



Board of Regents

May 2015

May 8, 2015

8:45 a.m. - 12:00 p.m.

Boardroom, McNamara Alumni Center

BOR - MAY 2015

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BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Distinguished McKnight University Professor Awards

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

Presenters: President Eric W. Kaler
Karen Hanson, Senior Vice President for Academic Affairs and Provost

Purpose & Key Points

To recognize the recipients of the 2015 Distinguished McKnight University Professor Award:

- George E. Heimpel, Professor, Entomology
- Alexandra B. Klass, Professor, Law
- Jean O'Brien, Professor, History
- Frank J. Symons, Professor, Educational Psychology
- Jakub Tolar, Professor, Pediatrics

Background Information

The Distinguished McKnight University Professor Awards recognize and reward our most outstanding mid-career faculty. Recipients are honored with the title Distinguished McKnight University Professor, which they will hold for as long as they remain at the University of Minnesota. The grant associated with the Professorship consists of \$100,000 to be expended over five years. The winners were chosen on the merit of their scholarly achievements and the potential for greater attainment in the field; the extent to which their achievements have brought distinction to the University of Minnesota; the quality of their teaching and advising; and their contributions to the wider community.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: National Academy Members

Review **Review + Action** **Action** **Discussion**

This is a report required by Board policy.

Presenters: President Eric W. Kaler
Karen Hanson, Senior Vice President for Academic Affairs and Provost

Purpose & Key Points

To recognize recent inductees into national academies:

- **National Academy of Engineering**
 - Michael Tsapatsis, Professor and Amundson Chair, Mechanical Engineering and Materials Science
- **Institute of Medicine**
 - Harry T. Orr, Director, Institute of Translational Neuroscience; Professor, Department of Laboratory Medicine and Pathology
- **American Academy of Arts and Sciences**
 - Dante Cicchetti, McKnight Presidential Chair; William Harris Professor of Child Psychology and Psychiatry
 - Allen F. Isaacman, Professor of History
 - Donald G. Truhlar, Regents Professor of Chemistry

Background Information

Honorary Members includes many of the most accomplished scholars and practitioners worldwide.

Founded in 1964, the National Academy of Engineering (NAE) is a private, independent, nonprofit institution that provides engineering leadership in service to the nation. The mission of the National Academy of Engineering is to advance the well-being of the nation by promoting a vibrant engineering profession and by marshalling the expertise and insights of eminent engineers to provide independent advice to the federal government on matters involving engineering and technology. The NAE has more than 2,000 peer-elected members and foreign associates, senior professionals in business, academia, and government who are among the world's most accomplished engineers. They provide the leadership and expertise for numerous projects focused on the relationships between engineering, technology, and the quality of life. The NAE is a member

of the National Academies, which includes the NAE, the National Academy of Sciences (NAS), the Institute of Medicine (IOM), and the National Research Council (NRC)— which serves as the principal operating arm of the academies. The NAE operates under the same congressional act of incorporation that established the National Academy of Sciences, signed in 1863 by President Lincoln. Under this charter the NAE is directed "whenever called upon by any department or agency of the government, to investigate, examine, experiment, and report upon any subject of science or art."

The Institute of Medicine (IOM) is an independent, nonprofit organization that works outside of government to provide unbiased and authoritative advice to decision makers and the public. Established in 1970, the IOM is the health arm of the National Academy of Sciences, which was chartered under President Abraham Lincoln in 1863. Nearly 150 years later, the National Academy of Sciences has expanded into what is collectively known as the National Academies, which comprises the National Academy of Sciences, the National Academy of Engineering, the National Research Council, and the IOM. The organization's aim is to help those in government and the private sector make informed health decisions by providing evidence upon which they can rely. Each year, more than 2,000 individuals, members, and nonmembers volunteer their time, knowledge, and expertise to advance the nation's health through the work of the IOM. Many of the studies that the IOM undertakes begin as specific mandates from Congress; still others are requested by federal agencies and independent organizations.

Since its founding in 1780, the American Academy has served the nation as a champion of scholarship, civil dialogue, and useful knowledge. As one of the nation's oldest learned societies and independent policy research centers, the Academy convenes leaders from the academic, business, and government sectors to address critical challenges facing our global society. Through studies, publications, and programs on the Humanities, Arts, and Education; Science, Engineering, and Technology; Global Security and Energy; and American Institutions and the Public Good, the Academy provides authoritative and nonpartisan policy advice to decision-makers in government, academia, and the private sector. The Academy's membership of 4,600 Fellows and 600 Foreign



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Academy of Distinguished Teachers

Review Review + Action Action Discussion

This is a report required by Board policy.

Presenters: President Eric W. Kaler
Karen Hanson, Senior Vice President for Academic Affairs and Provost

Purpose & Key Points

To recognize the 2015 Academy of Distinguished Teachers award recipients:

- **Horace T. Morse-University of Minnesota Alumni Association Award for Outstanding Contributions to Undergraduate Education**
 - Sarah Buchanan, Associate Professor of French, Division of the Humanities, University of Minnesota Morris
 - Charles Randall Fletcher, Associate Professor, Psychology, College of Liberal Arts
 - A. Peter Hilger, Co-Faculty Director and Instructor, Construction and Facility Management, College of Continuing Education
 - Rashné Jehangir, Associate Professor, Postsecondary Teaching and Learning, College of Education and Human Development
 - Frank M. Kelso, Teaching Professor, Mechanical Engineering, College of Science and Engineering
 - Oliver Nicholson, Associate Professor, Classical and Near Eastern Studies, College of Liberal Arts
 - Ted M. Pappenfus, Associate Professor of Chemistry, Division of Science and Mathematics, University of Minnesota Morris
 - R. Lee Penn, Associate Professor, Chemistry, College of Science and Engineering

- **Outstanding Contributions to Postbaccalaureate, Graduate, and Professional Education Award**
 - Lydia Artymiw, Professor, Music, College of Liberal Arts
 - Philippe Buhlmann, Professor, Chemistry, College of Science and Engineering
 - John P. Campbell, Professor, Psychology, College of Liberal Arts
 - Paul W. Glewwe, Professor, Applied Economics, College of Food, Agricultural and Natural Resource Sciences
 - David L. Kohlstedt, Professor, Earth Sciences, College of Science and Engineering

- Shashi Shekhar, Professor, Computer Science and Engineering, College of Science and Engineering
- Diane J. Tedick, Associate Professor, Curriculum and Instruction, College of Education and Human Development
- Ezgi Tiryaki, Associate Professor, Neurology, Medical School

Background Information

The Horace T. Morse – University of Minnesota Alumni Association Award recognizes excellence in contributing directly and indirectly to student learning through teaching, research, creative activities, advising, academic program development, and educational leadership. Given each year since 1965, the award represents the highest recognition by the University community of its most distinguished scholar-teachers. The award is named for the late Horace T. Morse, first dean of the General College (1934-60) and a national leader in the field of undergraduate education.

The award for Contributions to Postbaccalaureate, Graduate, and Professional Education was initiated in 1999 in recognition of faculty members for excellence in instruction, instructional program development, intellectual distinction, advising and mentoring, and involvement of students in research, scholarship, and professional development at the graduate and professional level.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: John Tate Award for Excellence in Undergraduate Advising

Review Review + Action Action Discussion

This is a report required by Board policy.

Presenters: President Eric W. Kaler
Karen Hanson, Senior Vice President for Academic Affairs and Provost

Purpose & Key Points

To recognize recipients of the 2014-15 John Tate Award for Excellence in Undergraduate Advising:

- Jess Larson, Professor, Studio Arts/Humanities, University of Minnesota Morris
- Chris Leighton, Distinguished McKnight University Professor, Chemical Engineering and Materials Science, College of Science and Engineering
- Becky Mooney, Senior Academic Adviser, Department of Psychology, College of Liberal Arts
- Les Opatz, Assistant Director of Advising, Student Services, College of Liberal Arts

Background Information

The John Tate Award for Undergraduate Advising is named in honor of John Tate, Professor of Physics and first Dean of University College (1930-41). Tate Awards serve to recognize and reward high-quality academic advising and call attention to the contribution academic advising makes to helping students formulate and achieve intellectual, career, and personal goals. By highlighting examples of outstanding advising, the Tate Awards identify professional models and celebrate the role that academic advising plays in the University's educational mission. A Selection Committee, comprised of faculty and professional advisers, a previous Tate Award recipient, and a student member, select up to four faculty and professional advisers at the University of Minnesota to receive the Tate Award.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Josie R. Johnson Award for Human Rights and Social Justice

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

Presenters: President Eric W. Kaler
Karen Hanson, Senior Vice President for Academic Affairs and Provost

Purpose & Key Points

To recognize recipients of the 2014-15 Josie R. Johnson Award for Human Rights and Social Justice:

- **Faculty/Staff Award**
 - Teddie Potter, Clinical Associate Professor, School of Nursing
- **Student Award**
 - Uzoma Abakporo, Master of Public Health Administration & Policy, School of Public Health

Background Information

The Josie R. Johnson Award for Human Rights and Social Justice was established in honor of Dr. Josie R. Johnson in recognition of her lifelong contributions to human rights and social justice, which guided her work with the civil rights movement, years of community service, and tenure at the University of Minnesota. The award honors University of Minnesota faculty, staff, and students who, through their principles and practices, exemplify Dr. Johnson's standard of excellence in creating respectful and inclusive living, learning, and working environments.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Outstanding Community Service Award

Review **Review + Action** **Action** **Discussion**

This is a report required by Board policy.

Presenters: President Eric W. Kaler
Karen Hanson, Senior Vice President for Academic Affairs and Provost

Purpose & Key Points

To recognize recipients of the 2014-15 Outstanding Community Service Award:

- David Benson, Bigelow, MN (Community Partner)
- Laurel Hirt, Coordinator, Community Service-Learning Center, College of Liberal Arts (Staff Award)
- Ashley Landers, Ph.D. Student, Family Social Science, College of Education and Human Development (Student Award)
- Cheryl Robertson, Associate Professor, School of Nursing (Faculty Award)

Background Information

The Outstanding Community Service Award recognizes contributions and accomplishments of faculty, staff, or University-affiliated community members who have devoted their time and talent to make substantial, enduring contributions to the external community and to improve public life and the well-being of society. This award clearly exemplifies the mission of the University as a publicly engaged institution. The recipients of the award this year have engaged in work that has improved the lives of countless people in critical and lasting ways at the local, state, national, and international levels.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: President's Community-Engaged Scholar Award

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

Presenters: President Eric W. Kaler
Karen Hanson, Senior Vice President for Academic Affairs and Provost

Purpose & Key Points

To recognize the recipient of the 2014-15 President's Community-Engaged Scholar Award:

- Kathleen Call, Professor, School of Public Health

Background Information

The University of Minnesota *President's Community-Engaged Scholar Award* recognizes one faculty or professional and administrative (P&A) individual annually for exemplary engaged scholarship in his/her field of inquiry. The faculty or P&A award recipients have demonstrated a longstanding academic career that embodies the University of Minnesota's definition of public engagement.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: National Scholarship Recipients

Review Review + Action Action Discussion

This is a report required by Board policy.

Presenters: President Eric W. Kaler
Karen Hanson, Senior Vice President for Academic Affairs and Provost

Purpose & Key Points

To recognize recipients of national scholarships:

Beinecke Scholarship

- Edward Chappell (Sartell, MN) has been named one of twenty Beinecke Scholars for 2015. Chappell, a junior in the University Honors Program majoring in English and History, began his undergraduate studies at St. Cloud State University and spent a semester at the Centre for Medieval and Renaissance Studies at Oxford University before transferring to the University of Minnesota. On campus he has worked as a student employee with special collections in the James Ford Bell Library, served as an officer of the Undergraduate Historical Society, and conducted an Undergraduate Research Opportunities Program (UROP) project on John Milton as a reader of Shakespeare with English Professor David B. Haley. He has also involved himself in the activities of the University's Consortium for the Study of the Pre-modern World and plans to enroll in a graduate program that will allow him to pursue interdisciplinary study of the categories and concepts that we use to understand the past. Chappell is the fourth University of Minnesota student to be awarded a Beinecke Scholarship.

Churchill Scholarship

- Maxwell Shinn (Chaska, MN) has been named a 2015 Churchill Scholar by the Winston Churchill Foundation of the United States. Shinn is a senior studying Neuroscience and Mathematics and the sixth U of M student to receive this prestigious honor. He is enrolled in the College of Biological Sciences, the College of Science and Engineering and the University Honors Program and will reside at Churchill College for the 2015-16 academic year and complete an MPhil in Psychiatry at Cambridge University. He plans to work with Professor Edward Bullmore, who is applying mathematical graph theory to fMRI data to

uncover the roots of psychiatric disorders. Shinn hopes that his research will provide a new diagnostic tool that will enable early identification and preventative care for mental illness — something he cares deeply about. At the U of M, Shinn has pursued the study of the human mind from a variety of disciplinary perspectives, conducting research with faculty in Psychology, Neuroscience, Mathematics, and Biomedical Engineering. The University offered further support with an Undergraduate Research Opportunities grant, and the Deutscher Akademischer Austausch Dienst (DAAD) funded a summer research internship in Germany. As a student at Chaska (Minn.) High School, Shinn developed WriteType, a word processor that helps children with learning difficulties learn to write. For his exceptional drive and achievement, he was awarded an AXA Scholarship given to ten top student leaders in the U.S. Shinn was also named a Goldwater Scholar in 2013 and an Astronaut Scholar in 2014.

Goldwater Scholarship

- Nathan Klein (Lakeville, MN) has been selected as a 2015 Goldwater Scholar. Klein is majoring in chemistry and mathematics and plans to complete a Ph.D. in Analytical Chemistry. As a professor at a research university, he intends to develop analytical techniques that will help to solve problems in public health, particularly issues related to allergic responses. Educated at home, he began taking courses in math and chemistry at the University of Minnesota at age 14. With his sister he developed a summer science program for kids, and in the future he hopes to inspire college students to be excited about science and to become scientifically literate citizens. At the University of Minnesota, Klein works in the lab of Chemistry Professor Christy Haynes on heat-mediated drug release from inorganic nanoparticles, where he is developing a new method to analyze cellular internalization of inorganic nanoparticles using electron microscopy. He has also conducted research on heterocyclic Diels-Alder reactions in the lab of Professor Wayland Noland. Klein is a National Merit Scholar, a member of Phi Beta Kappa, and a member of the University of Minnesota unicycle club.
- John O’Leary (Mendota Heights, MN) has been selected as a 2015 Goldwater Scholar. O’Leary is a computer science major who traces his interest in mathematical modeling and data processing to his grandfather’s stories about serving as a navigator aboard bombers in World War II. He is interested in issues of human-computer interaction and plans to earn a Ph.D. in Computer Science and conduct research on information management and work to improve accessibility to information. O’Leary is an undergraduate researcher in the Multiple Autonomous Robotic Systems lab run by Professor Stergios Roumeliotis, where he designs algorithms to quickly process and store the data gathered by a multitude of sensors. Last summer, he worked in Google’s Advanced Technologies and Products lab on a project to give mobile devices a human-scale understanding of space and motion. O’Leary is a National Merit Scholar, an Eagle Scout, and the treasurer of the University of Minnesota Ballroom Dance Club.
- Andrew Senger (Lake Elmo, MN) has been selected as a 2015 Goldwater Scholar. Senger is a mathematics major and plans to complete a Ph.D. in mathematics and study issues at the intersection of homotopy theory, algebraic geometry, and number theory. As a university professor, he intends to teach mathematics and conduct research in the theory of topological automorphic forms. Educated at home, Senger began taking advanced math and science courses at the University of Minnesota at age 14. In the summer following his freshman year he worked with Professor Dennis Stanton to develop a combinatorial proof

for selected (q,t) -identities. As a freshman, Senger investigated descent-Wilf equivalence classes with Professor Joel Lewis, and worked with Professor Tyler Lawson on a study of truncated Brown-Peterson spectra. He has also been very involved with the Math Club and organizing seminars and colloquia on advanced topics in mathematics. He is a National Merit Scholar, a University of Minnesota Gold Scholar and Presidential Scholar, and has been awarded an Ella Thorp Math Scholarship.

- Sammy Shaker (Roseville, MN) has been selected as a 2015 Goldwater Scholar. Shaker is majoring in chemistry and mathematics and plans to earn both an M.D. and a Ph.D. in Inorganic Materials Chemistry. He expects to combine his training in medicine and chemical research to address medical problems through the development of bio-composites as a faculty member at a research university. While still in high school, Shaker began doing research at the University of Minnesota with Mathematics Professor Duane Nykamp modeling neural networks. Since his freshman year he has worked in the lab of Chemistry Professor Andreas Stein to develop templates for synthesizing porous and nanostructured materials, but he has also worked with Mathematics Professor David Clark to solve a problem of error-correcting codes, and with Professor Robert Tranquillo to analyze particle image velocimetry for a tissue-engineered heart valve. Shaker is a National Merit Scholar, a Bentson Scholar, a Presidential Scholar, and active in the Shotokan Karate Club and the Al-Madinah Cultural Center.

Udall Scholarship

- Alexandra Johnson (Hopkins, MN) has been awarded a 2015 Udall Scholarship for Tribal Public Policy. Johnson is a student in the University Honors Program who is majoring in American Indian Studies with an emphasis on Ojibwe language in the College of Liberal Arts. A descendent of the Red Lake Band of Ojibwe, she plans to complete a graduate degree in education and to work to develop educational programs that will revitalize Native American languages and close the achievement gap between Native and non-Native students. With retired University of Minnesota Instructor Dennis Jones, Johnson is working to transcribe, translate, and edit a collection of recordings made by native Ojibwe speakers. On campus she is an Ojibwe language tutor, a member of the Society of Undergraduate Linguistics, and treasurer of the American Indian Student Cultural Center. She also volunteers at the Bdote Learning Center in Minneapolis and with the language immersion camp run by the Nigigoonsiminikaaning First Nation in northwestern Ontario. Johnson will also spend this summer in Washington DC with the Udall Native American Congressional Internship Program.
- Maria Lee (Madison, WI) has been named a 2015 Udall Scholar in the Environment category. Lee is a Geography major in the University Honors Program and the College of Liberal Arts. She is also completing minors in Outdoor Recreation and Education and Park and Protected Area Management and plans to complete a graduate degree in public policy and resource management. She eventually hopes to work in education and interpretive programming in State or National Parks or protected areas. Lee is especially committed to increasing the engagement of minority populations in environmental policy and public land management. At the University of Minnesota she has served as ambassador for the Multicultural Center for Academic Excellence, event coordinator for the River Rangers, and she helped to found the Roosevelt Institute, a student organization which engages young people in the process of shaping public policy. In the Twin Cities she has worked as an outdoor educator for US Forest Service and National Park Service on the Urban Wilderness

Canoe Adventure Partnership. She has also worked with Wilderness Inquiry as a development intern. With Professor Ingrid Schneider of the Department of Forest Resources, Lee conducted a summer undergraduate research project on accessibility to outdoor recreation in Hennepin and Ramsey counties in 2014. She is currently studying public land policy at the School for Field Studies in Tanzania.

Background Information

Since 1975, the Beinecke Scholarship Program has made awards to outstanding students in the arts, humanities, and social sciences nominated by 125 top colleges and universities. The award grants \$34,000 toward the cost of graduate education.

The Winston Churchill Foundation of the United States was founded in 1959 to offer American students of exceptional ability and achievement in the sciences, engineering, and mathematics the opportunity to pursue graduate studies at Cambridge. Fourteen seniors from the top colleges and research universities in the United States are selected as Churchill Scholars each year, making the \$60,000 award one of the most selective and prestigious post-graduate scholarships. Five graduates of the University of Minnesota have previously been named as Churchill Scholars.

The Barry M. Goldwater Scholarship and Excellence in Education Program was established by Congress in 1986 to honor Sen. Barry Goldwater, who served his country for 56 years as a soldier and statesman, including 30 years of service in the U.S. Senate. The prestigious scholarship is awarded annually to outstanding sophomores and juniors who intend to pursue research-oriented careers in mathematics, the natural sciences and engineering. The scholarships provide up to \$7,500 per year for up to two years of undergraduate study.

Established by Congress in 1992, the Udall Foundation is dedicated to educating a new generation of Americans to preserve and protect their national heritage through scholarship, fellowship and internship programs focused on environmental and Native American issues. The Udall Foundation is also committed to promoting the principles and practices of environmental conflict resolution. It honors brothers Morris K. Udall and Stewart Udall for their lifelong public service. The 50 students selected as 2014 Udall Scholars receive a scholarship of up to \$5,000.



BOARD OF REGENTS DOCKET ITEM SUMMARY

May 8, 2015

Board of Regents

Agenda Item: President's Award for Outstanding Service

Review Review + Action Action Discussion

This is a report required by Board policy.

Presenters: President Eric W. Kaler
Karen Hanson, Senior Vice President for Academic Affairs and Provost

Purpose & Key Points

To recognize recipients of the 2014-15 President's Award for Outstanding Service:

- Mary Cannedy-Clarke, Associate Administrator, University of Minnesota Medical School Duluth
- Gary Christenson, Medical Director and Chief Medical Officer, Boynton Health Services, Office for Student Affairs
- Kim Dockter, Senior Director of External Relations, College of Science and Engineering
- Jennifer Franko, Executive Secretary, Department of Postsecondary Teaching and Learning, College of Education and Human Development
- Gregory Hestness, Assistant Vice President for Public Safety and Chief of the University of Minnesota Police Department, University Services
- Aileen Lively, Senior Lead Business Analyst, Academic Support Resources, Office of the Senior Vice President for Academic Affairs and Provost
- Willard Miller Jr., Professor Emeritus, School of Mathematics, College of Science and Engineering
- Ann Pflaum, University of Minnesota Historian, University Relations
- Robert Seybold, Senior Psychologist, University Counseling and Consulting Services, Office for Student Affairs
- Rafaél Tarrago, Librarian, Iberian, Ibero-American and Chicano/Latino Studies, History and Political Science, University Libraries
- Suzanne Thorpe, Associate Director for Faculty Research and Instructional Services and Professor of Legal Research Instruction, Law School

Background Information

The President's Award for Outstanding Service was established in 1997 to recognize faculty and staff who have provided exceptional service to the University of Minnesota. The award is presented each year in the spring and honors active or retired faculty or staff members who have gone well beyond their regular duties and have demonstrated an extraordinary commitment to the University community.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: NCAA Champions

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

Presenters: President Eric W. Kaler

Purpose & Key Points

To recognize the following student-athletes and team for winning an NCAA championship during the 2014-15 academic year:

- Kierra Smith, Women's Swimming and Diving, 200 Meter Breast Stroke, University of Minnesota-Twin Cities – Kelly Kremer, Head Coach
- Yu Zhou, Women's Swimming and Diving, 3 Meter Dive & NCAA Diver of the Year, University of Minnesota-Twin Cities – Wenbo Chen, Diving Coach
- Luca Wieland, Men's Indoor Track & Field, Heptathlon, University of Minnesota-Twin Cities – Steve Plasencia, Head Coach
- Women's Hockey, University of Minnesota-Twin Cities – Brad Frost, Head Coach

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Board of Regents
March 27, 2015**

A meeting of the Board of Regents of the University of Minnesota was held on Friday, March 27, 2015, at 8:45 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Richard Beeson, presiding; Thomas Anderson, Laura Brod, Linda Cohen, Thomas Devine, Michael Hsu, Dean Johnson, Peggy Lucas, David McMillan, Abdul Omari, Darrin Rosha, and Patricia Simmons.

Staff present: President Eric Kaler; Chancellors Lendley Black, Jacqueline Johnson, and Stephen Lehmkuhle; Senior Vice President and Provost Karen Hanson; Vice Presidents Brian Herman, Brooks Jackson, Richard Pfutzenreuter, Scott Studham, and Pamela Wheelock; General Counsel William Donohue; Executive Director Brian Steeves; and Associate Vice Presidents Gail Klatt and Michael Volna.

OATH OF OFFICE

The Honorable G. Barry Anderson, Associate Justice of the Minnesota Supreme Court, administered the oath of office to the following Regents:

Thomas J. Anderson, representing the 7th Congressional District – Six-year Term
Richard B. Beeson, representing the 4th Congressional District – Six-year Term
Michael D. Hsu, representing the 6th Congressional District – Six-year Term
Darrin M. Rosha, representing the 3rd Congressional District – Two-year Term
Patricia S. Simmons, representing the 1st Congressional District – Six-year Term

The meeting adjourned at 9:00 a.m.



BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Board of Regents
March 27, 2015**

A meeting of the Board of Regents of the University of Minnesota was held on Friday, March 27, 2015, at 9:15 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Richard Beeson, presiding; Thomas Anderson, Laura Brod, Linda Cohen, Thomas Devine, Michael Hsu, Dean Johnson, Peggy Lucas, David McMillan, Abdul Omari, Darrin Rosha, and Patricia Simmons.

Staff present: President Eric Kaler; Chancellors Lendley Black, Jacqueline Johnson, and Stephen Lehmkuhle; Senior Vice President and Provost Karen Hanson; Vice Presidents Brian Herman, Brooks Jackson, Richard Pfitzenreuter, Scott Studham, and Pamela Wheelock; General Counsel William Donohue; Executive Director Brian Steeves; and Associate Vice Presidents Gail Klatt and Michael Volna.

APPROVAL OF MINUTES

A motion was made and seconded, and the Board of Regents voted unanimously to approve the minutes of the following meetings:

Audit Committee – February 12, 2015
Litigation Review Committee – February 12, 2015
Facilities & Operations Committee – February 12, 2015
Faculty & Staff Affairs Committee – February 12, 2015
Academic & Student Affairs Committee – February 12, 2015
Finance Committee – February 12, 2015
Board of Regents – February 13, 2015

REPORT OF THE PRESIDENT

President Kaler congratulated Regents Anderson, Beeson, Hsu, Rosha, and Simmons on their election to the Board. He identified several ways each of the new Regents is connected to the University, its mission, and its community.

Kaler spoke briefly on human subjects research, the Dan Markingson matter, and the report of the Legislative Auditor. He offered his sympathies to the Markingson family, identifying Dan's mother specifically and apologizing to her for the death of her son under the University's care. Kaler emphasized that although the administration does not agree with all of the Auditor's findings, he is committed to restoring the public's faith in University research and to conducting research in a manner that is both ethical and safe.

Kaler discussed the University's biennial budget request. He conveyed his gratitude to the Governor for continued support of two University priorities: freezing tuition and investment in the medical school. He shared that the House is proposing less than half of what the Governor

committed. Kaler urged the University community to advocate for the University's request in the coming weeks.

Kaler also highlighted the University's continued prominence as a leading institution; expressed support for several of the University's student-athletes and teams, including the national champion Gopher women's hockey team; and described several upcoming events on campus.

A copy of the Report of the President is on file in the Board Office.

REPORT OF THE CHAIR

Regent Beeson reported on the previous day's Board activities, which began with a breakfast with state legislators in St. Paul. The Board spent the rest of the day visiting the College of Education and Human Development (CEHD). Beeson detailed that Regents met with students and faculty, learned how brain imaging is used to increase understanding of cognitive development, and tested a new classroom technology that was developed at the University. Beeson offered his thanks to CEHD Dean Jean Quam for hosting the day-long event.

Beeson explained that at last July's retreat, the Board established five priorities for the year. He noted that three of those priorities were on the day's agenda: implementation of the Twin Cities strategic plan, continued progress on resource prioritization through Operational Excellence, and guiding principles for long-range Twin Cities campus planning.

A copy of the Report of the Chair is on file in the Board Office.

RECEIVE AND FILE REPORTS

Chair Beeson noted the receipt and filing of the Annual Capital Financing and Debt Management Report and the Quarterly Report of Grant and Contract Activity.

CONSENT REPORT

Chair Beeson presented for action the Consent Report as described in the docket materials, including:

- Report of the All-University Honors Committee recommendation forwarded in a letter from President Kaler dated March 18, 2015.
- Report of the University Naming Committee recommendation forwarded in a letter from President Kaler dated March 18, 2015.
- Summary of Gifts through February 28, 2015.
- Discovery Capital Program Purchase Approval.
- Finance Committee Consent Report, including:

Purchase of Goods and Services \$1,000,000 and Over to:

- Iceberg Technology Group for an estimated \$1,500,000 for post-implementation enhanced support consulting services for both direct services and backfill for the period of April 20, 2015 through June 30, 2015, following the enterprise-wide PeopleSoft systems upgrade. Funding for the post-implementation enhanced support

budget, which includes this contract, will be provided by the University's Enterprise Assessment. Vendor was selected through a competitive process.

- Sierra-Cedar, Inc. for an estimated \$1,500,000 for post-implementation enhanced support consulting services for the period of April 20, 2015 through June 30, 2015, following the enterprise-wide PeopleSoft systems upgrade. Funding for the post-implementation enhanced support budget, which includes this contract, will be provided by the University's Enterprise Assessment. Vendor was selected through a competitive process.
- ServiceNow.com for an additional \$1,600,000 for an online IT service management tool and support and maintenance for the period of June 20, 2016 through June 19, 2019 for the Office of Information Technology. The Office of Information Technology (OIT) funds this subscription, product support and software updates to ensure continuous operation of mission critical functions. The expense will be covered from OIT's central O&M funds. The FY16 budget includes planning and funding for this expense. Vendor was selected through a competitive process.

A motion was made and seconded, and the Board of Regents voted unanimously to approve the Consent Report.

RECOGNITION OF MCKNIGHT LAND-GRANT PROFESSORS

Recognition was given to the 2015 recipients of the McKnight Land-Grant Professorship:

Kate Derickson, Geography, Environment, and Society, UMTC
Rafael Fernandes, Physics and Astronomy, UMTC
Matthew Johnson, Biomedical Engineering, UMTC
Dan Knights, Computer Science and Engineering, UMTC
Will Northrop, Mechanical Engineering, UMTC
Erik Redix, American Indian Studies, UMD
Emilie Snell-Rood, Ecology, Evolution, and Behavior, UMTC
Kechun Zhang, Chemical Engineering and Materials Science, UMTC

REPORT OF THE STUDENT REPRESENTATIVES TO THE BOARD OF REGENTS

Student Representatives Hannah Kiel, Chair, and Callie Livengood, Vice Chair, presented a report from the group, as detailed in the docket. The report offered perspectives on four main topics of concern to University students: innovation and entrepreneurship, graduation outcomes, sustainability, and financial literacy.

In response to a question from Regent Devine, Livengood stated that students sometimes feel discouraged by a perceived lack of communication and action within the administration.

In response to a question from Regent Simmons, Kiel emphasized that good resources are in place for students in the area of innovation and entrepreneurship. Kiel suggested that the University could do more to raise awareness of those resources so students can take advantage of them.

In response to a question from Regent Omari, Kiel explained that Indiana University has established a good program for promoting financial literacy, asserting that it would be a helpful model to consider at the University of Minnesota. She suggested that financial literacy

programs and outreach be offered not just in the first year but integrated throughout a student's experience.

Regent Anderson noted that he is encouraged to see students making financial literacy a priority.

ASSUMPTIONS AND PRINCIPLES GUIDING LONG-RANGE TWIN CITIES CAMPUS PLANNING

President Kaler explained that for over 160 years, the University's identity has been directly linked to its location in Minneapolis and St. Paul. He emphasized that the history, breadth of programs, urban location, and research mission all are draws for students, and that a place-based learning experience is one of the University's biggest competitive advantages in attracting and retaining exceptional students, staff, and faculty.

Kaler introduced Senior Vice President and Provost Karen Hanson, Vice President for Health Sciences and Dean of the Medical School Brooks Jackson, Vice President for University Services Pam Wheelock, and Vice President for Research Brian Herman to lead a discussion of assumptions and principles guiding long-range Twin Cities campus planning, as detailed in the docket.

Wheelock reminded the Board that the Twin Cities Campus Master Plan (Plan) was adopted in 2009 and was the result of a two-year process that engaged a broad spectrum of the University community. She explained that the Plan's 11 guiding principles fall into four general areas: building community, creating a model campus, integrating local and regional systems, and using the University's resources wisely.

Hanson reported that many issues surrounding space use and development relate to the student experience on campus. She explained that they are linked to the University's goals for undergraduate, graduate and professional student enrollment. Hanson noted that changes in pedagogy, as well as in students' expectations, will affect classroom and other campus facility needs.

Herman and Jackson discussed a shift in research trends toward team-based and collaborative activities. They emphasized the importance of keeping up with these trends so that the University continues its successes in research and recruitment. Each noted that current research and medical facilities are outdated and need improvement. Jackson highlighted some of the advances in the University's clinical facilities, but explained that there is still a long way to go toward meeting the needs of the expanding programs within the Academic Health Center.

Wheelock detailed development and real estate changes near campus and their effect on long-range planning. She emphasized the importance of continuing to work with the broader community and the city of Minneapolis to advance the University's strategic goals. Wheelock added that the student housing strategy would be discussed in greater detail at a future Facilities & Operations Committee meeting.

In response to questions from Regent Devine, Wheelock explained that campus development must be driven by the business plan. She noted that the challenge is finding spaces that are supportive of the mission while keeping the University competitive with other institutions. Hanson additionally commented on luxury student housing. She noted that housing plays a large role in the value of a student's experience, but cautioned that living alone in luxury housing is not necessarily the best way to integrate students into the University community. Hanson offered that the challenge for the administration is meeting the desires of the students

while still providing for their educational needs. Regarding recruitment of faculty, Hanson explained that faculty consider both research space and housing space.

In response to a question from Regent Hsu, Wheelock stated that she did not have immediate access to data that separately listed leased versus owned space but would provide those data for the Board.

In response to a question from Regent Lucas, Wheelock noted that wresting land from the railroads bordering campus is complicated. She explained that it is difficult to interest companies in the discussion, since the area near campus is only a small part of a much larger infrastructure. As a result, the University is dependent upon companies deciding it is in their long-term interest to release property. Wheelock noted some success in acquiring small parcels of land, but added that it is slow process. She also emphasized that parking is an ongoing issue in the area of campus dense with athletic venues.

Regent McMillan explained that he hopes the focus on growth is not just incremental, but also focuses on transformational projects.

Regent Rosha expressed hope that Regents who do not serve on the Facilities & Operations Committee will still have opportunities for input.

TWIN CITIES STRATEGIC PLAN IMPLEMENTATION STEPS AND METRICS

Chair Beeson invited Senior Vice President and Provost Karen Hanson, and Lincoln Kallsen, Director of Institutional Analysis, to share Twin Cities Strategic Plan implementation steps and metrics, as detailed in the docket.

Hanson reminded the Board that the Twin Cities strategic plan (strategic plan) is a focused framework for accelerating advancement in strategic areas to invigorate the University's core academic mission over the next decade. She explained that though there are four broader goals, the primary goal of the strategic plan is institutional invigoration and excellence.

Hanson outlined several of the implementation priorities already underway. She explained that work has been ongoing since fall semester 2014 to integrate strategic plan goals into the annual compact processes that drive University planning and budgeting. Hanson highlighted the College of Liberal Arts and the School of Public Health as examples of units that are integrating aspects of the strategic plan into their operations.

She explained that a continuity team has been established to assist colleges and units in implementing action steps associated with the strategic plan. This team will provide guidance on implementation issues, priorities, and metrics, and advance campus engagement with the plan's implementation.

Hanson reported on efforts surrounding the concept of "grand challenges." In the student sphere, grand challenges curriculum is being integrated into courses that will help students develop a foundational set of knowledge, skills, and values that can be applied across a range of potential grand-challenge topics. These courses will be team-taught by faculty from different units to provide multiple perspectives on inherently interdisciplinary topics. Hanson reported that similar initiatives are being addressed at the faculty level. In addition, a Grand Challenges Research Strategies Team is shaping a broad, campus-wide process to identify areas to embrace as institutional priorities.

Kallsen explained that the administration is already using many metrics. He stressed that while these existing metrics are important, there is a need to develop new metrics that are specifically in line with the strategic plan. He noted that reaching consensus about interdisciplinary work and related metrics is difficult, and that the strategic plan represents a true culture shift. Kallsen cited the use of student, faculty and staff engagement surveys as one way the University's culture is shifting. He cautioned that it is hard to move these types of measures quickly and so it is important to set smaller goals to track progress.

In response to a question from Regent Brod, Hanson stated that there is already much collaboration between colleges and departments related to implementation of the strategic plan. She hopes to use units that are experiencing success as models for others.

In response to a comment by Regent Omari, Hanson clarified that she does not see a disconnect between the strategic plan and efforts by the Office of Equity and Diversity and others to recruit and establish a more diverse faculty.

Regent Beeson reminded Board members that they will discuss a governance-level progress card in May. That progress card will be parallel and complementary to the metrics being used to track the strategic plan.

FINDINGS OF INDEPENDENT REVIEWS & RESOLUTION RELATED TO IMPROVING THE CONDUCT OF HUMAN SUBJECTS RESEARCH

Regent Beeson introduced the item on findings of independent reviews and a resolution related to improving the conduct of human subjects research, as detailed in the docket. He stressed that the University is at the beginning of a process to develop a plan to improve human subjects research practices. He noted that the Board is committed to being open and transparent throughout the process. He identified Regent Simmons as the liaison between the Board and the implementation team, adding that the Board will also provide oversight through its Audit Committee.

President Kaler described the situation leading up to the resolution presented to the Board. He stated that two independent external reviews related to the University's human subjects research were recently completed – an independent external review focused on current practices, and a report by the Legislative Auditor focused primarily on the 2004 death of a patient, Dan Markingson, while enrolled in a clinical trial. Kaler noted that the independent external review recognizes considerable strengths and people dedicated to advancing clinical research ethics at the University, but that both reports identify a need for substantial improvements and offer significant recommendations.

Kaler introduced Vice President for Health Sciences and Dean of the Medical School Brooks Jackson, Vice President for Research Brian Herman, and Associate Vice President Gail Klatt, Internal Audit, to provide additional information.

Herman expressed great sympathy for Markingson's family. He emphasized that the University can only change procedure and policy moving forward to ensure that research is conducted as ethically as possible. Herman expressed hope that the University would regain the public's trust and confidence and stressed that clinical research is essential to the University community, the state, and the world.

He explained several recommendations made by the independent external review panel. These recommendations focus on institutional review board (IRB) membership and the review process, enhancing education and training, scientific review of protocols, engagement of research subjects in the community, post-review monitoring, and the consent process.

Herman noted that the report of the Legislative Auditor made nine findings and two recommendations. The report recommended legislation requiring the University to suspend Department of Psychiatry drug studies until the external review panel's recommendations have been implemented. It also recommended legislation directing the Office of the Ombudsman for Mental Health and Developmental Disabilities to monitor patients in Department of Psychiatry drug studies.

Jackson outlined six action steps that are already underway:

1. Suspending enrollment in all Department of Psychiatry interventional drug studies currently active or awaiting approval until they have been reviewed by an independent institutional review board (IRB).
2. Appointing an Implementation Team to review and plan for recommendations and report to the President and Board of Regents in 60 days (May 15, 2015).
3. Using an independent IRB to sample additional interventional clinical studies targeting vulnerable populations.
4. Appointing a Community Oversight Board, including external experts in human subjects research and research ethics.
5. Visiting leading institutions to learn best practices for IRBs.
6. Implementing new IRB software to improve review processes and oversight.

Jackson explained that a number of improvements identified by the external review are already in progress. These improvements include structural and composition changes to the biomedical IRB; changes to IRB process and documentation; enhancing post-approval review; and education and training staffing.

He emphasized that the challenge is balancing the potential for conflicts of interest. He stated that the goal is to create a clinical trial that positively affects people, is academically productive, provides access to additional trials and resources, and lowers participation costs for future trials.

Regent Simmons stated that the Board is accountable for everything the University does, and has a responsibility to the people of the state of Minnesota. She added that this responsibility includes policy, ethics, and culture of the University. Simmons noted that the events that triggered this resolution predate the current administration, and that the Board was previously given reassurances from the institutional review board, the FDA, and the accrediting bodies of the state. These reassurances were unfounded and Simmons expressed confidence that the resolution shows commitment to upholding the highest ethical standards.

In response to a question from Regent Brod, Jackson agreed that it is important to continue other trials during this investigation. He stated that general issues related to conflict of interest exist beyond the Department of Psychiatry, and the University needs to minimize the risk of coercion. He added, however, that it is important to continue recruiting and providing opportunities for individuals to participate.

Regent Brod emphasized the importance of continued partnerships with the private sector to help fund clinical trials. She cited a University of California, Berkeley, study that found 75 percent of all U.S. trials in medicine are privately funded.

Regent Lucas offered her support for the resolution, noting that a member of her family benefited greatly from the University's bone marrow research. She shared that she has heard from others recently who have benefited from other clinical research in the areas of shingles and macular degeneration. She emphasized that these clinical trials are vital to the state of

Minnesota. She emphasized that increased oversight should not lose sight of the importance of clinical trials.

Regent Cohen stated that she is proud of the research being done in clinical trials, and that the Faculty Senate and President commissioned an external review team to look at human subjects research.

The Board of Regents voted unanimously to approve the Resolution Related to Improving the Conduct of Human Subjects Research, as follows:

WHEREAS, the University is committed to meeting, upholding and exceeding the highest ethical standards in research practices involving human subjects; and

WHEREAS, these ethical standards are critically important in the context of clinical research involving those with limited decision-making capacity; and

WHEREAS, our current human research protection program always aspires to function at a level that is above reproach; and

WHEREAS, conducting clinical research is critical to finding treatments and cures to improve human health and save lives and to fulfilling our mission as a research university; and

WHEREAS, an independent external review of current human subject research practices finds opportunities to improve and create a human subjects research program that is beyond reproach and serves as a national model; and

WHEREAS, a Legislative Auditor's review recommends that the University adopt the independent external review panel findings;

WHEREAS, we take these reports seriously and we will hold ourselves accountable, implement the external review panel recommendations, and strengthen oversight processes internally and at the governance level; and

WHEREAS, we value our strong tradition of shared governance and the faculty's unique role in shaping culture and creativity and in upholding the highest standards of excellence at the University

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents endorses the President's announced immediate and longer term administrative actions to strengthen the University's human subject protections overall, and specifically within the Department of Psychiatry, including but not limited to:

a) Suspending enrollment in all Department of Psychiatry interventional drug studies currently active or awaiting approval until they have been reviewed by an independent institutional review board (IRB), which will recommend to the University Institutional Official (Dr. Brian Herman) whether or not the suspension should be lifted.

b) Creating a Task Force comprised primarily of faculty and including external experts to plan implementation of the external review panel recommendations and report an implementation plan and action items to President Kaler and the Board of Regents in 60-days (May 15, 2015).

c) Using an independent IRB and the University's post approval monitoring process to sample additional interventional clinical studies targeting vulnerable

populations to guarantee that ongoing activities are appropriate and consistent with approved protocols, and that interactions with human subjects are consistent with the recommendations of the external review panel.

d) Appointing a Community Oversight Board, comprised of external experts in human subjects research and research ethics, with special emphasis in the area of interfacing with individuals with diminished mental capacity, to make sure that the University's approaches to human subject research are best practices.

BE IT FURTHER RESOLVED that the Board of Regents commits to an open and transparent process that solicits input from faculty and others throughout the University community and affirms the University's mission to engage in cutting-edge clinical research of the highest quality;

BE IT FURTHER RESOLVED that the Board of Regents will take an active role to shape the University's action plan and provide ongoing oversight and monitoring of these activities through its Audit Committee by evaluating the implementation plan and receiving regular progress reports on the implementation of the recommendations.

UPDATE: OPERATIONAL EXCELLENCE INITIATIVES

Kaler introduced the item on Operational Excellence (OpEx) initiatives, as detailed in the docket. He reviewed its principles: minimizing administrative costs, raising productivity, reducing redundancy, promoting entrepreneurship, and recalibrating the risk profile of the University. Kaler highlighted the unprecedented ESUP launch, stating that this type of complete process redesign is at the core of the OpEx initiative.

Kaler introduced Vice President & Chief Financial Officer Richard Pfitzenreuter; Vice President & Chief Information Officer Scott Studham; Patti Dion, Director of Employee Relations and Compensation; and Sue Van Voorhis, Associate Vice Provost and Registrar, to provide the Board with an update on OpEx.

Pfitzenreuter reminded the Board of the goal to reallocate \$90 million in administrative costs. He noted that to date, the University has reallocated \$39 million.

Studham shared ways in which the Office of Information Technology (OIT) is reducing its costs and redundancy. He discussed several issues surrounding software rationalization, indicating that the University has upgraded many smaller processes. These upgrades have saved money and created more efficient technology. Studham reported that OIT has cut help desk spending from \$1.7 million to \$1 million by eliminating 52 of the 73 helpdesks campus wide. He also noted investments in academic technology, where OIT is shifting money into critical support areas.

Dion reported that the University has implemented several HR changes in response to the 2013 Huron Consulting report. She reported on other ongoing HR initiatives related to the upgrade and changes to the HRMS portion of PeopleSoft, as well as continuation of the job family studies. Dion cited call center streamlining and the continued work on spans and layers as additional ways that HR is supporting OpEx initiatives.

Pfitzenreuter reported completion of several major projects in the areas of finance and procurement, which have created more streamlined processes. He identified three projects underway that will address procurement thresholds, new cash handling tools, and new policies and procedures for travel and expense reimbursement.

Van Voorhis discussed areas where OpEx is being implemented in student services. She offered that the PeopleSoft upgrade has eliminated 50 percent of modifications. She reported improvements to student processes such as registration, document processing, scholarship standardization, and the OneStop service area.

In response to a question from Regent Hsu, Kaler offered that there is no simple answer when it comes to lowering undergraduate tuition. He added that the University is constrained by resources from the state as well as by the marketplace. He emphasized the balance between setting a responsible tuition level while maintaining an appropriate value for the dollar.

In response to questions from Regent Rosha, Studham reported that some help-desk transitions take extra time because OIT is working to ensure that each unit and department receives the specific support they require. Pfitzenreuter added that the University has responded well to incentives historically, and that he believes there will be ways to apply incentives to the adoption of spans and layers initiatives across departments.

In response to a question from Regent McMillan, Kaler shared that one goal is to derive greater value from the money the University receives from the state. He stated that the University serves a variety of missions, and that budget requests to the legislature are about more than just tuition.

The meeting adjourned at 12:26 p.m.

BRIAN R. STEEVES

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive style with a large initial "B".

**Executive Director
and Corporate Secretary**



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Report of the President

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

Presenters: President Eric W. Kaler

Background Information

It is customary for the President to report on items of interest to the University community at each Board of Regents meeting.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Report of the Chair

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

Presenters: Regent Richard Beeson

Background Information

It is customary for the Chair to report on items of interest to the University community at each Board of Regents meeting.



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Receive & File Reports

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

Presenters: Regent Richard Beeson

Purpose & Key Points

The following items are included for receipt and filing:

- A. Annual Review of President's Delegations

University of Minnesota Board of Regents
Annual Review of the President's Delegations
Receive and File Report
May 8, 2015

Board of Regents Policy: *Reservation and Delegation of Authority* sets forth the expectation that the president shall report annually to the Board of Regents significant changes made to the presidential delegations of authority.

The President's delegation of authority system is critical to the University – it helps ensure that all binding agreements are legally accurate and approved by individuals who hold that authority, and it protects the University from intentional and unintentional misuse of authority.

A delegation of authority project team recently completed a thorough review of existing authorities over a 2-year period under the direction of the President's Operational Excellence team. They found that some of the authorities are duplicative or similar in nature, no longer applicable, or already addressed in University policy. Consequently, they proposed merging and/or deleting a number of authorities in an effort to streamline the delegations of authority system. These proposed changes were reviewed and approved by the senior leader most closely associated with the authority (e.g., VP Pfutzenreuter reviewed the financial authorities.)

The Improvements:

We have made a number of improvements to simplify the delegations considerably, including:

- reducing the overall number of authorities from 122 to 85 (a 30% decrease) by merging like authorities and eliminating unnecessary authorities
- revising the descriptions of all remaining 85 authorities so they're more understandable
- reducing the types of authorities displayed in the delegations library from four (approval, legal, financial and signature) to one
- cleaning up over 3,000 inaccurate delegations of authority assignments (i.e., individuals no longer employed, alignment of authorities for like positions, reporting line changes)

Authorities – to be Deleted

Authority Code	Authority Title	Rationale for Deletion
AD06	Student course participation	Not related to a contract or obligation for the University
AD08	Student business enterprises	This entity no longer exists
AD13	Operating systems for libraries	This is a limited authority that pertains to just one of the many systems at the University. Doesn't fit the same standard as contracts.
FN07	Purchasing	Duplicates 07a (purchase of prof serv under \$50K) and 07b (purchase of prof serv \$50K to \$1M)
FN20	Services-site agreements	There is no information available for this authority, and no standard contracts in the library associated with it.
HR15	Academic Misconduct	The University expects academic integrity from all employees at all times. The process to deal with allegations or evidence of potential academic misconduct is covered in Administrative Policy: Research Misconduct and/or Reporting and Addressing Concerns of Misconduct
HR25	Grievance Office Staff Appointment	This is just one position out of thousands at the University. It is already covered in an employee group authority.
HR26	Grievance Officer Appointment	This is just one position out of thousands at the University. It is already covered in an employee group authority.
HR35	Tenure cards execution	This is a process rather than a contract
HR37	Tenure Recommendations to the Board	The decision-making body is the BOR of Regents. This authority is a recommendation so it doesn't bind the University, until the Board makes the decision.
RS01	Principal investigator eligibility to prepare a grant application	Duplicate of RS02
RS03	Sponsored awards with business and industry sponsors	This is covered by two existing authorities and one more to be added.
RS05	Sponsored project compliance	This delegation is only assigned to Brian Herman and is specified in his job description/responsibilities.
RS06	Distribution of patent invention income	This delegation is only assigned to Brian Herman. The distribution details are provided in the BOR policy.
RS08A	Research involving recombinant DNA and hazardous biological materials	No one has been delegated this authority.
RS11	Student Assignment of Copyright to University	The two copyright policies specify who owns the copyright to works produced at the University, depending on the particular circumstances.
RS18	Trademark licensing and filings (patents)	Duplicate of RS10

Authorities – to be Merged

Authority Code	Authority Title	Rationale for Deletion
AD17 AD18 F11	Camps for non-U students (athletic or other type of camp)	These are similar activities and they relate to use agreements, with the U as landlord.
AD21 AD22	New Title: "Settlement of Liability Claims." Claims initiations, adverse rulings appeals and legal and administrative claims settlements, including works compensation claims for AHC, appeal adverse rulings and settle claims in professional liability cases arising out of health sciences	These types of activities are the same, regardless of whether or not AHC is the unit involved.
AD23 AD25	All other legal claims settlements, including the settlement of RUMINCO insurance-covered nonprofessional liability claims	These both relate to the same activity – legal claims settlements.
FN02B FN02A FN02 FN03	External Sale; External Sale of University services including lab use	Should merge with FN02, and retitle: External Sales to provide Goods and Services. There is very little distinction between these.
FN09 FN10	Purchase of Non-Monumental Works of Art; Purchasing of Museum Works of Art	Should merge these two because they are similar in nature. Museums in the U system would purchase works of art only for their museum. All other art would be in the local units.
FN15 FN16 FN17 FN18 FN19	Lease Assignments; Miscellaneous Real Estate Documents (Farm Lease); Land (Ground) and Farm Leases; Office Lease; Housing Lease	Propose creating one authority that is labeled Lease Assignments, and covers all of these categories. Individuals who currently have the authorities, have all of them.
FN21 FN22	Issuance of Debt	Bonds, underwrite, engagement and termination; negotiations
FN23 FN24 FN27 FN29	Investment Functions	Management and execution of the University's investment program, including the purchase and sale of securities and actions related thereto; the engagement and termination of investment managers and advisors; and the exercise of voting rights related to University securities
FN32 FN38	Architects and Engineers Agreements for Predesign Services;	These delegations are similar, even though the size of the delegates varies. Propose creating one authority with the label: Predesign services and fold all of these into it.
HR10 HR11	P&A Suspension During Notice of Dismissal Period; Termination of Academic Staff	Propose creating one authority that includes termination, suspension, and program curtailment.
HR22 HR23 HR24	Phased Retirement; Terminal Leave; Retirement Benefits	Propose creating one authority that focuses on retirement.
HR30 HR36	Exception to tenure credit for new faculty	These two delegations are duplicates.
RS16 RS17	Registration of Trademarks and Licensing Trademarks Owned by the U other than in Connection with Athletics; Trademark Licensing and Filings (Athletics)	Propose creating one authority for registration and licensing of trademarks, and expand the scope to cover trademarks owned by the U (with or without a connection with athletics)



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Consent Report

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

Presenters: Regent Richard Beeson

Purpose & Key Points

To seek Board of Regents approval of items in the Consent Report, as required in Board of Regents Policy: *Reservation and Delegation of Authority*.

Items for consideration:

A. Gifts

The President recommends approval of the Summary Report of Gifts to the University of Minnesota through March 31, 2015 (attached).

President's Recommendation

The President recommends approval of the Consent Report.

**MEETING OF THE BOARD OF REGENTS
GIFTS TO BENEFIT THE UNIVERSITY OF MINNESOTA
SUMMARY REPORT***

May 2015 Regents Meeting

	<u>March</u>		<u>Year-to-Date</u>	
	<u>2015</u>	<u>2014</u>	<u>07/01/14 03/31/15</u>	<u>07/01/13 03/31/14</u>
U of M Gift Receiving	\$ 3,626,716	\$ 1,734,761	\$ 12,675,083	\$ 8,239,705
4-H Foundation	29,964	42,814	1,152,992	366,081
Arboretum Foundation	391,863	599,093	6,259,558	16,460,193
Univ of MN Foundation	<u>15,219,951</u>	<u>13,151,990</u>	<u>260,333,322</u>	<u>190,341,241</u>
Total Gift Activity	<u>\$ 19,268,494</u>	<u>\$ 15,528,658</u>	<u>\$280,420,955</u>	<u>\$ 215,407,220</u>

*Detail on gifts of \$5,000 and over is attached.

Pledges are recorded when they are received. To avoid double reporting, any receipts which are payments on pledges are excluded from the report amount.

Gifts to benefit the University of Minnesota

Gifts received in March 2015

<u>Donor</u>	<u>Rec'd by</u>	<u>Gift/Pledge</u>	<u>Purpose of gift</u>
<u>\$1 Million and Over</u>			
Arnold Goldman Estate	UM	Gift	Weisman Art Museum
Hormel Foundation	UMF	Gift	Hormel Institute
<u>\$500,000 - \$1,000,000</u>			
Elizabeth R. Thompson Estate	UMF	Gift	Scholarships, Graduate School
Karin L. and Robert S. Moe	UMF	Gift	Medical School
Gertrude L. Berndt Estate	UMF	Gift	College of Liberal Arts
Niron Magnetics Inc.	UMF	Gift	College of Science and Engineering
<u>\$250,000 - \$500,000</u>			
Rene M. Schwartz Estate	UMF	Gift	College of Education and Human Development
The McKnight Foundation	UMF	Gift/Pledge	Various Colleges
3M Foundation Inc.	UMF	Gift/Pledge	Various Colleges
Frank J. and Eleanor A. Maslowski Charitable Trust	UMF	Pledge	College of Science and Engineering
Childrens Cancer Research Fund	UMF	Pledge	Medical School
Travelers	UMF	Gift	Carlson School of Management
<u>\$100,000 - \$250,000</u>			
Richard M. Schulze Family Foundation	UMF	Gift	Academic Health Center
Ruth B. Witrak Trust	UMF	Gift	Medical School
Mr. David and Dr. Lisa Erickson	UM	Gift	Weisman Art Museum
Roger and Carolyn Bettin	UMF	Pledge	Intercollegiate Athletics
The Saint Paul Foundation	UMF	Gift	Medical School
MHA Alumni Association	UMF	Gift	School of Public Health
Robert and Mary Mersky	UM	Gift	Weisman Art Museum
Ford Motor Co.	UMF	Gift	College of Science and Engineering
Elizabeth C. Blenman Estate	UMF	Gift	College of Education and Human Development
<u>\$50,000 - \$100,000</u>			
Center for Computer-Assisted Legal Instruction	UMF	Gift	Law School
Children's National Medical Center	UMF	Gift	Medical School
Dorothy A. Marden	UMF	Gift	College of Science and Engineering
Hubbard Broadcasting Inc.	UMF	Gift	Intercollegiate Athletics
Lynette J. Thompson	UMF	Gift	School of Nursing

\$50,000 - \$100,000

Dr. Philip L. Gardner	UMF	Gift	Medical School
Data Recognition Corp.	UMF	Gift	Intercollegiate Athletics, Medical School
Ben Brown	UMF	Gift	Intercollegiate Athletics
Fund for Science of Giving/ImpactAssets Inc.	UMF	Gift	College of Biological Sciences
HopeLine from Verizon	UMF	Gift	College of Education and Human Development
James R. Riehle	UMF	Gift	Intercollegiate Athletics

\$25,000 - \$50,000

Mills Fleet Farm	UMF	Gift	Intercollegiate Athletics
Miriam R. Hazzard Estate	UMF	Gift	School of Nursing
Norman Hoffman	UMF	Gift	Intercollegiate Athletics
US Bank	UMF	Gift	Intercollegiate Athletics
Wells Fargo Wealth Management	UMF	Gift	Intercollegiate Athletics
PTC Corp.	UMF	Pledge	College of Science and Engineering
Agilent Technologies Inc.	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Minnesota Medical Association Foundation	UMF	Pledge	Medical School
Lucy M. S. Chang and Dr. Frederick J. Bollum	UMF	Gift	Medical School
Acist Medical Systems Inc.	UMF	Gift	Medical School
Hypertherm Inc.	UMF	Gift	College of Science and Engineering
St. Jude Medical Inc.	UMF	Gift	College of Science and Engineering, Medical School
Florence M. Smiglewski Estate	UMF	Gift	Masonic Cancer Center
Vernon A. Halvorson Estate	UMF	Gift	Carlson School of Management
JB's Keys to DMD Inc.	UMF	Gift	Medical School
Cliff Viessman Inc.	UMF	Gift	Intercollegiate Athletics
Helen S. Henton Trust	UMF	Gift	Unrestricted
Dr. John R. Bjorklund	UMF	Gift	Intercollegiate Athletics
Fairview Health Services	UMF	Gift	Medical School, Center for Spirituality and Healing
Scott K. and Susan R. Oelkers	UMF	Gift	Scholarships
General Mills Inc.	UMF	Gift	College of Science and Engineering
A. and D. Marden Fund-T. Rowe Price Charitable Giving	UMF	Gift	College of Science and Engineering
Andrews-Hunt Fund-Minneapolis Foundation	UMF	Gift	Global Programs and Strategy Alliance
Anonymous	UMF	Gift	College of Science and Engineering
Dr. David K. Wildung	UMF	Pledge	College of Food, Agricultural and Natural Resource Sciences

\$25,000 - \$50,000

Hitachi High-Technologies Corp.	UMF	Gift	College of Science and Engineering
Lillehei Family Charitable Foundation	UMF	Gift	Medical School
Mark and Colleen Kahnke	UMF	Pledge	College of Science and Engineering
Phil and Nina Pillsbury Donor Advised Fund-Minneapolis Foundation	UMF	Gift	College of Liberal Arts
Richards Family Advised Fund-Community Foundation of Middle TN	UMF	Gift	Law School
Scanlan International Inc.	UMF	Gift	Medical School
Timothy E. and Valerie K. Doherty	UMF	Gift	Carlson School of Management
US Bank National Association	UMF	Gift	Medical School

\$10,000 - \$25,000

Robert A. Helvig	UMF	Gift	Carlson School of Management, College of Science and Engineering
William R. Dircks	UMF	Gift	Intercollegiate Athletics
Cy and Paula DeCosse Fund-Minneapolis Foundation	UMF	Gift	College of Liberal Arts
Kellogg's	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Pioneer Hi-Bred International Inc.	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
W. L. Gore and Associates Inc.	UMF	Gift	Medical School
Jeannine M. Rivet	UMF	Gift	Academic Health Center
Pipestone Applied Research LLC	UMF	Gift	College of Veterinary Medicine
Securian Foundation	UMF	Gift	Intercollegiate Athletics
Comcast Spotlight	UMF	Gift	Intercollegiate Athletics
Kent and Birgit Johnson Charitable Gift Fund	UMF	Gift	College of Pharmacy
Thomas C. Giel	UMF	Gift	Intercollegiate Athletics
Jurkovich Charitable Remainder Trust	UMF	Gift	University of Minnesota Duluth
Xcel Energy Foundation	UMF	Gift	Bell Museum of Natural History
Fish and Richardson P C	UMF	Gift	Medical School
Hildy Bowbeer Charitable Gift Fund-Fidelity Gift Fund	UMF	Gift	Medical School
Jeannine L. Lee and C. Roger Finney	UMF	Pledge	Law School
Nikola Tesla Patent Producers	UMF	Gift	College of Science and Engineering
Robert and Tracy Quinn	UMF	Pledge	Intercollegiate Athletics
Thomas S. Schreier Jr.	UMF	Gift	Medical School
Carolyn J. Sorensen	UMF	Gift	College of Liberal Arts
Intertech Systems LLC	UMF	Gift	College of Science and Engineering
Allen R. Arvig	UMF	Gift	Intercollegiate Athletics
Associates of the James Ford Bell Library	UMF	Gift	Libraries

\$10,000 - \$25,000

American Legion Family Brain Sciences Foundation	UMF	Gift	Medical School
Gregory S. Mitsch	UMF	Gift	Intercollegiate Athletics
Big Sky Cattle LLC	UMF	Gift	Intercollegiate Athletics
Land O'Lakes Inc. Foundation	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Brian D. Kovalchuk and Mary Sue Weir	UMF	Gift	Carlson School of Management
Loveland Products Inc.	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
MGK	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Dr. Charles W. Taylor	UMF	Gift	University of Minnesota Duluth
Davisco Foods International Inc.	UMF	Gift	Intercollegiate Athletics, College of Food, Agricultural and Natural Resource Sciences
G. Patrick Hunt	UMF	Gift	Intercollegiate Athletics
Piper Jaffray Companies	UMF	Gift	Medical School
Alyssa L. Anderson	UMF	Gift	Academic Health Center
Nuveen Investments Holdings	UMF	Gift	Medical School
Bowling for the Battle	UMF	Gift	Hormel Institute
Dr. and Mrs. Douglas Yee	UMF	Gift	Academic Health Center
Eldon and Addie Tessman	UM	Gift	4H Foundation
Albert M. Marden	UMF	Gift	College of Science and Engineering
Andersen Corp.	UMF	Gift	Medical School
Betty C. McCollom	UMF	Gift	College of Science and Engineering
Chapman Forestry Foundation	UMF	Gift	College of Design
E. Theodore and Lois M. Thompson Family Fund	UMF	Gift	Medical School
Great Clips Inc.	UMF	Gift	Medical School
Gwendolyn S. Meyer	UMF	Gift	Medical School
Heather L. Burns and Dr. Kathleen A. Maloy-Schwab Charitable Fund	UMF	Gift	College of Education and Human Development
Howard O'Connell Charitable Fund-Bank of America	UMF	Gift	College of Biological Sciences
Hysitron Inc.	UMF	Gift	College of Science and Engineering
John R. and Kristin Bruellman	UMF	Pledge	Carlson School of Management
Merz North America	UMF	Gift	Medical School
Nguyen Tran Law Office LLC	UMF	Gift	Law School
Norman C. and Patricia A. Wells	UMF	Gift	Academic Health Center
Patricia Niemczyk	UMF	Gift	Medical School
Robert J. Hiti	UMF	Pledge	Intercollegiate Athletics
Walgreens Co.	UMF	Gift	College of Pharmacy

\$5,000 - \$10,000

Wesley R. and Sonja M. Swanson	UMF	Gift	College of Science and Engineering
Eugene U. Frey	UMF	Gift	Intercollegiate Athletics
Mark E. Vandersall	UMF	Gift	Intercollegiate Athletics
M. A. Mortenson Co.	UMF	Gift	Intercollegiate Athletics
Crystal Farms Refrigerated Distribution Co.	UMF	Gift	Intercollegiate Athletics
Boston Scientific Corp.	UMF	Gift	Medical School
Shellback Financial LLC	UMF	Gift	Intercollegiate Athletics
The Dencker Trust	UMF	Gift	College of Science and Engineering
Michael A. Bilski	UMF	Gift	Intercollegiate Athletics
Greater Twin Cities United Way	UMF	Gift	Various Colleges
Carl Bolander and Sons Co.	UMF	Gift	Intercollegiate Athletics
Jennifer Kordell and Timothy E. Moran	UMF	Gift	School of Public Health
Scott Litman	UMF	Gift	Intercollegiate Athletics
Erik Torgerson	UMF	Gift	Intercollegiate Athletics
Dale E. Peterson	UMF	Gift	Intercollegiate Athletics
Tswalu Foundation Trust	UMF	Gift	College of Biological Sciences
Brian K. Slipka	UMF	Gift	Intercollegiate Athletics
Daniel J. Seeler	UMF	Gift	Intercollegiate Athletics
Doherty Employment Group	UMF	Gift	Intercollegiate Athletics
Kevin G. Fahey	UMF	Gift	Intercollegiate Athletics
J. L. Buchanan Inc.	UMF	Gift	Intercollegiate Athletics
Timothy P. Horan	UMF	Gift	Intercollegiate Athletics
Barbara C. White	UM	Gift	Minnesota Landscape Arboretum
Cornerstone Parking Group Inc.	UMF	Gift	Academic Health Center
Medica	UMF	Gift	Medical School, Carlson School of Management
Optum Services Inc.	UMF	Gift	Carlson School of Management
Reichel Foods Inc.	UMF	Gift	Hormel Institute
SageGlass	UMF	Gift	College of Science and Engineering
Carol M. Schneeweis	UMF	Gift	Academic Health Center
Jim and Cathy Gray Fund of the Minneapolis Foundation	UM	Gift	Minnesota Landscape Arboretum
Eberle Scholarship Fund-St. Paul Foundation	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Robert L. Morgan	UMF	Gift	Intercollegiate Athletics
Dr. Karen E. Hawley and Ms. Charlaine E. Tolkien	UMF	Pledge	Intercollegiate Athletics
Twin Ports Paper and Supply Inc.	UMF	Gift	University of Minnesota Duluth
Dr. Aina Galejs Dravnieks Estate	UMF	Gift	Unrestricted
Dr. Catherine M. Bendel and Mr. Joseph L. Nunez	UMF	Gift/Pledge	Medical School, Academic Health Center
Robert S. Berkwitz	UMF	Gift	Intercollegiate Athletics

\$5,000 - \$10,000

E. Dennis and Susan A. Zahrbock Family Foundation-Renaissance Charitable Foundation Inc.	UMF	Gift	University of Minnesota Morris
Collegiate Charities	UMF	Gift	Medical School
Minnesota Hobby Beekeepers Association	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Andrew A. Rieck	UMF	Gift	Intercollegiate Athletics
Bruce and Diane Vandersall	UMF	Gift	Intercollegiate Athletics
Hella L. Hueg	UM	Gift	Minnesota Landscape Arboretum
IDEXX Laboratories Inc.	UMF	Gift	College of Veterinary Medicine
John E. Geisler	UMF	Gift	Intercollegiate Athletics
Jon W. Springer	UMF	Gift	Intercollegiate Athletics
MacQueen Equipment Inc.	UMF	Gift	Intercollegiate Athletics
Michael K. Grube	UMF	Gift	Intercollegiate Athletics
S. Edward Wagner	UM	Gift	Minnesota Landscape Arboretum
Surpass Inc.	UMF	Gift	College of Science and Engineering
Geritom Medical Pharmacy	UMF	Gift	Intercollegiate Athletics
Dr. Stanley M. and Ms. Luella G. Goldberg	UMF	Gift	Various Colleges
Jay T. Mega Jr.	UMF	Gift	Intercollegiate Athletics
Fishing for a Cure	UMF	Gift	Hormel Institute
Grant Thornton Foundation	UMF	Gift/Pledge	Carlson School of Management, College of Food, Agricultural and Natural Resource Sciences
Anne Marie McNamara-Rogers	UMF	Gift	Intercollegiate Athletics
Schwegman Lundberg and Woessner PA	UMF	Gift	Carlson School of Management, College of Science and Engineering, Medical School
John E. and Nancy E. Lindahl	UMF	Gift	Intercollegiate Athletics
Ryan Colich	UMF	Gift	Intercollegiate Athletics
David D. and Linda E. Werner	UM	Gift	4H Foundation
Dana R. Lonn	UMF	Gift	College of Science and Engineering
BASF Corp.	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
H. William Walter	UMF	Gift	Intercollegiate Athletics
Robert F. Coughlin	UMF	Gift	Intercollegiate Athletics
Ag Concepts Corp.	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Allina Health System	UMF	Gift	Center for Spirituality and Healing
Ann D. McDonald	UMF	Gift	Medical School
Arsher Charitable Trust	UMF	Gift	Academic Health Center
Best Buy Purchasing LLC	UMF	Gift	Medical School
Bruce B. Dayton Revocable Trust	UMF	Gift	Global Programs and Strategy Alliance
CHS Inc.	UMF	Gift	Carlson School of Management

\$5,000 - \$10,000

Dayton Rogers Manufacturing Co.	UMF	Gift	Medical School
Dennis and Lois Maki Charitable Gift Fund	UMF	Gift	University of Minnesota Duluth
Dr. Jean D. Kinsey	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
First District Association	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Genevieve B. and Antone Melton-Meaux	UMF	Gift	Northrop
Hormel Foods Corp.	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Hormel Foods Corp. Charitable Trust	UMF	Pledge	Various Colleges
Insignia Systems Inc.	UMF	Gift	Medical School
Inspire Medical Systems Inc.	UMF	Gift	College of Science and Engineering
Kaplan Strangis and Kaplan PA	UMF	Gift	Law School
Kathleen F. and David W. MacLennan Fund-Minneapolis Foundation	UMF	Gift	Intercollegiate Athletics
Krech Ojard and Associates Inc.	UMF	Gift	University of Minnesota Duluth
Land O'Lakes Inc.	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Marcella Enghausen Estate	UMF	Gift	Academic Health Center
MarQuinn Investments Inc.	UMF	Gift	Intercollegiate Athletics
maurices Inc.	UMF	Gift	University of Minnesota Duluth
Milk Specialties Global	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Next Generation Fund-Hugh J. Andersen Foundation	UMF	Gift	College of Veterinary Medicine
Parkersburg Cardiology Associates Inc.	UMF	Gift	School of Public Health
PJM Interconnection	UMF	Pledge	College of Food, Agricultural and Natural Resource Sciences
Prudential Capital Group	UMF	Gift	Medical School
Sheet Metal Connectors Inc.	UMF	Gift	Medical School
SWS Charitable Foundation Inc.	UMF	Gift	Academic Health Center
Target Corp.	UMF	Gift	Carlson School of Management
Tetra Pak Processing Equipment Inc.	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
The Jamar Co.	UMF	Gift	University of Minnesota Duluth
Thomas V. McGraw	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Vacca Biologics LLC	UMF	Gift	Medical School



BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Shared Governance: The Role of Faculty Athletics Representatives in Oversight

Review **Review + Action** **Action** **Discussion**

This is a report required by Board policy.

Presenters: Emily Hoover, Professor, Horticultural Science, College of Food, Agricultural, and Natural Resource Sciences
Perry Leo, Professor, Aerospace Engineering and Mechanics, College of Science and Engineering

Purpose & Key Points

The University has two faculty athletic representatives (FARs) as part of the oversight and representation of Gopher Athletics, and as a member of the NCAA and Big Ten Conference. FARs are tenured faculty members who serve as the University's delegates to the NCAA and representatives to the Big Ten and Western Collegiate Hockey Association (WCHA). These are essentially half-time positions, appointed and funded by the Office of the President. The purpose of this presentation is to provide the Board with a first-hand account of FAR responsibilities and provide an understanding of the dynamics of this governance role.

FAR responsibilities include oversight of all aspects of Gopher athletics on campus, with an emphasis on student-athlete welfare; spending time with student-athletes and coaches; facilitating communication between student-athletes and faculty; and meeting regularly with the numerous stakeholders who govern Gopher Athletics. They work in concert with the Board, the President and his staff, faculty senate committees, Gopher athletics administration, the McNamara Academic Center, and the Office of Athletic Compliance.

Background Information

The University has historically had two FARs; many other schools have only one. They serve three-year terms. The qualities required of FARs include:

- Ability to represent the values of the University.
- Interest in and commitment to understanding the culture of Division I collegiate athletics.
- Excellent communication skills – keeping everyone informed; representing the perspectives of faculty to coaches, students, governance groups, and others in athletics; providing a bridge between athletics and academics; and facilitating communication between student-athletes and the faculty.
- Demonstrated leadership abilities – at the campus level, among Big Ten FARs, and at the NCAA.

- Ability to evaluate issues from many different points of view and then devise solutions.
- Ability to be visible without being intrusive.
- Ability to make difficult, and sometimes unpopular, decisions.
- Adept at providing guidance in what can be emotionally charged situations.
- Ability to invest considerable evening and weekend time attending Gopher athletics events and traveling to NCAA, Big Ten, and WCHA meetings.

Shared Governance: The Role of the Faculty Athletics Representatives in Oversight

Board of Regents
May 8, 2015



UNIVERSITY OF MINNESOTA

Driven to DiscoverSM



Faculty Athletics Representatives (FARs)

- Emily Hoover
 - Professor, CFANS, Horticultural Science
- Perry Leo
 - Professor, CSE, Aerospace Engineering and Mechanics



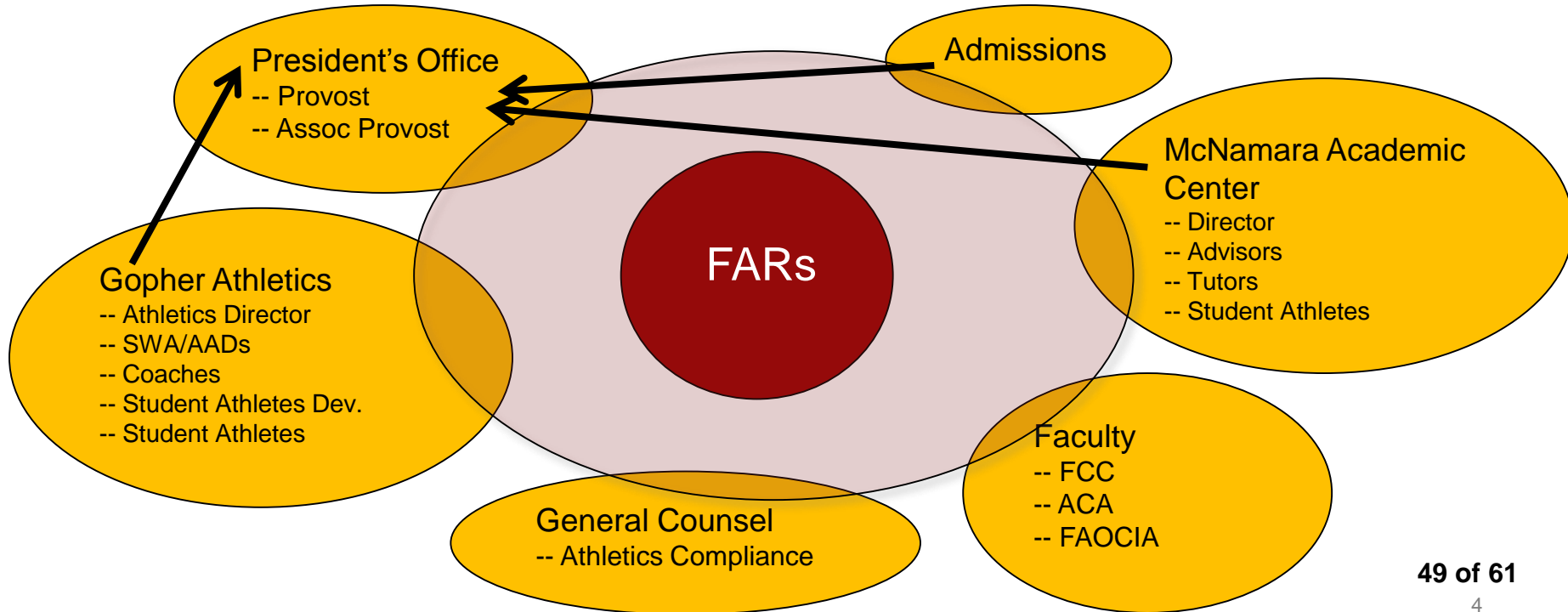
Qualities required for FARs

- Ability to represent the values of the University of Minnesota
- Interest and commitment to understanding the culture of Division I collegiate athletics
- Excellent communicator
- Demonstrated leadership abilities - at the campus level and among Big 10 FARs and the NCAA
- Ability to evaluate issues from many different points of view and then thoughtfully discern solutions
- Ability to be visible without being intrusive
- Ability to make difficult, and sometimes unpopular, decisions
- Adept at providing guidance in what can be emotionally charged situations
- Ability to invest a considerable amount of time in the evenings and weekends to attend Gopher athletics events, and for travel to NCAA, Big Ten and WCHA meetings



Shared Governance – Role of FARs

Board of Regents Policy





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BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Update: Enterprise Systems Upgrade Project

Review

Review + Action

Action

Discussion

This is a report required by Board policy.

Presenters: R. Scott Studham, Vice President & Chief Information Officer

Purpose & Key Points

The University's major business and technology transformation effort, known as the Enterprise Systems Upgrade Program (ESUP), went live on April 20, 2015. This presentation will provide an evaluation of experiences with the new system as well as upcoming key business milestones and risks.

The launch of the new system was the capstone in a multi-year effort to upgrade the University's core technologies and streamline key business processes used to serve students, faculty and staff. The University experienced a smooth "cutover" period leading up to go-live, and key functions continued even as systems were placed in "read-only" mode.

Background Information

ESUP was and is a must-do project for the University. Core systems were losing vendor support, which jeopardized the ability to conduct business and stay in compliance with changes to law and regulation. It is also a cornerstone of the Operational Excellence initiative, and will enable the University to realize efficiencies in key financial, human resource, and student administration tasks.

The full Board last discussed ESUP in June 2013 and the Finance Committee received regular updates throughout the project, most recently in February 2015.

Enterprise Systems Upgrade Program Board of Regents Update

R. Scott Studham
Vice President for Information Technology



UNIVERSITY OF MINNESOTA

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Purpose and Background

Purpose:

Upgrade outdated technology platform to maintain regulatory compliance, leverage improved tools, reduce modification maintenance, and efficiently manage mission-critical business functions

Scope:

Technology and business upgrade for Finance Systems, Human Resources Management Systems, Student Services, Portal (MyU), and Management Reporting

Impact:

All faculty, students and staff impacted by the new solution

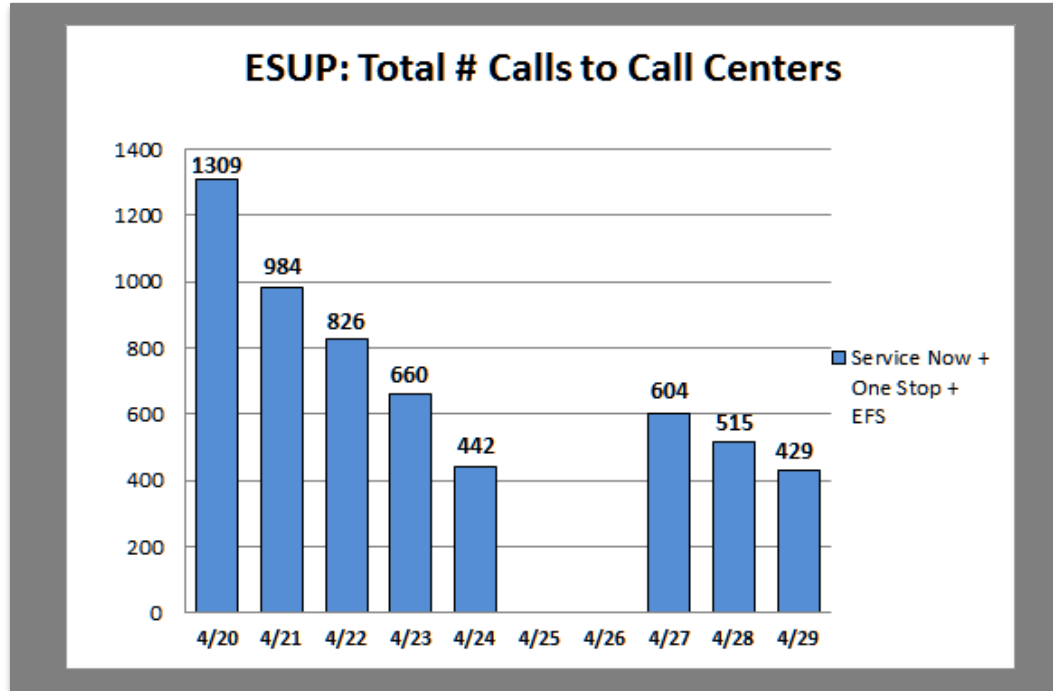
Key Accomplishments

- ✔ Successful cutover from legacy systems
 - Team followed structured, rehearsed plan, completed ahead of schedule
- ✔ Successful first day
 - Opened as planned on April 20, 2015
 - Nearly 50,000 unique users logged in
- ✔ Successfully processed first new payroll for faculty and staff
- ✔ Successfully deployed new MyU portal
 - Provided faculty, students and staff improved access to their university functions

Key Accomplishments

- ✔ Deployed new Reporting Center, connecting faculty and staff to their reporting needs across all business functions with very few issues
- ✔ Connected over 700 tertiary and linked systems to the new core system
 - System campuses, individual colleges, business and technical advance team members collaborated to assist users, and ease the transition
- ✔ - Excellent planning, communications and cooperation
 - Deployed without unplanned business interruptions

Call Center Metrics



Known Issues

Access Provisioning

Continue to work with faculty, staff and students to ensure they have necessary access to complete their business. Most complex task in any post go-live.

Campus Solutions – Class Schedule

The delivered functionality for class schedule has not been a welcome change. The team is actively developing improvements to meet faculty needs.

P-Card Processing

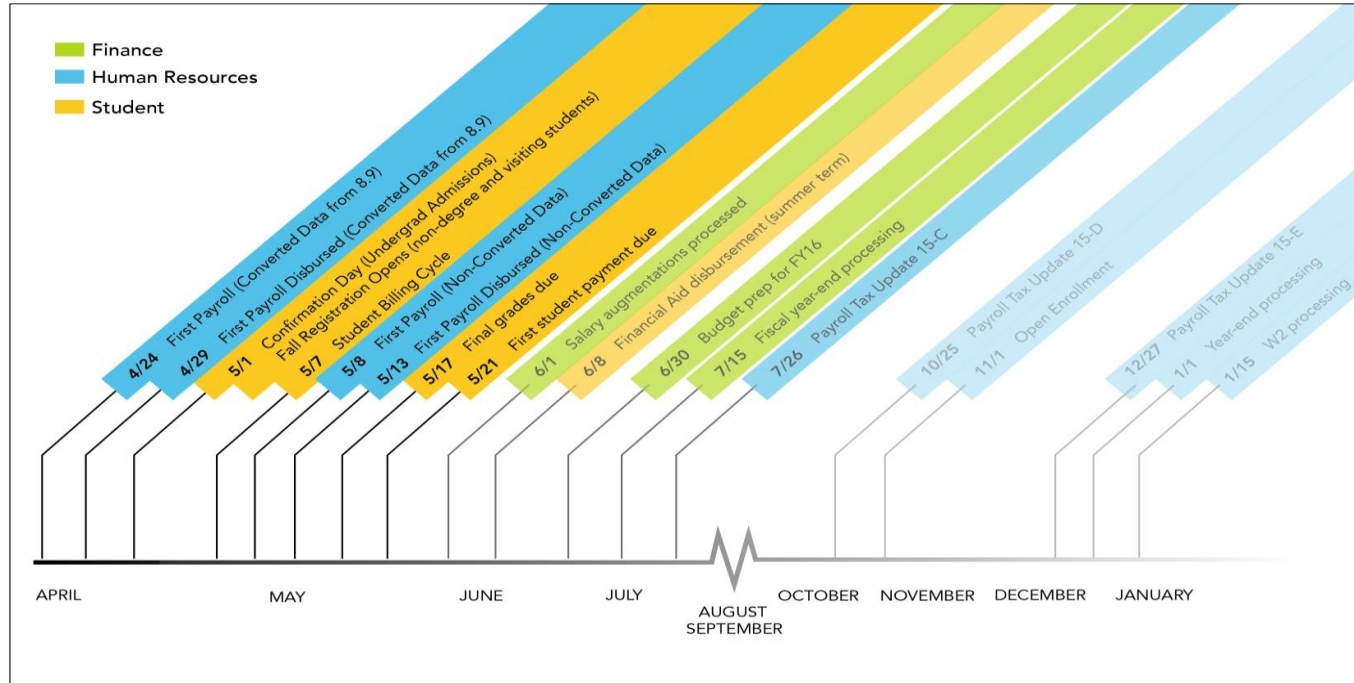
The new approach has required a focused effort to transition from old processes.

Time Reporting

The University is now processing electronic time sheets from the paper of old. This transition is on-going.



Key Milestone Dates



Financial Summary

Original Budget	\$83.55 million
Supplemental Budget Request	\$1.25 million
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Total Operating Budget	\$84.80 million
Program Spend (4/1 Close)	\$79.10 million
Program Forecast (4/19 Close/Live)	\$81.83 million
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Estimated Reserve (4/19 Close/Live)	\$2.97 million



Looking Ahead

- Teams resolving issues quickly
- Call center volumes approaching normal standards
- Team are transitioning to operations
- Home units are managing change
- Business cycles remain that need to be processed for the first time
- Teams are monitoring and prepared
- Call centers, help desks are fully staffed and prepared to help





BOARD OF REGENTS DOCKET ITEM SUMMARY

Board of Regents

May 8, 2015

Agenda Item: Report of the Committees

Review **Review + Action** **Action** **Discussion**

This is a report required by Board policy.

Presenters: Regent Richard Beeson

Purpose & Key Points

Pursuant to Board of Regents Policy: *Board Operations and Agenda Guidelines*, "The Board conducts business through meetings of the Board and its committees.... [and] Committees provide recommendations for action by the Board. Typically, standing committees have the following responsibilities:

- Recommend action on matters where the Board has reserved authority to itself as outlined in Board of Regents Policy: *Reservation and Delegation of Authority* and other Board policies;
- Provide governance oversight on topics within the committee's purview;
- Review and make recommendations on relevant new and existing Board policies;
- Receive reports on policy-related issues affecting University departments and units;
- Receive information items (e.g., status reports on current issues of concern and administrative searches); and
- Review other items placed on the agenda by the Board chair in consultation with the president and Board vice chair."

The Board chair will call on the chair of each committee to present recommended actions and provide a brief report.

Background Information

Current committee chairs:

- Academic & Student Affairs Committee – P. Simmons
- Audit Committee – L. Brod
- Facilities & Operations Committee – T. Devine
- Faculty & Staff Affairs Committee – D. McMillan
- Finance Committee – D. McMillan
- Litigation Review Committee – D. McMillan
- Special Committee on Academic Medicine – L. Cohen