AGENDA

1. Approval of Minutes - Action - R. Beeson
2. Report of the President - E. Kaler
3. Report of the Chair - R. Beeson
4. Consent Report - Review/Action - R. Beeson (pp. 3-35)
   A. Report of the All-University Honors Committee
   B. Gifts
   C. Summary of Expenditures
5. Annual Report on the Status of University Research & Commercialization of Intellectual Property - B. Herman (pp. 36-47)
6. Report of the Faculty Consultative Committee - W. Durfee (pp. 48-50)
7. Enhancing the Impact of the University’s Equity & Diversity Efforts - E. Kaler/K. Albert (p. 51)
8. Updated Bylaws for Eastcliff Committees - Review/Action - P. Simmons (pp. 52-57)
9. Board of Regents Policy: Student Representatives to the Board of Regents - Review - R. Beeson (pp. 58-62)
10. Integrated Structure Naming - Review/Action - E. Kaler/A. Friedman/B. Daniels/D. Harvey/C. Wilson (pp. 63-71)
12. Report of the Facilities & Operations Committee - C. Allen
13. Report of the Faculty & Staff Affairs Committee - J. Frobenius
15. Report of the Academic & Student Affairs Committee - P. Simmons
16. Report of the Audit Committee - L. Brod
17. Report of the Litigation Review Committee - D. Larson
18. Report of the Special Committee on Academic Medicine - L. Cohen
19. Old Business
20. New Business
21. Adjournment
Board of Regents

February 14, 2014

Agenda Item: Consent Report

☐ review  ☒ review/action  ☐ action  ☐ discussion

Presenters: Regent Richard Beeson

Purpose:

☐ policy  ☐ background/context  ☒ oversight  ☐ strategic positioning

To seek Board of Regents approval of items in the Consent Report, as required in Board of Regents Policy: Reservation and Delegation of Authority.

Outline of Key Points/Policy Issues:

Items for consideration:

I. Report of the All-University Honors Committee
   The President recommends approval of the All-University Honors recommendation forwarded to the Board of Regents in a letter dated January 29, 2014.

II. Gifts
    The President recommends approval of the Summary Report of Gifts to the University of Minnesota through December 31, 2013 (attached).

III. Summary of Expenditures
    The President recommends approval of the Summary of Expenditures report (attached).

President’s Recommendation for Action:

The President recommends approval of the Consent Report.
**MEETING OF THE BOARD OF REGENTS**
**GIFTS TO BENEFIT THE UNIVERSITY OF MINNESOTA**
**SUMMARY REPORT**  *

February 2014 Regents Meeting

<table>
<thead>
<tr>
<th></th>
<th>November</th>
<th>Year-to-Date</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2013</td>
<td>2012</td>
<td>07/01/13</td>
<td>07/01/12</td>
</tr>
<tr>
<td></td>
<td>11/30/13</td>
<td>11/30/12</td>
<td></td>
<td></td>
</tr>
<tr>
<td>U of M Gift Receiving</td>
<td>$ 57,434</td>
<td>$ 123,542</td>
<td>$ 4,199,187</td>
<td>$ 390,659</td>
</tr>
<tr>
<td>4-H Foundation</td>
<td>31,056</td>
<td>64,554</td>
<td>191,383</td>
<td>250,231</td>
</tr>
<tr>
<td>Arboretum Foundation</td>
<td>732,574</td>
<td>337,237</td>
<td>5,480,495</td>
<td>3,355,629</td>
</tr>
<tr>
<td>Univ of MN Foundation</td>
<td>13,728,913</td>
<td>19,750,842</td>
<td>83,339,092</td>
<td>62,197,702</td>
</tr>
<tr>
<td>Total Gift Activity</td>
<td>$ 14,549,977</td>
<td>$ 20,276,175</td>
<td>$93,210,157</td>
<td>$ 66,194,221</td>
</tr>
</tbody>
</table>

*Detail on gifts of $5,000 and over is attached.

Pledges are recorded when they are received. To avoid double reporting, any receipts which are payments on pledges are excluded from the report amount.
## Gifts to benefit the University of Minnesota

### Gifts received in February 2014

<table>
<thead>
<tr>
<th>Donor</th>
<th>Rec'd by</th>
<th>Gift/Pledge</th>
<th>Purpose of gift</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>$1 Million and Over</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Karin L. and Robert S. Moe</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td><strong>$500,000 - $1,000,000</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr. Leroy M. and Ruth A. Fingerson</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>The Valspar Foundation</td>
<td>UMF</td>
<td>Pledge</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>The Wallin Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School, College of Veterinary Medicine</td>
</tr>
<tr>
<td><strong>$250,000 - $500,000</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Daniel J. and Ruth J. Haggerty</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>The McKnight Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Center for Urban and Regional Affairs</td>
</tr>
<tr>
<td>Childrens Cancer Research Fund</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td><strong>$100,000 - $250,000</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Harmon Killebrew-Danny Thompson</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Memorial Cancer Foundation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Boston Scientific Corporation</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Medica</td>
<td>UMF</td>
<td>Gift</td>
<td>Equity and Diversity, Medical School, School of Public Health</td>
</tr>
<tr>
<td>Polaris Industries Incorporated</td>
<td>UMF/UM</td>
<td>Gift/Pledge</td>
<td>Carlson School of Management, Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Dennis and Joyce Wahr</td>
<td>UMF</td>
<td>Pledge</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Dan Schlewitz</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Dr. David H. and Karen B. Olson</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Education and Human Development</td>
</tr>
<tr>
<td>Dr. L. Michael Espeland</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Kent and Ann Wilson Family Fund-MN</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Community Foundation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Marvin and Betty Borman Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts, Intercollegiate Athletics</td>
</tr>
<tr>
<td>Richard N. and Carol C. Flint</td>
<td>UMF</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>Rodney L. and Judith L. Cooperman</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Sport Ngin</td>
<td>UMF</td>
<td>Pledge</td>
<td>Academic Health Center</td>
</tr>
</tbody>
</table>
### $50,000 - $100,000

<table>
<thead>
<tr>
<th>Name</th>
<th>Type</th>
<th>College/Program</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hormel Foundation</td>
<td>Gift</td>
<td>Hormel Institute</td>
</tr>
<tr>
<td>Kevin J. Mossier Foundation</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Zongxin Yang</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Grace Weet Estate</td>
<td>Gift</td>
<td>Various Colleges</td>
</tr>
<tr>
<td>Liberty Paper Incorporated</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Blondina M. Otto Estate</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Jumpstart Incorporated</td>
<td>Gift</td>
<td>Venture Center Jump Start Program</td>
</tr>
<tr>
<td>Dr. Jose Peris and Diana Gulden</td>
<td>Gift/Pledge</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Schwab Charitable Fund-Fred and Renee Pritzker Fund</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Wilson Family Charitable Fund-Vanguard Charitable Program</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Robert E. Greiling, Jr.</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Martin D. Chorzempa Jr.</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Dr. Darby M. and Geraldine M. Nelson</td>
<td>Gift</td>
<td>College of Biological Sciences</td>
</tr>
<tr>
<td>Charles M. S. McGarraugh</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Anonymous</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Dr. Edward B. and Betty L. Radcliffe</td>
<td>Pledge</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Dr. V. Terry Rhodes</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Fred J. Ronicker</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>James L. Seiberlich</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>RTP Company</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>William H. Dudley Des Fund #4-Minneapolis Foundation</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
</tbody>
</table>

### $25,000 - $50,000

<table>
<thead>
<tr>
<th>Name</th>
<th>Type</th>
<th>College/Program</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anonymous</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>ExxonMobil Foundation</td>
<td>Pledge</td>
<td>College of Science and Engineering, Law School</td>
</tr>
<tr>
<td>Dr. Wendell L. Hung</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Ormco Corporation</td>
<td>Gift</td>
<td>School of Dentistry</td>
</tr>
<tr>
<td>Eric and Karen Kaler</td>
<td>Gift</td>
<td>Scholarships</td>
</tr>
<tr>
<td>3M Company</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Al Hilde Jr. Gift Fund-Fidelity Charitable Gift Fund</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Isaacs Journey Foundation</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Thomas V. and Linda McGraw</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>GE Foundation</td>
<td>Pledge</td>
<td>Various Colleges</td>
</tr>
</tbody>
</table>
### $25,000 - $50,000

<table>
<thead>
<tr>
<th>Name</th>
<th>Type</th>
<th>UM</th>
<th>College/Program</th>
</tr>
</thead>
<tbody>
<tr>
<td>Phyllis B. Branin</td>
<td>UM F Gift</td>
<td>College of Science and Engineering</td>
<td></td>
</tr>
<tr>
<td>Poet Nutrition</td>
<td>UM F Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>G. Bart Bontems</td>
<td>UM F Gift/Pledge</td>
<td>Intercollegiate Athletics</td>
<td></td>
</tr>
<tr>
<td>Huawei Technologies</td>
<td>UM F Gift</td>
<td>College of Science and Engineering</td>
<td></td>
</tr>
<tr>
<td>Mars Candy</td>
<td>UM F Gift</td>
<td>Institute on the Environment</td>
<td></td>
</tr>
<tr>
<td>National Marrow Donor Program</td>
<td>UM F Gift</td>
<td>Academic Health Center</td>
<td></td>
</tr>
<tr>
<td>Danisco</td>
<td>UM F Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>Robert J. Hiti</td>
<td>UM F Pledge</td>
<td>Intercollegiate Athletics</td>
<td></td>
</tr>
<tr>
<td>Jean Illsley Clarke and Richard W. Clarke</td>
<td>UM F Gift</td>
<td>University of Minnesota Extension</td>
<td></td>
</tr>
<tr>
<td>Mark W. and Irene Lambert</td>
<td>UM F Gift</td>
<td>University of Minnesota Duluth</td>
<td></td>
</tr>
<tr>
<td>Mark F. Wenger</td>
<td>UM F Gift</td>
<td>Unrestricted</td>
<td></td>
</tr>
<tr>
<td>Albert O. Andrews Jr.</td>
<td>UM F Pledge</td>
<td>Law School</td>
<td></td>
</tr>
<tr>
<td>American Medical Systems Incorporated</td>
<td>UM F Gift</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td>Coloplast Corporation</td>
<td>UM F Gift</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td>Gull Chain of Lakes Association</td>
<td>UM F Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>James H. Michael</td>
<td>UM F Gift</td>
<td>Law School</td>
<td></td>
</tr>
<tr>
<td>Nathaniel D. Diedrich</td>
<td>UM F Pledge</td>
<td>Scholarships</td>
<td></td>
</tr>
<tr>
<td>The UTI Foundation Incorporated</td>
<td>UM F Gift</td>
<td>College of Science and Engineering</td>
<td></td>
</tr>
<tr>
<td>US Steel Corporation</td>
<td>UM F Gift</td>
<td>University of Minnesota Duluth</td>
<td></td>
</tr>
</tbody>
</table>

### $10,000 - $25,000

<table>
<thead>
<tr>
<th>Name</th>
<th>Type</th>
<th>UM</th>
<th>College/Program</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anonymous</td>
<td>UM F Gift</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td>Harry L. Thompson Estate</td>
<td>UM F Gift</td>
<td>Scholarships</td>
<td></td>
</tr>
<tr>
<td>Ben's Buddies</td>
<td>UM F Gift</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td>Donald and Lorelie Moersch</td>
<td>UM F Gift</td>
<td>Carlson School of Management</td>
<td></td>
</tr>
<tr>
<td>Dr. Donald and Madgalena Mowbray</td>
<td>UM F Gift</td>
<td>University of Minnesota Duluth</td>
<td></td>
</tr>
<tr>
<td>Midwest Food Processors Association</td>
<td>UM F Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>Incorporated</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Catherine G. Baillon Freesmeier</td>
<td>UM F Gift</td>
<td>University of Minnesota Duluth</td>
<td></td>
</tr>
<tr>
<td>Keith H. Clark Jr.</td>
<td>UM F Gift</td>
<td>Intercollegiate Athletics</td>
<td></td>
</tr>
<tr>
<td>Arvid Olson Estate</td>
<td>UM F Gift</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td>BASF Corporation</td>
<td>UM F Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>Syvilla M. Turbis Estate</td>
<td>UM F Gift</td>
<td>Academic Health Center, Medical School</td>
<td></td>
</tr>
<tr>
<td>Valent</td>
<td>UM F Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>Anonymous</td>
<td>UM F Gift</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
</tr>
<tr>
<td>$10,000 - $25,000</td>
<td>Institution</td>
<td></td>
<td></td>
</tr>
<tr>
<td>--------------------------------------------------</td>
<td>--------------------------------------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rolland E. and I. Jean Glessing</td>
<td>Carlson School of Management</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Edson W. Spencer Jr.</td>
<td>Humphrey School of Public Affairs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ed Huber</td>
<td>Intercollegiate Athletics</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Republic Bank</td>
<td>University of Minnesota Duluth</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stuart A. Nielsen Revocable Trust</td>
<td>College of Liberal Arts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Upsker-Smith Laboratories Incorporated</td>
<td>Medical School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ben and Jeanne Overman Charitable Trust</td>
<td>University of Minnesota Duluth, Medical School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The Cliffs Foundation</td>
<td>University of Minnesota Duluth</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bayer Corporation</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cabot Corporation</td>
<td>College of Science and Engineering</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Valspar Corporation and Subsidiaries</td>
<td>College of Science and Engineering</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wisconsin Turfgrass Association</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Community Health Charities of Minnesota</td>
<td>Academic Health Center</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mozo-Grau</td>
<td>School of Dentistry</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TCF National Bank</td>
<td>Various Colleges</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dow AgroSciences LLC</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mark and Muriel Wexler Foundation</td>
<td>College of Liberal Arts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Flavor and Extract Manufacturers Association-US Incorporated</td>
<td>Academic Health Center</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The Canadian Institute for Advanced Research</td>
<td>College of Science and Engineering, College of Education and Human Development</td>
<td></td>
<td></td>
</tr>
<tr>
<td>McVay Foundation</td>
<td>College of Continuing Education, College of Liberal Arts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Anonymous</td>
<td>Unrestricted</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TCF Foundation</td>
<td>Carlson School of Management</td>
<td></td>
<td></td>
</tr>
<tr>
<td>June B. Hendrickson</td>
<td>University of Minnesota Duluth</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Thomas P. and Jane B. Nelson</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr. James B. and Janice N. Moe</td>
<td>College of Veterinary Medicine</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr. Gerald W. Ireland</td>
<td>Medical School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>AgQuest Financial Services Incorporated</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Anonymous</td>
<td>Medical School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Anonymous</td>
<td>Medical School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bill and Katherine Fox Foundation</td>
<td>Academic Health Center</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Blythe Brenden-Mann Foundation</td>
<td>College of Liberal Arts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Campbell Foundation</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$10,000 - $25,000</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
<td></td>
</tr>
<tr>
<td>CenterPoint Energy</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Dowling Family Charitable Fund-American Endowment Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Dr. and Mrs. Paul H. Lange</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Dr. Brandon and Heather Newell</td>
<td>UMF</td>
<td>Pledge</td>
<td>School of Dentistry</td>
</tr>
<tr>
<td>Dr. Paul F. Thomas</td>
<td>UM</td>
<td>Gift</td>
<td>4H Foundation</td>
</tr>
<tr>
<td>Drs. G. Kevin Zhang and Anna Hsu</td>
<td>UMF</td>
<td>Pledge</td>
<td>Medical School</td>
</tr>
<tr>
<td>Gary A. Rooney</td>
<td>UMF</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Jean McGoughHolten</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Karen Fussy</td>
<td>UMF</td>
<td>Gift</td>
<td>Unrestricted</td>
</tr>
<tr>
<td>Lynne S. Redleaf</td>
<td>UMF</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Mary P. Kenny</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Minnesota Golf Course Superintendents Association Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Minnesota Turf and Grounds Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Mosaic Company Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>National Conference of Commissioners on Uniform State Laws</td>
<td>UMF</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Olive K. Britt Estate</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td>Puretein Bioscience LLC</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td>Robin J. and Craig Dahl</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Steven C. Leuthold Family Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td>Thomas J. Anderson</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Ty Barberine Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>United HealthCare Services Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>Weisman Art Museum</td>
</tr>
<tr>
<td>West Metro Medical Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>$5,000 - $10,000</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provimi North America Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Ming Li Tchou</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Dr. Jacqueline S. Mithun</td>
<td>UMF</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>E. I. DuPont De Nemours and Company</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>AgXplore International LLC</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
</tbody>
</table>
### $5,000 - $10,000

<table>
<thead>
<tr>
<th>Contribution</th>
<th>Type</th>
<th>UMF</th>
<th>Gift/Grant</th>
<th>College/Program</th>
</tr>
</thead>
<tbody>
<tr>
<td>Infectious Diseases Society of America</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td>Emerson Charitable Trust</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center, College of Science and Engineering</td>
<td></td>
</tr>
<tr>
<td>Dr. Joachim V. Heberlein</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
<td></td>
</tr>
<tr>
<td>Marvin E. and Miriam R. Goldberg Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center, College of Education and Human Development, School of Dentistry</td>
<td></td>
</tr>
<tr>
<td>Paul T. and Beatrice B. Magee</td>
<td>UMF</td>
<td>Pledge</td>
<td>Libraries</td>
<td></td>
</tr>
<tr>
<td>Pioneer Hi-Bred International Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>The Scotts Company LLC</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>Willis and Dorothy Peterson Fund-Fidelity Charitable Fund</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>Wound Ostomy and Continence Nurses Society Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>School of Nursing</td>
<td></td>
</tr>
<tr>
<td>Xcel Energy</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
<td></td>
</tr>
<tr>
<td>Kalle Kaups</td>
<td>UMF</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
<td></td>
</tr>
<tr>
<td>Mark and Charlie's Gay Lesbian Fund-Moral Values</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
<td></td>
</tr>
<tr>
<td>Monsanto Company</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>Richard M. Kruger</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
<td></td>
</tr>
<tr>
<td>Leedstone</td>
<td>UMF</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
<td></td>
</tr>
<tr>
<td>Owen H. and Sarah D. Wangensteen Trust Fund</td>
<td>UMF</td>
<td>Gift</td>
<td>Libraries</td>
<td></td>
</tr>
<tr>
<td>Accenture LLP</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management</td>
<td></td>
</tr>
<tr>
<td>IBM International Foundation</td>
<td>UMF</td>
<td>Pledge</td>
<td>Various Colleges</td>
<td></td>
</tr>
<tr>
<td>Rainbow Tree Company Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td>Textron Incorporated</td>
<td>UMF</td>
<td>Pledge</td>
<td>Scholarships</td>
<td></td>
</tr>
<tr>
<td>BioActive Regenerative Therapeutics Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Veterinary Medicine, Medical School</td>
<td></td>
</tr>
<tr>
<td>Ruth M. Anderson</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
</tr>
<tr>
<td>Weck Charitable Trust</td>
<td>UMF</td>
<td>Gift</td>
<td>Humphrey School of Public Affairs</td>
<td></td>
</tr>
<tr>
<td>Young Runners Foundation</td>
<td>UMF</td>
<td>Pledge</td>
<td>University of Minnesota Duluth</td>
<td></td>
</tr>
<tr>
<td>UnitedHealth Group</td>
<td>UMF</td>
<td>Pledge</td>
<td>Carlson School of Management</td>
<td></td>
</tr>
<tr>
<td>Jill C. Vecoli</td>
<td>UMF</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
<td></td>
</tr>
<tr>
<td>Dr. John M. and Mary Alice Grewe</td>
<td>UMF</td>
<td>Gift</td>
<td>School of Dentistry</td>
<td></td>
</tr>
<tr>
<td>Ellen Messer-Davidow</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
<td></td>
</tr>
<tr>
<td>Emerson Process Management</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management</td>
<td></td>
</tr>
<tr>
<td><strong>$5,000 - $10,000</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---------------------</td>
<td>-----------------</td>
<td>--------------------------------------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Minnesota Soybean Growers Association</td>
<td>UMF Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr. Ioannis G. Koutlas</td>
<td>UMF Pledge</td>
<td>School of Dentistry</td>
<td></td>
<td></td>
</tr>
<tr>
<td>West Central Initiative</td>
<td>UMF Gift</td>
<td>Carlson School of Management</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Louise H. Huff</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sally P. Hebeisen</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kathleen K. Mucha</td>
<td>UMF Gift</td>
<td>Carlson School of Management</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Walter E. and Carol L. Griffin</td>
<td>UMF Gift</td>
<td>College of Science and Engineering</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bergren Family Foundation of the</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Minneapolis Foundation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black Diamond Granules Incorporated</td>
<td>UMF Gift</td>
<td>Intercollegiate Athletics</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cannon Family Foundation-Signature Fund</td>
<td>UMF Gift</td>
<td>Academic Health Center</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Minneapolis Foundation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Charles M. Denny Jr.</td>
<td>UMF Gift</td>
<td>Humphrey School of Public Affairs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Circle Systems Incorporated</td>
<td>UM Gift</td>
<td>Minnesota Population Center</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cynthia M. Page</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
<td></td>
</tr>
<tr>
<td>David L. Cole</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Doris Pang</td>
<td>UMF Gift</td>
<td>College of Science and Engineering</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr. Alan L. Eliason</td>
<td>UMF Gift</td>
<td>Carlson School of Management</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr. Barbara J. and James W. Keinath</td>
<td>UMF Pledge</td>
<td>University of Minnesota Crookston</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr. Gerald V. Raymond</td>
<td>UMF Gift</td>
<td>Medical School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr. James L. Gorder</td>
<td>UMF Gift</td>
<td>Medical School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr. Lawrence J. Record</td>
<td>UMF Gift</td>
<td>School of Dentistry</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr. M. Joycelyn Elders</td>
<td>UMF Gift</td>
<td>Medical School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Drs. Grant R. and Maureen M. Sorensen</td>
<td>UMF Gift</td>
<td>School of Dentistry</td>
<td></td>
<td></td>
</tr>
<tr>
<td>E. C. Mason Fund-Duluth Superior Area Community Foundation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Emily D. R. Maltz</td>
<td>UMF Gift</td>
<td>Northrop</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Faegre Baker Daniels Foundation</td>
<td>UMF Pledge</td>
<td>Law School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Genentech Incorporated</td>
<td>UMF Gift</td>
<td>College of Science and Engineering</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Harvey B. Mackay</td>
<td>UMF Gift</td>
<td>Medical School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Horton Holding Incorporated</td>
<td>UMF Gift</td>
<td>College of Science and Engineering</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Jim and Carole Hynes Family Foundation-America Endowment Foundation</td>
<td>UMF Gift</td>
<td>Global Programs and Strategy Alliance</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>John F. Schnickel</td>
<td>UMF Gift</td>
<td>College of Biological Sciences</td>
<td></td>
<td></td>
</tr>
<tr>
<td>John Linder and Kristine Simpson</td>
<td>UMF Gift</td>
<td>School of Dentistry</td>
<td></td>
<td></td>
</tr>
<tr>
<td>John S. Penshorn</td>
<td>UMF Gift</td>
<td>Carlson School of Management</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kaplan Strangis and Kaplan, P.A.</td>
<td>UMF Gift</td>
<td>Law School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kraft Foods Group</td>
<td>UMF Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Marian K. Peterson</td>
<td>UMF Gift</td>
<td>Medical School</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amount</td>
<td>Organization</td>
<td>Type</td>
<td>University/College</td>
<td></td>
</tr>
<tr>
<td>-------------</td>
<td>--------------------------------------------</td>
<td>----------</td>
<td>-------------------------------------</td>
<td></td>
</tr>
<tr>
<td>$5,000 - $10,000</td>
<td>Maurices Incorporated</td>
<td>UMF</td>
<td>University of Minnesota Duluth</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Medtronic Incorporated</td>
<td>UMF</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Michael G. Roche</td>
<td>UMF</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Milk Specialties Global Animal Nutrition</td>
<td>UMF</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Milo R. Polovina</td>
<td>UMF</td>
<td>University of Minnesota Duluth</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Minnesota Academy of Pediatric Dentistry</td>
<td>UMF</td>
<td>School of Dentistry</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Mordecai and Suzanne Duckler</td>
<td>UMF</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Otto J. and Jo Anne Havelka</td>
<td>UMF</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Pamela S. Olson</td>
<td>UMF</td>
<td>Academic Health Center</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Philip J. Miller</td>
<td>UMF</td>
<td>College of Biological Sciences</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Pothapragada Family Fund-Fidelity</td>
<td>UMF</td>
<td>College of Biological Sciences</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Charitable Gift Fund</td>
<td>UMF</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Randy J. Hartten and Ron Lotz</td>
<td>UMF</td>
<td>Northrop</td>
<td></td>
</tr>
<tr>
<td></td>
<td>RBC Capital Markets Corporation</td>
<td>UMF</td>
<td>Intercollegiate Athletics</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Schall Family Fund-Minneapolis Foundation</td>
<td>UMF</td>
<td>Scholarships</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Shelter Corporation</td>
<td>UMF</td>
<td>Center for Spirituality and Healing</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Susan Kathleen Black Foundation Incorporated</td>
<td>UMF</td>
<td>Bell Museum of Natural History</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The LeDoux Foundation</td>
<td>UMF</td>
<td>College of Design</td>
<td></td>
</tr>
<tr>
<td></td>
<td>W. M. Foundation</td>
<td>UMF</td>
<td>College of Veterinary Medicine</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Will Rogers Motion Picture Pioneers</td>
<td>UMF</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Foundation</td>
<td>UMF</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>William J. McGinnis</td>
<td>UMF</td>
<td>Law School</td>
<td></td>
</tr>
</tbody>
</table>
## MEETING OF THE BOARD OF REGENTS
### GIFTS TO BENEFIT THE UNIVERSITY OF MINNESOTA
### SUMMARY REPORT*

**February 2014 Regents Meeting**

<table>
<thead>
<tr>
<th>Fund</th>
<th>December</th>
<th>Year-to-Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2013</td>
<td>2012</td>
</tr>
<tr>
<td>U of M Gift Receiving</td>
<td>$122,364</td>
<td>$515,577</td>
</tr>
<tr>
<td>4-H Foundation</td>
<td>69,349</td>
<td>45,289</td>
</tr>
<tr>
<td>Arboretum Foundation</td>
<td>3,170,429</td>
<td>746,387</td>
</tr>
<tr>
<td>Univ of MN Foundation</td>
<td>65,729,603</td>
<td>37,397,397</td>
</tr>
<tr>
<td><strong>Total Gift Activity</strong></td>
<td><strong>$69,091,745</strong></td>
<td><strong>$38,704,650</strong></td>
</tr>
</tbody>
</table>

*Detail on gifts of $5,000 and over is attached.

Pledges are recorded when they are received. To avoid double reporting, any receipts which are payments on pledges are excluded from the report amount.
### Gifts to benefit the University of Minnesota

#### Gifts received in December 2013

<table>
<thead>
<tr>
<th>Donor</th>
<th>Rec'd by</th>
<th>Gift/Pledge</th>
<th>Purpose of gift</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>$1 Million and Over</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Anonymous</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Anonymous</td>
<td>UMF</td>
<td>Pledge</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>C. Angus Wurtele</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Mark A. and Deborah J. Kravik</td>
<td>UMF</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Hormel Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Hormel Institute</td>
</tr>
<tr>
<td><strong>$500,000 - $1,000,000</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kidz1stFund</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Childrens Cancer Research Fund</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School, Academic Health Center</td>
</tr>
<tr>
<td>Charles and Ellora Alliss Educational Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Scholarships</td>
</tr>
<tr>
<td>Robert L. Schiesel Estate</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center, Medical School</td>
</tr>
<tr>
<td>Jeanne E. Corwin</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Dr. Margaret Dowell-Gravatt Estate</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Linda and Tod White Charitable Fund</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Robins Kaplan Miller and Ciresi LLP</td>
<td>UMF</td>
<td>Pledge</td>
<td>Law School</td>
</tr>
<tr>
<td><strong>$250,000 - $500,000</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Robert B. Henton Residuary Trust</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Elsa M. Carpenter</td>
<td>UM</td>
<td>Pledge</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>The McKnight Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Various Colleges</td>
</tr>
<tr>
<td>Clifford I. and Nancy C. Anderson</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>David and Janis Larson Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Unrestricted</td>
</tr>
<tr>
<td>David M. Larson</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts, Intercollegiate Athletics</td>
</tr>
<tr>
<td>Franck L. Gougeon</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>James Van Valkenburg Estate</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center, Medical School</td>
</tr>
<tr>
<td><strong>$100,000 - $250,000</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Susan I. Marvin</td>
<td>UMF</td>
<td>Gift</td>
<td>Scholarships</td>
</tr>
<tr>
<td>Bakken 2004 Lead Trust</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Thomas M. Grossman Family Charitable Trust</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Name of Donor</td>
<td>UMF</td>
<td>Gift/Pledge</td>
<td>University/College</td>
</tr>
<tr>
<td>---------------------------------------------------</td>
<td>-----</td>
<td>-------------</td>
<td>-----------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Minnesota Vikings Children's Fund</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Annexstad Family Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Scholarships</td>
</tr>
<tr>
<td>Rodney Zimmel</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Ardis Sutter</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Emerald Foundation Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Education and Human Development</td>
</tr>
<tr>
<td>Toray Industries Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Curtis L. Carlson Family Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Humphrey School of Public Affairs, Carlson School of Management</td>
</tr>
<tr>
<td>Dorothy H. Moore Estate</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>M. Geraldine Gage Estate</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Education and Human Development</td>
</tr>
<tr>
<td>David C. McFarland Jr. Estate</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management, School of Nursing</td>
</tr>
<tr>
<td>Harvey V. Berneking Estate</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Kathryn A. Sikkink and Douglas A. Johnson</td>
<td>UMF</td>
<td>Pledge</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Dr. William R. Kennedy</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>J. and M. Werbalowsky Philanthropic Fund- Jewish Community Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Institute on the Environment</td>
</tr>
<tr>
<td>Research To Prevent Blindness Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>3M Company</td>
<td>UMF</td>
<td>Gift</td>
<td>School of Public Health</td>
</tr>
<tr>
<td>Charles E. Lofgren</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Lowell F. Schwab</td>
<td>UMF</td>
<td>Gift</td>
<td>Unrestricted</td>
</tr>
<tr>
<td>Stone Uncle Trust</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Pharmacy</td>
</tr>
<tr>
<td>Allan and Judy Dragseth</td>
<td>UMF</td>
<td>Gift</td>
<td>University of Minnesota Crookston</td>
</tr>
<tr>
<td>Anonymous</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Anonymous</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Dennis R. and Catherine M. Martenson</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Dr. Harald H. and Patricia C. Schmid</td>
<td>UMF</td>
<td>Gift</td>
<td>Hormel Institute</td>
</tr>
<tr>
<td>Dr. Marvin E. Bauer</td>
<td>UMF</td>
<td>Pledge</td>
<td>College of Education and Human Development, College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Dr. William G. Merrick</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
</tbody>
</table>
### $100,000 - $250,000

<table>
<thead>
<tr>
<th>Name</th>
<th>Institution</th>
<th>Gift/Pledge</th>
<th>Amount Range</th>
<th>Field</th>
</tr>
</thead>
<tbody>
<tr>
<td>Goodale Family Foundation-Signature</td>
<td>UMF</td>
<td>Gift</td>
<td>$100,000 - $250,000</td>
<td>Medical School</td>
</tr>
<tr>
<td>Fund Minneapolis Foundation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>James R. Cote</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Kevin Y. Qian</td>
<td>UMF</td>
<td>Pledge</td>
<td></td>
<td>Law School</td>
</tr>
</tbody>
</table>

### $50,000 - $100,000

<table>
<thead>
<tr>
<th>Name</th>
<th>Institution</th>
<th>Gift/Pledge</th>
<th>Amount Range</th>
<th>Field</th>
</tr>
</thead>
<tbody>
<tr>
<td>Terry and Linda S. Zaudtke</td>
<td>UMF</td>
<td>Gift</td>
<td>$50,000 - $100,000</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>LaVerne I. Colness</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>William R. and Terry Dircks</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>Intercollegiate Athletics, Medical School</td>
</tr>
<tr>
<td>Doris Duke Charitable Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Anonymous</td>
<td>UMF/UM</td>
<td>Gift</td>
<td></td>
<td>College of Education and Human Development, Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>K. K. Burhardt and A. L. Spas Fund-Ayco Charitable Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Conrad I. Karleen and Ruth V. Karleen Charitable Trust</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>Medical School</td>
</tr>
<tr>
<td>Clifford and Nancy Anderson Charitable Fund</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Cargill Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>University of Minnesota Extension</td>
</tr>
<tr>
<td>Blondina M. Otto Estate</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Katherine B. Andersen Fund-St. Paul Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td>William A. Hodder</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>Medical School</td>
</tr>
<tr>
<td>Drs. Timothy Lodge and Susanna Amelar Lodge</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>3M Foundation Incorporated</td>
<td>UMF</td>
<td>Gift/Pledge</td>
<td></td>
<td>Various Colleges</td>
</tr>
<tr>
<td>USC Parker Institute for Childhood Cancer Research</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Dr. Matthew Stark</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>College of Education and Human Development</td>
</tr>
<tr>
<td>Dr. Kurt Amplatz</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Anonymous</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>Medical School</td>
</tr>
<tr>
<td>Infineum USA LP</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Lawrence W. Bachman Estate</td>
<td>UM</td>
<td>Gift</td>
<td></td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Hoover Family Fund-Fidelity Charitable Gift Fund</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Helen S. Henton Trust</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>Unrestricted</td>
</tr>
<tr>
<td>Dr. Patricia L. Owen</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Elizabeth S. Driscoll</td>
<td>UM</td>
<td>Pledge</td>
<td></td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Janet M. Talle</td>
<td>UMF</td>
<td>Gift</td>
<td></td>
<td>College of Liberal Arts</td>
</tr>
</tbody>
</table>
### $50,000 - $100,000

<table>
<thead>
<tr>
<th>Name of Donor</th>
<th>Type of Gift</th>
<th>College or School</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anonymous</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Anonymous</td>
<td>Gift</td>
<td>College of Education and Human Development</td>
</tr>
<tr>
<td>Drs. Brian E. Engdahl and Raina E. Eberly</td>
<td>Gift</td>
<td>Veterans Administration Medical Center</td>
</tr>
<tr>
<td>Barbara Bentson</td>
<td>Gift</td>
<td>Eastcliff</td>
</tr>
<tr>
<td>Judsen R. Marquardt and Constance M. Niva</td>
<td>Pledge</td>
<td>College of Design</td>
</tr>
<tr>
<td>Daniel R. Fishback</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>Alpha Kappa Psi Scholarship Fund</td>
<td>Pledge</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Anonymous</td>
<td>Pledge</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>API Group, Incorporated</td>
<td>Pledge</td>
<td>College of Continuing Education</td>
</tr>
<tr>
<td>Breyer Family Fund of the Minneapolis Foundation</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Dale and Jonette Engan</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Daniel W. and Kim McDonald</td>
<td>Pledge</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Danisco</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>David E. Feinberg</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Delores M. Weaver</td>
<td>Gift</td>
<td>College of Pharmacy</td>
</tr>
<tr>
<td>Dr. Donald L. Sime</td>
<td>Gift</td>
<td>School of Nursing</td>
</tr>
<tr>
<td>Dr. Michael H. Anderson</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>EV3 Incorporated</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>George Barany</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Hinman Foundation</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Jay D. Nibbe</td>
<td>Pledge</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Lenore B. Danielson</td>
<td>Pledge</td>
<td>College of Biological Sciences</td>
</tr>
<tr>
<td>Luong B. Tran</td>
<td>Gift</td>
<td>College of Education and Human Development, College of Science and Engineering</td>
</tr>
<tr>
<td>Philip D. and Deborah K. Saunders</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Prof. Gordon B. and Dr. La Nay F. Davis</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>R. and D. Oliveira Foundation-St. Paul Foundation</td>
<td>Gift</td>
<td>College of Education and Human Development</td>
</tr>
<tr>
<td>Robert D. Potts</td>
<td>Pledge</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Robert R. McCormick Foundation</td>
<td>Gift</td>
<td>College of Education and Human Development</td>
</tr>
<tr>
<td>Ronald A. and Marilyn J. Mitsch</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Amount Range</td>
<td>Organization</td>
<td>Type</td>
</tr>
<tr>
<td>-----------------------</td>
<td>--------------------------------------</td>
<td>----------</td>
</tr>
<tr>
<td>$50,000 - $100,000</td>
<td>Starkey Hearing Technologies</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>The Mortenson Family Foundation</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Yoshi Tani</td>
<td>Gift</td>
</tr>
<tr>
<td>$25,000 - $50,000</td>
<td>Dr. Kent S. and Ann M. Wilson</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>ExxonMobil Foundation</td>
<td>Pledge</td>
</tr>
<tr>
<td></td>
<td>Margaret H. and James E. Kelley</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>BP International</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Theodore C. and Linda K. Johnson</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Lorena W. Jacobson</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Dr. P. David Pearson</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Landscape Plant Development Center</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Mary E. Molnau Estate</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Donald E. Hermanson Estate</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Ralph J. Schad Estate</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Jay and Rose Phillips Family Foundation</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Robert J. and Elizabeth J. Kueppers</td>
<td>Pledge</td>
</tr>
<tr>
<td></td>
<td>Jane M. Rademacher Estate</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Wayland E. Noland Foundation</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Eddy Foundation Charitable Trust U/W</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Edward Dayton Family Fund</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Bakken Family WRC Foundation</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>General Mills Incorporated</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Marguerite J. Dugger Estate</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>General Mills Foundation</td>
<td>Gift/Pledge</td>
</tr>
<tr>
<td></td>
<td>International Dairy Queen Incorporated</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Elmer and Eleanor Andersen Foundation</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>WEM Foundation</td>
<td>Gift</td>
</tr>
<tr>
<td>Amount</td>
<td>Donor Name</td>
<td>Method</td>
</tr>
<tr>
<td>--------------</td>
<td>-------------------------------------------------</td>
<td>--------</td>
</tr>
<tr>
<td>$25,000 - $50,000</td>
<td>Zinpro Corporation</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Anonymous</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Hannah K. Dowell Estate</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Helene B. Kaplan</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Pfizer Foundation</td>
<td>Pledge</td>
</tr>
<tr>
<td></td>
<td>Travelers</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Michael H. and Julie A. Kaplan</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Rachel C. Hollstadt</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Dr. James D. and Linda B. Hainlen</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>James Earl</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Van Sloun Foundation</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Thomas F. Madison</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Alvin S. and June Perlman</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Catherine C. Andrus</td>
<td>Pledge</td>
</tr>
<tr>
<td></td>
<td>Dr. Daniel McFadden and Beverlee Simboli</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>J. L. Buchanan Incorporated</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Leland W. and Nancy A. Annett</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Otter Tail Power Company</td>
<td>Pledge</td>
</tr>
<tr>
<td></td>
<td>Steven M. Offerman</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Yahoo! Incorporated</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Dorothy A. Patterson Estate</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Patricia L. LaBerge Fund-Fidelity Charitable Gift Fund</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Ernst and Young Foundation</td>
<td>Pledge</td>
</tr>
<tr>
<td></td>
<td>Dow AgroSciences LLC</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>FMC Corporation</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Douglas and Wendy Dayton Foundation</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Kent and Ann Wilson Family Fund-MN Community Foundation</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>Henry P. Garwick</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>David G. and Joan Elton</td>
<td>Gift</td>
</tr>
<tr>
<td></td>
<td>David Sands</td>
<td>Gift</td>
</tr>
</tbody>
</table>
### $25,000 - $50,000

<table>
<thead>
<tr>
<th>Name and Foundation</th>
<th>Type</th>
<th>Gift to</th>
</tr>
</thead>
<tbody>
<tr>
<td>Michael A. and Adelle M. Jacoby</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>James B. Stowe and Barbara Gunderson Stowe</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Hill’s Pet Nutrition Incorporated</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Alice and Bruce Thomas Charitable Fund</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Campbell Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Donald L. and Patricia A. Garofalo</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Donn P. and Louise M. Barber</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Dr. Prashant C. Palvia</td>
<td>UMF</td>
<td>Pledge</td>
</tr>
<tr>
<td>Harvey A. Bartz</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Judi and Richard A. Huempfner</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Lockheed Martin Corporation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Michael W. Wright</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Minnesota Pork Board</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>R. C. Lilly Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Ryan A. H. Knapp and Theresa L. Gordon-Knapp</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Steven Eilertson and Cynthia Huntington</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Susan Mitchell Frank Fund for Needy Children</td>
<td>UM</td>
<td>Gift</td>
</tr>
<tr>
<td>Terence Fruth and Mary McEvoy Family Fund-Minneapolis Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>The Eye Bank for Sight Restoration</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Trillium Family Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Zoetis</td>
<td>UMF</td>
<td>Gift</td>
</tr>
</tbody>
</table>

### $10,000 - $25,000

<table>
<thead>
<tr>
<th>Name and Foundation</th>
<th>Type</th>
<th>Gift to</th>
</tr>
</thead>
<tbody>
<tr>
<td>Katharine E. Dumas</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Kenneth and Janet Talle</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Michael V. and Ann C. Ciresi Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Charles E. Proshek Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Marvin and Christine Ballard</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Virginia J. Perman</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Stone Pier Foundation</td>
<td>UMF/UM</td>
<td>Gift</td>
</tr>
<tr>
<td>Dr. Kirthi Kalyanam and Pavitra Maragani</td>
<td>UMF</td>
<td>Gift</td>
</tr>
</tbody>
</table>
$10,000 - $25,000

<table>
<thead>
<tr>
<th>Name</th>
<th>UMF</th>
<th>Gift Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Steven W. Johnston</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Joan T. Smith</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Ronald L. and Janet A. Christenson</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Thomas A. Meyer</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Dr. Ingrid M. Tiegel</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Christopher Barley</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Alfred W. Erickson Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Anonymous</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Bruce Bergman Family Charitable Fund</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Ayco Charitable Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Cornelius and Betty Wiens</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Dr. Charles L. Matsch</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Dr. Judith E. Stone</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Kurt Pedersen</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Louis V. and Francine Y. Nanne</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Marcia L. Page</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Patricia Ronning Family Fund</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Robert E. Hopper Family Fund</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>S. and T. McCarthy Fund-Vanguard</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Charitable Endowment Program</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Smaby Family Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Teddy and Laura Wong</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>William E. and Winnie F. Coyne</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Tom and Mary Harding</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>American Crystal Sugar Company</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Dr. Steven G. Hentges</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Midwest Dairy Association</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Land O' Lakes Incorporated</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Two Blades Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Arvid Olson Estate</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Joan Aldous</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>World Gold Council</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Emily Anne Staples Tuttle Fund-MN</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Community Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Name</td>
<td>Institution</td>
<td>Unit</td>
</tr>
<tr>
<td>-----------------------------------</td>
<td>------------------------------</td>
<td>--------------------------</td>
</tr>
<tr>
<td>Robert O. and Lillian B. Pepin</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Dr. Gerald W. Ireland</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Alice M. O’Brien Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Diane E. Anderson</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Dr. Hans I. and Kathy Jorgensen</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Engel Family Trust</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Friends United for Juvenile Diabetes</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Jean Roberts Estate</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Kelloggs'</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Kevin M. Gromley and Nancy J. Scott</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Kristine S. Erickson</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Lynn S. Krominga</td>
<td>UMF</td>
<td>Pledge</td>
</tr>
<tr>
<td>Rachel S. Brass</td>
<td>UMF</td>
<td>Pledge</td>
</tr>
<tr>
<td>Randolph Jones Jr. and Bonnie J. Jones</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Roger R. Haxby</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Sheila and George Tichy II</td>
<td>UMF</td>
<td>Pledge</td>
</tr>
<tr>
<td>Vincent D. Louwagie</td>
<td>UMF</td>
<td>Pledge</td>
</tr>
<tr>
<td>Vincent K. Leung</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>The Hershey Company</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Drs. Emily E. Hoover and James J. Luby</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>C. Paul and Irene G. Venables Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>David F. and Rosemary Good</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Sundet Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Rodney L. Nelson</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>John A. Sullivan</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Dr. Karen M. and Simon N. Zeller</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Muriel M. Orcutt Estate</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Martha J. Sweeney Estate</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Robins Kaplan Miller and Ciresi LLP</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Charitable Foundation</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Stratasys Incorporated</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Nushka Fund - Phil and Cassy Ordway</td>
<td>UMF</td>
<td>Gift</td>
</tr>
<tr>
<td>Amount</td>
<td>Donor</td>
<td>Type</td>
</tr>
<tr>
<td>-----------------</td>
<td>---------------------------------------------------</td>
<td>-------</td>
</tr>
<tr>
<td>$10,000 - $25,000</td>
<td>Laurence F. and Jean Y. LeJeune</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Norma C. Finnegan</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Minneapolis Building and Construction Trades Council</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>American Legion Family Brain Sciences Foundation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>John and Jean Drawz</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Polaris Industries Incorporated</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Sit Investment Associates Foundation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Gary D. Lunstad</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Anthony J. Seykora</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Timothy and Susan Durtsche</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>BASF Corporation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Medtronic Incorporated</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Bruce B. Dayton and Ruth A. Stricker</td>
<td>UM</td>
</tr>
<tr>
<td></td>
<td>Dr. Dale L. Lange</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. David A. Rothenberger</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Louis B. and Maureen M. Bushard</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Richard V. Huston</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Northwestern Mutual Foundation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Peggy Carlson</td>
<td>UM</td>
</tr>
<tr>
<td></td>
<td>Roger L. and Lynn C. Headrick</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Judith T. Younger</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Mordecai and Suzanne Duckler</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Alfred Harrison and Ingrid Lenz Harrison</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Burley Foods LLC</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Marvin and Betty Borman Foundation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>The Pentair Foundation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Muriel S. Brink</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>David J. and Sharon G. Lentz</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>John H. and Catherine L. Agee</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Stephen A. Craine</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Goldman Sachs Fund</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Drs. Andy A. and Dian R. Lopez</td>
<td>UMF</td>
</tr>
<tr>
<td>Amount</td>
<td>Donor Name</td>
<td>Gift Type</td>
</tr>
<tr>
<td>----------------</td>
<td>-----------------------------------------</td>
<td>-----------</td>
</tr>
<tr>
<td>$10,000 - $25,000</td>
<td>IBM International Foundation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>John C. and Jeralyn R. Anderson</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Kay L. Fredericks</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Donald and JoAnne Heltner</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Amyl H. Ahola</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Armand E. Brachman</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Arthur C. Aufderheide Estate</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Avantstar Incorporated</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Boston Scientific Corporation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Bruce and Joan Richard Family Fund</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Bruce C. and Elizabeth A. Olson</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Canterbury Park Minnesota Fund-MN</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Community Foundation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Charlon Foundation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Chorzempa Family Foundation</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>David S. and Julie Lee</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Amy Jean Knorr</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Arthur D. Smith</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Brian M. Krasnow</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Dino Xykis</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Donn R. Armstrong</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Herbert J. and Ms. Gertrude M Hannam</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. James A. Zeese</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. LaChapelle Family Charitable</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Mieke P. and Gottfried H. Kellermann</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Miriam Segall</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Patrick J. Sweeney</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Robert M. Berdahl</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Roby C. Thompson Jr.</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Steve Harrington</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. Todd Johnson</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Dr. William and Margo Hutchison</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Ecolab Incorporated</td>
<td>UMF</td>
</tr>
<tr>
<td></td>
<td>Edward and Cora Remus</td>
<td>UMF</td>
</tr>
</tbody>
</table>
### $10,000 - $25,000

<table>
<thead>
<tr>
<th>Name</th>
<th>Type</th>
<th>Gift</th>
<th>In Name Of</th>
</tr>
</thead>
<tbody>
<tr>
<td>Elness Swenson Graham Architects, Inc.</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Design</td>
</tr>
<tr>
<td>Gordon and Margaret Bailey Foundation</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Gregory and Barbara Joseph</td>
<td>UMF</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Gregory J. and Katherine A. Nelson</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural</td>
</tr>
<tr>
<td>Resource Sciences</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hella L. Mears</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Horton Holding Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>J. A. Wedum Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>James B. Linsmayer Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>James T. Prokopanko</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Jane A. Starr</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Joan C. Terrell</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts, Student Engagement</td>
</tr>
<tr>
<td>&amp; Leadership</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>John and Cheri Gunvalson Charitable Giving Fund</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Keith E. Fester</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Lillehei Family Charitable Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>School of Nursing</td>
</tr>
<tr>
<td>Lorie L. and Robert A. Michaels</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center, Medical School</td>
</tr>
<tr>
<td>Lucky Fund of Fidelity Charitable Gift</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Marilyn A. Beddar</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Mary Catherine Ilkka</td>
<td>UMF</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>Mitch Richter</td>
<td>UMF</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>Robbin S. and B. Kristine Johnson</td>
<td>UMF</td>
<td>Gift</td>
<td>Scholarships</td>
</tr>
<tr>
<td>Robert J. Youngquist</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Robert Pohlad</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Roger A. Rodness</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Rosemary J. Graham</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural</td>
</tr>
<tr>
<td>Resource Sciences</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Schwan's Consumer Brands Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Target Corporation</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>The Bergquist Company</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>The Hawley Family Foundation Inc.</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td>Wachtell Litpon Rosen and Katz</td>
<td>UMF</td>
<td>Gift</td>
<td>University of Minnesota Crookston</td>
</tr>
</tbody>
</table>

### $5,000 - $10,000

<table>
<thead>
<tr>
<th>Name</th>
<th>Type</th>
<th>Gift</th>
<th>In Name Of</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patricia Deeney Burns</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Dr. Jeffrey R. Basford</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Amount</td>
<td>Organization</td>
<td>Type</td>
<td>College(s)</td>
</tr>
<tr>
<td>-------------</td>
<td>-------------------------------------------------------------------------------</td>
<td>---------</td>
<td>------------------------------------------------</td>
</tr>
<tr>
<td>$5,000 - $10,000</td>
<td>Blandin Foundation</td>
<td>UMF Pledge</td>
<td>Various Colleges</td>
</tr>
<tr>
<td></td>
<td>Joann Ciecierski Memorial Golf Tournament</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Kenneth J. and Janet E. Albrecht</td>
<td>UMF Gift</td>
<td>Various Colleges</td>
</tr>
<tr>
<td></td>
<td>Karin L. Housley</td>
<td>UMF Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td></td>
<td>Syvilla M. Turbis Estate</td>
<td>UMF Gift</td>
<td>Academic Health Center, Medical School</td>
</tr>
<tr>
<td></td>
<td>Eaton Charitable Fund</td>
<td>UMF Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td></td>
<td>Molly and Ronald Poole Family Fund-Minneapolis Foundation</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Lorraine P. Wuerth</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum, College of Design</td>
</tr>
<tr>
<td></td>
<td>Arlys M. Heinecke Estate</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Best Buy Purchasing LLC</td>
<td>UMF Gift</td>
<td>Medical School, Carlson School of Management</td>
</tr>
<tr>
<td></td>
<td>Cynthia and Norman Engelbrecht</td>
<td>UMF Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td></td>
<td>Dana R. Lonn</td>
<td>UMF Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td></td>
<td>Daniela L. Bell and Eric H. Foster</td>
<td>UMF Gift</td>
<td>Bell Museum of Natural History</td>
</tr>
<tr>
<td></td>
<td>David R. and Kathleen Q. Carlsen</td>
<td>UMF Gift</td>
<td>Unrestricted</td>
</tr>
<tr>
<td></td>
<td>Dr. Henry Doerr IV Estate</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td></td>
<td>Rahr Foundation</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum, 4H Foundation</td>
</tr>
<tr>
<td></td>
<td>Richard G. Spiegel</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td></td>
<td>Robert H. and Carolyn P. Brix</td>
<td>UMF Gift</td>
<td>College of Pharmacy</td>
</tr>
<tr>
<td></td>
<td>Bayer Corporation</td>
<td>UMF Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td></td>
<td>Dr. Barbara F. and Mr. Lawrence M. Brandt</td>
<td>UMF Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td></td>
<td>Wound Ostomy and Continence Nurses Society Foundation</td>
<td>UMF Gift</td>
<td>School of Nursing</td>
</tr>
<tr>
<td></td>
<td>State Farm Company Foundation</td>
<td>UMF Pledge</td>
<td>Various Colleges</td>
</tr>
<tr>
<td></td>
<td>Buuck Family Foundation</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td></td>
<td>C. J. Rapp Pittman</td>
<td>UMF Gift</td>
<td>College of Biological Sciences</td>
</tr>
<tr>
<td></td>
<td>Charles H. Clay Family Charitable Lead Annuity Trust</td>
<td>UMF Gift</td>
<td>University of Minnesota Extension</td>
</tr>
<tr>
<td></td>
<td>E. I. DuPont De Nemours and Company</td>
<td>UMF Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td></td>
<td>Eileen N. Smith</td>
<td>UMF Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td></td>
<td>Gloria M. Rosholt</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td></td>
<td>Gray Plant Mooty Foundation</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Janell M. Pepper</td>
<td>UMF Gift</td>
<td>College of Liberal Arts, Law School</td>
</tr>
<tr>
<td></td>
<td>Janet and Patrick Gray</td>
<td>UMF Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Name and Institution</td>
<td>Amount</td>
<td>Type</td>
<td>Gift For</td>
</tr>
<tr>
<td>----------------------</td>
<td>--------</td>
<td>------</td>
<td>----------</td>
</tr>
<tr>
<td>Lisa A. Rotenberg</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Samuel J. and Ardís Beard</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>Dr. Siobhain M. Morgan</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Omicron Kappa Upsilon</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>School of Dentistry</td>
</tr>
<tr>
<td>Jean McGough Holten</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Dr. Leo J. and Cheryl A. Sioris</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Dr. Mary A. Ebert and Mr. Paul R. Stembler</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>College of Education and Human Development, College of Liberal Arts, University of Minnesota Duluth</td>
</tr>
<tr>
<td>Jon A. Carlson</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Academic Health Center, College of Science and Engineering</td>
</tr>
<tr>
<td>Kirsten A. Charlson</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Maurice L. Whitaker</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Sally A. Callahan</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Laurella S. Webster</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Edith H. Lynum Trust</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Cargill Incorporated</td>
<td>$5,000 - $10,000</td>
<td>Pledge</td>
<td>Various Colleges</td>
</tr>
<tr>
<td>Anne Lewis</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>Michael J. Thyken</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td>Extreme Products LLC</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>The Canadian Institute for Advanced Research</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Patricia DeLano</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>Irene M. Ott</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>College of Education and Human Development, University of Minnesota Extension</td>
</tr>
<tr>
<td>Don R. and Carole J. Larson</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>College of Veterinary Medicine, Medical School</td>
</tr>
<tr>
<td>George G. and Elizabeth J. Goldfarb</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>Davidson Companies</td>
<td>$5,000 - $10,000</td>
<td>Pledge</td>
<td>Law School</td>
</tr>
<tr>
<td>William A. Johnstone Jr.</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Access Financial Services Incorporated</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Academic Health Center, Medical School</td>
</tr>
<tr>
<td>Anna W. Ordway and Samuel H. Ordway Jr. Foundation Incorporated</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>University of Minnesota Morris</td>
</tr>
<tr>
<td>Anonymous</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>College of Biological Sciences, College of Education and Human Development School of Dentistry</td>
</tr>
<tr>
<td>Brasseler USA Dental LLC</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>Medical School, Academic Health Center</td>
</tr>
<tr>
<td>Dr. Christopher L. Meyer</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td>Dr. Cristine M. Levenduski</td>
<td>$5,000 - $10,000</td>
<td>Gift</td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td>Amount</td>
<td>Donor/Estates</td>
<td>Funding Source</td>
<td>Purpose</td>
</tr>
<tr>
<td>-----------</td>
<td>--------------------------------------</td>
<td>----------------</td>
<td>-------------------------------------------------------------------------</td>
</tr>
<tr>
<td>$5,000 - $10,000</td>
<td>Gary M. and Londa Tushie</td>
<td>UMF/UM Gift</td>
<td>College of Design, Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td></td>
<td>Gerald S. and Judy C. Duffy</td>
<td>UMF Gift</td>
<td>Law School, College of Liberal Arts</td>
</tr>
<tr>
<td></td>
<td>James D. Rogers</td>
<td>UMF Gift</td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td></td>
<td>John E. and Lois A. Rogers</td>
<td>UMF Gift</td>
<td>Weisman Art Museum</td>
</tr>
<tr>
<td></td>
<td>John E. Andrus III 2009 CLAT</td>
<td>UMF Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td></td>
<td>Mary Kay Hicks</td>
<td>UMF Gift</td>
<td>University of Minnesota Morris, College of Liberal Arts</td>
</tr>
<tr>
<td></td>
<td>Mr. Ross Bartels and Dr. Brenda J. Weigel</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Samuel L. and Sylvia K. Kaplan</td>
<td>UMF Gift</td>
<td>Law School, College of Liberal Arts</td>
</tr>
<tr>
<td></td>
<td>Second Chance For Life Foundation</td>
<td>UM Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td></td>
<td>Willard Miller Jr.</td>
<td>UMF Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td></td>
<td>George F. Detrick</td>
<td>UMF Gift</td>
<td>College of Veterinary Medicine, Libraries</td>
</tr>
<tr>
<td></td>
<td>Dr. Robert W. Eichinger</td>
<td>UMF Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td></td>
<td>Andrea Hasan</td>
<td>UM Gift</td>
<td>Bell Museum of Natural History</td>
</tr>
<tr>
<td></td>
<td>Katherine D. Nielsen</td>
<td>UMF Gift</td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td></td>
<td>Dr. Dominick J. Argento</td>
<td>UMF Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td></td>
<td>Bimbo Bakeries USA Incorporated</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Red Wing Shoe Company Foundation</td>
<td>UM Gift</td>
<td>4H Foundation</td>
</tr>
<tr>
<td></td>
<td>Thomson Reuters</td>
<td>UMF Gift</td>
<td>Humphrey School of Public Affairs</td>
</tr>
<tr>
<td></td>
<td>Patrick J. Bradley</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Barbara K. Veath</td>
<td>UMF Gift</td>
<td>School of Dentistry, College of Veterinary Medicine</td>
</tr>
<tr>
<td></td>
<td>Benjamin F. Nelson Estate</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Pancreatic Cancer Research</td>
<td>UMF Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td></td>
<td>Donald F. Klassy</td>
<td>UMF Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td></td>
<td>Allen R. Arvig</td>
<td>UMF Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td></td>
<td>Garrett E. Mulrooney II</td>
<td>UMF Pledge</td>
<td>Law School</td>
</tr>
<tr>
<td></td>
<td>Janice Kispert</td>
<td>UMF Gift</td>
<td>Intercollegiate Athletics</td>
</tr>
<tr>
<td></td>
<td>Ms. Joan E. and Dr. Bradley L. Videen</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Jeremiah J. Konz</td>
<td>UMF Gift</td>
<td>University of Minnesota Morris</td>
</tr>
<tr>
<td></td>
<td>Owen W. Gregg</td>
<td>UMF Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td></td>
<td>Camille M. Tuite</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td></td>
<td>Edward E. Slonim</td>
<td>UMF Gift</td>
<td>Medical School, University of Minnesota Duluth</td>
</tr>
<tr>
<td></td>
<td>Jon and Susan Campbell</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td></td>
<td>Evelyn Sweasy</td>
<td>UM Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td></td>
<td>Sandra M. and C. Robert Morris</td>
<td>UMF Gift</td>
<td>College of Design, Law School</td>
</tr>
<tr>
<td></td>
<td>Donna R. Born</td>
<td>UMF Gift</td>
<td>Global Programs and Strategy Alliance</td>
</tr>
<tr>
<td></td>
<td>Airtex Design Group Incorporated</td>
<td>UMF Gift</td>
<td>Medical School</td>
</tr>
</tbody>
</table>
$5,000 - $10,000

Andrew E. Plesko UMF Gift Academic Health Center
Ann C. Brey UMF Gift College of Science and Engineering
Arthur T. Erickson Charitable Foundation Trust UM Gift Minnesota Landscape Arboretum
Barbara S. Haroldson UM Gift Minnesota Landscape Arboretum
C. Steven and Susan D. Wilson UMF Gift Medical School
Cannon Family Foundation-Signature Fund Minneapolis Foundation UMF Gift University of Minnesota Alumni Association
Charlson Meadows Renewal Center UMF Gift Center for Spirituality and Healing
Concordia K. Olson UMF Gift Intercollegiate Athletics
D. and N. Manolis Charitable Giving Fund-Renaissance Charitable Foundation Incorporated UMF Gift Medical School
D. Christian Koch UMF Gift Carlson School of Management
Daniel A. Whalen UMF Gift Humphrey School of Public Affairs
David D. and Martha E. Kadue UMF Gift Law School
David R. and Anne R. Johanson UMF Gift Law School
Diane K. Lentz UM Gift Minnesota Landscape Arboretum
Donald B. and Ann B. Mykleby UMF Gift University of Minnesota Crookston
Dr. Daniel J. Garry UMF Gift Medical School
Dr. G. Eric Knox UMF Gift College of Education and Human Development
Dr. Henry H. Balfour Jr. UMF Gift Medical School
Dr. John G. Mulrooney UMF Gift Medical School
Dr. Julie A. Ross UMF Gift Academic Health Center, Medical School
Dr. Manfred Morari UMF Gift College of Science and Engineering
Dr. Richard E. Phillips UMF Gift College of Biological Sciences
Dr. Roger Eichhorn UMF Gift College of Science and Engineering
Drs. Robert H. Bruininks and Susan A. Hagstrum UMF/UM Gift College of Liberal Arts, Minnesota Landscape Arboretum
Drs. Robert W. and Judith B. Snook UMF Gift Medical School
Drs. William D. and Marsha J. Beyer UMF Gift Medical School
Dykema Gossett PLLC UMF Gift College of Science and Engineering
Edward Willmus Family Charitable Trust UMF Gift College of Veterinary Medicine
Elizabeth Chamberlin UM Gift University of Minnesota Crookston
Ena M. Shawhan UMF Gift School of Nursing
Eric C. Bartz UMF Gift Academic Health Center
Frank P. Vinella UMF Gift College of Science and Engineering
G. P. and P. A. Halbach Charitable Fund-Vanguard Charitable UMF Gift College of Liberal Arts
Gene F. French UMF Gift Medical School
<table>
<thead>
<tr>
<th>Name and Organization (if any)</th>
<th>UM/UMF</th>
<th>Gift Type</th>
<th>Gift for Section</th>
</tr>
</thead>
<tbody>
<tr>
<td>Good Charity Incorporated</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Greylong Corporation</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td>Hugh J. Bonner</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Hurley Ltd</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Jack A. and Gail Kochie</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Continuing Education, Academic Health Center</td>
</tr>
<tr>
<td>James Heppelmann and Mary Hable</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>James K. Peterson</td>
<td>UMF</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Jane N. Mooty Foundation Trust</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Jean M. Olson</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Veterinary Medicine</td>
</tr>
<tr>
<td>Jennifer A. Weist</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Jesse J. and Britta Bergland</td>
<td>UMF</td>
<td>Gift</td>
<td>Carlson School of Management</td>
</tr>
<tr>
<td>Joanne L. Blatt</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Joseph P. Sullivan</td>
<td>UMF</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Joy M. and John T. Wetzel</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts, College of Education and Human Development</td>
</tr>
<tr>
<td>Kaplan Strangis and Kaplan, P.A.</td>
<td>UMF</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Kendrick B. Melrose Fund of the Minneapolis Foundation</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Kraig Larson</td>
<td>UMF</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Lucius L. Fowler</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>MacKenzie Studios</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Macy's Foundation</td>
<td>UMF</td>
<td>Pledge</td>
<td>College of Design</td>
</tr>
<tr>
<td>Margaret A. Kirick</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Margaret G. Aldrich Irrevocable Trust U/W</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Science and Engineering</td>
</tr>
<tr>
<td>Marilee L. Tuite</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Mark Z. Jones II and Judith S. Jones</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Mary C. and Jan C. Wenger</td>
<td>UMF</td>
<td>Gift</td>
<td>School of Nursing</td>
</tr>
<tr>
<td>McGlynn Family Foundation</td>
<td>UMF</td>
<td>Gift</td>
<td>Academic Health Center</td>
</tr>
<tr>
<td>Michael R. Sieben</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts, Law School</td>
</tr>
<tr>
<td>Mildred L. Skogmo</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Minnehaha Creek Watershed District</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Nancy B. Webster</td>
<td>UM</td>
<td>Gift</td>
<td>Minnesota Landscape Arboretum</td>
</tr>
<tr>
<td>Nancy S. and Warren D. MacKenzie</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Narasimmon Consulting LLC</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
</tr>
<tr>
<td>Patricia A. Beithon</td>
<td>UMF</td>
<td>Gift</td>
<td>Law School</td>
</tr>
<tr>
<td>Patricia A. Erlandson Fund-Fidelity Gift Fund</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Paul and Sue Arneson</td>
<td>UMF</td>
<td>Gift</td>
<td>Medical School</td>
</tr>
<tr>
<td>Penelope and Robert R. Peters</td>
<td>UMF</td>
<td>Gift</td>
<td>College of Liberal Arts</td>
</tr>
<tr>
<td>Gift Range</td>
<td>Name/Description</td>
<td>University/Department</td>
<td></td>
</tr>
<tr>
<td>-------------</td>
<td>----------------------------------------------------------------------------------</td>
<td>------------------------------------------------</td>
<td></td>
</tr>
<tr>
<td>$5,000 - $10,000</td>
<td>Peter and Eunice Weisensel Charitable Gift</td>
<td>College of Liberal Arts</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Peter Q. and Pamela A. Matteson</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Philips Medical</td>
<td>Unrestricted</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Prospect Creek Foundation</td>
<td>Minnesota Landscape Arboretum, Weisman Art Museum</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Richard R. Lachenmayer</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Risk Solution Resources LLC</td>
<td>College of Veterinary Medicine</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Robert J. Knoll</td>
<td>Carlson School of Management</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Robert J. Schroeder</td>
<td>Law School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Robin and Barbara Schaller Charitable Fund-Fidelity</td>
<td>College of Science and Engineering</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Rochelle Lesser</td>
<td>College of Veterinary Medicine</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Roger F. Meyer</td>
<td>Carlson School of Management</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Rondi C. Erickson and Guilford S. Lewis</td>
<td>College of Liberal Arts, Medical School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Russell C. and Rebecca J. Bierbaum</td>
<td>Intercollegiate Athletics</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Sando Foundation</td>
<td>College of Food, Agricultural and Natural Resource Sciences</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Scrooby Foundation</td>
<td>College of Veterinary Medicine</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Sea Foam Sales Company</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Sondag Family Charitable Fund - Schwab Charitable Fund</td>
<td>Law School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Stephen C. Daas</td>
<td>Carlson School of Management</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Steve A. Vanderboom</td>
<td>College of Science and Engineering</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Steven T. and Wendy L. Simenson</td>
<td>College of Pharmacy</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Suzanne C. Blue</td>
<td>Minnesota Landscape Arboretum</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The Fahning Heritage Fund</td>
<td>Academic Health Center</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The Joseph Durda Foundation</td>
<td>Intercollegiate Athletics</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The Samuel Roberts Noble Foundation Incorporated</td>
<td>Academic Health Center</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The Soener Foundation</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Thomas H. Garver</td>
<td>University of Minnesota Duluth</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Thomas M. Vertin</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Thomas R. and Jean L. King</td>
<td>Intercollegiate Athletics</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Toby Cohn</td>
<td>Medical School</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tuzcu Family Charitable Fund-Vanguard Charitable Endowment Program</td>
<td>College of Design</td>
<td></td>
</tr>
<tr>
<td></td>
<td>UCare Minnesota</td>
<td>Center for Spirituality and Healing</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Unisys Corporation</td>
<td>College of Science and Engineering</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Wendy J. Wildung</td>
<td>College of Liberal Arts, Unrestricted</td>
<td></td>
</tr>
<tr>
<td>Amount</td>
<td>Name of Donor</td>
<td>Fund Type</td>
<td>University/Campus</td>
</tr>
<tr>
<td>--------------</td>
<td>--------------------------------------------------</td>
<td>-----------</td>
<td>-----------------------------------</td>
</tr>
<tr>
<td>$5,000 - $10,000</td>
<td>Wildey H. Mitchell Family Foundation</td>
<td>UMF</td>
<td>University of Minnesota Duluth</td>
</tr>
<tr>
<td></td>
<td>Wilson B. and Juanita E. Reynolds Fund-MN</td>
<td>UMF</td>
<td>College of Veterinary Medicine</td>
</tr>
</tbody>
</table>
### UNIVERSITY OF MINNESOTA
BOARD OF REGENTS
SUMMARY OF EXPENDITURES
GENERAL OPERATIONS & MAINTENANCE FUND
SIX MONTHS ENDING DECEMBER 31, 2013

<table>
<thead>
<tr>
<th>FY 2014</th>
<th>FY 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Budgeted Revenues / Expenditures</strong></td>
<td><strong>Actual Revenues / Expenditures</strong></td>
</tr>
<tr>
<td><strong>Beginning Balance</strong> (Prior Year Carryforward)</td>
<td>$ 291,768</td>
</tr>
</tbody>
</table>

### Revenues

<table>
<thead>
<tr>
<th>FY 2014</th>
<th>FY 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total Allocation</strong></td>
<td>$ 849,567</td>
</tr>
<tr>
<td><strong>Net Transfers</strong></td>
<td>$ -</td>
</tr>
<tr>
<td><strong>Total Resources</strong></td>
<td>$ 1,141,335</td>
</tr>
</tbody>
</table>

### Expenditures

<table>
<thead>
<tr>
<th>FY 2014</th>
<th>FY 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Salaries</strong></td>
<td>$ 502,238</td>
</tr>
<tr>
<td><strong>Fringe Benefits</strong></td>
<td>$ 174,200</td>
</tr>
<tr>
<td><strong>Supplies, Equipment, Board Expenses, etc.</strong></td>
<td>$ 180,889</td>
</tr>
<tr>
<td><strong>Total Expenditures</strong></td>
<td>$ 857,327</td>
</tr>
<tr>
<td><strong>Ending Balance</strong></td>
<td>$ 284,008</td>
</tr>
<tr>
<td></td>
<td>CURRENT YEAR</td>
</tr>
<tr>
<td>--------------------------------</td>
<td>--------------</td>
</tr>
<tr>
<td></td>
<td>REVENUES/</td>
</tr>
<tr>
<td></td>
<td>BUDGET 2013/14</td>
</tr>
<tr>
<td>Beginning Balance (Prior Year Carry forward)</td>
<td>$654,748</td>
</tr>
<tr>
<td>Revenues</td>
<td></td>
</tr>
<tr>
<td>Total Year Allocation</td>
<td>$3,278,256</td>
</tr>
<tr>
<td>Total Resources</td>
<td>$3,933,004</td>
</tr>
<tr>
<td>Expenditures</td>
<td></td>
</tr>
<tr>
<td>President’s Office Salaries</td>
<td>$1,640,247</td>
</tr>
<tr>
<td>President’s Office Fringe Benefits</td>
<td>$654,796</td>
</tr>
<tr>
<td>Supplies, Expense, Equipment</td>
<td></td>
</tr>
<tr>
<td>Ofc of the President-General Operations</td>
<td>$173,604</td>
</tr>
<tr>
<td>President’s Travel&amp;External Relations</td>
<td>$23,800</td>
</tr>
<tr>
<td>Academic/Programmatic Initiatives</td>
<td>$431,851</td>
</tr>
<tr>
<td>University Wide Memberships</td>
<td>$334,212</td>
</tr>
<tr>
<td>Earned retirement benefit payment*</td>
<td></td>
</tr>
<tr>
<td>Sub Total Expenditures-President’s Ofc</td>
<td>$3,258,510</td>
</tr>
<tr>
<td>Eastcliff Management Office Salaries</td>
<td>$51,575</td>
</tr>
<tr>
<td>Eastcliff Management Office Fringe Benefits</td>
<td>$11,145</td>
</tr>
<tr>
<td>Supplies, Expense, Equipment</td>
<td></td>
</tr>
<tr>
<td>Eastcliff Management Ofc-General Operations</td>
<td>$8,299</td>
</tr>
<tr>
<td>Sub Total Expenditures-Eastcliff</td>
<td>$71,019</td>
</tr>
<tr>
<td>Total Expenditures</td>
<td>$3,329,529</td>
</tr>
<tr>
<td>Ending Balance</td>
<td>$603,475</td>
</tr>
</tbody>
</table>

*Contractual obligation to Mark Yudof; transferred to OHR
University of Minnesota
Facilities Management and President’s Office Summary of Expenditures for Eastcliff
General Operations and Maintenance Fund
6 Months Ending December 31st, 2013
(unaudited)

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Beginning Balance (Prior Year Carry forward)</strong></td>
<td>$181,662</td>
<td>$181,662</td>
<td></td>
<td>$107,347</td>
<td>$107,347</td>
<td></td>
</tr>
<tr>
<td><strong>Revenues</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Year Allocation</td>
<td>$258,945</td>
<td>$87,847</td>
<td>$346,792</td>
<td>$229,131</td>
<td>$138,154</td>
<td>$367,285</td>
</tr>
<tr>
<td>Transfer from Office of the President</td>
<td>$0</td>
<td>$0</td>
<td></td>
<td>$0</td>
<td>$0</td>
<td></td>
</tr>
<tr>
<td>Transfer from the general contingency fund</td>
<td>$0</td>
<td>$0</td>
<td></td>
<td>$0</td>
<td>$0</td>
<td></td>
</tr>
<tr>
<td>Transfer from Central Reserves</td>
<td>$0</td>
<td>$0</td>
<td></td>
<td>$0</td>
<td>$0</td>
<td></td>
</tr>
<tr>
<td><strong>Total Resources</strong></td>
<td>$258,945</td>
<td>$269,509</td>
<td>$528,454</td>
<td>$229,131</td>
<td>$245,501</td>
<td>$474,632</td>
</tr>
<tr>
<td><strong>Expenditures</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Salaries and Fringe Benefits</td>
<td>$27,869</td>
<td>$20,493</td>
<td>$48,362</td>
<td>$13,725</td>
<td>$28,989</td>
<td>$42,714</td>
</tr>
<tr>
<td>Supplies, Expense, Equipment</td>
<td>$163,586</td>
<td>$4,841</td>
<td>$168,427</td>
<td>$151,667</td>
<td>$1,769</td>
<td>$153,436</td>
</tr>
<tr>
<td>Transfer to FM</td>
<td>$0</td>
<td>$0</td>
<td></td>
<td>$0</td>
<td>$0</td>
<td></td>
</tr>
<tr>
<td>Transfer to/(from) the Eastcliff Project Reserve</td>
<td>$0</td>
<td>$0</td>
<td></td>
<td>$0</td>
<td>$0</td>
<td></td>
</tr>
<tr>
<td><strong>Total Expenditures</strong></td>
<td>$191,455</td>
<td>$25,335</td>
<td>$216,790</td>
<td>$165,392</td>
<td>$30,758</td>
<td>$196,150</td>
</tr>
<tr>
<td><strong>Ending Balance</strong></td>
<td>$67,490</td>
<td>$244,174</td>
<td>$311,664</td>
<td>$63,739</td>
<td>$214,743</td>
<td>$278,482</td>
</tr>
</tbody>
</table>
Board of Regents

February 14, 2014

**Agenda Item:** Annual Report on the Status of University Research & Commercialization of Intellectual Property

☐ review  ☐ review/action  ☐ action  ☒ discussion

**Presenters:** Vice President Brian Herman

**Purpose:**

☐ policy  ☒ background/context  ☐ oversight  ☐ strategic positioning

To fulfill an annual obligation of accountability and an opportunity for the Vice President for Research to brief members of the Board of Regents about the health of the University of Minnesota's research programs.

**Outline of Key Points/Policy Issues:**

The 2013 report includes:

Research Statistics
- FY2013 sponsored research awards received
- Five-year trends in sponsored research awards
- Technology commercialization results

Research & Development Comparative Analysis
- R&D expenditures from the National Science Foundation's annual R&D survey and peer performance
- National and global rankings among public research universities
- Wobegon II Study: a brief introduction research performance and economic impact

Strategic planning results and roadmap

**Background Information:**

Each year the Vice President for Research provides the Annual Research Report for the Board of Regents, summarizing the University's research metrics for the past year, documenting the trends in research productivity and benchmarking the University's performance and ranking among its peer group.
This year’s report builds upon the July 2013 Board of Regents presentation “Achieving Success Amid Constraints: Research Priorities and Infrastructure,” in which Brian Herman, Vice President for Research, presented an emerging vision for the future of the research enterprise at the University, with the final vision to be developed through an extensive strategic planning exercise. The final vision was intended to build on the University’s history of great strength in research and implement strategies to address the obstacles institutions like the University are facing. Herman also shared a broad, interconnected set of priorities that positions the University for continued success.
PREFACE

Each year the Vice President for Research provides the Annual Research Report for the Board of Regents, summarizing the university’s research metrics for the past year, documenting the trends in research productivity and benchmarking the university’s performance and ranking among its peer group.

The 2013 report includes:

Research Statistics

• FY2013 sponsored research awards received
• CIC award comparison
• Five-year trends in sponsored research awards
• Technology commercialization results

R&D Comparative Analysis

• R&D expenditures from the National Science Foundation’s annual R&D survey and peer performance
• National and global rankings among public research universities

Transforming Research

• Transdisciplinary partnerships - MnDRIVE
• Economic development

Strategic Planning: Five Years Forward
RESEARCH STATISTICS

University of Minnesota faculty and staff competed successfully for $693 million in sponsored research awards in fiscal 2013. The award total was down $56 million (7.5 percent) from the total for fiscal 2012; funding decreases were seen almost across the board in every funding category.

As expected, awards from American Recovery and Reinvestment Act funds declined precipitously, netting just $749 thousand in 2013 versus a high water mark of $131.4 million in fiscal 2010. Throughout the duration of the ARRA funding (2009 to 2013), the university received a total of $251.6 million in ARRA funds, the second highest amount in the Committee on Institutional Cooperation (CIC) behind Michigan. The university was the second largest recipient of ARRA funding in the state behind the Minnesota Department of Transportation, demonstrating the university’s significant role in the state’s economic, social and physical infrastructure.

Figure 1 and 2 display annual totals of sponsored award data by source and collegiate unit, respectively. The sources of federal research funding have remained relatively constant, with NIH and NSF accounting for about 70 percent of the federal total. Overall distribution of awards across collegiate units also remained consistent, with most colleges experiencing declines in funding.

---

RESEARCH STATISTICS: FIVE YEAR TRENDS

Figure 3 and Table 1 below summarize the distribution of university sponsored research awards by major sponsor category for the past five years. Figure 4 shows the percent change in funding by college throughout the five year period. Despite some year-to-year variations, the proportion of funding from the major source categories has been consistent. The recent decline in sponsored research awards is due to several factors, including lingering effects of the economic downturn, a long term national trend toward federal disinvestment in basic research, and more recent federal budget cuts resulting from sequestration. These declines are becoming increasingly noticeable now that the significant impact of American Recovery and Reinvestment Act funding has gone away.

As noted in previous reports, the decline in awards in fiscal 2009 was caused by delays as federal agencies scrambled to deal with the short fund distribution time limits imposed by ARRA. When this anomaly is taken into account, awards appear to have peaked in 2012 and declined in 2013.

Over the five year period covering the ARRA funding (2009 to 2013), the university system grew its award funding 14 percent. As is evident in Figure 4, many colleges benefitted from the economic stimulus funds, reflecting a positive percent change in their funding between the start and end of the five year period. Figure 4 also shows the university’s two largest colleges (Medical School and College of Science and Engineering) experienced relatively small to no percent change in their annual award totals from the start to the end of the ARRA timeframe, though both colleges experienced high water marks in their total annual award funding in 2010.

FIGURE 3 | AWARDS BY MAJOR SOURCE CATEGORY
### TABLE 1 | AWARDS BY CATEGORY
(Dollar amount represented in millions)

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2010</th>
<th>2011</th>
<th>2012</th>
<th>2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal</td>
<td>366.5</td>
<td>472.7</td>
<td>488.5</td>
<td>507.7</td>
<td>475.2</td>
</tr>
<tr>
<td>Business &amp; Industry</td>
<td>41.8</td>
<td>45.5</td>
<td>43.6</td>
<td>55.2</td>
<td>47.6</td>
</tr>
<tr>
<td>State &amp; Local</td>
<td>46.8</td>
<td>61.8</td>
<td>50.0</td>
<td>59.7</td>
<td>53.1</td>
</tr>
<tr>
<td>Other Private</td>
<td>104.1</td>
<td>111.3</td>
<td>112.8</td>
<td>123.9</td>
<td>116.8</td>
</tr>
<tr>
<td>ARRA</td>
<td>42.7</td>
<td>131.4</td>
<td>74.2</td>
<td>2.5</td>
<td>0.8</td>
</tr>
<tr>
<td>Total</td>
<td>601.9</td>
<td>822.7</td>
<td>769.1</td>
<td>749.1</td>
<td>693.4</td>
</tr>
</tbody>
</table>

### FIGURE 4 | AWARDS BY COLLEGE: 2013 vs 2009 PERCENT CHANGE
TECHNOLOGY COMMERCIALIZATION

The Office for Technology Commercialization continued its strong performance and productivity in fiscal 2013. With just a few exceptions, all metrics show growth over the previous fiscal year (Table 2). A record 14 startup companies were launched, topping the previous record set the year prior when 12 startups were spun out.

The invention disclosures metric is one of the five accountability measures that the university will track in order to receive one percent of its biennial appropriation from the state.

The metrics for technology commercialization now also track the annual number of MN-IP- Minnesota Innovation Partnerships - agreements. Through MN-IP, companies pay a low, one-time, upfront fee and receive an exclusive worldwide license to intellectual property (IP) arising from sponsored research. Companies control all patent filings associated with the technology developed during the research project, and. And, they are free to sublicense the technology at any time.

By the end of fiscal 2013, 55 new sponsored research agreements had been signed through the MN-IP program. In August 2013 another major milestone occurred when the first license deal resulting from an MN-IP agreement was signed between the university and Adama Materials, a startup company launched to commercialize technology for the composites industry.

Additionally, MN-IP has been well-received by industry and innovators, so much so, that the university has expanded the program to broaden its ability to advance innovation and business opportunities driven by university research.

TABLE 2 | UNIVERSITY TECHNOLOGY COMMERCIALIZATION DATA

(Dollar amount represented in millions)

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Disclosures</td>
<td>217</td>
<td>244</td>
<td>255</td>
<td>250</td>
<td>321</td>
<td>331</td>
</tr>
<tr>
<td>MN-IP Agreements</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>14</td>
<td>41</td>
</tr>
<tr>
<td>New U.S. Patent Filings</td>
<td>52</td>
<td>65</td>
<td>66</td>
<td>78</td>
<td>115</td>
<td>148</td>
</tr>
<tr>
<td>New Licenses</td>
<td>63</td>
<td>44</td>
<td>67</td>
<td>76</td>
<td>71</td>
<td>91</td>
</tr>
<tr>
<td>Startups</td>
<td>2</td>
<td>3</td>
<td>8</td>
<td>9</td>
<td>12</td>
<td>14</td>
</tr>
<tr>
<td>Current Revenue Generating Agreements</td>
<td>281</td>
<td>306</td>
<td>399</td>
<td>457</td>
<td>426</td>
<td>331</td>
</tr>
<tr>
<td>Gross Revenues</td>
<td>$86.9</td>
<td>$95.2</td>
<td>$83.8</td>
<td>$10.1</td>
<td>$45.7</td>
<td>$39.47</td>
</tr>
<tr>
<td>Non-Glaxo Revenues</td>
<td>$7.9</td>
<td>$8.7</td>
<td>$8.6</td>
<td>$10.1</td>
<td>$10.74</td>
<td>$12.48</td>
</tr>
<tr>
<td>Outgoing Material Transfer Agreements</td>
<td>67</td>
<td>106</td>
<td>171</td>
<td>271</td>
<td>313</td>
<td>281</td>
</tr>
</tbody>
</table>
R&D COMPARITIVE ANALYSIS: PEER INSTITUTIONS

According to the NSF R&D survey expenditure data for 2012, the most recent data available, the university ranks ninth among public research universities. This ranking is a slight drop from last year’s reported eighth place ranking. The change in ranking was not due to a change in the U of M’s performance but rather due to additional data reported by another institution, University of North Carolina. In fiscal year 2012, North Carolina Chapel Hill began including institutional research support amounts in its total, which it had not previously done. NCCH also amended its 2011 totals to include institutional research support amounts which also adjusted the U of M’s ranking to 9th. Nevertheless, the U of M remained among the elite public universities, posting over $826 million in research expenditures. The source of funds representing the largest and only area of growth (up 23.2 percent) is institutionally financed research, which as a result matched other higher education institutions across the country.

Table 3 presents ranking system data for the top 15 research institutions. It is important to note that the research expenditure data for the University of Minnesota represents only the research expenditures for the Twin Cities campus. Due to a change in the 2010 survey methodology, each campus of institutions with multiple campuses is now tracked individually instead of as part of an aggregate total. The system wide total for all University of Minnesota R&D is $849 million. Also included in Table 3 are two other widely accepted and cited ranking systems, the Center for Measuring U Performance and the Academic Ranking of World Universities. The information from these two systems is provided for the NSF R&D survey top 15 institutions.

<table>
<thead>
<tr>
<th>TABLE 3</th>
<th>TOP 15 INSTITUTIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>NSF - 2012</td>
</tr>
<tr>
<td>Public</td>
<td>Expenditures</td>
</tr>
<tr>
<td>Michigan</td>
<td>1 $1,322,711</td>
</tr>
<tr>
<td>Wisconsin</td>
<td>2 $1,169,779</td>
</tr>
<tr>
<td>Washington</td>
<td>3 $1,109,008</td>
</tr>
<tr>
<td>UC San Diego</td>
<td>4 $1,073,864</td>
</tr>
<tr>
<td>UC San Francisco</td>
<td>5 $1,037,673</td>
</tr>
<tr>
<td>UCLA</td>
<td>6 $1,003,375</td>
</tr>
<tr>
<td>North Carolina</td>
<td>7 $884,791</td>
</tr>
<tr>
<td>Pittsburgh</td>
<td>8 $866,638</td>
</tr>
<tr>
<td>Minnesota-Twin Cities</td>
<td>9 $826,173</td>
</tr>
<tr>
<td>Penn State</td>
<td>10 $797,679</td>
</tr>
<tr>
<td>Ohio State</td>
<td>11 $766,513</td>
</tr>
<tr>
<td>UC Berkeley</td>
<td>12 $730,348</td>
</tr>
<tr>
<td>UC Davis</td>
<td>13 $713,292</td>
</tr>
<tr>
<td>Florida</td>
<td>14 $696,985</td>
</tr>
<tr>
<td>Texas A&amp;M</td>
<td>15 $693,421</td>
</tr>
</tbody>
</table>

TRANSFORMING RESEARCH

We, at the University of Minnesota, think we can chart a different path forward, rather than follow the uninspiring course offered through the previous funding narrative. It is a path that makes better, more efficient use of our resources; one that grows productive and robust partnerships at the university and with non-university organizations to create new knowledge, and one that offers direction establishing us as a leader and convener of these new ideas in order to solve the looming and significant challenges of the future. To take this path and achieve these goals, we must transform our research enterprise.

Transdisciplinary Partnerships

Success, in part, takes shape through the development of transdisciplinary and public-private partnerships. A way to more simply represent this collaborative relationship is through the “triple helix”, a model of innovation and business development that represents the complex and dynamic relationship that takes place between the entrepreneurial university, business and industry partners and government entities.

The goal of this intricate and interconnected partnership is to create an innovation ecosystem that thrives on the combination of knowledge creation capabilities, such as those located at the university, with the needs of our profit and non-profit partners, along with the policies, investments and oversight of the government to support the ecosystem.

Stanford and North Carolina have already adopted this philosophy and model, and, as evidenced by national news and rankings, they remain leaders in academic and regional innovation. These partnerships, like the structure of the triple helix, need to become part of our own research DNA at the university if we are to move our enterprise away from the new normal of “flat” growth.

MnDRIVE

The 2013 legislative session will be remembered for reversing state disinvestment in higher education during the last six years. The university budget request approved for the next biennium includes $36 million for the Minnesota Discovery Research InnoVation Economy (MnDRIVE) funding program, which aims to advance Minnesota's economy, prioritize the state's comparative advantages, and further the well-being of Minnesotans through investments in scientific research.
The MnDRIVE program focuses on four strategic areas over the biennium, with statewide benefits:

- Advancing industry, conserving our environment
- Supporting robotics, sensors and advanced manufacturing
- Global food venture
- Advancing the treatment of brain conditions

As we continue to develop the program, we will not limit research or our capacity to innovate. Working closely with our partners throughout the state and the university, we will develop a solid framework that rewards discovery and enhances partnerships to drive results.

We will use this investment in the University and in Minnesota’s economy to help inform our strategic path forward.

**Economic Development**

The University of Minnesota is launching a new Office of Economic Development to provide strategic direction and leadership for our university-wide economic development activities. At the university, we have seen an increasing need for public-private partnering to address the economic development goals of the state and region and to bolster our own research and technology commercialization efforts through increased outreach to business and industry partners.

The new office will leverage existing university expertise and resources in the development of its new programs and activities designed to enhance cross-university connectivity and promote the university’s unique strengths in research, entrepreneurship and innovation. The OED will be housed within the Office of the Vice President for Research and will include the activities formerly contained in the Office of Business Relations. Maura Donovan, a former Medtronic executive who has extensive leadership experience in the medical device and biotechnology industries, will lead the new office as its executive director.

The need to expand the Office of Business Relations into one that better connects system-wide resources and works more effectively with outside partners became clear during OVPR’s strategic planning process. To keep the university competitive with other top tier institutions, nationally and around the globe, we must continue to nurture and grow relationships with private partners. Our goal is to accelerate the transfer of knowledge for the public good. We will partner with the deans, colleges and system-wide colleagues, as well as those in the community, to ensure we stay on this path. Your input and participation are encouraged as we work together to ensure that the University of Minnesota continues to advance discoveries that have a profound impact on our world.
STRATEGIC PLANNING

In May 2013, OVPR, in consultation with the university and the research community, embarked on a strategic planning process designed specifically to advance the university’s research mission and bring increased focus, alignment and excellence for the university-wide research enterprise over the next five years. During this process, OVPR engaged close to 4,000 individuals on our five campuses and in our surrounding business and nonprofit communities, representing 65 stakeholder groups.

The feedback from these groups, combined with the guidance of the OVPR leadership team, has resulted in a five-year plan, “Five Years Forward through Collective Inspiration and Discovery” that concentrates on four thematic areas in line with the vision: “Bringing people together in new ways, fostering discoveries and making our world a better place.” (Figure 5)

Within each of these four thematic areas—or cornerstones—we have 16 specific supporting goals that speak to the needs and areas where this institution should focus its resources, attention, talent and energy—and ultimately forge its path forward. We have established an executive steering committee from across the university to identify leadership for the supporting goals, review specific action plans, establish success measures and support and publicly promote the strategic plan.

University faculty and administrative leadership will implement the goals, and with their help, we will design specific action plans to ensure we have put in place the right measures for success and have aligned the right resources with these plans.

We see this as the beginning of an ongoing, iterative and collaborative process. We will continue to seek the guidance and input of all of our stakeholder groups as we put this plan into action.

FIGURE 5 | Strategic Planning Vision & Cornerstone Themes
CONCLUSION

We see tremendous potential to refine and transform our research enterprise in a way that will create opportunities for faculty, staff, students, and external partners to come together to address the most crucial issues that can have a profound impact on society.

By building upon the university’s existing strengths, nurturing a collaborative research environment, and working with public and private partners, we will advance research outcomes that increase our competitive advantage, nationally and internationally, and generate new knowledge and discoveries that make our world a better place.
Board of Regents 

February 14, 2014

**Agenda Item:** Report of the Faculty Consultative Committee

- review
- review/action
- action
- discussion

**Presenters:** Professor Will Durfee

**Purpose:**

- policy
- background/context
- oversight
- strategic positioning

To provide the Board of Regents with an update on the goals and accomplishments of the Faculty Consultative Committee throughout the year.

**Background Information:**

It is customary for the chair of the Faculty Consultative Committee to provide quarterly updates to the Board of Regents.
Chair Beeson, Vice Chair Johnson, Members of the Board and President Kaler:

This is the second of the three academic year reports provided by the Faculty Consultative Committee to the Board of Regents. The purpose is to inform you of some of the issues that are on the minds of faculty and to bring you up to date with some of the items that are being discussed by faculty governance.

**Graduate Education**  In September, we reported on the formation of the Special Committee on Graduate Education (SCGE), jointly charged by the Provost and FCC and chaired by Professor Scott Lanyon. The committee was given a short timeline to tackle significant issues in graduate education, including financing, the graduate student experience and program quality, enrollment management and the visibility and quality of graduate education. That 36 distinguished faculty, students and staff would unhesitatingly volunteer their services to be on this committee is strong evidence of the importance of graduate education. On December 17, the committee delivered their final report, which sets the stage with insightful comments on the state of graduate education at the University of Minnesota and then offers a comprehensive slate of recommendations to strengthen the research capacity of the university and its ability to train the next generation of scholars and leaders. The FCC joins all university stakeholders in expressing its thanks and gratitude to the members of the committee, and in particular to the subcommittee chairs, Professors Wayne Gladfelter, George Heimpel, Karen Ho and Carissa Schively Slotterback, for working rapidly yet thoughtfully to bring graduate education to center stage.

What’s next? We are now in a phase of examining the report and its recommendation. The report has been released to Duluth, Rochester and Twin Cities graduate faculty, students and staff, and comments are now being received. Professor Lanyon has and will be briefing faculty governance committees and other groups. The intent is to get as much input as possible from all corners.

The purpose of this activity, however, is not to end with discussion, but rather to end with action. Some of the recommendations made by the committee can be acted upon immediately while others require more analysis, particularly those changes that impact the budget. Many of the observations and recommendations will also inform and be rolled into strategic planning. We expect the June 2014 FCC report to the Board to summarize the changes that have been implemented and the ones that continue to be examined.

**Strategic Planning**  You have been receiving periodic updates on strategic planning and you know that the workgroup, which has broad faculty representation, is moving past the vision and mission stages and is starting on concrete goals and initiatives. While this work is still early, a common theme that gained instant traction is the need to cultivate and reinforce a culture of excellence. By this we mean a culture where mediocrity is not accepted as sufficient. We can take honest pride in the world-class excellence of many individuals and units at the University. Nevertheless, we also had some hard discussions that required putting aside “Minnesota Nice” to ask whether the bar for faculty at the University of Minnesota was set at the right level, and
the answer was, “perhaps not.” There was agreement, however, that the way to achieve a culture of excellence is not through punishment but rather through incentives to reach higher. The workgroup is eager to see where these observations take its discussions and how they will inform the strategic directions of the University.

Curriculum and Academic Programs  The foundation of a university is its curriculum and academic programs, and ownership of those programs belongs to the faculty. We have recently started, and will continue to be in a period where faculty must examine their curriculum under the lens of outcomes. For some programs this has come through participation in the Writing Enriched Curriculum (WEC) initiative, part of the overall university effort to improve undergraduate writing. Participating in WEC requires that faculty articulate what specific writing abilities are required by students who graduate in their major, and to ensure that the curriculum provides students with those abilities. Faculty in engineering programs recently underwent examination by ABET, the accreditation authority for engineering. The examination requires that faculty define what outcomes are expected of their students and how the curriculum enables students to meet those outcomes. Upcoming is accreditation of the entire University undergraduate program where the Student Learning Outcomes will be closely examined. Also upcoming are proposals for regular reviews of all graduate and undergraduate programs. On top of this, we have the Council on Liberal Education, the Campus Writing Board and the Campus Curriculum Committee, all charged with evaluating and approving various aspects of new undergraduate course proposals.

As a faculty, we recognize that examination and approval processes are needed for a functioning university. We also recognize that faculty must retain the ability to change and structure our courses and curriculum in ways that best serve the education of students. Over the coming months, faculty, including faculty governance, will continue to be engaged in the evolution of accreditation and program review processes to ensure that the process of examination and approval are meaningful, are compatible with the education goals set by the faculty, and result in high quality education for our students.

Faculty Engagement  At this Board meeting you will be briefed on the initial results from the Employee Engagement Survey recently completed by faculty and staff. Faculty will first examine these results at a high level to see whether they match our perceptions of faculty attitudes. We will then work with administrators at the university, college and department level to discuss the results and see how we might address concerns and build on strengths, such that the University of Minnesota continues to be a premier place for faculty to teach, perform research, and engage our communities through outreach. Later this academic year we will also hear the results of the COACHE Survey of Faculty Job Satisfaction. The last administration of the survey was in 2005, and it resulted, among other things, in changes to the promotion and tenure process and to establishing a comprehensive new faculty orientation that has helped our youngest faculty members to succeed. We expect to use the results from the 2013 survey to ensure that this university remains a place where we can recruit and retain truly outstanding faculty members and that it continues to be faculty-friendly.

Respectfully submitted by William Durfee, Professor of Mechanical Engineering and Chair, Faculty Consultative Committee
Board of Regents

February 14, 2014

**Agenda Item:** Enhancing the Impact of the University's Equity and Diversity Efforts

- review
- review/action
- action
- discussion

**Presenters:** President Eric W. Kaler  
Vice President Katrice A. Albert, Office for Equity and Diversity

**Purpose:**

- policy
- background/context
- oversight
- strategic positioning

To provide the Board an introduction to Vice President Albert, her insights and priorities developed after six months of strategic listening across the University’s campuses, and a diversity issue of resurging national interest and urgency.

**Outline of Key Points/Policy Issues:**

- Introduction to Vice President Albert's assessment of key equity and diversity issues at the University.
- Update on the office's work system-wide to support local mission-driven, strategic equity and diversity efforts.
- Discussion of urgent national equity and diversity issue.

**Background Information:**

In December 2012, the Office of Equity and Diversity provided an update on the third phase of implementation of the University's Equity and Diversity Vision Framework. In June 2013, Vice President Katrice Albert joined the University and is presenting in response to a request from the Board for an introduction to her priorities and a discussion of significant issues about which the Board should be aware.
Agenda Item: Updated Bylaws for Eastcliff Committees

☐ review    ☑ review/action    ☐ action    ☐ discussion

Presenters: Regent Patricia Simmons

Purpose:

☐ policy    ☐ background/context    ☑ oversight    ☐ strategic positioning

The Friends of Eastcliff (FOE) and Eastcliff Technical Advisory Committee (ETAC) were created by the Board of Regents in the late 1980s to provide assistance and advice on matters related to the oversight of Eastcliff. These committees have been an effective component of Board oversight, but their existing bylaws are in need of update and clarification.

In the case of FOE, there is also a need to reposition the committee as an advisory board rather than a fundraising committee. This will allow it to bring internal and external perspectives to conversations about Eastcliff and nurture public support for the home. ETAC will continue to bring important subject matter expertise to decisions regarding Eastcliff.

The proposed bylaws were drafted with the following objectives in mind:

• Ensure that Eastcliff is well maintained and projects an image worthy of the University of Minnesota’s rich history and tradition.
• Maintain strong oversight role carried out by Eastcliff committees and the Board of Regents, while updating and clarifying specific roles and responsibilities.
• Insulate the President and spouse from decisions regarding enhancements made at Eastcliff.

Outline of Key Points/Policy Issues:

Below is a summary of proposed changes:

Friends of Eastcliff (FOE)

○ Clarify mission and membership of committee.
○ Change name to “Eastcliff Advisory Board” to reflect its advisory role to the Board of Regents. Allow the term “Friends of Eastcliff” to be used in recognition of individuals and families who have provided financial support to Eastcliff.
Ensure that both internal and external stakeholders have a voice in recommendations coming to the Board of Regents for consideration. Provide that external members are appointed by the chair of the Board of Regents and eliminate Honorary Member designation.

Assign staffing responsibilities to the Office of the Board of Regents, which is consistent with other committees chaired by Regents.

Outline an appropriate fundraising support role for EAB.

Make EAB responsible for the Eastcliff Annual Report.

**Eastcliff Technical Advisory Committee (ETAC)**

- Clarify mission and membership of committee.
- Establish approval thresholds and create clear accountability for approvals of master plans, capital improvements, and significant expenditures.
- Remove responsibility for Eastcliff Annual Report.
- Outline basic meeting procedures.

### Background Information:

In March 1988, the Board of Regents adopted a resolution “that a committee be appointed to consider alternatives for the establishment of an independent advisory commission which would make recommendations to the Board of Regents regarding mission, financial support, maintenance and renovation of Eastcliff, the President’s residence.” The committee met five times and made several recommendations, including the establishment of:

“An ad hoc committee of volunteer consultants with special expertise from within the University... appointed by the Board of Regents to act as consultants... on matters requiring special expertise...”

“A volunteer Eastcliff Advisory Committee... to raise funds for financing projects within Eastcliff that are classified as restoration projects to retain the architectural integrity or to supplement the interior furnishings, etc. The Advisory Committee will be appointed by and serve at the pleasure of Board.”

The Board of Regents approved these recommendations in September 1988. In December 1988, the ad hoc committee of consultants envisioned in the recommendations was established as the Regents’ Technical Advisory Committee and its first members appointed. Subsequent references to this committee use the title Eastcliff Technical Advisory Committee (ETAC).

In November 1989, the Board of Regents established the advisory committee, named the Eastcliff Resource Committee. In January 1990, the first members were appointed to this committee. In the mid-1990s, this committee began to use the title Friends of Eastcliff Committee (FOE).

In late 1997, the chairs of ETAC and FOE initiated a review of the mission, membership, and responsibilities of the two committees. This review resulted in revised statements that were adopted by the respective committees and reported to the Board of Regents. The mission and responsibilities of each committee were later reaffirmed in September 2002 when minor adjustments were made to ETAC’s membership and responsibilities.

FOE subsequently created an Honorary Member category in November 2008 and established an Executive/Nominating Subcommittee.

The bylaws of each committee are received and filed by the Board each year as part of the Annual Eastcliff Report.
**EASTCLIFF ADVISORY BOARD BYLAWS**

**Mission**

Eastcliff has a unique role among University of Minnesota buildings in that it serves both as a public facility for events and meetings and as the private residence of the University president and family. The Eastcliff Advisory Board (EAB) is created by and accountable to the Board of Regents. Its mission is to advise the Board of Regents on plans and major expenditures related to Eastcliff, assist in the solicitation of private resources to support Eastcliff, and nurture continued public support for the home to ensure that it projects an image worthy of the University's rich history and tradition. It plays an important role in considering both internal and external perspectives regarding spending decisions at Eastcliff and ensuring that the Board of Regents has appropriate governance-level oversight of this important University asset.

**Membership**

Chair:
- Board of Regents member (selected by the chair of the Board of Regents)

Voting Members:
- Vice President for University Services or designee (1)
- Vice President & Chief Financial Officer or designee (1)
- President, University of Minnesota Foundation or designee (1)
- Members who are not University employees, appointed by the chair of the Board of Regents for two-year terms that run concurrently with the term of the EAB chair (3-6)

Non-Voting Members:
- Chair, Eastcliff Technical Advisory Committee (1)
- Board of Regents Executive Director (1)
- President’s designee, Office of the President (1)
- University Associate (President’s Spouse) (1)
- Director, Minnesota Landscape Arboretum or designee (1)

Staff:
- Office of the Board of Regents
Responsibilities

1. **Master Plans, Capital Improvements, and Significant Expenditures.** Review recommendations of the Eastcliff Technical Advisory Committee (ETAC) and advise the Board of Regents on master plans, capital improvements, and significant expenditures that enhance the original integrity and design of Eastcliff and its grounds and promote it as an important institutional and residential University resource. Capital improvements and significant expenditures are defined as physical improvements, equipment, furniture, fixtures, decorations, and/or enhancements exceeding $25,000 in cost. Emergency approvals of capital improvements and/or significant expenditures may be granted through the process outlined in Board of Regents Policy: *Board Operations and Agenda Guidelines.* Any such emergency approvals will be brought to the next meeting of EAB for information.

2. **Use of Funding.** Advise the Board of Regents on the appropriate use of funding for projects being recommended for approval. Use of private funds must be consistent with donor intent.

3. **Fundraising.** Review the University of Minnesota Foundation's (UMF) development plan for Eastcliff and assist in the solicitation of private resources consistent with that plan.

4. **Public Support.** Nurture continued public support of Eastcliff. Advise on issues of public education, awareness and relations, as well as on matters pertaining to donor appreciation and communications. The term ‘Friends of Eastcliff’ may be used at the discretion of EAB to recognize individuals and families who have provided financial support for Eastcliff.

5. **Annual Report.** Review and submit to the Board of Regents an annual report on Eastcliff that includes a summary of the previous fiscal year’s financial, maintenance, and operational activity.

**Supersedes:** Friends of Eastcliff Committee Bylaws dated November 2008.
EASTCLIFF TECHNICAL ADVISORY COMMITTEE BYLAWS

Mission

Eastcliff has a unique role among University of Minnesota buildings in that it serves both as a public facility for events and meetings and as the private residence of the University president and family. The Eastcliff Technical Advisory Committee (ETAC) is created by and accountable to the Board of Regents. Its mission is to bring subject matter expertise to the management of Eastcliff by making recommendations for the improvement, maintenance, operations, and use of the home to ensure that it projects an image worthy of the University’s rich history and tradition. In doing so, the committee must balance wise use of University and donor resources with the home’s designation on the National Register of Historic Places and appropriately separate, protect, and foster Eastcliff’s unique public and private uses.

Membership

Chair:
• Selected by the Chair of the Board of Regents from the voting members of the committee

Voting Members:
• Faculty member designees from the following College of Design disciplines:
  o Architecture (1)
  o Landscape Architecture (1)
  o Interior Design (1)
• Vice President for University Services designees (2)
• Director/designee, Weisman Art Museum (1)
• Board of Regents Executive Director (1)

Non-Voting Members:
• Office of the President designee (1)
• University Associate (President’s Spouse) (1)
• Vice President for University Services designees:
  o Public Safety (1)
  o Capital Planning & Project Management (1)

Staff:
• Facilities Management designee
Responsibilities

1. **Master Plans, Capital Improvements, and Significant Expenditures.** Develop and recommend master plans, capital improvements, and/or significant expenditures that enhance the original integrity and design of Eastcliff and its grounds and promote it as an important institutional and residential University resource.

   Recommendations should be consistent with Eastcliff master plans and follow the University's established capital planning process. Capital improvements and significant expenditures should be maintained on and evaluated against a prioritized list of needs. As annual recommendations are developed and advanced, ETAC should provide estimated project budgets, suggested funding sources, and recommended project delivery methods and timing.

2. **Approvals and Recommendations.** Except for routine maintenance, repairs, and replacement, ETAC must approve all capital improvements, equipment, furniture, fixtures, decorations, and/or enhancements exceeding $5,000 but below $25,000 in cost, consistent with University policies and procurement practices. Expenditures below $5,000 that could be viewed as personally benefiting the president or family should also be approved in advance by ETAC. Capital improvements and/or significant expenditures exceeding $25,000 must receive approval of the Board of Regents, which will consider ETAC’s recommendations along with advice from the Eastcliff Advisory Board (EAB).

   Upon recommendation of the Vice President for University Services, the ETAC chair, in consultation with the chair of the EAB, may act on behalf of the committee when delaying approval poses a significant health, safety, or financial risk to the University. Any such emergency approvals will be brought to the next meeting of ETAC for information.

3. **Operations and Maintenance Plan.** Review Facilities Management’s annual facility operations and maintenance plan and budget for Eastcliff.

4. **Quarterly Operating Reports.** Review quarterly operating reports supplied by Facilities Management.

5. **Operating Policies and Procedures.** As requested, advise the Office of the President and Facilities Management on operating policies and procedures including staffing, general maintenance, purchasing, security, and the appropriate level of scheduling and use of Eastcliff.

6. **Meeting Procedures.** The chair of ETAC will call committee meetings, develop agendas for distribution to committee members in advance of each meeting, and ensure that minutes are kept to preserve a record of committee actions. A quorum consisting of at least four (4) voting members must participate in a vote for action to be taken. Votes may be cast in person or electronically, with a simple majority required for approval.

*Supersedes:* Eastcliff Technical Advisory Committee Bylaws dated September 2002.
Board of Regents  February 14, 2014

Agenda Item: Board of Regents Policy: Student Representatives to the Board of Regents

☒ review  ☐ review/action  ☐ action  ☐ discussion

Presenters: Regent Richard Beeson

Purpose:

☒ policy  ☐ background/context  ☐ oversight  ☐ strategic positioning

To consider proposed amendments to Board of Regents Policy: Student Representatives to the Board of Regents. NOTE: Since the changes significantly rearrange and revise existing language and add new language, a ‘clean’ copy is included in the docket materials rather than a strike through version. Copies of the existing policy will be available upon request or can be reviewed at: http://regents.umn.edu/policies/index

Outline of Key Points/Policy Issues:

The proposed amendments seek to ensure that each student representative understands his/her role and is prepared to effectively present the student voice to the Board; reinforce a culture of professionalism and responsibility through clear expectations; and align Board policy with current practices.

Summary of proposed changes:

• Lists a clear set of duties for the student representative position.

• Establishes a requirement that student representatives have a 3.0 GPA and be enrolled as full-time, degree-seeking students.

• Outlines a list of attributes that ideal student representatives will possess.

• Aligns the term of office for student representatives with the meeting calendar of the Board, and establishes term limits.

• Clarifies procedures for electing the chair and vice chair, and establishes their roles and responsibilities.

• Sets expectations for attendance and time commitment, and clarifies practices concerning absences, vacancies, and removals.

• Eliminates the position of alternate student representative.
• Removes outdated language and improves overall readability of the policy.

The policy was included as part of the 2013-14 Policy Review Work Plan received by the Board of Regents on July 10, 2013.

Background Information:

Board of Regents Policy: Student Representatives to the Board of Regents was last amended on September 9, 2011 to add a student representative position for the Rochester campus. Technical changes were made to the policy on August 21, 2013 to reflect the Board’s new committee structure.
SECTION I. ROLE AND DUTIES.

The role of student representatives to the Board of Regents (Board) is to present the student voice to the Board as it fulfills its governance responsibilities. Student representatives do this through semester reports and as non-voting members of the Board’s standing committees.

Student representatives have the following duties:

• Attend all meetings of the student representatives;
• Prepare for and participate in all regular meetings of assigned Board committees;
• Observe Board committee meetings when not participating on an assigned committee;
• Observe meetings of the full Board whenever possible; and
• Contribute ideas, time, and expertise toward the team effort to successfully develop and deliver semester reports to the Board.

The student representatives are an important part of the University's shared governance process.

SECTION II. REPRESENTATION AND SELECTION.

Subd. 1. Representation. There will be eight student representatives. Four students will be selected from the Twin Cities campus and one student each from the Crookston, Duluth, Morris, and Rochester campuses.

Of the Twin Cities representatives, at least one student must be a member of the Graduate and Professional Student Assembly (GAPSA) and at least two students must be members of the Minnesota Student Association (MSA).

Subd. 2. Selection Process. The four student representatives from the Twin Cities campus will be selected by GAPSA and MSA. The four student representatives from the Crookston, Duluth, Morris, and Rochester campuses will be selected by the Crookston Student Association, University of Minnesota Duluth Student Association, Morris Campus Student Association, and Rochester Student Association.

Policies for student representative selection shall be created by the relevant student group but will include the criteria for selection outlined in this policy.

Subd. 3. Selection Criteria. Student representatives must be full-time, degree-seeking students (as defined by the University) and have at least a 3.0 cumulative GPA.
Ideal student representatives will possess the following attributes:

- Ability to set aside parochial interests and articulate the student voice on the widest array of student concerns;
- Willingness to collaborate with other students to produce timely and impactful student representative reports;
- Ability to think strategically about issues before the Board and articulate questions or comments in a formal meeting setting;
- Reliability and follow-through; and
- Understanding of or willingness to learn about University governance.

**Subd. 4. Term of Office.** Student representatives must be selected during the spring semester of each year. They assume office September 1 of that year and serve through June 30 of the next year, or until replaced.

Student representatives may serve no more than two terms.

**Subd. 5. Orientation.** The Board Office will provide an orientation for incoming student representatives prior to their participation in a Board of Regents meeting. Orientation is required for every student representative.

**SECTION III. LEADERSHIP.**

**Subd. 1. Leadership Elections.** During orientation, the student representatives must elect a chair and a vice chair. Officer terms are September 1 of the election year through June 30 of the following year.

Each campus is limited to one leadership position in a given term. Leadership positions are elected by secret ballot and require a simple majority. The Board Office will coordinate leadership elections.

**Subd. 2. Responsibilities of the Chair.** The chair serves as the representatives’ main point of contact with the Board Office; determines all committee assignments; leads each meeting of the student representatives; determines and records excused and unexcused absences; assigns replacement representatives in cases of absence; coordinates development of semester reports to the Board; determines who delivers each report; and responds to all media inquiries on behalf of the student representatives.

The vice chair serves as chair in the chair’s absence.

**SECTION IV. ABSENCES, VACANCIES AND REMOVAL.**

The effectiveness of the student representatives group is hindered by absences and mid-year vacancies. Student representatives are expected to commit the time necessary to attend all regular meetings of the Board’s committees during their term of office.
Subd. 1. Absences. Advance notice to the chair of the student representatives is required for any absence. Each cohort of student representatives will determine the amount of notice required for an absence to be excused.

Any unexcused absence or two or more excused absences shall be considered grounds for removal from the student representatives program.

In the event of an absence that leaves a committee with only one student representative, the chair of the student representatives will assign another representative to fulfill the responsibilities of the absent representative for that committee meeting.

Subd. 2. Vacancies. In the event of a vacancy lasting no more than two consecutive committee meetings, the chair of the student representatives will assign a current student representative to fulfill the vacated committee assignments. If at least three committee meetings remain in the unexpired term, a replacement must be selected by the selecting body to serve the remainder of the term.

Subd. 3. Removals. A student representative may be removed for absences or nonperformance of duties by a two-thirds majority vote of the student representatives and concurrence from the selecting body, or in accordance with actions by or procedures of the student group that selected the student representative.

SECTION V. BOARD MEETINGS.

Subd. 1. Committee Assignments. Two representatives will be assigned by the chair of the student representatives to each of the following committees of the Board: Audit, Academic and Student Affairs, Facilities and Operations, Faculty and Staff Affairs, and Finance.

Student representatives will be assigned to standing committees of the Board only.

Subd. 2. Docket Materials. Student representatives are responsible for obtaining and reading relevant docket materials before each meeting.

Subd. 3. Voting. Student representatives are non-voting committee members.

Subd. 4. Reports. Student representatives may present a report to the Board each semester. The Board Office must approve all report topics and the methods for collecting data or requesting information. The Board Office will review each semester report before it is included in the docket, and has the authority to edit any portion of the report.

Subd. 5. Expense Reimbursement. Expenses of student representatives related to Board meetings will be reimbursed by the Board Office in accordance with existing University expense policies and Board Office guidelines.

Supersedes: The Role of Alternates dated February 11, 1977; Student Representatives to the Board of Regents dated December 8, 1989; Student Representative to the Committee of the Whole dated December 9, 1977; and Twin Cities Representatives dated December 10, 1976.
Agenda Item: Integrated Structure Naming

☐ review  ☑ review/action  ☐ action  ☐ discussion

Presenters: President Eric Kaler
Aaron Friedman, Vice President of Health Sciences and Dean of the Medical School
Bobbi Daniels, Co-President, Integrated Structure; CEO, University of Minnesota Physicians
Diana Harvey, Chief Communications Officer and Deputy Chief of Staff to the President
Carolyn Wilson, Co-President, Integrated Structure; President, University of Minnesota Medical Center

Purpose:

☐ policy  ☐ background/context  ☑ oversight  ☐ strategic positioning

To consider a resolution authorizing President Kaler to enter into a Branding Agreement between the University of Minnesota and the Integrated Structure (IS). This agreement will govern the manner in which the IS can use the University of Minnesota name and marks and establish the University's oversight of that use.

Outline of Key Points/Policy Issues:

The Board presentation and discussion will focus on:

• A review of relevant Board of Regents policies and prior agreements with Fairview Health Services and University of Minnesota Physicians (UMP) regarding the use of the University of Minnesota name;
• The proposed name that will be used by the IS along with visual depictions of the proposed wordmark and how it will be applied to facilities and services; and
• Terms and conditions of the proposed Branding Agreement between the University of Minnesota and the IS.

Background Information:

The Board of Regents approved the execution and delivery of a Master Integrated Structure Agreement in May 2013. The Board of Regents approved the execution and delivery of a Master Ambulatory Care Center Agreement in December 2013.
Relevant Board of Regents policies: Reservation and Delegation of Authority; Founding Date, Corporate Name and Seal, and University Marks; and Namings.

President's Recommendation for Action:

The President recommends approval of a resolution authorizing appropriate administrative officers of the University to execute, on behalf of the University, the branding agreement between the University of Minnesota and the Integrated Structure.
REGENTS OF THE UNIVERSITY OF MINNESOTA

RESOLUTION RELATED TO BRANDING AGREEMENT

WHEREAS, Board of Regents (Board) Policies: Reservation and Delegation of Authority and Founding Date, Corporate Name and Seal, and University Marks provide in part that the Board reserves to itself authority to approve use of the name of Regents of the University of Minnesota (University) by any non-University person or entity in a manner that indicates an authorized relationship with the University; and

WHEREAS, on May 10, 2013 and December 13, 2013, respectively, the Board approved the execution and delivery of (i) a Master Integrated Structure Agreement (Master IS Agreement) among the University, Fairview Health Services (Fairview), University of Minnesota Physicians (UMPhysicians), and Integrated Structure, LLC, an entity formed by Fairview and UMPhysicians (IS LLC), pursuant to which the parties will virtually integrate, through an Integrated Structure, the oversight, management, and operations of certain clinical operations; and (ii) a Master Ambulatory Care Center Agreement (Master ACC Agreement) among the University, Fairview, UMPhysicians, and UMPhysicians-UMMC, Fairview Health Services, Inc., an entity formed by Fairview and UMPhysicians (UMP-FHS), pursuant to which the parties will develop and operate the Ambulatory Care Center (ACC), a new academic outpatient specialty services facility, in a manner that is market-competitive, supportive of research and education missions, and operated and managed consistent with applicable standards of care and financial standards; and

WHEREAS, the University is party to an Academic Affiliation Agreement with Fairview, which, as amended from time to time, contains certain authorizations to use the name and marks of the University in connection with the provision of healthcare services, subject to certain terms and conditions; and

WHEREAS, the University is party to a Trading Name Agreement with UMPhysicians pursuant to which UMPhysicians is authorized to use the name of the University in connection with the provision of clinical services; and

WHEREAS, both the Master IS Agreement and the Master ACC Agreement provide in part that if a new brand or identity is to be used in connection with the IS and/or the ACC, the parties will enter into such agreement(s) as may be appropriate; and

WHEREAS, the University and Fairview and UMP through IS LLC and UMP-FHS have determined that it is appropriate, in pursuit of their goals for the Integrated Structure, to adopt a new external branding identity; and
WHEREAS, the University, through its Academic Health Center and its Office of University Relations, wishes to enter into a Branding Agreement with IS LLC that supplements existing agreements with UMP and Fairview governing the use of the University’s name, marks, and logos and assures that the external branding of the IS and the ACC is accomplished in a manner consistent with applicable University policy and that the University’s name is used in connection with the provision of healthcare services only consistent with appropriate control by University faculty through UMPhysicians;

NOW, THEREFORE, BE IT RESOLVED, that each of (i) the Dean of the Medical School and Vice President for Health Sciences and (ii) the Chief Communications Officer and Deputy Chief of Staff to the President is hereby authorized to execute and deliver the Branding Agreement on behalf of the University, and each of them and all other appropriate University officers are authorized to take such further action as may be necessary or appropriate to carry out the intended purpose of the Branding Agreement.
Relevant Board of Regents Policies


- The Board reserves to itself the authority to approve the use, and revocation of the use, of its corporate name or any abbreviated name, including *University of Minnesota*, by any non-University person or entity, consistent with Board policies.
- The Board reserves to itself authority to name and revoke names of University buildings and other assets, consistent with Board policies.
- The Board reserves to itself authority to approve the legal structure and scope of any relationship between the University and any associated organization, non-profit corporation, foundation, institute, or similar entity that substantially relies upon University resources or personnel to carry out its mission.
- Unless otherwise restricted by specific Board policies or directives, the President shall be responsible for delegating general executive management and administrative authority to other executive management and administrative authority to other executive officers and employees as necessary and prudent, including authority to execute contracts and other legal documents. The President may condition, limit, or revoke any presidential authority so delegated.

2. *Founding Date, Corporate Name and Seal, and University Marks* (Adopted January 14, 1994, Amended October 10, 2003, December 8, 2006, February 12, 2010)

- “The University shall maintain a consistent visual identity system, including name, marks, and logos, that (a) reinforces and protects the University’s identity; (b) distinguishes the University from other institutions; and (c) reflects the University’s traditions and heritage.”
- Note: The President has delegated to the Chief Communications Officer for University Relations the authority and the obligation to maintain policies and procedures to implement the Regents Marks Policy. In exercising that authority and fulfilling that obligation, the Department of University Relations has promulgated a policy that sets forth certain standards regarding use of the University wordmark, logo and brand.
3. **Namings (Adopted June 11, 2010)**

- This policy governs the namings of significant University of Minnesota assets, including (1) honorary namings; (2) namings associated with gifts or sponsorships; and (3) other namings which include the naming of Colleges and Schools, and the naming of buildings, significant portions of buildings, grounds, physical structures, areas, or streets.
Legal agreements guiding the use of the University of Minnesota name and marks by Fairview and UMP.

<table>
<thead>
<tr>
<th>Year</th>
<th>Agreement</th>
<th>Provisions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Fairview</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| 1997 | U of M – FV affiliation agreement | Allows Fairview to use the U name in signage, advertising, promotional material, as Fairview University Medical Center. Academic Affiliation Agreement between the University and Fairview, effective January 1, 1997 – granted Fairview a license to use the University name subject to prior approval of "first use samples of all signage, advertising, promotional materials, scripts, or the like."
<p>| 2005 | Amendment to the 1997 U of M-FV affiliation agreement | Allows Fairview to use the University name and service marks in relationship with the Medical Center and Children’s Hospital as University of Minnesota Medical Center, Fairview. Amendment to Academic Affiliation Agreement. (Adopted April 14, 2005) |
| 2009 | Amplatz Gift Agreement | Amplatz gift agreement adds &quot;Amplatz&quot; name to University of Minnesota Children’s Hospital. |
| <strong>University of Minnesota Physicians (UMP)</strong> | | |
| 1997 | Agreement between the U of M and UMP | Allows UMP to use the University’s name and marks in specific formats as University of Minnesota Physicians. Trading Name Agreement (drafted July 1, 1997, to expire on June 30, 2017). The purpose of this agreement is for the University to grant UMP a license to use the University name within its corporate title and the right to use the University’s Marks as indicated in the agreement. |</p>
<table>
<thead>
<tr>
<th>Year</th>
<th>Agreement Title</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>2007</td>
<td>Amendment to the U of M-UMP agreement</td>
<td>Extends agreement for additional 10 years and allows additional formats in which UMP can use the University marks. Further agreements as to specific marks made between University Relations and UMP consistent with intent of agreement.</td>
</tr>
<tr>
<td>2014(proposed)</td>
<td>Agreement between the U of M and the IS</td>
<td>Addresses how the Integrated Structure can use the University of Minnesota name and marks and the University’s oversight of that use.</td>
</tr>
</tbody>
</table>
Branding Agreement between the University of Minnesota and the Integrated Structure
Summary of key terms and conditions

The agreement governs the use of the University of Minnesota name by the Integrated Structure.

1. The Integrated Structure enables a more organic approach than prior agreements related to the use of the University of Minnesota name by Fairview; the Branding Agreement sets forth criteria and processes related to the authorization of such use.

2. External-facing branding of the Integrated Structure is to be performed at all times with the active participation of the University of Minnesota's Office of University Relations.

3. Branding criteria for use of University Marks include:
   • The program, service line, or services must be a component of the Combined Enterprise as defined in the IS Master Agreement;
   • Medical leadership must be provided by or approved by University of Minnesota Physicians;
   • Services must be directly provided by UMPhysicians, arranged for by them or managed by them; and
   • Services must be governed by quality standards approved by UMPhysicians.

4. Approval for the use of the University of Minnesota name to identify a physical location may be done with the prior approval of the IS Co-Presidents, the UMPhysicians CEO, the Dean of the Medical School and the head of the Office of University Relations.

5. The term of the Brand Agreement is the same as that of the Master IS Agreement.
Agenda Item: Report on Alcohol Sales at TCF Bank Stadium

Presenters: Vice President Pamela Wheelock
Vice Provost and Dean of Students Danita Brown Young
Senior Associate Director and CFO Tom McGinnis, Intercollegiate Athletics

Purpose:

☑️ policy ☐ background/context ☑️ oversight ☐ strategic positioning

To update the Board of Regents on the University’s two-year pilot program and review of the impacts related to alcohol sales and service at University sports facilities and to inform the Board as to the University’s recommended changes to state statute and the University’s plans for future operations related to this subject.

Outline of Key Points/Policy Issues:

The University has completed its two-year pilot and review of the impacts related to the sale of alcoholic beverages at University sports facilities. The results of these findings are detailed in the attached report. The University believes that the continued sale of beer and wine in both the premium areas and the seating bowl of TCF Bank Stadium and the premium areas only of Mariucci and Williams Arena provide the optimal conditions from a fan experience, student development, safety, and business perspective. The University has not found any significant impacts to the surrounding neighborhoods that would negate this perspective and has mitigated impacts related to the potential for student alcohol abuse through educational and operational measures.

Changes to State Statute:
As a result of these findings, the University recommends that the sunset language be deleted from Minnesota State Statute § 340A.404, subdivision 4A’s note. The University does not recommend any additional changes to the Statute.

TCF Bank Stadium Sale and Service of Alcoholic Beverages:
Per University Policy and Procedures, the University intends to continue to sell beer and wine on football game days in premium areas and in the seating bowl of the Stadium, increasing points of sale in order to enhance convenience to the general public. No other operational changes to the sale and service of alcohol are being considered at this time.
Mariucci and Williams Arena Sale and Service of Alcoholic Beverages:
Given the configuration of the facilities and consideration for optimizing the fan and student athlete experience, the University administration intends the continued sale of beer and wine in premium areas only in both arenas per University Policy and Procedures. The University strongly opposes any expansion of alcohol sales to the general seating areas of these venues during intercollegiate athletic events.

Background Information:

For many years, the Board of Regents has exercised its constitutional authority to govern and manage University property and facilities by adopting policies and principles governing the consumption, service, and sale of alcoholic beverages on campus.

By resolution adopted December 12, 2008, the Board of Regents approved alcoholic beverage sales in conjunction with events at TCF Bank Stadium in premium seating areas and special event rooms, as well as in the Williams Arena and Mariucci Arena Club rooms and suites. The Board also authorized the administration to submit applications for liquor licenses consistent with the resolution. The administration obtained those licenses in early 2009; however, the Minnesota Legislature passed a law in 2009 requiring that the University must sell or serve alcoholic beverages throughout TCF Bank Stadium, Mariucci Arena, or Williams Arena if they are sold or served anywhere in the stadium or arenas during intercollegiate athletic events.

The Board of Regents subsequently adopted a resolution on June 24, 2009 that prohibited the sale or service of alcoholic beverages in TCF Bank Stadium, Mariucci Arena, and Williams Arena during intercollegiate athletic events.

In 2012, the Minnesota legislature permitted the sale of “intoxicating liquor” “…at a location in the stadium that is convenient to the general public attending an intercollegiate football game at the stadium.” The legislation specified that “on-site liquor sales to the general public must be available…through half-time” and restored the ability to serve and sell in the premium seating areas of Williams and Mariucci Arenas. The legislation states that these provisions will expire on July 1, 2014.

In July 2012, the Board of Regents rescinded the 2009 resolution and adopted a new resolution that directed the sale and service of beer or beer and wine be permitted at TCF Bank Stadium during intercollegiate football games in the premium seating areas of TCF Bank Stadium, and, in addition, directed the administration designate a location or locations in TCF Bank Stadium that is convenient to the general public attending an intercollegiate football game at TCF Bank Stadium. The resolution also directed on-sale sales of beer or beer and wine to the general public must be available at the location or locations convenient to the general public through half-time of an intercollegiate football game at TCF Bank Stadium. During events other than intercollegiate football games, alcoholic beverages may be sold and served in accordance with the University’s state-granted license. In addition, the resolution authorized the reapplication for liquor licenses at Mariucci Arena and Williams Arena and directed that the sale and service of beer or beer and wine be permitted at Williams Arena and Mariucci Arena in specifically designated venues within the Arenas to be determined by the administration during intercollegiate athletic events. During events other than intercollegiate athletic events, alcoholic beverages may be sold and served in accordance with the University’s state-granted licenses.
Executive Summary:

Background
The University of Minnesota strives to provide a safe and healthy environment for its students, staff and visitors. As recognized in Board of Regents Policy, with regard to the use, possession, distribution, consumption, marketing and sale of alcohol at the University, the University is guided by three principles: Health and Safety: Education and Counseling; and Compliance.

When the University began planning for the return of Gopher Football to campus, the issue of whether to sell alcohol in TCF Bank Stadium was a point of serious deliberation -- specifically focused on the impact of alcohol sales on University students attending events in the facility.

As a major funder of the Stadium, the Minnesota Legislature took action related to this issue – first in 2009 and then again in 2012. The University concluded that the requirements in the 2009 legislation were not consistent with the University’s plan and interests. The University therefore declined to sell alcohol in TCF Bank Stadium. In 2012, the Minnesota legislature permitted the sale of “intoxicating liquor” “...at a location in the stadium that is convenient to the general public attending an intercollegiate football game at the stadium.” The legislation specified that “on-site liquor sales to the general public must be available...through half-time” and restored the ability to serve and sell in the premium seating areas of Williams and Mariucci Arenas. At its July 11, 2012 meeting the Board of Regents passed a resolution to allow a two-year pilot of beer and wine sales for TCF Bank Stadium, and in the club areas of Mariucci Arena and Williams Arena, during intercollegiate athletic events. The 2012 legislation the University is currently operating under will sunset July 1, 2014.

The implementation plan for the service and sale of alcoholic beverages in TCF Bank Stadium was developed with the following guiding principles:

- Provide a safe and fan-friendly atmosphere
- Respect donor commitments related to the Tribal Nations Plaza and Veterans Memorial
- Control the Game Day environment
- Ensure compliance with applicable laws and consistency with legislative intent
- Provide alcohol service/sales to 5,000-7,000 general seating fans each game
- Locate alcohol sales points of service that take into consideration proximity to student section seating, food service and restrooms, and number of fans expected to be served

Current Game Day Service and Sales Policies and Procedures for TCF Bank Stadium:

- Alcohol sales are limited to beer and wine
- Alcohol sales begin 1 hour prior to kick-off
- Alcohol sales end at the conclusion of half-time
- Alcohol is sold in 3 designated locations in TCF Bank Stadium – (1) on the West Plaza, (2) outside Gate A, and (3) in premium seating areas
- Alcohol sales are limited to 2 beverages per customer, per sale
- Patrons may take their alcoholic beverage back to their seat to consume
- Patrons must show a valid ID before each purchase
- All servers of alcohol receive industry standard TIPS or TEAM training
• “Drink responsibly” messaging is displayed at every point of sale
• A designated driver program is in place and available to patrons
• Security personnel and UMPD monitor enforcement of laws regarding over-consumption and legal age

Recommendation for the Future Service and Sale of Alcoholic Beverages:
The University has completed its two-year pilot and review of the impacts related to the sale of alcoholic beverages at University sports facilities. The results of these findings are detailed in the following report. The University believes that the continued sale of beer and wine in both the premium areas and the seating bowl of TCF Bank Stadium and the premium areas only of Mariucci and Williams Arena provide the optimal conditions from a safety, student development, fan experience, and business perspective. The University has mitigated impacts related to the potential for student alcohol abuse through educational and operational measures, and has not found any significant impacts to the surrounding neighborhoods that would negate this perspective.

Changes to State Statute:
As a result of these findings, the University recommends that the sunset language be deleted from the “Note” to Minnesota State Statute§ 340A.404, subdivision 4A. The University does not recommend any additional changes to the Statute.

TCF Bank Stadium Sale and Service of Alcoholic Beverages:
Per University Policy and Procedures, the University intends to continue to sell beer and wine on football game days in premium areas and in the seating bowl of the Stadium, increasing points of sale in order to enhance convenience to the general public. No other operational changes to the sale and service of alcohol are being considered at this time.

Mariucci and Williams Arena Sale and Service of Alcoholic Beverages:
Given the configuration of the facilities and consideration for optimizing the fan and student athlete experience, the University administration intends the continued sale of beer and wine in premium areas only in both arenas per University Policy and Procedures. The University strongly opposes any expansion of alcohol sales to the general seating areas of these venues during intercollegiate athletic events.
Full Report

Background:
The University of Minnesota strives to provide a safe and healthy environment for its students, staff and visitors. According to Board of Regents Policy, the University is guided by the following three principles as it relates to the use, possession, distribution, consumption, marketing and sale of alcohol at the University:

1. Compliance. The University shall comply with all applicable local, state, and federal laws related to the use, possession, distribution, consumption, and sale of alcoholic beverages on campus. The University expects compliance from employees, students, and campus visitors.

2. Education and Counseling. The University is committed to offering and promoting counseling, education, and prevention programs and activities related to: (a) personal responsibility and moderation in alcohol consumption; (b) the association between excessive alcohol consumption and high risk behaviors; (c) the benefits of abstinence; and (d) the prevention, diagnosis, and treatment of alcohol misuse and abuse.

3. Health and Safety. The University is committed to promoting a healthy and safe living and learning environment for its employees, students, and visitors.

TCF Bank Stadium was constructed based on a financial pro forma that included sales and service of alcohol only in the premium seating areas. Suites and other premium seating spaces were initially sold at a premium price point, consistent with serving alcohol. In February of 2009, the University applied for liquor licenses for the Minnesota Landscape Arboretum, Mariucci Arena, Williams Arena/Sports Pavilion, and TCF Bank Stadium.

Later in 2009, legislation passed prohibiting alcohol sales in athletic venue premium seating in Mariucci Arena, Williams Arena/Sports Pavilion, and TCF Bank Stadium unless alcohol was also sold in one third of the general seating areas of those facilities. As a consequence of that legislation, which ran contrary to the University’s plan and interests, on June 12, 2009, the Board of Regents affirmed President Bruininks’ recommendation to not serve or sell any alcoholic beverages during intercollegiate athletic events at TCF Bank Stadium, Williams Arena and Mariucci Arena. The liquor licenses issued by the State in April 2009 were cancelled and returned to the State on June 25, 2009.

The University subsequently reinstated the liquor license for TCF Bank Stadium in August 2009 for the service of alcoholic beverages only at private events held on non-game days.

In 2012, new legislation passed permitting the sale of “intoxicating liquor” “…at a location in the stadium that is convenient to the general public attending an intercollegiate football game at the stadium.” The legislation specified that “on-site liquor sales to the general public must be available...through half-time” and restored the University’s ability to serve and sell in the premium seating areas of Williams and Mariucci arenas. At its July 11, 2012 meeting, the Board of Regents passed a resolution to undertake a two-year pilot and allow beer and wine sales for TCF Bank Stadium, Mariucci Arena and Williams Arena during intercollegiate athletic events,
and at other non-athletic events with permission from the President’s Office. The Board requested a report on the pilot at the end of the two year period, which is what follows.

The 2012 legislation will sunset on July 1, 2014. If no new legislation is enacted, the law will revert to the 2009 statute, and the University’s only options will be to sell alcohol in one-third of the general public seating area in all three venues, or not at all.

Service and Sale Guiding Principles:
The implementation plan for the service and sale of alcoholic beverages in TCF Bank Stadium was developed with six guiding principles:

• Provide a safe and fan-friendly atmosphere
• Respect donor commitments related to the Tribal Nations Plaza and Veterans Memorial
• Control the Game Day environment
• Ensure consistency with legislative intent
• Provide alcohol service/sales to 5,000-7,000 general seating fans each game
• Locate alcohol sales points of service that take into consideration proximity to student section seating, food service and restrooms, and number of fans expected to be served

Current University Alcohol Policies and Procedures:

• Alcoholic Beverages on Campus – This Board of Regents Policy governs the use, possession, distribution, consumption, promotion, marketing and sales of alcoholic beverages at the University of Minnesota. http://regents.umn.edu/sites/default/files/policies/Alcohol.pdf
• Alcoholic Beverage Sales and Service, and Venue Liquor Licensing – This Administrative Policy outlines the manner in which alcoholic beverage sales and/or service on University owned or operated property by University and non-University entities must be conducted. http://www.policy.umn.edu/Policies/Operations/OPMisc/ALCOHOL.html
• Applying for Alcohol License – This Administrative Procedure outlines the internal process for applying for an alcohol license and requesting renewal of an existing license. http://www.policy.umn.edu/Policies/Operations/OPMisc/ALCOHOL_PROC02.html
• Purchase and Handling of Alcoholic Beverages at Licensed Venues – This Administrative Procedure outlines requirements and procedures that apply to the purchase and handling of distilled spirits (hard liquor), wine, beer and malt beverages. http://www.policy.umn.edu/Policies/Operations/OPMisc/ALCOHOL_PROC03.html

Current Game Day Service and Sales Policies and Procedures for TCF Bank Stadium:

• Alcohol sales are limited to beer and wine
• Alcohol sales begin 1 hour prior to kick-off
• Alcohol sales end at the conclusion of half-time
• Alcohol is sold in 3 designated locations in TCF Bank Stadium – (1) on the West Plaza, (2) outside Gate A, and (3) in premium seating areas
• Alcohol sales are limited to 2 beverages per customer, per sale
• Patrons may take their alcoholic beverage back to their seat to consume
• Patrons must show a valid ID before each purchase
• All servers of alcohol receive industry standard TIPS or TEAM training
• “Drink responsibly” messaging is displayed at every point of sale
• A designated driver program is in place and available to patrons
• Security personnel and UMPD monitor enforcement of laws regarding over-consumption and legal age

Impact of Alcohol Service and Sales on the Game Day Experience:

Fan Behavior/TCF Bank Stadium Game Day Incidents:
During the two-year pilot in which alcohol was served at TCF Bank Stadium at football games, there was no significant increase in the number of Game Day incidents to which the UMPD responded. TCF Bank Stadium Game Day incidents the UMPD intervened in are reflected in the following tables.

<table>
<thead>
<tr>
<th>TCF Bank Stadium Police Incidents – All fans: 2009-2013 [pilot years 2012-13]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alcohol related</td>
</tr>
<tr>
<td>-----------------</td>
</tr>
<tr>
<td>(underage)</td>
</tr>
<tr>
<td>Alcohol related</td>
</tr>
<tr>
<td>Disorderly Conduct</td>
</tr>
<tr>
<td>Other</td>
</tr>
<tr>
<td>Total</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>TCF Bank Stadium Game Day incidents involving U of M students</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alcohol related</td>
</tr>
<tr>
<td>-----------------</td>
</tr>
<tr>
<td>(underage)</td>
</tr>
<tr>
<td>Alcohol related</td>
</tr>
<tr>
<td>Disorderly Conduct</td>
</tr>
<tr>
<td>Other</td>
</tr>
<tr>
<td>Total</td>
</tr>
</tbody>
</table>
Mariucci & Williams Arena Incidents
Beer and wine are only sold/served in the premium areas of Mariucci and Williams arenas. Detailed information related to fan behavior/incidents at Mariucci and Williams arenas is not available. The Office of Student Conduct reports that the number of students ejected from Williams Arena was six during the 2011-12 basketball season and 13 during the 2012-13 basketball season. During the 2012-13 Gopher Hockey season, 35 students were ejected. Data is not available as to whether the consumption of alcohol played a role in any of the reported student ejections.

The introduction of alcohol sales to the general public in these venues is not recommended. First, the proximity of fans to the surface of play and the student-athletes is much closer and far more intimate than in TCF Bank Stadium. The proximity of the fans to the playing surface and the student-athletes is critical to the student-athlete experience. Policies governing the alcohol consumption of those fans close “to the action” need to consider the impact on the student-athlete experience. Specifically, observation at other collegiate hockey venues that sell alcohol in general seating have shown that it negatively impacts the student-athlete experience. Second, the concourses in these two venues were not built to accommodate the volume of traffic alcohol sales would generate. The concourses are far smaller than those at TCF Bank Stadium and the added crowding from alcohol sales would be a fire and public safety hazard, not to mention a frustrating fan experience. Also, in these venues, there is no clear division between student section seating area and concession stands. Finally, alcohol sales would lead to an increase in custodial expenses in both arenas. TCF bank is already power-washed following each football game, so no additional expenses were incurred with the introduction of alcohol sales. The arenas would require additional cleaning to remove the effects of alcohol.

Neighboring Community Impact
As reported by the University of Minnesota Police Department (UMPD) and the Minneapolis Police Department (MPD), there has not been an increase in incivility/quality of life calls during home football game weekends. In fact, UMPD indicated a decrease in police activity on home football weekends due to the increased police presence on those weekends. Neighboring community members did not report any increased negative impacts as a result of sales of alcohol at TCF Bank Stadium. The drop in disturbances also could be contributed to alcohol education, student code of conduct campaigns, the spirit initiative and the relationship building between the students, University and city within the student neighborhoods. An expansion of alcohol sales to other athletics venues on campus would likely be seen as a negative for the community.

Research Findings Related to the Service/Sale of Alcohol at Athletic Events
Alcohol misuse is a serious health issue for colleges and universities around the country. Surveys indicate that the majority of college students drink regardless of their age and that approximately two out every five college students binge drink (Walters, Bennett, & Nolto, 2000). Binge or heavy episodic drinking is defined by Wechsler and colleagues as having five or more drinks in one sitting for men and four or more for women, at least once in the past two weeks (Weschler, et. al, 2003). Binge drinking is associated with unintentional injury (e.g., motor vehicle crashes, falls, and drowning), sexually transmitted diseases, unintended
pregnancy, sexual assault, violence, and poor academic performance (Haun, et. al, 2007). Moreover, binge drinkers negatively affect others by interrupting sleep or study, requiring others to care for them, making unwanted sexual advances, insulting or humiliating others or damaging property. Further, in 2002 the National Institute on Alcohol Abuse and Alcoholism (NIAAA) estimated that there are more than 1,400 student deaths, 500,000 injuries, and 600,000 assaults annually nationwide associated with excessive alcohol consumption. These statistics illustrate the serious consequences of alcohol abuse on college campuses throughout the country.

Throughout the United States, moderating or reducing high-risk drinking on campuses during college football games, also known as game days, is a challenge. Research indicates that sports fans typically drink more than non-fans and thus are more likely to be affected by the behavior-altering effects of alcohol consumption (Wechsler & Nelson, 2002). College football game days are correlated with a considerable increase in the probability of excessive drinking and negative outcomes, such as violence, sexual assault, and vehicle accidents.

Research has found students who self-identify as sports fans endorse higher rates of heavy drinking compared to other students (Nelson & Wechsler, 2003). In a national study of the drinking habits of college sports fans, findings released from the Harvard School of Public Health College Alcohol Study show that more sports fans binge drink and have alcohol-related problems than non-fan students (Nelson & Wechsler, 2003). Many college students view campus sporting events as an opportunity for heavy drinking, both to celebrate important victories as well as to express solidarity and enhance group cohesion with fellow students (Rabow & Duncan-Schill, 1995). This latter finding emphasizes the fact that heavy drinking associated with sporting events may be linked both to the athletic event itself, as well as to the social context associated with the athletic event.

Nelson and Wechsler found that among students who drink alcohol, some 53 percent of sports fans usually binged when drinking, compared with 41 percent of male and 37 percent of female nonfans. In addition, fans were more likely to have drunk on 10 or more occasions in the past 30 days and consider drinking “to get drunk” an important reason for drinking. Fewer fans than nonfans abstained from drinking alcohol (17 percent vs. 20 percent).

Clearly, students have the means and the opportunity to consume alcohol regardless of whether it is provided in an athletic venue or they are watching the event from home, and the University must continue to take steps to mitigate the consequences of binge drinking regardless of the fan’s location.

To help reduce the impact of high risk drinking, a coalition of departments across campus have implemented programs that address campus alcohol use. The programs and services are based on “best practices” recommended by the National Institute on Alcohol Abuse and Addiction. Conducting assessments is considered an essential best practice on a college campus. Several are used on the University of Minnesota, Twin Cities campus. The College Student Health Survey, Healthy Minds Study, and a survey that is part of the Alcohol Edu online program for all incoming first year students, are administered on a regular basis. These assessments help the
University develop effective programs and services and provide population-based surveillance of the student population.

Boynton Health Service conducts the College Student Health Survey every three years. Overall, our students report less risky alcohol use from 2007-2013. Since 2007, students 18-24 years old have consistently reported reductions in any alcohol use over the past 30 days (71% down to 70%), and a reduction in high risk drinking from 41.6% to 35.2%. As well, students report fewer negative consequences due to excessive alcohol use such as “having a hangover, getting into an argument or fight, missing a class, doing something they regretted, experiencing memory loss, getting into trouble with the police, and driving while under the influence have all decreased since 2010.

| 2013 Boynton Health and Health-Related Behaviors Survey – UMTC Students 18-24 years old |
|-----------------------------------------------|--------|--------|--------|
| Consumption of any alcohol in the past 30 days | 73.7%  | 71.1%  | 70.0%  |
| Average number of drinks per week             | 4.5    | 4.5    | 4.1    |
| Engaged in high risk drinking (Consuming five or more alcoholic beverages in a row in the previous two weeks) | 41.6%  | 37.5%  | 35.2%  |

Motivational interviewing is also regarded as a best practice and is incorporated into the University’s a multi-level strategy to reach a high percentage of students. These interviews give students an opportunity to take an introspective inventory of their alcohol use in a non-threatening environment and assess the impact alcohol is having on the student’s life. Motivational interviewing is a part of an assessment for all patients at Boynton Health Service. It is utilized in a wide range of educational programs for students who have violated alcohol policies on and off campus and it is used as part of a wide range of events and programs on campus.

Beginning in 2013, all incoming students are required to complete an online course, Alcohol Edu, to help prepare them for campus life and alcohol. Nearly 90% of students completed the course, which has proven to impact students’ high risk drinking behavior and help mitigate negative consequences. Alcohol awareness and education programs for first year students are also considered a “best practice”

Limiting access to alcohol is an important part of reducing harm due to drinking on a college campus. Increasing efforts in other areas such as enforcement, educational interventions, policy reviews and further assessment are all needed to reduce any impact additional availability may cause. We believe that we have put into place reasonable measures at TCF Bank Stadium to limit alcohol consumption by students who are underage and believe that when coupled with our ongoing educational and intervention efforts, that continued sales of beer and wine in the general seating area will not be detrimental to student development, nor encourage more binge or excessive drinking.
**Financial Implications regarding Sale and Service of Alcoholic Beverages:**

Alcohol Sales/Consumption History at TCF Bank Stadium
Alcohol sales at TCF Bank Stadium contribute to positive cash flow for Intercollegiate Athletics, as the tables below demonstrate. Initially, ICA experienced a loss of $15,000 in year one, resulting from one-time set up expenses to support alcohol sales, and a less favorable revenue split with our vendor. The revenue split was renegotiated, resulting in the profits shown below.

<table>
<thead>
<tr>
<th>TCF Bank Stadium Alcohol Sales: 2012-2013</th>
<th>(\text{Gross Sales})</th>
<th>(\text{ICA Commissions})</th>
<th>(\text{Net Profit for ICA})</th>
<th>(\text{Attendance})</th>
<th>(\text{Alcohol Sales per Capita})</th>
</tr>
</thead>
<tbody>
<tr>
<td>2012 Season</td>
<td>$907,268</td>
<td>$221,706</td>
<td>$21,118</td>
<td>251,262</td>
<td>$3.61</td>
</tr>
<tr>
<td>2013 Season</td>
<td>$1,061,333</td>
<td>$325,567</td>
<td>$181,678</td>
<td>276,536</td>
<td>$3.84</td>
</tr>
</tbody>
</table>

Premium Seating Sales
Over the past three seasons, TCF Bank has seen an increase in the premium seating sales, as noted in the following table. These are areas such as suites and loges where food and beverages can be ordered in advance and often include alcohol sales. However, the University cannot attribute this increase to any one factor, including the ability to purchase alcohol.

<table>
<thead>
<tr>
<th>TCF Bank Premium Seating Sales</th>
<th>2011</th>
<th>2012</th>
<th>2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>Season Outdoor Club (x7)</td>
<td>798</td>
<td>862</td>
<td>798</td>
</tr>
<tr>
<td>Single Game Outdoor Club</td>
<td>918</td>
<td>864</td>
<td>1770</td>
</tr>
<tr>
<td>Total Outdoor Club</td>
<td>6504</td>
<td>6898</td>
<td>7356</td>
</tr>
<tr>
<td>Season Indoor Club (x7)</td>
<td>134</td>
<td>135</td>
<td>129</td>
</tr>
<tr>
<td>Single Game Indoor Club</td>
<td>505</td>
<td>336</td>
<td>515</td>
</tr>
<tr>
<td>Total Indoor Club</td>
<td>1443</td>
<td>1281</td>
<td>1418</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>TCF Bank Premium Seating Sales</th>
<th>2011</th>
<th>2012</th>
<th>2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>Season Loge Boxes (x7)</td>
<td>45</td>
<td>45</td>
<td>45</td>
</tr>
<tr>
<td>Single Game Loge Boxes</td>
<td>13</td>
<td>13</td>
<td>28</td>
</tr>
<tr>
<td>Total Loge Boxes</td>
<td>328</td>
<td>328</td>
<td>343</td>
</tr>
<tr>
<td>Season Suites (x7)</td>
<td>35</td>
<td>31</td>
<td>37</td>
</tr>
<tr>
<td>Single Game Suites</td>
<td>9</td>
<td>33</td>
<td>16</td>
</tr>
<tr>
<td>Total Suites</td>
<td>254</td>
<td>250</td>
<td>275</td>
</tr>
</tbody>
</table>
**Recommendation regarding Future Service and Sale of Alcoholic Beverages**

The University has completed its two-year pilot and review of the impacts related to the sale of alcoholic beverages at University sports facilities. The results of these findings are detailed in the attached report. The University believes that the continued sale of beer and wine in both the premium areas and the seating bowl of TCF Bank Stadium and the premium areas only of Mariucci and Williams Arena provide the optimal conditions from a safety, student development, fan experience, and business perspective. The University has mitigated impacts related to the potential for student alcohol abuse through educational and operational measures, and has not found any significant impacts to the surrounding neighborhoods that would negate this perspective.

**Changes to State Statute:**

The University has completed its review of its two year pilot and the impacts related to the sale of alcoholic beverages at University sports facilities. The results of these findings are included in the attached report. As a result of these findings, the University recommends that the sunset language be deleted from the “Note” to Minnesota State Statute §340A.404, subdivision 4A. The University does not believe any additional changes to the Statute are necessary.

**Statute 340A.404 INTOXICATING LIQUOR; ON-SALE LICENSES**

Subd. 4a. *Publicly owned recreation; entertainment facilities.* (a) Notwithstanding any other law, local ordinance, or charter provision, the commissioner may issue on-sale intoxicating liquor licenses:

1. to the state agency administratively responsible for, or to an entity holding a concession or facility management contract with such agency for beverage sales at, the premises of any Giants Ridge Recreation Area building or recreational improvement area owned by the state in the city of Biwabik, St. Louis County;

2. to the state agency administratively responsible for, or to an entity holding a concession or facility management contract with such agency for beverage sales at, the premises of any Ironworld Discovery Center building or facility owned by the state at Chisholm;

3. to the Board of Regents of the University of Minnesota for events at Northrop Auditorium, the intercollegiate football stadium, including any games played by the Minnesota Vikings at the stadium, and at no more than seven other locations within the boundaries of the University of Minnesota, provided that the Board of Regents has approved an application for a license for the specified location and provided that a license for an intercollegiate football stadium is void unless it meets the conditions of paragraph (b). It is solely within the discretion of the Board of Regents to choose the manner in which to carry out these conditions consistent with the requirements of paragraph (b); and

4. to the Duluth Entertainment and Convention Center Authority for beverage sales on the premises of the Duluth Entertainment and Convention Center Arena during intercollegiate hockey games.

The commissioner shall charge a fee for licenses issued under this subdivision in an amount comparable to the fee for comparable licenses issued in surrounding cities.

(b) No alcoholic beverage may be sold or served at TCF Bank Stadium unless the Board of Regents holds an on-sale intoxicating liquor license for the stadium as
provided in paragraph (a), clause (3), that provides for the sale of intoxicating liquor at a location in the stadium that is convenient to the general public attending an intercollegiate football game at the stadium. On-sale liquor sales to the general public must be available at that location through half-time of an intercollegiate football game at TCF Bank Stadium, and sales at the stadium must comply with section 340A.909.


**TCF Bank Stadium Sale and Service of Alcoholic Beverages:**
Per University Policy and Procedures, the University intends to continue to sell beer and wine on football game days in premium areas and in the seating bowl of the Stadium, increasing points of sale in order to enhance convenience to the general public. No other operational changes to the sale and service of alcohol are being considered at this time.

**Mariucci and Williams Arena Sale and Service of Alcoholic Beverages:**
Given the configuration of the facilities and consideration for optimizing the fan and student athlete experience, the University intends the continued sale of beer and wine in premium areas only in both arenas per University Policy and Procedures. The University strongly opposes any expansion of alcohol sales to the general seating areas of these venues during intercollegiate athletic events.
References


