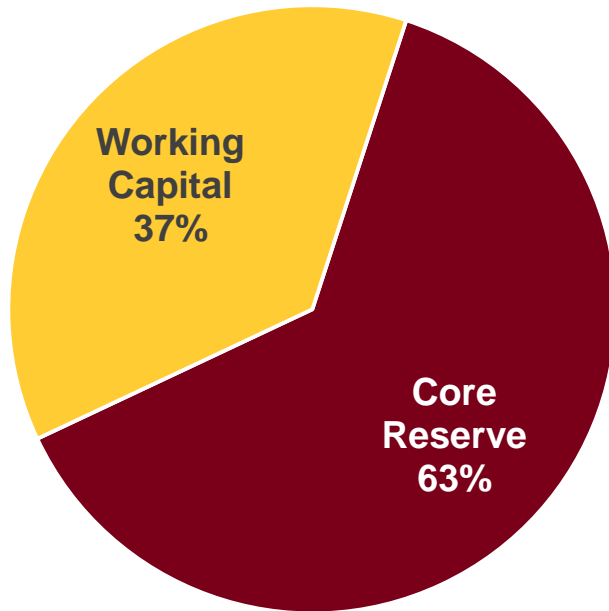


5-Year Returns for CEF Asset Classes vs. Medium-Term Objectives

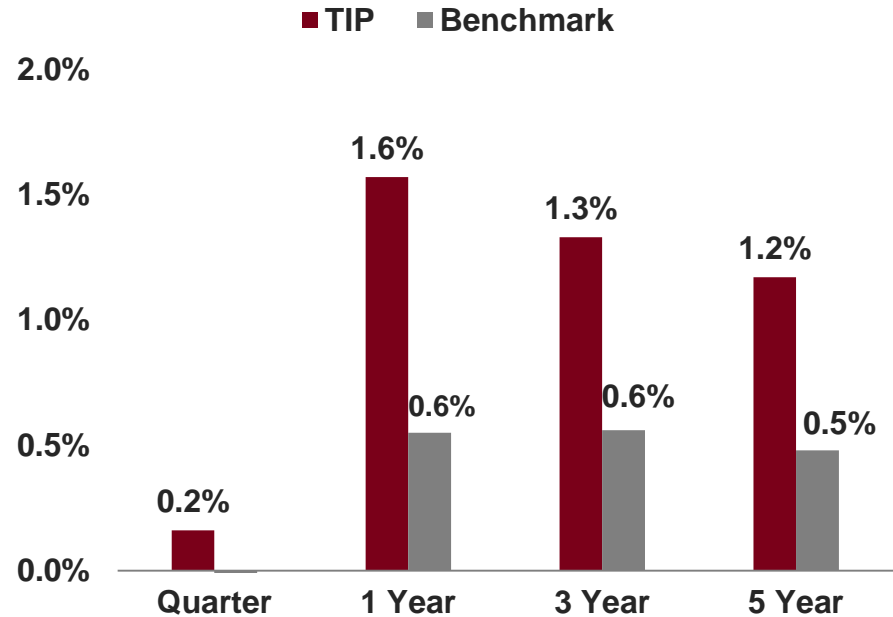


Short-Term Reserves (TIP)

Asset Allocation

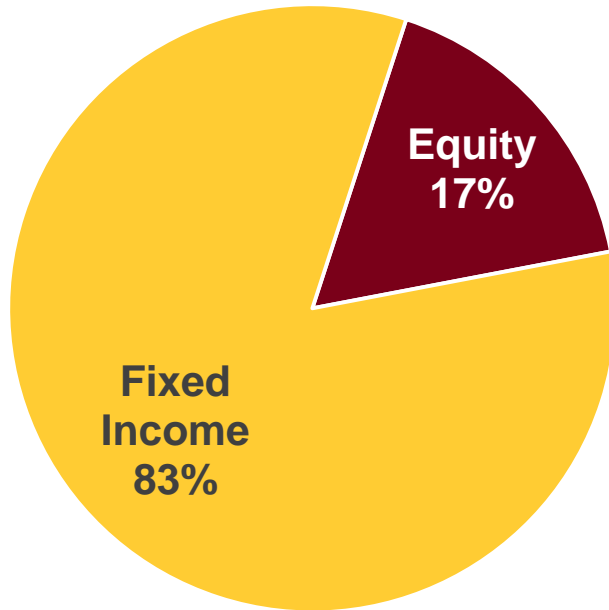


Performance Summary

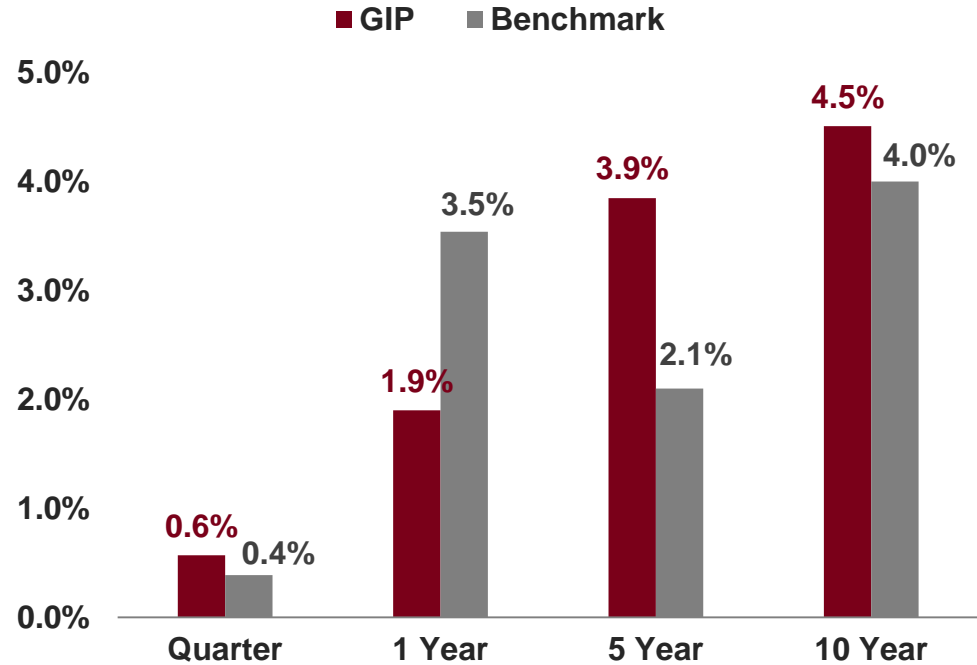


Long-Term Reserves (GIP)

Asset Allocation

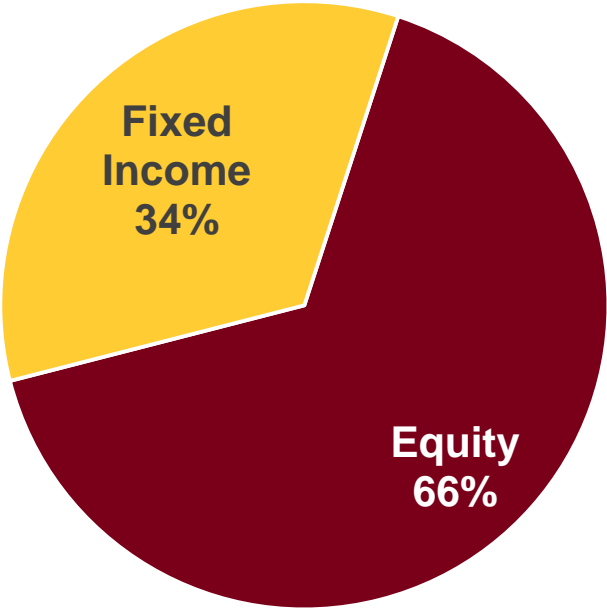


Performance Summary

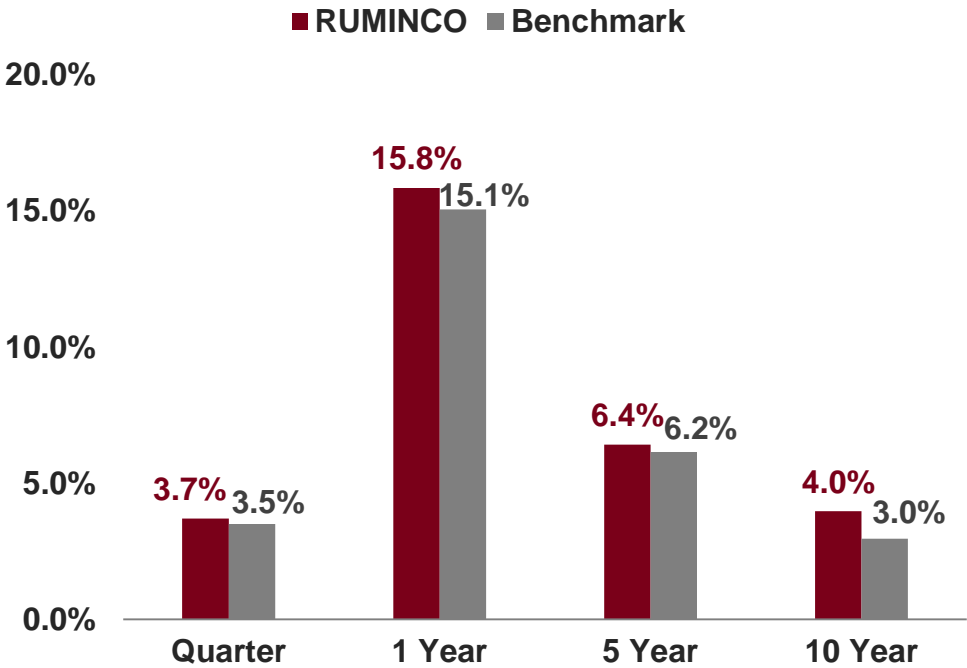


RUMINCO Ltd.

Asset Allocation



Performance Summary





UNIVERSITY OF MINNESOTA
Driven to Discover®

The University of Minnesota is an equal opportunity educator and employer.



University of Minnesota

Consolidated Statements of Net Position (Excluding Component Units)

December 31, 2017 and 2016 (in thousands)

		12/31/2017	12/31/2016
Assets			
Current assets			
	Cash and cash equivalents	\$ 472,361	\$ 376,863
	Short-term investments	65,485	86,339
	Receivables, net	598,752	611,817
	Inventories	19,768	20,237
	Student loans receivable, net	10,567	10,621
	Prepaid expenses	58,267	45,683
	Other assets	1,630	1,326
	Total current assets	1,226,830	1,152,886
Noncurrent assets			
	Restricted cash and cash equivalents	85,459	86,562
	Investments	1,982,922	1,901,606
	Receivables, net	11,552	12,095
	Student loan receivables, net	62,985	61,664
	Prepaid expenses	31,126	3,176
	Capital assets, net	3,146,707	3,050,473
	Total noncurrent assets	5,320,751	5,115,576
Total assets		6,547,581	6,268,462
Deferred Outflows of Resources		1,329,528	25,303
Liabilities			
Current liabilities			
	Accounts payable	127,460	135,386
	Accrued liabilities and other	326,476	302,910
	Unearned income	13,316	10,954
	Long-term debt	314,121	281,031
	Total current liabilities	781,373	730,281
Noncurrent liabilities			
	Accrued liabilities and other	2,168,039	503,828
	Unearned income	78	122
	Long-term debt	1,211,677	1,149,469
	Total noncurrent liabilities	3,379,794	1,653,419
Total liabilities		4,161,167	2,383,700
Deferred Inflows of Resources		178,457	298,819
Net Position			
	Unrestricted	604,927	704,100
	Restricted	Expendable	899,537
		Nonexpendable	306,163
	Net investment in capital assets	1,699,367	1,701,446
Total net position		\$ 3,537,485	\$ 3,611,246

University of Minnesota
Analysis of Consolidated Statements of Net Position
To Accompany December 31, 2017 Financial Statements
Excluding Component Units, in thousands

	12/31/2017	12/31/2016	Increase / (Decrease)		Explanation
			\$	%	
Assets:					
Current Assets:					
Cash & cash equivalents	472,361	376,863	95,498	25%	Increase substantially related to TIP investment securities maturing and classification of close to maturity date (90 days or less) from investments to cash and cash equivalents.
Short-term investments	65,485	86,339	(20,854)	-24%	Decrease due to securities being sold to meet cash needs
Receivables, net	598,752	611,817	(13,065)	-2%	
Inventories	19,768	20,237	(469)	-2%	
Student loans receivable, net	10,567	10,621	(54)	-1%	
Prepaid expenses	58,267	45,683	12,584	28%	Increase due to an increase in OIT license and maintenance agreements for hardware and software.
Other assets	1,630	1,326	304	23%	Increased workers compensation escrow
Total current assets	1,226,830	1,152,886	73,944	6%	
Noncurrent assets:					
Restricted cash and cash equivalents	85,459	86,562	(1,103)	-1%	
Investments	1,982,922	1,901,606	81,316	4%	Increase due to favorable market conditions for CEF/GIP
Receivables, net	11,552	12,095	(543)	-4%	
Student loan receivables, net	62,985	61,664	1,321	2%	
Prepaid expenses	31,126	3,176	27,950	880%	Increase due to an increase in OIT license and maintenance agreements for hardware and software.
Capital assets, net	3,146,707	3,050,473	96,234	3%	Increase relates to the Athletics Village construction project
Total noncurrent assets	5,320,751	5,115,576	205,175	4%	
Total assets	6,547,581	6,268,462	279,119	4%	
Deferred Outflows of Resources	1,329,528	25,303	1,304,225	5154%	Increase due to MSRS change in actuarial assumptions related to the discount rate and mortality
Liabilities:					
Current liabilities:					
Accounts payable	127,460	135,386	(7,926)	-6%	
Accrued liabilities and other	326,476	302,910	23,566	8%	Increase relates to increase in faculty contracts, accrued vacation, and increased retainage balances for current projects.
Unearned income	13,316	10,954	2,362	22%	Increase relates to more athletic tickets purchased in advance
Long-term debt	314,121	281,031	33,090	12%	Increase due to issuance of 2017A-C GO bonds partially offset by normal debt reduction payments
Total current liabilities	781,373	730,281	51,092	7%	
Noncurrent Liabilities:					
Accrued liabilities and other	2,168,039	503,828	1,664,211	330%	Increase due to MSRS change in actuarial assumptions related to the discount rate and mortality (\$1,665M)
Unearned income	78	122	(44)	-36%	
Long-term debt	1,211,677	1,149,469	62,208	5%	Increase due to issuance of 2017A-C GO bonds partially offset by normal debt reduction payments
Total noncurrent liabilities	3,379,794	1,653,419	1,726,375	104%	
Total liabilities	4,161,167	2,383,700	1,777,467	75%	
Deferred Inflows of Resources	178,457	298,819	(120,362)	-40%	Decrease due to MSRS change in actuarial assumptions related to the discount rate and mortality
Net Position:					
Unrestricted	604,927	704,100	(99,173)	-14%	Decrease due to MSRS change in actuarial assumptions related to the discount rate and mortality
Restricted Expendable	919,178	899,537	19,641	2%	
Nonexpendable	314,013	306,163	7,850	3%	
Net investment in capital assets	1,699,367	1,701,446	(2,079)	0%	
Total net position	3,537,485	3,611,246	(73,761)	-2%	

University of Minnesota

Consolidated Statements of Revenues, Expenses and Changes in Net Position (Excluding Component Units)

For the six months ended December 31, 2017 and 2016 (in thousands)

			12/31/2017	12/31/2016
Revenues				
Operating revenues	Student tuition and fees, net of scholarship allowances of \$145,701 in 2018; \$138,647 in 2017		\$ 394,717	\$ 384,317
	Federal grants and contracts		221,919	219,136
	State and other government grants		46,069	38,470
	Nongovernmental grants and contracts		193,882	187,648
	Student loan interest income		892	787
	Sales and services of educational activities, net of scholarship allowances of \$22 in 2018; \$25 in 2017		75,716	74,146
	Auxiliary enterprises, net of scholarship allowances of \$6,388 in 2018; \$5,768 in 2017		241,334	222,190
	Other operating revenues		81	40
Total operating revenues			1,174,610	1,126,734
Expenses				
Operating expenses	Education and general	Instruction	371,251	368,161
		Research	370,893	363,827
		Public service	135,182	141,641
		Academic support	217,427	210,224
		Student services	67,981	65,554
		Institutional support	125,749	126,176
		Operation & maintenance of plant	150,651	142,541
		Scholarships & fellowships	35,672	34,588
		Depreciation	113,493	102,580
	Auxiliary enterprises		172,442	159,984
	Other operating expenses, net		22	142
Total operating expenses			1,760,763	1,715,418
Operating Loss			(586,153)	(588,684)
Nonoperating Revenues (Expenses)				
			8,892	6,852
Federal appropriations			684,302	650,863
State appropriations			91,783	82,196
Grants			77,561	76,212
Gifts			82,125	46,272
Investment income, net			(23,155)	(26,028)
Interest on capital-asset related debt			1,467	5,737
Other nonoperating revenues (expenses), net			922,975	842,104
Net nonoperating revenues				
Income Before Other Revenues			336,822	253,420
Capital appropriations			46,669	47,019
Capital grants & gifts			22,926	27,186
Additions to permanent endowments				3
Total other revenues			69,595	74,208
Increase In Net Position			406,417	327,628
Net position at beginning of year			3,131,068	3,283,618
Net position at December 31			\$ 3,537,485	\$ 3,611,246

University of Minnesota
Analysis of Consolidated Statements of Revenues, Expenses and Changes in Net Position
To Accompany December 31, 2017 Financial Statements
Excluding Component Units, in thousands

	12/31/2017	12/31/2016	Increase / (Decrease)		Explanation
			\$	%	
Revenues:					
Operating revenues:					
Student tuition and fees, net of scholarship allowances	394,717	384,317	10,400	3%	Increase relates to tuition rate/fees increases
Federal grants and contracts	221,919	219,136	2,783	1%	
State and other government grants	46,069	38,470	7,599	20%	Increase relates to timing of invoicing/spending on grants
Nongovernmental grants and contracts	193,882	187,648	6,234	3%	
Student loan interest income	892	787	105	13%	Increased lending levels
Sales and services of educational activities, net of scholarship allowances	75,716	74,146	1,570	2%	
Auxiliary enterprises, net of scholarship allowances	241,334	222,190	19,144	9%	Increase due to Big Ten TV revenue sharing (\$6.9M), ticket sales up (\$5.2M), and MN United & MN Lynx used Athletic facilities (\$1.8M)
Other operating revenues	81	40	41	103%	Increase due to normal business activities
Total operating revenues	1,174,610	1,126,734	47,876	4%	
Expenses					
Operating expenses:					
Education and general:					
Instruction	371,251	368,161	3,090	1%	
Research	370,893	363,827	7,066	2%	
Public service	135,182	141,641	(6,459)	-5%	
Academic support	217,427	210,224	7,203	3%	
Student services	67,981	65,554	2,427	4%	
Institutional support	125,749	126,176	(427)	0%	
Operation and maintenance of plant	150,651	142,541	8,110	6%	
Scholarships and fellowships	35,672	34,588	1,084	3%	
Depreciation	113,493	102,580	10,913	11%	Increase due to depreciation recognized on new buildings and additions placed in service
Auxiliary enterprises	172,442	159,984	12,458	8%	
Other operating expenses, net	22	142	(120)	-85%	
Total operating expenses	1,760,763	1,715,418	45,345	3%	
Operating Loss	(586,153)	(588,684)	2,531	0%	
Nonoperating Revenues (Expenses)					
Federal appropriations	8,892	6,852	2,040	30%	Increase due to timing of expenditure and funds made available for draw
State appropriations	684,302	650,863	33,439	5%	
Grants	91,783	82,196	9,587	12%	Increased state financial aid (\$8.9M)
Gifts	77,561	76,212	1,349	2%	
Investment income, net	82,125	46,272	35,853	77%	Increase due to favorable general market conditions
Interest on capital-asset related debt	(23,155)	(26,028)	2,873	-11%	Decrease relates to more interest being capitalized due to 2017 GO bonds issued
Other nonoperating revenues (expenses), net	1,467	5,737	(4,270)	-74%	Decrease due to less capital asset sales activity in FY18 (\$1.9M) and loss on disposal (\$1.6M)
Net nonoperating revenues	922,975	842,104	80,871	10%	
Income Before Other Revenues	336,822	253,420	83,402	33%	
Capital appropriations	46,669	47,019	(350)	-1%	
Capital grants and gifts	22,926	27,186	(4,260)	-16%	Decrease relates to Bell Museum gift from UMF in FY17 (\$6M) offset by City of Rochester reimbursement in FY18 (\$2.3M)
Additions to permanent endowments		3	(3)	-100%	
Total other revenues	69,595	74,208	(4,613)	-6%	
Increase In Net Position	406,417	327,628	78,789	24%	
Net position at beginning of year	3,131,068	3,283,618	(152,550)	-5%	
Net position at end of year	3,537,485	3,611,246	(73,761)	-2%	

University of Minnesota

Consolidated Statements of Cash Flows (Excluding Component Units)

Six months ended December 31, 2017 and 2016 (in thousands)

	12/31/2017	12/31/2016
Cash Flows From Operating Activities		
Grants and contracts (federal, state, nongovernmental, other)	\$ 458,453	\$ 458,088
Student tuition and fees	442,326	433,839
Auxiliary enterprises	224,853	193,018
Sales and services of educational activities	33,185	37,807
Collection of loans to students	5,799	5,891
Other operating revenues	79	1,014
Payments to employees for services	(860,057)	(834,134)
Payments to suppliers for goods and services	(477,126)	(480,747)
Payments for fringe benefits	(276,030)	(260,663)
Payments for scholarships and fellowships	(43,658)	(44,378)
Loans issued to students	(5,995)	(5,622)
Net cash used by operating activities	(498,171)	(495,887)
Cash Flows From Noncapital Financing Activities		
State appropriations	341,021	309,366
Gifts for other than capital purposes	91,010	83,111
Grants for other than capital purposes	76,826	88,017
Federal appropriations	15,030	8,272
Other nonoperating revenues, net	7,189	11,612
Direct lending receipts	174,299	173,973
Direct lending disbursements	(174,516)	(175,392)
Agency transactions	8,161	5,121
Net cash provided by noncapital financing activities	539,020	504,080
Cash Flows From Capital and Related Financing Activities		
Proceeds from capital debt	146,538	
Capital appropriations	46,266	47,549
Capital grants and gifts	15,643	33,105
Proceeds from sale of capital assets	632	756
Principal received on notes receivable	257	287
Interest received on notes receivable	253	276
Purchases of capital assets	(147,133)	(129,949)
Principal paid on capital debt	(80,043)	(68,269)
Interest paid on capital debt	(27,801)	(29,665)
Net cash used by capital and related financing activities	(45,388)	(145,910)
Cash Flows From Investing Activities		
Proceeds from sales and maturities of investments	8,956,660	1,416,830
Investment income, net	3,805	34,245
Purchase of investments	(8,834,811)	(1,307,740)
Net cash provided by investing activities	125,654	143,335
Net Increase in Cash and Cash Equivalents	121,115	5,618
Cash and Cash Equivalents at Beginning of Year	436,705	457,807
Cash and Cash Equivalents at December 31	\$ 557,820	\$ 463,425

University of Minnesota

Consolidated Statements of Cash Flows (Excluding Component Units)

Six months ended December 31, 2017 and 2016 (in thousands)

	12/31/2017	12/31/2016
Reconciliation of Net Operating Revenues (Expenses) to Net Cash Used by Operating Activities		
Operating loss	\$ (586,153)	\$ (588,684)
Adjustments to reconcile operating loss to net cash used by operating activities		
Depreciation expense	113,493	102,580
Changes in assets, deferred outflows of resources, liabilities, and deferred inflows of resources		
Receivables, net	14,773	30,160
Inventories	485	2,045
Prepaid and other items	(23,103)	(22,852)
Accounts payable	12,787	7,132
Accrued liabilities	18,680	25,066
Unearned income	(49,133)	(51,334)
Net cash used by operating activities	<u>\$ (498,171)</u>	<u>\$ (495,887)</u>
Noncash Investing, Capital, and Financing Activities		
Unrealized gains on investments	\$ 83,430	\$ 13,484
Capital assets on account	18,901	22,379
Gain on retirement of debt	3,799	
Amortization of bond discount/premium	2,614	2,939
Contribution of capital assets	2,273	3,026
Capital assets acquired with capital lease	741	1,076
Net unsettled investment trades	<u>(124)</u>	<u>(41,061)</u>
Cash and Cash Equivalents at December 31		
Cash and cash equivalents	\$ 472,361	\$ 376,863
Restricted cash and cash equivalents	85,459	86,562
Total cash and cash equivalents at December 31	<u>\$ 557,820</u>	<u>\$ 463,425</u>

University of Minnesota

Component Units - Statements of Financial Position

December 31, 2017 and 2016 (in thousands)

	University of Minnesota Foundation		University of Minnesota Physicians	
	12/31/2017	12/31/2016	12/31/2017	12/31/2016
Assets				
Cash and cash equivalents	\$ 57,429	\$ 47,995	\$ 52,308	\$ 59,601
Investments, substantially at fair market value	2,586,830	2,353,673	12,951	17,184
Pledges receivable, net	201,028	187,893		
Accounts and other receivables	56,080	57,972	117,057	98,210
Interest in charitable lead trusts, unitrusts, pooled income, and trusts	91,334	87,751		
Gift annuities	33,613	34,867		
Property and equipment, net	63,971	61,631	3,216	4,230
Prepays and other assets			9,346	24,622
Total assets	3,090,285	2,831,782	194,878	203,847
Liabilities				
Accounts payable and accrued liabilities	23,794	23,429	83,207	71,544
Gift annuities payable	16,598	17,930		
Unitrusts, pooled income, and annuity trusts payable	11,401	10,174		
Investments held for custody of others	267,211	243,172		
Bonds and capital lease payable	47,852	48,693		
Total liabilities	366,856	343,398	83,207	71,544
Net Assets				
Unrestricted	126,436	107,225	111,671	132,303
Temporarily restricted	1,390,135	1,242,513		
Permanently restricted	1,206,858	1,138,646		
Total net assets	2,723,429	2,488,384	111,671	132,303
Total liabilities and net assets	\$ 3,090,285	\$ 2,831,782	\$ 194,878	\$ 203,847

University of Minnesota

Component Units - Statement of Activities

Six months ended December 31, 2017 and 2016 (in thousands)

	University of Minnesota Foundation				
	Unrestricted	Temporarily restricted	Permanently restricted	Total 12/31/2017	Total 12/31/2016
Revenues					
Contributions	\$ 194	\$ 94,033	\$ 28,545	\$ 122,772	\$ 121,851
Investment income, net	1,632	4,051	31	5,714	4,900
Net realized and unrealized gains on investments	562	108,181		108,743	42,156
Change in value of trusts		1,789	4,277	6,066	(820)
Support services revenue	4,960			4,960	115
UMF - Real Estate Advisors rental revenue	3,307			3,307	3,011
University Gateway Corporation revenue	3,402			3,402	3,151
Other revenue	12	2,298	22	2,332	974
Net assets released from restriction	99,995	(101,313)	1,318		
Total revenues	114,064	109,039	34,193	257,296	175,338
Expenses					
Program services					
Distributions for educational purposes	75,031			75,031	108,541
Support services					
Management and general	5,039			5,039	5,649
Fund-raising	15,906			15,906	14,324
UMF - Real Estate Advisors	4,268			4,268	3,808
University Gateway Corporation	3,168			3,168	3,128
Total expenses	103,412			103,412	135,450
Increase in net assets	10,652	109,039	34,193	153,884	39,888
Net assets at beginning of year	115,784	1,281,096	1,172,665	2,569,545	2,448,496
Net assets at end of year	\$ 126,436	\$ 1,390,135	\$ 1,206,858	\$ 2,723,429	\$ 2,488,384

University of Minnesota
Component Units - Statements of Activities
Six months ended December 31, 2017 and 2016 (in thousands)

	University of Minnesota Physicians	
	Total (unrestricted)	
	12/31/2017	12/31/2016
Revenues		
Net patient service revenue	\$ 91,384	\$ 88,563
Investment income, net	243	309
Net realized and unrealized gains (losses) on investments		
Equity in income of equity method investees		
Donation		
Other revenue	191,656	178,866
Total revenues	<u>283,283</u>	<u>267,738</u>
Expenses		
Program services		
Health care services	263,158	237,142
Support services		
Management and general	23,294	22,347
Total expenses	<u>286,452</u>	<u>259,489</u>
Increase (decrease) in net assets	(3,169)	8,249
Net assets at beginning of year	<u>114,840</u>	<u>124,054</u>
Net assets at end of year	<u>\$ 111,671</u>	<u>\$ 132,303</u>